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Phase formation and texture of thin nickel germanides on Ge(001) and Ge(111)

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We studied the solid-phase reaction between a thin Ni film and a single crystal Ge(001) or Ge(111) substrate during a ramp anneal. The phase formation sequence was determined using *in situ* X-ray diffraction and *in situ* Rutherford backscattering spectrometry (RBS), while the nature and the texture of the phases were studied using X-ray pole figures and transmission electron microscopy. The phase sequence is characterized by the formation of a single transient phase before NiGe forms as the final and stable phase. X-ray pole figures were used to unambiguously identify the transient phase as the ϵ -phase, a non-stoichiometric Ni-rich germanide with a hexagonal crystal structure that can exist for Ge concentrations between 34% and 48% and which forms with a different epitaxial texture on both substrate orientations. The complementary information gained from both RBS and X-ray pole figure window on both substrate orientations. © 2016 AIP Publishing LLC.

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I. INTRODUCTION

In modern MOSFET (Metal-Oxide-Semiconductor Field Effect Transistor) devices, the limitations of classical dimensional downscaling force scientists and engineers to come up with innovative approaches in order to maintain the continuous improvement of device performance. This has resulted in many material innovations such as the use of strained silicon in the channel region of the MOSFET (e.g., by replacing Si by $Si_{1-x}Ge_x$ in the source and drain regions) and the replacement of the SiO₂/polycrystalline silicon gate by a high-k/metal gate. More recently, alternative channel materials like $Si_{1-x}Ge_x$ are being adopted in industry and research is now focussing on increasing the Ge content in these channels or even adopting pure Ge as a channel material, as both $Si_{1-x}Ge_x$ and pure Ge have much higher carrier mobilities compared to Si.^{1–5} In this context, thin film germanides (1-30 nm) appear as a natural candidate to act as contacting material for the germanium-rich source and drain regions.⁶

In 2006, Gaudet *et al.* identified NiGe as an ideal contacting material mainly because of its low resistivity ($\sim 22 \,\mu\Omega \,\mathrm{cm}$) and low nucleation temperature (around 350 °C at 1 °C/s).⁶ Similar to the case of widely used silicide contacts, the formation of such a NiGe contact proceeds through a solid-phase reaction between a thin Ni film and a Ge substrate upon annealing. This reaction has been studied by a number of groups on different types of Ge substrates such as amorphous Ge (a-Ge),^{7–10} polycrystalline Ge (poly-Ge),^{8,9} Ge(001)^{6,10} and Ge(111).^{10–12} It is generally accepted that the reaction sets off with the formation of a Ni-rich germanide. Afterwards, this Ni-rich phase is consumed by the growth of the NiGe end phase. In the literature, disagreement exists concerning the identification of the Ni-rich phase. Earlier studies report it as Ni₂Ge or Ni₃Ge₂^{11,12} while in the more recent reports, orthorhombic ϵ' -Ni₅Ge₃ is suggested.^{8,10} Furthermore, a temperature window was observed in which the Ni-rich and NiGe phases grow simultaneously on a-Ge, poly-Ge and Ge(001),^{8,10} while sequential growth was observed on Ge(111).¹⁰

In this study, we performed a detailed analysis of the phase formation sequence during a solid-phase reaction between a 30 nm Ni film and a single crystal Ge(001) or (111) substrate, with a focus on the nature of the Ni-rich phase, using *in situ* X-ray diffraction (XRD). By performing a detailed study on the texture of this phase at different temperatures, we are able to unambiguously identify it as the " ϵ -phase," a hexagonal Ni-rich germanide that can exist over a broad composition range and that is known to be stable only above 300 °C in the bulk Ni-Ge system. In addition, we used transmission electron microscopy (TEM) to assess the morphology of the interface during the reaction and Rutherford backscattering spectrometry (RBS) to study the kinetics during the reactions.

II. EXPERIMENTAL

Polycrystalline thin films of 30 nm Ni were deposited on both single crystalline Ge(100) and Ge(111) substrates using

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magnetron sputtering. The sputter chamber was pumped to a base pressure of 4×10^{-6} mbar prior to deposition and the argon pressure during deposition was fixed at 5×10^{-3} mbar.

To investigate the phase formation sequence, *in situ* XRD was performed at the X20C beamline of the National Synchrotron Lightsource (NSLS) at Brookhaven National Lab (BNL).^{6,10,13–15} The samples were subjected to an anneal from 100 to 900 °C at a rate of 1 °C/s in a high purity helium flow. During this anneal, the phase sequence was recorded through XRD using monochromatic X-rays with a wavelength of 1.797 Å. The diffraction pattern was recorded every second using a linear detector with a 2θ window of 14°, which is fixed at a certain 2θ angle. For both types of substrate, samples were measured twice with the detector first centered at 42° and then at 55° to fully cover 2θ values reaching from 35° to 62°, effectively targeting lattice planes with a *d*-spacing ranging from 1.745 to 2.988 Å.

As our *in situ* experiment is performed with a fixed $\theta/2\theta$ geometry, it accesses only a very small fraction of possible diffracting grains. Indeed, because the position of sample and detector remains fixed during the measurement, only those grains having the diffracting plane (nearly) parallel to the substrate surface will be visible in the resulting XRD pattern. As a result, strongly textured phases consisting of grains that have a specific lattice plane parallel to the substrate surface are possibly characterised by only a single peak or might not be visible at all in the XRD data (i.e., if the diffraction conditions for that plane are not fulfilled in the given fixed geometry).

In order to unambiguously identify the phases present at different stages of the solid-phase reaction and to study their texture, complete pole figures were measured on samples quenched at different stages of the reaction, both on Ge(001) and on Ge(111) substrates. These pole figures were recorded at the X20A beamline of the NSLS synchrotron using a high-range linear Si-strip detector which effectively collects 640 pole figures in a single measurement for a 2θ range from 20° to 60° ($\lambda = 1.54$ Å). The pole figures were measured using a 1° stepsize in χ (sample tilting) and an adaptive stepsize in ϕ (sample rotation) in order to obtain a uniform pole figure coverage. Accounting for the substrate symmetry, ϕ was measured between 0° and 90° for the Ge(001), and between 0° and 90° in both cases.

Next to the X-ray diffraction techniques used to identify the crystal structure of the different phases in the sequence, *in situ* RBS was used to complement these results with elemental depth information. In thin film research, RBS is a powerful and well-established technique as it provides quantitative information on elemental composition and depth. Applying this technique *in situ* during an anneal allows one to accurately probe the atomic diffusion process and the thickness evolution of the growing and shrinking phases during the phase formation sequence that results from the heat treatment.^{16–18} The *in situ* RBS measurements were performed in a vacuum chamber with a pressure below 10^{-7} mbar using a two-stage ramp anneal. First, a fast ramp rate of 20 °C/min to about 110 °C was used to reduce the measuring time prior to the onset of the solid-phase reaction. Next, the reaction itself was monitored at a slower ramp rate of 2 °C/min from 110 °C until the film had completely transformed in NiGe, i.e., 350 °C on Ge(001) and 437 °C on Ge(111). During the annealing, RBS spectra were collected using a 2 MeV 4 He $^{+}$ beam with a beam current of 38 nA. Backscattered ions were detected using two detectors placed at scattering angles of 150° and 165° (to optimize depth and mass resolution) and the sample normal was tilted 40° with respect to the incoming ion beam to enhance the depth resolution. RBS spectra were acquired every 30s during the annealing. To obtain sufficient statistics, the spectra are summed in groups of 4 for analysis, resulting in one spectrum every 2 min and a temperature resolution of 4 °C. In order to optimize the depth resolution and the statistics in the in situ RBS measurements, samples were used with a Ni thickness of 60 nm.

Finally, TEM imaging was performed on cross-sections of the interface between the Ge substrate and the Ni film for selected quenches in order to assess the interface morphology. Furthermore, Fourier transforms of high resolution TEM images acquired on the same quenches enable us to complement the phase identification results from X-ray diffraction analysis on a microscopic level. The TEM results were all acquired using an FEI Tecnai G2 electron microscope, operating at 200 kV on samples that were prepared by ion milling.

III. RESULTS AND DISCUSSION

A. Phase formation and texture

To study the phase formation sequence for a 30 nm Ni film deposited on either a Ge(001) or Ge(111) oriented substrate, in situ XRD was performed. Figures 1(a) and 1(b) show in situ XRD spectra for both substrate orientations obtained using the standard diffraction geometry, but with the sample tilted in such a way that its normal is placed at an angle of 4° with respect to the scattering plane to spare the detector from intense substrate diffraction peaks, a standard procedure on the X20C beamline. Our results are very similar to those obtained by Gaudet et al. in 2006¹⁰ using the same experimental setup. According to these measurements, the reaction commences with the formation of a transient Nirich germanide around 200 °C characterized by a single diffraction peak around 37° on Ge(001) or around 42° on Ge(111). For both substrate orientations, NiGe forms as the final phase and is visible until it melts around 765 °C.

As no Ge diffraction peaks are expected within the 2θ window used for the *in situ* XRD measurements displayed in Figure 1, we also performed the same *in situ* XRD experiments without the 4° tilt in χ . The results are shown in Figures 1(c) and 1(d) and reveal a very different picture of the phase formation sequence, especially on the Ge(111) substrate. In both cases, the diffraction peak resulting from the Ni-rich phase is much more pronounced. On the Ge(111) substrate, this phase does not disappear before the formation of NiGe, as suggested by the results from the tilted sample. This significantly different peak intensity depending on the tilt in χ indicates that the family of planes that contribute to this diffraction peak all have their plane normal pointing in



FIG. 1. *In situ* XRD spectra recorded during annealing of a 30 nm Ni film at 1 °C/s on Ge(001) (left column, (a) and (c)) and Ge(111) (right column, (b) and (d)). The spectra in the top row were collected with the sample tilted over 4° in χ . The bottom row spectra were collected on samples having 0° tilt. The dashed lines indicate the temperatures where quenches were made for detailed pole figure and TEM measurements.

the same direction. As such, this observation suggests a highly textured Ni-rich phase, possibly with an epitaxial alignment.

1. Ni-rich phase identification

As mentioned in the Introduction, no clear consensus exists in the literature concerning the nature of the Ni-rich germanide. In the most recent study performed by Gaudet et al. on single crystal Ge substrates, the transient phase was cautiously identified as *monoclinic* ϵ' -Ni₅Ge₃¹⁹ based on the weak diffraction peaks observed in the in situ XRD measurements visible in the top row of Figure 1 (marked with "??"). Indeed, the peak around 37° on the Ge(001) substrate might be caused by either ϵ' -Ni₅Ge₃ (221), (312), or (021) and the peak around 42° on the Ge(111) substrate by ϵ' -Ni₅Ge₃ (002). However, we recently observed that annealing mixed layers of Ni with 36%-48% Ge deposited on a Ge(001) substrate results in the formation of a metastable, hexagonal germanide.²⁰ According to the bulk Ni-Ge binary phase diagram,²¹ three nonstoichiometric hexagonal nickel germanides exist above 300 °C in the Ge concentration range between 35% and 44%, i.e., ϵ -Ni₅Ge₃, Ni₁₉Ge₁₂, and Ni₃Ge₂. Due to the similar crystal structure and non-stoichiometric nature of these phases, they can be regarded as a single phase²⁰⁻²² which we will refer to as the " ϵ -phase." As this phase is not expected to exist below its minimum stability temperature of ± 300 °C, it was not considered as a possible candidate for the transient phase in previous studies. However, the diffraction peaks around 37° and 42° in the *in situ* XRD measurements can perfectly be ascribed to the (101) and the (002) diffraction peaks of the ϵ -phase.

In order to unambiguously identify this Ni-rich phase, we performed X-ray pole figure measurements on samples quenched at different temperatures (indicated by the vertical dashed lines in Figure 1) during the phase formation sequence. Figure 2 shows pole figures recorded on samples quenched at 240 °C on Ge(001) (Figures 2(b), 2(d), 2(f)) and at 260 °C on Ge(111) (Figures 2(a), 2(c), 2(e)), i.e., at temperatures where only the peak of the Ni-rich phase is visible in the in situ XRD measurements. The pole figures in the top row are obtained at $2\theta = 31.73^{\circ}$ (d = 2.82 Å), those in the second row at $2\theta = 44.62^{\circ}$ (d = 2.03 Å). These angles correspond to the Bragg diffraction condition for the ϵ -phase (101) and (102) planes respectively. Clear diffraction spots can be observed at both angles and on both substrates, suggesting an epitaxially textured phase being present. We also observed diffraction spots on pole figures corresponding to the other diffracting planes of the ϵ -phase within the 2θ window covered by the linear detector, while no diffraction features were observed on pole figures for other 2θ values. As the diffraction spots observed on the pole figures can indeed be fitted with an epitaxially textured ϵ -phase (see Section III A 2 for more details on this epitaxy) and not with any of the other known nickel germanides, we are confident in identifying the observed Ni-rich phase as the hexagonal ϵ -phase. Furthermore, high-resolution TEM diffraction experiments²³ have confirmed the presence of ϵ -phase grains in samples quenched at 240 and 280 °C on Ge(001) and at 260, 330, and 345 °C on Ge(111).

The formation of the hexagonal ϵ -phase at a temperature below its thermodynamically stable temperature window (as defined in the bulk Ni-Ge phase diagram) is similar to what has been observed for a thin Ni film on single crystal silicon substrates. First, the formation of epitaxially textured θ -nickel-silicide was observed when annealing mixed Ni(x%Si) films with $37 \le x \le 42\%$ deposited on Si(001) and Si(111).^{24,25} Later, Gaudet *et al.* observed the formation of this phase during the reaction of pure Ni films on Si(001), where it forms as a transient phase with a fiber texture before



FIG. 2. Pole figures recorded at $2\theta = 31.73^{\circ}$ (d = 2.82Å) (a), (b) and $2\theta = 44.62^{\circ}$ (d = 2.03Å) (c)–(f) on both substrate types. The first diffraction angle corresponds to the $\epsilon(10\bar{1}1)$ plane, the second to $\epsilon(10\bar{1}2)$. On figures (a), (b), (c), and (d), the calculated locations of diffraction spots for the epitaxial components listed in Table I are overlaid on the pole figures. The symbol used for each component can be found in Table I. Calculated axiotaxy lines for the hypothetical $\epsilon(2\bar{1}\bar{1}0)//Ge\{110\}$ axiotaxy are overlaid on pole figures (e) and (f).

NiSi formation.^{26,27} On Si(111), it was only observed in the as-deposited film with an epitaxial texture.²⁷ It is interesting to note that the θ -phase in the Ni-Si system and the ϵ -phase in the Ni-Ge system have a similar hexagonal crystal structure (spacegroup P6₃/mmc) and can both exist within a certain concentration window.^{20,24,28}

2. e-Phase texture

Next to the identification of the ϵ -phase, the pole figure measurements also allow for an investigation of its texture. On both substrate types, the analysis of the pole figures revealed the presence of two epitaxial texture components. A summary of the geometrical relations defining these components along with the symbol used in Figure 2 to mark the expected peak locations for these grain orientations is provided in Table I.

a. ϵ -Phase on Ge(111). On Ge(111), the texture of the ϵ -phase is characterized by two epitaxial components. The

TABLE I. Overview of the different ϵ -phase epitaxial texture components that have been identified in 30 nm Ni films on Ge(001) or Ge(111), quenched at 240 and 260 °C, respectively. For each component, a label and the symbol used to mark the locations of diffraction spots for that component in Figure 2 are given.

Ge(111) substrate					
Label	Relations	Symbol			
$A_{111} \\ B_{111}$	ϵ (0001)//Ge(111), ϵ (2 $\bar{1}\bar{1}0$)//Ge(01 $\bar{1}$) ϵ (02 $\bar{2}1$)//Ge(111), ϵ (2 $\bar{1}\bar{1}0$)//Ge(01 $\bar{1}$)	□ 0			
	Ge(001) substrate				
Label	Relations	Symbol			
A_{001} B_{001}	$ \begin{array}{l} \epsilon(0001) // \text{Ge}(111), \epsilon(2\bar{1}\bar{1}0) // \text{Ge}(01\bar{1}), \epsilon(01\bar{1}1) \sim // \text{Ge}(001) \\ \epsilon(01\bar{1}\bar{2}) // \text{Ge}(111), \epsilon(2\bar{1}\bar{1}0) // \text{Ge}(\bar{1}10), \epsilon(01\bar{1}4) \sim // \text{Ge}(001) \end{array} $	□ 0			

highest intensity spots on the pole figures correspond to orientation A_{111} (" \Box " symbols on Figures 2(a) and 2(c)), for which the hexagonal basal plane of the ϵ -phase is parallel to the substrate, while the $\epsilon(2\overline{1}\overline{1}0)$ plane is parallel to a $Ge\{1\overline{1}0\}$ plane. This preferential alignment of the film's basal plane with the substrate (111) plane can be understood if we compare the positions of the Ge atoms in the Ge(111)and the $\epsilon(0001)$ planes. Figure 3(a) shows the topmost layer of atoms in the Ge(111) substrate. Half of the atoms are located slightly below the surface and are bonded to the Ge atoms below (white atoms in Figure 3(a)), while the other half lay at the surface (black atoms in Figure 3(a)) and have a dangling bond perpendicular to the Ge(111) interface. These topmost (black) Ge atoms are characterized by an interatomic distance of 4.00 Å and display a hexagonal symmetry. In the $\epsilon(0001)$ plane (Figure 3(b)), the Ge atoms are located at the vertices of triangles, effectively creating the same hexagonal symmetry as the topmost Ge atoms in the



FIG. 3. (a) Ge atoms in the Ge(111) interface plane. Black ones are located at the interface and have a dangling bond. White ones are located slightly below the interface. (b) Ge atoms in the $\epsilon(0001)$ basal plane. (c) Alignment across the interface of the Ge(01 $\overline{1}$) and the $\epsilon(2\overline{1}\overline{1}0)$ planes, which is observed in both the A_{111} and B_{111} epitaxial components.

Ge(111) plane. The Ge atoms in the ϵ (0001) plane have a dangling bond perpendicular to the plane of the triangles. Due to the large concentration window over which the ϵ -phase can exist, the inter-atomic distance between the Ge atoms in the ϵ (0001) plane varies between 3.963 and 3.834 Å for a Ge concentration of 35% and 44%, respectively.²¹ As will be discussed in detail later, RBS measurements suggest that at the early stages of the reaction, the Ge concentration in the ϵ -phase is around 35%–37%. At these Ge concentrations, the interatomic distance between the Ge atoms in the ϵ (0001) plane is about 3.963 Å.²¹ This ϵ (0001) plane thus has a nearly perfect fit on the Ge(111) substrate (mismatch ~0.9%) which saturates the dangling bonds and leads to a low energy interface.

Next to the A_{111} orientation which explains the highest intensity spots, there are some less intense spots visible on the pole figures for the ϵ -phase formed on Ge(111) (see Figure 2(a), 2(c)) that cannot be explained by this orientation. These features can be explained by a second epitaxial texture component, labeled B_{111} , for which the $\epsilon(0221)$ plane is parallel to the Ge(111) interface and an ϵ {2110} is parallel to a Ge{110} plane. When comparing the B_{111} to the A_{111} orientation discussed above, it is observed that both orientations have in common that an $\epsilon \{2\overline{1}\overline{1}0\}$ plane is parallel to a $Ge\{110\}$ plane. As the difference in d-spacing between an $\epsilon(2\overline{1}\overline{1}0)$ and a Ge{220} plane is only 0.33% (ϵ -phase at its lowest Ge concentration), this results in a (near) alignment of these planes across the interface. Such an alignment of lattice planes from film and substrate across the interface is also observed in the case of an axiotaxy texture.²⁹ The geometry of this alignment is the same for both components and is sketched in Figure 3(c), from which it can be observed that both planes are aligned perpendicular to the interface. For the A_{111} orientation, the alignment assures the nice overlap between the hexagonal arrangements of the Ge atoms in the Ge(111) and the ϵ (0001) planes at the interface. This can be seen in Figures 3(a) and 3(b), where the intersection of the interface plane with the $Ge(01\overline{1})$ (Figure 3(a)) and the $\epsilon(2110)$ planes (Figure 3(b)) is indicated by the vertical dashed lines. For the B_{111} orientation, the alignment of an $\epsilon{2\overline{1}\overline{1}0}$ with a Ge $\{1\overline{1}0\}$ plane also results in a reasonable overlap between the Ge atoms of the Ge(111) plane and the $\epsilon(0221)$ at the interface. Figure 4 shows a top view of this interface structure where the Ge atoms from the $\epsilon(02\overline{2}1)$ plane and the Ge(111) plane near the interface are indicated with open and filled circles, respectively.

The fact that the two observed epitaxial texture components A_{111} and B_{111} share the alignment of the aforementioned planes is visually clear if we plot the axiotaxy lines that would result from a hypothetical $\epsilon(2\bar{1}\bar{1}0)//\text{Ge}\{110\}$ axiotaxy on the pole figure (see Figure 2(e)). All the observed diffraction spots resulting from the ϵ -phase are situated along these lines. In fact, the A_{111} orientation can be transformed into the B_{111} orientation through a rotation over 71.5° around the normal to a Ge{110} plane. However, an axiotaxy texture component resulting from the observed alignment does not seem to be present here, as it is not visible in the pole figures in Figure 2. This suggests that the interfaces created by the two observed epitaxial grain



FIG. 4. Top view of the interface structure for the B_{111} orientation. The Ge atoms in the $\epsilon(02\bar{2}1)$ and the Ge(111) planes at the interface are indicated with open and filled circles respectively. The intersections of the aligned Ge(01 $\bar{1}$) and $\epsilon(2\bar{1}\bar{1}0)$ planes with the interface plane are indicated by the dashed lines.

orientations are very stable, as all grains are oriented according to either the A_{111} or the B_{111} epitaxial component.

b. ϵ -Phase on Ge(001). Similar to the case on Ge(111), the texture of the ϵ -phase formed from a 30 nm Ni film on Ge(001) is characterized by two epitaxial components (see Table I). The A_{001} orientation is identical to the A_{111} orientation observed on the Ge(111) substrate. In this case however, the $\epsilon(0001)$ basal plane and a Ge{111} plane are not parallel to the substrate-film interface, but they form an angle of 54.74° with the interface. Based on the alignment of the $\epsilon(0001)$ and Ge{111} planes, one could also expect faceting to occur, a phenomenon where pyramidal structures are observed at the interface. The formation of such pyramidal structures would be driven by the formation of a matching interface between $\epsilon(0001)$ and Ge{111} as discussed in Figure 3 at the sides of the pyramids. As will be discussed in more detail in Section III B, such a pronounced faceting was not observed here. A side-on view of the Ge atoms in the vicinity of the interface for the A_{001} orientation is plotted in Figure 5. The Ge{111} and ϵ -phase{0001} planes are shown, intersecting the interface. Because the Ge atoms in these planes have nearly identical interatomic spacing, dangling bonds at the interface will be saturated wherever these planes meet. From Figure 5, it is clear that about one in three of the Ge{111} planes meet an ϵ {0001} plane at the interface. This alignment is very similar to what has been observed previously for the θ -nickel-silicide phase formed from a Ni(40%Si) film deposited on Si(001).²⁴

Similar to what was observed on Ge(111), both observed epitaxies on Ge(001) are characterized by the alignment of an ϵ {2110} with a Ge{110} plane, both perpendicular to the interface in both orientations. In Figure 2(f), this shared



FIG. 5. Cross-sectional view of the alignment across the interface of the $\epsilon(0001)$ and Ge(111) planes for the A_{001} orientation. The view direction is perpendicular to the Ge($0\overline{1}1$) plane. Ge atoms in the ϵ -phase and the Ge substrate are plotted using open and filled circles respectively.

alignment is visualized by overlaying the axiotaxy lines for a hypothetical $\epsilon(2\overline{1}\overline{1}0)//\text{Ge}\{110\}$ axiotaxy on the pole figure. An actual axiotaxy texture component resulting from the alignment was not observed.

3. Phase formation sequence

As the information regarding the phase formation sequence gained from the in situ XRD results is influenced by the texture of the different phases, a more detailed investigation was done by performing pole figure measurements on samples quenched at different temperatures during the heat treatment on both substrate types. The temperatures of the different quenches are indicated on the in situ XRD spectra in Figure 1. In Figure 6, we show pole figures collected on the different quenched samples at $2\theta = 44.5^{\circ}$ (d = 2.03 Å), as one can expect to see diffraction features of planes from the three phases that occur during the phase formation sequence—Ni(111) $(d = 2.034 \text{ Å}), \epsilon(10\overline{1}2) (d = 2.028 \text{ Å}),$ and NiGe(211) (d=2.049 Å)—at this diffraction angle. It must be noted that a contribution from the $Ge\{220\}$ (d = 2.00 Å) substrate planes is also expected, resulting in intense diffraction peaks on the displayed pole figures at $\chi = 45^{\circ}, \ \phi = 45^{\circ}, \ 135^{\circ}, \ 225^{\circ}, \ 315^{\circ} \ and \ at \ \chi = 90^{\circ}, \ \phi = 0^{\circ},$ 90° , 180° , 270° for the Ge(001) substrate. For the Ge(111) substrate, Ge{220} contributions are visible at $\chi = 35.26^{\circ}$, $\phi = 0^{\circ}$, 120°, 240°. In Figure 6, one of each set of these peaks is indicated on the as deposited pole figure for both substrate types.

Both on Ge(001) and on Ge(111), the phase formation sequence was determined by combining the *in situ* XRD results with the pole figure data collected at the different quenching temperatures. At each temperature, we identified the phases present in the system through the characteristic set of diffraction features on the pole figure for each phase. For the ϵ -phase, these characteristic features resulting from diffraction of the $\epsilon(10\overline{1}2)$ plane were discussed in Section III A 2 (see Figure 2). For NiGe, the characteristic diffraction features can be observed in the pole figures quenched at the highest temperature on both substrate types, i.e., $500 \degree C$ on Ge(001) and $600\degree C$ on Ge(111), as only NiGe is present at those temperatures. As our pole figure measurements reveal the same texture for the NiGe phase as observed and discussed by Gaudet *et al.*, the reader is referred to Ref. 10 for more details.

Summaries of the phases that are present according to the pole figure and *in situ* XRD data at the different quenching temperatures during a ramp anneal at $3 \degree$ C/s are provided in Tables II and III for the reaction on Ge(001) and Ge(111), respectively. A more detailed discussion on the formation sequence observed on both substrate orientations is given below.

a. 30 nm Ni on Ge(001). On Ge(001), no diffraction features resulting from the deposited Ni layer were observed on the pole figure acquired on the as deposited sample, while the Ni(111) and (002) peaks were observed in an *in situ* XRD measurement at a higher 2θ window.²³ This indicates a polycrystalline Ni film with a random texture being present after deposition. The Ni peaks remain visible in the *in situ* XRD data up until a temperature of 280 °C.

The most important observation from the pole figure acquired on the as deposited sample is the presence of (weak) diffraction spots characteristic for the ϵ -phase at $\gamma = 78.4^{\circ}$ (see top left pole figure of Figure 6), indicating that this phase already forms during deposition of the Ni film. This is similar to what has been observed by Gaudet et al. in as-deposited Ni films on Si(111), where a very thin epitaxially aligned θ -nickel-silicide layer is present at the interface.²⁷ In contrast to the θ phase observed for asdeposited Ni films on Si(111), which transforms immediately into δ -Ni₂Si upon heating, the ϵ -phase here remains present as the sole phase until it is consumed by NiGe. In our recent study on phase formation in co-deposited Ni(x%Ge) films on SiO_2 and Ge(001) substrates,²⁰ we also observed the metastable formation of this ϵ -phase in films with a Ge concentration between 36% and 48%. Furthermore, in the Ge concentration window between 36% and 44%, the ϵ -phase was observed to have formed during deposition of the mixed Ni(x%Ge) layer on both SiO₂ and Ge(001) substrates. As it is generally accepted that a very thin intermixed Ni-Ge (or Ni-Si) layer forms during deposition of Ni on a Ge (or Si) substrate, the as-deposited ϵ -phase nucleation observed in this study can be intuitively understood from the previous results if we assume that the thin intermixed Ni-Ge film is characterized by a Ge concentration between 36% and 44%. However, the exact physical reasons as to why the ϵ -phase nucleates that easily under these conditions are still an open question.

On the pole figure for the sample quenched at 240 °C, NiGe{211} diffraction spots become weakly visible near the edge (see Figure 6). The formation of this phase is also visible in the *in situ* XRD measurements through the emergence of the NiGe(111)/(210) diffraction peak around the same temperature. The ϵ -phase and NiGe both remain present up to a temperature of 335 °C, when the ϵ -phase is completely



FIG. 6. Selection of pole figures $(2\theta = 44.5^\circ; d = 2.03 \text{ Å})$ recorded on samples quenched at different temperatures on both substrate orientations.

consumed by the growing NiGe phase which then remains the stable end phase until it melts around $780 \,^{\circ}$ C. According to the pole figure recorded on the sample quenched at $500 \,^{\circ}$ C (where NiGe is the only phase present), the NiGe phase is characterized by a complex texture. As was mentioned

TABLE	II.	Identified	phases	in	the	pole	figures	recorded	on	samples
quenchee	d at	the temper	atures in	ndic	ated	in the	first col	umn on Ge	e(00	1).

Temperature (°C) Identified phases As deposited Ni, ϵ -phase 210 Ni, ϵ -phase 240 Ni, ϵ -phase, NiGe 270 Ni, ϵ -phase, NiGe 280 Ni, ϵ -phase, NiGe 290 ϵ -phase, NiGe 310 ϵ -phase, NiGe NiGe 500

before, the texture of this phase on Ge(001) was studied in detail by Gaudet *et al.*¹⁰ and was found to be a combination of five different epitaxial components and three very weak axiotaxy components for which either the NiGe(121), (220), or (211) plane is aligned with a Ge{110} plane.

TABLE	III.	Identified	phases	in	the	pole	figures	recorded	on	samples
quenchee	d at 1	the tempera	atures in	dica	ated	in the	first col	umn on Ge	e(11	1).

Temperature (°C)	Identified phases			
As deposited	Ni, ϵ -phase			
210	Ni, ϵ -phase			
260	Ni, ϵ -phase			
310	Ni, ϵ -phase, NiGe			
330	ϵ -phase, NiGe			
345	ϵ -phase, NiGe			
450	ϵ -phase, NiGe			
600	NiGe			

b. 30 nm Ni on Ge(111). On Ge(111), no diffraction peaks from the as-deposited Ni film were observed at the expected diffraction angles for the Ni(111) and (002) peaks (at $2\theta = 52.4^{\circ}$ and 61.3°),²³ which is indicative of a textured Ni film after deposition. Indeed, the pole figure collected on the as-deposited sample suggests that the Ni film is deposited with a broad Ni(110) fiber texture (i.e., the Ni(110) plane is parallel to the interface), which is characterized by the broad ring visible around $\chi = 35^{\circ}$ (see Figure 6, pole figure on asdeposited Ge(111) sample), resulting from the Ni{111} planes that have a 35.26° angle with respect to the Ni(110) plane. Furthermore, it can be observed that the diffracted intensity is not uniformly distributed around the fiber ring, but is concentrated in broad lobe-like features. Deeper analysis suggests that the rotation of the different Ni grains around the substrate normal is not uniformly distributed, but that there is a tendency for the Ni grains to align their $(1\overline{1}1)$ or $(1\overline{1}\overline{1})$ plane with the Ge $(1\overline{1}0)$. As these planes are all perpendicular to the Ge(111) interface plane and the difference in *d*-spacing between these Ni planes and the $Ge(2\overline{2}0)$ plane is only 0.19% $(d_{Ni\{1\bar{1}1\}} = 1.992 \text{ Å}; d_{Ge\{2\bar{2}0\}} = 1.988 \text{ Å})$, this should indeed result in a better match at the interface.

Next to the textured Ni film, the pole figure collected on the as-deposited sample (see Figure 6) again shows the presence of the ϵ -phase, similar to what was observed on Ge(001). The presence of this phase is evidenced by the faint diffraction spots around $\chi = 35^{\circ}$, characteristic for the A_{111} epitaxy (see Figure 2(c)). Thus, the initial ϵ -phase nuclei that form during the deposition of the Ni film have their (0001) basal plane parallel to the Ge(111) interface plane, resulting in the excellent match at the interface as discussed above (see Figure 3). The ϵ -phase then grows at the expense of Ni as the temperature is ramped. On the 210 °C pole figure, one can observe diffraction features of the B_{111} epitaxy as well. However, because the absence of an $\epsilon(02\overline{2}1)$ diffraction peak in the in situ XRD measurements and the limited number of temperatures where pole figures were collected, it is hard to pinpoint the exact temperature at which grains with this orientation start to form.

Weak diffraction spots characteristic for the NiGe phase are first observed in the pole figure recorded on the sample quenched at 310 °C. In the *in situ* XRD measurements, the formation of this phase is characterized by the NiGe(111)/ (210) and NiGe(020) peak at $2\theta = 41^{\circ}$ and $2\theta = 39^{\circ}$, respectively. Up until a temperature of ~500 °C, both NiGe and the ϵ -phase are visible both in the *in situ* XRD and in the pole figure measurements. At that point, the ϵ -phase has been completely consumed by the growing NiGe, which remains present until it melts around 780 °C. Gaudet *et al.* discussed in detail the texture of this NiGe phase formed on Ge(111), which is a combination of two strong fiber components (either a NiGe{010} or {101} plane parallel to the interface), no less than eight epitaxial components and the same, weak axiotaxy components that were also observed on Ge(001).¹⁰

Compared to the results on the Ge(001) substrate discussed above, the temperature window over which the ϵ -phase is present is extended by more than 150 °C. As the interface with the lowest lattice mismatch between the ϵ -phase and the Ge substrate is obtained for the A_{111} orientation on Ge(111), this will likely result in the lowest interface energy. The extended stability window of the ϵ -phase on the Ge(111) substrate thus suggests that the interface energy plays an important role in the stabilization of this phase. The low energy interface makes the nucleation of the NiGe phase more difficult, which leads to the elevated NiGe formation temperature on the Ge(111) substrate. This is similar to what has been observed for CoGe₂ formation on Ge(111), on which the epitaxial orientation of the preceding Co₅Ge₇ phase results in an elevated CoGe₂ formation temperature compared to a Ge(001) substrate, where the epitaxial Co₅Ge₇ orientation is less pronounced.¹⁵

B. Film structure

Figure 7 shows three TEM cross-section of the interface on samples that were used for the pole figure measurements quenched at 280 °C on Ge(001) (Figure 7(a)) and 330 °C on Ge(111) (Figure 7(b)), as well as on the as-deposited Ge(111) sample (Figure 7(c)). On both substrates, the layered growth typical for solid-phase silicidation/germanidation reactions^{9,30,31} is visible. Taking into account the phases identified based on the X-ray pole figure measurements, a corroborative identification was performed using an HR-TEM Fourier



FIG. 7. Cross-section HAADF-STEM (a) and BF-TEM (b) and (c) images for Ni films deposited on both Ge(001) (a) and (111) (b), (c) substrates and quenched at different temperatures (indicated on the figures).

transform study. For all quenches, the same phases were identified using these two techniques.

For the sample on Ge(001) quenched at 280 °C, two distinct layers are clearly visible (Figure 7(a)). The two phases were identified as the ϵ -phase and NiGe, which corresponds to what is expected from the XRD results. Indeed, when looking at the *in situ* XRD spectra on Ge(001) (Figure 1(c)), both the $\epsilon(10\overline{1}1)$ and NiGe(111)/(210) peaks are visible at that temperature. At this stage of the reaction, the NiGe phase is growing beneath the preceding ϵ -phase by consuming it. The HAADF-STEM cross-section also reveals a quite rough interface between the NiGe layer and the Ge(001) substrate. A similarly rough interface was observed by Nemouchi et al.9 for a NiGe layer formed by an isothermal anneal at 180 °C during 110 min on an amorphous Ge substrate, but an explanation for this interface roughening was not provided. In our case, we believe that the texture of the preceding ϵ -phase can at least partly explain this rough interface. The alignment and the high quality match between the $\epsilon(0001)$ and Ge(111) planes, which form an angle of 54.74° with the interface, can result in some degree of faceting through the formation of pyramid-like structures at the interface, much like what is observed for epitaxial NiSi2 on Si(001).³² We also observed a similar faceting with the θ -nickel-silicide formed from a Ni(40%Si) film on Si(001), which displays a similar texture as the ϵ -phase.^{24,33} However, cross-sectional images on a sample quenched at lower temperatures on Ge(001) are needed to support this hypothesis.

The sample quenched at 330 °C on the Ge(111) substrate shows a much flatter interface. Again, both a thin, growing NiGe can be observed that consumes the ϵ -phase layer above. Since on this substrate orientation the ϵ -phase(0001) has a nearly perfect match with the Ge(111) plane which is now parallel to the interface, no faceting is expected to occur. This results in a flat ϵ -phase/Ge interface, which we have observed in BF-TEM cross-sections on samples quenched at lower temperatures.²³ The NiGe phase can then nucleate at a flat interface, resulting in the observed, flat NiGe/Ge(111) interface.

In Figure 7(c), we included a BF-TEM cross-section of an as-deposited sample on Ge(111). The pure Ni layer is clearly visible, together with a ~20 nm thick layer containing both Ni and Ge in contact with the Ge(111) substrate. The formation of an intermixed layer with such a thickness is quite surprising, as for metals deposited on Si, the intermixed interface layer is typically only a few nanometers thick.³⁴ In this layer, several ϵ -phase grains were detected using high resolution TEM imaging, all at the interface with the Ge substrate. The fact that we only observed very weak ϵ -phase diffraction features in the pole figure collected on this sample (see Figure 6) suggests that a large fraction of this layer is still amorphous.

C. Kinetics

Details on the kinetics during the phase formation sequence were investigated using *in situ* RBS, a technique that yields quantitative elemental depth maps as a function of temperature. These maps are displayed as a contour plot by merging the successive RBS spectra collected during the ramp anneal in Figures 8(a) and 8(b) for the reaction between a 60 nm Ni film and a Ge(001) or (111) substrate, respectively. To allow for a good comparison between both substrates, the temperature axes are drawn with the same scale, although no RBS spectra were collected on the (001) substrate above 340 °C because the reaction had already finished. The scattering energies for Ni and Ge atoms located at the sample surface are marked along with an indication of the expected backscattering energy for a Ni or Ge atom located at 60 nm below the surface (i.e., the thickness of the deposited Ni film). Before these results are discussed, two important points have to be considered. First, due to the smaller mass of Ni compared to Ge, the signals of Ni and Ge overlap. As the Ni surface energy is only slightly smaller than that of Ge, the Ni peak is superimposed on the plateau resulting from the "infinitely" thick Ge substrate. Therefore, phase transformations are harder to distinguish from these raw data compared to a case where the signals are separated (e.g., for Ni on Si^{16,18,35}) and the individual spectra are much more difficult to analyse. Second, the ramp rate used in these experiments (2°C/min) is much slower than the one used for the *in situ* XRD measurements (1 °C/s). Therefore, formation temperatures obtained with in situ RBS are not directly comparable to those obtained from in situ XRD due to the different thermal budget applied at a specific temperature.

From the RBS maps in Figure 8, it is clear that the reaction on the Ge(001) substrate proceeds much faster than on



FIG. 8. *In situ* RBS measurements of a 60 nm Ni film deposited on (a) Ge(001) and (b) Ge(111), performed during an anneal at 2 °C/min. An indicative depth scale is provided for both Ni and Ge.

the Ge(111) substrate. In both cases, the reaction sets off around 200 °C, indicated by the concentration drop in the Ni peak and the bending of the contour lines of both the Ni peak and the Ge shoulder. On Ge(001), the reaction seems to be completed around 280°C, while on the Ge(111) substrate, the bending of the contour lines only stops around 350-400 °C. This confirms the XRD-based observations discussed above, where a difference of more than 150 °C was observed between both substrate orientations for the temperature at which NiGe has completely consumed the ϵ -phase. We also note that a previous study conducted by Comrie et al. showed that Ni is the sole diffusing species during formation of the Ni-rich phase. During the growth of NiGe, the early stages are dominated by Ge diffusion, while Ni becomes the dominating diffusing species at the later stages of the growth.³⁶

In order to study the kinetics of the solid-phase reaction from the *in situ* RBS results, a 3-layer model was fitted to all individual RBS spectra using an *artificial neural network* (ANN), a technique which allows for the quasi-instantaneous analysis of a huge set of RBS spectra without deteriorating the quantitative accuracy.³⁷ The output of the ANN analysis was subsequently refined using the NDF code.³⁸ Based on the phases that were identified by XRD, as discussed above, the spectra were fitted using a pure Ni layer, a Ni-rich Ni_xGe_y layer with a variable Ni:Ge concentration (as a model for the ϵ -phase), and a NiGe layer with a fixed Ni:Ge ratio of 1:1. The fits were validated by comparing simulated RBS spectra generated by using the results of the ANN with the raw RBS spectra at each temperature.

Figure 9 shows the thickness evolution of the various fitted phases as a function of temperature (using the same temperature scale on the x-axis) on both the Ge(001) substrate (a) and Ge(111) substrate (b). This thickness is expressed in the number of Ni atoms in each phase (at/cm^2) as this allows us to normalize the layer thicknesses in such a way that the sum of the individual layer thicknesses (i.e., the total Ni content in the entire system) is constant. In this way, the proportion of the formed layers becomes clear and the consistency of the values is validated, as the total sum of Ni atoms across all layers should remain constant. As can be observed in both figures, there is quite some noise on the fitted thicknesses, in particular, for low values ($<100 \text{ at/cm}^2$). This is due to the overlapping Ni and Ge signals in the RBS spectra, which impedes the fitting procedure when the layers are very thin (i.e., at the initial formation stage). Therefore, absolute values of the fitted thickness below 150 at/cm² should not be given too much attention. Nevertheless, these fitted thickness evolutions give us some valuable qualitative insights on the phase formation sequence.

On both substrate orientations, the growth of the ϵ -phase sets off around 200 °C. However, from the *in situ* XRD results, we observed the presence of the ϵ -phase in the asdeposited films. This suggests that at lower temperatures there is only limited growth of this phase. As the ϵ -phase layer is thin at these temperatures compared to the total thickness of the deposited film (60 nm for the RBS samples), it is hard to reliably fit its thickness in the RBS spectra. A possible explanation for this limited growth below 200 °C



FIG. 9. Thickness evolution of the different phases during the ramp anneal of a 60 nm Ni film at 2° C/min on (a) Ge(001) and (b) Ge(111). The thicknesses are fitted from the *in situ* RBS data using an artificial neural network (ANN) approach and are expressed in Ni atoms/cm² for normalization purposes.

can be the as-deposited formation of only a very thin crystalline ϵ -phase layer in contact with the interface within the intermixed layer. The vertical growth of this crystalline layer could then be slow as it depends on diffusion through this intermixed layer. The extended temperature window over which the presence of the ϵ -phase was detected in the XRD measurements is also visible in the RBS results. While the ϵ -phase is completely consumed around 260 °C on Ge(001), it remains present up until almost 400 °C on Ge(111).

According to the RBS results on the Ge(001) substrate (Figure 9(a)), the NiGe phase starts growing around 230 °C. At that time, the Ni is not yet fully consumed by the ϵ -phase, which is still growing, indicating that both phases grow simultaneously until the Ni is completely consumed by the ϵ -phase around 260 °C. This simultaneous growth of the ϵ -phase and NiGe has also been observed by Gaudet *et al.* for a 30 nm Ni film on Ge(001) and amorphous Ge during a 3 °C/s ramp anneal¹⁰ and by Nemouchi *et al.* on amorphous and polycrystalline Ge substrates during an isothermal anneal at 160 °C.^{8,9} On the Ge(111) substrate, the RBS results show that NiGe only starts growing when the ϵ -phase reaches its maximum thickness, i.e., when all Ni has been

transformed into the ϵ -phase (Figure 9(b)). This implies sequential growth of both phases on this substrate orientation, which was also put forward by Gaudet *et al.*¹⁰ However, on the pole figure quenched at $310 \,^{\circ}$ C on the Ge(111) substrate (see Figure 6) faint diffraction spots from the NiGe are already visible, while the fiber ring from the pure Ni layer can also still be weakly observed. This suggests that simultaneous growth of both phases also takes place on a Ge(111) substrate, although a simultaneous thickness increase of the NiGe phase is not observed in the RBS results on this substrate orientation. This is possibly due to the fact that the ϵ -phase grows at the NiGe/ ϵ -phase interface by consuming NiGe as long as pure Ni is still available. As such, the NiGe phase is growing at the Ge/NiGe interface and is being consumed at the NiGe/ ϵ -phase interface at the same time, resulting in its thickness staying more or less constant (and below the detection limit of the RBS setup). Once the Ni supply is exhausted, the NiGe continues to grow by consuming the ϵ -phase and its thickness starts to increase. This same phase competition is possibly happening on the Ge(001) substrate too, but different growth rates for both phases on that substrate orientation might allow the NiGe to grow thicker on Ge(001), while pure Ni is still present (thus, above the RBS detection limit). These different growth rates might result from the different orientation of both phases on either Ge(001) or Ge(111), which results in different interface energies and different diffusion coefficients for Ni in both phases on Ge(001) vs. Ge(111).

Lastly, the large temperature interval for ϵ -phase growth and the high relative thickness that is reached by this phase on the Ge(111) substrate allows us to look at the variation of the Ni:Ge ratio in this phase as a function of the annealing temperature. This is plotted in Figure 10 for the temperature window between 240 and 360 °C, i.e., centered around the temperature where the pure Ni layer is completely consumed (around 290 °C). In this figure, the variation in Ge concentration in the ϵ -phase is overlaid with its corresponding thickness (right y-axis, expressed in at/cm²). These data suggest that the Ge concentration is somewhere between 34% and



FIG. 10. Evolution of the Ge concentration within the ϵ -phase as a function of temperature as fitted from the RBS data. The thickness of the ϵ -phase is overlaid on the right y-axis. The grey area depicts the allowed concentration range for the ϵ -phase according to the Ni-Ge binary phase diagram.²¹

38% during the growth, which is around the concentration at the lowest temperatures where the ϵ -phase can exist according to the bulk Ni-Ge phase diagram.²¹ This was confirmed in an additional ex situ RBS measurement with a higher resolution performed on an as-deposited sample, where it was observed that the Ge concentration in the initial intermixed Ni-Ge layer is around 37% (data not shown). Once the maximum thickness is reached, the Ge concentration steadily rises as the ϵ -phase is consumed by the growth of NiGe. This change in Ge concentration of the ϵ -phase during its consumption by the growing NiGe phase explains at least partly the observed change in 2θ angle of the ϵ -phase diffraction peak in the in situ XRD measurements once NiGe forms (see Figure 1). Indeed, according to Ellner et al.,²⁸ the lattice constants of the ϵ -phase decrease when the Ni:Ge ratio becomes more Ge rich, which translates in a decrease of the $\epsilon(0002)$ and (1011) lattice spacings and thus a shift towards higher 2θ angles for the corresponding diffraction peaks. Besides this effect, also stress and thermal expansion should be taken into account. Hence, a quantitative interpretation of this peak shift was not attempted based on the data collected in this work.

D. Summary and conclusions

We studied the phase formation sequence and texture evolution of nickel germanides forming during a ramp anneal of a thin Ni film on Ge(001) and Ge(111) oriented substrates. The phase formation sequence was determined using *in situ* XRD and *in situ* RBS and is summarized in Figure 11. The temperature windows over which the different phases are present are marked by the horizontal bars. The temperature scale in the figure is based on the 1 °C/s ramp rate used for the *in situ* XRD results. The shaded areas of these bars indicate the temperature ranges over which the specific phase is growing (as deduced from the RBS measurements). It was found that the general phase sequence on both substrate orientations is as follows:



FIG. 11. Summary of the phase formation sequence for a 30 nm Ni film deposited on Ge(001) and Ge(111) during a ramp anneal at 1° C/s. The horizontal bars indicate the temperature ranges over which the different phases are present. The shaded areas represent the temperatures at which the specific phase is growing.

Ni $\rightarrow \epsilon$ – phase \rightarrow NiGe.

The unambiguous identification of the transient Ni-rich germanide as the hexagonal, metastable ϵ -phase was done using XRD pole figure measurements. On both substrate orientations, the texture of this phase is characterized by two epitaxial components. Furthermore, weak ϵ -phase diffraction features were observed in pole figures collected on asdeposited samples, suggesting that small nuclei of this phase already form within the intermixed Ni-Ge region that forms at the interface during deposition of the Ni film.

In situ RBS was used to study the kinetics during the phase formation sequence. Our results indicate the simultaneous growth of the ϵ -phase and NiGe on a Ge(001) substrate (also observed in previous studies), whereas on Ge(111), simultaneous growth of these phases could not be observed by RBS. However, pole figures collected on a sample quenched at the very onset of NiGe growth do reveal the simultaneous presence of very thin layers of Ni and NiGe, suggesting that the growing NiGe is consumed by the ϵ -phase as long as pure Ni is present.

Finally, the results obtained in this work indicate that the incorrect identification of the transient phase on single crystal Ge substrates from past studies can be attributed to the limited information that is gained from the standard $\theta/2\theta$ geometry used for *in situ* XRD measurements in case of textured phases, because only planes (almost) parallel to the substrate holder are measured. Hence, texture measurements combined with compositional depth profiles (providing stoichiometry information) can provide valuable added insight when studying phase formation on single crystal substrates.

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