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1 **pH-independent immediate release polymethacrylate formulations –**

2 **An observational study**

3

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32 **Keywords**

33 Drug delivery, immediate release, extrusion, injection molding, polymers

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40 **Abstract**

41 Using Eudragit® E PO (EudrE) as polymethacrylate carrier, the aim of the study was to
42 develop a pH-independent dosage form containing ibuprofen (IBP) as active compound via
43 chemical modification of the polymer (i.e. quaternisation of amine function) or via the addition
44 of dicarboxylic acids (succinic, glutaric and adipic acid) to create a pH micro-environment
45 during dissolution. Biconvex tablets (diameter: 10mm; height: 5mm) were produced via hot
46 melt extrusion (HME) and injection molding (IM). *In vitro* dissolution experiments revealed
47 that a minimum of 25% of quaternization was sufficient to partially (up to pH 5) eliminate the
48 pH-dependent effect of the EudrE/IBP formulation. The addition of dicarboxylic acids did not
49 alter IBP release in a pH 1 and 3 medium as the dimethyl amino groups of EudrE are already
50 fully protonated, while in a pH 5 solvent IBP release was significantly improved (cf. from 0 to
51 92% release after 1h dissolution experiments upon the addition of 20wt.% succinic acid).
52 Hence, both approaches resulted in a pH-independent (up to pH 5) immediate release
53 formulation. However, the presence of a positively charged polymer induced stability issues
54 (recrystallization of API) and the formulations containing dicarboxylic acids were classified as
55 mechanically unstable. Hence, further research is needed to obtain a pH-independent immediate
56 release formulation while using EudrE as polymethacrylate carrier.

57

58 Introduction

59 Hot melt extrusion (HME) combined with injection molding (IM) is a versatile
60 manufacturing technique which has generated significant interest in the pharmaceutical field
61 based on the possibilities offered by this technique (Crowley et al., 2007, Repka et al., 2007,
62 Follonier et al., 1994, Repka et al., 1999). It enables the production of tablets independent of
63 the powders compactibility (Quinten et al., 2011). A homogeneous system can be created via a
64 substantial energy input, provided by elevated temperature, high shear force and pressure.
65 Different polymers are used as matrix drug delivery systems, wherein the drug is uniformly
66 dispersed or dissolved (providing immediate or sustained drug release depending on the
67 polymer properties) (Yang et al., 2008, Zhang and McGinity, 2000, Repka et al., 2003, Jijun et
68 al., 2011, Zhu et al., 2006, Maniruzzaman et al., 2013). A well established drug delivery matrix
69 which can be used for HME/IM applications is a methacrylate terpolymer based on n-
70 butylmethacrylate (n-BMA), dimethylaminoethylmethacrylate (DMAEMA) and
71 methylmethacrylate (MMA) in a 1/2/1.5-ratio (Eudragit® E PO) (Albers et al., 2009, Albers and
72 Kleinebudde, 2008, Claeys et al., 2013, Qi et al., 2008, Six et al., 2003, Liu et al., 2010). It
73 allows the development of stable glassy solid solutions, i.e. homogeneous one-phase systems
74 with the drug molecularly dispersed in the matrix, ensuring immediate drug release (Albers et
75 al., 2009, Claeys et al., 2013, Forster et al., 2001). However, the pH-dependent solubility of
76 Eudragit E (i.e. insoluble at high pH, soluble at low pH) could possibly cause bioavailability
77 problems when dealing with elderly (Russell et al., 1993, Riordan et al., 1997), upon food intake
78 (Kararli, 1995) or diseases that tend to raise the stomach pH (Theisen et al., 2000, Williams and
79 McColl, 2006).

80 *In vitro* dissolution studies of nimodipine, for instance, in a medium containing 0.1N
81 hydrochloric acid and 0.05% (w/v) sodium dodecyl sulfate (SDS) showed that a solid dispersion
82 with EudrE dissolved significantly faster than formulations with polyvinylpyrrolidone/vinyl
83 acetate copolymer (PVPVA) and hydroxypropyl methylcellulose (HPMC) as polymer
84 excipient. *In vivo* studies in male beagle dogs, on the other hand, revealed the fastest release for
85 the formulation containing PVPVA as polymer excipient, followed by EudrE and HPMC
86 (Zheng et al., 2007). This difference between in-vitro and in-vivo behavior could be due to the
87 GI motility of a beagle dog which is among the fastest of all laboratory animal (fast stomach
88 clearance), to the limited volume of gastric fluids (\pm 400mL) and most importantly to the gastric
89 pH of the dogs which can reach pH 5 (Kararli, 1995). EudrE is also used as coating material for
90 pH-controlled drug release in the treatment of inflammatory bowel disease (Leopold and

91 Eikeler, 1998). Lag times of drug release for EudrE-coated formulations were determined at
 92 10min, 50min and 33h in media with pH values of 2, 5 and 6.8, respectively. The pH-dependent
 93 release profile of Eudragit E is due to its dimethylaminoethyl methacrylate (DMAEMA) moiety
 94 which becomes protonated at low pH values. Moreover, as shown in previous work (Claeys et
 95 al., 2013), the DMAEMA units are crucial with respect to API/polymer interaction (which are
 96 essential to create glassy solid solutions) and drug release characteristics.

97
 98 This research focuses on the development of a pH-independent Eudragit E-based
 99 formulation, using 2 approaches to achieve pH-independent ibuprofen release from an Eudragit
 100 E matrix processed via HME/IM: 1. chemical modification of the Eudragit polymer structure
 101 by quaternization of the amine function; 2. creation of a low pH microenvironment during
 102 dissolution via the addition of dicarboxylic acids (succinic, glutaric and adipic acid).

103 **Experimental Section**

104 **Materials**

105 Ibuprofen 25 (IBP), with a melting endotherm at 76°C and a Tg of -42°C, was purchased
 106 from Abbott (Ludwigshafen, Germany). Eudragit® E PO (EudrE), a methacrylate terpolymer
 107 based on n-butylmethacrylate (n-BMA), dimethylaminoethylmethacrylate (DMAEMA) and
 108 methylmethacrylate (MMA) in a 1/2/1.5-ratio, was supplied by Evonik (Darmstadt, Germany).
 109 Diethylether and acetic acid were purchased by Biosolve (Valkenswaard, Netherland),
 110 hydrochloric acid from VWR International (Leuven, Belgium). Iodomethane, silver nitrate and
 111 dicarboxylic acids (succinic, glutaric and adipic acid) were purchased from Sigma-Aldrich (St-
 112 Louis, USA) (Table 1).

113 **Table 1:** Chemical characteristics of dicarboxylic acids

Dicarboxylic acid	COOH -R- COOH	pKa ₁	pKa ₂	T _m (°C)	Solubility in H ₂ O (g/L) at 25°C	logP
Succinic	CH ₂ CH ₂	4.2	5.6	184	60	-0.59
Glutaric	(CH ₂) ₃	4.3	5.4	95	430	-0.29
Adipic	(CH ₂) ₄	4.4	5.4	152	24	0.08

114

115 **Quaternization of Eudragit® E PO**

116 Eudragit[®] E PO (20g) was dissolved in 200mL methanol (final concentration:
117 100mg/mL), followed by the addition of 0.41, 1.02, 2.03 and 3.05mL iodomethane (methylating
118 agent) to synthesize polymethacrylates with a quaternization degree of 10, 25, 50 and 75%,
119 respectively. The reaction (addition of methylgroup to the polymer structure) was allowed to
120 proceed for 2h at room temperature, followed by precipitation of the polymer via the addition
121 of diethylether (1/20, v/v). Consequently, the iodide counter ion of the quaternary amine was
122 replaced by chloride via dialysis (using a cellulose dialysis membrane with a Mw cut-off of 14
123 kDa) for 2 days against a 60g/L aqueous sodium chloride solution, which was refreshed
124 multiple times. The removal of iodide ions was verified via the addition of a silver nitrate
125 solution as described in previous research (Obermeier et al., 2010). Finally, the quaternized
126 Eudragit[®] E polymer (EudrE-Q) was isolated as a dry powder by lyophilization (average yield:
127 70%).

128 **¹H-Nuclear Magnetic Resonance (¹H-NMR) Spectroscopy**

129 Polymer composition and degree of quaternization was determined through ¹H-NMR
130 spectroscopy on a Varian Mercury 300 NMR Spectrometer (Vernon Hills, Illinois, USA).
131 Samples were dissolved in deuterated methanol. ¹H-NMR (300 MHz, CD₃OD) δ = 4.12 (br,
132 COOCH₂CH₂N(CH₃)₂), 3.64 (COOCH₃), 2.68 (br, COOCH₂CH₂N(CH₃)₂), 2.35 (br,
133 COOCH₂CH₂N(CH₃)₂), 2.21-1.75 (br, CH₂ backbone), 1.45 (br, COOC(CH₃)₃), 1.30-0.8 (br,
134 CH₃). The degree of quaternization was verified according to Obermeier et al. (Obermeier et
135 al., 2010).

136 **Determination of pKa**

137 A polymer sample (200mg) was dissolved in 5mL 0.1M HCl solution, followed by the
138 addition of 45mL demineralized water. While continuously measuring the pH, small amounts
139 of 0.1M NaOH were added to the polymer solution up to pH 12. Consequently, the pKa was
140 determined as a minimum of the first derivative of the titration curve.

141

142 **Thermal analysis**

143 Thermogravimetric analysis (TGA 2950, TA instruments, Leatherhead, UK) was used
144 to investigate the thermal stability of the polymers. The samples were equilibrated at 30°C and
145 heated (10°C/min) to 500°C under an N₂ atmosphere.

146 Tg and melting point (T_m) of pure components, physical mixtures (homogenized using
147 mortar and pestle) and injection molded tablets were analyzed by modulated differential
148 scanning calorimetry (MDSC Q2000, TA Instruments, Leatherhead, UK) using a heating rate
149 of 2°C/min. The modulation period and amplitude were set at 1min and $\pm 0.318^\circ\text{C}$, respectively.
150 Dry nitrogen at a flow rate of 50ml/min was used to purge the MDSC cell. A heating/cool/heat
151 cycle was run between -70 and 120°C. All results were analyzed using the TA Instruments
152 Universal Analysis 2000 software.

153 **X-ray diffraction (XRD)**

154 The crystallinity of the samples was determined via X-ray diffraction using a D5000 Cu
155 $K\alpha$ diffractor ($\lambda = 0.154 \text{ nm}$) (Siemens, Karlsruhe, Germany) with a voltage of 40 kV and a
156 current of 40 mA in the angular range of $10^\circ < 2\theta < 60^\circ$ using a step scan mode (step width =
157 0.02° , counting time = 1 s/step).

158 **Production of injection molded tablets**

159 Physical mixtures of IBP and polymer (30/70, wt.%) were extruded at 90°C using a co-
160 rotating twin-screw extruder at 90rpm (Haake MiniLab II Micro Compounder, Thermo
161 Electron, Karlsruhe, Germany). Biconvex tablets (mass: $349 \pm 2.1 \text{ mg}$; diameter: 10mm; height:
162 5mm) were produced via injection molding (Haake MiniJet System, Thermo Electron). The
163 injection pressure was 800bar during 10s, in combination with a post-pressure of 400bar for 5s.
164 Formulations containing dicarboxylic acids were processed via HME/IM at a constant drug load
165 (30%), but varying ratios of Eudragit E to dicarboxylic acid: 60/10, 55/15 and 50/20 (wt.%).

166 ***In vitro* drug release**

167 Drug release from the injection molded tablets was determined using the paddle method
168 on a VK 7010 dissolution system (VanKel Industries, New Jersey, USA) with a paddle speed of
169 100rpm. Hydrochloric acid (pH 1), citric buffer (pH 3 and 5) and phosphate buffer (pH 7) were
170 used as dissolution media (900mL) at $37 \pm 0.5^\circ\text{C}$. Samples were withdrawn at 5, 10, 15, 20, 30,
171 45 and 60min, and spectrophotometrically analyzed for API concentration at 221nm.

172

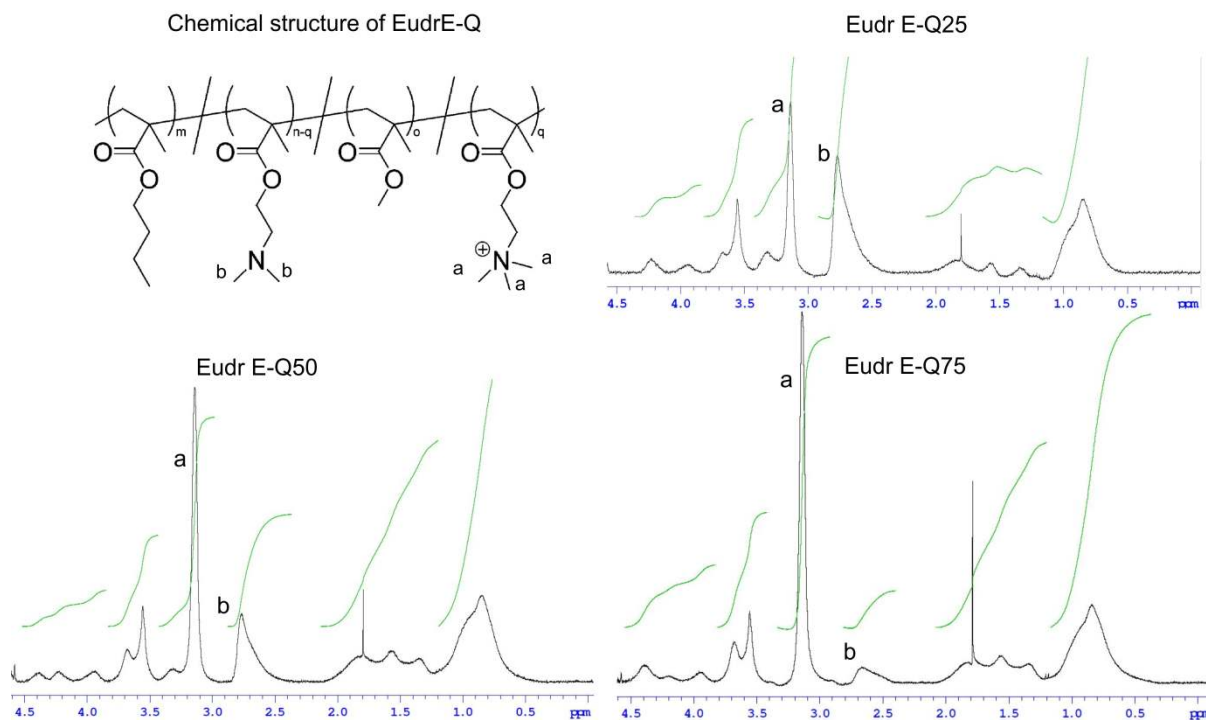
173 Results and Discussion

174 Ibuprofen (IBP) is a weak acid with a pKa of 4.4. The carboxylic group in the chemical
175 structure is responsible for its pH-dependent solubility. At pH 7, IBP is completely dissolved
176 after 15 min, whereas, the release at pH 5, 3 and 1 is limited to 57, 40 and 29%, respectively,
177 after 60min of dissolution testing. The tertiary amine function in the chemical structure of
178 Eudragit® E (EudrE) causes its pH-dependent release profiles. In contrast to IBP, a higher pH
179 reduces the dissolution rate of EudrE. It was therefore appropriate to combine both components
180 (IBP and EudrE) in one formulation.

181 The processing of an EudrE mixture containing 30wt.% ibuprofen (IBP) via hot melt
182 extrusion (HME), followed by injection molding (IM) yielded a transparent formulation,
183 classified as a glassy solid solution. Thermal analysis revealed a single Tg, located between the
184 Tg values of the individual components, indicating complete miscibility and compatibility
185 between drug and polymer (Claeys et al., 2013). Spectroscopic analysis indicated that
186 electrostatic interactions between the ammonium group of the polymer and the carboxyl group
187 of IBP (i.e. salt formulation) were responsible for the solubilization of IBP (Claeys et al., 2013).
188 EudrE is a fast dissolving polymer in acidic media (pH 1 and 3) due to the hydration of the
189 dimethylamino groups that are fully protonated at this lower pH range, yet it is insoluble in
190 media with a pH above 5. Chemical modification of the tertiary ammonium group of the
191 polymer to its quaternized form should allow to determine the minimum amount of positive
192 charges needed to eliminate this pH-dependent effect, quaternisation of 10, 25, 50 and 75%
193 were tested.

194 All polymer samples were analyzed via ¹H-nuclear magnetic resonance spectroscopy to
195 verify the degree of quaternization. Figure 1 illustrates that the decrease of the signal of the
196 tertiary ammonium group R-N(CH₃)₂ at ~2.7 ppm is correlated with larger signals of the
197 quaternary ammonium group R-N⁺(CH₃)₃ at ~3.15 ppm. The deviation between the theoretical
198 calculated and experimental degree of quaternization was 5, 7, 5 and 8% for EudrE-Q10, -Q25,
199 -Q50 and -Q75, respectively. As the objective was to determine the relative influence of the
200 degree of quaternization on drug release, these differences were regarded as negligible.

201

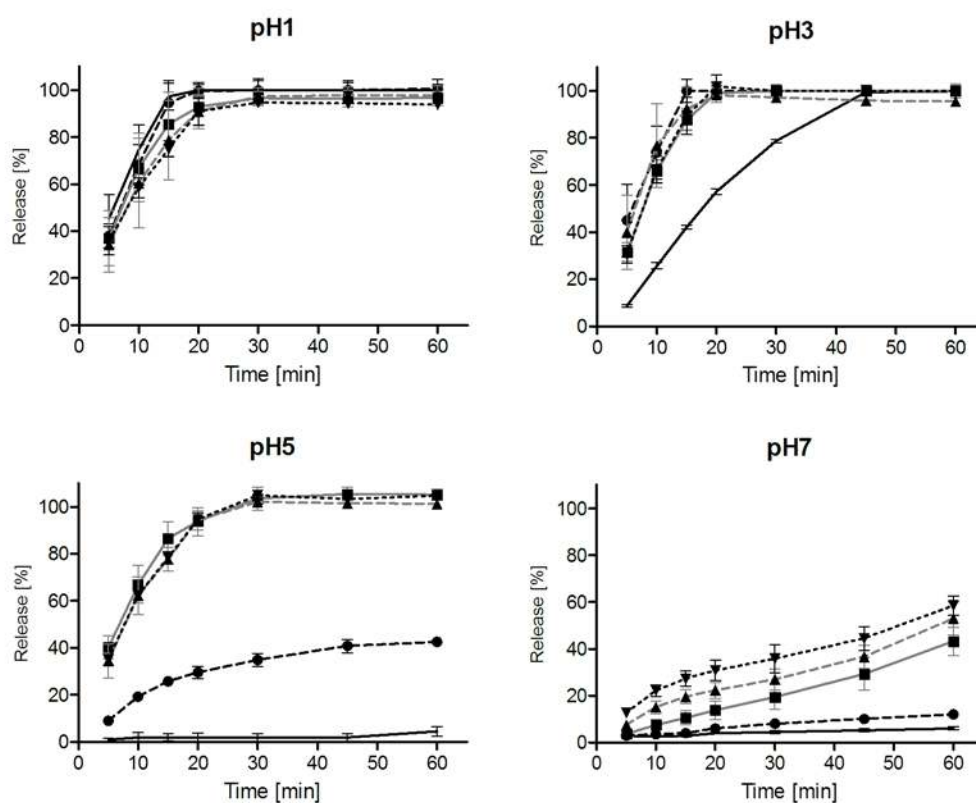


202

203 **Figure 1.** ¹H-NMR (300 MHz, CD₃OD) of EudrE-Quaternized (EudrE-Q) to verify the degree of quaternization
 204 via integration of the signals a and b, representing the signals of the quaternary and tertiary ammonium groups,
 205 respectively.

206 Second, it was determined whether quaternized Eudragit[®] E (q-EudrE) samples were
 207 suitable as polymers to solubilize ibuprofen (IBP) upon processing via hot melt extrusion
 208 (HME) and injection molding (IM). As the quaternization of EudrE did not significantly alter
 209 the polymers' glass transition temperature and a similar drug load (30wt.%) was used, the
 210 processing conditions were chosen similar to the EudrE/IBP 70/30 mixture (Claeys et al., 2013).
 211 All processed formulations with quaternized EudrE (EudrE-Q) as carrier were transparent with
 212 smooth surfaces. Figure 2 illustrates their dissolution behavior in function of pH. At pH 1, all
 213 formulations had similar release characteristics. At pH 3, the protonation rate of the tertiary
 214 ammonium group of EudrE is reduced, resulting in a slower hydration of the polymer and a
 215 lower IBP release rate compared to pH 1. In contrast, all quaternized EudrE (EudrE-Q)
 216 formulations were not affected by this lower acidic character of the dissolution medium. As a
 217 positive charge is intrinsically present in the chemically modified polymers, the lag phase for
 218 polymer protonation and hydration is avoided, yielding faster release profiles for EudrE-Q
 219 formulations. At pH 5, no IBP was released from the EudrE formulation, while the EudrE-Q10
 220 formulation resulted in a release of 40% after 1h. At pH 5, not all ammonium groups of the
 221 polymer are protonated by the solvent based on their pK_a value of 6.1, resulting in insufficient
 222 or no release from the EudrE-Q10- and EudrE-formulations, respectively. Eudr-Q25, -Q50 and

223 -Q75 formulations, on the other hand, still resulted in fast IBP release profiles as they already
 224 carried sufficient positive charges (due to the high degree of quaternization) and do not rely on
 225 protonation by the solvent to obtain fast drug release. At pH 7, release from all formulations
 226 (EudrE as well as EudrE-Q grades) was slow, indicating that at this pH even a high degree of
 227 quaternisation could not ensure fast hydration of the chemically modified polymethacrylate.
 228 Possibly, the formation of a complex between the positively charged polymer and the negatively
 229 charged IBP also contributed to the incomplete release under these conditions (Kislalioglu et
 230 al., 1991, Jiang et al., 2005). Overall, *in vitro* dissolution experiments revealed that a minimum
 231 of 25% of quaternization was sufficient to partially (up to pH 5) eliminate the pH-dependent
 232 effect of the EudrE/IBP formulation.



233
 234 **Figure 2.** Release characteristics at different pH-values (1, 3, 5 and 7) of formulations containing 30wt.%
 235 ibuprofen and different polymethacrylate carriers: EudrE (no symbol), EudrE-Q10 (●), EudrE-Q25 (■), EudrE-
 236 Q50 (▲), EudrE-Q75 (▼).

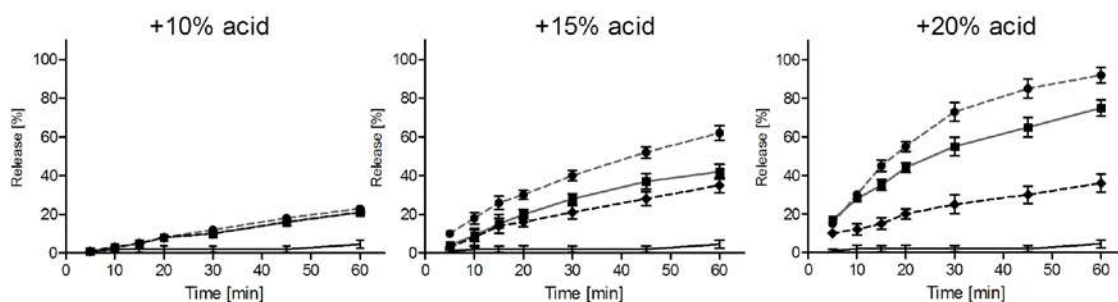
237 As glassy solid solutions are inherently thermodynamically metastable, recrystallization
 238 is inevitable. However, a previous study indicated that the tertiary ammonium group of the
 239 polymer is of high importance as an interaction with the carboxylgroup of IBP (i.e. salt

240 formation) stabilizes the glassy solid solution for at least 6 months of storage at 25°C and 60%
241 relative humidity (Claeys et al., 2013). Performing a stability study in similar conditions
242 revealed the recrystallization of IBP in all formulations containing quaternized EudrE polymers
243 (EudrE-Q) upon 3 months of storage, irrespective of the amount of quaternization. The
244 transparent formulations became opaque, an IBP melting endotherm was observed via thermal
245 analysis and XRD-spectra evidenced clear signs of crystallinity (data not shown). Hence,
246 quaternization of EudrE, even at a low percentage (i.e. 10%), limits the interactions between
247 drug and polymers, and reduces the stability of IBP solid solutions upon storage. Possibly the
248 positive charges of EudrE-Q polymers are obstructing the ability of IBP to release its hydrogen
249 atom, limiting the possibility for electrostatic interaction with the polymer which is essential
250 for the stabilization of molecularly dispersed ibuprofen in an Eudragit E matrix. It is also
251 possible that EudrE-Q polymers, obtained after quaternization in methanol, precipitation in
252 diethylether, dialysis and lyophilization, still contained some residual solvents. These can alter
253 the molecular mobility of IBP and increase its tendency to recrystallize.

254 As protonation of EudrE is responsible for the fast hydration/dissolution of the polymer
255 and as this protonation is evidently reduced at higher pH values, a second approach to achieve
256 pH-independent release was to create a low pH micro environment around the tablet during
257 dissolution via the addition of acids to the HME/IM processed formulations. High
258 concentrations of acids in the diffusion layer during dissolution could lower the pH, leading to
259 accelerate protonation of EudrE and enhance the drug release rate. To this end, several
260 dicarboxylic acids (succinic, glutaric and adipic acid) were added to the formulation (Table 1).

261 The addition of dicarboxylic acids to the IBP/EudrE 30/70 mixture did not influence the
262 formulation's ability to form transparent tablets. Dissolution experiments illustrated that the
263 addition of dicarboxylic acids did not alter IBP release in a pH 1 and 3 medium (data not
264 shown), as the dimethyl amino groups of EudrE are already fully protonated. Moreover, the
265 ability of dicarboxylic acids to release hydrogen atoms is lower at this pH given pKa values of
266 succinic, glutaric and adipic acid of 4.2, 4.3 and 4.4, respectively. At pH 5, IBP release was
267 significantly improved (Figure 3) upon the addition of dicarboxylic acids, as the low pH
268 environment in the diffusion layer around the tablet enhances IBP release rate. This
269 improvement was concentration driven as higher concentrations of dicarboxylic acids induced
270 faster drug release. IBP release depended also on the type of dicarboxylic acid: the fastest
271 release was observed in combination with succinic acid, while adipic acid had less impact. This

272 could be related to the polarity of the dicarboxylic acids, as succinic acid was the most polar
273 compound (log P -0.59, vs. -0.29 and 0.08 for glutaric and adipic acid, respectively) (Table 1).



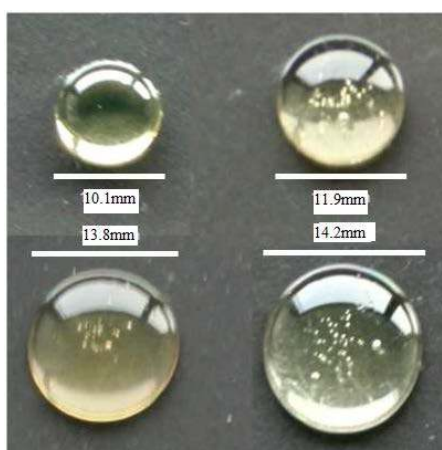
274
275 **Figure 3.** Release profiles of IBP/EudrE 30/70 mixtures (no symbol) at pH 5 with the addition of 10, 15 and 20%
276 of adipic (◆), glutaric (■) and succinic acid (●), respectively.

277 The importance of low pH in the diffusion layer was illustrated by the addition of 20%
278 disodium succinate to the formulation: IBP release was limited to 10% after 60 min, vs. 92%
279 in combination with 20% succinic acid (cf: a solution containing 0.1N of succinic acid and
280 disodium succinate has a pH value of 2.7 and 7, respectively).

281 Figure 4 illustrates the formulation's stability at room temperature. The glassy solid
282 solution tablets containing dicarboxylic acids were mechanical unstable (unable to maintain
283 their structure). Two underlying reasons were identified: 1. The plasticizing effect of IBP on
284 EudrE (Claeys et al., 2013). Increasing the dicarboxylic acid concentration also increased the
285 IBP/EudrE ratio thereby inducing more molecular mobility; and 2. The molecular mobility of
286 the dicarboxylic acids. Figure 4 and Table 2 illustrates that the formulation's mechanical
287 stability was dependent on the length of the dicarboxylic acid (stability of formulation with
288 succinic > glutaric > adipic). It is known from previous research (Claeys et al., 2013) that the
289 change of a t-butyl group in the polymer structure to a n-butyl group increases the molecular
290 mobility of the polymer as a result of an increase in free volume and a less denser polymer
291 structure. As adipic acid had the highest amount of carbons between its 2 carboxylic groups,
292 the polymer's free volume and its molecular mobility were increased to a higher extent
293 compared to succinic and glutaric acid containing formulations, resulting in a lower mechanical
294 stability (Table 2).

295 **Table 2.** Tablet diameter upon 1 month of storage at 25°C, 60% relative humidity of EudrE/IBP 70/30 with the
296 addition of 10, 15 and 20% of dicarboxylic acid, respectively.

Dicarboxylic acid	IBP/EudrE/Acid			
	30/70/0	30/60/10	30/55/15	30/50/20
Succinic	10.0mm	10.2mm	10.4mm	11.9mm
Glutaric	10.0mm	10.4mm	11.1mm	13.8mm
Adipic	10.0mm	10.4mm	11.4	14.2mm



297

298 **Figure 4.** Tablet diameter upon 1 month of storage at 25°C, 60% relative humidity of EudrE/IBP 70/30 (upper
 299 left) with the addition of 20% succinic acid (upper right), glutaric acid (lower left) and adipic acid (lower right),
 300 respectively.

301

302 **Conclusion**

303 A pH-independent immediate release polymethacrylate formulation was created via a
304 chemical modification of the polymer (i.e. quaternisation of amine function) and via the
305 addition of dicarboxylic acids (succinic, glutaric and adipic acid). The presence of a positively
306 charged polymer and the low pH micro-environment during dissolution allowed the release of
307 IBP independent of the solvent pH (up to pH 5). However, the quaternization of the polymer
308 induced stability issues (recrystallization of API) and the formulations containing dicarboxylic
309 acids were classified as mechanically unstable. Hence, further research is needed to obtain a
310 pH-independent immediate release formulation containing EudrE and IBP.

311 **Declaration of Conflicts of interest**

312 The authors have no declaration of conflicts of interest

313 **Acknowledgment**

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315 Belgium) for their support with ¹H-NMR spectroscopy.

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