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# Phosphorylation of ULK1 by AMPK is essential for mouse embryonic stem cell self-renewal and pluripotency

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## Abstract

Autophagy is a catabolic process to degrade both damaged organelles and aggregated proteins in somatic cells. We have recently identified that autophagy is an executor for mitochondrial homeostasis in embryonic stem cell (ESC), and thus contribute to stemness regulation. However, the regulatory and functional mechanisms of autophagy in ESC are still largely unknown. Here we have shown that activation of ULK1 by AMPK is essential for ESC self-renewal and pluripotency. Dysfunction of Ulk1 decreases the autophagic flux in ESC, leading to compromised self-renewal and pluripotency. These defects can be rescued by reacquisition of wild-type ULK1 and ULK1(S757A) mutant, but not ULK1 (S317A, S555A and S777A) and kinase dead ULK1(K46I) mutant. These data indicate that phosphorylation of ULK1 by AMPK, but not mTOR, is essential for stemness regulation in ESC. The findings highlight a critical role for AMPK-dependent phosphorylation of ULK1 pathway to maintain ESC self-renewal and pluripotency.

Autophagy is a highly conserved lysosome-mediated degradation process in eukaryote cells<sup>1–3</sup>. The primary roles of autophagy in many organisms are first identified as an adaptive mechanism to nutrient deprivation. In yeast, autophagy-defective cells rapidly die upon starvation<sup>4</sup>. Mice deficient for Atg3, Atg5, and Atg7 have short survival time after birth upon food deprivation<sup>5–7</sup>. Recently, more and more studies have revealed that constitutive autophagy plays critical roles for cellular homeostasis and development. Dysfunction of autophagy leads to various diseases, such as neurodegeneration disease, hepatic failure, muscle atrophy, severe anaemia, and cancer<sup>8,9</sup>.

In contrast to the function study of autophagy in somatic cells, the role of autophagy in the regulation of

pluripotent stem cell (PSC), including embryonic stem cell (ESC) and induced pluripotent stem cell (iPSC), is poorly understood. PSC is defined by the characters of self-renewal and pluripotency, which make it an unlimited resource for cell therapy and drug discovery<sup>10–13</sup>. Extensive studies have focused on mechanisms of transcription factors<sup>14</sup>, epigenetic factors<sup>15</sup>, and miRNAs<sup>16</sup> in ESC stemness regulation; however, how the ESC maintain their self-renewal and pluripotency through metabolic regulation is largely unknown. Recently, we have identified the catabolic process autophagy as an executor to degrade the mitochondria in ESC, and thus maintain their mitochondrial homeostasis. Dysfunction of autophagy by Atg3 deletion inhibits mitochondrial removal in ESC, resulting in accumulation of abnormal mitochondria and attenuated pluripotency gene expression<sup>17,18</sup>. These data suggest that autophagy plays critical roles for ESC self-renewal and pluripotency.

Recent studies have identified that the kinases may play roles in ESC identity maintenance<sup>19–21</sup>. Serine/threonine protein kinase ULK1 is required for autophagy induction

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in yeast<sup>22–25</sup>. In mammals, ULK1 formed complex with ATG13 and FIP200<sup>26</sup>. Both ATG13 and FIP200 are required for ULK1 localization to the isolation membrane in sensing autophagic signals<sup>27</sup>. Recently, Kim et al. reported that ULK1 was regulated via opposing phosphorylation by AMPK and mTOR. Under glucose depletion, AMPK promotes autophagy by directly activating ULK1 through phosphorylation of Ser(317), Ser(777)<sup>28</sup>, and Ser(555)<sup>29</sup>. In contrast, when nutrients are sufficient, mTOR prevents ULK1 activation by phosphorylating ULK1 at Ser(757), and thus disrupts the interaction between ULK1 and AMPK<sup>28</sup>. As a critical autophagy-initiating kinase, how ULK1 is regulated, and thus contribute to ESC stemness modulation, is unclear.

## Results

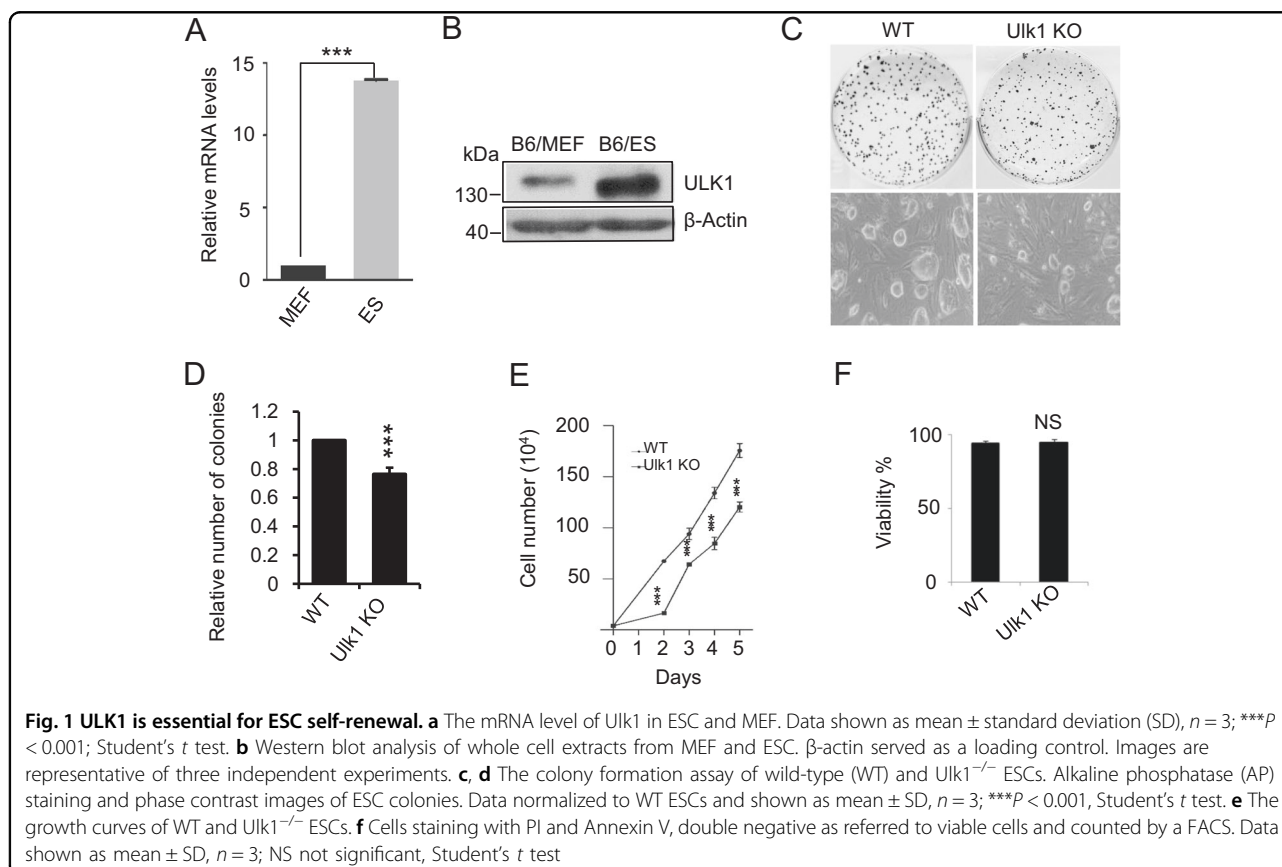
### Ulk1 deficiency inhibits ESCs self-renewal

ULK1 is a member of serine/threonine kinase family. Quantitative PCR and western blot assays identified that *Ulk1* is highly expressed in ESC at both mRNA and protein levels, compared to mouse embryonic fibroblast (MEF) (Fig. 1a, b). To examine whether ULK1 plays an important role in maintaining ESC stemness, we knocked-out the *Ulk1* in vivo by using CRISPR-Cas9 system. The sgRNA-targeted sequence overlaps with the recognition

sequence of the restriction enzyme *Ehe I* (Supplementary Fig. 1A). The restriction site will be destroyed by CRISPR-Cas9 if the targeting succeeds. We screened the *Ulk1* knockout ES cell lines by *Ehe I* digestion first, and then the selected positive colonies were verified by DNA sequencing. Western blotting confirmed the silence of ULK1 protein expression in *Ulk1* knockout ES lines (Supplementary Fig. 1B).

To test whether ULK1 plays a role for ESC self-renewal, we performed colony formation assays using both *Ulk1*<sup>+/+</sup> and *Ulk1*<sup>-/-</sup> ESCs. In contrast to the wild-type ESC, *Ulk1*<sup>-/-</sup> ESC showed significantly decreased colony formation, indicating the compromised self-renewal ability (Fig. 1c, d). Correspondingly, the total number of *Ulk1*<sup>-/-</sup> ESCs is significantly decreased compared to WT ESCs during culture (Fig. 1e). Cell cycle analysis identified that ULK1 deletion enlarged the G1/G2-M ratio (Supplementary Fig. 1I, J). However, ULK1 deletion did not enhance apoptosis (Fig. 1f). These results indicate that ULK1 is essential for ESC self-renewal.

In mammals, ULK1 and ULK2 have been reported to be necessary for autophagy induction among the five ULK family members<sup>30–32</sup>. To investigate whether ULK2 has a similar function as ULK1 in ESC, we generated the *Ulk2* knockout ES lines as well (Supplementary Fig. 1C).



Surprisingly, although *Ulk1* knockout dramatically decreased the autophagic flux, *Ulk2* deletion did not affect autophagic flux any more in ESC (Supplementary Fig. 1D, E). Correspondingly, silencing *Ulk2* did not affect ESC self-renewal and pluripotent gene expression (Supplementary Fig. 1F, G). These data indicate that *Ulk2* is not involved in autophagy induction and thereafter pluripotency regulation in ESCs.

**Ulk1 deficiency impairs ESC pluripotency and differentiation**

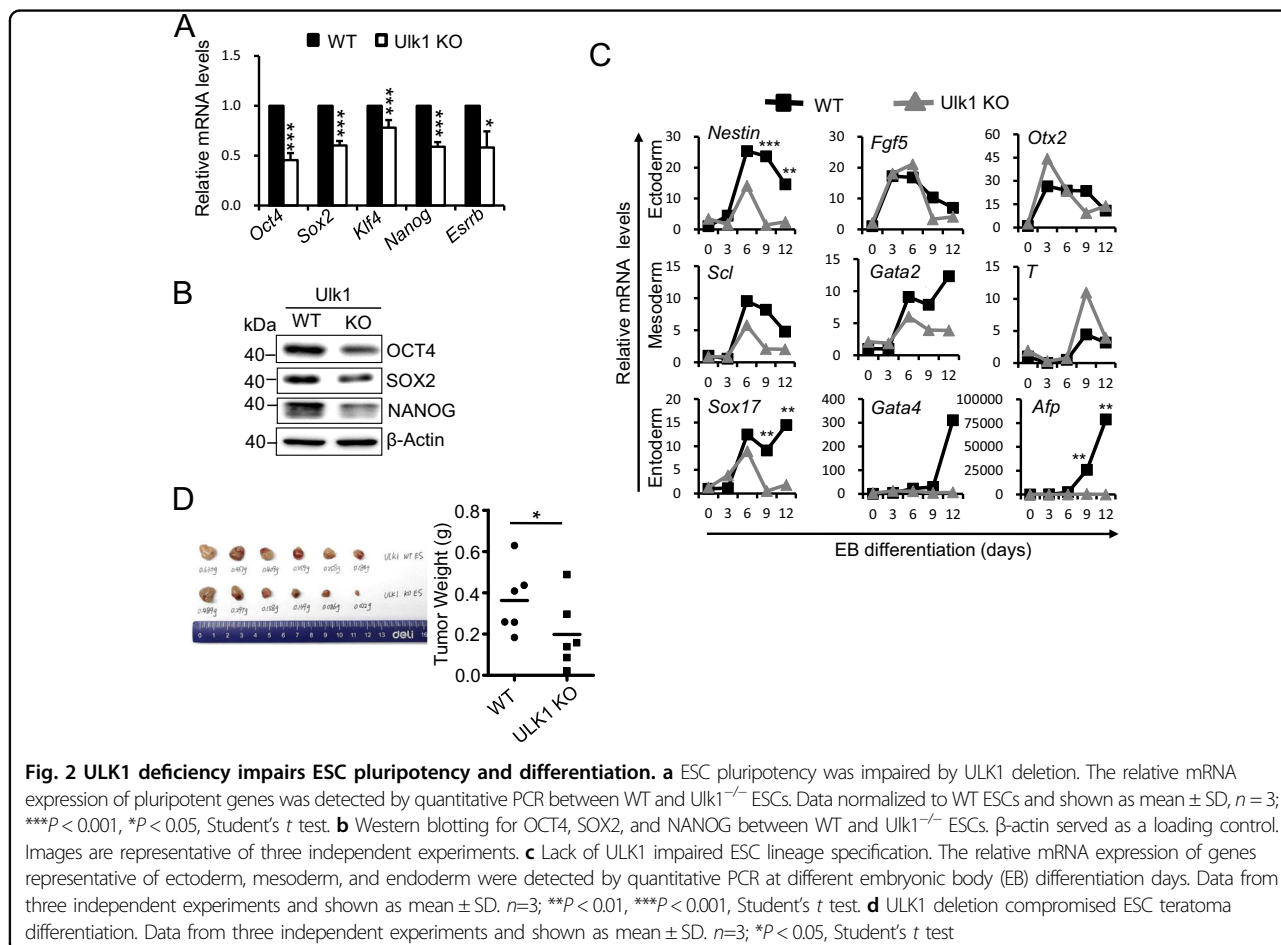
To test whether loss of ULK1 will affect the ESC pluripotency, we performed qPCR analysis on the mRNA expression of pluripotent genes in both *Ulk1*<sup>+/+</sup> and *Ulk1*<sup>-/-</sup> ESCs. From three independent experiments, we found that the expression of pluripotent genes in *Ulk1*<sup>-/-</sup> ESCs was significantly decreased compared to wide-type ESC, suggesting that deletion of *Ulk1* leads to the compromised pluripotency in ESC (Fig. 2a, b). In support of this assumption, *Ulk1*<sup>-/-</sup> ESC showed abnormal embryonic body (EB) differentiation, characterized by delayed expression of certain endodermic and ectodermic marker genes (Fig. 2c). Then, we performed neuronal

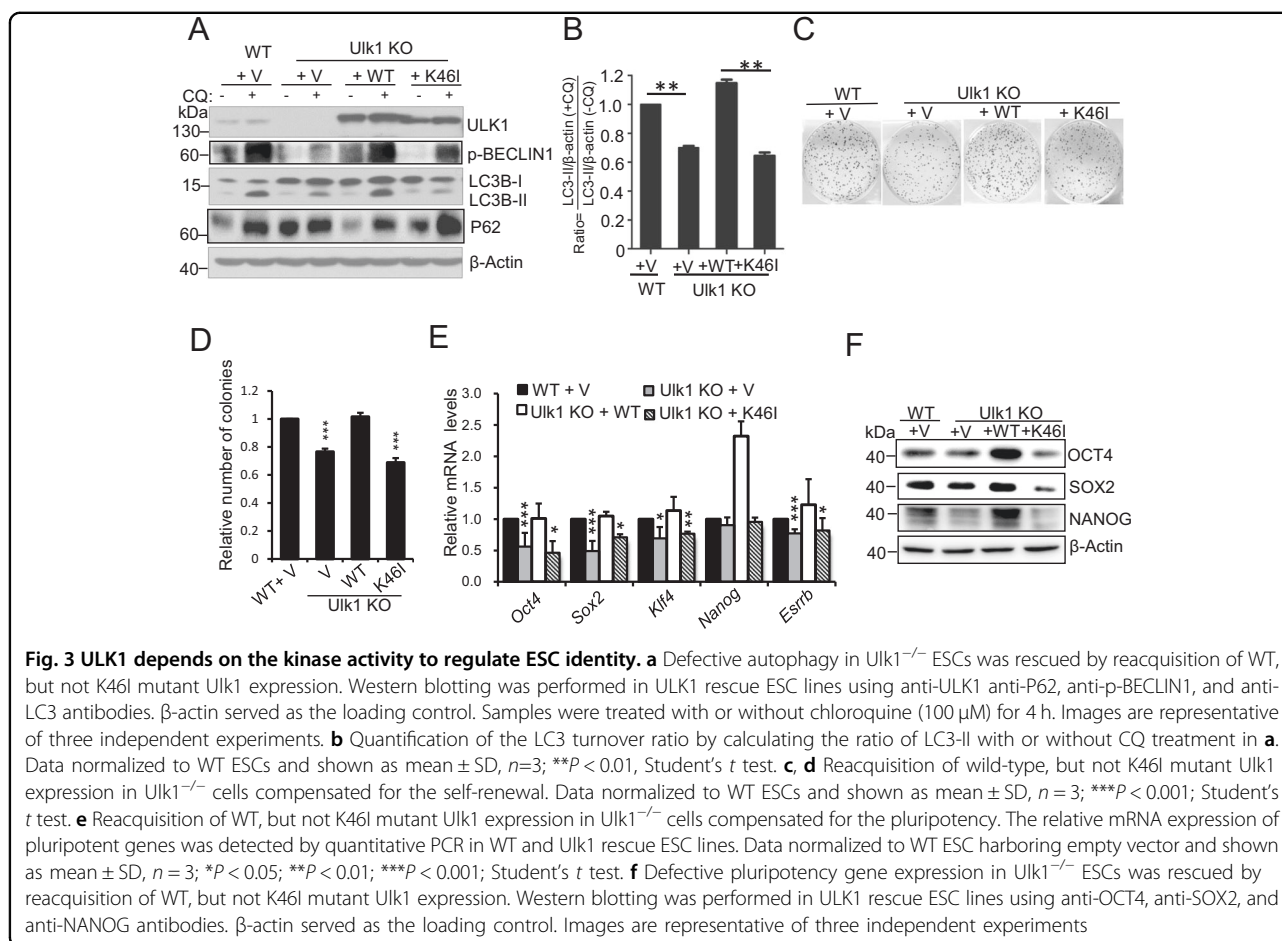
stem cell (NSC) differentiation in vitro and found lack of ULK1 dramatically inhibited NSC differentiation (Supplementary Fig. 2A, B).

Furthermore, teratoma formation assay was employed to investigate the *Ulk1* contribution to ESC differentiation. While both *Ulk1*<sup>+/+</sup> and *Ulk1*<sup>-/-</sup> ESCs formed teratomas, the average weight of teratomas formed by *Ulk1*<sup>-/-</sup> ESC is significantly lower than that of *Ulk1*<sup>+/+</sup> ESC (Fig. 2d), supporting that *Ulk1* is critical for differentiation of pluripotent stem cells. Together, these data suggest that ULK1 is essential for ESC pluripotency and differentiation.

**ULK1 regulates ESC self-renewal and pluripotency depending on its kinase activity**

Previous study reported that K46I mutant of ULK1 markedly inhibited its kinase activity and failed to form the autophagosomes in starved 293/GFP-LC3 cells<sup>33</sup>. To investigate whether the aberrant self-renewal and pluripotency of *Ulk1*<sup>-/-</sup> ESC were directly caused by the loss of ULK1 kinase activity, gain-of function assays were performed by introducing *Ulk1* expression into *Ulk1*<sup>-/-</sup> ESC. We established stable *Ulk1*<sup>-/-</sup> ESC lines carrying an





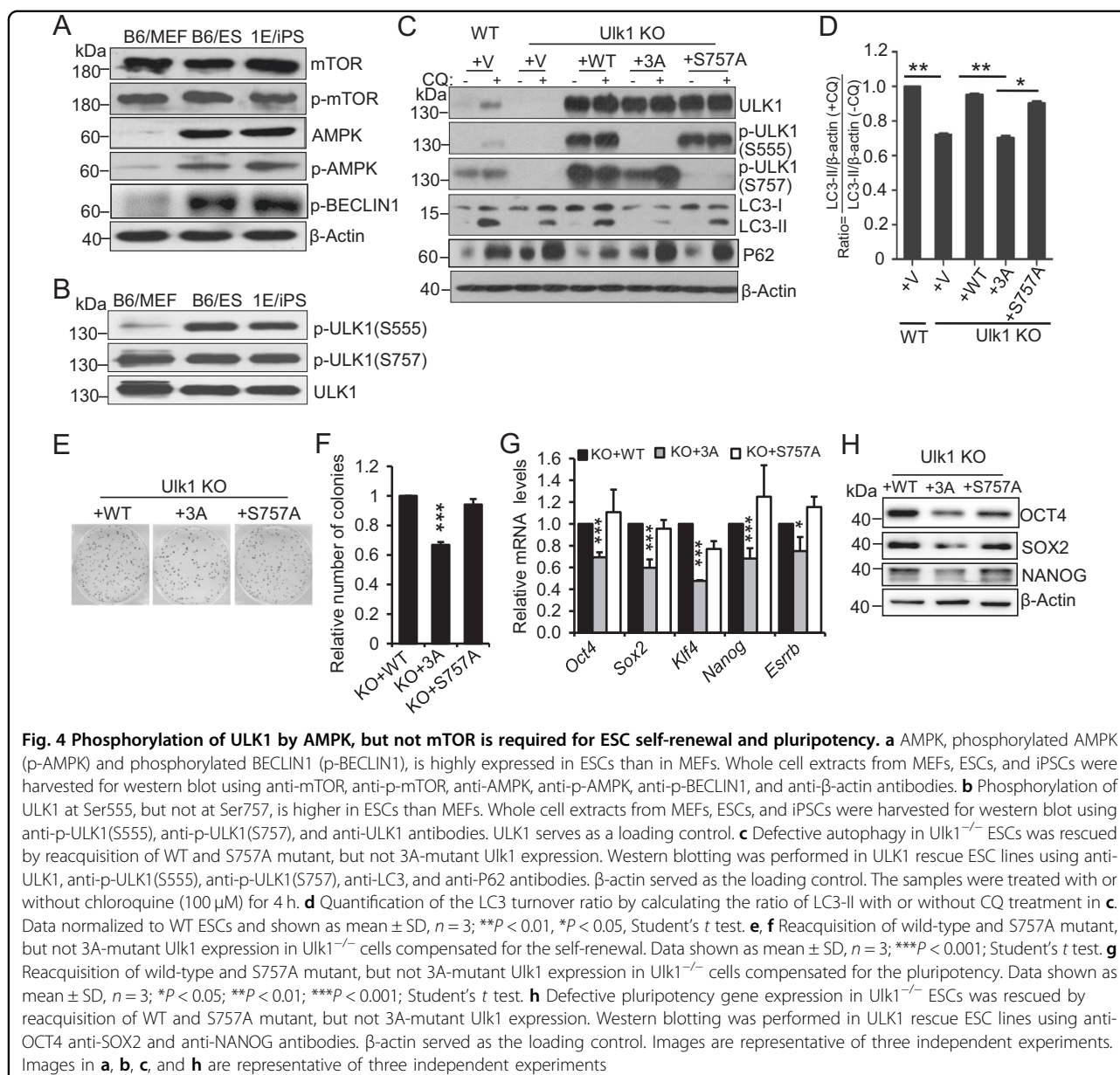
empty vector, wild-type  $Ulk1$  or kinase dead mutant  $Ulk1$  (K461). As expected, autophagic flux can only be rescued in  $Ulk1^{-/-}$  stable ESC lines carrying wild-type  $Ulk1$ , but not the empty vector or  $Ulk1$  (K461) mutant, indicating kinase activity is important for  $Ulk1$  to induce autophagy in ESC (Fig. 3a, b). Correspondingly, defective colony formation ability in  $Ulk1^{-/-}$  ESC was only restored by gain of wild-type  $Ulk1$ , but not  $Ulk1$  (K461) mutant (Fig. 3c, d). Meanwhile, the decreased pluripotency gene expression in  $Ulk1^{-/-}$  ESCs was only rescued by reacquisition expression of wide-type, but not K461 mutant,  $Ulk1$  (Fig. 3e, f). Together, these data suggest that  $ULK1$  depends on its kinase activity to regulate the ESC self-renewal and pluripotency.

#### Phosphorylation of $ULK1$ by AMPK, but not mTOR, is required for ESC self-renewal and pluripotency

$Ulk1$  can be coordinately regulated by AMPK and mTOR through their kinase activity in somatic cells. To understand how the  $ULK1$  was regulated in ESC, and thus contributed to stemness regulation, we first detected the activation status of mammalian target of rapamycin

(mTOR), the principal pathway restricting autophagy induction, and adenosine monophosphate-activated protein kinase (AMPK), a recently identified autophagy activator, in both ESC and MEF. We did not observe differences in mTOR activation level between MEF and pluripotent stem cells using western blot detection for phosphorylated mTOR (p-mTOR). In contrast, the activation of AMPK (p-AMPK), ATG14 (p-ATG14), and BECLIN1 (p-BECLIN1) in pluripotent stem cells are significantly higher than that of MEF (Fig. 4a and Supplementary Fig. 1H), indicating AMPK activation is essential for stemness regulation in ESC. We then investigated the activation of  $ULK1$  by either AMPK or mTOR between somatic MEF and pluripotent stem cells, respectively. Interestingly,  $ULK1$  phosphorylation on Ser(555) by AMPK, but not on Ser(757) by mTOR, was detected to be significantly higher in pluripotent stem cells than in somatic MEF. These data indicate that phosphorylation of  $ULK1$  by AMPK, but not mTOR, is essential for stemness regulation in ESC (Fig. 4b).

To investigate whether non-canonical function of  $ULK1$  contributes to pluripotency regulation, we treated the cells



with TrkA inhibitors. Inhibition of ULK1 target TrkA did not change pluripotent gene expression in ESCs, indicating that non-canonical function of ULK1 is not involved in ESC identity regulation (Supplementary Fig. 2C).

To further confirm that AMPK phosphorylation of ULK1 is crucial for maintaining the ESC self-renewal and pluripotency, we performed gain-of-function assays by establishing stable *Ulk1*<sup>-/-</sup> ESC lines carrying an empty vector, wild-type *Ulk1*, 3A-mutant *Ulk1* (S317A, S777A, and S555A), or S757A mutant *Ulk1*. Interestingly, decreased autophagic flux in *Ulk1*<sup>-/-</sup> ESC can only be restored by reacquisition of wild-type and S757A mutant *Ulk1*, but not the empty vector or 3A-mutant *Ulk1*

(Fig. 4c, d). Correspondingly, reacquisition of wild-type and S757A mutant *Ulk1*, but not 3A-mutant *Ulk1*, in *Ulk1*<sup>-/-</sup> ESC can restore the abnormal colony formation and pluripotency gene expression (Fig. 4e-h). Together, these data suggested that phosphorylation of ULK1 by AMPK, but not mTOR, is essential for ESC self-renewal and pluripotency.

## Discussion

Recently, we have demonstrated that ATG3-dependent autophagy plays critical roles in maintaining the ESC stemness. ATG1 is the first identified autophagy machinery gene in *Saccharomyces cerevisiae*. In mammals,

five ATG1 homologous have been identified as ULK1, ULK2, ULK3, ULK4, and STK36. ULK1 and ULK2 are the most closely related members among the family<sup>34</sup>. ULK4 has been suggested to maintain neural stem cell pools in mice<sup>35</sup>. ULK1, *Saccharomyces cerevisiae* ATG1 kinase homolog in mammals, is an autophagy-initiating kinase, which can form a complex with ATG13, FIP200, and ATG101 to initiate autophagy upon nutrient deprivation in somatic cells. How the ULK1 is regulated in ESC, and thus contributes to stemness, is unknown. In this study, we found that ULK1 is highly expressed in ESC, and its kinase activity is critical for stemness regulation. We further demonstrated that phosphorylation of ULK1 by AMPK, but not mTOR, is required for ESC self-renewal and pluripotency.

In somatic cells, mTOR and AMPK coordinate to regulate cellular nutrition and energy signals to maintain cellular homeostasis under different nutrient conditions<sup>36,37</sup>. Under nutrient-rich conditions, activated mTOR phosphorylates ULK1 on Ser(757) and disrupt ULK1–AMPK interaction, maintaining ULK1 inactivation<sup>28</sup>. When cellular energy is decreasing like glucose deprivation, AMPK is activated and phosphorylates ULK1 at Ser(555), leading to disrupting the interaction between mTOR and ULK1<sup>38,39,28</sup>. In contrast, we found here that the phosphorylation level of mTOR is similar between somatic MEF and ESC, while the level of both AMPK and phosphorylated AMPK are significantly increased in ESC compared to somatic MEF under normal conditions. Most importantly, active phosphorylation of ULK1 by AMPK on Ser(555) is significantly higher in ESC than in somatic MEF. These data suggest that constitutive activation of ULK1 by AMPK is an intrinsic signal pathway inside ESC to regulate their identity under normal physiological conditions.

ESCs have a short cell cycle and maintain a rapid proliferation rate with a shortened G1 and an extended S phase<sup>40,41</sup>. In favor of the high proliferation rate, ESCs are expected to exert substantial energy demands and efficient material turnover. However, ESCs rely mainly on glycolysis and have less ATP generation than somatic cells<sup>42</sup>. The increased expression of both ULK1 and AMPK-phosphorylated ULK1 in ESCs compared to somatic fibroblasts suggests a high autophagic potency in ESCs. We propose that ESCs harness enhanced autophagic potency through elevating AMPK phosphorylation of ULK1 to balance energetic with biosynthetic demands to facilitate rapid cell duplication and self-renewal.

## Materials and methods

### Construction of Ulk1 expression vector

Mouse cDNA of Ulk1 was cloned into the pCDH-CAG-mCherry lentivirus-vector. The Ulk1 mutants 3A (S317A,

S555A, and S777A), S757A, and K46I vectors were constructed by using overlap PCR. The primers we used were listed as follows: Ulk1-F, 5'-GGAATTCGCCACCATG GAGCCGGGCGCGGC-3'; Ulk1-R, 5'-GCGCGGCC GCTCAGGCATAGACACCACTCA-3'; S317A-F, 5'-CTGGCCTCTCCACCGGCCCTGGGGGAGATGCCA-3'; S317A-R, 5'-TGGCATCTCCCCAGGGCCGGTG GAGAGGCCAG-3'; S555AF, 5'-GGCTGCCGCCTGCA CGTGCCCTAACCTGTCC-3'; S555AR, 5'-GGA-CAGGTTAGGGGCAGCGTGCAGGCGGCAGCC-3'; S777AF, 5'-TTCTCAGTGGGCTCTGCCAGCTCCCTG GGCTCT-3'; S777AR, 5'-AGAGCCCAGGGAGCTGGCA GAGCCCACTGAGAA-3'; S757AF, 5'-GTATTTAC TGTAGGCGCCCCACCCAGTGGTGCC-3'; S757AR, 5'-GGCACCCTGGGTGGGGCGCCTACAGTAAATAC-3'; K46IF, 5'-CTGGAGGTGGCCGTCATATGCATTAACAA GAAG-3'; and K46IR, 5'-CTTCTTGTAAATGCATATGA CGGCCACCTCCAG-3'.

### Cell culture

The ESCs were isolated at embryonic d 3.5, which have been characterized previously<sup>17</sup>. ESCs were routinely maintained in ESC medium (knockout Dulbecco's Modified Eagle's Medium with 15% fetal bovine serum, 2 mM glutamine, 1 mM sodium pyruvate, 0.1 mM nonessential amino acids, 100 µg/ml streptomycin, 100 U/ml penicillin, 0.055 mM β-mercaptoethanol, and 1000 U/ml leukemia inhibitory factor). For colony formation assay, ESCs were seeded at 1000 cells/well in a 6-well plate and cultured as described previously<sup>18</sup>.

### Ulk1 knockout ESC

We designed and synthesized mouse Ulk1 sgRNA, and the sequence of Ulk1 sgRNA were as follows: F: ACCGATTGGACACGGCGCCTTCG; R: AAACC GAAGGCGCCGTGTCCAATCC. After annealing, the oligos were ligated into the linearized vector px330, then we transfected ESC using this plasmid by electroporation. About 72 h after electroporation, we reseeded the cell on a 6-well plate, and then picking single colonies for genotyping. A region around the cutting site was amplified using flowing primers: F, 5'-CACCGGATTGGA CACGGCGCCTTCG-3'; R, 5'-AAACCGAAGGCGCC GTGTCCAATCC-3'. PCR products were digested by *Ehe* I enzyme in order to identify the Ulk1 knockout ESCs.

### Lentivirus packing and stable ES cell line establishment

Lentiviral particles were produced by calcium phosphate-mediated co-transfection of HEK293T cells with psPAX2 and pMD2.G plasmids. After 48 h of transfection, we collected the virus supernatant and then infected ESC, which was seeded at 40,000 cells/well in a 6-well plate. After 72 h of infection, stable ES cell lines were selected by cell sorting for mCherry expression (BD Aria).

### Colony formation assay and AP staining

ESCs were seeded at 1000 cells/well in a 6-well plate, and cultured for about 7 days. The colonies were stained by an Alkaline Phosphatase Assay Kit (Beyotime), and the colony numbers were analyzed by an Image-Pro Plus software.

### Western blotting

ESCs were either treated or not treated with 100  $\mu$ M Chloroquine (Sigma) for 4 h. After the treatments, the cells were collected and lysed by RIPA buffer with protease inhibitor PMSF (Beyotime). The lysates were resolved by SDS/PAGE, and proteins were transferred onto PVDF membranes. For WB, the following antibodies were used: the anti-actin (1:5000) was purchased from Abcam, anti-LC3B (1:2000) and anti-ULK1 (1:1000) were obtained from Sigma-Aldrich, anti-pULK1 (S555) (1:1000), and anti-pULK1 (S757) (1:1000) were purchased from Sigma, and anti-mTOR (1:1000), anti-mTOR (1:1000), anti-AMPK (1:1000), anti-p-AMPK (1:1000) were purchased from Cell Signaling Technology.

### Quantitative real-time PCR

Total RNA was extracted from ESCs and EBs with a total RNA isolation kit (GeneMark). Total RNA (2  $\mu$ g) was reverse transcribed into cDNA using a SuperScript™ III first-strand synthesis system (Invitrogen). The primers used for testing the pluripotency and three germ layer gene expression were as follows: Oct4F, 5'-AGAGGATCACCTTGGGGTACA-3'; Oct4R, 5'-CGAAGCGACAGATGGTGGTC-3'; Sox2F, 5'-GCGGAGTGGAACTTTGTCC-3'; Sox2R, 5'-CGGGAAGCGTGTACTTATCCTT-3'; Klf4F, 5'-GTGCCCCGACTAACCCTTG-3'; Klf4R, 5'-GTCGTTGAACTCCTCGGTCT-3'; NanogF, 5'-TCTTCCTGGTCCCCACAGTTT-3'; NanogR, 5'-GC AAGAATAGTTCTCGGGATGAA-3'; EsrrbF, 5'-CAGGCAAGGATGACAGACG-3'; EsrrbR, 5'-GAGACAGCACGAAGGACTGC-3'; NestinF, 5'-CCCTGAAGTCGAGGAGCTG-3'; NestinR, 5'-CTGCTGCACCTCTAAGCGA-3'; Fgf5F, 5'-CTGTATGGACCCACAGGGAGTAA C-3'; Fgf5R, 5'-ATTAAGCTCCTGGGTCGCAAG-3'; Otx2F, 5'-TATCTAAAGCAACCGCCTTACG-3'; Otx2R, 5'-AAGTCCATACCCGAAGTGGTC-3'; ScfF, 5'-CTGGCCTCCAGCTACATTTCT-3'; ScfR, 5'-GTCACGGTCTTTGCTCAACTT-3'; GATA2F, 5'-CACCCCGCCGTATTGAATG-3'; GATA2R, 5'-CCTGCGAGTCGAGATGGTTG-3'; TF, 5'-GCTTCAAGGAGCTAACTAACGAG-3'; TR, 5'-CCAGCAAGAAAGAGTACATGGC-3'; AFPF, 5'-CTTCCCTCATCTCCTGCTAC-3'; AFPR, 5'-ACAACTGGGTAAGGTGATGG-3'; GATA4F, 5'-CCCTACCCAGCCTACATGG-3'; GATA4R, 5'-ACATATCGAGATTGGGGTGTCT-3'; Sox17F, 5'-GATGCGGATACGCCAGTG-3'; Sox17R, 5'-CCACCACCTCG

CCTTTCAC-3'; ActinF, 5'-GGCTGTATTCCCCTCCATCG-3'; ActinR, and 5'-CCAGTTGGTAACAATGCCATGT-3'.

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### Competing interests

The authors declare no competing financial interests.

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