Photoacoustic Spatial Coherence Theory and Applications to Coherence-Based Image Contrast and Resolution

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Abstract—The photoacoustic effect relies on optical transmission, which causes thermal expansion and generates acoustic signals. Coherence-based photoacoustic signal processing is often preferred over more traditional signal processing methods due to improved signal-to-noise ratios, imaging depth, and resolution in applications such as cell tracking, blood flow estimation, and imaging. However, these applications lack a theoretical spatial coherence model to support their implementation. In this article, the photoacoustic spatial coherence theory is derived to generate theoretical spatial coherence functions. These theoretical spatial coherence functions are compared with k-Wave simulated data and experimental data from point and circular targets (0.1–12 mm in diameter) with generally good agreement, particularly in the shorter spatial lag region. The derived theory was used to hypothesize and test previously unexplored principles for optimizing photoacoustic short-lag spatial coherence (SLSC) images, including the influence of the incident light profile on photoacoustic spatial coherence functions and associated SLSC image contrast and resolution. Results also confirm previous trends from experimental observations, including changes in SLSC image resolution and contrast as a function of the first M lags summed to create SLSC images. For example, small targets (e.g., <1-4 mm in diameter) can be imaged with larger M values to boost target contrast and resolution, and contrast can be further improved by reducing the illuminating beam to a size that is smaller than the target size. Overall, the presented theory provides a promising foundation to support a variety of coherence-based photoacoustic signal processing methods, and the associated theory-based simulation methods are more straightforward than the existing k-Wave simulation methods for SLSC images.

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Index Terms—Acoustic signal processing, coherencebased beamforming, photoacoustic imaging, spatial coherence.

Manuscript received January 15, 2020; accepted May 26, 2020. Date of publication June 2, 2020; date of current version September 25, 2020. This work was supported in part by the NSF CAREER Award under Grant ECCS-1751522 and Grant NIH R00 EB018994 and in part by NSF Graduate Research Fellowship under Grant DGE1746891. (*Corresponding author: Michelle T. Graham.*)

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Digital Object Identifier 10.1109/TUFFC.2020.2999343

I. INTRODUCTION

PHOTOACOUSTIC imaging techniques rely on the photoacoustic effect to generate acoustic signals. To achieve the photoacoustic effect, pulsed light is transmitted, and an acoustic wave is generated from the thermal expansion and contraction of an optically absorbing target. By tuning the wavelength of the incident light, techniques using this effect exploit the optical absorption spectrum of biologic chromophores to achieve high target sensitivity [1]. Common clinical targets include hemoglobin, nerves, and metal with major application areas in blood flow measurement [2], flow cytometry [3], vascular imaging [4], [5], surgical navigation and guidance [6]–[12], and implant placement [13], [14].

Coherence-based photoacoustic techniques are increasingly popular due to their ability to improve resolution and imaging depth. For example, changes in the volume of red blood cells flowing through an artery tend to generate fluctuations in the resulting photoacoustic pressure signal. The temporal coherence of these pressure signals can be used to implement photoacoustic correlation spectroscopy to estimate blood flow with better resolution and higher imaging depth than the Doppler imaging methods [2].

Similarly, when imaging deep structures, conventional amplitude-based photoacoustic imaging is often challenged by low signal-to-noise ratios due to insufficient fluence at the target depth, optical scattering in tissues, and depth-dependent acoustic attenuation. The lateral resolution also suffers due to the depth-dependent acoustic beam widths. As a result, coherence-based imaging techniques have been introduced, primarily to reduce side-lobes and acoustic clutter. One example of a spatial coherence technique to improve image quality is coherence factor (CF) weighting, which improves spatial resolution and contrast [15]. CF weighting has been combined with other methods, such as synthetic aperture focusing [16] and an adaptive minimum variance method [17] to achieve additional improvements in spatial resolution and contrast. Signal-to-noise ratio (SNR)-dependent CF weighting was also introduced to preserve contrast in high-noise scenarios [18]. Similar success was achieved when weighting traditional amplitude-based images with other types of coherence-based metrics, including coherence weighting to improve resolution in the elevation dimension [19] and short-lag spatial coherence (SLSC) weighting to reduce clutter in amplitude-based images [20].

Initial implementations of SLSC imaging to photoacoustic data demonstrate that weighting with amplitude-based images is not a requirement to achieve the benefits of reduced clutter and improved contrast and resolution [14], [21]–[24]. SLSC images directly display measured coherence values, and these measurements are independent of signal amplitude. As a result, SLSC images are particularly beneficial for photoacoustic imaging in high-noise environments, which can be caused by insufficient laser fluence incident on a target of interest. Insufficient laser fluence often results from low-energy sources (e.g., when using pulsed laser diodes [23], [25] or light emitting diodes [26]–[28]) or when imaging structures that are far away from the light source (e.g., when imaging brachytherapy seeds with an interstitial or transurethral approach [14], [21], [22], [29]). In these cases, photoacoustic SLSC images demonstrate considerable improvements in border delineations, contrast, contrast-to-noise ratios, and signal-to-noise ratios compared with traditional delay-andsum (DAS) beamforming and Fourier-based reconstruction techniques.

Although these benefits of coherence-based signal processing are based on experimental observations, a well-developed theory to describe expected spatial coherence has multiple additional benefits. For example, this theory can be used to study a wide range of potential optimizations that would otherwise require lengthy experimental testing, which is not always feasible. This theory may also be used to gain insight into potential unexpected and counterintuitive optimizations. We derived an initial narrowband photoacoustic spatial coherence theory in our associated conference paper [30] and updated this narrowband theory to consider frequencies within the bandwidth of an ultrasound probe [31]. In addition, we derived and tested additive noise models to further improve our initial theory [32]. This article presents a complete mathematical description of our theory in the presence of noise, including validation with experimental data from multiple target sizes. We also discuss how our photoacoustic spatial coherence theory can be applied to optimize the photoacoustic SLSC image display.

The remainder of this article is organized as follows. Section II provides a complete description of our theory for photoacoustic spatial coherence and details how it can be used to create theoretical photoacoustic SLSC images. Section III lists our validation methods using SLSC images that are derived from theoretical equations, k-Wave simulations [33], and experimental data consisting of thread, ink-filled tubes, and an *in vivo* hepatic blood vessel. Section IV shows the results of our validation methods and demonstrates the utility of our theory when selecting photoacoustic-based parameters to optimize the contrast and resolution of SLSC images. Finally, Section V discusses the major insights and implications derived from this work, and Section VI contains our concluding remarks.

II. THEORY

A. Photoacoustic Spatial Coherence

A linear systems approach is used to describe the measured photoacoustic pressure field *P*. The first component of the linear system is χ , which is the random, nonuniform distribution of moderately dense, spatially incoherent absorbers (also known as the source function or source distribution function) at source location $X_0 = (x, y, z)$. The moderately dense requirement (with moderate defined as 3.18 absorbers/mm² and dense defined as 318.3 absorbers/mm² in [34]) is introduced based on our observations that photoacoustic absorbers generally respond as an incoherent source function when their distribution is moderately dense. Guo and Li [35] similarly observed a coherent photoacoustic absorbers.

The second component of the linear system is A_N , i.e., the initial pressure distribution of absorbers located at position X_o in the presence of noise [32]:

$$A_N(X_o, f) = A + N_{\rm sc} \tag{1}$$

where N_{sc} scales zero-mean, Gaussian distributed, additive noise, N_A , by the expected value of the amplitude of the initial pressure distribution A, as described by the following equation:

$$N_{\rm sc} = \langle A \rangle N_A \tag{2}$$

where $\langle \cdot \rangle$ represents the expected value, and $\langle A \rangle$ may be interpreted as an average over uncorrelated pressure signals generated from a microscopic distribution of moderately dense photoacoustic absorbers. To avoid confusion with the description provided in [32], we clarify here that $N_{\rm sc}$ in (1) is equivalent to the N_A term introduced in [32, eq. (8)]. Although N_A has the same definition in both manuscripts, the scaling term $\langle A \rangle$ is introduced in this manuscript in order for us to relate noise amplitudes to pressure amplitudes.

A is a function of the fluence F at depth z and two tissue properties (i.e., the Grüneisen parameter Γ and the optical absorption coefficient μ_a), as defined by the following equation:

$$A = \mu_a \Gamma F. \tag{3}$$

Based on the definitions provided in (1)–(3), A_N takes the following form:

$$A_N = \begin{cases} \mu_a \Gamma F, & N_A = 0\\ \mu_a \Gamma F + \langle \mu_a \Gamma F \rangle N_A, & N_A \neq 0. \end{cases}$$
(4)

The uncorrelated source-related noise N_A originates from random fluctuations in the source distribution (e.g., variations in fluence at the absorber surface [32] and variations in the optical absorption within the absorber). This additive noise model is based on our observations of experimental data (as described in detail in our previous publication [32]). Note that [32] also describes an additional noise term related to the channel noise-to-signal ratio, which we assume to be negligible throughout this article. The third component of the linear system is H_{rx} , which is the spherical propagation of the sound wave from the source to a point *n* on the transducer:

$$H_{rx}(X_0, X_n, f) = \frac{e^{j2\pi f r_n/c}}{r_n}$$
$$r_n = |X_0 - X_n|$$
(5)

where X_n is a point on the aperture [e.g., $X_n = (x_n, y_n, 0)$], c is the speed of sound, r_n is the travel distance from the source to the aperture, and f is the acoustic frequency, which is related to the bandwidth of the ultrasound transducer.

The acoustic pressure measured from a single target is, therefore, defined as:

$$P(X_0, X_n, f) = \chi(X_0, f) A_N(X_0, f) H_{rx}(X_0, X_n, f).$$
 (6)

The total received pressure at a point on the aperture X_n is found by integrating the pressure field over X_0 , as described by:

$$P(X_n, f) = \iiint_V P(X_0, X_n, f) d^3 X_0.$$
(7)

To relate this derivation to previous derivations of photoacoustic pressure, note that (7) is similar to (2) in [36]. The photoacoustic spatial covariance R_p is the correlation of the pressure field at two lateral receiving positions X_1 and X_2 :

$$R_p(X_1, X_2, f) = \langle P(X_1, f), P^*(X_2, f) \rangle$$
(8)

where P^* represents the complex conjugate of P. Expansion of (8) yields:

$$R_{p}(X_{1}, X_{2}, f) = \iiint_{V} \chi(X_{01}, f) \chi^{*}(X_{02}, f) \cdot H_{rx}(X_{01}, X_{1}, f) H_{rx}^{*}(X_{02}, X_{2}, f) \cdot A_{N}(X_{01}', f) A_{N}^{*}(X_{02}', f) d^{3}X_{01}' d^{3}X_{02}'$$
(9)

where X'_{01} and X'_{02} are independent variables over which the pressure field is integrated to determine the total received pressure at aperture positions X_1 and X_2 , respectively.

Insertion of (1) and (2) into (9) yields:

$$R_{p}(X_{1}, X_{2}, f) = \iiint_{V} \iiint_{V} \chi(X'_{01}, f) \chi^{*}(X'_{02}, f) \cdot H_{rx}(X'_{01}, X_{1}, f) H^{*}_{rx}(X'_{02}, X_{2}, f) \cdot [A(X'_{01}, f) A^{*}(X'_{02}, f) + A(X'_{01}, f) \langle A^{*} \rangle N^{*}_{A}(X'_{02}, f) + \langle A \rangle A^{*}(X'_{02}, f) N_{A}(X'_{01}, f) + \langle A \rangle \langle A^{*} \rangle N_{A}(X'_{01}, f) N^{*}_{A}(X'_{02}, f)] \cdot d^{3} X'_{01} d^{3} X'_{02}.$$
(10)

Because the source function χ has a random, nonuniform, moderately dense, spatially incoherent distribution, note that:

$$\langle \chi(X'_{01}, f), \chi^*(X'_{02}, f) \rangle = \chi_o \delta(X'_{01} - X'_{02})$$
 (11)

where χ_o represents the average power of the absorber distribution. Similarly, because N_A is also a random variable:

$$\langle N_A(\mathbf{X}'_{01}, f), N^*_A(\mathbf{X}'_{02}, f) \rangle = N_o \delta(\mathbf{X}'_{01} - \mathbf{X}'_{02})$$
 (12)



Fig. 1. Illustration of the Fresnel approximation for an aperture (rectangle) and source (circle) located at the aperture center X_0 . The distance from the source to a point on the aperture is r_n . The lateral distance $X_1 - X_2 \ll z$, where *z* is the axial position of the source. Therefore, $r \approx z$.

where N_o is the variance of the Gaussian distribution of N_A . According to (11) and (12), the expected value of the source distribution and the associated noise within the source term are equal to zero except when $X'_{01} = X'_{02}$.

The expressions in (11) and (12) reduce the expression for spatial covariance in (10) to a single volume integral:

$$R_{p}(X_{1}, X_{2}, f) = \iiint_{V} [\chi_{o} \mid A(X'_{0}, f) \mid^{2} + 2\chi_{o}N_{A}(X'_{0}, f)\langle A \rangle^{2} + \chi_{o}N_{o} \mid \langle A \rangle \mid^{2}] \frac{e^{j2\pi f(r_{1}-r_{2})/c}}{r_{1}r_{2}} d^{3}X'_{0}$$

$$r_{i} = |X_{i} - X'_{0}|. \qquad (13)$$

The initial photoacoustic response is assumed to reside within an isochronous volume; thus, the spatial covariance over a short depth z within this volume is taken to be constant, which reduces the volume integral in (13) to a surface integral [37]–[39]. This assumption is satisfied in photoacoustic imaging for multiple reasons (e.g., short-nanosecond-duration laser pulses, laser pulse repetition frequencies on the order of several Hz as opposed to hundreds of kHz [23], and acoustic temporal frequencies limited by the transducer bandwidth). We then apply the paraxial and Fresnel approximations to the amplitude and phase terms, respectively. The paraxial approximation assumes that we are primarily interested in the received response near the axis of each transducer element:

$$r_i \approx z.$$
 (14)

The Fresnel approximation assumes that the axial distance between the aperture and source (i.e., z) is orders of magnitude larger than the lateral and elevation distances between a position on the aperture and a source, as shown in Fig. 1, for the lateral dimension. This approximation also supports the separability of the lateral and elevation dimensions and yields the following approximations in the lateral dimension:

$$r_1 \approx z + \frac{(x_1 - x)(x_1 - x)}{2z}$$
 (15)

$$r_2 \approx z + \frac{(x_2 - x)(x_2 - x)}{2z}.$$
 (16)

Using the approximations described in (14)–(16) yields an equation for photoacoustic spatial covariance that only depends on the lateral position:

$$R_{p}(\mathbf{x}_{1}, \mathbf{x}_{2}, z, \lambda) = \frac{e^{j\pi(\mathbf{x}_{1}\mathbf{x}_{1}-\mathbf{x}_{2}\mathbf{x}_{2})/\lambda z}}{z^{2}} \cdot \int_{-\infty}^{\infty} \left[\chi_{o} \mid \Gamma(\mathbf{x}, z)\mu_{a}(\mathbf{x}, z)F(\mathbf{x}, z) \mid^{2} + 2\chi_{o}N_{A}\langle \Gamma(\mathbf{x}, z)\mu_{a}(\mathbf{x}, z)F(\mathbf{x}, z)\rangle^{2} + \chi_{o}N_{o} \mid \langle \Gamma(\mathbf{x}, z)\mu_{a}(\mathbf{x}, z)F(\mathbf{x}, z)\rangle \mid^{2} \right] \cdot e^{-j2\pi(\mathbf{x}(\mathbf{x}_{1}-\mathbf{x}_{2}))/\lambda z} d\mathbf{x}$$
(17)

where $\lambda = c/f$. Because broadband photoacoustic signals are sensed and filtered by a transducer with finite bandwidth, the λ term is primarily related to the acoustic frequency of the transducer [1], [40]. To evaluate (17) and obtain a spatial covariance, λ can be approximated as the wavelength corresponding to the center frequency of the transducer λ_c . Note that (17) represents the product of a constant term, a phase term, and a Fourier transform that depends on both the initial pressure distribution (i.e., A) and scaled noise terms. The spatial frequencies in this Fourier transform are given by $u = (x_1 - x_2)/\lambda z$.

There are two options to eliminate the phase term in (17) [41], [42] (i.e., the two points x_1 and x_2 are symmetric with respect to the lateral center of the transducer when receiving unfocused data, or delays were applied to focus the received channel data and align responses at one point of interest in the image field). With either option, the new expression for spatial covariance in the lateral dimension depends only on spatial frequency u:

$$\mathcal{C}(\boldsymbol{u}) = \frac{1}{z^2} \int_{-\infty}^{\infty} \left[\chi_o \mid \Gamma \mu_a F \mid^2 + 2\chi_o N_A \langle \Gamma \mu_a F \rangle^2 + \chi_o N_o \mid \langle \Gamma \mu_a F \rangle \mid^2 \right] e^{-j2\pi \boldsymbol{x} \boldsymbol{u}} d\boldsymbol{x} \quad (18)$$

where C represents the spatial covariance in the spatial frequency domain.

Equation (18) is similar to the equation describing the van Cittert–Zernike (VCZ) theorem applied to pulse-echo measurements [41], with the optics-related terms (i.e., Γ , μ_a , and F) replacing the term that would describe an acoustic transmit pressure amplitude (or acoustic receive pressure amplitude when the equation is derived using acoustic reciprocity [43]). The VCZ theorem requires incoherent source distributions; therefore, this analogy for our photoacoustic spatial coherence theory applies to moderately dense absorber distributions.

A similar equation applies to the elevation dimension, considering that the lateral and elevation dimensions are separable. Therefore, a spatial covariance equation that includes both dimensions can be written as follows:

$$\mathcal{C}(\boldsymbol{u},\boldsymbol{v}) = \frac{1}{z^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left[\chi_o \mid \Gamma \mu_a F \mid^2 + 2\chi_o N_A \langle \Gamma \mu_a F \rangle^2 + \chi_o N_o \mid \langle \Gamma \mu_a F \rangle \mid^2 \right] e^{-j2\pi (\boldsymbol{u} \boldsymbol{x} + \boldsymbol{v} \boldsymbol{y})} d\boldsymbol{x} d\boldsymbol{y} \quad (19)$$

where y is the elevation dimension and v is the spatial frequency in the elevation dimension. Let y_1 and y_2 be defined as the equivalent terms to x_1 and x_2 , respectively, in the elevation dimension rather than lateral dimension. Then, the



Fig. 2. Steering direction from the aperture center to a focal point (x_k , z) in the image, illustrated with the assumption that (17) represents an initial focus located at lateral position $x_k = 0$.

spatial frequency in the elevation dimension is defined as $v = (y_1 - y_2)/\lambda z$. Note that (19) uses one of the two assumptions for phase term elimination described earlier (i.e., either y_1 and y_2 are symmetric about the center of the transducer in the elevation dimension or the data are focused in the elevation plane of the transducer, which can typically be accomplished with a transducer lens). Although spatial covariance is inherently 2-D, as described by (19), throughout this manuscript, we evaluate our theory in the separable lateral dimension of the transducer using (17) and (18).

B. Photoacoustic SLSC Imaging

To make photoacoustic SLSC images, we consider the array steering vector, which encodes the steering direction from the aperture center to a focal point of interest (x_k , z), in the photoacoustic image. Shifting the focal point along the lateral image dimension changes the steering direction, as shown in Fig. 2. Alternatively, with a fixed steering direction, the image target may be laterally shifted relative to a fixed aperture to build lateral image pixels. This alternative is mathematically described using the shifting property of the Fourier transforms, which states that a shift in space by x_k corresponds to multiplication by a phase term in the spatial frequency domain, resulting in our final photoacoustic spatial covariance equation:

$$R_{p}(\mathfrak{m}, \boldsymbol{x}_{k}, \boldsymbol{z}, \lambda) = \frac{e^{-(j2\pi\,\boldsymbol{x}_{k}\mathfrak{m})/\lambda\boldsymbol{z}}}{\boldsymbol{z}^{2}} \int_{-\infty}^{\infty} [\chi_{o} \mid \Gamma\mu_{a}F \mid^{2} + 2\chi_{o}N_{A}\langle\Gamma\mu_{a}F\rangle^{2} + \chi_{o}N_{o} \mid \langle\Gamma\mu_{a}F\rangle \mid^{2}] e^{-(j2\pi\,\boldsymbol{x}\mathfrak{m})/\lambda\boldsymbol{z}} d\boldsymbol{x}$$

$$(20)$$

where the additional dependences on x and z [as shown in (17)] are omitted for simplicity. The lag in (20) is defined in units of distance as:

$$\mathfrak{m} = x_1 - x_2 \tag{21}$$

and m is related to spatial frequency as defined by:

$$\boldsymbol{u} = \frac{\mathfrak{m}}{\lambda z} \tag{22}$$

where λ depends on the bandwidth of the probe, as described in Section II-A. Equation (20) may be expressed in terms of spatial frequency as:

$$\mathcal{C}(\boldsymbol{u}, \boldsymbol{x}_{\boldsymbol{k}}) = \frac{e^{-j2\pi\,\boldsymbol{x}_{\boldsymbol{k}}\boldsymbol{u}}}{z^{2}} \int_{-\infty}^{\infty} \left[\chi_{o} \mid \Gamma \mu_{a} F \mid^{2} + 2\chi_{o} N_{A} \langle \Gamma \mu_{a} F \rangle^{2} + \chi_{o} N_{o} \mid \langle \Gamma \mu_{a} F \rangle \mid^{2} \right] e^{-j2\pi\,\boldsymbol{x}\boldsymbol{u}} d\boldsymbol{x}.$$
(23)

Note that the phase term in (20) and (23) is generalizable because the definition of lag is represented in spatial units (i.e., m) rather than units of element number (i.e., m, as in previous derivations for ultrasound spatial coherence [41], [43], [44]), which makes this definition independent of the transducer pitch. An additional benefit of this independence includes applicability to transducers with variable pitch. The relationship between m and m is:

$$m = \frac{\mathfrak{m}}{\mathrm{pitch}} \tag{24}$$

where pitch is the transducer pitch.

To obtain a theoretical SLSC image, the dependence on the entire bandwidth of the transducer was included by integrating (20) over wavelengths that correspond to the highest and lowest acoustic frequencies within the -6-dB bandwidth of the transducer frequency response (i.e., λ_H and λ_L , respectively, approximating equal weights for each wavelength), normalizing the result by the value obtained at lag zero [i.e., $K(x_k, z)$] and then integrating over the first M lags to obtain each pixel in the theoretical SLSC image, as defined by the following equation:

$$SLSC_{\text{pixel}}(x_{k}, z) = \frac{1}{K(x_{k}, z)} \int_{0}^{M} \int_{\lambda_{L}}^{\lambda_{H}} R_{p}(m', x_{k}, z, \lambda') d\lambda' dm'$$
$$\approx \frac{1}{K(x_{k}, z)} \sum_{m=1}^{M} \sum_{\lambda=\lambda_{L}}^{\lambda_{H}} R_{p}(m, x_{k}, z, \lambda).$$
(25)

The dependence on transducer pitch is introduced in (25) in order to maintain consistency with previous descriptions of the integration process. As an aside, if transducer pitch is variable, the relationship between m and m described in (24) would need to be updated, but this update would not change the format of (25).

C. Modeling Point Targets

Considering that point targets are defined as any target smaller than the resolution of the imaging system, we modify (6) to model point targets by first defining the source function as a delta function:

$$\chi(\mathbf{x}, f) = \delta(\mathbf{X}_{o}, f). \tag{26}$$

The correlation of the source function in (26) is defined as:

$$\langle \delta(X'_{01}, f), \delta^*(X'_{02}, f) \rangle = \delta(X'_{01} - X'_{02})$$
 (27)

which states that the expected value of the point source is equal to zero except when $X'_{01} = X'_{02}$. Next, (12) can be implemented to demonstrate that the noise associated with this

point source is also equal to zero except when $X'_{01} = X'_{02}$. Using a similar derivation and the same assumptions that follow after (12), we arrive at a mathematical expression for the spatial covariance of a point target:

$$R_{p,\text{point}}(\mathfrak{m}, \boldsymbol{x}_{k}, z, \lambda) = \frac{e^{-(j2\pi\,\boldsymbol{x}_{k}\mathfrak{m})/\lambda z}}{z^{2}} \int_{-\infty}^{\infty} \left[|\Gamma\mu_{a}F|^{2} + 2N_{A}\langle\Gamma\mu_{a}F\rangle^{2} + N_{o} |\langle\Gamma\mu_{a}F\rangle|^{2} \right] e^{-(j2\pi\,\boldsymbol{x}\mathfrak{m})/\lambda z} d\boldsymbol{x}.$$
(28)

Equation (28) may also be expressed in terms of spatial frequency:

$$\mathcal{C}_{\text{point}}(\boldsymbol{u}, \boldsymbol{x}_{k}) = \frac{e^{-j2\pi\,\boldsymbol{x}_{k}\boldsymbol{u}}}{z^{2}} \int_{-\infty}^{\infty} \left[|\Gamma\mu_{a}F|^{2} + 2N_{A}\langle\Gamma\mu_{a}F\rangle^{2} + N_{o} |\langle\Gamma\mu_{a}F\rangle|^{2} \right] e^{-j2\pi\,\boldsymbol{x}\boldsymbol{u}} d\boldsymbol{x}.$$
(29)

Equations (28) and (29) each represent a Fourier transform multiplied by a phase term, similar to (20) and (23), respectively. To create theoretical SLSC images of point targets, R_p in (25) was replaced with (28).

III. METHODS

A. Theory-Based Simulations

A 2-D phantom was simulated in MATLAB with its lateral dimension corresponding to the lateral dimension of an ultrasound transducer and axial dimension corresponding to the depth of the imaging plane. An ultrasound transducer was modeled with most properties similar to the Alpinion L3-8 transducer (i.e., 128 elements, 0.3-mm pitch, and 3.84-cm total length) with the exception of the kerf being equal to 0 mm. This transducer was placed at depth z = 0 cm. The simulated targets for theoretical testing included a point target and circular targets with diameters ranging from 0.2 to 12 mm. The circular targets were modeled as a distribution of randomly positioned absorbers, as shown in Fig. 3(a), for a simulated blood vessel surrounded by tissue.

Two types of fluence profiles were simulated to obtain F. The first profile was a light sheet with a uniform fluence value of $F = 5 \text{ mJ/cm}^2$, illuminating the entire phantom. The second profile was a Gaussian beam with an initial diameter w_o of 1 or 5 mm, initial depth z = 6.8 mm, and a beam width that increased with depth.

This Gaussian beam profile was simulated using the light propagation model defined as [45]:

$$F(\mathbf{x}, z) = F_o e^{-2\mathbf{x}^2 / \left(w_o \sqrt{1 + \left(\frac{z}{z_r}\right)^2}\right)}$$
(30)

where F_o is the initial fluence (set to 5 mJ/cm²) and z_r is the Rayleigh length (set to 1.2 and 6.2 mm for the 1- and 5-mm initial beam diameters, respectively).

Unless otherwise stated, the following values were used to define μ_a , χ , Γ , N_o , and N_A in (20) and (28). The mean magnitude of μ_a was 111 cm⁻¹ inside the photoacoustic target (to model hemoglobin in blood), 0.1 cm⁻¹ outside



Fig. 3. (a) Optical absorption (μ_a) distribution for a circular cross section of a simulated 6-mm-diameter blood vessel with high optical absorption surrounded by tissue of low optical absorption, (b) Grüniesen parameter (Γ) distribution, (c) corresponding photoacoustic pressure distribution (A_N), and (d) lateral profile of A_N taken from the axial depth indicated by the white dashed line.

the target (to model tissue), and 0 cm⁻¹ when no absorbers were present [46]. The total number of absorbers N_{μ} for the phantom with the circular targets was determined by the density of absorbers, which was fixed at 40 absorbers/mm². Because the Grüniesen parameter is known to vary locally due to the composite nature of biological tissue [46], Γ was modeled as random values ranging 0–0.81 and 0–0.144 in tissue and in blood, respectively [46], as shown in Fig. 3(b). The average power of the absorber distribution χ_o was modeled as an arbitrary constant. The variance (N_o) of the added noise (N_A) was empirically determined, resulting in the values reported in Table I. This combination of parameters produced a photoacoustic pressure distribution (A_N), as shown in Figs. 3(c) and 3(d).

To obtain theoretical spatial coherence functions, (20) and (28) were evaluated with an acoustic wavelength, $\lambda \approx \lambda_c =$ 269 μ m (which corresponds to a transducer with center frequency $f_c = 5.5$ MHz). This result was then normalized by the value obtained at lag zero. For each target size, (20) and (28) were evaluated with ten unique μ_a , Γ , and N_A distributions (with constant N_o) in order to provide statistical measurements summarizing the mean and standard deviation of presented results.

To implement theoretical SLSC imaging, (25) (including the point source simplification described in Section II-C) was evaluated over a discrete set of wavelengths that correspond to the -6-dB bandwidth of the frequency response of the Alpinion L3-8 ultrasound transducer (i.e., $\lambda_L = 211 \ \mu \text{m}$ to $\lambda_H = 395 \ \mu \text{m}$ for multiple lateral positions (i.e., x_k) and for a specific *M* value. The wavelengths were incremented using a fixed $\Delta f = 0.25 \text{ MHz}$, which resulted in a variable $\Delta \lambda = \lambda_{n+1} - \lambda_n = (\Delta f \lambda_n \lambda_{n+1})/c$.

B. k-Wave Simulations

In order to compare our theoretical model to existing simulation methods for studying coherence-based beamformers, photoacoustic data were additionally simulated using the k-Wave software package [33]. The k-Wave acoustic receiver was modeled with most properties similar to the Alpinion L3-8 transducer (i.e., 128 elements, 3.84-cm total length, 0.3-mm pitch, and 5.5 center frequency) with the exception of 0-mm kerf and 49-MHz sampling frequency. The targets were circular regions of moderately dense absorbers with diameters ranging from 0.1 (i.e., a point source) to 12 mm. Absorber locations within the circular region were randomly chosen (with the exception of the point source). These locations were constant between k-Wave and theoretical simulations of equally sized targets.

After creating ten unique absorber maps for each target size, each absorber map was combined with one of ten randomly generated Gaussian noise distributions created with the empirically determined standard deviations reported in Table I (in order to model the randomness of the initial pressure distribution associated with variations in fluence). In particular, each zero-mean Gaussian noise profile was added to the initial pressure distribution created with k-Wave simulations to create ten initial pressure distributions for each target size. For each pressure distribution, a time-domain simulation was performed to generate 2-D photoacoustic channel data, which was subsequently bandpass filtered to model the band-limiting effects of an Alpinion L3-8 ultrasound transducer with a center frequency of 5.5 MHz.

Spatial coherence functions and SLSC images were calculated from k-Wave data as described by the following equations from previous publications [6], [14], [47]:

$$\hat{R}_{p}(m) = \frac{1}{N-m} \sum_{i=1}^{N-m} \frac{\sum_{n=n_{1}}^{n_{2}} s_{i}(n) s_{i+m}(n)}{\sqrt{\sum_{n=n_{1}}^{n_{2}} s_{i}^{2}(n) \sum_{n=n_{1}}^{n_{2}} s_{i+m}^{2}(n)}}$$
(31)

$$SLSC_{pixel} = \sum_{m=1}^{M} \hat{R}_{p}(m)$$
(32)

where $\hat{R}_p(m)$ represents the normalized spatial correlation measured from received signals, *m* and *N* are defined in Section II-B, $s_i(n)$ is the time-delayed acoustic signal received by the *i*th element, n is the sample depth in units of samples, n_1 to n_2 is the correlation kernel length, which was chosen to be $2.4\lambda_c$ (with some numerical implementation differences due to rounding), and SLSC_{pixel} is one pixel in the SLSC image. The actual correlation kernel length (after rounding) was 23 samples. Unless otherwise stated, the value of *M* was set to 14, which corresponds to 11% of the number of elements in the receive aperture.

C. India Ink and Point Target Experiments

Two photoacoustic phantoms were used to validate the theoretical predictions of our model, particularly with regard to the effects of the illuminating beam diameter, target diameter, and varying M. The first photoacoustic phantom consisted

of tubing of inner diameters ranging from 1.3 to 10 mm submerged in a water bath, as shown in Fig. 4. This tubing was rigidly fixed in an acrylic plate and initially filled with water to obtain the photoacoustic signal of the tubing alone. The water was aspirated and subsequently replaced with a 0.067% India ink solution without altering the tubing position, enabling discrimination of signals from the tubing walls relative to signals from the India ink. The second photoacoustic phantom was a black thread of diameter 0.2 mm, which was suspended in a water bath.

Ten frames of photoacoustic channel data of each target were acquired with an Alpinion (Bothell, WA) E-Cube 12R ultrasound scanner connected to an Alpinion L3-8 linear array transducer. The sampling frequency of the ultrasound system was 40 MHz. The transducer was positioned to visualize the circular cross section of the thread or tubing diameter in the image plane. In this transducer orientation, the thread was considered to be a point target.

Two different light delivery methods were coupled to the output port of a Phocus Mobile laser (Opotek, Inc., Carlsbad, CA) and independently used to acquire photoacoustic images with an optical wavelength of 760 nm. The first light delivery method was a 1-mm core-diameter and a multimode optical fiber with 0.5 numerical aperture (as shown in Fig. 4). The laser output energy was 1.5 mJ/pulse. The second light delivery method was a larger 5-mm diameter fiber bundle. The laser output energy was 125 mJ/pulse. Both light delivery methods were approximated to produce the Gaussian-shaped beams.

Spatial coherence functions and SLSC images of the experimental data were calculated using (31) and (32), respectively. The actual correlation kernel length (after rounding) was 17 samples. Unless otherwise stated, the value of M was set to 14, which corresponds to 11% of the number of elements in the receive aperture.

D. In Vivo Experiment

In vivo photoacoustic data were used to assess the performance of our theoretical framework in a more complex environment that represents a clinical or surgical scenario of interest. These data were acquired from a major vein in a porcine liver study approved by the Johns Hopkins Animal Care and Use Committee, as described in our previous publications [11], [32]. To briefly summarize the imaging procedure, a laparotomy was performed to gain access to the liver, and the same photoacoustic imaging system described in Section III-C was utilized. The Alpinion L3-8 linear array transducer and 5-mm diameter fiber bundle were both in direct contact with the liver tissue to visualize a circular cross section of the hepatic vein. The laser was operated at 750 nm with an incident energy of 40.5 mJ/pulse on the tissue surface. The vein was approximately 12 mm in diameter in the cross-sectional view of the ultrasound image.

Spatial coherence functions and SLSC images of the *in vivo* data were calculated using (31) and (32), respectively. The actual correlation kernel length (after rounding) was 17 samples. Unless otherwise stated, the value of M was set to 14,

Fig. 4. Experimental setup showing tubing of inner diameters 4.5, 6, 8, and 10 mm (1.3-, 2-, and 4-mm diameter tubings not shown) fixed in an

US transduc

Fig. 4. Experimental setup showing tubing of inner diameters 4.5, 6, 8, and 10 mm (1.3-, 2-, and 4-mm diameter tubings not shown) fixed in an acrylic scaffold and suspended in a water bath. The light source shown is the 1-mm core-diameter optical fiber that was later interchanged with the 5-mm diameter fiber bundle in the same position relative to the ultrasound transducer. An Alpinion L3-8 transducer is placed with its imaging plane viewing a circular cross section of the tubing.

which corresponds to 11% of the number of elements in the receive aperture.

E. Empirical Methods to Add Noise to Simulated Data Sets

The magnitude of the noise added to the theoretical and k-Wave simulated data sets was empirically determined based on the definition of σ_N reported in [32], which describes a phenomenological model of the deviation from a mean value at each lag in a coherence function. To determine the value of σ_N , theoretical and k-Wave data sets were first simulated with the SNR of the initial pressure distribution, SNR_p, varied from 10 to 45 dB in 5-dB increments. SNR_p is related to N_o [i.e., the variance of the Gaussian distribution of the additive noise in the initial pressure distribution, as introduced in (12)] based on the following definition:

$$SNR_p = 20 \log_{10} \left(\frac{A_t}{\sqrt{N_o}} \right)$$
(33)

where A_t is the mean amplitude of the initial pressure distribution inside the target in the absence of noise [i.e., A in (1)].

The following equation was then evaluated to calculate σ_N for the theoretical data sets:

$$\sigma_N = \sqrt{\frac{\sum_{m=0}^k \left(\hat{R}_p(m) - \overline{R_p}(m)\right)^2}{k-1}}$$
(34)

where R_p is the normalized coherence function generated by evaluating and normalizing the result of (28) or (20) for a theoretical point target or for theoretical targets larger than a point target, respectively. Otherwise, \hat{R}_p was obtained by evaluating (32) for k-Wave and experimental results. $\overline{R_p}$ is the least-squares best fit polynomial for the first 35 lags of

TABLE I σ_N (Mean \pm One Standard Deviation of Ten Measurements) FOR THE RESULTS REPORTED IN FIGS. 5, 7–11, and 14

Target Diameter (mm)	Theory	k-Wave	Experiment
Point	0.044 ± 0.005	0.037 ± 0.008	0.056 ± 0.011
1.3	0.017 ± 0.005	0.014 ± 0.004	0.016 ± 0.005
4.5	0.014 ± 0.002	0.012 ± 0.004	-
8	0.002 ± 0.000	0.004 ± 0.003	-
12	0.084 ± 0.004	0.082 ± 0.008	0.080 ± 0.000

the coherence function at the center of the target, and k is the number of lags used in the fit.

For each target size with multiple theoretical and k-Wave SNR_p values simulated, the SNR_p chosen for the σ_N values reported in Table I was the SNR_p that generated a coherence function with the closest σ_N match to the σ_N measured from experimental data. The σ_N values measured from experimental data and chosen for theoretical and k-Wave simulations are reported in Table I. The blank entries in Table I indicate experimental coherence functions that contained noise less consistent with the associated phenomenological model (likely due to the presence of strong coherent reverberations from the tubing in the experimental data set in these cases was the SNR_p that generated coherence functions with the most similar σ_N values between the theoretical and k-Wave simulations.

In addition to the σ_N values reported in Table I and due to notable differences between k-Wave and theoretical results, we were additionally interested in comparing theoretical results directly to experimental data. For these cases, σ_N values ranging 0.002–0.020 (which corresponded to SNR_p values ranging 40–20 dB, respectively) were compared. These ranges were consistent with the range of σ_N values reported in Table I.

For completeness, we note that the theoretical value of σ_N is related to N_A (i.e., the additive noise in the initial pressure distribution) and N_o (i.e., the variance of the Gaussian distribution of N_A) by relating (34) and (20) (or (34) and (28) for the point target case).

F. Exploring Theoretical Implications for SLSC Imaging

To explore notable trends derived from our photoacoustic spatial coherence theory, SLSC image contrast and resolution were characterized as functions of the light beam profile diameter and the target size, for various M values using (25). Contrast was defined as:

$$Contrast = 20 \log_{10} \left(\frac{s_t}{s_o} \right)$$
(35)

where s_t and s_o are the mean amplitude of signals within the target and background region, respectively, of the SLSC image. Resolution trends were quantified by measuring the full-width at half-maximum (FWHM) of lateral profiles created with multiple target sizes and illumination profile diameters. These theoretical results were compared with the controlled phantom results from the experiments described in Section III-C.

IV. RESULTS

A. Comparison of DAS and SLSC Images

Fig. 5 compares traditional DAS beamforming with coherence-based SLSC images of experimental 1.3- and 8-mm diameter targets comprised of India ink. The 1-mm diameter optical fiber was used to acquire the photoacoustic image of the 1.3-mm target. The 5-mm diameter fiber bundle was used to acquire the photoacoustic image of the 8-mm target. Theoretical SLSC data was simulated with a Gaussian beam fluence profile with 1- and 5-mm initial beam diameters for the 1.3- and 8-mm diameter targets, respectively.

SLSC beamforming more clearly delineates the target content and boundaries farthest from the light source compared with DAS beamforming. The DAS photoacoustic images of the targets may appear smaller than their actual sizes due to the depth-dependent fluence distribution that is known to impact a majority of photoacoustic images reconstructed with DAS beamforming. However, although the amplitude decreases with depth, the boundaries are better delineated in the SLSC images because the spatial coherence of the received signals is independent of amplitude, which is one of the known benefits of the SLSC beamformer applied to photoacoustic data. As the tubing surrounding the experimental targets produces spatially coherent signals, the proximal and distal tubing and structural boundaries are more clearly visualized in the SLSC images, while signals above the tubing are possibly due to a photoacoustic effect generated by the laser beam from the 1-mm diameter optical fiber (1.3-mm target) or the 5-mm diameter fiber bundle (8-mm target) interacting with the water surrounding the tubing.

Boundary delineation is a known benefit of SLSC imaging (compared with DAS and similar amplitude-based beamformers) applied to photoacoustic data, particularly when the target boundary is located at a considerable distance from the light source [8], [14], [21]. This enhanced target boundary delineation emphasizes the usefulness of coherence-based beamformers and highlights the importance of developing photoacoustic-specific spatial coherence theory to support their implementation.

B. Theoretical Photoacoustic Spatial Coherence Functions

Although experimental spatial coherence functions are expected to have some level of noise [32], theoretical spatial coherence functions were first simulated in the absence of noise (i.e., $N_A = 0$), to better understand expected trends. When a light sheet profile is used in theoretical simulations, the width of the coherence function continuously decreases from constant across the aperture for a point target to a significantly shorter coherence length for the 10-mm target, as shown in Fig. 6(a). In comparison, when a narrow-beam Gaussian profile is used (1-mm initial beam diameter), the width of the coherence function follows the same trend as a light sheet until the target size exceeds the maximum beam diameter, as shown in Fig. 6(b), where the 2–10-mm targets have coherence functions that are similar to (and in some cases overlapping) each other. These noiseless theoretical



Fig. 5. (a) Experimental delay-and-sum (DAS) image, (b) experimental short-lag spatial coherence (SLSC) image, (c) theoretical SLSC simulation (with added noise), and (d) k-Wave simulation (with added noise) of 1.3- and 8-mm diameter targets. The circles in the experimental DAS and SLSC images denote the inner (solid line) and outer (dotted line) diameters of the Tygon tubing filled with India ink. SLSC images are normalized to the brightest pixel and limited to display a minimum value of zero for M = 11% of the aperture. DAS images are displayed with a 25-dB dynamic range.

results indicate that both the target size and the fluence profile of the illuminating light source affect photoacoustic spatial coherence.

Theoretical photoacoustic spatial coherence functions (simulated with a Gaussian beam fluence profile with 5-mm initial beam diameter) were compared with k-Wave simulated and experimental photoacoustic coherence functions created from multiple target sizes. The light source for the experimental data was the 5-mm diameter fiber bundle. Fig. 7(a) shows the agreement between coherence functions for point targets created with theory and k-Wave in the absence of noise. Both results have relatively constant coherence across the aperture.

Fig. 7(b) demonstrates that the addition of noise yields a point target coherence function that decays as a function of lag. The theoretical, k-Wave, and experimental photoacoustic spatial coherence functions decrease at a similar rate as a function of lag and have qualitatively similar σ_N (i.e., deviation from a mean value at each lag in the coherence function).

The short-lag region was previously defined as the region of the coherence function extending to a fixed percentage the receive aperture. However, when comparing results from targets larger than a point target, we define the short-lag region as the region from lag 0 to the lag defined by the first zero-crossing of the theoretical coherence function. This new definition is introduced to account for the dependence of photoacoustic spatial coherence on both target size and the width of the light profile (as shown in Fig. 6). Our following results focus on the short-lag region.

Fig. 8 shows coherence functions from theoretical simulated data sets in the presence of noise compared with experimental and k-Wave results for targets with diameters of 1.3- and 4.5-mm. Theoretical data were simulated with a Gaussian beam fluence profile with 1- and 5-mm initial beam diameters for the 1.3- and 4.5-mm diameter targets, respectively. The experimental light source was the 1-mm diameter optical fiber and 5-mm diameter fiber bundle for the 1.3- and 4.5-mm targets, respectively.



Fig. 6. Noiseless theoretical coherence functions of at the center of targets ranging in size from a point to 10 mm in diameter using (a) light sheet and (b) 1-mm Gaussian beam profiles as the illuminating sources. The *x*-axis represents lag (i.e., m or m) as a percentage of the receive aperture.

In Fig. 8(a), the theoretical, k-Wave, and experimental photoacoustic spatial coherence functions have a general qualitative agreement (i.e., a similar rate of decrease with increasing lag and similar σ_N). Fig. 8(b) shows a similar result for the 4.5-mm diameter target, as the three coherence functions generally agree in the short-lag region. However, values outside of the short-lag region, which should ideally have zero coherence in the absence of noise (as shown in Fig. 6), produce significant deviations between data sets with values that seem to hover near zero. In addition, the experimental coherence function artifacts at higher lags, which are not modeled in theoretical or k-Wave simulations and appear to dominate the noise described by σ_N .

The agreement between theoretical results and k-Wave or experimental results was quantified with the root-mean-square error (RMSE) of coherence function differences measured at each lag value. This RMSE was calculated separately for the short-lag region (defined as 30% of the receive aperture for the point target and the first zero-crossing for larger targets)



Fig. 7. Coherence functions from a point target center. (a) Simulated point target data without noise ($N_A = 0$) shows coherence functions that are relatively constant across the aperture, indicating a coherent source. (b) Simulated point target data with noise compared with experimental point target data, demonstrating partially coherent source functions when noise is present. The *x*-axis represents lag (i.e., *m* or m) as a percentage of the receive aperture.



Fig. 8. Comparison of theoretical, k-Wave, and experimental coherence functions at the location corresponding to the center of (a) 1.3-mm diameter and (b) 4.5-mm diameter targets. The *x*-axis represents lag (i.e., m or m) as a percentage of the receive aperture.



Fig. 9. Root mean square error (RMSE) comparing both k-Wave data with noise and experimental data to theoretical coherence functions with noise in (a) short- and (b) long-lag regions.

and the long-lag region (defined as all results outside of the short-lag region), as shown in Fig. 9.

Fig. 9(a) and (b) confirms the qualitative observation that RMSE is consistently lower in the short-lag region (RMSE < 0.05 when theory is compared with k-Wave and RMSE < 0.1 when theory is compared with experiment) than in the long-lag region.

C. Comparison of SLSC Lateral Profiles

Fig. 10 shows photoacoustic SLSC images and corresponding lateral profiles for a point target and 12-mm diameter target. For the theoretical simulations, the light profiles were a Gaussian with 5-mm initial beam diameter when simulating the point target and a light sheet when simulating the 12-mm target. The experimental results for the point target and the 12-mm target were derived from the thread and *in vivo* data, respectively. The inclusion of *in vivo* data enables the comparison of images without the effects of the tubing and surrounding water previously shown in Fig. 5. The theoretical, k-Wave, and experimental images in Fig. 10 generally show comparable lateral resolution for the 12-mm target. However, the k-Wave image has a better resolution than the theoretical and experimental images for the point target. These similarities and differences are particularly evident with the alignment of the lateral profiles through each target center.

Fig. 11 shows lateral profiles for three target sizes and multiple M values. The experimental results for the point target, 4.5-mm target, and 12-mm target were derived from SLSC images of the thread, India ink, and *in vivo* hepatic blood vessel, respectively. For the point target and 4.5-mm target, theoretical data were simulated with a Gaussian beam fluence profile with 5-mm initial beam diameter. A light sheet fluence profile was used for theoretical simulations of the 12-mm target.

Some differences were required to make comparisons across theory, k-Wave, and experimental data sets in Figs. 10 and 11. For example, the dynamic range was adjusted to best display amplitudes within these targets. M values were different for the 12-mm *in vivo* target likely due to differences in the absorber distribution in the experimental data. Lateral profiles for this target were normalized because of the large amplitude differences within the target that dominate without normalization. In addition, lateral axes were adjusted to place the target at the center. There are also differences in the absorber appearances across the three data sets in Fig. 10.

Despite differences in display methods and appearance, the following two trends were nonetheless observed when comparing these data sets in Fig. 11. First, the contrast of the point target increases with an increase in M for both simulated data with noise and experimental data. Second, a low M value (i.e., 1%–3% of the aperture) generally excludes high spatial frequency content from the SLSC image and results in blurred target boundaries. As M increases, more high spatial frequency content is included in the SLSC image and target boundary delineation improves.

D. Effect of the Light Beam Profile on SLSC Image Contrast

Fig. 6 indicates that the light beam profile affects the spatial coherence function, as the narrower light profile increases the coherence length of larger targets. This trend suggests that we can improve the SLSC image contrast of larger targets by using a narrow laser beam. To explore this effect that is based on our newly derived theory, the contrast of targets in theoretical and experimental SLSC images was measured as a function of diameter, resulting in Fig. 12.



Fig. 10. (a) and (e) Theoretical, (b) and (f) k-Wave, and (c) and (g) experimental photoacoustic SLSC images of a point target and 12-mm diameter target. The experimental image of the 12-mm diameter target is derived from the *in vivo* hepatic blood vessel data. Images are displayed with M = 11% of the aperture, with the exception of the 12-mm *in vivo* experimental target, which is displayed with M = 1% of the aperture. Images were normalized and thresholded to a minimum value of 0.3 in (a)–(c) and (g) and 0 in (e) and (f). (d) and (h) Corresponding lateral profiles demonstrate comparable resolution, target width, and coherence.



Fig. 11. Lateral SLSC profiles at axial depths corresponding to the target center of (a) theoretical, (b) k-Wave, and (c) experimental SLSC images of the point 4.5- and 12-mm targets, each displayed with three different values of *M* represented as a percentage of the receive aperture. The experimental profiles are derived from SLSC images of the thread (point target), India ink (4.5-mm target), and *in vivo* vessel (12-mm target). The 12-mm *in vivo* target lateral SLSC profiles were normalized to the maximum value in each line plot.

For the theoretical results in Fig. 12, the upper and lower bounds of each illumination profile width (i.e., 1 mm, 5 mm, and light sheet) correspond to the mean contrast measurements of ten theoretical simulation results with $SNR_p = 20 \text{ dB}$ and $SNR_p = 40 \text{ dB}$, respectively. The target contrast with the 1-mm Gaussian beam illumination profile decreased from 25.6–35.0 dB at a target diameter of 1.3 mm to 23.8–25.2 dB as the target diameter increased to 10 mm. For the 5-mm Gaussian beam illumination profile, target contrast decreased from 26.5–36.2 to 14.0–19.0 dB as the target diameter increased. For the light sheet illumination profile, target contrast decreased from 25.7–35.4 to 10.5–13.7 dB as the target diameter increased.

The theoretical results in Fig. 12 were compared with experimental photoacoustic SLSC images acquired with a 1-mm core-diameter optical fiber (for comparison with the 1-mm



Fig. 12. Theoretical measurements of contrast in SLSC images as a function of target diameter for three illuminating beam profiles (light sheet, 1- and 5-mm diameter Gaussian beam). These theoretical predictions in the presence of noise are compared with the experimental India ink data acquired with the fiber bundle (\times) and the optical fiber (o). For the larger targets, theory and experimental data show improved contrast with the narrower illumination beam profile. All SLSC images are computed with M = 11% of the aperture.

narrow-beam Gaussian profile) and a 5-mm diameter fiber bundle (for comparison with the 5-mm broader Gaussian profile). The experimental data (shown as data points in Fig. 12) generally agree with the theoretical results, as the smaller light beam profiles generate images with higher contrast for the same targets. In addition, the mean contrasts for experimental data sets are within the range of the theoretical prediction for the corresponding light source. The results in Fig. 12 demonstrate that the SLSC image contrast of targets can be improved by limiting the illuminating beam to a size that is smaller than the target size.

E. Effect of the Light Beam Profile on SLSC Image FWHM

Although SLSC image contrast can be increased by narrowing the width of the light beam profile (see Fig. 12), intuition suggests that only the portion of a large target that is illuminated with the narrow beam will be visible in the SLSC image. Fig. 13 explores this expectation with FWHM measurements of SLSC lateral profiles (M = 11%) created with target sizes ranging from 1.3 to 11 mm in diameter. Ideally, a one-toone relationship would exist between these two measurements, as indicated by the dashed line in Fig. 13. The upper and lower bounds of each illumination profile correspond to the mean FWHM measurements for ten theoretical simulations with $SNR_p = 20 \text{ dB}$ and $SNR_p = 40 \text{ dB}$, respectively. With the light sheet illumination, the theoretical result in Fig. 13 generally follows the ideal expectation. When the light beam diameter is decreased to 5 mm, the measured FWHM no longer follows this ideal trend for targets \geq 4.5 mm. Similarly, when the light beam diameter is further decreased to 1 mm, the theory shows more of a deviation from the ideal result. The data points in Fig. 13 show our experimental measurements for comparison with theoretical predictions. The experimental measurements generally follow the predicted trends.



Fig. 13. Theoretical FWHM measurements of SLSC lateral profiles as a function of target diameter for three illuminating beam profiles (light sheet, 1-, and 5-mm diameter Gaussian beams). These theoretical predictions in the presence of noise are compared with India ink experimental data acquired with the fiber bundle (\times) and the optical fiber (o). The ideal 1:1 relationship is shown as a dashed line. SLSC lateral profiles were computed with *M* = 11% of the aperture.



Fig. 14. Lateral resolution calculated with theoretical, k-Wave, and experimental point target data sets as a function of *M*, which is represented as a percentage of the receive aperture.

F. Optimal M Value for Photoacoustic SLSC Images

The optimal choice for the number of lags summed to create SLSC images (i.e., M) is known to be correlated with the photoacoustic image lateral resolution (as shown in Fig. 11). To quantify this resolution, Fig. 14 shows the mean FWHM of SLSC lateral profiles of theoretical, k-Wave, and experimental point targets as a function of M with \pm one standard deviation of the mean shown as shaded error bars. A 5-mm Gaussian fluence profile was used for theoretical simulations, and the 5-mm diameter fiber bundle was used as the experimental light source. Lateral resolution generally decays as a function of the M value used to create the SLSC images. As noted in Section IV-C, because coherence functions are a function of spatial frequency, they encode higher frequency content at higher M values resulting in SLSC images created with higher M values having improved target boundary delineation and resolution.

In Fig. 14, the mean lateral resolution measured with theoretical and experimental data overlap when $M \ge 31\%$, and the best mean lateral resolution measurements were 0.6 mm for both theoretical and experimental data, which occurred when $M \ge 35\%$ of the aperture. Consistent with the results in Fig. 10, the best resolution was generally achieved with k-Wave simulations, which is true regardless of the M value, although the reason for this lower resolution with k-Wave results is unclear. The fundamental reason for the theoretical deviation from experimental results in the short-lag region is also unclear. However, when comparing mean theoretical results to mean experimental and k-Wave results, these deviations were ≤ 1.1 and ≤ 1.9 mm, respectively. Despite these differences across the three data sets, there is a general trend of higher M values providing the best lateral resolution within each data set, which is consistent with previous results [14].

The contrast of photoacoustic SLSC images was previously shown to be relatively constant as a function of M (albeit with a large standard deviation of measurements from multiple laser firings to image the same target) [14], [32]. However, lateral profiles from images of point targets (see Fig. 11) indicate that the optimal choice of M may depend on target size, as the smaller point target benefits from higher M values to improve target contrast. This dependence is also shown in our previous publication for multiple simulated noise levels [32].

Fig. 15 shows contrast as a function of target diameter for four M values (expressed as a percentage of the receive aperture) in order to compare theoretical simulations relating SLSC image contrast, M, and target size with the experimental data. Theoretical predictions using a 5-mm Gaussian fluence profile are shown with solid lines, which represents the mean contrast of simulations with SNR_p values ranging 20 dB to 40 dB. Experimental results obtained with a 5-mm fiber bundle as a light source are shown as the data points with the same colors as the corresponding theoretical predictions. The 1.3-mm target achieves higher contrast at M = 11%compared with its contrast at M = 4% and M = 8%. This difference is less apparent for the 4-mm diameter target. Discrepancies between the contrast predictions and the experimental results in Fig. 15 likely exist because the specific noise and absorber characteristics needed to match experimental data are unknown. Despite this discrepancy, the overall trends (i.e., higher contrast with smaller targets and larger M values) are generally consistent across either theoretical or experimental data. Thus, the presented theory can be used to predict the optimal choice of M values based on target size, light profile diameter, and desired resolution. In general, smaller targets can be imaged with larger M values in order to boost target contrast and resolution.

V. DISCUSSION

This work is the first to present a complete photoacoustic spatial coherence theory for point targets and moderately dense optical absorber distributions. We developed and validated our theory with experimental and k-Wave data and then explored applications of this theory to optimize photoacoustic SLSC image contrast and resolution. Due to the lower accuracy



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Fig. 15. Theoretical measurements of contrast in SLSC images as a function of target diameter for four *M* values (2%, 4%, 8%, and 11% of the receive aperture). These theoretical predictions in the presence of noise are compared with experimental data of SLSC images displayed with the same four *M* values (\times , o, *, and \triangle , respectively).

of theory-based simulations in the long-lag region than the short-lag region, as shown in Fig. 9, we propose the use of this theoretical method primarily for predictions within the short-lag region of the acoustic receiver aperture.

The inclusion of both k-Wave and experimental data in our analyses was critical to the validation of our theoretical models for five reasons. First, the controlled phantom experiment with varied illuminating beam diameters and target diameters enabled us to gain insights into the effects of these variables on SLSC image quality, as described in Sections IV-D-IV-F. Second, including k-Wave data enabled us to compare theoretical simulation results to an existing simulation method for studying coherence-based beamformers for photoacoustics. Third, support for our theoretical approach was achieved by showing that we can arrive at similar conclusions with k-Wave simulations (e.g., for the short-lag region of spatial coherence functions in Figs. 7 and 8 and for SLSC lateral profiles in Figs. 10 and 11), particularly in cases where we suffered from experiment-related artifacts (such as reverberations from tubing in Fig. 5 or the large amplitude differences within the in vivo target, which required normalization of the associated line profiles in Fig. 11). Fourth, the similarities achieved with k-Wave data in cases where experimental similarity was not completely achieved (see Fig. 5) enabled us to confirm that our theoretical derivation is a viable method to study photoacoustic spatial coherence. Fifth, the inclusion of in vivo data enabled us to introduce more complexity than capable with our phantom setup (e.g., optical scattering, acoustic scattering, absorbers, and noise sources of clinical interest) without including confounding effects from experimental tubing. This inclusion of in vivo data also enabled us to determine if our major conclusions would be altered for this more realistic surgical scenario. Although there were some differences (such as in the appearance of the final SLSC images in Figs. 5 and 10), these differences did not affect the theory-based insights provided in Sections IV-D-IV-F.

M = 4% M = 8%

M = 11%

Based on these insights, we conclude that there are two primary advantages of this photoacoustic spatial coherence theory. First, with the introduction of this theory, experiments are no longer necessary to explore how light profile designs will affect SLSC image contrast and resolution. A summary of the various effects that are supported by this theory include observations that SLSC image contrast can be improved by limiting the size of the illumination beam, the lateral width of the illumination beam limits visualization of the full lateral extent of target sizes larger than the illumination beam, and smaller targets can be imaged with higher *M* values to improve target contrast and resolution.

The second advantage is that our theory-based method is a more direct route to create SLSC simulation images without requiring simulations of channel data received by the acoustic aperture. Based on (25) and with the consideration that λ is related to temporal frequency, m is related to spatial frequency, and integration is a linear filter, the SLSC image pixel can be interpreted as a joint spatial and temporal frequency filter applied to a scaled, noisy version of the square of the traditional initial pressure distribution expression in photoacoustic imaging. Using this implementation, our theorybased methods combine the simulations of a light profile and associated pressure distribution in one straightforward step. Implementation of this theory does not require Monte Carlo simulations to model the light profile incident on the target and the associated fluence distribution within the target in order to create a custom initial pressure distribution based on the incident light profile [48].

The presented theory was derived for a moderately dense distribution of absorbers, which enabled an analogous mathematical representation to the VCZ theorem applied to pulse-echo measurements [41]. This mathematical relationship can be considered as the VCZ theorem applied to photoa-coustic imaging of moderately dense absorber distributions, and it appropriately explains the inverse relationship between target size and coherence length, which ultimately affects SLSC image contrast as a function of the number of lags summed. Thus, based on this theory, it is evident that larger targets, which produce shorter coherence lengths, will have the best contrast when SLSC images are created with lower M values.

Similarly, there is an inverse relationship between the lateral width of the light profile and the coherence length, which explains why limiting the size of an illumination beam will provide higher-contrast SLSC images of targets that are larger than the beam profile. This light profile adjustment is one potential method to compensate for our inability to control target sizes in most imaging cases of interest (e.g., *in vivo* imaging) in order to produce optimal-contrast SLSC images.

Alternatively, this theory also supports an adaptive pixel-wise approach to choosing the optimal M value, which may be based on known regional target sizes and illumination profiles. For example, in photoacoustic-guided surgery, locally changing the M value with the known position of a tool tip has the potential to optimize visualization of the tool tip relative to larger anatomical structures [6]–[9]. An additional perspective is to potentially utilize the measured spatial coherence

properties to predict target sizes based on the presented theory, which will be the focus of future work.

While it was previously shown that large targets containing a higher density of individual absorbers produce photoacoustic images with strong boundary contrast and minimal signal inside the target [34], [35], this representation is not shown in our theoretical and k-Wave simulation images because the associated data sets are based on a moderately dense distribution of absorbers. In this moderately dense case, boundary signals do not dominate interior speckle, and the entire target can be visualized with similar intensity throughout the target interior, as demonstrated with India ink in a 8-mm diameter tube (see Fig. 5) and with the 12-mm-diameter *in vivo* blood vessel (see Fig. 10). These examples demonstrate that photoacoustic targets of interest can realistically contain a moderately dense distribution of absorbers and will not always produce signals only at the target boundaries.

As indicated by theory and confirmed with experimental measurements, there is a tradeoff between target contrast and target boundary detection when optimizing the fluence profile incident on the target (see Figs. 12 and 13, respectively, which shows better contrast with smaller optical beam widths and better FWHM measurements with larger optical beam widths). This tradeoff can be resolved by raster scanning a narrow light beam and combining resulting images to improve both SLSC contrast and resolution. There is also a limit to the narrowness of the light profile based on laser safety limits and the incident laser fluence (which is inversely proportional to the diameter of the incident light profile). As noted earlier, the presented theoretical expressions are advantageous as they provide a new method to explore these potential tradeoffs prior to conducting experiments. One example of an application that will benefit from this exploration of tradeoffs is the design of specialized light delivery systems that attach to surgical tools, where there is a wide range of possible configurations that are more efficient to test in silico compared with designing and building prototypes for experimental testing [7], [49], [50].

VI. CONCLUSION

This manuscript describes a theoretical photoacoustic spatial coherence framework that generally agrees with the experimental data from target sizes spanning point targets to a 12-mm diameter *in vivo* target. The proposed theory and associated theory-based simulation methods offer benefits over previously available methods, including the ability to predict SLSC image contrast, lateral resolution, and lateral FWHM values based on target size and the incident light beam profile. In addition, this theory provides insights into optimizing photoacoustic SLSC image display based on light profile width, contrast, and resolution measurements. This theory also establishes a foundation for future explorations to optimize other coherence-based photoacoustic techniques.

ACKNOWLEDGMENT

The authors would like to thank Kelley Kempski and Dr. Jin He for assistance with *in vivo* data collection and Nicholas Louloudis, Sue Eller, and Ivan George for animal care and surgery support.

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