

Original Paper

Phase I/II Study of Sorafenib in Combination with Hepatic Arterial Infusion Chemotherapy Using Low-Dose Cisplatin and 5-Fluorouracil

Kazuomi Ueshima ^a Masatoshi Kudo ^a Masatoshi Tanaka ^b Takashi Kumada ^c Hobyung Chung ^a Satoru Hagiwara ^a Tatsuo Inoue ^a Norihisa Yada ^a Satoshi Kitai ^a

^aDepartment of Gastroenterology and Hepatology, Kinki University School of Medicine, Osaka, ^bDepartment of Hepatology, Yokokura Hospital, Fukuoka, ^cDepartment of Gastroenterology, Ogaki Municipal Hospital, Gifu, Japan

Key Words

Cisplatin · Fluorouracil · Hepatic arterial infusion · Hepatocellular carcinoma · Sorafenib

Abstract

We conducted a phase I/II study in patients with advanced hepatocellular carcinoma (HCC) to determine the recommended dose, as well as the safety and efficacy, of combination therapy of sorafenib with hepatic arterial infusion chemotherapy (HAIC) using low dose cisplatin (CDDP) and 5-fluorouracil (5FU). Cohorts consisting of 3–6 patients with HCC received an escalated dose of CDDP and 5-FU until a maximum-tolerated dose was achieved. The treatment regimen was as follows: oral administration of sorafenib (400 mg twice daily for 28 days) combined with HAIC using CDDP (14–20 mg/m², on days 1 and 8) and 5-FU (170–330 mg/m², continuously on days 1–5 and 8–12) via an implanted catheter system). Each treatment cycle consisted of 28 days and three cycles of combination therapy. At the end of the first cycle, adverse events were evaluated and future dose escalation was determined. Eighteen patients with advanced HCC were enrolled. Dose-limiting toxicity was observed in two patients from cohort 1 (erythema multiforme and grade 4 thrombocytopenia) and in one patient from cohort 2 (erythema multiforme). Seven of the 18 patients achieved a partial response, seven showed stable disease, two were diagnosed as progressive disease, and two were not assessable. The response rate was 38.9% and the disease control rate was 77.8%. The time-

Masatoshi Kudo, MD, PhD

Department of Gastroenterology and Hepatology, Kinki University School of Medicine 377-1 Ono-Higashi, Osaka-Sayama, Osaka 589-8511 (Japan) Tel. +81 72 366 0221 Ext. 3525, E-Mail m-kudo@med.kindai.ac.jp





Liver Cancer 2015;4:263–273	
DOI: 10.1159/000367751 Published online: October 21, 2015	© 2015 S. Karger AG, Basel www.karger.com/lic
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to-progression was 9.7 months and the 1-year survival rate was 88.2%. Oral administration of 400 mg of sorafenib twice daily, 20 mg/m² of intra-arterial infusion of CDDP, and 5-FU at 330 mg/m² are the recommended doses for combination therapy, which was well tolerated and efficacious. This combination therapy may be a promising treatment for patients with advanced HCC. A large prospective randomized multicenter study (ClinicalTrials.gov Identifier NCT01214343) is ongoing.

Introduction

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Hepatocellular carcinoma (HCC) is respectively the fifth and seventh most common cancer in men and women, and it is also the third leading cause of cancer-related death worldwide [1]. In Japan, almost 30,000 people die annually because of HCC, and 47,000 people were estimated to suffer from this type of cancer in 2010 [2]. HCC usually emerges from a background of liver cirrhosis and chronic hepatitis caused by viral hepatitis infection because chronic liver injury induces apoptosis and regeneration of hepatocytes and liver matrix remodeling. These conditions accelerate fibrogenesis and hepatocarcinogenesis [3].

A variety of treatments, such as resection [4, 5], radiofrequency ablation [6-8], transcatheter arterial chemoembolization (TACE) [9-11], and transplantation [12-14] have been performed for patients with HCC. The type of treatment is selected based on the tumor status and clinical condition of the patient [15, 16]. Resection and radiofrequency ablation are selected for early stage-HCC as a curative treatment, and TACE is applied for intermediate stage patients. For locally advanced HCC with major vascular invasion or diffuse type HCC, hepatic arterial infusion chemotherapy (HAIC) is used to induce tumor shrinkage or regression of vascular invasion. However, HAIC is not commonly used worldwide because of a lack of evidence for survival benefit and the complicated management of the implanted catheter system. An advantage of HAIC is the delivery of a high concentration of antitumor agents to the target organ through the hepatic artery, which leads to effective antitumor activity of the agent with a minimal concentration entering the systemic circulation, thus reducing the incidence of adverse events (AE). In a prior study, the response rate (RR) of agents used for HAIC including cisplatin (CDDP) monotherapy and epirubicin hydrochloride monotherapy was 37% [17] and 15.1%, respectively [18]. Combination chemotherapy, such as CDDP with 5-fluorouracil (5-FU) and etoposide with CDDP and 5-FU, have achieved a RR of between 14-44% [19-25] and 46% [26], respectively.

Sorafenib (Nexavar[®], Bayer HealthCare Pharmaceuticals) is a small molecule that inhibits the proliferation of cancer cells and tumor angiogenesis. It also enhances apoptosis by inhibiting Raf-1, B-Raf kinase and tyrosine kinase molecules in vascular endothelial growth factor receptors 1, 2, and 3, in addition to platelet-derived growth factor receptor β [27]. Sorafenib is the current standard therapy for advanced HCC worldwide, including Japan. Phase III randomized controlled trials have proven the survival benefit of sorafenib for patients with unresectable HCC [28, 29].

Interestingly, the antitumor effects of sorafenib are attributed to the induction of tumor dormancy rather than tumor shrinkage, which is in contrast to the tumor shrinkage effect of HAIC. Therefore, we considered that a combination of sorafenib with HAIC could be an ideal treatment for HCC. We conducted a phase I/II study to determine the recommended dose of sorafenib with HAIC for combination therapy and its efficacy and safety in patients with HCC.



Liver Cancer 2015;4:263–273	
DOI: 10.1159/000367751	© 2015 S. Karger AG, Basel
Published online: October 21, 2015	www.karger.com/lic

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Fig. 1. Catheter placement.

CHA=common hepatic artery; GDA=gastroduodenal artery; Ao=abdominal aorta; Coils=metallic embolization coils.

Patients and Methods

Patient Selection

Eligible patients had proven HCC confirmed histologically from a biopsy, or by typical radiological findings on dynamic computed tomography (CT) or CT during hepatic arteriography/arterioportography. None of the patients were suitable for resection, local ablation therapy or TACE. The eligibility criteria included: age >20 years, an Eastern Cooperative Oncology Group (ECOG) performance status score of 1 or less, Child–Pugh liver function class A or B, a life expectancy of 12 weeks or more, adequate hematologic findings (defined as a platelet count \geq 50,000 per microliter, hemoglobin \geq 8.5 grams per deciliter, neutrophils \geq 1,500 per microliter and a prothrombin time international normalized ratio \leq 2.3, or a prothrombin time \leq 6 seconds above the control), adequate hepatic function (total bilirubin \leq 2 mg per deciliter, alanine aminotransferase (ALT) and aspartate aminotransferase (AST) \leq 5 times the upper limit of the normal range), and adequate renal function (serum creatinine \leq 1.5 times the upper limit of the normal range), and normal amylase levels (5 x upper limit of normal levels). All patients provided written informed consent before enrollment in the study. This protocol was approved by each institution's ethical review board and was conducted in accordance with Good Clinical Practice and the Declaration of Helsinki. The ClinicalTrials.gov Identifier was NCT00933816.

Catheter Placement

A catheter with anticoagulant coating was inserted through the femoral artery using the Seldinger method. The tip of the catheter with a side hole was placed into the gastroduodenal artery and side hole was located at the common hepatic artery. The gastroduodenal artery and right gastric artery (RGA) were then occluded by metallic embolization coils to prevent gastroduodenal injury from the antitumor agents. The other end of the catheter was connected to an injection port device that was implanted in a subcutaneous pocket in the right femoral area [25, 30](fig.1).

Study Design

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This was a multicenter, open-label, phase I trial. The dose level assignment used a standard 3+3 design with at least three evaluable patients per cohort. Sorafenib was administered orally at a dose of 400 mg twice daily per individual for 28 days in all cohorts. In cohort 1, CDDP was administered at a dose



Fig. 2. Protocol of combination therapy. p.o.=per oral; i.a.= intra-arterially.

of 14 mg/m² on days 1 and 8, and 5-FU was administered at a dose of 170 mg/m² continuously on days 1 to 5, and days 8 to 12. In cohorts 2 and 3, the aforementioned medications frequency of administration was similarly prescribed except that CDDP was used at a dose of 14 mg/m² and 5-FU was used at a dose of 330 mg/m² in cohort 2, and CDDP was used at a dose of 20 mg/m² and 5-FU was used at a dose of 330 mg/m² in cohort 3 respectively, via the implanted catheter system. A cycle was defined as 28 days and three cycles of combination therapy were continued until a diagnosis of progressive disease (PD) was made or until other withdrawal criteria were met (fig. 2). At the end of each cycle, all AEs were evaluated and the next dose escalation was determined. If one dose limiting toxicity (DLT) was observed in any cohort during cycle 1, three additional patients were enrolled into the current cohort. If no DLTs were observed, the dose escalations continued.

Outcomes and Assessments

(i.a.)

The primary outcomes of the study were DLT and the secondary outcomes were overall survival (OS), time to progression (TTP), RR, and AE.

The maximum tolerated dose (MTD) was defined as the highest dose level at which less than two patients experienced a DLT in cycle 1. DLT was defined as grade \geq 3 when the patient was febrile (\geq 38.5 °C), or had infection with neutropenia, or leucopenia, or had thrombocytopenia. Grade 4 DLT was defined when there was neutropenia lasting more than 7 days, or; grade 4 thrombocytopenia or when it was necessary to transfuse platelets at the discretion of the treating physician-investigator. Other grade \geq 3 manifestations included non-hematologic toxicity excluding rises in amylase and hand-foot skin reactions, and clinically important effects considered drug-related by the physician-investigator (ALT and AST \geq 10 times the upper limit of the normal range, serum creatinine >4 times the upper limit of the normal range). Grade 4 DLT included hyperamylasemia lasting more than 14 days, with clinically significant pancreatitis including life-threatening type, or causing severe clinical symptoms leading to an interruption of sorafenib for more than 4 weeks.

Safety and efficacy were evaluated based on an intention-to-treat basis. TTP was calculated from study entry to radiological progression according to Response Evaluation Criteria in Solid Tumors (RE-CIST) version 1.1 and OS was calculated from the date of entry until death due to any cause. Toxicity was graded using the National Cancer Institute Common Terminology Criteria for Adverse Events (version 3.0). Tumor response was assessed by dynamic CT. Baseline tumor status was assessed within 28 days prior to study registration. Physical examination and blood tests including complete blood cell counts, serum chemistry, and urinalysis were carried out at baseline and every week during the treatment. Patients underwent dynamic CT investigations to evaluate tumor responses at the end of each cycle. Tumor evaluation was assessed using RECIST 1.1.

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Liver Cancer 2015;4:263–273	
DOI: 10.1159/000367751 Published online: October 21, 2015	© 2015 S. Karger AG, Basel www.karger.com/lic
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		Cohort 1	Cohort 2	Cohort 3	Total
Characteristics	No. of Patients	6	6	6	18
Age, years	50-59	1	1	2	4
	60-69	4	3	2	9
	70-79	0	3	2	5
	80-89	1	0	0	1
PS	0	6	6	6	18
	1	0	0	0	0
Viral marker	HBsAg (+)	2	0	3	5
	HCV-Ab (+)	3	4	2	9
	Both negative	1	2	1	4
Child-Pugh score	5	3	4	3	10
	6	3	2	3	8
Portal vein invasion	Absent	5	4	3	12
	Present	1	2	3	6
Distant metastasis	Absent	6	6	6	18
	Present	0	0	0	0
Stage	III	5	4	3	12
	IVA	1	2	3	6
PS=performance stat	us; HBsAg=hepatitis	B virus soluble	e antigen; HCV	-Ab=HCV antil	body.

Table 1. Baseline characteristics of patients with advanced HCC enrolled in this study

Statistical Analysis

Statistical analysis was performed using IBM SPSS[®] statistics version 19 (IBM, Armonk, NY, USA). OS and TTP were analyzed using the Kaplan–Meier method.

Results

Patient Characteristics

Eighteen patients were evaluated in this study. Across all cohorts, the median age was 67.2 years (range 53–86 years). The majority of patients were male (72.2%). All patients had a performance status of 0 and were rated as Child–Pugh A. Five patients tested hepatitis B virus surface antigen positive, nine tested hepatitis C virus (HCV) antibody positive, and four tested negative for both viral markers. Twelve patients had portal vein invasion without extrahepatic spread and were classified as Stage IV-A; six patients without portal vein invasion and extrahepatic spread were considered as Stage III (table 1).

Safety

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Grade 3 erythema multiforme was observed in one patient; and grade 4 thrombocytopenia and grade 3 erythema multiforme were observed in another patient in cohort 1. The dose of the treatment agents were then escalated to that determined for cohort 2. In that cohort, grade 3 erythema multiforme was observed in one patient. The dose was then escalated to that of cohort 3. In that cohort, no DLT was observed and the MTD was not reached. We determined the recommended dose of the combination to be as follows: sorafenib 400 mg twice daily per individual orally, intra-arterial infusion of CDDP 20 mg/m² and 5-FU 330 mg/m²/ day (table 2). Other adverse events of non-hematological toxicities (all grade) were hand-

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Cohort Sorafenib CDDP 5-FU DLT Remarks (mg/m²/day, (mg/m^2) (mg/day) day 1, 8) Days 1-5, Days 8-12) 0 800 10 170 1 800 14 170 DLT 2/6 Starting dose EM in 1 case PLT and EM in 1 case 2 800 14 330 DLT 1/6 EM in 1 case (EM) 3 800 330 DLT 0/6 20 Recommended dose

Table 2.Dosage levels of sorafenib and low-dose FP administered to patients with advanced HCC andDLT

EM=Erythema multiforme; PLT=thrombocytopenia; FP=CDDP+5-FU.

foot skin reactions (n=11, 61.1%), diarrhea (n=6, 33.3%), appetite loss (n=5, 27.8%), and hypertension (n=4, 22.2%). The treatment-emergent adverse events of hematological toxicities were grade 3 leucopenia (n=3, 16.7%), grade 3 neutropenia (n=2, 11.1%), anemia (n=2, 11.1%), and grade 3 thrombocytopenia (n=3, 16.7%) (table 3).

Efficacy

Seven patients achieved partial response (PR) (3 patients in cohort 1, 1 in cohort 2 and 3 in cohort 3), and seven patients achieved stable disease (SD) (1 patient in cohort 1, 4 in cohort 2, and 2 in cohort 3), and two patients developed progressive disease (PD). No patients achieved a complete response (CR). Two patients in cohort 1 were not evaluated because of discontinuation caused by erythema multiforme. The RR was 38.9% and the disease control rate was 77.8% (table 4). The median TTP was 9.7 months (95% confidence interval [CI] 5.4–14.0), the median OS was 14.1 months (95% CI 11.7–16.5) and the 6-month, and 1-year survival rates were 83.3% and 66.7%, respectively (fig. 3).

Discussion

HAIC is the *de facto* standard treatment for locally advanced HCC with vascular invasion in Japan. Based on the Japanese HCC clinical practice guidelines [31, 32], HAIC is indicated and recommended for the following tumor status: i) multiple HCC with four or more lesions, ii) HCC with major vascular invasion, or iii) HCC with distant metastasis not considered a prognostic determinant. According to the 18th nationwide survey of the Liver Cancer Study Group of Japan (LCSGJ), chemotherapy is performed only in 5.5% patients with liver cancer, and approximately 90% of these patients undergo HAIC [33]. In Japan, CDDP monotherapy [34], low-dose CDDP with 5-FU (low-dose FP) [35], interferon (IFN) with 5-FU [36, 37] and IFN with CDDP and 5-FU [38] are currently used as HAIC regimens. The regimen containing IFN has not become widespread because of the off-label use of this drug. Low-dose FP is the most common regimen used for HAIC and it has demonstrated a favorable outcome in our study with a median survival time (MST) of 15.9 months and a TTP of 4.1 months. A subset of patients who responded to the therapy (CR and PR) exhibited an MST of 40.7 months, while those who did not respond to the therapy (SD and PD) exhibited an MST of just 6.8 months, suggesting a better survival among responders than among non-responders [35].



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Characteristics	Cohort 1					Cohoi	+ 2					Col	ort	e.					Tot:			
No. of Patients	n=6	u	(%)	u	(%)	n=6		u	(%)	u	(%)	n=	5		n	(%)	n	(%)	u	(%)	и	(%)
Grade (CTCAE v.3.0)	1 2 3 4	4 An	, V	3/4	, ,	1 2	3 4	An	, v	3/4		H	5	с 7	A1	, Ar	3/4		Any		3/4	х 2
Leukocytes	1 2 1 (4	66.7	-	16.7	1 2	0 0	m	50	0	0	0		0		16.7	0	0	10	55.6	3	16.7
Neutrophils	0 1 1 0) 2	33.3	1	16.7	3 0	0 0	ŝ	50	0	0	0	0	-	-	16.7	Ч	16.7	4	38.9	2	11.1
Hemoglobin	4 0 2 0	9 (100	2	33.3	4 0	0 0	4	66.7	0	0	0	, ,	1	2	33.3	Η	16.7	12	66.7	2	11.1
Platelets	2 1 1 1	1	83.3	2	33.3	4 0	2 0	9	100	2	33.3	1	0	0	-	16.7	0	0	12	66.7	4	22.2
AST	5 0 1 0	9 (100	1	16.7	4 1	1 0	9	100	1	16.7	1	0	-	2	33.3	1	16.7	14	77.8	3	16.7
ALT	2 2 0 0) 4	66.7	0	0	3 1	0 0	4	66.7	0	0	0	- -	0	1	16.7	0	0	6	50	0	0
Amylase	4 0 0 0) 4	66.7	0	0	1 0	0 0	Η	16.7	0	0	0	0	0	0	0	0	0	ß	27.8	0	0
Hand-foot skin reaction	2 1 0 0) 3	50	0	0	2 1	1 0	4	66.7	1	16.7	З	0	0	33	50	0	0	10	55.6	1	5.6
Hypertension	2 0 0 0) 2	33.3	0	0	2 0	0 0	2	33.3	0	0	0	0	0	0	0	0	0	4	22.2	0	0
Diarrhea	2 1 0 0) 3	50	0	0	1 0	0 0	Ч	16.7	0	0	0	2	0	2	33.3	0	0	9	33.3	0	0
Erythema multiforme	0 0 2 0) 2	33.3	2	33.3	0 0	1 0	Η	16.7	Η	16.7	0	0	0	0	0	0	0	3	16.7	3	16.7
Alopecia	0 0 0 0	0 (0	0	0	1 0	0 0	Ξ	16.7	0	0	Η	0	0	-	16.7	0	0	2	11.1	0	0
Anorexia	1 0 0 0	0 1	16.7	0	0	1 1	0 0	2	33.3	0	0	0	2	0	2	33.3	0	0	S	27.8	0	0
Fatigue	0 0 0 0	0 (0	0	0	1	0 0	7	33.3	0	0	0	- -	0	-	16.7	0	0	3	16.7	0	0
Fever	1 0 0 0) 1	16.7	0	0	1 0	0 0		16.7	0	0	0	0	0	0	0	0	0	2	11.1	0	0
Voice changes	1 0 0 0) 1	16.7	0	0	0 0	0 0	0	0	0	0	0	0	0	0	0	0	0	Η	5.6	0	0
Headache	1 0 0 0) 1	16.7	0	0	0 0	0 0	0	0	0	0	0	0	0	0	0	0	0	Ч	5.6	0	0
Hemorrhage, nasal	2 0 0 0) 2	33.3	0	0	0 0	0 0	0	0	0	0	0	0	0	0	0	0	0	2	11.1	0	0
Stomatitis	0 2 0 () 2	33.3	0	0	0 0	0 0	0	0	0	0	0	0	0	0	0	0	0	2	11.1	0	0
Nausea	2 0 0 0) 2	33.3	0	0	1 0	0 0		16.7	0	0	Η	0	0	-	16.7	0	0	4	22.2	0	0
Edema	1 0 0 0) 1	16.7	0	0	$0 \ 1$	0 0		16.7	0	0	0	0	0	0	0	0	0	2	11.1	0	0
Ascites	0 0 0 0	0 (0	0	0	0 1	0 0	1	16.7	0	0	0	0	0	0	0	0	0	1	5.6	0	0
CTCAE=Common Termiı	iology Crite	ria fo	r Advers	se Eve	ents.																	

 Table 3.
 Treatment-related adverse events across all cycles

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Fig. 3. TTP and OS in 18 patients with HCC treated with sorafenib plus HAIC using low-dose FP. The median TTP was 9.7 months (95% CI 5.4-14.0), the median OS was 14.1 months (95% CI 11.7-16.5) and the 6-month survival and 1-year survival were 83.3% and 66.7%, respectively.

No. of patients	Cohort 1 Sorafenib 400 mg twice daily CDDP 14 mg/m ² 5-FU 170 mg/m ² (n=6)	Cohort 2 Sorafenib 400 mg twice daily CDDP 14 mg/m ² 5-FU 330 mg/m ² (n=6)	Cohort 3 Sorafenib 400 mg twice daily CDDP 20 mg/m ² 5-FU 330 mg/m ² (n=6)	Total (n=18)
Best overall tumor response				
CR	0	0	0	0
PR	3	1	3	7
SD	1	4	2	7
PD	0	1	1	2
NE	2	0	0	2
Objective response rate (CR + PR),%	50	16.7	50	38.9
Disease control rate (CR + PR + SD),%	66.7	83.3	83.3	77.8
NE=not evaluable.				

Table 4. Efficacy of sorafenib with HAIC using Low-dose FP

Propensity analysis of the nationwide survey clearly revealed that HAIC is superior to the best supportive care based on a nationwide survey of the LCSGJ [39, 40].

HAIC was developed in Japan but is not used in Western countries because no randomized controlled trials (RCTs) have been conducted, and its use is based on empirical data. The American Association for the Study of Liver Diseases (AASLD) clinical practice guidelines for liver cancer strongly recommends that HAIC should not be used as a standard treatment [41]. To overcome this obstacle, RCTs to prove the survival benefit of HAIC are critical. However, because the effectiveness of empirically established HAIC is widely accepted in Japan,

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Liver Cancer 2015;4:263–273	
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to conduct RCTs has been precluded by ethical concerns. This situation resembles that encountered in the history of TACE, which was also empirically developed in Japan but was not approved until RCTs conducted in other countries confirmed its efficacy and safety [10, 42]. The advantage of HAIC is that responses can be estimated on the basis of tumor markers, imaging findings, or other parameters at 2–4 weeks after therapy. Consequently, both responders and non-responders can be distinguished in less than four weeks. For non-responders, treatment should be switched to a molecular targeted therapy such as sorafenib, a global standard of treatment for advanced HCC. There is a growing discussion about whether HAIC or molecular targeted therapy should be selected as the first-line treatment for patients with HCC who are not eligible for TACE, such as with major vascular invasion, and multiple HCCs unresponsive to TACE. For such cases, HAIC generally provides good outcomes with a RR of between 14–71% and an MST of 2.6–15.9 months [23, 35, 43]. The RR of HAIC in our study was similar to that of previous reports, where the responders demonstrated a better outcome than non-responders. Thus, HAIC should be considered as an alternative option for the treatment of advanced HCC if used appropriately.

The low-dose FP regimen used in this trial was modified from the original study, where one course of HAIC consisted of an intra-arterial infusion of daily CDDP (7 mg/m² for 1 hour on days 1–5) followed by 5-FU (170 mg/m² for 5 hours on days 1–5). Days 6–7 were a drug-free period and patients received four serial courses of HAIC. However, this method is not suitable for a subset of patients with long hospitalizations or those with frequent injection pain or severe myelosuppression [19]. In addition, the management of HAIC is complicated for medical staff. Therefore, we took a different approach in this trial; CDDP was administered on days 1 and 8 and 5-FU was continuously administered on days 1–5 and days 8–12, aiming to simplify the management and make the treatment more tolerable.

The rationale for this combination therapy is the complementary effects of sorafenib and HAIC, the survival benefit of sorafenib and the tumor shrinkage effect of HAIC. The results of this study showed favorable tumor control by TTP. At our institute, the TTP of sorafenib monotherapy and that of HAIC using low-dose FP was 4.1 months and 5.4 months, respective-ly. Thus, the TTP of the combination therapy was 9.7 months, indicating an additional effect of combined sorafenib and HAIC compared with sorafenib alone.

All of the adverse events observed were previously known. Hand-foot skin reactions, hypertension, and diarrhea were frequently observed for sorafenib therapy and myelosuppression was observed for HAIC. Previously unknown adverse events emerging from this combination therapy were not observed.

The results of this phase I study were favorable. Therefore, the independent data monitoring committee of this study decided that the phase II study should be cancelled, and they recommended a shift to a phase III trial to confirm the efficacy of the combination therapy.

Currently, a phase III randomized, controlled trial comparing the efficacy of sorafenib alone versus sorafenib in combination with low dose FP in patients with advanced HCC, containing an exploratory study of biomarkers to predict its efficacy, is ongoing (ClinicalTrials. gov ID: NCT01214343).

In conclusion, the recommended dose of this combination therapy was determined to be as follows: sorafenib 400 mg twice daily per individual orally for 28 days with intra-arterial infusion of CDDP 20 mg/m² on days 1 and 8 and 5-FU 330 mg/m²/day continuously on days 1 to 5, and days 8 to 12 via the implanted catheter system.



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DOI: 10.1159/000367751 Published online: October 21, 2015	© 2015 S. Karger AG, Basel www.karger.com/lic	

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Acknowledgments

This study was supported by the Japan Liver Oncology Group.

Disclosure Statement

All authors have no conflicts of interest to declare.

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DOI: 10.1159/000367751	© 2015 S. Karger AG, Basel
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