JOURNAL OF CLINICAL ONCOLOGY

Phase I and II Study of Induction Chemotherapy With Methotrexate, Rituximab, and Temozolomide, Followed By Whole-Brain Radiotherapy and Postirradiation Temozolomide for Primary CNS Lymphoma: NRG Oncology RTOG 0227

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STRACT

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Published online ahead of print at www.jco.org on March 28, 2016.

Supported by Grants No. U10CA21661, U10CA180868, and U10CA180822 from the National Institutes of Health, National Cancer Institute, and by Merck.

Presented in part at the 11th Annual Meeting of the Society for Neuro-Oncology, Orlando, FL, November 16-19, 2006, and at the 2013 Annual Meeting of the American Society of Clinical Oncology, Chicago, IL, May 31-June 4, 2013.

Authors' disclosures of potential conflicts of interest are found in the article online at www.jco.org. Author contributions are found at the end of this article.

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0732-183X/16/3414w-1620w/\$20.00

DOI: 10.1200/JCO.2015.64.8634

Purpose

This study investigated the treatment of primary CNS lymphoma with methotrexate, temozolomide (TMZ), and rituximab, followed by hyperfractionated whole-brain radiotherapy (hWBRT) and subsequent TMZ. The primary phase I end point was the maximum tolerated dose of TMZ. The primary phase II end point was the 2-year overall survival (OS) rate. Secondary end points were preirradiation response rates, progression-free survival (PFS), neurologic toxicities, and quality of life.

Patients and Methods

The phase I study increased TMZ doses from 100 to 150 to 200 mg/m². Patients were treated with rituximab 375 mg/m² 3 days before cycle 1; methotrexate 3.5 g/m^2 with leucovorin on weeks 1, 3, 5, 7, and 9; TMZ daily for 5 days on weeks 4 and 8; hWBRT 1.2 Gy twice-daily on weeks 11 to 13 (36 Gy); and TMZ 200 mg/m² daily for 5 days every 28 days on weeks 14 to 50.

Results

Thirteen patients (one ineligible) were enrolled in phase I of the study. The maximum tolerated dose of TMZ was 100 mg/m². Dose-limiting toxicities were hepatic and renal. In phase II, 53 patients were treated. Median follow-up for living eligible patients was 3.6 years, and 2-year OS and PFS were 80.8% and 63.6%, respectively. Compared with historical controls from RTOG-9310, 2-year OS and PFS were significantly improved (P= .006 and .030, respectively). In phase II, the objective response rate was 85.7%. Among patients, 66% (35 of 53) had grade 3 and 4 toxicities before hWBRT, and 45% (24 of 53) of patients experienced grade 3 and 4 toxicities attributable to post-hWBRT chemotherapy. Cognitive function and quality of life improved or stabilized after hWBRT.

Conclusion

This regimen is safe, with the best 2-year OS and PFS achieved in any Radiation Therapy Oncology Group primary CNS lymphoma trial. Randomized trials that incorporate this regimen are needed to determine its efficacy compared with other strategies.

J Clin Oncol 34:1620-1625. © 2016 by American Society of Clinical Oncology

INTRODUCTION

The prognosis of primary CNS lymphoma (PCNSL) has improved with the addition of chemotherapeutic regimens that contain high-dose methotrexate (MTX). The use of whole-brain radiotherapy (WBRT) alone is associated with a median overall survival (OS) of approximately 1 year.^{1,2} The improvement in survival with the addition of pre-WBRT MTX prompted a prospective phase II study (RTOG 9310).³ Patients received preirradiation intravenous (IV) and intrathecal MTX, procarbazine, vincristine, and postirradiation cytarabine. During the study, the radiation dosage for complete responders was reduced from 45 Gy in daily fractions to 36 Gy twice daily, which delayed neurotoxicity without a loss of efficacy. The 30.4-month median OS was determined to be statistically superior to the 11.6-month median OS attained with WBRT alone and was unrelated to selection bias or age.⁴ The efficacy of MTX is not improved by the addition of agents that are commonly used in the treatment of systemic non-Hodgkin lymphoma,^{5,6} which provides an opportunity for the identification of newer combinatorial regimens. We hypothesized that including such agents in an MTX-based chemotherapeutic regimen will improve 2-year median OS and progression-free survival (PFS) in patients with PCNSL.

Temozolomide (TMZ), an oral alkylating agent, has reported activity in PCNSL.⁷⁻⁹ In one retrospective series, TMZ monotherapy was explored in elderly patients with PCNSL with severe comorbidities. In 17 patients (age 62 to 90 years), the complete response (CR) rate was 47% and median OS was 21 months. Five patients (29.4%) experienced prolonged responses for \geq 12 months and survived for > 24 months. Three patients had methylated O⁶-methylguanine-DNA-methyltransferase (MGMT) promoter, whereas MGMT status was not assessable in two patients. TMZ is known to be active in glioblastoma multiforme when the MGMT promoter is methylated. A proportion of patients with PCNSL also exhibit methylation, which suggests a role for TMZ in this disease.¹⁰

Rituximab is a chimeric monoclonal antibody that targets the B-cell surface protein CD20 and is indicated for the treatment of CD20⁺ non-Hodgkin lymphoma and other B-cell malignancies. Most PCNSLs are CD20⁺ and potentially responsive to rituximab. Radiographic responses to rituximab have been reported in recurrent PCNSL.^{11,12}

On the basis of this rationale, a phase I and II trial of a MTXbased multibio-chemotherapy regimen in patients with newly diagnosed PCNSL was initiated with the goal of building on the therapeutic gains achieved by RTOG 9310. WBRT is believed to significantly contribute to the cognitive changes in PCNSL. Hyperfractionated WBRT (hWBRT) dosing (120 Gy twice daily for 15 days) that was initiated during RTOG 9310 for the purpose of reducing neurotoxicity risk was maintained in this study.³ This trial was initiated before the results of the study by Thiel et al¹³ were published, which evaluated the role of radiotherapy in PCNSL. Finally, it was hypothesized that postRT TMZ delivered at a standard dose every 4 weeks for 10 cycles would prolong PFS and OS.

PATIENTS AND METHODS

All patients signed formal consent before enrollment, and the study was approved by the institutional review board of each participating institution. Immunocompetent patients age \geq 18 years with newly diagnosed PCNSL were eligible for inclusion. All patients were required to have measurable intracranial disease with the diagnosis confirmed by brain biopsy, cerebrospinal fluid cytology, or vitrectomy. Patients with systemic lymphoma, concurrent or past cancers, HIV positivity, or evidence of active hepatitis B were not eligible. A creatinine clearance of \geq 50 mL/min/ 1.73 m² was required.

At the time of diagnosis, all patients underwent brain imaging, preferably with magnetic resonance imaging, a lumbar puncture (unless contraindicated by mass effect), and a slit lamp examination. Repeat imaging was performed at the completion of chemotherapy, after completion of radiation therapy, every 2 months during postradiation TMZ, at completion of TMZ, every 3 months from the end of treatment of 2 years, every 6 months for 3 to 5 years, then annually for 5 years. Radiographic responses were graded as CR, partial response (\geq 50% decrease in

enhancing tumor), progressive disease ($\ge 25\%$ increase in a lesion; progressive or newly emergent meningeal or ocular disease), or stable disease.

Quality of life (QOL) was assessed by using the Spitzer QOL Index.¹⁴ Neurocognitive status was assessed by using the Mini-Mental Status Exam (MMSE).¹⁵ QOL and MMSE were performed before treatment, at completion of RT, every 2 months during TMZ, every 3 months from the end of treatment of 2 years, every 6 months for 3 years, annually, and on disease progression.

Treatment

Rituximab 375 mg/m² was administered 3 days before the initial MTX treatment. MTX 3.5 g/m² was administered on weeks 1, 3, 5, 7, and 9. Before administration, urine was alkalinized with IV sodium bicarbonate. Each dose was followed 24 hours later by leucovorin 25 mg IV every 6 hours. MTX levels were measured daily. Leucovorin was stopped when MTX level was $\leq 10 \,\mu$ mol/L. TMZ was administered on weeks 4 and 8 at the phase I or II dosages. After completion of chemotherapy, all patients received hWBRT 1.2 Gy twice-daily fractions on weeks 11 to 13 for a total of 36 Gy. TMZ 200 mg/m² daily for 5 days was administered on weeks 14, 18, 22, 26, 30, 34, 38, 42, 46, and 50 for a total of 10 cycles. An initial dose of 150 mg/m² for the first cycle was allowed.

In phase I, preirradiation TMZ was initially administered at 100 mg/ m^2 , with dose escalations to 150 and 200 mg/ m^2 . Three patients were planned per cohort, with a dose escalation to the next level if 0 of 3 patients exhibited a dose-limiting toxicity (DLT). If one patient experienced a DLT, an additional 3 patients were enrolled, with escalation to the next level only if 0 of 3 patients experienced a DLT. Otherwise, the previous dose level would be considered the maximum tolerated dose (MTD). In phase II, the MTD was used for the preirradiation TMZ dose.

Statistical Analysis

Statistical analysis was performed on the phase II portion of the study to determine whether this regimen improved OS for patients with PCNSL compared with the prespecified cohort from RTOG 9310, which had observed median and 2-year OS of 37.0 months and 64%, respectively; a 2-year OS of 77% was projected for this study, which corresponded with a 20% relative improvement. Patients who were retrospectively found to be ineligible or who received no protocol drug were excluded from all analyses. Using a one-sample χ^2 test with a 0.20 one-sided significance level, 47 evaluable patients would have 87% power to detect the difference between the projected 2-year survival rate and historical control. Assuming a 5% rate for patients who were not evaluable for the primary end point, 52 patients were required for the phase II portion, and patients from the phase I component could be included if they received treatment with the recommended phase II dose of TMZ.

Adverse events were graded by using Common Terminology Criteria for Adverse Events v 2.0 of the National Cancer Institute Common Toxicity Criteria. Late radiation adverse events were graded by using the Radiation Therapy Oncology Group/European Organisation for Research and Treatment of Cancer Late Radiation Morbidity Criteria. The Kaplan-Meier method was used to estimate OS and PFS. An OS event was defined as death as a result of any cause, whereas a PFS event was defined as death as a result of any cause or any progression, whichever comes first. All eligible patients who received any protocol drug were included in the efficacy analysis. Patients alive at the last follow-up were analyzed as censored observations for OS, and patients alive at the last follow-up without disease progression were analyzed as censored observations for PFS. OS and PFS were estimated from the date of registration. Pointwise comparisons of 2-year OS and 2-year PFS rates were based on Kaplan-Meier curves between this study and RTOG 9310. Multivariable analyses on OS and PFS were also performed to calculate the hazard ratios between the two studies, adjusting for patient pretreatment characteristics, such as age, performance status, surgery, etc. Significant MMSE score decline was defined as a decrease of > 3 points¹⁴; significant gain was defined as an increase of > 3 points, and no change for any MMSE score change \leq 3 points. All Spitzer QOL score changes were taken from baseline MMSE/Spitzer QOL score to key evaluation MMSE/Spitzer QOL score.

RESULTS

Twelve patients (six male and six female; median age 60 years) were treated in the phase I portion. An additional patient (enrolled in the 150 mg/m² arm) was ineligible because of carmustine wafer implantation at the time of surgery. At TMZ 100 mg/m², there was one DLT (grade 3 renal). At TMZ 150 mg/m², there were three DLTs: grade 3 and 4 hepatic (2 patients) and grade 3 renal (1 patient). All toxicities were reversible. The MTD of TMZ in combination with MTX in patients with PCNSL who were treated with this regimen was 100 mg/m². This preirradiation TMZ dose was used in the phase II portion of this trial.

Fifty-three patients were enrolled in the phase II portion, including those who were treated in the phase I at the phase II dose. Clinical characteristics are detailed in Table 1.

Response

Among patients, 45 (85%) of 53 completed initial chemotherapy as per protocol, and 42 patients (79%) received hWBRT (Table 2). For those patients who did not receive hWBRT, reasons include patient withdrawal or refusal (n = 3), toxicity (n = 1), tumor progression (n = 2), and unknown (n = 5). On completion of preirradiation chemotherapy, 35 patients were assessable for radiographic response. CRs were seen in 18 patients (51%), partial responses in 12 patients (34%), and progressive disease in two patients (6%); 3 patients (9%) had no measurable disease at the initiation of treatment and on completion. Incomplete data were received on 18 patients.

The median follow-up time for eligible living patients was 3.6 years. The 2-year OS for the entire cohort was 80.8% (P = .006). The estimated median OS was 7.5 years, with a 95% CI of 4.3 years

Characteristic	No. of Patients (%
Male	25 (47)
Female	28 (53)
Age, years Median (range) < 60 ≥ 60	57 (24-73) 33 (62) 20 (38)
Diagnostic procedure Gross total resection Subtotal resection Stereotactic/open biopsy	9 (17) 7 (13) 37 (70)
Histologic diagnosis Diffuse large cell Nodular Unknown	48 (90) 1 (2) 4 (8)
Performance status (Zubrod) 0 1 2	12 (23) 30 (56) 11 (21)

Treatment	No. of Patients (%)
Completed chemotherapy	
Per protocol	45 (85.0)
Variation, acceptable	0 (0.0)
Deviation, unacceptable	2 (3.8)
Incomplete chemotherapy	4 (7.5)
Not evaluable	2 (3.8)
Completed WBRT	42 (79)

to an upper limit not reached (Fig 1). The 2-year PFS was 63.6% (P = .03; compared with 50% in RTOG 9310). The estimated median PFS was 5.4 years with a 95% CI of 1.8 to 7.3 years (Fig 2). Pointwise comparisons of 2-year OS and 2-year PFS on the basis of Kaplan-Meier curves between this study and RTOG 9310 essentially showed no difference from the data presented above. On the basis of multivariable analyses on OS and PFS, results were favorable for this study compared with RTOG 9310. Specifically, the hazard ratio for OS was 0.44, with a 95% CI of 0.25 to 0.80 (P = .007); the hazard ratio for PFS was 0.52, with a 95% CI of 0.30 to 0.89 (P = .018).

Toxicity

Grade 3 and 4 adverse events definitely, probably, or possibly related to phase II treatment are reported in Tables 3 and 4 and Appendix Table A1 (online only). Most toxicities were grade 3, occurring before radiation therapy. Toxicities that were directly attributable to radiation and postradiation toxicities occurred less often. Hematologic toxicities that occurred during hWBRT were attributed to prior chemotherapy.

Neurocognitive and QOL Evaluations

Neurocognitive and QOL evaluations were performed for patients in the phase II portion of the trial. The median baseline

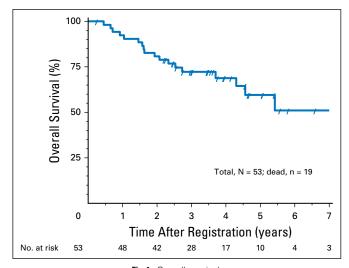


Fig 1. Overall survival.

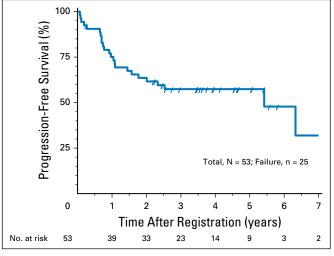


Fig 2. Progression-free survival.

MMSE score was 28 and at each of the follow-up points, 29. The mean improvement in MMSE score from baseline to each time point was 2.1 after WBRT, 2.0 at 6 months after diagnosis, and 1.4 at year 3 (Appendix Table A2, online only).

A significant decline in MMSE score from baseline, defined by a decrease of > 3 points, was seen in a minority of patients. Significant declines in MMSE score were seen in one (3%) of 33 assessable patients post-RT and in one (2.6%) of 38 assessable patients (for whom information was received) 6 months post-RT. An increase in MMSE score at 3 years was more pronounced in patients age ≥ 60 years.

QOL was assessed by Spitzer QOL scores. These were measured at baseline, after completion of WBRT, and at 6 months and 3 years after diagnosis. Median Spitzer QOL scores increased in assessable patients from a baseline of 6 to 7 after radiation therapy, 8 at 6 months, and 10 at 3 years. The mean score change was 0.7 after radiation therapy, 1.2 at 6 months, and 2.3 at 3 years (Appendix Tables A3 and A4, online only).

Toxicity	Grade 3	Grade 4
Auditory/hearing	0	0
Cardiovascular	2	0
Constitutional	3	1
Febrile neutropenia	1	0
GI	1	1
Hematologic	9	2
Hepatic	6	0
Metabolic	6	0
Neurologic	5	0
Ocular	1	0
Pain	2	1
Renal/GU	3	0

Toxicity	Grade 3	Grade 4
Auditory/hearing	1	0
Cardiovascular	1	0
Coagulation	1	0
Constitutional	1	0
Dermatological	1	0
GI	2	0
Hematologic	1	5
Metabolic	1	0
Musculoskeletal	0	0
Ocular	1	0

DISCUSSION

The use of MTX-based chemotherapeutic regimens before WBRT in patients with PCNSL is associated with improvement in median OS and PFS. Other agents that are commonly used in the treatment of systemic lymphoma do not impact survival. Efforts to improve outcome have focused on intensifying treatment by increasing the dose of MTX to 8 g/m², adding high-dose Ara-C either concurrently or sequentially to MTX, and evaluating marrow-ablative chemotherapy with hematopoietic salvage in first remission.^{16,17} These dose-intensive regimens are often not feasible for patients age > 70 years or those with impaired renal function. In addition, no clear evidence exists that dose intensification improves outcomes compared with MTX alone. It is therefore a key therapeutic goal to identify other active agents to be used in conjunction with MTX.

On the basis of pilot clinical data that suggest the activity of TMZ and rituximab in PCNSL, we hypothesized that these agents, when combined with standard high-dose MTX, could improve outcomes in this disease. Building on the experience with preirradiation, MTX-based chemotherapy in RTOG 9310, we designed an approach that included WBRT to consolidate the estimated 30% of patients who could be expected to achieve less than a CR. Given the neurocognitive toxicity of WBRT, we chose to use a hyper-fractionated dose that was piloted in a subset of patients who received treatment in RTOG 9310.⁴ Finally, we hypothesized that TMZ was well suited as a maintenance agent, an approach not widely explored in the treatment of patients with PCNSL.

To our knowledge, this is the first prospective cooperative group study to report the use of rituximab and TMZ in conjunction with MTX for the primary treatment of this disease, followed by hWBRT. Rubenstein et al¹⁸ reported the results of a phase II trial (CALGB 50202) in which patients received MTX, TMZ, and rituximab, followed by dose-intensive consolidation with cytarabine and etoposide; WBRT was not used. The 2-year PFS was 57% and the estimated 2-year OS was 70%. Our current study and the study by Rubenstein et al¹⁸ clearly support the notion that the combination of rituximab, TMZ, and high-dose MTX is active in PCNSL. There are, however, few other similarities between this study and CALGB 50202. Whereas both used these agents, the number of cycles of rituximab and TMZ and the dose of

MTX were different. Most notably, the study by Rubenstein et al¹⁸ did not include treatment with WBRT; an objective was to eliminate the need for WBRT to decrease the risk of neurocognitive toxicity. Instead, chemotherapy was intensified with the addition of etoposide and cytarabine for patients who achieved a CR after six cycles of MTX, rituximab, and TMZ. Among patients, 29 (66%) achieved a CR—implying that 34% of patients had radio-graphically visible residual disease after six cycles—and only 27 patients (52%) completed the entire regimen, which indicated a high rate of progression, toxicity, and/or intolerance. In contrast, in NRG Oncology RTOG 0227, 85% of patients completed the protocol treatment, demonstrating a high rate of compliance.

Compared with the prespecified end points of RTOG 9310, which confirmed pre-WBRT high-dose MTX, vincristine, procarbazine, WBRT, and post-RT Ara-C as a widely adopted treatment for patients with PCNSL, the 2-year PFS and OS rates achieved in NRG Oncology RTOG 0227 were encouraging. Both clinical trials used MTX for five cycles before radiation therapy, though at a higher dose in this study (2.5 v 3.5 g/m²). The oral agents also differed (procarbazine ν TMZ); no intraventricular MTX or vincristine were used in NRG Oncology RTOG 0227; and rituximab was not used in RTOG 9310. A major difference was the duration of postradiation treatment. RTOG 9310 used cytarabine $3 \text{ g/m}^2/\text{d}$ for 2 days over two cycles 4 weeks apart. The current study used TMZ continuously for 10 cycles after the completion of hWBRT. Finally, the WBRT dose used in RTOG 9310 was higher at 45 Gy for all but a subset of patients who achieved a CR who were treated with a twice-daily regimen of 36 Gy as in the current study.

Over the past decade, the RTOG 9310 regimen has been modified by others in the hope of maintaining high tumor control rates and lowering the incidence of late neurocognitive toxicity. Morris et al¹⁹ reported high response rates, long-term disease control, and minimal neurotoxicity. In their approach, rituximab was added to the pre-RT regimen. Of note is the reduction in the WBRT dose from 45 to 23.40 Gy in daily 1.8 Gy fractions. Among patients 52 received treatment in this fashion had median PFS and OS of 3.3 and 6.6 years, respectively. Median PFS was 7.7 years for patients age < 60 years and 1.7 years for patients age \geq 60 years. Median OS has not been reached for younger patients and is 5.5 years for older patients. Neurotoxicity in long-term survivors is reported as minimal, and correlative magnetic resonance imaging demonstrated moderate white matter changes.

The ongoing randomized phase II RTOG 1114 study is further testing this approach by using a pre-RT rituximab, MTX, procarbazine, and vincristine regimen with a reduced dose of WBRT (23.40 Gy) or no WBRT, followed by cytarabine.²⁰ This approach challenges the notion that the WBRT dose of 45 Gy in the Primary CNS Lymphoma Study Group (G-PCNSL-SG-1) trial¹³ is the optimal dose. Although WBRT omission did not result in inferior OS, PFS was reduced, in particular in patients who did not achieve a CR to chemotherapy. The question remains as to whether elimination or deferral of WBRT is superior to reduced-dose WBRT approaches that maintain enhanced PFS without late neurocognitive toxicity.

Treatment was well tolerated. There were no unexpected or grade 5 toxicities, and with median follow-up of 43 months on surviving patients, the late post-WBRT toxicity rate remains low (Appendix Table A1). The most consistent cognitive finding was an early and sustained improvement in MMSE scores from baseline, consistent with tumor response. For patients alive at 3 years, none experienced a protocol-defined drop in MMSE score. Sustained improvement in QOL is consistent with this observation and, at least in part, can be attributed to successful tumor treatment. In addition, the plateau of PFS and OS beyond 4 years suggests that patients without progression are not dying of late toxicity as has been reported in other series.²¹

Given the superiority of the 2-year PFS and OS in the current study compared with that of RTOG 9310 as well as the low incidence of late neurotoxicity, cognitive decline, decreased QOL, and leukoencephalopathy, this regimen is a potential standard of care for patients with PCSNL. A randomized trial comparing this regimen with a dose-reduced or non-WBRT–containing regimen as in RTOG 1114 is justified. Such a trial would further define whether lower-dose WBRT with maintenance chemotherapy as in NRG Oncology RTOG 0227 is superior to treatment regimens that use further dose-reduced or no WBRT.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at www.jco.org.

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Glass et al

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

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Jon Glass Consulting or Advisory Role: Novocure

Minhee Won No relationship to disclose

Christopher J. Schultz Research Funding: Elekta AB (Inst)

Daniel Brat No relationship to disclose

Nancy Bartlett No relationship to disclose

John H. Suh Research Funding: Varian Medical Systems Other Relationship: Elekta AB

Maria Werner-Wasik Stock or Other Ownership: Medidata Solutions Research Funding: Elekta AB Barbara Jean Fisher No relationship to disclose Marcia K. Liepman Consulting or Advisory Role: Pfizer

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Felix Bokstein No relationship to disclose

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Matthew C. Solhjem No relationship to disclose

Minesh P. Mehta Leadership: Pharmacyclics Stock or Other Ownership: Pharmacyclics Consulting or Advisory Role: Cavion, Novartis, Novocure Research Funding: Novocure (Inst), Celldex (Inst) Other Relationship: Monteris

Appendix

Toxicity	Grade 3	Grade 4
Brain	1	0
Encephalopathy	0	0
Eye	0	0
Skin (within RT field)	0	0
Subcutaneous tissue	0	0
Hearing loss	1	0
Leukoencephalopathy	1	0
Thrombocytopenia	0	1

MMSE Score	Baseline	Post-RT	Month 6	Week 50	Year 3
Total score					
No. of patients assessed	50	34	40	26	17
Median	28	29	29	29	29
Minimum-maximum	6-30	19-30	15-30	21-30	26-30
Q1-Q3	23-29	26-30	26.5-30	27-30	28-30
Change in score, No. (%)					
No. of patients assessed		33	38	25	17
Decrease > 3		1 (3.0)	1 (2.6)	1 (4.0)	0 (0.0)
Stable MMSE		24 (72.7)	29 (76.3)	19 (76.0)	15 (88.2
Increase > 3		8 (24.2)	8 (21.1)	5 (20.0)	2 (11.8
Median change by age (No.)					
No. of patients assessed		33	38	25	17
< 60		1 (23)	1.5 (26)	1(15)	0.5 (10)
≥ 60		1.5 (10)	1 (12)	1(10)	2.0 (7)

Spitzer QOL score	Baseline	Post-RT	Month 6	Week 50	Year 3
No. of patients assessed	52	34	40	27	16
Median	6	7	8	9	10
Minimum-maximum	0-10	0-10	0-10	0-10	3-10
Q1-Q3	4-8	5-10	5.5-10	6-10	9-10

Table A4. Spitzer Score Changes From Baseline to Each Time Point				
Spitzer score	Post-RT (n = 34)	Month 6 (n = 40)	Week 50 (n = 27)	
No. of patients assessed for change	33	39	26	
Mean	0.7	1.2	2.3	
Standard deviation	3.9	3.2	3.0	
Median	1	1	2.5	
Minimum-maximum	-7 to 10	-6 to 10	-4 to 10	
Q1-Q3	-1 to 2	-1 to 3	1 to 4	

Abbreviations: Q1, first quartile; Q3, third quartile; RT, radiotherapy.

Year 3 (n = 16)

16 2.3 2.9 2 -2 to 10 0 to 4