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## Review Article

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# TOPICAL REVIEW:

## Photoionization dynamics of excited Ne, Ar, Kr, and Xe atoms near threshold

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**Abstract.** A review of experimental and theoretical studies of the threshold photoionization of the heavier rare-gas atoms is presented, with particular emphasis on the autoionization resonances in the spectral region between the lowest two ionization thresholds ( $mp^5\ ^2P_{3/2}$  and  $mp^5\ ^2P_{1/2}$ , with  $m = 2, 3, 4,$  and  $5$  for Ne, Ar, Kr, and Xe, respectively). Observed trends in the positions, widths, and shapes of the autoionization resonances in dependence of the atomic number, the principal quantum number  $n$ , the orbital angular momentum quantum number  $\ell$  and further quantum numbers such as  $K$ ,  $J$  and  $F$  specifying the fine- and hyperfine-structure levels, are summarized and discussed in the light of ab initio and multichannel quantum defect theory calculations. The dependence of the photoionization spectra on the initially prepared neutral state, e.g. the  $^1S_0$  ground state, the  $mp^5(m+1)s\ ^3P_2$  and  $mp^5(m+1)s'\ ^3P_0$  metastable levels and other states prepared from the ground or metastable levels in single- and multiphoton processes, are also discussed, including results on the photoionization of aligned and oriented samples and on photoelectron angular distributions. The effects of various approximations in the theoretical treatment of photoionization in these systems are analysed. The very large and at first sight discouraging diversity of observed phenomena and the numerous anomalies in spectral structures associated with the threshold ionization of the rare-gas atoms can be described in terms of a limited set of interactions and dynamical processes. Examples are provided illustrating characteristic aspects of the photoionization, and sets of recommended parameters describing the energy-level structure and photoionization dynamics of the rare-gas atoms are presented which were extracted in a critical analysis of the very large body of experimental and theoretical data available on these systems in the literature.

35 (Version of 6 November 2011)

## 36 1. Introduction

37 Studies of the Rydberg states of the rare-gas atoms have played an essential role in the  
 38 development of photoabsorption spectroscopy and in the understanding of the process  
 39 of photoionization [1, 2]. The spectra of the bound and autoionizing Rydberg states  
 40 (ARS) of the heavier rare-gas atoms  $\text{Rg} = \text{Ne}, \text{Ar}, \text{Kr}, \text{and Xe}$  are known today with an  
 41 exceptional degree of detail. They have served for many decades, and still serve today,  
 42 as ideal systems with which to test theories of photoionization and to characterize  
 43 the properties of new light sources (e.g., bandwidth, coherence, polarization) and  
 44 spectroscopic methods (sensitivity, accuracy). The body of knowledge on the Rydberg  
 45 states and in particular on the ARS of the rare-gas atoms available in the literature  
 46 is enormous, but fragmented and not free of inconsistencies so that the search for  
 47 specific data can be time-consuming. In view of all the comprehensive experimental  
 48 and theoretical work dedicated to the characterization of the autoionization spectra  
 49 of  $\text{Rg} = \text{Ne–Xe}$  over the last 30 years, we consider it timely to provide a survey on  
 50 these achievements and to present a set of recommended values for the data required to  
 51 describe the photoionization dynamics of these systems.

52 In an important early paper, Beutler [3] detected sharp asymmetric peaks in the  
 53 photoabsorption cross sections of  $\text{Rg} = \text{Ar}, \text{Kr}, \text{and Xe}$  at energies between the two  
 54 lowest ionization thresholds corresponding to the spin–orbit split ground state  $m\text{p}^5\ ^2\text{P}_{J^+}$   
 55 ( $J^+ = 3/2, 1/2$ ;  $m = 3 - 5$ ) of the singly-charged ions. These features were attributed  
 56 to autoionizing Rydberg states (ARS) of the type  $\text{Rg}(m\text{p}^5(^2\text{P}_{1/2})n\ell')$ , which are bound  
 57 with respect to the  $^2\text{P}_{1/2}$  threshold, but—mediated by the Coulomb interaction among  
 58 the involved electrons—decay to the  $^2\text{P}_{3/2} + e^-$  continuum. Within a few months,  
 59 Fano [4] provided a theoretical interpretation of the asymmetric resonance lineshapes in  
 60 terms of interference between the direct ionization process (DI)

$$61 \quad \text{Rg}(m\text{p}^6\ ^1\text{S}_0) + \gamma \xrightarrow{\text{DI}} \text{Rg}^+(^2\text{P}_{3/2}) + e^- \quad (1)$$

62 and the indirect process (excitation E + autoionization AI)

$$63 \quad \text{Rg}(m\text{p}^6\ ^1\text{S}_0) + \gamma \xrightarrow{\text{E}} \text{Rg}(m\text{p}^5(^2\text{P}_{1/2})n\ell'[K']_{J=1}) \xrightarrow{\text{AI}} \text{Rg}^+(^2\text{P}_{3/2}) + e^-. \quad (2)$$

64 Here the ARS are described in Racah coupling [5], where  $\vec{\ell}$  denotes the orbital angular  
 65 momentum of the Rydberg electron; the quantum number  $K$  results from coupling  
 66 the total angular momentum  $\vec{J}^+$  of the ionic core with  $\vec{\ell}$  ( $\vec{K} = \vec{J}^+ + \vec{\ell}$ ) and the total

angular momentum  $\vec{J}$  is obtained by coupling the spin  $\vec{s}$  of the Rydberg electron to  $\vec{K}$  ( $\vec{J} = \vec{K} + \vec{s}$ ). The prime denotes Rydberg states for which  $J^+ = 1/2$  while bound Rydberg levels with  $J^+ = 3/2$  are denoted by  $mp^5(^2P_{3/2})n\ell[K]_J$ . In the following text, the ARS will be denoted  $n\ell'[K']_J$ .

About 50 years ago, new interest arose in atomic photoionization and ARS when widely tunable light sources became available at synchrotron radiation facilities. In 1961, Fano [6] refined his earlier ideas on atomic autoionization and presented his well-known formula describing the excitation cross section for an isolated resonance embedded in a continuum of interacting levels. When a cross section  $\sigma_b$  for excitation to noninteracting continuum states is included, the formula is written as [7]

$$\sigma(\epsilon) = \sigma_a \frac{(q + \epsilon)^2}{1 + \epsilon^2} + \sigma_b. \quad (3)$$

In equation (3),  $\epsilon$  is a reduced energy variable  $\epsilon = 2(E - E_0)/\Gamma$  ( $E_0$ : resonance energy;  $\Gamma$ : resonance width),  $q$  denotes the shape parameter or profile index, and  $\sigma_a$  represents a cross section for the excitation to interacting continua. The shape parameter  $q$  determines the lineshape (or profile) of the resonance and may take values between  $-\infty$  and  $+\infty$ . For large  $|q|$  values ( $\gtrsim 30$ ), the profile is nearly Lorentzian and the cross section close to the resonance exceeds that of the surrounding continuum. For  $q = 0$ , the cross section at the position of the resonance reaches a minimum and one speaks of a Lorentzian-type window resonance. For  $q = \pm 1$ , symmetric dispersion profiles are obtained. All other  $q$  values result in asymmetric lineshapes with the minimum (maximum) occurring at  $E < E_0$  ( $E > E_0$ ) for  $q > 0$  and at  $E > E_0$  ( $E < E_0$ ) for  $q < 0$ . As an alternative to equation (3), Shore profiles [8, 9]

$$\sigma(\epsilon) = \frac{a\epsilon + b}{1 + \epsilon^2} + C(\epsilon) \quad (4)$$

are also used to describe autoionization line shapes; here  $C(\epsilon)$  denotes a slowly-varying background (see also section 3.3.2 and equation (43)). Equations (3) and (4) are equivalent, but (4) is mathematically simpler, as noted in [10], since it represents a Lorentzian profile for  $a = 0$  whereas (3) attains a Lorentzian form only in the limit  $|q| \rightarrow \infty$ ,  $\sigma_a \rightarrow 0$ .

Improvements in (synchrotron) radiation sources and monochromator technology in the 1960s and later allowed great progress in photoabsorption and photoionization spectroscopy [1]. The work of Madden and Codling [11–13] revealed sharp resonances in the rare-gas atoms originating from doubly excited states, e.g., He ( $n\ell n'\ell'$ ;  $n, n' \geq 2$ ) [13]. Much better resolved ARS lineshape data for the rare gases Ar, Kr, and Xe [1, 14, 15] were obtained and later complemented by coherent VUV excitation spectra [16–22].

101 The development of excimer lasers containing rare-gas atoms [23] further stimulated  
 102 experimental and theoretical work to characterize in detail the photoionization process  
 103 involving rare-gas atoms, in particular from excited levels.

104 In the 1970s, tunable lasers in conjunction with frequency-doubling techniques  
 105 became available and enabled studies of even-parity ARS of the heavier rare-gas  
 106 atoms, exploiting single-photon excitation of metastable rare-gas levels  $\text{Rg}(mp^5(m+1)s,$   
 107  $J = 2, 0)$  [24–26] (for more recent work, see [27–34]). Starting in the early 1980s,  
 108 resonant two-photon excitation experiments of the metastable rare-gas atoms yielded  
 109 spectra of the odd-parity ARS of Ne, Ar, Kr, and Xe for  $J = 0 - 4$  [35–50]. With single-  
 110 mode lasers, the very sharp  $ns'$  and  $nd'$  resonances in neon could be resolved for the  
 111 first time [37, 38, 42]. Nonresonant three- and four-photon excitation from the ground  
 112 state were also used to access  $n\ell'[K']_J$  ARS of odd ( $\ell' = 0, 2, 4; J = 0, 2, 4$ ) [51–53] and  
 113 even parity ( $\ell' = 1, 3; J = 1, 3$ ) [54, 55].

114 From the 1990s on, time-synchronized resonant two-step photoexcitation from the  
 115 ground state enabled studies of even-parity ARS ( $\ell' = 1, 3$ ) of the heavier rare-gas  
 116 atoms. In these experiments, highly monochromatized synchrotron radiation [34, 56–61]  
 117 or laser-produced coherent VUV radiation [62–64] was used to access low- or higher-  
 118 lying odd-parity intermediate levels with  $J = 1$ , and narrow-band pulsed tunable lasers  
 119 were employed to record spectra of the ARS.

120 On the theory side, the calculations of Johnson and coworkers within the framework  
 121 of the random-phase approximation with exchange yielded detailed information on the  
 122  $ns'$ ,  $nd'$   $J = 1$  ARS in Ne [65] and Ar, Kr, and Xe [66] (see also [40, 67, 68]). In the  
 123 mid 1990s, an effort was started to provide a detailed characterization of the near-  
 124 threshold photoionization dynamics of *excited* rare-gas atoms, using the configuration-  
 125 interaction Pauli–Fock method (CIPF) [69–71], subsequently improved by including core  
 126 polarization (CIPFCP) [72]. *Absolute* total and partial photoionization cross sections  
 127 were computed for a broad variety of excited states with emphasis on the lineshapes of  
 128 the ARS [33, 34, 62, 64, 73–75]. Systematic trends for the resonance widths of the  $n\ell'$   
 129 ARS with  $\ell' = 0 - 5$  were elucidated [76, 77].

130 Recently, laser cooling and trapping of metastable rare-gas atoms in magneto-  
 131 optical traps (MOT) [78–81] and the achievement of Bose–Einstein condensation (BEC)  
 132 in spin-polarized He ( $2^3\text{S}$ ) gas [82, 83] has led to renewed interest in collisions of excited  
 133 rare-gas atoms with photons [84], electrons [85], atoms [86–89], and molecules [90].  
 134 MOT experiments enabled precise measurement of the lifetimes of the metastable  
 135 levels of Ne, Ar, Kr, and Xe [79, 80, 91–93]. Although the natural lifetime of the

136 metastable  $mp^5(m+1)s$ ,  $J = 2$  levels ( $\gtrsim 15$  s) is sufficiently long, it now appears to  
 137 be a settled issue [87, 94] that BEC in spin-polarized samples of these species cannot be  
 138 achieved because—in contrast to the He ( $2^3S$ ) case—ionization processes between the  
 139 spin-polarized atoms (although suppressed) occur at too high rates.

140 The review is organized as follows: In Section 2, experimental methods for the  
 141 study of ARS of the rare-gas atoms including data analysis are summarized. In Section  
 142 3, we discuss theoretical approaches to describe near-threshold photoionization, namely,  
 143 multichannel quantum defect theory (MQDT) and *ab initio* calculations. In Section  
 144 4, we present experimental and theoretical results on the (reduced) widths of the  
 145 ARS in the rare-gas atoms Ne–Xe and discuss general trends. The energy-dependent  
 146 photoionization cross sections near threshold are treated in Section 5 with emphasis on  
 147 the lineshapes of the ARS, as accessed from different intermediate levels. The review  
 148 ends in Section 6 with a summary and a brief outlook.

## 149 2. Experimental methods

### 150 2.1. Strategies for accessing autoionizing Rydberg states

151 The ionization energy  $E(^2P_{3/2})$  of ground state rare-gas atoms  $Rg(mp^6)$  to the lowest ion  
 152 level  $mp^5\ ^2P_{3/2}$  ranges from 12.13 eV (Xe) to 21.56 eV (Ne) (see table 1) and the lowest  
 153 excited level  $mp^5(m+1)s$   $J = 2$  is located between 8.31 eV (Xe) and 16.62 eV (Ne) above  
 154 the respective ground state (see table 2). Correspondingly, single-photon access of odd-  
 155 parity ( $ns'$ ,  $nd'$   $J = 1$ ) ARS from ground-state Ne–Xe atoms requires vacuum-ultraviolet  
 156 (VUV) light (wavelength  $\lambda < 200$  nm)—light which is strongly absorbed by the oxygen  
 157 and nitrogen in the air. In contrast, light in the UV and visible ranges ( $\lambda > 200$  nm)  
 158 suffices to access both even-parity ( $np'$ ,  $nf'$ ) ARS and odd-parity ( $ns'$ ,  $nd'$ ,  $ng'$ ) ARS  
 159 by one-photon or by resonant two-color two-photon excitation from levels of the first  
 160 excited  $mp^5(m+1)s$  or higher-lying configurations.

161 Two strategies, illustrated in figure 1, have proven particularly useful for studies  
 162 of the even-parity ( $np'$ ,  $nf'$ ) ARS: (a) Single-photon excitation from the  $J = 2, 0$  levels  
 163 of the metastable  $mp^5(m+1)s$  configuration (for their lifetimes, see table 2), allowing  
 164 access to the  $np'[1/2, 3/2]_1$ ,  $np'[3/2]_2$  ARS and—via electron correlation effects—to the  
 165  $nf'[5/2]_{2,3}$  ARS. (b) Resonant two-step photoexcitation from the ground state via odd-  
 166 parity intermediate levels  $mp^5(m+k)s$   $J = 1$  ( $k \geq 1$ ) or  $mp^5(m+k)d$   $J = 1$  ( $k \geq 1$  for  
 167 Ne,  $k \geq 0$  for Ar, Kr, Xe), using monochromatized synchrotron radiation or narrowband  
 168 coherent VUV radiation for the first step. In this way the four  $np'$  ARS ( $[1/2]_{0,1}$ ,  $[3/2]_{1,2}$ )

169 and the  $nf'[5/2]_2$  ARS can be reached.

170 In addition to numerous studies of the  $ns'[1/2]_1$  and  $nd'[3/2]_1$  series from the ground  
 171 state, many investigations of the odd-parity  $ns'$ ,  $nd'$ ,  $ng'$  resonances have been carried  
 172 out by two-step laser excitation from both metastable levels via  $J = 1, 2, 3$  levels of the  
 173  $mp^5(m+1)p$  configuration, as illustrated in figure 2. In this way, ARS with  $J = 0 - 4$   
 174 can be accessed, including the  $ng'[7/2]_{3,4}$  ARS the observation of which is mediated by  
 175 electron correlation effects.

176 Details of excitation strategies are discussed with reference to propensity rules for  
 177 electric-dipole transition matrix elements on the basis of the relevant atomic energy  
 178 levels, shown in figure 3 for the case of Ne. Here, the ten levels of the  $2p^53p$  configuration  
 179 (which are labelled  $2p_x$  ( $x = 1 - 10$  with decreasing energy) in Paschen notation) are  
 180 denoted by their usual quantum numbers in Racah coupling, i.e.,  $3p[K]_J$  for levels with  
 181 predominant  $J^+ = 3/2$  core and  $3p'[K']_J$  for levels with predominantly  $J^+ = 1/2$  core.

182 *2.1.1. Single-photon excitation from the ground state.* Early studies of Rydberg states  
 183 of Ne–Xe by photoabsorption and photoionization spectroscopy used gas discharge  
 184 lamps (in particular the helium continuum  $\lambda = 58-110$  nm) as sources of VUV radiation  
 185 [1, 95, 96]. With the development of the synchrotron, a broadly tunable and intense  
 186 source of VUV radiation became available [1, 97–99]. For both types of sources, the  
 187 resolution is limited by the monochromators used to disperse the radiation; a resolving  
 188 power  $\nu/\Delta\nu \approx 2 \cdot 10^5$  can be obtained for grating instruments [97, 99, 100] and up to  
 189  $10^6$  for Fourier-transform spectrometers [101–104]. A still higher spectral resolution can  
 190 be obtained with coherent VUV radiation generated using pulsed lasers and nonlinear  
 191 optical techniques.

192 Tunable narrow-band VUV laser radiation is conveniently generated by nonlinear  
 193 optical frequency conversion of visible or ultraviolet radiation in gases [105–108].  
 194 Because there are no transparent media for light with wavelength  $\lambda < 105$  nm (lithium  
 195 fluoride (LiF) cut-off), the frequency conversion (nonresonant frequency tripling or  
 196 sum-frequency mixing) has to occur in a free gas jet [109, 110]. VUV radiation up  
 197 to 20 eV [111] is produced efficiently by resonant four-wave mixing in a rare gas:  
 198  $\nu_{\text{VUV}} = 2\nu_1 \pm \nu_2$ , where  $2\nu_1$  corresponds to a two-photon transition of the rare gas.  
 199 Examples of two-photon resonances with which high VUV intensities can be reached  
 200 and the wavenumber ranges of the VUV radiation that can be produced with these  
 201 transitions are summarized in table 3. The generated VUV radiation is separated  
 202 from the fundamental laser radiation ( $\nu_1$  and  $\nu_2$ ) by using a toroidal dispersion grating,



203 which can be used to collimate the diverging VUV radiation or even focus it into the  
 204 photoexcitation region [112]. Using pulsed dye lasers with intracavity étalon, VUV  
 205 radiation with a spectral bandwidth of  $0.1 \text{ cm}^{-1}$  ( $12 \text{ } \mu\text{eV}$ ) and maximal intensities of  
 206 about  $10^9 - 10^{10}$  photons/pulse after the monochromator can be produced, sufficient to  
 207 resolve adjacent Rydberg states up to  $n \approx 120$  [112, 113].

208 Replacing the pulsed dye lasers by continuous-wave (cw) single-mode ring dye lasers,  
 209 which have spectral bandwidths of less than 1 MHz, and amplifying the laser radiation in  
 210 dye cells pumped by an injection-seeded Nd:YAG laser, Fourier-transform-limited VUV  
 211 radiation with a spectral bandwidth of 250 MHz or  $0.008 \text{ cm}^{-1}$  can be obtained, with  
 212 which Rydberg series can be resolved up to  $n \approx 200$  [20, 114–118]. A smaller bandwidth  
 213 requires longer pulses, which can be generated by using  $\text{Ti}^{3+}$ -doped sapphire (Ti:Sa)  
 214 crystals instead of dye cells. The much longer lifetime of the population inversion in  
 215 the crystal compared to the dye solution permits the generation of longer laser pulses,  
 216 but the amplification factor is by many orders of magnitude smaller. Therefore, many  
 217 more amplification steps are required; this can be achieved by guiding the laser beam  
 218 to be amplified many times through the Ti:Sa crystals [119]. A solid-state VUV laser  
 219 system with a 55 MHz ( $0.0018 \text{ cm}^{-1}$ ) bandwidth delivering about  $10^8$  photons/pulse (at  
 220 a repetition rate of 25 Hz) is described in Refs. [120, 121] and has been used to study the  
 221 hyperfine structure of autoionizing Rydberg series of krypton [22]. The bandwidth of  
 222 this laser system enables the resolution of adjacent members of a series up to  $n > 300$ .

223 When carrying out spectroscopic measurements with narrow-bandwidth VUV  
 224 lasers, the resolution is limited by the Doppler broadening caused by residual velocity  
 225 components in the transverse direction of the skimmed supersonic beam [121]. Because  
 226 the Doppler broadening is proportional to the frequency of the radiation, a higher  
 227 resolution can be attained by using a multiphoton excitation scheme where the rare-gas  
 228 atoms are first excited by VUV radiation to high Rydberg states and then probed by  
 229 narrow-bandwidth low-frequency radiation [113, 122–124].

230 *2.1.2. Multiphoton-excitation schemes.* While one-photon VUV excitation of ground-  
 231 state rare gases allows to excite only odd-parity Rydberg states with  $J = 1$  ( $\ell^{(r)} = 0, 2$ ),  
 232 a broader variety of ARS can be accessed from the ground state by nonresonant two-,  
 233 three-, or four-photon excitation with a single tunable laser [51–55, 125]. More selective  
 234 excitation of ARS is achieved by resonant excitation schemes via low-lying intermediate  
 235 Rydberg states, using narrow-band synchrotron radiation [56, 60, 126] or coherent VUV  
 236 light [62] or a two-photon transition [127] to reach the intermediate level.

237 In such two-step schemes, final states with different total angular momentum  
 238 quantum numbers  $J$  may be addressed with variable probability, depending on the  
 239 relative polarization direction of the different radiation fields (see, e.g., [40,59,60,62,64]).  
 240 To illustrate this with a simple, but important situation, we consider the resonant two-  
 241 step excitation from an initial level with  $J = 0$  by two linearly polarized light fields.  
 242 The alignment in the intermediate level with  $J = 1$  is transferred to the final channels  
 243  $J$  (in general,  $J = 0, 1, 2$ ; for ARS with  $\ell' = 0$  only  $J = 0, 1$ ) in a way which depends on  
 244 the angle  $\alpha$  between the two electric-field vectors. The  $\alpha$ -dependent total cross section  
 245 is given by [62] (see also [128])

$$246 \quad \sigma(\alpha) = [1 + 2P_2(\cos \alpha)]\sigma_0 + [1 - P_2(\cos \alpha)]\sigma_1 + [1 + \frac{1}{5}P_2(\cos \alpha)]\sigma_2, \quad (5)$$

247 where  $\sigma_J$  are the  $J$ -specific cross sections for photoionization from the intermediate  
 248 level in the absence of alignment (equal population of all magnetic sublevels) and  
 249  $P_2(\cos \alpha) = \frac{3}{2}\cos^2 \alpha - \frac{1}{2}$ . For  $\alpha = 0^\circ$  and  $\alpha = 90^\circ$ , one obtains  $\sigma(0^\circ) = 3\sigma_0 + \frac{6}{5}\sigma_2$   
 250 and  $\sigma(90^\circ) = \frac{3}{2}\sigma_1 + \frac{9}{10}\sigma_2$ , i.e., the  $J = 1$  ( $J = 0$ ) final state is selectively ‘switched off’  
 251 for  $\alpha = 0^\circ$  ( $90^\circ$ ). In terms of  $\sigma(0^\circ)$  and  $\sigma(90^\circ)$ , the total cross section for the unpolarized  
 252 intermediate level ( $\sigma_{\text{tot}} = \sigma_0 + \sigma_1 + \sigma_2$ ) is given by

$$253 \quad \sigma_{\text{tot}} = \frac{1}{3}\sigma(0^\circ) + \frac{2}{3}\sigma(90^\circ). \quad (6)$$

254 It can be measured directly at the ‘magic’ angle  $\alpha_M = 54.7^\circ$  ( $P_2(\alpha_M) = 0$ ).

255 Polarization-dependent measurements can be exploited to determine the  $J$ -specific  
 256 cross sections, as achieved, for instance, for two-step photoionization of ground state  
 257 Xe atoms via the  $7s[3/2]_1$  intermediate level in the energy range of the  $8p'$   $J = 0, 1, 2$   
 258 resonances [59,126], see section 5.2.1.

259 *2.1.3. Photoexcitation from metastable levels.* A large variety of bound and  
 260 autoionizing Rydberg states with even or odd parity can also be reached by one- or  
 261 two-photon laser excitation of rare-gas atoms in the metastable  $mp^5(m+1)s$   $^3P_0$  and  
 262  $^3P_2$  levels ( $1s_3$  and  $1s_5$  in Paschen notation). These levels cannot decay to the  $mp^6$   $^1S_0$   
 263 ground state through an electric-dipole transition and have long lifetimes (see table  
 264 2) [129]. In contrast, the  $mp^5(m+1)s, J = 1$  levels ( $^1P_1$  ( $1s_2$ ) and  $^3P_1$  ( $1s_4$ ) states  
 265 mixed by spin-orbit interaction) have lifetimes of a few nanoseconds (see [130,131] and  
 266 references therein).

267 Investigations of (autoionizing) Rydberg states from metastable levels can be  
 268 carried out in discharges by optogalvanic spectroscopy (see, e.g., [43,44] and section  
 269 2.2.2) or by using beams of metastable rare-gas atoms (see, e.g., [25,36] and section

270 2.2.3). Resonant two-colour excitation spectra from the metastable levels can be  
271 simplified (thus helping to assign ARS) by proper choice of the polarizations of the  
272 two light fields [40, 132] (see also sections 2.1.2, 4.2, and 5.2).

273 The different methods for the production of beams of metastable rare-gas atoms  
274 have been reviewed by Gay [133] (see also [134–136] for more recent works). These  
275 methods include the extraction of thermal beams from differentially pumped DC or  
276 microwave discharges. Metastable rare-gas atoms may be also formed by electron impact  
277 on gas flowing from an effusive or a supersonic nozzle. Optimized metastable fluxes for  
278 Ne–Xe are in the range  $10^{14} - 10^{15} \text{ s}^{-1}\text{sr}^{-1}$  with most of the population in the  $J = 2$   
279 level [69–71, 133, 137]. In few cases, fast beams of metastable rare-gas atoms were  
280 used, created by passage of accelerated rare-gas ions through a gas-filled neutralizer  
281 cell [28, 138, 139].

## 282 2.2. Spectroscopy and detection of autoionizing Rydberg states

283 2.2.1. *Absorption methods.* Because the autoionizing Rydberg states normally decay  
284 relatively fast by Coulomb interaction, it is difficult to observe them in fluorescence  
285 spectra (as possible for the bound states below the first ionization threshold).  
286 Consequently, they have been first observed in absorption spectra from the ground  
287 state [3]. However, Thekaekara and Dieke have observed photon emission spectra from  
288  $np'$  and  $nf'$  autoionizing states of krypton and xenon [140]. In a typical absorption  
289 measurement, the VUV radiation from a gas discharge lamp or a synchrotron is dispersed  
290 using a monochromator (see section 2.1.1) and the absorption by the rare gas is detected  
291 with photographic plates or a photodetector [3, 15, 16, 141–153]. Care has to be taken to  
292 avoid lineshape broadening associated with saturated absorption, especially for strong  
293 series.

294 Absorption from lower excited states of rare-gas atoms to bound and autoionizing  
295 Rydberg states can be studied in discharges by long-pathlength experiments such as  
296 cavity-ring-down spectroscopy (see, e.g., [154] and references therein).

297 2.2.2. *Optogalvanic spectroscopy.* A sensitive method for the study of excited states  
298 is optogalvanic spectroscopy (OGS), where the change in the electric properties of the  
299 discharge upon laser irradiation is detected [155]. OGS allows one to study transitions  
300 from excited levels in the discharge, either metastable or even short lived. It is a  
301 practical technique, and it can be used with a small sample volume, which makes it  
302 interesting for studies of rare isotopes. For the study of autoionizing Rydberg states,

303 radio-frequency (RF) discharges [27, 43] and DC discharges [32, 44, 48–50, 156–159] have  
304 been used (the same discharge types were used for the study of bound Rydberg states  
305 below the first ionization threshold [156, 160–166]). Hollow-cathode lamps filled with  
306 neon or argon are usually used for laser frequency calibration. In cases where useful  
307 signals can only be produced by strongly driving the transitions, the measured linewidths  
308 may easily be broadened by saturation effects. For obtaining reliable natural widths,  
309 intensity-dependent measurements should be carried out and the results extrapolated  
310 to zero intensity (see also section 2.4). Normally, OGS spectra are subject to Doppler  
311 broadening; this aspect does not cause problems for many ARS because of their large  
312 natural widths. For high-resolution spectroscopy of narrow lines, different methods such  
313 as Doppler-free OGS have been developed [167–170].

314 *2.2.3. Atomic beam methods.* Spectroscopy of bound and autoionizing Rydberg  
315 states at very high resolution requires—apart from exciting light sources of very  
316 narrow bandwidth (such as tunable single-mode cw lasers)—an environment free of  
317 perturbations arising from atomic collisions and electromagnetic fields. Their influence  
318 leads to shifts and/or splittings of the atomic levels and thus broadening of the  
319 transitions [171, 172]. It is also desirable to suppress the broadening of the lines by  
320 the Doppler effect. Thus, collimated atomic beams propagating in high vacuum and an  
321 electromagnetically shielded volume, and excited transversely by narrowband radiation,  
322 are very well suited for high-resolution spectroscopy. Broadening associated with the  
323 finite transit time of the atoms through a laser beam and the second-order Doppler  
324 effect are normally negligible in ARS studies.

325 A typical beam apparatus for resonant two-step photoionization studies of  
326 (metastable) rare-gas atoms is sketched in figure 4. A well-collimated atomic beam,  
327 originating from a differentially-pumped thermal atom source or formed in a supersonic  
328 expansion, is crossed at right angles by two anticollinear laser beams. The first laser (or  
329 narrowband VUV light in the case of ground-state atoms) with wavelength  $\lambda_e$  excites  
330 the atoms from the initial to the intermediate level of interest, and the second laser ( $\lambda_i$ )  
331 induces ionization from the intermediate level. The resulting photoions are analyzed  
332 mass-spectrometrically and detected by an electron multiplier followed by suitable  
333 electronics for signal sampling. Alternatively, the photoelectrons may be analyzed with  
334 respect to their energy and emission angle to determine partial cross sections (when  
335 more than one final ion state is accessed) and photoelectron angular distributions.

336 As indicated in figure 4 and realized in most experiments so far, linear polarizations

337 for the two light beams are chosen. The respective electric vectors  $\vec{E}_e$  and  $\vec{E}_i$  ( $\alpha$  denotes  
 338 the angle between their directions) can be rotated around the propagation direction  
 339 of the light beams. Thus photoelectron angular distributions (see sections 2.2.4 and  
 340 5.3) can be measured with a fixed direction (in the laboratory frame) for electron  
 341 detection; the electron emission angle  $\theta$  is understood to be the angle between  $\vec{E}_i$  and  
 342 the momentum direction of the detected electron.

343 To avoid Stark broadening of the investigated spectra (especially for Rydberg states  
 344 with near-integer quantum defect), a scheme should be used involving pulsed excitation  
 345 under field-free conditions followed by time-delayed charged-particle extraction. The  
 346 Stark broadening of hydrogen-like Rydberg states with principal quantum number  $n$   
 347 amounts to  $\Delta\nu/\text{MHz} = 3.84n^2 (F/(\text{V cm}^{-1})) (3Fn^2 \text{ in atomic units})$  where  $F$  denotes  
 348 the electric field strength [124].

349 Bound Rydberg states (of special interest for MQDT) can be transformed to ion-  
 350 electron pairs by state-selective ionization in a pulsed electric field [172]. A continuous  
 351 detection process for long-lived Rydberg atoms is electron transfer to electron-attaching  
 352 molecules such as  $\text{SF}_6$  [173, 174]; this method works over a broad range of principal  
 353 quantum numbers. When a supersonic beam of  $\text{SF}_6$  molecules is used, the long-lived  
 354  $\text{SF}_6^-$  ions formed by electron transfer can be detected with high efficiency even without  
 355 the need for an extracting electric field. Residual electric fields down to 10 mV/m have  
 356 been achieved in this way [175]. Schemes for minimizing residual electric fields to very  
 357 low levels have been described in [176, 177].

358 *2.2.4. Photoelectron angular distribution.* Atomic beam methods are also useful  
 359 in studies of photoelectron energy spectra and photoelectron angular distributions  
 360 (PAD) which provide more detailed information on the photoionization dynamics than  
 361 total cross sections. A wealth of information exists on PAD following nonresonant  
 362 single-photon ionization of ground-state rare-gas atoms [178]. For electric-dipole  
 363 photoionization of isotropic (unpolarized) atoms, the PAD is characterized by the  
 364 anisotropy (or asymmetry) parameter  $\beta$  ( $-1 \leq \beta \leq +2$ ). For linearly-polarized light  
 365 the PAD has cylindrical symmetry around the electric vector, and the angle-differential  
 366 cross section is given by [179]

$$367 \quad d\sigma/d\Omega(\theta) = (\sigma_{\text{tot}}/4\pi)[1 + \beta P_2(\cos\theta)], \quad (7)$$

368 where  $\sigma_{\text{tot}}$  is the angle-integrated cross section,  $\theta$  denotes the angle between the  
 369 electric vector of the light and the momentum of the photoelectron, and  $P_2(\cos\theta) \equiv$   
 370  $(3\cos^2\theta - 1)/2$ .

371 Rather few measurements of the variation of the PAD parameter  $\beta$  with energy  
372 across the ARS of Ne–Xe have been carried out [14, 18, 31, 51, 52, 180–182]. PAD studies  
373 involving selected excited states of the rare-gas atoms are also scarce [31, 57, 69–71,  
374 183–186]. The introduction of angle- and energy-resolved imaging of the photoelectrons  
375 [187, 188] has enabled efficient sampling of PADs from multiphoton ionization [187, 189]  
376 or from photoionization of excited rare-gas atoms at low density [185, 186].

### 377 2.3. Measurements of absolute photoionization cross sections for excited states

378 Normally, excited-state densities are too small for the measurement of photoionization  
379 cross sections by photoabsorption, even at the peak of a strong ARS with cross sections  
380 around 1 Gb (1 b (barn) =  $10^{-28}$  m<sup>2</sup>). Therefore, methods have to be used which sample  
381 the ion or electron signal or detect the depletion of the excited-state density caused by  
382 the photoionization process. Such methods were mainly developed for, and applied so  
383 far in, photoionization studies of excited alkali- and alkaline-earth-metal atoms formed  
384 by selective one- or two-step laser excitation of ground-state atoms in atomic beams  
385 (see, e.g., [190, 191]).

386 A powerful method which does not require knowledge of the excited-state density  
387 nor a calibration of the charged-particle detector takes advantage of saturating the  
388 ionization step with a sufficiently intense (pulsed) laser [190, 192, 193]. A fit of the  
389 intensity-dependent ionization signal up to high intensities (where the signal saturates)  
390 yields the ionization cross section. Beautiful illustrations of the saturation method are  
391 presented in [190]. Excited states with  $J \geq 1$  ( $J \geq 1/2$ ), created by absorption of linearly  
392 (circularly) polarized light are normally aligned (oriented) when applying the saturation  
393 method, and thus the measured cross section is that for an aligned (oriented) atomic  
394 sample. The cross section for such polarized excited samples is generally different from  
395 the photoionization cross section for excited states with equal population of all magnetic  
396 sublevels. For excited states of rare-gas atoms, the saturation method has been applied  
397 by Gisselbrecht *et al* [194] and by Baig and coworkers [158, 159, 195].

398 Photoionization-induced depletion of excited states is another method to measure  
399 photoionization cross sections without the explicit knowledge of the excited state density  
400 and the ion collection efficiency. Bonin *et al* [196] have utilized the reduction in  
401 the excited-state fluorescence, as induced by pulsed-laser ionization, to determine the  
402 photoionization cross section of the  $7d_{3/2}$  state of Cs. The competition with spontaneous  
403 decay requires rapid photoionization and a time-resolved measurement. Stationary  
404 targets of excited atomic states such as those present in magneto-optical traps can also be

405 used to determine photoionization cross sections for these (normally polarized) excited  
406 states [197]. The photoionization-induced losses are usually significantly larger than  
407 other trap losses. The photon fluence, the excited-state fraction, and the overlap factor  
408 of the trapped excited states with the photon beam have to be determined carefully  
409 when using this method, which has been applied to photoionization of Ne(3p,  $J = 3$ )  
410 atoms at two ionizing wavelengths [84] (see also [73]).

411 Other methods of determining photoionization cross sections normally require the  
412 nontrivial tasks of determining the excited-state density and of calibrating the charged-  
413 particle detector. For Rydberg states of sufficiently high  $n$  and correspondingly long  
414 lifetimes, (pulsed) ionization by an electric field is a straightforward way to measure  
415 the number of excited atoms present in the volume of interest [172]. The situation is  
416 more complicated for low-lying excited states which have shorter lifetimes and require  
417 very large pulsed fields. In a photoionization experiment on the excited Cs(7s) level  
418 with an intense continuous laser, Gilbert *et al* [198] measured the excited-state density  
419 resulting from pulsed laser pumping by determining the time-integrated and solid-angle-  
420 corrected fluorescence yield with an uncertainty of only 5%. These authors also proposed  
421 a technique for the absolute measurement of photoionization cross sections for excited  
422 states based on modulated fluorescence.

423 For rare-gas atoms, rather few absolute measurements of photoionization cross  
424 sections for excited states have been carried out to date. In part, this situation can  
425 be explained by the rather low excited-state densities which can be achieved in atomic  
426 beams. The quantification of excited-state densities, produced by VUV excitation from  
427 the ground state or present in discharges, is difficult, and thus the saturation method has  
428 been applied in these cases [158, 159, 194, 195]. Likewise, measurements of the absolute  
429 flux of rare-gas atoms in metastable levels is demanding [199]. Dunning *et al* [200]  
430 used pulsed lasers and Schohl *et al* [137] employed continuous lasers to measure the  
431 electron emission coefficient for impact of metastable rare-gas atoms on (gas-covered)  
432 surfaces by means of a photoionization depletion technique and thereby determined  
433 the flux of the metastable atoms (see also [199]). Time-of-flight analysis or Doppler-  
434 shifted fluorescence yield the atom velocities, and the selective laser-induced removal  
435 of one of the two metastable levels can be used to determine the relative densities of  
436 the metastable levels. Combining these methods, the state-resolved density of the two  
437 metastable states can be obtained. In this way, Kau *et al* determined the photoionization  
438 cross sections of the metastable levels of Ne [69], Ar, Kr [71], and Xe [70] to either ion  
439 state ( $^2P_{3/2}$  and  $^2P_{1/2}$ ) at a few wavelengths with a calibrated electron spectrometer.

440 Saturated excitation of the closed transition from the metastable  $(m+1)s$   $J = 2$  level to  
 441 the  $(m+1)p$   $J = 3$  level [183] with a linearly (or circularly) polarized laser results in a  
 442 quasi-stationary population of aligned (or oriented)  $(m+1)p$   $J = 3$  atoms with known  
 443 density. This has been exploited to measure absolute photoionization cross sections of  
 444 the  $(m+1)p$   $J = 3$  level of Ne [183], Ar [132, 201], and Kr [201].

#### 445 2.4. Analysis of lineshapes of autoionizing Rydberg states

446 In the majority of the experimental work on autoionizing resonances  $n\ell'[K']_J$ , the  
 447 measured lineshapes have been compared with fitted Fano profiles to deduce the  
 448 parameters  $E_0$ ,  $\Gamma$ , and  $q$  in (3). Fano profiles provide a useful description of ARS  
 449 in cases where the width  $\Gamma(n)$  is significantly smaller than the energy spacing  $\Delta E_n$   
 450 between adjacent ARS of the same series so that the resonances can be considered as  
 451 “isolated”. Overlapping ARS of different  $J$  value do not interact, and their composite  
 452 lineshape can be described by a superposition of independent Fano profiles. A proper  
 453 description for the general case is provided by multichannel quantum defect theory  
 454 (MQDT) (see section 3.1).

455 The values for the resonance energy  $E_0$  and the width  $\Gamma$  depend more or less  
 456 strongly on the quantum numbers  $n$ ,  $\ell'$ ,  $K'$ , and  $J$ . At sufficiently high  $n$  values, the  $n$   
 457 dependence of  $E_0$  is well described by the Rydberg formula

$$458 \quad E_0(n) = E_{1/2} - \frac{R_M hc}{(n - \mu_{\ell'})^2}. \quad (8)$$

459 Here  $E_{1/2}$  is the energy of the  $mp^5 \ ^2P_{1/2}$  threshold,  $R_M$  is the mass-dependent Rydberg  
 460 constant for the isotope in question, and  $\mu_{\ell'}$  is the quantum defect of the ARS series  
 461 which mainly depends on  $\ell'$ , but also weakly on  $K'$  and  $J$ .

462 The uncertainty  $\Delta E_0$  of a measured resonance energy and the uncertainty  $\Delta\mu$  of  
 463 the determined quantum defect  $\mu$  are connected through

$$464 \quad \Delta\mu = \frac{(n - \mu)^3}{2hcR_M} \Delta E_0. \quad (9)$$

465 The uncertainty  $\Delta E_0$  is influenced by the uncertainty  $\Delta E_p$  of the photon energy, by the  
 466 uncertainty  $\Delta E_{1/2}$  of the ionization energy  $E_{1/2}$ , and by the uncertainty  $\Delta E_p$  with  
 467 which the resonance energy  $E_0$  can be extracted from a fit of equation (3) to the  
 468 resonance profile. Clearly  $\Delta E_p$  depends on the width  $\Gamma$  and is smaller for narrow  
 469 resonances (as long as shifts and/or line broadening by ac or dc electromagnetic fields  
 470 can be neglected). For small quantum defects ( $\mu \ll 1$ ), as typically observed for



471  $\ell' \geq 3$ , high accuracy ( $\Delta\mu/\mu \ll 1$ ) can only be achieved at low  $n$  values with narrow-  
 472 bandwidth photon sources ( $\Delta E_p$  small), using either cw lasers or Fourier-transform-  
 473 limited pulsed lasers with Gaussian temporal profile and duration  $\gtrsim 5$  ns (frequency  
 474 width  $\Delta\nu \lesssim 100$  MHz  $\hat{=}$   $0.0033$  cm $^{-1}$ ) in conjunction with accurate wavemeters. For  
 475 narrow resonances with  $n - \mu = 10$ , an overall error  $\Delta E_0 = 0.01$  cm $^{-1}$  translates to  
 476  $\Delta\mu = 5 \times 10^{-5}$ , as achieved, e.g., in pulsed laser excitation of Ar( $n\ell'$ ) resonances  
 477 ( $\mu_f = 0.01144(3)$  [34]). However, the energy dependence of the quantum defects has  
 478 stronger effects on the value of  $\mu$  at low  $n$  values.

479 The autoionization width  $\Gamma$  rapidly decreases with rising  $n$  and  $\ell'$ , and—for given  
 480  $n, \ell'$ —depends rather weakly on  $K'$  and  $J$ , as will be discussed in more detail in Section  
 481 4. At sufficiently high  $n$  values,  $\Gamma(n) \propto (n^*)^{-3}$  ( $n^* \equiv n - \mu$  denotes the effective principal  
 482 quantum number) [1, 76, 202], and a reduced width  $\Gamma_r$

$$483 \quad \Gamma_r = (n^*)^3 \Gamma(n) \quad (10)$$

484 is introduced to characterize the natural width of a resonance series  $n\ell'[K']_J$ . At lower  
 485  $n$ , both the quantum defect  $\mu_{\ell'}$  and the reduced width exhibit a residual dependence  
 486 on  $n$ , i.e., on the energy, as mentioned above and discussed in detail, e.g., for the  $n\ell'$   
 487 ( $\ell' = 0, 2$ ) series in Xe [48]. The relative uncertainties of the experimentally determined  
 488 reduced widths may be as small as one percent [26, 31], but more typically lie in the range  
 489 5–50%. They are normally not limited by the uncertainty in establishing the (relative)  
 490 energy scale. Other effects such as the energy width of the photon source, noisy signals  
 491 and/or saturation broadening (when pulsed lasers are used) often dominate the error  
 492 budget.

493 If the energy width of the photoionizing light (spectral distribution  $F(E)$ ) is not  
 494 small compared to the natural resonance width  $\Gamma$ , the measured resonance profile  $M(E)$   
 495 should be compared with a calculated lineshape  $C(E)$ , obtained by convolution of the  
 496 resonance cross section  $\sigma(E)$  with  $F(E)$ , i.e.,

$$497 \quad C(E) = \int F(E') \cdot \sigma(E - E') dE' \quad (11)$$

498 in order to determine the natural width  $\Gamma$ . As a test and means to check for systematic  
 499 errors, it can also be helpful to measure resonance profiles at different values of  $n$  and  
 500 compare with the corresponding calculated profiles  $C(E)$  using equation (10) to scale  
 501 the widths.

502 In order to outline the problems associated with saturation broadening of measured  
 503 resonance profiles, we assume a Lorentzian lineshape of the ARS  $\sigma(\epsilon) = \sigma_0/(1 + \epsilon^2)$

504 ( $\sigma_0 \equiv \sigma(\epsilon = 0)$ ,  $\epsilon = 2(E - E_0)/\Gamma$ ), excited by a (pulsed) laser with (average) photon  
 505 fluence  $\phi$  [photons/area] and a bandwidth small compared to the natural width  $\Gamma$ . The  
 506 ionization probability is given by

$$507 \quad P(\epsilon, \phi) = 1 - \exp[-\phi(\epsilon)\sigma(\epsilon)]. \quad (12)$$

508 If  $\phi\sigma_0 \ll 1$ ,  $P(\epsilon, \phi) \ll 1$  at all  $\epsilon$ , and the energy dependence of the ionization probability  
 509 function  $P(\epsilon, \phi)$  matches that of the ionization cross section  $\sigma(\epsilon)$ . The full width at half  
 510 maximum (FWHM) of the resonance is  $\Delta\epsilon = 2$  and corresponds to the energy width  
 511  $\Delta E_{\text{FWHM}} = \Gamma$ . This situation is normally encountered when cw lasers are used. With  
 512 pulsed lasers, however, saturation conditions ( $\phi\sigma_0 \gtrsim 1$ ) are easily fulfilled, even at  
 513 moderate fluences  $\phi \lesssim 10^{16} \text{ cm}^{-2}$  because for strong ARS, the peak cross section  $\sigma_0$   
 514 often reaches values above  $10^{-16} \text{ cm}^2$  (100 Mb).

515 Saturation results in a significant broadening of the normalized ionization  
 516 probability function  $N(\epsilon, \phi) = P(\epsilon, \phi)/P(\epsilon=0, \phi)$ , as normally evaluated at  $N(|\epsilon|, \phi) =$   
 517 0.5. For the case  $\phi\sigma_0 = 3$ , the condition  $N(\epsilon, \phi) = 0.5$  is fulfilled for  $\epsilon \approx \pm 2$ ,  
 518 hence the saturation broadening amounts to a factor of about 2. In order to make  
 519 sure that saturation broadening does not influence the extracted resonance width  $\Gamma$ ,  
 520 measurements at different photon fluences have to be carried out (see, e.g., the studies  
 521 of Ar ( $nf'$ ) resonances in [34]).

522 The lineshape of the ARS is determined by the profile index  $q$ . The uncertainty  
 523 in determining  $q$  is highest for high  $|q|$  values for which also the sign of  $q$  is difficult  
 524 to determine. For lineshapes with  $|q| \lesssim 5$ , the relative error  $\Delta q/|q|$  is lower. The  
 525 background cross section  $\sigma_b$  in (3) is part of the fitting procedure and also has some  
 526 influence on the error budget for  $q$ .

### 527 **3. Theoretical description**

528 The theoretical description of the outer-shell photoionization of the rare-gas atoms is  
 529 based on different modifications of either the central-field (CF) approach or multichannel  
 530 quantum defect theory (MQDT). CF approaches use calculated atomic orbitals as a  
 531 starting point to compute matrix elements of the energy operator and the transition  
 532 moments in a perturbation-theory treatment. MQDT is based on scattering theory and  
 533 is able to describe the energy-level structure and many spectral features with a relatively  
 534 small set of parameters, such as transition dipole amplitudes and eigen-quantum defects.  
 535 *Ab initio* CF approaches can also be used to compute the MQDT parameters [65, 66]  
 536 and to describe the photoionization process.

537 We begin this section with a brief description of the MQDT treatment of atomic  
 538 spectra and then describe semi-empirical and *ab initio* CF approaches, omitting,  
 539 however, the fully *ab initio* large-scale many-configurational approaches (see, e.g.,  
 540 [203–208] and references therein). We conclude the section by describing the *ab*  
 541 *initio* CIPFCP approach which was used recently for the calculation of the outer-shell  
 542 photoionization of Ne, Ar, Kr, and Xe [70, 72, 74, 77].

### 543 3.1. MQDT analysis

544 Many of the features of complex atomic spectra and single-electron ionization can be  
 545 described in terms of a few important dynamical parameters using scattering theory  
 546 in the form of multichannel quantum defect theory (MQDT) [209–212]. MQDT treats  
 547 the Rydberg states and photoionization from the perspective of a collision between an  
 548 ion core and a Rydberg electron with an attractive electrostatic potential. The word  
 549 “channel” indicates a set of states that consist of an electron of arbitrary energy and  
 550 a target ion in a specific quantum state; specification of the angular momenta of the  
 551 electron and cationic core, and of their coupling, completes the identification of a channel  
 552 [210, 213]. If the energy of the electron lies below the ionization limit, the state belongs to  
 553 the series of discrete bound states (Rydberg series) and the channel is said to be “closed”;  
 554 if the energy of the electron is higher than the limit, the state belongs to the adjoining  
 555 continuum and the channel is said to be “open”. Perturbations between Rydberg series  
 556 and configuration interaction (resulting from correlations between Rydberg electron and  
 557 core electrons) are treated as channel mixing. Autoionization is described in MQDT as  
 558 inelastic scattering of an electron in a closed channel into an open channel by collision  
 559 with the ionic core [213]. A remarkable feature of MQDT is its ability to represent the  
 560 effects of all interactions by means of a small set of physically meaningful parameters:  
 561 eigen-quantum defects  $\mu_\alpha$  representing short-range electron–core interactions, dipole  
 562 matrix elements  $D_\alpha$ , the energy levels of the ion  $E_i = E_{\text{ion}}(J^+F^+)$ , and the frame  
 563 transformation  $U_{i\alpha}$  between the angular momenta coupling schemes of the close-coupling  
 564 eigenchannels  $\alpha$  and of the dissociation or ionization channels  $i$  [210, 213, 214].

565 To analyze the Rydberg spectra of rare gases, we follow the formalism introduced  
 566 by Fano and coworkers [215–220] on the basis of Seaton’s MQDT theory [209, 221–223].  
 567 This approach has been extended to include relativistic effects [66, 68, 224, 225],  
 568 was successfully applied to study the bound and autoionizing Rydberg states of  
 569 rare gases [36–38, 40, 45, 139, 150, 151, 162, 226–234], and could be easily adapted to  
 570 analyze the hyperfine structure of bound and autoionizing Rydberg states of rare

gases [21, 22, 235–237]. Some authors adopted slightly different formulations (phase-shifted MQDT [238–241] or complex quantum defects [242]) in their studies of rare gases [42, 44, 46, 49, 164–166, 243]. An extension of the MQDT method [244, 245] has been used to treat the Stark effect in bound and autoionizing Rydberg states of argon and neon [63, 246–248].

For the MQDT analysis of rare gases, two different angular momentum coupling schemes are used. In the close-coupling region of the electron–ion collision, the electrostatic interaction between the electron and the ion core is larger than the spin–orbit and much larger than the hyperfine interaction [217]. Therefore, the following ( $LS$ ) angular momentum coupling scheme is adequate to describe the close-coupling eigenchannels:

$$\vec{L}^+ + \vec{\ell} = \vec{L}, \quad \vec{S}^+ + \vec{s} = \vec{S}, \quad \vec{L} + \vec{S} = \vec{J}, \quad \vec{J} + \vec{I} = \vec{F}, \quad (13)$$

where  $\vec{L}^+$  and  $\vec{S}^+$  represent the orbital and spin angular momenta of the ionic core,  $\vec{\ell}$  and  $\vec{s}$  the corresponding angular momenta of the Rydberg electron, and  $\vec{I}$  the nuclear spin. In the long-range part of the electron–ion collision, however, the energy-level structure of the Rydberg states corresponds primarily to the energy levels of the ionic core. Thus the following ( $F^+j$ ) coupling scheme (or  $J^+j$  for isotopes with zero nuclear spin) is used for the dissociation (or fragmentation) channels:

$$\vec{L}^+ + \vec{S}^+ = \vec{J}^+, \quad \vec{J}^+ + \vec{I} = \vec{F}^+, \quad \vec{\ell} + \vec{s} = \vec{j}, \quad \vec{F}^+ + \vec{j} = \vec{F}. \quad (14)$$

In the discrete part of the spectrum, i.e., at energies lower than the lowest ionization threshold, the equation

$$\sum_{\alpha} U_{i\alpha} \sin[\pi(\mu_{\alpha} + \nu_i)] A_{\alpha} = 0, \quad (15)$$

which requires the wavefunction of the bound levels to vanish at infinity, is used to determine the positions of the bound Rydberg states.  $\nu_i$  is an effective principal quantum number  $\nu_{J^+F^+}$  defined by

$$E = E_{\text{ion}}(J^+F^+) - \frac{hcR_M}{(\nu_{J^+F^+})^2} \quad (16)$$

with the mass-dependent Rydberg constant  $R_M$  and the ion energy level  $E_{\text{ion}}(J^+F^+)$  associated with the dissociation channel  $i$ . The elements  $U_{i\alpha}$  of the transformation matrix  $\mathbf{U}$  differ slightly from the elements  $\bar{U}_{i\bar{\alpha}} = \langle LSJF | J^+F^+jF \rangle$  (as given in Refs. [236, 237]) of the  $F^+j - LS$  frame transformation matrix  $\bar{\mathbf{U}}$  because of the spin–orbit interaction and the deviation of the electrostatic potential from a pure Coulomb

602 potential. For example,  $\bar{\mathbf{U}}$  is diagonal in  $\ell$ ,  $F$ , and (for  $I = 0$ )  $J$ , whereas  $\mathbf{U}$  can  
 603 have matrix elements connecting eigenchannels differing in  $\ell$  by 2. The coefficients  
 604  $A_\alpha$  enable the expansion of the dissociation channels in the basis of the close-coupling  
 605 eigenchannels. Equation (15) has nontrivial solutions when

$$606 \quad \det |U_{i\alpha} \sin[\pi(\mu_\alpha + \nu_i)]| = 0, \quad (17)$$

607 and the values of  $\nu_i$  satisfying this relation correspond to the bound Rydberg levels.

608 If the total energy lies between the lowest and the highest ionic level included in  
 609 the MQDT model, some dissociation channels are closed (forming an ensemble denoted  
 610  $Q$ ) and some are open (forming an ensemble labeled  $P$ ). In addition to the boundary  
 611 condition represented by (15) for the closed channels, the open-channel wavefunctions  
 612 should behave at large  $r$  as collision eigenfunctions of the open channels, labeled  $\rho$ , with  
 613 a phase shift  $\pi\tau_\rho$ ; this boundary condition is represented by the following equation:

$$614 \quad \sum_{\alpha} U_{i\alpha} \sin[\pi(-\tau_\rho + \mu_\alpha)] A_\alpha = 0. \quad (18)$$

615 For each value of the total energy in the autoionizing region, there are as many  
 616 solutions  $\tau_\rho$  and associated vectors of expansion coefficients  $\mathbf{A}^\rho$  as open channels. These  
 617 coefficients are obtained in a single step by solving the equation [210]

$$618 \quad \mathbf{\Gamma} \mathbf{A}^\rho = \tan(\pi\tau_\rho) \mathbf{A} \mathbf{A}^\rho, \quad (19)$$

where

$$\Gamma_{i\alpha} = \begin{cases} U_{i\alpha} \sin[\pi(\mu_\alpha + \nu_i)] & \text{for } i \in Q, \\ U_{i\alpha} \sin(\pi\mu_\alpha) & \text{for } i \in P, \end{cases} \quad (20)$$

$$A_{i\alpha} = \begin{cases} 0 & \text{for } i \in Q, \\ U_{i\alpha} \cos(\pi\mu_\alpha) & \text{for } i \in P. \end{cases} \quad (21)$$

619 The total photoionization cross section is

$$620 \quad \sigma(\omega) \propto \omega \sum_F \frac{2F+1}{2F_0+1} \sum_{\rho} \frac{1}{N_{\rho}} \left( \sum_{\alpha'} \sum_{\alpha} D_{\alpha'\alpha} A_{\alpha'} A_{\alpha}^{\rho} \right)^2, \quad (22)$$

621 where  $\omega$  is the photon energy,  $F_0$  the total angular momentum quantum number of  
 622 the initial state,  $D_{\alpha'\alpha}$  is the reduced dipole matrix element for a transition between  
 623 eigenchannel  $\alpha'$  of the initial state and eigenchannel  $\alpha$  of the final state, and the  
 624 normalization factor  $N_{\rho}$  is given by

$$625 \quad N_{\rho}^2 = \sum_{i \in P} \left( \sum_{\alpha} U_{i\alpha} \cos[\pi(-\tau_{\rho} + \mu_{\alpha})] A_{\alpha}^{\rho} \right)^2. \quad (23)$$

626 The theory has been extended to describe the photoelectron angular distribution  
627 [66, 218, 220, 228, 229, 232].

628 Because the electrostatic interaction in the close-coupling region is much larger  
629 than the hyperfine interaction, the same sets of eigen-quantum defects  $\mu_\alpha$  and dipole  
630 transition amplitudes  $D_{\alpha'\alpha}$  can be used for all isotopes and all  $F$  values. In this  
631 approximation, the parameter set for  $A=\text{odd}\text{Rg}$  ( $I \neq 0$ ) differs from that of  $A=\text{even}\text{Rg}$   
632 ( $I = 0$ ) only by the additional parameters describing the hyperfine structure of the ion  
633  $E_{\text{ion}}(J^+F^+)$  and a small isotope shift of the ion energy level with respect to the ground  
634 state of the neutral atom [21, 22, 236, 237].

635 The eigen-quantum defects  $\mu_\alpha$ , the eigen-dipole amplitudes  $D_{\alpha'\alpha}$ , and the frame  
636 transformation matrices  $U_{i\alpha}$  are slowly varying functions of energy near the ionization  
637 thresholds (see figures 1 and 2 in [66] and [217, 219]). In most treatments, the energy  
638 dependence was restricted to the eigen-quantum defects [46, 139, 162, 227, 229, 231, 232,  
639 243]. Even for the description of high- $n$  Rydberg states of krypton and xenon studied  
640 with very high resolution, it was sufficient to use a linear energy-dependence of the  
641 eigen-quantum defects [22, 235–237]. In the energy range of the strongly bound low-  
642  $n$  Rydberg states, the energy-dependence of the  $\mu$  quantum defect parameters becomes  
643 pronounced and the calculations may yield unphysical solutions; methods that avoid  
644 such artefacts have been proposed [249].

### 645 3.2. Central-field approach with core polarization

646 The basic assumption of the central-field (CF) approximation is that the movement  
647 of each electron takes place in the spherical field of the nucleus and the average field  
648 of the other electrons [250–252]. With this assumption, the structure of the single-  
649 electron wave function (including spin) is the same as for the hydrogen atom, i.e.,  
650  $\phi_{nlsm\ell m_s}(r, \theta, \varphi) = \frac{1}{r}P_{nl}(r)Y_{\ell m_\ell}(\theta, \varphi)\chi_{m_s}(s)$ , but the nonhydrogenic radial part  $P_{nl}(r)$ ,  
651 denoted as atomic orbital (AO), is determined within the nonrelativistic approximation  
652 by solving the equation

$$653 \left( -\frac{d^2}{dr^2} + \frac{\ell(\ell+1)}{r^2} + V_{nl}^{\text{CF}}(r) \right) P_{nl}(r) = \varepsilon_{nl}P_{nl}(r). \quad (24)$$

654 Atomic units are used in sections 3.2 and 3.3 except for the energies, for which we adopt  
655 Rydberg units (1 Ry = 13.6057 eV) unless specified otherwise;  $\ell$  is the orbital angular  
656 momentum quantum number;  $\varepsilon_{nl}$  is a variational parameter corresponding to the single-  
657 electron energy. The central field potential  $V_{nl}^{\text{CF}}(r)$ , depending on the approximation,

658 consists of several parts:

$$659 \quad V_{nl}^{\text{CF}}(r) = V_{nl}(r) - X_{nl}(r) + V^{\text{CP}}(r). \quad (25)$$

660 The potential term  $V_{nl}(r)$  includes the potential  $-2Z/r$  and the local part of the  
 661 electron–electron interaction; the nonlocal potential  $X_{nl}(r)$  describes the exchange part  
 662 of the electron–electron interaction;  $V^{\text{CP}}(r)$  is the core-polarization (CP) potential  
 663 accounting for the influence of the excited configurations on  $P_{nl}(r)$ . The relativistic  
 664 corrections can be included in equation (24) using the Breit–Pauli operator [250]. The  
 665 major relativistic terms to be added to  $V_{nl}^{\text{CF}}(r)$  are the mass–velocity  $H_{nl}^{\text{m}}(r)$ , the one-  
 666 electron Darwin  $H_{nl}^{\text{D}}(r)$ , and the spin–orbit  $H_{nl}^{\text{SO}}(r)$  corrections. The expressions for  
 667 these corrections can be obtained by transforming the system of two first-order Dirac–  
 668 Fock integro-differential equations for the ‘large’ and ‘small’ components of the fully  
 669 relativistic single-electron wave function to a single second-order equation for  $P_{nl}(r)$   
 670 [252]:

$$671 \quad H_{nl}^{\text{m}}(r) = -\frac{\alpha^2}{4} (\varepsilon_{nl} - V_{nl}(r))^2, \quad (26)$$

$$672 \quad H_{nl}^{\text{D}}(r) = -\delta_{\ell,0} \frac{\alpha^2}{4} \left[ 1 + \frac{\alpha^2}{4} (\varepsilon_{nl} - V_{nl}(r)) \right]^{-1} \cdot \frac{dV_{nl}(r)}{dr} [P_{nl}(r)/r]^{-1} \frac{d[P_{nl}(r)/r]}{dr}, \quad (27)$$

$$673 \quad H_{nlj}^{\text{SO}}(r) = \frac{j(j+1) - \ell(\ell+1) - s(s+1)}{2} \cdot \frac{\alpha^2}{2} \left[ 1 + \frac{\alpha^2}{2} (\varepsilon_{nl} - V_{nl}(r)) \right]^{-1} \frac{1}{r} \frac{dV_{nl}(r)}{dr}. \quad (28)$$

674 In these equations,  $\alpha = 1/137.036$  is the fine-structure constant, and  $V_{nl}(r)$  denotes the  
 675 local part of the Hartree–Fock (HF) potential. Inserting (26)–(28) into (24) yields the  
 676 ‘Pauli–Fock’ radial functions  $P_{nlj}(r)$  where  $j$  is the total angular momentum quantum  
 677 number of the electron ( $\vec{j} = \vec{\ell} + \vec{s}$ ).

678 *3.2.1. Local-density approximation.* In early *ab initio* calculations, the core-  
 679 polarization and relativistic effects were neglected and the nonlocal exchange potential  
 680  $X_{nl}(r)$  was replaced by its local version introduced by Slater [253].  $P_{nl}(r)$  functions  
 681 computed within the local-density approximation (LDA) have been tabulated by  
 682 Herman and Skillman [254] for the ground states of atoms with  $2 \leq Z \leq 102$  and  
 683 used in many subsequent calculations. As an example of such calculations related to  
 684 the present review, we mention [255] where LDA  $P_{nl}(r)$  [254] were used without any  
 685 additional corrections for computing the photoionization cross sections of the excited  
 686  $n\ell$  ( $\ell = 0 - 3$ ) electrons in Cs. As an alternative to the LDA, semi-empirical core-  
 687 electron potentials were employed [256] with parameters chosen to provide the best

688 fit to the available experimental data (e.g., excitation energies). The core–electron  
689 potential of [256] has been used in [257] to compute the photoionization cross sections  
690 of the excited states of Li, Na, and K.

691 *3.2.2. Hartree–Fock approximation.* A better approximation, involving the nonlocal  
692 exchange potential  $X_{n\ell}(r)$ , is the Hartree–Fock (HF) method which generates the most  
693 accurate single-electron nonrelativistic AOs. For atoms and some ions with  $2 \leq Z \leq 54$ ,  
694 HF AOs have been tabulated by Clementi and Roetti [258]. These AOs were often  
695 used for computing the core potential in extended calculations with inclusion of CP  
696 and other many-electron correlations [259–262]. The HF approach allows one to take  
697 into account many-electron correlations by inclusion of the term dependence of the  
698 AO in equation (24), which can substantially change the shape of the radial function  
699  $P_{n\ell}(r)$  [263–266] and reduce the residual part of the electron–electron interaction in  
700 a subsequent application of many-body perturbation theory (MBPT). Use of term-  
701 dependent Hartree–Fock AOs in MBPT calculations marked the starting point for the  
702 development of the random-phase approximation with exchange (RPAE) approach [267].  
703 The RPAE approach has been successfully applied in studies of the photoionization  
704 dynamics in atoms [268] including autoionization [40,269]. Accounting for the intershell  
705 and intrashell correlations in RPAE shed light on the origin of many observed features of  
706 atomic photoionization cross sections and photoelectron angular distributions [267,268].  
707 However, this approximation failed to quantitatively describe photoionization from the  
708 outer shells of the heavier alkali atoms (see, e.g., figure 5 where the cross sections for the  
709 6s shell of Cs  $\sigma_{6s}$  are displayed). This failure is caused by the neglect of the relativistic  
710 compression of the atomic core in RPAE [70].

711 *3.2.3. Dirac–Fock approximation.* For atoms with  $Z \gtrsim 30$ , the relativistic effects  
712 should be taken into account in computing AOs. The most rigorous way of doing this  
713 is by using the Dirac–Fock (DF) method [270,271] or its modification using the local  
714 exchange potential [272]. By inclusion of relativistic effects (in the LDA version of the  
715 DF approach), Walker and Waber [273] explained many features of the photoionization  
716 of valence and subvalence shells of the rare gases, but much better agreement between  
717 theory and experiment could be reached by including intershell correlations [274]. The  
718 most rigorous approach takes both relativistic (in the DF approximation) and many-  
719 electron (in the RPA approximation) effects into account and has been developed by  
720 Johnson *et al* in studies of the photoionization of the ground state of the rare-gas



atoms [224,275]. This approach is known as the relativistic random-phase approximation (RRPA), and was applied in the first fully *ab initio* calculations of the resonance structure in the photoionization cross sections of the  $mp^6$  valence shell of the rare gases [65,66]. Figure 5(a) illustrates that relativistic effects shift the Seaton–Cooper minimum (see section 5.1 for a discussion of such minima) in  $\sigma_{6s}$  of Cs by  $\sim 1.5$  eV towards higher photoelectron energies [276], while many-electron effects essentially bring the minimum back to its nonrelativistic position (see figure 5(b)). However, the inclusion of both effects increases the photoionization cross section at high photoelectron energies by almost a factor of two in comparison with the HF calculation (see figure 5).

*3.2.4. Pauli–Fock approximation.* The number of AOs in the DF approach is larger than in HF because orbitals with  $\ell \neq 0$  possess  $j = \ell \pm 1/2$  and have ‘large’ and ‘small’ components. This leads to a substantial increase in the number of Coulomb (Slater–Condon) integrals and makes the atomic structure calculations cumbersome. Therefore, it is practical to include relativistic effects using the Breit–Pauli operator [250,252]. The computer code with nonlocal exchange has been created by Cowan and Griffin [277] (HFR approach) and by Selvaraj and Gopinathan [278] in LDA version (R $\Xi$  approach). It was found that the HFR and R $\Xi$  approaches describe the single-electron energies (compared with the DF calculation) with accuracies around 5% and mean radii of AOs with accuracies of 0.3% for atoms with  $Z \leq 92$ . The small- $r$  deficiencies of the orbitals in the HFR approach were attributed to the neglect of the finite size of the nucleus [277]. This finite-size effect was taken into account in the Pauli–Fock (PF) approach in [70] along the lines of work in [271]. Photoionization cross sections  $\sigma_{6s}$  of Cs computed in the PF approach are compared with the DF calculation [276] in figure 5(a). One can see that these cross sections agree to within a few percent, demonstrating the adequacy of the PF approach for inclusion of the main relativistic effects in atomic calculations. One of the advantages of the PF approach is its ability to perform calculations with step-by-step inclusion of atomic interactions. In particular, omission of the  $H_{n\ell}^m(r)$  and  $H_{n\ell}^D(r)$  corrections from the core AO calculation clearly showed that the relativistic shift in  $\sigma_{6s}$  of Cs results mainly from the relativistic compression of the atomic core. Figure 5(b) illustrates that the remaining difference between the measured  $\sigma_{6s}$  of Cs and that computed within the CIPF approach [70] has been removed in [72] by taking into account many-electron correlations beyond intershell correlations by means of an *ab initio* core polarization potential (CP) technique (the CIPFCP approach, described in more detail below).

755 *3.2.5. Core polarization.* The idea of the core-polarization potential  $V^{\text{CP}}(r)$  has been  
 756 suggested by Born and Heisenberg [279] before the introduction of quantum mechanics.  
 757 From classical considerations they concluded that at large distances the optical electron  
 758 should move in a  $-\frac{1}{2}\alpha_d/r^{-4}$  potential resulting from its interaction with the electric  
 759 dipole induced in the core. Application of quantum mechanics to the derivation of the  
 760  $V^{\text{CP}}(r)$  potential in 1933 [280,281] confirmed the asymptotic behaviour of this potential,  
 761 but encountered a divergence problem at small radii. Therefore, Bates suggested to use  
 762 a ‘cut-off’ radius  $r_c$  such that  $V^{\text{CP}}(r) \xrightarrow{r \rightarrow 0} 0$  [282]. Subsequently, a variety of different  
 763 ‘cut-off’ radii were introduced, as reviewed by Meyer *et al* [283,284]. One of the widely  
 764 used forms of  $V^{\text{CP}}(r)$  is the potential with the leading term [260,285–288]

$$765 \quad V^{\text{CP}}(r) = -\frac{\alpha_d}{2r^4} \left(1 - \exp[-(r/r_c)^6]\right), \quad (29)$$

766 where  $r_c$  is the effective cut-off core radius chosen to reproduce experimental ionization  
 767 potentials of the outer electrons.

768 The electric dipole induced by the optical electron in the core changes also the  
 769 electric-dipole-transition operator. This correction to the transition operator was  
 770 introduced by Bersuker [289] and later justified by Hameed *et al* [290] in the framework  
 771 of perturbation theory. The modified electric-dipole-moment operator is given by

$$772 \quad D(r) = r \left(1 - \frac{\alpha_d}{r^3} \exp[-(r/r_d)^3]\right), \quad (30)$$

773 where  $r_d$  is an effective cutoff core radius chosen to reproduce experimental quantities,  
 774 e.g., oscillator strengths.

775 The potential (29) with the modified transition operator (30) was used, for example,  
 776 in [285] to compute oscillator strengths and photoionization cross sections of the ground  
 777 state of alkali-metal atoms. In [285], the core–electron potential was computed from  
 778 Clementi’s data for Na and K [291], and from Hartree’s data for Rb and Cs [292,293].  
 779 In the photoionization of Rb(5s) the use of the modified dipole operator resulted in  
 780 a shift of the Seaton–Cooper minimum by about 1.5 eV towards lower photoelectron  
 781 energies, i.e., it has a similar effect as the inclusion of inter-shell correlations (see figure  
 782 5 for the Cs atom).

783 The potential (29) and the electric-dipole-moment operator (30) have also been  
 784 adopted in another calculation [286] of the photoionization cross section of Cs, based  
 785 on a Thomas–Fermi core–electron potential, but including the spin–orbit interaction  
 786 in computing the AOs of the  $\varepsilon p_j$  photoelectrons. With properly adjusted potential  
 787 parameters, the total cross section (see figure 5) as well as the photoelectron angular

788 distribution (as discussed by Yin *et al* [294]) agree well with the experimental data  
 789 [294,295]. As two further examples for the application of the core polarization potential  
 790 (29) and the transition operator (30) in conjunction with semi-empirical potentials  
 791 [256,284], we mention the work on photoionization of the excited  $n\ell$  ( $n \leq 20$ ;  $\ell \leq 4$ )  
 792 states of Rb and  $\text{Sr}^+$  [288] and the recent calculation on photoexcitation and -ionization  
 793 of  $\text{Na}(3p_{3/2})$  [284]. The latter authors estimate an uncertainty of their cross sections in  
 794 the range of only a few percent.

795 Instead of using the modified electric-dipole-moment operator (30), many-electron  
 796 correlations can be included directly in calculations of the dipole transition moment (see,  
 797 e.g., [259,260,287,296]). In order to solve this problem and to avoid some divergences  
 798 in the application of perturbation theory, correlational functions were used (denoted  
 799 in [259] as ‘effective’ and in [287] as ‘correlationally perturbed’ functions). Within  
 800 this model, Chang computed photoionization cross sections of the Na and K ground  
 801 states [259] and of the first excited  $np$  states of rare gases [296], and Laughlin computed  
 802 one- and two-photon ionization of the 3s and 3p states of Na I [260]. The AOs [258]  
 803 were used for computing the core–electron potential in [259,260,296]. Aymar used the  
 804 semiempirical core–electron potential of Klapisch [256] in computing photoionization  
 805 cross sections of the ground level and excited  $ns$  levels of neutral sodium [287].

806 Using the core polarization potential  $V^{\text{CP}}(r)$ , equation (29) allows one to correct  
 807 inaccuracies caused by the approximate calculation of the core–electron potential (e.g.,  
 808 using Thomas–Fermi potential as in [286], LDA [254] as in [255], Hartree–Fock [258] as  
 809 in [259–261,285,296], or even again semi-empirical [256,284] as in [284,288]). Using such  
 810 approximations in combination with semi-empirically corrected transition operator  $D(r)$   
 811 (30) allows one to achieve calculations accurate to within few percent without, however,  
 812 clarifying the nature of the  $V^{\text{CP}}(r)$  potential. In particular for heavy atoms, the single-  
 813 electron effect of the relativistic compression of the atomic core is attributed to the core  
 814 polarization. Using corrected transition operators does not allow the determination of  
 815 the major correlations contributing the photoionization process. It seems that the only  
 816 way to clarify the role of single- and many-electron effects in photoionization is *ab initio*  
 817 calculations of the photoeffect as in [72,224,267,268,275]. The CIPFCP approach used  
 818 in [72] is described in more detail in the following section.

### 819 3.3. Configuration-interaction Pauli–Fock approximation with core polarization

820 In the configuration-interaction Pauli–Fock approximation with inclusion of core  
 821 polarization (CIPFCP), the AOs of the occupied and virtual states (including both

822 discrete and continuum states) are computed, and these orbitals are then used in  
 823 calculations including many-electron correlations.

824 *3.3.1. Pauli–Fock approach with core polarization in atomic-orbital calculation.* In  
 825 computing the AOs, we take into account relativistic effects with the Pauli–Fock  
 826 approximation [70]. In this approach the mass-velocity term  $H_{n\ell}^m$  (26) and Darwin  
 827 term  $H_{n\ell}^D$  (27) are included in the self-consistent solution of the Hartree–Fock  
 828 equations. These terms have spherical symmetry and therefore do not change the  
 829 usual nonrelativistic configuration, but allow us to take into account the relativistic  
 830 compression of the atomic core which is found to be considerable for atoms with  
 831  $Z \gtrsim 30$  [70, 72]. The nucleus is considered as a homogeneously charged sphere with  
 832 radius  $R_n = 2.2677 \times 10^{-5} A^{1/3} a_0$ , where  $A$  is the mass number (nucleon number) of the  
 833 atom [271]. The spin–orbit correction (28) for the core shells is usually omitted because  
 834 it has no substantial influence on the potential of the outer electrons. For the same  
 835 reason, the Hartree–Fock field (averaged over the configurations and containing in the  
 836 integral kernel the nonlocal exchange potential) is used at this stage of calculation.

837 Computed core AOs are used for building the core–electron potential with a vacancy  
 838 in one of the atomic shells; this potential is frozen for the calculation of the complete  
 839 set of virtual AOs (including discrete and continuum states). This set of AOs is used  
 840 to determine the core polarization potential  $V_\ell^{\text{CP}}(r)$ . The potential  $V_\ell^{\text{CP}}(r)$  has been  
 841 derived in [72] by applying the variational principle for the total energy of the atom,  
 842 the second-order correlational corrections being treated as outlined in [297, 298]. As  
 843 a result the potential  $V_{n\ell}^{\text{CP}}(r) \otimes P_{n\ell}(r)$  (with integral kernel) for the lowest virtual AO  
 844 is computed. To compare our core polarization potential with the potentials used in  
 845 earlier work (see above), we construct the local form of this potential  $V_{n\ell}^{\text{CP}}(r)$  by simply  
 846 dividing the product  $V_{n\ell}^{\text{CP}}(r) \otimes P_{n\ell}(r)$  by the AO  $P_{n\ell}(r)$ :

$$847 \quad V_\ell^{\text{CP}}(r) = \frac{V_{n\ell}^{\text{CP}}(r) \otimes P_{n\ell}(r)}{P_{n\ell}(r)}. \quad (31)$$

848 The potential  $V_\ell^{\text{CP}}(r)$  is almost independent of the principal quantum number  $n$ , while  
 849 it contains a significant  $\ell$  dependence. The singularities of  $V_\ell^{\text{CP}}(r)$  associated with the  
 850 nodes of  $P_{n\ell}(r)$  are not critical in view of the fact that the nodes in  $P_{n\ell}(r)$  and in  
 851  $V_{n\ell}^{\text{CP}}(r) \otimes P_{n\ell}(r)$  appear at essentially the same distance  $r$  [72]. The core polarization  
 852 potential (31) has the same asymptotic behaviour as the semi-empirical potential (29),  
 853 but it is constant at small radii while the potential (29) approaches zero at small  $r$ . The  
 854 complete set of virtual AOs is used for computing the reduction of the most important

855 Slater integrals entering the potential (31) by applying second-order perturbation theory  
 856 as described in [77, 297, 298]. The averaged reduction coefficient is used for refining the  
 857 potential (31) used in the further calculation.

858 The AOs of the excited and the continuum electron are computed with inclusion of  
 859 the term dependence, the refined potential  $V_\ell^{\text{CP}}(r)$  and the spin-orbit correction term  
 860  $H_{n\ell}^{\text{SO}}(r)$  (28).

861 *3.3.2. Reduced widths, photoionization cross sections and resonance lineshapes.* In  
 862 order to include the residual part of the electron-electron interaction in the calculation  
 863 of photoionization cross sections several techniques have been used. These techniques  
 864 are illustrated for the case of the  $2p^5(^2P_{1/2})n(s/d)'$  resonances of Ne, excited from the  
 865  $2p^53p$  levels [74]:

$$866 \quad 2p^53p[K]_J (2p_x) \rightarrow 2p^5(n/\varepsilon)\ell \quad (\ell = s/d) . \quad (32)$$

867 The  $2p^53p$  levels are labeled in Paschen notation as  $2p_x$  ( $x = 1 - 10$ ) and by  $[K]_J$   
 868 quantum numbers in Racah coupling [5] (see introduction and figure 3). The Racah  
 869 coupling scheme is better for the strongly interacting levels with  $J = 1, 2$  whereas the  
 870  $LS$  coupling scheme is better for the  $2p_1$ ,  $2p_3$  and  $2p_{10}$  levels which are practically  
 871 pure  $^1S_0$ ,  $^3P_0$  and  $^3S_1$  terms, respectively. The level  $2p_9$  ( $^3D_3$  or  $[5/2]_3$ ) is pure in  
 872 both coupling schemes. For the notation of the autoionizing Rydberg states the Racah  
 873 coupling scheme is better because the  $2p$  spin-orbit interaction is much stronger than  
 874 the  $2p - n\ell$  Coulomb interaction at high  $n$ .

875 The following scheme is adopted for the calculation:

$$876 \quad \begin{array}{ccc} 2p^53p & \rightarrow & 2p^5(n/\varepsilon)\ell \quad (\ell = s/d) \\ \Downarrow & & \Downarrow \\ \left\{ \begin{array}{ll} 2p^4(n/\varepsilon)\ell \{s/d\} & (a) \\ 2s^12p^6\varepsilon\ell & (b) \\ 2p^5\{p/f\} & (c) \end{array} \right\} & & \left\{ \begin{array}{ll} 2p^43p\{s/d\} & (d) \\ 2s^12p^63p & (e) \\ 2p^5\{s/d\} & (f) \end{array} \right\} . \quad (33) \\ \text{ISCI} & & \text{FISCI} \end{array}$$

877 Here, the horizontal arrow denotes the electric-dipole interaction and the vertical double  
 878 arrows denote the Coulomb interaction. The basic configurations which contribute to the  
 879 transition amplitude resulting from both initial-state configuration interaction (ISCI)  
 880 and final-state configuration interaction (FISCI) are shown in scheme (33). Electric-  
 881 dipole interaction between the states in the braces is neglected. The correlations (33a)  
 882 and (33d) describe the intershell interaction, and the correlation (33f) is responsible for

883 autoionization of the resonances. The total and intermediate momenta of all states are  
 884 omitted in scheme (33) to simplify the notation.

885 The correlations (33a,b,d,e) were taken into account by second-order perturbation  
 886 theory where a summation/integration over all states contained in the braces was  
 887 performed (continuum states were taken into account in a quasi-discrete manner).  
 888 The correlation (33c), when computed within perturbation theory, contains divergent  
 889 continuum–continuum integrals, and it was, therefore, included by computing the  
 890 correlational function [297] (following the procedure described in [259, 260, 287]). The  
 891 reduction coefficients of the Slater integrals entering scheme (33) were not averaged  
 892 as in the calculation of the core polarization potential (31), but computed for each  
 893 integral (see, e.g., [73, 74]). We emphasize that the channels included in scheme (33)  
 894 were excluded from the calculation of  $V_\ell^{\text{CP}}(r)$  (31). The correlation (33f) was taken into  
 895 account by including the interaction between many resonances and many continua, as  
 896 described below.

897 The total photoionization cross section for the initial state  $|i_0(2p_x)\rangle \equiv$   
 898  $|2p^5_{J_0^+} 3p[K_0]_{J_0}\rangle$ , leading to  $\text{Rg}^+(^2P_{J^+})$  ions, is given by

$$899 \quad \sigma_{J^+}(i_0, \omega) = \sum_{\ell j J} \sigma_{J+\ell j J}(i_0, \omega). \quad (34)$$

900 The partial cross sections  $\sigma_{J+\ell j J}(i_0, \omega)$  describing the contribution of the  $|2p^5_{J^+} \varepsilon \ell j J\rangle \equiv$   
 901  $|J^+ \ell j J E\rangle$  channel to  $\sigma_{J^+}(i_0, \omega)$  are

$$902 \quad \sigma_{J+\ell j J}(i_0, \omega) = \left| d_{J+\ell j J}(i_0, \omega) + \sum_i \frac{\langle J^+ \ell j J E | \mathbf{H}^{\text{ee}} | \bar{i} \rangle D^{(i)}(i_0, \omega)}{E - E^{(i)}} \right|^2, \quad (35)$$

903 where  $|\bar{i}\rangle$  is determined below (see equation (39)).

904 The transition amplitudes entering equation (35) are determined using the matrix  
 905 elements of the electric-dipole operator  $\mathbf{D}$ :

$$906 \quad d_{J+\ell j J}(i_0, \omega) = \left[ \frac{4\pi^2 \alpha a_0^2 \omega^{\pm 1}}{3(2J_0 + 1)} \right]^{1/2} \langle J^+ \ell j J E | \mathbf{D} | i_0 \rangle, \quad (36)$$

$$907 \quad D^{(i)}(i_0, \omega) = \left[ \frac{4\pi^2 \alpha a_0^2 \omega^{\pm 1}}{3(2J_0 + 1)} \right]^{1/2} \left( \langle \bar{i}^* | \mathbf{D} | i_0 \rangle + \right. \\ \left. + \sum_{J^+ \ell j J} \int dE' \frac{\langle \bar{i}^* | \mathbf{H}^{\text{ee}} | J^+ \ell j J E' \rangle \langle J^+ \ell j J E' | \mathbf{D} | i_0 \rangle}{E - E' + i\delta} \right), \quad (37)$$

907 where the signs (+) and (−) correspond to the length and velocity forms of operator  
 908  $\mathbf{D}$ , respectively;  $\omega$  denotes the exciting photon energy in atomic units;  $\alpha = 1/137.036$  is

909 the fine-structure constant; the square of the Bohr radius  $a_0^2 = 28.0028$  Mb can be used  
 910 to convert atomic units for cross sections to Mb. The exciting photon energy  $\omega$  and  
 911 the Rydberg electron/ photoelectron energy  $E$ , with respect to the  $2p^5(^2P_{1/2})$  threshold  
 912  $E_{1/2}$ , are related via

$$913 \quad E(2p_x) + \omega = E_{1/2} + E \quad (38)$$

914 where  $E(2p_x)$  is the energy of the initial  $2p^53p(2p_x)$  atomic level.

915 The continuum wave functions  $|J^+\ell j J E\rangle$  entering equations (35–37) satisfy the  
 916 incoming-wave condition and were computed by applying the K-matrix technique [299].  
 917 The complex energies of the resonances  $E^{(i)}$  and their functions

$$918 \quad |\bar{i}\rangle = \sum_m b_m^{(i)} |m\rangle \equiv \langle \bar{i}^* | \quad (39)$$

919 were obtained as the solution of the secular equation with a complex symmetric (and  
 920 therefore nonhermitian) matrix:

$$\sum_m \left[ (E^{(i)} - E_m) \delta_{mm'} - \langle m | \mathbf{H}^{ee} | m' \rangle \right. \\ \left. - \sum_\beta \int dE' \frac{\langle m | \mathbf{H}^{ee} | \beta E' \rangle \langle \beta E' | \mathbf{H}^{ee} | m' \rangle}{E - E' + i\delta} \right] b_m^{(i)} = 0, \quad (40)$$

921 where  $b_m^{(i)}$  are complex numbers,  $|m\rangle$  is the single-configuration wave function of the  
 922 discrete state in PF approximation (e.g.,  $2p^5_{1/2}12d'[3/2]_2$ ), and  $|\beta E'\rangle \equiv |J^+\ell j J E'\rangle$ .  
 923 The complex energy of each resonance determines its position  $E_i$  and width  $\Gamma_i$  via the  
 924 relation

$$925 \quad E^{(i)} = E_i - \frac{i}{2} \Gamma_i. \quad (41)$$

926  $E_i$  and  $\Gamma_i$  are related to the quantum defects  $\mu$  and the reduced widths  $\Gamma_r$ , which only  
 927 weakly depend on the principal quantum number  $n$ , via equations (8) and (10). The  
 928 transition amplitudes (37), evaluated at the resonance energy, allow us to compute the  
 929 lineshape parameters  $q_i$  and  $\sigma_0 \rho_i^2$  for the resonance  $i$ :

$$930 \quad q_i(i_0) = -\frac{\text{Re}D^{(i)}(i_0)}{\text{Im}D^{(i)}(i_0)}, \quad \sigma_0 \rho_i^2(i_0) = \frac{2[\text{Im}D^{(i)}(i_0)]^2}{\pi \Gamma_i}, \quad (42)$$

931 where  $q_i(i_0)$  is the usual Fano lineshape parameter or profile index [6,7]. The parameters  
 932  $q_i$ ,  $\sigma_0 \rho_i^2$  and  $\sigma_0$  determine the lineshape of the ARS via the equation

$$933 \quad \sigma_{3/2}(i_0, \omega) = \sum_i \sigma_0 \rho_i^2(i_0) \left[ \frac{(q_i(i_0) + \epsilon_i)^2}{1 + \epsilon_i^2} - 1 \right] + \sigma_0 \quad (43)$$

934 which was recently used for the parameterization of computed lineshapes, e.g. in  
 935 [34, 73, 300]. The background cross section  $\sigma_0$  includes the tails of adjacent resonances  
 936 and is obtained by removing the parameterized resonances from the range of interest.  
 937 The parameter  $\sigma_0$  in (43) is always positive as explained in [8, 9, 301].

#### 938 **4. General trends for the reduced widths and energies of the autoionizing** 939 **Rg ( $mp^5(^2P_{1/2})n\ell'[K']_J$ ) resonances of the rare-gas atoms Rg = Ne–Xe**

##### 940 *4.1. Qualitative behaviour and scaling laws*

941 The spectra of ARS exhibit a regular structure and systematic trends similar to those  
 942 of bound Rydberg states (see, e.g. [1, 202, 302]). Although there are no strict rules for  
 943 the dependence of the spectra on the quantum numbers  $n, \ell', K'$  and  $J$ , the observed  
 944 scalings and propensities can be understood by analyzing the dynamical (integrals over  
 945 the radial variables) and geometrical (integrals over the angular variables) contributions  
 946 to the matrix elements of the photoexcitation and the Coulombic decay of the ARS (the  
 947 radiative decay of ARS is generally much slower than autoionization).

948 *4.1.1. Dependence of the ARS spectra on the principal quantum number  $n$ .* A typical  
 949 autoionization spectrum consists of well resolved lines in which the series members  
 950  $n\ell'[K']_J$  with the same set of quantum numbers  $\ell', K'$  and  $J$  have similar lineshapes  
 951 and  $n$ -dependent linewidths  $\Gamma_n$  which scale as  $(n^*)^{-3}$  ( $n^* = n - \mu$ ). This behaviour is  
 952 illustrated by the high-resolution photoabsorption spectrum of ground-state Xe atoms  
 953 [15], which exhibits ‘sharp’ near-Lorentzian  $ns' J = 1$  and ‘diffuse’ asymmetric  $nd' J = 1$   
 954 resonances (see figure 6). For Lorentzian lines, the peak cross section  $\sigma_n^P$  is connected  
 955 with the excitation oscillator strength  $f_n$  and the width by [303] (all quantum numbers  
 956 apart from  $n$  are omitted for simplicity)

$$957 \quad \sigma_n^P = \frac{2}{\pi\Gamma_n} 2\pi^2 \alpha a_0^2 f_n \quad (44)$$

958 where  $\alpha$  and  $a_0$  denote the fine-structure constant and the Bohr radius, respectively;  
 959 in (44) and subsequent equations of section 4.1, energies are given in atomic units  $E_h$   
 960 ( $E_h = 1$  Hartree = 27.2114 eV). The oscillator strengths for the  $i_0 \rightarrow n\ell'[K']_J$  transition  
 961 is given by [304]:

$$962 \quad f_n \equiv f_{i_0}^{n\ell'K'J} = \frac{2\omega^{\pm 1}}{3g_0} \left| D^{(n\ell'K'J)}(i_0) \right|^2 \quad (45)$$

963 where  $g_0$  is the statistical weight of the initial state  $i_0$  and other designations are the  
 964 same as in equation (37). Expressing the electric-dipole-transition moment in Racah



965 coupling [305] leads to propensity rules for the oscillator strengths with respect to the  
 966 quantum numbers  $\ell$ ,  $K$ , and  $J$  of the involved states.

967 The width of the ARS is determined by:

$$968 \quad \Gamma_n \equiv \Gamma(n\ell'[K']_J) = \sum_{\ell, K} \Gamma_{n\ell'K'J}^{\ell\ell K} \quad (46)$$

969 The partial widths are given by the matrix element of the Coulomb operator  $\mathbf{H}^{\text{ee}}$

$$970 \quad \Gamma_{n\ell'K'J}^{\ell\ell K} = 2\pi \left| \langle m\text{p}_{3/2}^5 \varepsilon \ell [K]_J | \mathbf{H}^{\text{ee}} | m\text{p}_{1/2}^5 n\ell' [K']_J \rangle \right|^2 \quad (47)$$

971 and are represented by a sum which contains direct ( $F^k$ ) and exchange ( $G^k$ ) Slater  
 972 integrals as follows:

$$\begin{aligned} & \langle m\text{p}_{3/2}^5 \varepsilon \ell [K]_J | \mathbf{H}^{\text{ee}} | m\text{p}_{1/2}^5 n\ell' [K']_J \rangle = \\ & \sum_k \left[ \delta(K, K') f_k(\ell K, \ell' K') F^k(m\text{p}\varepsilon\ell, m\text{p}n\ell') + g_k(\ell K, \ell' K' J) G^k(m\text{p}\varepsilon\ell, n\ell' m\text{p}) \right]. \end{aligned} \quad (48)$$

973 Unlike the geometrical factors  $f_k(\ell K, \ell' K')$  associated with the direct integrals, the  
 974 factors  $g_k(\ell K, \ell' K' J)$  of the exchange integrals depend on  $J$ .

975 The scalings of the width  $\Gamma_n$  and the oscillator strength  $f_n$  with the principal  
 976 quantum number  $n$  follow from the  $n$  dependence of the ARS orbitals at small distances  
 977  $r$ . In this region the orbital  $P_{n\ell'}(r)$  for a hydrogen-like Rydberg state with nuclear  
 978 charge  $Z$  can be approximated by [250]:

$$979 \quad P_{n\ell'}(r) \approx 2 \left( \frac{Z}{n} \right)^{\frac{3}{2}} \frac{(2Zr)^{\ell'}}{(2\ell' + 1)!} r \left( 1 - \frac{2Zr}{2\ell' + 2} + \frac{(2Zr)^2}{(2\ell' + 2)(2\ell' + 3) \cdot 2!} - \dots \right). \quad (49)$$

980 Because of the normalization factor  $(Z/n)^{3/2}$ , the width  $\Gamma_n$  and the oscillator strength  
 981  $f_n$ , which are both proportional to the square of the ARS wavefunction, scale as  
 982  $n^{-3}$ . For Rydberg states with nonzero quantum defect, the factor  $n^{-3/2}$  is replaced  
 983 by  $(n - \mu)^{-3/2} \equiv (n^*)^{-3/2}$  [214, 222]. Correspondingly, each ARS series  $n\ell'[K']_J$  can be  
 984 characterized by an  $n$ -independent reduced width  $\Gamma_r = (n^*)^3 \Gamma_n$  (see equation (10)) so  
 985 that the peak cross section  $\sigma_n^{\text{P}}$  is expected to be independent of  $n$ . At low  $n$ , a residual  
 986  $n$  dependence (i.e., energy dependence) of  $\sigma_n^{\text{P}}$  and  $\Gamma_r$  may occur (see below).

987 In experiments carried out with an energy bandwidth  $\Delta E_{\text{exp}}$ , the observed  
 988 spectra represent the convolution of the ARS lineshape function with the experimental  
 989 function. An essentially flat continuum results as soon as the energy separation between  
 990 neighbouring ARS  $dE_n/dn = 1/(n - \mu)^3$  becomes smaller than  $\Delta E_{\text{exp}}$ . This resulting

991 pseudo-continuum goes over smoothly into the ionization continuum (see figure 6) for  
 992 which the cross section  $\sigma_c$  is given by [306]

$$993 \quad \sigma_c = 2\pi^2 \alpha a_0^2 (df/dE). \quad (50)$$

994 In the discrete region, the corresponding expression for the averaged values of the cross  
 995 section over the energy interval between adjacent Rydberg levels is

$$996 \quad \sigma_n = 2\pi^2 \alpha a_0^2 f_n / (dE_n/dn) = 2\pi^2 \alpha a_0^2 f_n \cdot (n - \mu)^3, \quad (51)$$

997 which is independent of  $n$  just as  $\sigma_n^P$  is (see equation (44)). With equations (10), (44),  
 998 and (51) one obtains for the cross section ratio  $\sigma_n^P/\sigma_n$  the expression

$$999 \quad \frac{\sigma_n^P}{\sigma_n} = \frac{2}{\pi \Gamma_r}, \quad (52)$$

1000 which is normally much larger than unity. If one takes the experimental bandwidth  
 1001 into account, the peak intensity of the autoionizing lines, which should be constant for  
 1002 infinitely narrow bandwidth according to (51), starts decreasing with  $n$  as soon as the  
 1003 autoionization width becomes narrower than the experimental bandwidth. This effect  
 1004 is clearly seen in the autoionizing s series ( $J = 1$ ) of Xe displayed in figure 6, the peak  
 1005 intensities of which decrease with increasing  $n$  value.

1006 At lower  $n$ , the reduced widths  $\Gamma_r$  are often found to exhibit a residual variation  
 1007 with  $n$ , because the energy separation between adjacent  $n$  is substantial. For the rare  
 1008 gases Ne – Xe, these effects are most significant for Kr and Xe because of the large spin-  
 1009 orbit splitting of their ionic cores. Correspondingly, the kinetic energy of the continuum  
 1010 electron resulting in the autoionization process varies by several tenths of an eV from  
 1011 the lowest possible  $n$  up to the high- $n$  region. The residual  $n$  dependence of  $\Gamma_r$  has  
 1012 been quantified for ARS of Xe by CIPFCP calculations [48], and variations of up to a  
 1013 factor of two have been found. These  $n$  dependencies of  $\Gamma_r$  have no simple explanation  
 1014 because they arise from several competing effects which may yield either increasing or  
 1015 decreasing reduced widths with rising  $n$ .

1016 *4.1.2. Dependence of the resonance width on the orbital quantum number  $\ell'$ .* The  
 1017 dependence of the autoionization width on the orbital quantum number  $\ell'$  of the ARS  
 1018 is complex because the matrix element (48) contains direct and exchange integrals and  
 1019 equation (46) involves a summation over  $K$  and  $\ell$ . Petrov *et al* [76] studied the  $\ell'$   
 1020 dependence of the width for the  $mp_{1/2}^5 n\ell' - mp_{3/2}^5 \varepsilon \ell$  autoionization process within the PF  
 1021 approach. Because of the strong delocalization of the Rydberg electron, the exchange

1022 integrals  $G^k$  are small compared with the direct integrals  $F^k$ . When the exchange  
 1023 integrals are neglected in (48), only the  $F^{k=2}$  integrals contribute significantly (the  
 1024 series  $ns'[1/2]_1$  represents an exception: the partial width  $\Gamma_{ns'[1/2]_1}^{\varepsilon s[3/2]}$ , which provides an  
 1025 important contribution to the total width, especially for Ne, contains only the exchange  
 1026 integral). As a consequence only partial widths  $\Gamma_{n\ell'K'J}^{\varepsilon \ell K}$  remain which are independent  
 1027 of  $J$  and obey  $K = K'$ :

$$1028 \quad \Gamma_{n\ell'[\ell'-1/2]J}^{\varepsilon(\ell'-2)[\ell'-1/2]} = 2\pi \frac{3(\ell'-1)}{25(2\ell'-1)} (F^2(mp n\ell'; mp\varepsilon(\ell'-2)))^2 \quad (53)$$

$$1029 \quad \Gamma_{n\ell'[\ell'-1/2]J}^{\varepsilon\ell'[\ell'-1/2]} = 2\pi \frac{\ell'+1}{25(2\ell'-1)} (F^2(mp n\ell'; mp\varepsilon\ell'))^2 \quad (54)$$

$$1030 \quad \Gamma_{n\ell'[\ell'+1/2]J}^{\varepsilon\ell'[\ell'+1/2]} = 2\pi \frac{\ell'}{25(2\ell'+3)} (F^2(mp n\ell'; mp\varepsilon\ell'))^2 \quad (55)$$

$$1031 \quad \Gamma_{n\ell'[\ell'+1/2]J}^{\varepsilon(\ell'+2)[\ell'+1/2]} = 2\pi \frac{3(\ell'+2)}{25(2\ell'+3)} (F^2(mp n\ell'; mp\varepsilon(\ell'+2)))^2 \quad (56)$$

1032 The decay (53) associated with a decrease of the orbital angular momentum  
 1033  $\ell' \rightarrow \ell' - 2$  is always small compared with the  $\ell'$ -conserving decays (54, 55). The  
 1034 latter decays also prevail over the  $\ell' \rightarrow \ell' + 2$  process (56) for  $\ell' \leq 6$  if the energy of the  
 1035 continuum electron is small. This is true for Ne and Ar; for Kr and Xe, however, the  
 1036  $\ell'$ -conserving decay dominates only for  $\ell' \leq 3$ .

1037 When  $\ell'$  is conserved, one can apply the Coulomb–Bethe approximation (see also  
 1038 [202])

$$1039 \quad F^k(mp n\ell'; mp n\ell') = \langle r_{mp}^k \rangle \left\langle \frac{1}{r_{n\ell'}^{k+1}} \right\rangle \quad (57)$$

1040 to estimate the  $F^2(mp n\ell'; mp\varepsilon\ell')$  integral. Using the hydrogenic expression for  $\langle r_{n\ell'}^{-3} \rangle$   
 1041 [307] the integral scales as  $\ell'^{-3}$  and the partial width as  $\ell'^{-6}$ .

1042 With increasing  $\ell'$  and atomic number  $Z$ , the  $\ell' \rightarrow \ell' + 2$  processes begin to play  
 1043 a significant role, and the  $\ell'$  dependence of the width has to be evaluated numerically.  
 1044 Calculations performed for Ne – Xe within the PF approximation [76] yielded  $\ell'^{-6} - \ell'^{-9}$   
 1045 scalings of the width for  $K' = \ell' - 1/2$  and  $\ell'^{-4} - \ell'^{-5}$  scalings for  $K' = \ell' + 1/2$ . We  
 1046 note that in the decay processes (53)–(56) the core configuration does not change, only  
 1047 its total angular momentum. For the case of doubly excited ARS (such as Ba(6p<sub>1/2</sub>nℓ))  
 1048 autoionization is mediated by an  $\ell$  change of the inner electron (here 6p to 6s or 5d),  
 1049 and the corresponding dependence of the width on  $\ell$  was found to be very steep with  
 1050 scalings in the range  $\ell^{-9}$  to  $\ell^{-12}$  for  $\ell = 4 - 8$  and  $n = 11 - 13$  [308].

1051 *4.1.3. Dependence of the resonance width on the quantum numbers  $K'$  and  $J$ .* The  
 1052 dependence of the reduced width  $\Gamma_r$  on the quantum number  $K' = \ell' \pm 1/2$  is determined  
 1053 by (i) the ratio of the geometrical factors preceding the integrals  $F^2$  in equations (53)–  
 1054 (56) and (ii) the interplay between the  $n\ell' \rightarrow \varepsilon\ell'$  and  $n\ell' \rightarrow \varepsilon(\ell' + 2)$  channels. In Ne and  
 1055 Ar the energy of the continuum electron is small, and the ratio between the geometrical  
 1056 factors in the two dominant partial widths for  $K' = \ell' - 1/2$  and  $K' = \ell' + 1/2$  (equations  
 1057 (54) and (55)) amount to 10, 3.5, 2.4, and 2.0 for  $\ell' = 1-4$ , respectively, i. e. the reduced  
 1058 width is larger for  $K' = \ell' - 1/2$  than for  $K' = \ell' + 1/2$ . These propensities are reflected  
 1059 in the experimental and theoretical reduced widths listed in tables 4(a,b) (especially for  
 1060  $J = \ell'$ ). For Kr and Xe, the energy of the continuum electron is significantly larger and  
 1061 the  $n\ell' \rightarrow \varepsilon(\ell' + 2)$  decay becomes as rapid (at  $\ell' \simeq 4$ ) or even more rapid ( $\ell' \geq 5$ ) than  
 1062 the  $n\ell' \rightarrow \varepsilon\ell'$  decay. As a consequence the reduced widths  $\Gamma_r[K' = \ell' + 1/2]$  become  
 1063 larger than  $\Gamma_r[K' = \ell' - 1/2]$  (see tables 4(c,d)). This prediction has yet to be confirmed  
 1064 experimentally.

1065 The dependence of the reduced width on the total angular momentum  $J$  is  
 1066 determined by (i) the presence of the exchange integral in equation (48) and (ii) the term  
 1067 dependence of the AO describing the Rydberg electron. The influence of (i) is relatively  
 1068 small whereas the term dependence of the AOs can substantially change the reduced  
 1069 widths, especially for small orbital angular momentum  $\ell'$ , for which the Rydberg electron  
 1070 penetrates into the core. For AOs with  $J = \ell' - 1$ , the term dependence is usually larger  
 1071 than for those with  $J = \ell'$ . In tables 4(a–d), one observes that for  $K' = \ell' - 1/2$  the  
 1072 reduced widths can differ by a factor of up to two.

1073 The major factors determining the general trends in the behaviour of the  
 1074 autoionization widths can be summarized as follows: (i) the normalization of the  
 1075 Rydberg AOs results in the  $(n^*)^{-3}$  dependence of  $\Gamma_n$ , allowing one to introduce the  
 1076 reduced widths  $\Gamma_r$  (10) which are (almost) independent of  $n$ ; (ii) the strong delocalization  
 1077 of the Rydberg AOs results in steep ( $\ell'^{-4} - \ell'^{-9}$ ) dependencies of  $\Gamma_r$  on the orbital  
 1078 quantum number where the power of  $\ell'$  depends on the interplay between the  $n\ell' \rightarrow \varepsilon\ell'$   
 1079 and  $n\ell' \rightarrow \varepsilon(\ell' + 2)$  decay channels; (iii) for small  $\ell'$  ( $\ell' \leq 2$ ), ARS with  $K' = \ell' - 1/2$   
 1080 are substantially broader than ARS with  $K' = \ell' + 1/2$  because of the dominant role  
 1081 of the  $n\ell' \rightarrow \varepsilon\ell'$  decay channel and the associated geometrical factors; for large  $\ell'$  this  
 1082 trend is reversed for Kr and Xe because of the dominant role of the  $n\ell' \rightarrow \varepsilon(\ell' + 2)$   
 1083 decay channel for larger continuum electron energy; (iv) the term dependence of the  
 1084 Rydberg AOs results in a significant dependence of the reduced widths on the total  
 1085 angular momentum  $J$  for  $K' = \ell' - 1/2$  ( $J = \ell' - 1, \ell'$ ); the contribution of the exchange

1086 integrals to the dependence of  $\Gamma_r$  on  $J$  is comparatively small, except for the Ne  $ns'$   
1087 resonances.

1088 The single-electron PF approximation provides an understanding of the general  
1089 trends in the dependence of the reduced width on the ARS quantum numbers  $n, \ell', K'$ ,  
1090 and  $J$ . In order to obtain a quantitative description of the autoionization dynamics,  
1091 many-electron effects have to be taken into account. The most general effects influencing  
1092 the autoionization rate are core polarization, which can change (usually increase)  $\Gamma_r$   
1093 by up to a factor of three [33, 34, 48, 77], and the correlational decrease of the effective  
1094 Coulomb interaction which usually compensates to some extent the influence of the  
1095 core polarization [48, 77]. Another many-electron effect influencing the reduced widths  
1096 is the mixing of autoionizing resonances of the same parity and total angular momentum.  
1097 Mixing of ARS series can be substantial if the quantum defects (modulo one) for different  
1098 channels have similar values (as for the  $ns'[1/2]_1$  and  $nd'[3/2]_1$  resonances in Ar [77]),  
1099 or when the widths of ARS belonging to the same series are comparable with their  
1100 separation (as in the case of the  $nd'[3/2]_1$  resonances in Xe [48]).

#### 1101 4.2. Experimental observations and comparison with theory

1102 In this section, we discuss the trends observed experimentally and present selected ARS  
1103 spectra from which the recommended experimental resonance parameters in table 4  
1104 were obtained. We include only results obtained with a photon bandwidth smaller than  
1105 the natural ARS width and not broadened by saturation effects. The recommended  
1106 reduced widths and energies of the ARS are compared with the results of the CIPFCP  
1107 calculations. To indicate the effects of electron correlations and core polarization, we  
1108 also list the reduced widths and quantum defects calculated within the Pauli–Fock  
1109 approximation for  $n = 20$  [76].

1110 4.2.1. *Odd-parity resonances ( $ns'$ ,  $nd'$ ,  $ng'$ ).* The odd-parity ARS in Ne ( $n \geq 12$ ) are  
1111 very narrow ( $< 0.2 \text{ cm}^{-1}$ ), and until recently monochromatized synchrotron radiation  
1112 (bandwidth down to  $1.5 \text{ cm}^{-1}$ ) [99] was broader than the respective natural width. A  
1113 comprehensive high-resolution study, using two-step two-color cw laser excitation of  
1114 metastable Ne ( $3s J = 2, 0$ ) atoms in a collimated atomic beam via several  $3p J = 1, 2$   
1115 levels provided benchmark data for the  $ns' J = 0, 1$  ARS [36–38, 40] and for the four  
1116  $nd'[3/2]_{1,2}$   $nd'[5/2]_{2,3}$  ARS [36, 41, 42] of Ne. Similar results were obtained for the  $ns'$  [40]  
1117 and  $nd'$  ARS [41, 45, 47, 48] of Ar, Kr, and Xe. We also mention the contributions  
1118 provided by optogalvanic spectroscopy [47–50], including the high-resolution work on

1119 Ar( $ns'$ ) over the range  $n = 11-25$  [43] which nicely demonstrated the  $(n^*)^{-3}$  dependence  
 1120 of the widths.

1121 The observations for the  $ns' J = 0, 1$  resonances are summarized in figure 7,  
 1122 using a reduced energy scale (multiplication with  $(n^*)^3$  to allow a direct comparison  
 1123 of resonances with different  $n^*$ ). The reduced width of the Rg( $ns'[1/2]_0$ ) ARS  
 1124 provides accurate information on s-d mixing since this resonance can only decay to  
 1125 the Rg $^+(^2P_{3/2}) + e^-(\varepsilon d_{3/2})$  continuum [38, 40, 230]. The  $ns'[1/2]_1$  ARS can also decay  
 1126 to  $\varepsilon s$  continuum states. To first order in the Coulomb interaction, this coupling is  
 1127 mediated by electron exchange which is found to provide the dominant contribution  
 1128 to the width [40] for Ne. This explains why the Ne( $ns'J = 1$ ) ARS are three times  
 1129 broader than the Ne( $ns'J = 0$ ) ARS. In contrast, the  $ns' J = 1$  resonances in Ar, Kr,  
 1130 and Xe are *narrower* than the  $ns' J = 0$  ARS [40, 43]. This finding was explained by  
 1131 a combination of several effects [40]: (i) the exchange matrix element describing the  
 1132 decay to the  $\varepsilon s$  continuum rises by a factor of only 1.5 from Ne to Xe; (ii) the direct  
 1133 Coulomb matrix element corresponding to the s-d decay strongly increases by factors  
 1134 between 4 and 7 from Ne to (Ar, Kr, Xe) for both  $J = 0$  and 1; (iii) in the coupling of  
 1135 the  $ns'J = 1$  ARS to the  $\varepsilon d$  continua, the contributions from the direct matrix element,  
 1136 the two exchange matrix elements and higher order terms interfere destructively. The  
 1137 qualitative trends in the variation of the  $ns'$  resonance widths with  $J$  and with atomic  
 1138 number (also the respective maximum for Kr, see table 4) are already reproduced in the  
 1139 first-order Pauli-Fock calculations involving a relaxed ion core.

1140 For Ne, the  $nd/\varepsilon d$  wave functions do not have a significant overlap with the  $2p^5$  core,  
 1141 and therefore the  $nd'$  resonances in Ne are narrow. Their widths are well reproduced in  
 1142 the Pauli-Fock approximation if a relaxed ion core is used. Early *ab initio* calculations  
 1143 on the  $ns', nd' J = 1$  widths of Ne [65, 68] involved a nonrelaxed core, which prevented  
 1144 a close agreement with experimental results. The  $nd'$  resonances of Ar, Kr, and Xe are  
 1145 much broader than those of Ne. It is difficult to obtain accurate theoretical predictions  
 1146 for their widths, especially for the  $nd'[3/2]_1$  series, because the d wave functions in Ar,  
 1147 Kr, and Xe are particularly sensitive to details of the interactions in the proximity of the  
 1148 d-orbital collapse [252]; moreover, the  $nd'[3/2]_1$  ARS interact with the  $ns'[1/2]_1$  series.

1149 Spectra of the four narrow Ne( $nd'$ ) resonances have been measured following single-  
 1150 mode cw-laser excitation from several intermediate Ne( $3p J = 1, 2$ ) levels, accessed  
 1151 from the metastable Ne( $3s \ ^2P_2$ ) level [42], see also figure 3. In figures 8 and 9, we  
 1152 summarize the key results for the intermediate levels (a)  $3p'[1/2]_1$  (Paschen notation  
 1153  $2p_2$ ), (b)  $3p'[3/2]_1$  ( $2p_5$ ), and (c)  $3p[5/2]_2$  ( $2p_8$ ) which yield information on (a) the

1154  $nd'[3/2]_{1,2}$ , (b) the  $nd'[5/2]_2$ , and (c) the  $nd'[5/2]_3$  ARS. The measured spectra are fitted  
 1155 by superpositions of Shore profiles, as described in [74] (see also the results of [42] and  
 1156 the recent CIPFCP analyses of the Ne ( $nd'$ ) spectra for more detailed information [74]).  
 1157 In agreement with MQDT analyses [42] and *ab initio* calculations, the  $nd'[3/2]_2$  ARS  
 1158 has the largest reduced width among the four Ne ( $nd'$ ) series. In contrast, the  $nd'[3/2]_1$   
 1159 series has the largest reduced width in Ar and especially in Kr and Xe. The  $nd'[3/2]_1$   
 1160 resonances are in fact the broadest of all ARS (see table 4) and have been studied for  
 1161 a long time by VUV excitation from the ground state [1, 14, 15, 19, 20]. Similar to the  
 1162 situation encountered in Ne, the  $nd'$   $J = 2, 3$  resonances of Ar, Kr, and Xe overlap  
 1163 energetically, and the determination of their widths requires special care. By selecting  
 1164 (similar to the procedure adopted for Ne) an excitation path via a suitable intermediate  
 1165  $(m+1)p[K]_J$  level in combination with an appropriate choice of the polarizations of  
 1166 the two light fields, it is possible to strongly enhance the intensity of the  $nd'[K']_J$   
 1167 resonance of interest [45, 47–50, 132]. Propensity rules for the excitation strengths in  
 1168 Racah coupling [305] are helpful for the selection of the intermediate level.

1169 Experimental information on the  $ng'$  resonances is sparse. Three-photon excitation  
 1170 experiments of ground state atoms provided upper limits for the reduced widths of the  
 1171  $ng'$   $J = 1, 3$  resonances [53]. Up to now, the only accurate data were obtained for  
 1172 the  $11g'[7/2]_3$  [41] and the  $9g'[7/2]_4$  resonance [46] of Ar which possess reduced widths  
 1173 of  $26.9(6) \text{ cm}^{-1}$  and  $27.7(14) \text{ cm}^{-1}$ , respectively. The latter resonance, recorded using  
 1174 the excitation sequence  $\text{Ar}(4s[3/2]_2 \rightarrow 4p[5/2]_3 \rightarrow 9g'[7/2]_4)$ , is shown in figure 10; the  
 1175 smooth curve is the result of a fit to the experimental data with a Fano profile (resonance  
 1176 width  $0.038(2) \text{ cm}^{-1}$ ).

1177 *4.2.2. Even-parity resonances ( $np'$ ,  $nf'$ ).* Information on the  $np', nf'$  ARS of Ne–Xe  
 1178 was obtained by (i) one-photon excitation of the metastable levels [25, 26, 30, 32–34], (ii)  
 1179 two-step two-photon excitation from the ground state via odd-parity  $J = 1$  intermediate  
 1180 levels [34, 56, 58, 60, 62, 64, 309, 310], and (iii) four-photon excitation from the ground  
 1181 state [55].

1182 The  $np'[1/2]_{0,1}$  resonances are special in the sense that their reduced widths are  
 1183 similar (around  $3000 \text{ cm}^{-1}$  to within a factor of two) for all the heavier rare gases,  
 1184 including Ne. In contrast, the  $np'[3/2]_{1,2}$  ARS have smaller reduced widths which  
 1185 increase from around  $300 \text{ cm}^{-1}$  for Ne to around  $1000 \text{ cm}^{-1}$  for Xe. The combined  
 1186 evaluation of  $np'$  spectra, excited from each of the two metastable levels in Ne [33]  
 1187 and Ar [300], allowed the characterization of their overlapping  $np'[1/2]_1, [3/2]_1, [3/2]_2$

1188 resonances. In both cases, CIPFCP calculations were helpful in the analysis and  
 1189 interpretation of the experimental results. As examples, we compare in figures 11 and  
 1190 12 the experimental and theoretical results obtained by [33] for the Ne (13p') resonances,  
 1191 excited from the two metastable levels. As predicted by theory, the broad 13p'[1/2]<sub>1</sub>  
 1192 resonance is essentially absent in the spectrum excited from the Ne (3s' <sup>3</sup>P<sub>0</sub>) level whereas  
 1193 the narrow 13p'[3/2]<sub>1</sub> is weak when excited from Ne (3s' <sup>3</sup>P<sub>2</sub>). The absolute cross-section  
 1194 scale is provided by the calculations and has an uncertainty of about 20%. To assign  
 1195 and simplify the *np'* spectra accessed from the ground state via low-lying odd-parity  
 1196 *J* = 1 levels, their polarization dependence (see equation (5)) was exploited in Ne [62],  
 1197 Ar [60,64], and Xe [59]. As illustration, we present in figure 13 the (13,14)p', 12f' spectra,  
 1198 obtained via (a) the intermediate Ne (3s[3/2]<sub>1</sub>) level and (b) via the Ne (3s'[1/2]<sub>1</sub>) level  
 1199 with parallel electric-field vectors of the two light fields, thus excluding excitation of  
 1200 *J* = 1 resonances. The spectra yielded accurate widths and quantum defects for the  
 1201 *np'*[1/2]<sub>0</sub> and *np'*[3/2]<sub>2</sub> series. The very sharp *nf'*[5/2]<sub>2</sub> resonances (predicted reduced  
 1202 width 20.3 cm<sup>-1</sup>), however, have natural widths much narrower than the experimental  
 1203 bandwidth (see below). The shapes and intensities of the resonances are found to  
 1204 strongly depend on the intermediate level, as discussed in [62]; see also section 5.2.

1205 The *nf'* resonances are strong in spectra excited from intermediate levels with an  
 1206 outer d electron. The propensity rules clearly favour *nf'* excitation over *np'* excitation,  
 1207 see e.g. [58,60,64]. As an example, we show in figure 14 the Xe 4f'[5/2]<sub>2</sub> ARS spectrum,  
 1208 obtained by laser excitation of the Xe (5d[3/2]<sub>1</sub>) level, populated from the ground state  
 1209 by monochromatized synchrotron radiation [56,58]. The absolute cross-section scale  
 1210 is provided by the CIPFCP calculation within an uncertainty of about 20% [58]. The  
 1211 experimental and calculated lineshape parameters and the resonance widths are found  
 1212 to agree to within 10% and 20%, respectively [58]. The reduced widths of the *nf'*[5/2]<sub>2</sub>  
 1213 ARS in Ar [64] and Kr [34] have also been determined by excitation from an intermediate  
 1214 *J* = 1 level with odd parity. The widths of the *nf'*[5/2]<sub>3</sub> ARS in Ar [34] and Xe [26,34]  
 1215 were obtained from spectra excited from the respective metastable *J* = 2 level. Some  
 1216 of the very sharp *nf'* resonances in Ne (predicted width of the 12f'[5/2]<sub>2,3</sub> resonances  
 1217 0.0135 cm<sup>-1</sup>, see table 4) have been observed [62,309,311], see also diagram (a) in figure  
 1218 13, but a measurement of their widths requires a photon bandwidth well below 0.01 cm<sup>-1</sup>  
 1219 (300 MHz) and so far has not been carried out. Likewise, experimental information on  
 1220 the widths of the *nf'*[7/2]<sub>3,4</sub> ARS (except for Xe) and of the *nh'* ARS is not available  
 1221 up to now. Using optogalvanic spectroscopy involving the collisionally excited *nd*[5/2]<sub>2</sub>  
 1222 and *nd*[7/2]<sub>3</sub> levels of Xe, Hanif *et al* [32] were able to study the low-lying Xe (*nf'*[7/2]<sub>3</sub>)



1223 and Xe ( $nf'[7/2]_4$ ) resonances ( $n = 4, 5$ ). Their results for the Xe ( $4f'[7/2]_{3,4}$ ) resonances  
 1224 (reduced width  $250(30) \text{ cm}^{-1}$  in both cases) are presented in figure 15.

1225 A comparison of the experimentally and theoretically (obtained with the CIPFCP  
 1226 method) determined reduced widths shows that, in the majority of cases, the theoretical  
 1227 predictions agree with the experimental values to within about 20%. In some cases,  
 1228 the deviations are substantially larger (especially for Ar ( $nd'[3/2]_1$ )). The calculated  
 1229 quantum defects are in semi-quantitative agreement with the measured values; in most  
 1230 cases, calculations predict the correct energy ordering for the various  $n\ell'[K']_J$  resonances  
 1231 with the same  $\ell'$  value, and are helpful to assign the experimental spectra.

## 1232 5. Photoionization dynamics of excited rare-gas atoms near threshold

### 1233 5.1. Alkali-atom-like behaviour of the continuum photoionization cross sections for 1234 excited Rg ( $mp^5(m+1)s, (m+1)p$ ) atoms (Rg = Ne–Xe; $m = 2 - 5$ )

1235 The binding energies and atomic orbitals of the outer  $(m+1)\ell$  electrons in the excited  
 1236 rare-gas atoms Ne–Xe are similar to those of the outer  $(m+1)\ell$  electrons in the  
 1237 corresponding alkali-metal atoms Ak ( $mp^6(m+1)\ell$ ) (Ak = Na–Cs,  $m = 2 - 5$ ) [312]. Thus  
 1238 one expects that—apart from effects associated with the reduced nuclear charge and the  
 1239 open-shell core of the respective rare-gas atoms—the near-threshold photoionization  
 1240 cross sections of the  $(m+1)\ell$  electrons in the rare-gas atoms should be similar in size  
 1241 and energy dependence to those of the  $(m+1)\ell$  electrons in the alkali-metal atoms. In  
 1242 this section, we only discuss results which do not consider the autoionizing resonances  
 1243 occurring between the  $^2P_{3/2}$  and  $^2P_{1/2}$  ionization thresholds of the rare gases.

1244 Photoionization cross sections of one-electron atoms and ions decrease monotonically  
 1245 above threshold for all  $n\ell$  states [250]. This behaviour also holds for the photoion-  
 1246 ization cross sections of excited orbitals in many-electron systems as long as they do not  
 1247 overlap with the ion core (typically for  $\ell > 3$ ). For excited heavier alkali-like atoms and  
 1248 ions with  $\ell = 1 - 3$ , the photoionization cross sections of the outer electron near thresh-  
 1249 old normally decrease with rising energy, but they exhibit more or less nonhydrogenic  
 1250 behaviour, including minima in partial cross sections [313–316] the  $n$  and  $\ell$  dependence  
 1251 of which has been discussed, e.g., in [257, 287, 288, 317–320].

1252 The deviation from hydrogenic behaviour is most striking for  $ns$  states which  
 1253 exhibit a zero in the  $s \rightarrow p$  dipole matrix element near threshold and thus a zero in  
 1254 the cross section [313, 314], which is referred to as a Seaton–Cooper minimum. When  
 1255 the effects of spin–orbit interaction on the p wave are included [306, 314], the slightly

1256 different energies at which the zero of the electric-dipole-transition matrix elements to  
 1257 the outgoing  $p_{3/2}$  and  $p_{1/2}$  waves occur prevent the cross section from exactly returning  
 1258 to zero at the positions of the minima. Calculated cross sections for the alkali-metal atom  
 1259  $(m+1)s$  ground state ( $m = 2 - 5$ ) and the associated photoelectron angular distribution  
 1260 parameters  $\beta$  are summarized in figure 16. They were obtained in CIPF and CIPFCP  
 1261 calculations [72] and are compared with selected experimental data [294, 321–323]. The  
 1262 position and depth of the Seaton–Cooper minimum as well as the deviation of the  
 1263  $\beta$  parameter from 2 result from relativistic effects on the outgoing p-wave and from  
 1264 electron correlation effects and they strongly depend on the approximations made in  
 1265 the theoretical treatments (see [72, 324, 325] for more details and further references).

1266 The expected similarity between the photoionization cross sections for the outer  
 1267  $(m+1)\ell$  electrons in excited rare-gas atoms with those in the respective alkali atoms  
 1268 has been reproduced in calculations of excited states with  $\ell = 0$  [261, 262, 326–330],  
 1269  $\ell = 1$  [261, 296, 326, 331–333], and  $\ell = 2$  [261]. In many of these calculations, however,  
 1270 electron correlation, relativistic effects and the open-shell structure of the rare gas ion  
 1271 core were ignored.

1272 In a single configuration description, photoionization from the metastable  $(m+1)s$   
 1273  $J = 2$  level ( $J^+ = 3/2$  core) and from the  $(m+1)s$   $J = 0$  level ( $J^+ = 1/2$ ) only  
 1274 involve the respective core-conserving “major” transitions, i.e., those leading to the  
 1275 formation of only  $\text{Rg}^+(^2P_{3/2})$  ions from  $J = 2$  and only  $\text{Rg}^+(^2P_{1/2})$  ions from  $J = 0$ .  
 1276 Correlation effects in the initial and final states, however, modify this simple picture  
 1277 considerably and result in the observation of the core-changing “minor” transitions. For  
 1278 the metastable  $J = 0$  level, for example, mixing of the  $mp^5(^2P_{1/2})(m+1)s$  configuration  
 1279 with nearby  $mp^5(^2P_{3/2})md$   $J = 0$  configurations leads to a substantial or even dominant  
 1280 population of the  $\text{Rg}^+(^2P_{3/2})$  ion channel [69–71], the clearest example being observed  
 1281 in the photoionization from the Xe ( $6s'$   $J=0$ ) level [70] (see below).

1282 The first initial- and final-state specific photoionization cross sections for all  
 1283 the metastable  $\text{Rg}(mp^5(m+1)s$   $J = 2, 0)$  atoms with correlated wave functions were  
 1284 computed by Petrov *et al* [69–72] with the CIPF and the CIPFCP method. In figures  
 1285 17, 18, 19, and 20, we show the (partial) cross sections and associated  $\beta$  parameters for  
 1286 photoionization from the metastable  $J = 2/J = 0$  levels to the  $^2P_{3/2}$  (figures 17, 20) and  
 1287 the  $^2P_{1/2}$  (figures 19, 18) ion states. The experimental data were obtained by angle-  
 1288 resolved photoelectron spectrometry using a continuous atomic beam in conjunction  
 1289 with intense cw lasers at a few fixed photon energies and a double-hemispherical  
 1290 condenser [69–71]. Good overall agreement between the computed and measured data

1291 is observed. The ‘major’ cross sections (figures 17, 18) essentially exhibit alkali-like  
 1292 behaviour with near-threshold values below 0.1 – 0.3 Mb. The energy dependence  
 1293 of the minor cross sections (figures 19, 20) is very different (mainly decreasing with  
 1294 increasing photoelectron energy) and reflects electron correlation effects in the initial  
 1295 and final states. For  $J = 2$ , the minor cross sections remain small (below about  
 1296 0.1 Mb) whereas for  $J = 0$  they increase very strongly with increasing atomic number  
 1297 (by a factor of about 400) and reach values around 10 Mb near threshold for Xe(6s'  
 1298  $J = 0$ ). This increase can be attributed to the growing importance of initial-state s–d  
 1299 mixing [71] which is illustrated in figure 21. For Xe( $J = 0$ ), the wave function can  
 1300 be written to first order as a superposition of similarly strong amplitudes involving the  
 1301  $5p^5(^2P_{1/2})6s_{1/2} J = 0$  and  $5p^5(^2P_{3/2})5d_{3/2} J = 0$  configurations [31, 70]. The large size  
 1302 of the minor cross section for Xe(6s  $J = 0$ ) mainly results from the d→f amplitude from  
 1303 the latter configuration, which also accounts for the observation that the computed and  
 1304 measured PAD parameters  $\beta$  are close to 0.8, the value predicted for photoionization  
 1305 of a  $nd$  electron to the  $\varepsilon f$  continuum (when p wave emission can be neglected) [179].  
 1306 The  $\varepsilon f$  continuum channel is not accessible in photoionization from the metastable  $^3P_0$   
 1307 level to the  $^2P_{1/2}$  ion state because of angular momentum restrictions. Correspondingly,  
 1308 this partial cross section shows a behaviour which is most akin to that observed for the  
 1309 ground state alkali atoms.

1310 In going from the CIPF to the CIPFCP approach, an overall rise of the cross  
 1311 sections is observed. This effect can be attributed to an increased influence of intershell  
 1312 correlations, resulting from the changes in the AOs when core polarization is included.  
 1313 All partial cross sections show a general rise with increasing atomic number due to  
 1314 the substantial increase of the dipole polarizabilities  $\alpha_d$  of the atomic cores. Another  
 1315 observation is the shift of the near-threshold features to larger photoelectron energies  
 1316 in a way similar to the case of the alkali atoms. This change originates from the fact  
 1317 that the core polarization potential causes more attraction for the s wave than for the p  
 1318 wave because the s wave has more electron density inside the ionic core. Consequently  
 1319 the effective influence of the core polarization on the cross sections and  $\beta$  parameters  
 1320 corresponds to a net repulsion. Measurements over extended energy ranges are desirable  
 1321 to further test these theoretical predictions.

1322 For excited states with  $\ell \geq 1$ , the near-threshold cross sections are generally closer  
 1323 to hydrogenic. Here, we only dwell on photoionization from the lowest-lying  $(m+1)p$ -  
 1324 levels, i.e., the spin–orbit-split doublet states  $^2P_{3/2,1/2}$  of alkali-metal atoms and the ten  
 1325 levels of the  $mp^5(m+1)p$  configuration ( $2p_{1-10}$  in Paschen notation) of the heavier rare-

1326 gas atoms. Most of the previous experimental and theoretical work for photoionization  
 1327 of the  $(m+1)p$  levels of the alkali-metal atoms has been summarized by Petrov *et*  
 1328 *al* [334] (and references therein), who compared CIPF and CIPFCP calculations of  
 1329 the total and of the partial s and d wave cross sections. For Na, Rb, and Cs, the  
 1330  $(m+1)p$  cross sections decrease monotonically with increasing energy while for K, a  
 1331 local maximum near 0.5 eV photoelectron energy is predicted. For Na, correlation and  
 1332 core polarization are relatively unimportant; for their recent cross sections Miculis and  
 1333 Meyer [284] estimate low uncertainties in the few % range. For K, Rb, and Cs atoms,  
 1334 the inclusion of core polarization leads to a substantial rise in the near-threshold cross  
 1335 sections.

1336 The general behaviour of the photoionization cross sections for the  $(m+1)p$  orbitals  
 1337 of Ne–Xe in the continuum region above the  ${}^2P_{1/2}$  thresholds follows that of the  
 1338 corresponding  $(m+1)p$  orbitals in Na–Cs. Earlier calculations [261, 296, 331–333] did  
 1339 not provide information on the cross sections for the two final rare-gas ion states and on  
 1340 the state dependence among the ten-state manifold of the  $mp^5(m+1)p$  configuration. A  
 1341 thorough investigation of photoionization from all  $2p_{1-10}$  levels of Ne [73, 74] and Ar, Kr,  
 1342 and Xe [75] within the CIPFCP approach was recently carried out, both for the energy  
 1343 range of the odd-parity  $n\ell'[K']_J$  ( $\ell' = 0, 2, 4$ ) ARS and for the continua located above  
 1344 the  ${}^2P_{1/2}$  threshold. The energy dependence of the total cross sections was found to  
 1345 be compatible with that reported in previous single-electron treatments. Many-electron  
 1346 effects have an important influence on the cross sections for the  $mp^5(m+1)p\ 2p_{1,5}\ J = 0$   
 1347 levels resulting from their interaction with the  $mp^6$  configuration of the ground state.  
 1348 The partial cross sections to the continua associated with the  ${}^2P_{3/2}$  ( $\sigma_{3/2}$ ) and  ${}^2P_{1/2}$   
 1349 ( $\sigma_{1/2}$ ) levels mainly reflect the admixture of the respective ion core to the composite wave  
 1350 function of the intermediate  $2p_x$  level. For the special case of the  $mp^5_{3/2}(m+1)p_{3/2}\ J = 3$   
 1351 intermediate level, the effects of initial state mixing (only possible through the admixture  
 1352 of *other* higher-lying configurations with even parity) are small, and the partial cross  
 1353 section  $\sigma_{1/2}$  mainly reflects electron correlation effects in the *final* state. The probability  
 1354 for the core-changing transition is very low for Ne( $3p, J = 3$ ) (branching ratio  
 1355  $\sigma_{1/2} : \sigma_{3/2} \leq 0.001$ ), see [73, 335]. This branching ratio increases substantially towards  
 1356 larger atomic number  $Z$ , and its dependence on  $Z$  is found to be similar to the  $Z$   
 1357 variation of the reduced autoionization width of the  $nd'[5/2]_3$  resonance series [75]. Both  
 1358 predictions agree with experimental observations. For the higher-lying  $2p_{1-4}$  levels in  
 1359 Ar, Kr, and Xe (with mainly  $J^+ = 1/2$  core) the ionization spectra between the  ${}^2P_{3/2}$   
 1360 and  ${}^2P_{1/2}$  ionization thresholds are dominated by the  $n\ell'$  ( $\ell' = 0, 2$ ) ARS whereas for the

1361 lower-lying levels  $2p_{5-10}$  the continuum cross sections are comparable to the resonance  
 1362 contributions [75], as will be discussed in section 5.2.

1363 *5.2. Photoionization cross sections in the energy range of the autoionizing rare-gas*  
 1364 *resonances  $Rg(mp^5(^2P_{1/2})n\ell'[K']_J)$*

1365 Between the  $Rg^+(^2P_{3/2}, ^2P_{1/2})$  ionization thresholds, the photoabsorption spectra of the  
 1366 rare-gas atoms, both from the ground state (see section 4.1, figure 6) and excited levels  
 1367 (see section 4.2), show prominent structure associated with the  $Rg(n\ell'[K']_J)$  ARS. In  
 1368 this section, we discuss the main trends observed in these spectra with emphasis on the  
 1369 lineshapes of the ARS which are characterized by the profile index  $q$  [6], see equation (3).  
 1370 Here we only include single-photon processes from excited intermediate levels which are  
 1371 either long-lived or have been prepared by resonant one-photon excitation from lower  
 1372 levels (see figures 1 and 2). We omit multiphoton excitation of ARS in strong laser  
 1373 fields with a single tunable laser [51–55, 125]. ARS spectra of Kr and Xe, excited by  
 1374 nonresonant two- and three-photon excitation have been analyzed by MQDT [232].  
 1375 A theoretical *ab initio* treatment of such experiments requires integration over many  
 1376 continua and is demanding. Another topic which we only mention for completeness  
 1377 concerns the behaviour of ARS in static electric and magnetic fields (for a general  
 1378 discussion see [172, 252]). The influence of the Stark effect on ARS of several rare-gas  
 1379 atoms was investigated in [17, 35, 63].

1380 The ARS lineshapes depend on the oscillator strength of the transitions from the  
 1381 intermediate level  $|i\rangle$  to the ARS ( $\sim |\langle n|\mathbf{D}|i\rangle|^2$ ), on the cross section for direct ionization  
 1382 to the interfering  $Rg^+(^2P_{3/2}) + e^-(\varepsilon)$  continuum ( $\sim |\langle \varepsilon|\mathbf{D}|i\rangle|^2$ ), and on the coupling of  
 1383 the ARS to this continuum  $V_\varepsilon$ , as seen from the expression [6]

$$1384 \quad q = \frac{\langle n|\mathbf{D}|i\rangle}{\pi V_\varepsilon \langle \varepsilon|\mathbf{D}|i\rangle}, \quad (58)$$

1385 which is equivalent to equation (42).

1386 Whereas the coupling of the ARS to the continuum is independent of the  
 1387 intermediate level from which the ARS is excited, the oscillator strength and the  
 1388 continuum cross section depend on the character of the intermediate level (especially  
 1389 on its core composition). Thus a particular ARS, accessed from different configurations  
 1390 or even from different levels of the same configuration, in general exhibits different  $q$ -  
 1391 parameters. This was demonstrated for the Ne( $14s'[1/2]_1$ ) resonance, accessed from  
 1392 four different levels of the Ne( $2p^5 3p$ ) configuration [37].

1393 *5.2.1. Dependence of the photoionization spectra on the character of the intermediate*  
 1394 *level.* To illustrate the dependence of photoionization spectra on the intermediate  
 1395 level, the computed photoionization spectra for the eight  $3p^5 4p[K]_{1,2,3}$  levels of Ar  
 1396 are shown in figure 22. The cross sections for the core-conserving transitions to  
 1397 the continuum  $3p^5_{3/2} 4p[K]_{1,2,3}(2p_{6-10}) \rightarrow 3p^5_{3/2} \varepsilon \ell (\ell = 0, 2, 4)$  vary in the range 8–15  
 1398 Mb, whereas the core-changing transitions  $3p^5_{1/2} 4p'[K']_{1,2}(2p_{2-4}) \rightarrow 3p^5_{3/2} \varepsilon \ell (\ell = 0, 2)$   
 1399 exhibit smaller values of 2–3 Mb, resulting mainly from the small admixture of the  
 1400  $J^+ = 1/2$  core to the  $2p_{2-4}$  levels. The ARS excited from the  $2p_{2-4}$  levels have  
 1401 large oscillator strengths, because the core angular momentum is conserved in the  
 1402 process  $3p^5_{1/2} 4p'[K']_{1,2}(2p_{2-4}) \rightarrow 3p^5_{1/2} n \ell' (\ell = 0, 2)$ . The small continuum background  
 1403 associated with core-changing transitions and the large oscillator strengths for core-  
 1404 conserving resonant transitions result in nearly Lorentzian ARS lineshapes in the spectra  
 1405 excited from the  $2p_{2-4}$  levels. The oscillator strengths of these resonances follow  
 1406 expectations from propensity rules [305], i.e., the most intense lines in the spectra  
 1407 correspond to transitions in which both  $K$  and  $J$  rise by one unit, which explains,  
 1408 for instance, the dominance of the  $10d'[3/2]_2$ ,  $10d'[5/2]_2$ , and  $10d'[5/2]_3$  ARS in the  
 1409 photoionization cross sections from the  $2p_2$ ,  $2p_3$ , and  $2p_4$  levels, respectively.

1410 Spectra excited from the  $2p_{6-10}$  levels exhibit prominent interference phenomena  
 1411 between strong core-conserving continua and comparatively weak core-changing  
 1412 resonant contributions  $3p^5_{3/2} 4p[K]_{1,2}(2p_{6-10}) \rightarrow 3p^5_{1/2} n \ell' (\ell = 0, 2)$ . These interferences  
 1413 result in lineshapes with  $q$  parameters varying over a wide range (Lorentzian-like  
 1414 ( $|q| \gtrsim 10$ ), ‘dispersion’ ( $0.2 \lesssim |q| \lesssim 10$ ), or ‘window’ ( $|q| \lesssim 0.2$ ) resonances, see figure  
 1415 22).

1416 The influence of the spin–orbit splitting of the  $mp^5$  core on the  $ns', nd' J = 1$  ARS  
 1417 lineshapes, excited from the  $(m + 1)p'[1/2]_0$  ( $2p_1$ ) and  $(m + 1)p[1/2]_0$  ( $2p_5$ ) levels of  
 1418 Ar, Kr, and Xe, is demonstrated in figure 23. The spectra involving the  $2p_1$  level have  
 1419 similar Lorentzian-like shapes for Ar, Kr, and Xe. The spectrum for the  $2p_5$  level of Ar  
 1420 is similar to that for the  $2p_1$  level because of a substantial admixture of the  $J^+ = 1/2$   
 1421 core to the  $2p_5$  level. This admixture is much smaller for Kr and Xe, leading to reduced  
 1422 oscillator strength  $f_{(m+1)p}^{d',s'}$  and lineshapes with low  $|q|$  values. These predictions were  
 1423 confirmed for Xe by measurements of spectra of the  $ns', nd' J = 1$  ARS from the  $6p[1/2]_0$   
 1424 and  $6p'[1/2]_0$  levels, which were accessed by nonresonant two-photon excitation from the  
 1425 ground state [127].

1426 The spectra depicted in figures 22 and 23 and equivalent spectra for Ne [74],  
 1427 Kr and Xe [75] contain contributions from several ionization channels with partial

1428 cross sections  $\sigma_{J+\ell_j J}(i_0, \omega)$  (35), and it is of interest to decompose the total cross  
 1429 section  $\sigma_{J+}(i_0, \omega) = \sum_J \sigma_{J+J}(i_0, \omega)$  (34) into  $J$ -specific partial cross sections (see, e.g.  
 1430 [34, 59, 73, 75, 132]):

$$1431 \quad \sigma_{J+J}(i_0, \omega) = \sum_{\ell_j} \sigma_{J+\ell_j J}(i_0, \omega). \quad (59)$$

1432 Computed total and  $J$ -specific partial cross sections for  $\text{Kr}^+(^2\text{P}_{3/2})$  formation from the  
 1433 unpolarized  $2p_3 \ 5p'[1/2]_1$  and  $2p_4 \ 5p'[3/2]_1$  intermediate levels [75] are compared to  
 1434 experimental results in figure 24. Apart from small deviations in the resonance widths  
 1435 and positions, the computed (a,c) and measured (b,d) total cross sections exhibit good  
 1436 overall agreement.

1437 When the intermediate levels are polarized by photoexcitation from a lower level,  
 1438 the corresponding alignment/orientation has to be taken into account in the calculation  
 1439 [59, 74, 132]. The measured and computed spectra for photoionization of aligned  
 1440  $2p_2 \ 5p'[3/2]_2$  and  $2p_8 \ 5p[5/2]_2$  intermediate states of  $^{84}\text{Kr}$ , excited via linearly polarized  
 1441 laser radiation from the metastable  $5s[3/2]_2$  level and ionized by a tunable laser with  
 1442 linear polarization parallel to that of the exciting laser, are compared in figure 25 and  
 1443 are in good agreement. With regard to the propensities for the resonance and the  
 1444 continuum cross sections and to the  $q$  parameters (large  $|q|$  for the ARS excited from  
 1445 the  $5p'$  levels and low  $|q|$  for the ARS accessed from the  $5p$  levels) the total and  $J$ -specific  
 1446 cross sections in figures 24 and 25 confirm the trends discussed above in connection with  
 1447 figure 22.

1448 Two-step photoionization of the  $(m+1)s[3/2]_2$  metastable level of Ne–Xe via the  
 1449  $(m+1)p[5/2]_3$  level is of special interest for the determination of densities of these  
 1450 metastable species in atomic beams or magneto-optical traps. Multiple optical pumping  
 1451 cycles of the closed  $(m+1)s[3/2]_2 \rightarrow (m+1)p[5/2]_3$  transition by a linearly polarized  
 1452 continuous-wave laser leads to a saturated alignment of the excited state. The cross  
 1453 section ( $J^+ = 3/2$  ion formation) for photoionization of the aligned  $J = 3$  state  
 1454 with a linearly polarized laser having the electric vector either parallel ( $\alpha = 0^\circ$ ) or  
 1455 perpendicular ( $\alpha = 90^\circ$ ) to that of the exciting laser is given in terms of  $J$ -specific  
 1456 cross sections  $\sigma_J$  by [132]

$$\sigma(\alpha = 0^\circ) = \frac{5}{3}\sigma_2 + \frac{1}{6}\sigma_3 + \frac{23}{18}\sigma_4, \quad (60)$$

$$\sigma(\alpha = 90^\circ) = \frac{2}{3}\sigma_2 + \frac{17}{12}\sigma_3 + \frac{31}{36}\sigma_4. \quad (61)$$

1457 The  $J = 3$  contribution to the measured cross section shows a strong enhancement at  
 1458  $\alpha = 90^\circ$  (rise by a factor 8.5 as compared to  $\alpha = 0^\circ$ ). This is clearly revealed by the  
 1459 measured and calculated cross sections for photoionization of aligned Kr ( $5p[5/2]_3$ ) for  
 1460  $\alpha = 0^\circ$  and  $\alpha = 90^\circ$  (see figure 26), which demonstrate very good agreement in the  
 1461 lineshapes between experiment and theory [75].

1462 Analogous results have been reported for photoionization of aligned Ar ( $4p[5/2]_3$ )  
 1463 [75, 132], with  $J$ -specific cross sections reported in [132]. The measured absolute cross  
 1464 sections for the  $(m + 1)p[5/2]_3$  levels of Ar and Kr [201] are somewhat smaller than  
 1465 those predicted in [75].

1466 Aloïse *et al* [59] were able to determine the  $J$ -specific cross sections for two-step  
 1467 ionization of ground state Xe atoms via the  $7s[3/2]_1$  intermediate level to the  $J = 0, 1,$   
 1468  $2$  final states in the region of the four  $8p'$  resonances. They carried out measurements  
 1469 with linearly-polarized ( $\alpha = 0^\circ$  and  $90^\circ$ ) as well as circularly-polarized light (parallel  
 1470 and antiparallel spins). The analyses included the effects of nonpure light polarizations  
 1471 and the depolarization of the intermediate level, caused by the hyperfine interaction (for  
 1472  $^{129}\text{Xe}$  and  $^{131}\text{Xe}$ ) and by collisions in the gas jet (for details, see [59, 126]).

1473 *5.2.2. ‘Vanishing’ resonance series.* In some cases, ARS series are weak and thus hard  
 1474 to observe. An example is documented in figure 13 where the Ne ( $13p'[K']_{0,2}$ ) resonances  
 1475 accessed from the Ne ( $3s[3/2]_1, 3s'[1/2]_1$ ) levels are shown. The  $np'[K']_0$  resonances  
 1476 have low intensity when accessed from the  $3s[3/2]_1$  level whereas they dominate when  
 1477 addressed from the  $3s'[1/2]_1$  level. The simple explanation for the low probability of  
 1478 the  $3s[3/2]_1 \rightarrow np'[1/2]_0$  transition as being caused by a core-changing process is not  
 1479 suitable because the levels  $3s[3/2]_1$  and  $3s'[1/2]_1$  levels both have substantial fractions  
 1480 of  $J^+ = 1/2$  core, 42% and 58%, respectively [62]. The theoretical investigation  
 1481 of the  $(m + 1)s', s[K]_1 \rightarrow np'[1/2]_0$  transitions within the CIPFCP approximation  
 1482 revealed that the low probability of the  $3s[3/2]_1 \rightarrow np'[1/2]_0$  transition is mainly caused  
 1483 by the interference between two amplitudes which can be schematically represented  
 1484 as  $\langle 3s | \mathbf{D} | np \rangle = \mathbf{a}$  and  $\langle 3s | \mathbf{D} | 3p \rangle \langle 2p3p | \mathbf{H}^{ee} | 2pnp \rangle = \mathbf{b}$ . The small value of the  
 1485 Coulomb matrix element  $\langle 2p3p | \mathbf{H}^{ee} | 2pnp \rangle$  is compensated by the large value of the  
 1486 transition moment  $\langle 3s | \mathbf{D} | 3p \rangle$ , leading to similar values of the amplitudes  $\mathbf{a}$  and  $\mathbf{b}$ . The  
 1487 interference between  $\mathbf{a}$  and  $\mathbf{b}$  is destructive for the  $3s[3/2]_1 \rightarrow np'[1/2]_0$  transition and  
 1488 constructive for the  $3s'[1/2]_1 \rightarrow np'[1/2]_0$  transition, which explains the experimental  
 1489 observation [62]. A quantitative description of the experimental spectrum can be only  
 1490 obtained if all processes shown in scheme (33) are taken into account. If, for instance,



1491 the configuration Ne ( $2p^6$ ), which basically describes the Ne ground state, is not included  
 1492 in the calculation of the  $np'[1/2]_0$  wave functions, the computed widths of the  $np'[1/2]_0$   
 1493 resonances are three times smaller than observed.

1494 Such interferences also play an important role in other spectra, e.g. in the case of  
 1495 the  $4s', s[K]_1 \rightarrow 14p'[1/2]_0$  transitions in Ar. The  $4s[3/2]_1 \rightarrow np'[1/2]_0$  transitions of  
 1496 Ar are expected to be suppressed by the interference [34], but no experimental data are  
 1497 available so far. The attempt to check this prediction using the  $8s[3/2]_1 \rightarrow np'[1/2]_0$   
 1498 transitions in Ar [60] is problematic because of additional interference between these  
 1499 transitions and the  $7s'[1/2]_1 \rightarrow np'[1/2]_0$  transitions, caused by the strong mixing of the  
 1500 nearby  $8s[3/2]_1$  and  $7s'[1/2]_1$  levels [64], see also section 5.2.4.

1501 Another example of ‘vanishing’ series is provided by the photoionization spectrum  
 1502 of Xe ( $6d[3/2]_1$ ). As expected, the experimental data clearly exhibit the  $nf'[5/2]_2$  ARS,  
 1503 but the  $np'$  ARS appear to be missing, see figure 27. The reasons for this observation  
 1504 are [58] that (i) the oscillator strengths for the  $6d \rightarrow np'$  transitions are much smaller  
 1505 than for the  $6d \rightarrow nf'$  transitions; (ii) the strong interaction of the  $np'$  resonances with  
 1506 the  $5p_{3/2}^5 \varepsilon \ell$  continua yields widths of these resonances which are an order of magnitude  
 1507 larger than the widths of the  $nf'$  resonances (see also table 4(d)); (iii) the combined  
 1508 effects of (i) and (ii) yield weak dispersion-like profiles of the  $np'$  resonances (insert  
 1509 in figure 27) the detection of which requires very high signal-to-noise ratio and low  
 1510 photon bandwidth. In this context, the introduction of a generalized oscillator strength  
 1511  $f_g = f \cdot (q^2 - 1)/(q^2 + 1)$  can be useful for low  $|q|$  [302]. Indeed, for Lorentzians  $f_g = f$   
 1512 while for dispersion profiles ( $q = \pm 1$ )  $f_g = 0$ . For  $q = 0$  one obtains  $f_g = -f$ , expressing  
 1513 the fact that the ARS appears as an absorption window.

1514 *5.2.3. ‘Vanishing’ resonances.* In the spectra of the Ar( $np'$ ) ARS, excited from  
 1515 the  $4s'[1/2]_1$  intermediate level, the expected  $15p'[1/2]_1$ ,  $15p'[3/2]_1$ , and  $15p'[3/2]_2$   
 1516 resonances were found to be missing [64]. To illustrate the observations and  
 1517 the dependence on the intermediate level, figure 28 presents a comparison of the  
 1518 experimental  $np'$  spectra for the three unpolarized intermediate levels  $4s'[1/2]_1$ ,  $5s[3/2]_1$ ,  
 1519 and  $5s'[1/2]_1$  with the results of CIPFCP calculations [64]. The experimental data were  
 1520 derived from spectra measured for parallel and perpendicular linear polarizations of the  
 1521 two light fields involved in the two-step excitation from the Ar ground state [60, 64].  
 1522 The cross sections are displayed as a function of the common variable  $-\mu$ , a negative  
 1523 quantum defect used as scaled energy variable in order to compare spectra measured at  
 1524 different ‘principal’ quantum numbers.

1525 The  $np'[1/2]_0$  ARS excited from the  $5s'[1/2]_1$  level has a large oscillator strength  
 1526  $f_{5s'[1/2]_1}^{np'[1/2]_0}$  and exhibits a near-Lorentzian lineshape whereas in the spectra excited from the  
 1527  $5s[3/2]_1$  level the  $np'[1/2]_0$  ARS has a dispersion lineshape because of the small oscillator  
 1528 strength  $f_{5s[3/2]_1}^{np'[1/2]_0}$ . The ratio between the  $f_{5s'[1/2]_1}^{np'[1/2]_0}$  and  $f_{5s[3/2]_1}^{np'[1/2]_0}$  oscillator strengths is  
 1529 governed by the interference discussed above for the Ne ( $np'[1/2]_0$ ) ARS. The narrow  
 1530  $np'[3/2]_2$  resonance has an oscillator strength larger than the  $np'[3/2]_1$  resonance in line  
 1531 with the propensity rules [305]; the broad and weak  $np'[1/2]_1$  resonance, lying between  
 1532 the  $np'[3/2]_1$  and  $np'[3/2]_2$  ARS, contributes to the background and is not observed as  
 1533 a separate resonance.

1534 The observation of the  $nf'[5/2]_2$  resonance can be attributed to electron correlation  
 1535 effects. Destructive interference between  $3p^5 4s' \implies 3p^5 \{d\} \rightarrow 3p^5 nf'$  and  $3p^5 4s' \rightarrow$   
 1536  $3p^5 \{p\} \implies 3p^5 nf'$  excitation channels (double- and single-arrows denote Coulomb and  
 1537 electric-dipole interactions, respectively, as in scheme (33)) strongly reduces the  $f_{4s'[1/2]_1}^{nf'[5/2]_2}$   
 1538 oscillator strength, and the  $nf'[5/2]_2$  ARS is only weakly excited from the  $4s'[1/2]_1$  level  
 1539 (see figure 28(a)). In the spectra excited from the  $5s[3/2]_1$  and  $5s'[1/2]_1$  levels the  
 1540  $nf'[5/2]_2$  resonance is strong because the interference mentioned above is constructive.

1541 The absence of the  $np'$  resonances in the spectrum excited from the  $4s'[1/2]_1$   
 1542 level reflects a (near-)zero excitation strength analogous to the Seaton–Cooper minima  
 1543 in near-threshold photoionization of the outer s electron of alkali-metal atoms (see  
 1544 section 5.1). This is demonstrated in figure 29(a) where the cross sections for the  
 1545  $3p^5 4s' \rightarrow 3p^5 (n/\varepsilon) p'[K']_J$  transitions are depicted (the oscillator strengths are presented  
 1546 as a smooth curve; see equation (51)). All cross sections exhibit Seaton–Cooper minima  
 1547 at  $np$  binding energies in the range  $-0.15 \text{ eV} \leq \varepsilon_{np} \leq -0.05 \text{ eV}$ , resulting in ‘vanishing’  
 1548 resonances. The predicted lineshapes of selected  $np$  resonances are shown in figure 29(b–  
 1549 e). They clearly demonstrate that the strong variation of the resonance spectra at, or  
 1550 very close to, specific  $n$  values can serve as a sensitive probe for the energy location of  
 1551 such a minimum in a particular channel and enable a rigorous test of the theoretical  
 1552 approaches.

1553 *5.2.4. Interaction between discrete levels in the initial and final states.* Interaction  
 1554 between discrete levels, both in the initial and final states, may result in strong  
 1555 changes in the ARS spectra. One such example involves the  $8s[3/2]_1 \rightarrow np'[1/2]_0$   
 1556 and  $7s'[1/2]_1 \rightarrow np'[1/2]_0$  transitions in Ar [60]. Because of the large mixing of the  
 1557 ‘noninteracting’  $8s_{\text{ni}}[3/2]_1$  and  $7s'_{\text{ni}}[1/2]_1$  basis states (e.g., the observed  $8s[3/2]_1$  level  
 1558 consists of 72% of  $8s_{\text{ni}}[3/2]_1$  and 28% of  $7s'_{\text{ni}}[1/2]_1$ ), both observed spectra primarily

1559 reveal the  $7s'_{ni}[1/2]_1$  character. Indeed, the oscillator strengths  $f_{7s'_{ni}[1/2]_1}^{np'[K']_J}$  are much larger  
 1560 than  $f_{8s_{ni}[3/2]_1}^{np'[K']_J}$  [64].

1561 The  $Xe(nf'[5/2]_2)$  resonances, excited from the  $6s[3/2]_1$  and  $4d[3/2]_1$  initial levels,  
 1562 provide another example which documents the effects of substantial initial state mixing  
 1563 on ARS spectra [34]. In this case the mixing of the  $6s_{ni}[3/2]_1$  and  $4d_{ni}[3/2]_1$  basis states  
 1564 (65% : 35%) and the large oscillator strengths  $f_{4d_{ni}[3/2]_1}^{nf'[5/2]_2}$  lead to the observation of the  
 1565 transition  $4d_{ni}[3/2]_1 \rightarrow nf'[5/2]_2$  in both spectra. Figure 30 shows comparisons between  
 1566 spectra computed with and without inclusion of the interaction between the  $6s_{ni}[3/2]_1$   
 1567 and  $4d_{ni}[3/2]_1$  levels and the measurements and represents a further example of the  
 1568 importance of s–d interaction in the photoionization of rare-gas atoms.

1569 The interactions between different channels manifest themselves over the entire  
 1570 series. The perturbations can be strong if different resonances with the same parity  
 1571 and  $J$  value overlap energetically, i.e., if either (i) different resonance series have similar  
 1572 quantum defects (modulo 1), or (ii) if adjacent members of the same series overlap  
 1573 significantly because of their large widths. Examples are: (i) the mixing of the  $ns'[1/2]_1$   
 1574 and  $nd'[3/2]_1$  resonances for Ar, Kr, and Xe; (ii) mixing between the broad, partially  
 1575 overlapping,  $nd'_{ni}[3/2]_1$  resonances for these atoms.

1576 In Ar, for instance, the experimental quantum defects of the  $ns'[1/2]_1$  and  $nd'[3/2]_1$   
 1577 resonances are 2.148(2) and 0.207(3), respectively (see table 4(b)). As a result  
 1578 the  $ns'[1/2]_1$  and  $nd'[3/2]_1$  series are substantially mixed, and the diffuse  $nd'[3/2]_1$   
 1579 resonances strongly influence the sharp  $ns'[1/2]_1$  resonances, resulting in a decrease  
 1580 of the reduced width of the latter ARS by factor of about 2.5 due to destructive  
 1581 interference [77].

1582 Inclusion of the interaction between the  $nd'_{ni}[3/2]_1$  resonances via autoionization  
 1583 continua results in mixing of these resonances. The wave function of a particular  
 1584  $nd'[3/2]_1$  resonance acquires approximately equal contributions from the  $(n-k)$   $d'_{ni}[3/2]_1$   
 1585 and  $(n+k)$   $d'_{ni}[3/2]_1$  resonances (but with opposite sign) which decrease with rising  $k$   
 1586 value ( $k = 1, 2, \dots$ ) (see, e.g., equation (6) in [48]). Since the width of the lower-  
 1587 lying  $(n-k)$   $d'_{ni}[3/2]_1$  resonance is always larger than the width of the higher-lying  
 1588  $(n+k)$   $d'_{ni}[3/2]_1$  resonance, a considerable destructive contribution to the Coulomb  
 1589 matrix element responsible for autoionization of the ‘central’  $nd'_{ni}[3/2]_1$  resonance results.  
 1590 For the Xe  $8d'[3/2]_1$  ARS this destructive interference reduces the width of the  
 1591 ‘noninteracting’ resonance by a factor of 1.5.

## 1592 5.3. Photoelectron spectrometry of excited Ne, Ar, Kr, and Xe atoms

1593 So far, we were mainly concerned with the energy-dependent total photoionization cross  
 1594 sections of excited rare-gas atoms. Additional information on the partial cross sections to  
 1595 different ion states and on the underlying dipole matrix elements and phase shifts of the  
 1596 emitted electron is obtained by studying the kinetic energy, the angular distribution and  
 1597 the spin-polarization of the photoelectrons [178]. The rich phenomena observed in the  
 1598 angular-resolved photoelectron spectra of laser-excited alkali- and alkaline-earth-metal  
 1599 atoms have been nicely summarized by Leuchs and Walther [336] and can be used as  
 1600 a guide and motivation for photoelectron angular distribution (PAD) studies involving  
 1601 excited states of the rare-gas atoms. To date, however, PAD experiments on excited  
 1602 states of Ne–Xe are scarce. They include ionization from the metastable levels of Ne [69],  
 1603 Ar [71], Kr [71], and Xe [31, 70], from the polarized  $(m+1)p J = 3$  levels of Ne [183] and  
 1604 Ar [184], excited from the respective metastable  $J = 2$  level by a cw laser, and from  
 1605 excited states of Ne ( $3d[3/2]_1$ ,  $3d'[3/2]_1$ ) [186] and Ar ( $3d[1/2]_1$ ,  $5s'[1/2]_1$ ,  $3d'[3/2]_1$ ) [57],  
 1606 accessed from the respective ground state with monochromatized synchrotron radiation.

1607 The PADs measured at selected photon energies from the metastable levels have  
 1608 already been presented in section 5.1. Experimental PADs across the  $7p'[1/2,3/2]_1$  ARS  
 1609 of Xe, addressed from the metastable  $J = 0$  level, were reported by Kau *et al.* [31].  
 1610 The measured PAD parameters  $\beta$  exhibit a sharp and deep dip at the position of the  
 1611  $7p'[3/2]_1$  resonance which is well reproduced by a calculation using the RCN/RCG  
 1612 code of Cowan [337], see figure 31. A PAD study across ARS including spin-analysis  
 1613 of photoelectrons was carried out by Spieweck *et al* [18]. They measured the energy  
 1614 dependence of the total cross section, of the PAD parameter  $\beta$ , and of the electron spin  
 1615 parameter  $A$  [178] across the  $9s'[1/2]_1$  and  $7d'[3/2]_1$  ARS of Xe, excited by coherent  
 1616 VUV radiation (bandwidth about  $1.2 \text{ cm}^{-1}$ ) from the Xe ground state. The RRPA  
 1617 calculations of Johnson *et al* [66] show qualitative agreement with the experimental  
 1618 data. Corresponding spin-analyzed measurements from excited states of rare-gas atoms  
 1619 have yet to be performed.

1620 In the PAD work involving short-lived *excited* rare-gas atoms the polarization  
 1621 introduced by the photoexcitation process has to be taken into account in the data  
 1622 analysis [132, 183, 184, 186, 336, 338, 339]. In the following, we restrict the discussion to  
 1623 excitation-ionization by linearly-polarized light in the electric-dipole approximation. In  
 1624 pulsed single-photon excitation, the excited state acquires quadrupole alignment along  
 1625 the direction of the electric vector. Photoionization of this aligned state by another

1626 linearly-polarized light field with electric vector parallel to that of the other light field  
 1627 results in a PAD which can be described by the expression [340]

$$1628 \quad d\sigma/d\Omega(\theta) = (\sigma_{\text{tot}}/4\pi)[1 + \beta_2(P_2(\cos\theta) + \beta_4 P_4(\cos\theta))], \quad (62)$$

1629 where  $\theta$  denotes the angle between the momentum vector of the photoelectron and  
 1630 the direction of the parallel electric vectors of the two light fields, and  $P_4(\cos\theta) =$   
 1631  $(35\cos^4\theta - 30\cos^2\theta + 3)/8$ . The PAD parameters  $\beta_2$  and  $\beta_4$  are extracted from fits to  
 1632 the measured angular distributions (see, e.g., [186]).

1633 When cw lasers are used for pumping closed transitions (such as the transitions  
 1634  $(m+1)s\ J = 2 \rightarrow (m+1)p\ J = 3$  from the  $J = 2$  metastable levels of Ne–Xe),  
 1635 many cycles of induced absorption and spontaneous emission occur during the transit  
 1636 of the atoms through the driving light field. Consequently, both the lower and upper  
 1637 level acquire a polarization which is not only described by a quadrupole moment, but  
 1638 higher multipole moments with order up to  $2J$ , and the analysis of the PADs are more  
 1639 complicated [132, 184].

1640 To simplify the analysis of their measurements on laser-aligned Ne ( $3p$ ,  $J = 3$ )  
 1641 atoms, Siegel *et al* [183] only included the quadrupole alignment of the outer p electron  
 1642 and assumed that (i) the photoionization process does not depend on the total angular  
 1643 momentum  $J_f$  ( $J_f = J, J+1, J-1$ ) of the final  $[\text{Ne}^+ + e^-(\epsilon s, \epsilon d)]$  states and that (ii) the  
 1644 spin–orbit interaction in the continuum can be neglected. Under these conditions, the  
 1645 measured PADs can be described by expressions equivalent to (62), and their analysis  
 1646 yielded the (reduced) dipole matrix elements  $d_s$  and  $d_d$  for emission of the s wave and  
 1647 d wave and the phase difference  $\Delta = \delta_d - \delta_s$  between these waves. If the absolute cross  
 1648 section is not determined, one can only extract the ratio  $\nu \equiv d_d/d_s$  and  $\Delta$  [183]. For  
 1649 completeness, we mention here that—within these approximations—measurements of  
 1650 the polarization dependence of the total cross section  $\sigma_{\text{tot}}(\alpha)$  as a function of the angle  
 1651  $\alpha$  between the electric vectors of the linearly-polarized exciting and ionizing light fields  
 1652 yield the squares of the two relevant radial matrix elements, as exploited for Ne ( $3p$ ,  
 1653  $J = 3$ ) [183]. In the following, we discuss two cases in more detail.

1654 O’Keeffe *et al* [186] used velocity-map imaging of the photoelectrons to measure  
 1655 PADs for the core-conserving photoionization of aligned Ne ( $3d[3/2]_1$ ) and Ne ( $3d'[3/2]_1$ )  
 1656 atoms to the final ion states  $^2P_{3/2}$  and  $^2P_{1/2}$ , respectively, at photoelectron energies  $\epsilon$   
 1657 in the range 13 – 72 meV. For Ne( $3d$ ), the energies were chosen such that the results  
 1658 are not influenced by autoionizing  $np'$  or  $nf'$  resonances. The experimental results were  
 1659 analyzed with equation (62), and the fitted PAD parameters  $\beta_2$  (open squares) and

1660  $\beta_4$  (open circles) are summarized in figure 32 for (a) Ne(3d') and (b) Ne(3d). The  
 1661 polar diagrams illustrate the shape of the PADs at  $\varepsilon = 33$  meV (Ne 3d') and  $\varepsilon = 45$   
 1662 meV (Ne 3d), respectively. Transitions to the  $\varepsilon f$  ionization continua dominate. The  
 1663 curves represent the theoretical predictions for  $\beta_2$  (full line) and  $\beta_4$  (dashed line), as  
 1664 obtained in a quantum-defect treatment in which the spin-orbit interaction in the  $\varepsilon p$   
 1665 and  $\varepsilon f$  electron continua was neglected but the  $J_f$  dependence of the matrix elements  
 1666 taken into account. When the  $J_f$  dependence is ignored, significantly poorer agreement  
 1667 between the predicted and the measured PAD parameters is observed; in particular, the  
 1668 parameter  $\beta_4$  becomes zero for Ne(3d'[3/2]<sub>1</sub>) in this approximation [186].

1669 For photoionization of Ar(4p  $J = 3$ ) atoms (prepared by excitation with a linearly-  
 1670 polarized cw-laser), the polarization dependence of the photoionization signal in the  
 1671 region of the 10d' resonances [132] and of the PADs [184] at selected photoelectron  
 1672 energies demonstrated that both the spin-orbit interaction in the emitted d waves  
 1673 and the  $J_f$  dependence of the dipole matrix elements had to be included in the  
 1674 theoretical description. The corresponding calculations included the term dependence,  
 1675 some important electron correlations, and the effects of core-polarization on the radial  
 1676 wave functions of the excited and the continuum orbitals. The energy dependences of  
 1677 the five relevant ratios  $\nu_{ik} = d_{ik}/d_{12}$  between the reduced dipole matrix elements  $d_{ik}$  for  
 1678 the d-wave ( $k \equiv J_f$ ;  $i = 2j_f$  with  $j_f =$  total angular momentum of continuum wave) and  
 1679 the reduced dipole matrix element  $d_{12}$  for the s-wave (right panel) are displayed in figure  
 1680 33, which also shows the associated phase differences  $\Delta_{ik}$  (left panel). In figure 34 we  
 1681 present the PADs (open circles), measured at four photoelectron energies (0.023, 0.144,  
 1682 0.316, 0.846 eV) with parallel ( $\alpha = 0^\circ$ ) and perpendicular ( $\alpha = 90^\circ$ ) linear polarizations  
 1683 of the exciting and ionizing lasers ( $\theta$  denotes the angle between the electron detection  
 1684 direction and the electric-field vector of the ionizing laser), and compare them with the  
 1685 results of three different calculations: (i) core polarization omitted (dotted curves); (ii)  
 1686 core polarization included (broken curves); (iii) core polarization included and ratios  
 1687  $\nu_{ik}$  of dipole matrix elements multiplied by a common correction factor  $K$  which was  
 1688 found to be weakly dependent on the photoelectron energy  $\epsilon$  ( $K(\epsilon) = 1.4 - 0.35(\epsilon/\text{eV})$ )  
 1689 (full curves). The correction factor serves the purpose of compensating the remaining  
 1690 deficiencies of the theoretical description.

1691 In view of the paucity of the data measured so far and because of the possibility  
 1692 of carrying out accurate calculations, PADs from excited states of the heavier rare-gas  
 1693 atoms offer an interesting opportunity for combined experimental and theoretical studies  
 1694 in the future.

#### 5.4. Effects of the hyperfine structure on the photoionization spectra of rare gases

Only few studies have observed the hyperfine structure in the spectra of rare gases; studies of the hyperfine structure of bound or autoionizing high- $n$  Rydberg states are particularly scarce ([21, 22, 235–237] and references therein). Argon has no naturally occurring isotope with nuclear spin  $I \neq 0$  and neon only one ( $^{21}\text{Ne}$ ,  $I = 3/2$ ) with very low natural abundance (0.27%). On the other hand, krypton has one ( $^{83}\text{Kr}$  with  $I = 9/2$ , 11.5%) and xenon two ( $^{129}\text{Xe}$  with  $I = 1/2$ , 26.4%, and  $^{131}\text{Xe}$  with  $I = 3/2$ , 21.2%) isotopes with appreciable natural abundance, and these two gases represent ideal systems to study the role of the nuclear spin in the photoionization of rare-gas atoms.

In rare-gas isotopes with  $I = 0$ , autoionization results in a change of the spin-orbit state of the ion core, whereas in  $I \neq 0$  isotopes, the autoionization may also involve a change in the hyperfine state of the ion core either with or without a change of spin-orbit state (see figure 35); between the lowest and the highest hyperfine component of the  $mp^5\ ^2P_{3/2}$  ionic ground state, pure hyperfine autoionization occurs [21, 22]. For the study of these dynamical processes, MQDT was extended to treat the effects of nuclear spins, to derive partial photoionization cross sections to selected hyperfine states of the ion [21, 22] and to derive the hyperfine structures of the  $mp^5\ ^2P_{3/2}$  and  $mp^5\ ^2P_{1/2}$  states from the hyperfine structures of high- $n$  Rydberg states [21, 22, 235–237]. The hyperfine structures of the ions can be expressed as functions of the magnetic-dipole and electric-quadrupole hyperfine coupling constants  $A_{J^+}$  and  $B_{J^+}$  ( $B_{J^+} = 0$  for  $I \leq 1/2$  or  $J^+ = 1/2$ ) as

$$\frac{E(J^+, F^+)}{h} = \frac{E(J^+)}{h} + A_{J^+} \frac{C}{2} + B_{J^+} \frac{\frac{3}{4}C(C+1) - I(I+1)J^+(J^++1)}{2I(2I-1)J^+(2J^+-1)}, \quad (63)$$

where  $C = F^+(F^+ + 1) - I(I + 1) - J^+(J^+ + 1)$  and  $E(J^+)$  is the energy of the center of gravity of the hyperfine structure. Experimentally determined values of  $A_{J^+}$  and  $B_{J^+}$  for  $^{83}\text{Kr}$ ,  $^{129}\text{Xe}$ , and  $^{131}\text{Xe}$  are summarized in table 5 together with the hyperfine parameters for the  $mp^5(m+1)s$  states, from which the missing values for  $^{21}\text{Ne}^+$  may be estimated [341, 342].

By single-photon excitation from the  $(mp)^6\ ^1S_0$  ground state, only  $ns$  and  $nd$  Rydberg states with  $J = 1$  are accessible in isotopes with  $I = 0$  as a consequence of the standard selection rules for electric-dipole transitions. For isotopes with nuclear spin  $I \neq 0$ , the  $\Delta J$  selection rule for electric dipole transitions has to be replaced by the corresponding rule for  $\Delta F$ . Therefore, it is possible to access hyperfine levels of  $ns$  and  $nd$  Rydberg states of  $^{83}\text{Kr}$  or  $^{129/131}\text{Xe}$  with  $J \neq 1$  from the  $^1S_0$  ground state (see

1727 figures 36(b,c) and 37). The autoionizing Rydberg series of even isotopes ( $I = 0$ ) exhibit  
 1728 the typical Beutler–Fano lineshape pattern (with narrow  $ns'$  and broad  $nd'$  lines) (see  
 1729 figure 36(a)), whereas for  $^{83}\text{Kr}$  and  $^{129/131}\text{Xe}$ , the  $ns'$  series ( $n > 40$ ) exhibit an obvious  
 1730 splitting resulting from  $ns'[1/2]_0$  and  $ns'[1/2]_1$  series converging to the two hyperfine  
 1731 levels of the  $^2\text{P}_{1/2}$  state, separated by

$$1732 \Delta E_{\text{hf}}(^2\text{P}_{1/2})/h = [E(F^+ = I + 1/2) - E(F^+ = I - 1/2)]/h = A_{1/2}(I + 1/2). \quad (64)$$

1733 Moreover,  $nd'$  series with  $J = 2$  or  $3$  appear with increasing intensities and mix with  
 1734 the  $J = 1$  series to form a doublet separated by the hyperfine splitting of the ion for  
 1735  $n > 65$  (see figure 37(c)).

1736 At very high  $n$  values, where the different Rydberg series are no longer fully resolved,  
 1737 the hyperfine structure is visible as an interference pattern in the spectrum of the  
 1738 Rydberg series, which leads to the periodic disappearance of the observable hyperfine  
 1739 structure (see figure 38). These stroboscopic resonances occur whenever the energy  
 1740 difference between two states of a Rydberg series is equal to the hyperfine splitting of  
 1741 the ion

$$1742 |\Delta E_{\text{hf}}(^2\text{P}_{1/2})| \approx E(n + k) - E(n) \quad \text{with } k = 1, 2, \dots \quad (65)$$

1743 or, expressed with the effective principal quantum numbers  $\nu_{J+F^+}$  (16), when the  
 1744 condition

$$1745 \nu_{1/2,\text{lower}} = \nu_{1/2,\text{upper}} + k \quad \text{with } k = 1, 2, \dots \quad (66)$$

1746 is fulfilled. The positions of these resonances can be used to determine the hyperfine  
 1747 splitting  $\Delta E_{\text{hf}}(^2\text{P}_{1/2})$  [21, 22].

1748 Interactions between channels differing in  $\ell$  by  $0, \pm 2$ , notably between  $ns$  and  $nd$   
 1749 channels, are important in the autoionization process and determine the lineshapes of  
 1750 autoionizing Rydberg states (see, e.g., [38] and section 4.2.1). The corresponding mixing  
 1751 angles in the MQDT parameter sets can be determined from the observed lineshapes or  
 1752 the positions of interacting bound Rydberg levels belonging to s and d series converging  
 1753 on different ionization limits. For  $I = 0$  isotopes, the two series limits are the  $^2\text{P}_{3/2}$  and  
 1754  $^2\text{P}_{1/2}$  states; because the separation between these limits is large for the heavier rare  
 1755 gases, the number of positions offering information on the s–d interaction is limited. In  
 1756 isotopes with  $I > 0$ , however, the s–d interaction can be studied with high accuracy  
 1757 from the positions of several consecutive levels of Rydberg series converging on different  
 1758 hyperfine levels of the  $^2\text{P}_{3/2}$  ion state [236, 237]. In figure 39, the effects of s–d mixing are  
 1759 visible as avoided crossings between hyperfine levels of s and d Rydberg series of  $^{83}\text{Kr}$ .



1760 One of those, the avoided crossing between the  $F = 11/2$  levels of the  $(n - 2)d[3/2]_1$   
 1761 and  $ns[3/2]_2$  Rydberg series around  $n \approx 72$ , has first been observed in high-resolution  
 1762 laser spectra [235] and later been studied at sub-MHz resolution with millimeter wave  
 1763 spectroscopy (see inset of figure 39) [236]. In the latter study, a MQDT parameter set  
 1764 for Kr ( $ns/d$ ) levels has been derived from all available positions of the bound Rydberg  
 1765 states, but the MQDT parameters can also be used to describe the ARS, as has been  
 1766 shown by Paul *et al* [22]. A complete parameter set, which also includes  $np/f$  even-parity  
 1767 levels, has been derived for Xe following the same procedure [237].

1768 The MQDT analysis of the hyperfine structure in high Rydberg states of the rare-  
 1769 gas atoms shows that, although the different Rydberg states have very different hyperfine  
 1770 structures, they all have their origin in the hyperfine structure of the  $^2P_{3/2}$  and  $^2P_{1/2}$   
 1771 ionic levels. Rather than reporting individual hyperfine coupling parameters for each  
 1772 Rydberg state, it is much more convenient and meaningful to parametrize the hyperfine  
 1773 structure of Rydberg states with the hyperfine coupling constants of these ionic levels.

## 1774 6. Conclusions

1775 Spectroscopic investigations of the photoionization spectra of rare-gas atoms is a mature  
 1776 field of research which has provided exceptionally detailed information on the process  
 1777 of photoionization and significantly contributed to its understanding. Upon removal of  
 1778 an electron from the outermost valence orbitals of the neutral atoms, either directly by  
 1779 single-photoionization, or indirectly via an intermediate state, an open-shell  $^2P_{J^+}$  ion  
 1780 is produced with two fine-structure components of total angular momentum quantum  
 1781 number  $J^+ = 3/2$  and  $1/2$ . Compared to the outer-valence-shell ionization of the alkali-  
 1782 metal atoms, which results in the formation of a closed-shell  $^1S_0$  ion core and an isolated  
 1783 ionization threshold, two closely spaced ionization thresholds are observed in the rare-  
 1784 gas atoms, between which the photoionization cross section is dominated by extended  
 1785 series of autoionizing resonances. The shape and intensities of these resonances strongly  
 1786 depend on the principal ( $n$ ) and orbital angular momentum ( $\ell$ ) quantum numbers,  
 1787 as well as on the other quantum numbers (such as  $K$  and  $J$ ) necessary to specify  
 1788 the fine structure of the autoionizing Rydberg states. They are also sensitive to the  
 1789 alignment and orientation of the state from which the electron is ejected. Spectra of  
 1790 autoionizing Rydberg states of the rare-gas atoms represent extremely sensitive probes  
 1791 of their electronic structures and their photoionization dynamics and can be used as  
 1792 rigorous tests of theoretical models of photoionization. Comparing spectra of neon,

1793 argon, krypton, and xenon enables one to quantify the effects arising from the different  
1794 atomic numbers, i.e., of the different number of electrons and the different magnitude  
1795 of the spin-orbit interaction.

1796 The information available in the literature on the autoionizing Rydberg states of  
1797 the rare-gas atoms Ne–Xe is very extensive, but also very fragmented, most studies  
1798 being devoted to the behaviour of a restricted number of resonances or ionization  
1799 channels of a single rare-gas atom. Experimental data reported on the widths of  
1800 autoionizing resonances and on the corresponding photoionization cross sections are  
1801 often inconsistent, primarily because the effects of limited experimental resolution and  
1802 saturation are difficult to recognize and quantify. Although the first good-quality  
1803 spectroscopic data on autoionizing Rydberg states of the rare-gas atoms, primarily on  
1804 those accessible from the  $^1S_0$  ground state following single-photon excitation, have been  
1805 reported more than 50 years ago, it is only in recent years that photoionization spectra  
1806 from a broad range of electronically excited states have been obtained at a resolution  
1807 sufficient to obtain reliable information on the shapes of autoionizing resonances and on  
1808 the photoionization dynamics. Systematic comparison of spectral structures associated  
1809 with the different ionization channels of different rare-gas atoms, obtained from different  
1810 electronic states, enables one to recognize systematic trends. These trends, however, are  
1811 often not explainable by simple arguments based, for instance, on the expectation that  
1812 the widths of autoionizing resonances should monotonically decrease with increasing  
1813 values of the orbital angular momentum quantum number  $\ell$ , or on simple single-  
1814 configuration descriptions of electronically excited states. The shape and intensities of  
1815 autoionizing resonances result from subtle interference and electron-correlation effects,  
1816 the understanding and theoretical description of which necessitates high-level *ab-initio*  
1817 quantum chemical calculations.

1818 In the present article, we have tried to critically review the literature on the  
1819 autoionization resonances of the rare-gas atoms between the lowest two ionization  
1820 thresholds and to provide what we believe is a reliable set of spectroscopic parameters  
1821 describing the electronic structure and photoionization dynamics of these atoms. We  
1822 have also attempted to summarize trends in behaviour observed experimentally and  
1823 to rationalize them, whenever possible, in terms of well-established phenomena by  
1824 making systematic comparison with theoretical predictions. The current status of the  
1825 comparison is that state-of-the-art theoretical predictions are in almost quantitative  
1826 agreement with experimental observations. The comparison of calculations performed  
1827 on the basis of different levels of approximation reveals in which cases, and why, selected

1828 approximations are likely to fail. Finally, we have also chosen to present numerous  
1829 examples to illustrate the astonishing variety of phenomena that can be observed in  
1830 spectroscopic studies of autoionizing resonances in the rare-gas atoms, which include  
1831 ultrafast electron ejection, interference phenomena, the almost complete suppression  
1832 of photoionization cross sections near Seaton–Cooper minima, fine- and hyperfine-  
1833 structure-dependent autoionization rates, stroboscopic resonances observed when the  
1834 period of the electronic motion matches the periods associated with hyperfine splittings,  
1835 and autoionization processes resulting from the transfer of hyperfine energy from the  
1836 ion core to the Rydberg electron.

1837 The interest in studies of the Rydberg spectrum and the photoionization dynamics  
1838 of the rare-gas atoms has been stimulated recently by new experimental methods  
1839 enabling very high spectral resolution [122–124], very high calibration accuracy [343–  
1840 345], very high temporal resolution [346, 347], or permitting the direct measurement  
1841 of photoelectron angular distributions such as velocity map imaging [188] and  
1842 photoelectron microscopy [348]. New applications of Rydberg states in atom- and  
1843 molecule-optics experiments [349, 350] and the possibility to trap laser-cooled samples  
1844 of metastable rare-gas atoms [78–83] will certainly stimulate further studies. We are  
1845 convinced that the current knowledge of the electronic structure and photoionization  
1846 dynamics of the rare-gas atoms, as derived from experimental and theoretical studies  
1847 of the autoionization resonances and summarized in this article, will be useful in future  
1848 studies.

1849 Two specific aspects of particular interest to us, but only incompletely treated in  
1850 this review, concern the angular distributions of photoelectrons ejected by autoionization  
1851 of aligned and oriented samples and the process of hyperfine autoionization, which has  
1852 been predicted theoretically on the basis of precision measurements of the hyperfine  
1853 structure of high Rydberg states but has so far not been observed. One of the most  
1854 promising systems for the observation of this process are autoionizing Rydberg states  
1855 converging to the  $^2P_{1/2}$  ( $F^+ = 0$ ) hyperfine level of  $^{129}\text{Xe}^+$ . The decay of such Rydberg  
1856 states into the ionization continuum associated with the  $^2P_{1/2}$  ( $F^+ = 1$ ) level of the ion  
1857 is energetically allowed above  $n = 520$  and should be observable experimentally, but  
1858 still represents an experimental challenge.

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2344 **Figures**

**Figure 1.** Two-step  $e^- + \lambda_i$  (a) and two-color  $\lambda_e + \lambda_i$  (b) excitation schemes of the even-parity  $np'[1/2, 3/2]_1$ ,  $np'[3/2]_2$ , and  $nf'[5/2]_{2,3}$  ARS. Transitions to the  $nf'$  ARS, mediated by electron correlation effects, are indicated by dash-dotted arrows.

**Figure 2.** Two-photon resonance excitation scheme for accessing odd-parity  $ns'$ ,  $nd'$ , and  $ng'$  ARS from metastable levels with  $J_{ms} = 0$  ( $\lambda_e + \lambda_i$ ) and  $J_{ms} = 2$  ( $\lambda'_e + \lambda'_i$ ) via the intermediate  $mp^5(m+1)p$   $J = 1, 2, 3$  levels (the low-lying ground state is omitted; only two of the possible transitions from the metastable to the intermediate levels are shown). Transitions to the  $ng'$  ARS, mediated by electron correlation effects, are indicated by dash-dotted arrows.

**Figure 3.** Level diagram of Ne I [351]. The right panels present the levels of interest on enlarged scales (the quantum defects for the  $np'$  and  $nf'$  levels were taken from [62]). For the  $2p^53p$  levels, the Paschen notation  $2p_x$  ( $x = 1 - 10$ ) and  $[K]_J$  quantum numbers in Racah coupling are given. The low-lying ground state is omitted. (Adapted from [74].)

**Figure 4.** Sketch of an experimental setup for photoionization spectroscopy of rare-gas atoms. (For details see text.)

**Figure 5.** Influence of the relativistic and many-electron effects on the  $6s$  photoionization cross section of Cs. (a) Comparison between the cross sections computed without (HF [70]) and with (PF [70], DF [276]) inclusion of relativistic effects. The length gauge ( $L$ ) is shown only for the PF approach. (b) Cross sections computed with inclusion of intershell correlations (CIPF [70]) and core polarization in addition (CIPFCP [72]); semiempirical calculation of Norcross (CP [286]) and experimental cross section (Exp. [295]); nonrelativistic RPAE calculation [267]. The velocity gauge ( $V$ ) PF calculation from (a) is also shown in (b) for comparison.



**Figure 6.** Photoabsorption cross section of ground state Xe atoms between the  $^2P_{3/2}$  and  $^2P_{1/2}$  ionization thresholds measured with a bandwidth (FWHM) of  $0.0074 \text{ \AA}$  ( $1 \text{ \AA} = 0.1 \text{ nm}$ ). (Adapted from [15] with permission.)

**Figure 7.** Comparison of the  $\text{Rg}(ns'; J = 0)$  and  $\text{Rg}(ns'; J = 1)$  autoionization resonances in the rare-gas atoms  $\text{Rg} = \text{Ne-Xe}$ , plotted on a common reduced energy scale  $E_{\text{red}} = (E - E_0) \cdot (n^*)^3$ . In all cases, resonances with high  $q$  values were chosen. (Adapted from [40].)

**Figure 8.** Profiles of the  $\text{Ne}(15d')$  line, consisting of a superposition of the  $[3/2]_2$  and  $[3/2]_1$  resonances, excited via the  $2p_2$  level from the  $\text{Ne}(3s, J = 2)$  metastable level with parallel linear laser polarizations. The smooth curves represent fitted Shore profiles. The residuals between the experimental data and the resulting fit sum (not shown) are displayed at the bottom of the figure (gray curve). The absolute cross-section scale ( $1 \text{ Gb} = 10^{-19} \text{ m}^2$ ) is based on the results of CIPFCP calculations (see figure 8 in [74]). (Adapted from [74].)

**Figure 9.** Profiles of the  $\text{Ne}(12d')$  lines, consisting of a superposition of the indicated resonances, excited via  $2p_5$  and  $2p_8$ , respectively, from the  $\text{Ne}(3s, J = 2)$  metastable level with parallel linear laser polarizations. The smooth curves represent fitted Shore profiles. The residuals between the experimental data and the resulting fit sum (not shown) are displayed at the bottom of the figures (gray curve). The absolute cross section scale is based on the results of CIPFCP calculations (see figure 3 and figure 8 in [74] for (a) and (b), respectively. Note that the cross section scale of (b) has to be multiplied by a factor of  $1/6$  with respect to that of (a). (Adapted from [74].)

**Figure 10.** Photoionization spectrum of argon atoms in the energy range of the  $9g'[7/2]_4$  resonance, excited from the metastable Ar ( $4s\ ^3P_2$ ) level via the intermediate Ar ( $4p[5/2]_3$ ) level with parallel linear polarizations of the two anticollinear laser beams. The smooth curve represents a Beutler–Fano fit to the experimental data (open circles). (Adapted from [46].)

**Figure 11.** Comparison of the measured (a) and the calculated (b) autoionization spectrum in the region of the Ne ( $13p'[1/2, 3/2]_1$ ) resonances, excited from the metastable Ne ( $2p^5\ 3s\ ^3P_0$ ) level. Both velocity and length gauges are shown for the computed spectra. The experimental cross section is normalized using theoretical results (length gauge) at the resonance maximum. (Adapted from [33].)

**Figure 12.** Comparison of the measured (a) and the calculated (b) autoionization spectrum in the region of the Ne ( $13p'$ ,  $J = 1, 2$ ) resonances, excited from the metastable Ne ( $2p^5\ 3s\ ^3P_2$ ) level. Both velocity and length gauges are shown for the computed spectra. The experimental cross section is normalized using theoretical results (length gauge) at the resonance maximum. (Adapted from [33].)

**Figure 13.** Photoionization spectra of neon following excitation from the  $3s\ ^3P_1$  (a) and  $3s'\ ^1P_1$  (b) intermediate levels. The spectra were obtained by monitoring the  $\text{Ne}^+$  ion signal as a function of the frequency of the UV laser. The energy scale gives the spectral position with respect to the  $^1S_0$  ground state of neon. Both spectra were obtained for a parallel arrangement of the polarization vectors of the VUV and UV beams. (Adapted from [62].)

**Figure 14.** Comparison between the measured (a) and the computed (b) resonance profiles of the Xe ( $4f'[5/2]_2$ ) ARS excited via the Xe ( $5d[3/2]_1$ ) intermediate state. The experimental cross section (bandwidth of ionizing laser 0.37 meV) is normalized using the theoretical results at the resonance maximum. Positions of the  $4f'[K]_J$  resonances, which cannot be accessed via the  $5d[3/2]_1$  intermediate level, are also shown. The energy scale is given with respect to the  $\text{Xe}^+$  ( $^2P_{1/2}$ ) ionization threshold. (Adapted from [58].)

**Figure 15.** Fano-profile fits (smooth curves) to the experimental data (gray curves; bandwidth of ionizing laser  $\approx 0.2 \text{ cm}^{-1}$ ). (a) Xe ( $4f'[7/2]_3$ ) resonance excited from the Xe ( $5d[5/2]_2$ ) level. (b) Xe ( $4f'[7/2]_4$ ) resonance excited from the Xe ( $5d[7/2]_3$ ) level. (Adapted from [32] with permission.)

**Figure 16.** Comparison of the photoionization cross sections  $\sigma$  (in Mb =  $10^{-22} \text{ m}^2$ ) and PAD parameters  $\beta$  for ground state alkali-metal atoms, calculated within the CIPF (broken curves) and CIPFCP (solid curves) approximations (in velocity gauge), with previous results. Open symbols [a]–[d]: Experimental results from references [321], [322], [323] and [294], respectively. The dotted curves for the PAD parameters of Rb and Cs represent theoretical data from [323] and [294], calculated by the authors using results in [285] and [286], respectively. (Adapted from [72].)

**Figure 17.** Comparison of the partial photoionization cross sections  $\sigma$  (in Mb =  $10^{-22} \text{ m}^2$ ) and PAD parameters  $\beta$ , calculated within the CIPF and CIPFCP approximations (in velocity gauge), for the core-conserving transitions in the photoionization of metastable Rg ( $(m+1)s^3P_2$ ) atoms (formation of  $^2P_{3/2}$  ions). The experimental data (full circles with error bars) are from [69–71]. (Adapted from [72].)

**Figure 18.** Comparison of the partial photoionization cross sections  $\sigma$  (in Mb =  $10^{-22}$  m<sup>2</sup>) and PAD parameters  $\beta$ , calculated within the CIPF and CIPFCP approximations (in velocity gauge), for the core-conserving transitions in the photoionization of metastable Rg  $((m+1)s'{}^3P_0)$  atoms (formation of  ${}^2P_{1/2}$  ions). The experimental data (full circles with error bars) are from [69–71]. (Adapted from [72].)

**Figure 19.** Comparison of the partial photoionization cross sections  $\sigma$  (in Mb =  $10^{-22}$  m<sup>2</sup>) and PAD parameters  $\beta$ , calculated within the CIPF and CIPFCP approximations (in velocity gauge), for the core-changing transitions in the photoionization of metastable Rg  $((m+1)s'{}^3P_2)$  atoms (formation of  ${}^2P_{1/2}$  ions). The experimental data (full circles with error bars) are from [69–71]. (Adapted from [72].)

**Figure 20.** Comparison of the partial photoionization cross sections  $\sigma$  (in Mb =  $10^{-22}$  m<sup>2</sup>) and PAD parameters  $\beta$ , calculated within the CIPF and CIPFCP approximations (in velocity gauge), for the core-changing transitions in the photoionization of metastable Rg  $((m+1)s'{}^3P_0)$  atoms (formation of  ${}^2P_{3/2}$  ions). The experimental data (full circles with error bars) are from [69–71]. Note, that the cross section scales for Ar, Kr, and Xe have to be multiplied by factors of 4, 20, and 400, respectively. (Adapted from [72].)

**Figure 21.** Illustration of the energy structure of the four lowest excited levels of Rg = Ne–Xe associated with the configurations  $mp^5(J^+)(m+1)s$  and  $mp^5(J^+)md$  (for Ne:  $mp^5(J^+)(m+1)d$ ) as obtained neglecting the Coulomb interaction between the configurations (indicated by the CI matrix element). Very strong s–d mixing is present for Xe ( $6s'[1/2]_0$ ) and Xe ( $5d'[1/2]_0$ ). (Adapted from [71].)

**Figure 22.** Lineshapes of the autoionizing Rydberg states  $3p^5(^2P_{1/2}) 12s'$ ,  $10d'$ , and  $10g'$  of Ar, accessed from the isotropic  $2p_{2-4}$  and  $2p_{6-10}$  intermediate levels. Note that the cross-section scales of the right panels ( $2p_3$ ,  $2p_6$ ,  $2p_8$ , and  $2p_9$ ) have to be multiplied by factors of 2, 1/2, 1/2, and 1/8 with reference to the respective left panels. (Adapted from [75].)

**Figure 23.** Lineshapes of selected ARS of Ar, Kr and Xe, excited from the  $2p_1$   $(m+1)p'[1/2]_0$  level (upper panels) and  $2p_5$   $(m+1)p[1/2]_0$  level (lower panels). Note that the cross-section scales of the lower panels for Kr and Xe have both to be multiplied by a factor of 1/12 with respect to the left panel (Ar). (Adapted from [75].)

**Figure 24.** Comparison between the computed ((a), (c)) and measured ((b), (d)) lineshapes of odd-parity ARS in Kr, excited from the  $2p_3$  ((a), (b)) and  $2p_4$  ((c), (d)) intermediate levels with total angular momentum  $J = 1$ . The theoretical spectra are convolutions with a Gaussian of FWHM  $1.0 \text{ cm}^{-1}$ . The lineshapes are displayed as a function of  $-\mu$ , i.e., a negative quantum defect, used as a common energy variable for different principal quantum numbers. (Adapted from [75].)

**Figure 25.** Comparison between the computed ((a), (c)) and measured ((b), (d)) lineshapes of odd-parity ARS in Kr, excited from the  $2p_2$  ((a), (b)) and  $2p_8$  ((c), (d)) intermediate levels with total angular momentum  $J = 2$ . The theoretical spectra are convolutions with a Gaussian of FWHM  $1.0 \text{ cm}^{-1}$ . The lineshapes are displayed as a function of  $-\mu$ , i.e., a negative quantum defect, used as a common energy variable for different principal quantum numbers. (Adapted from [75].)

**Figure 26.** Comparison between the computed ((a), (c)) and measured ((b), (d)) lineshapes of odd-parity ARS in Kr, accessed from the metastable  $1s_5 5s[3/2]_2$  level via the  $2p_9 5p[5/2]_3$  intermediate level. (a), (b): Data for perpendicular laser polarizations. (c), (d): Data for parallel laser polarizations. The uncertainty in the absolute scale of the experimental cross sections is estimated to be  $\pm 25\%$  [201]. (Adapted from [75].)

**Figure 27.** Comparison between experimental (a) and computed (b) resonance profiles of the Xe ( $nf[5/2]_2$ ) and Xe ( $11p'[K]_J$ ) ARS excited via the Xe ( $6d[3/2]_1$ ) intermediate level in a two-photon two-colour experiment. The experimental cross section is normalized to theoretical results at the maximum of the Xe ( $7f'[5/2]_2$ ) resonance. The energy scale is given with respect to the Xe<sup>+</sup> ( $^2P_{1/2}$ ) ionization threshold. (Adapted from [58].)

**Figure 28.** Comparison between measured (right panels) and computed (left panels) photoionization cross sections for unpolarized (a)  $4s'[1/2]_1$ , (b)  $5s[3/2]_1$  and (c)  $5s'[1/2]_1$  levels of Ar. The experimental data for  $5s'[1/2]_1$  are from [60]. The cross sections are displayed as a function of  $-\mu$ , i.e., a negative quantum defect, used as a common energy variable for different principal quantum numbers. (Adapted from [64].)

**Figure 29.** (a) Cross sections for the  $4s'[1/2]_1 \rightarrow (n/\epsilon)p'[K]_{1,2}$  transitions (the full curves represent spline fits connecting the data points, computed at discrete bound energies and a grid of continuum energies). (b)–(e)  $n$ -dependence of the lineshapes for the  $4s'[1/2]_1 \rightarrow np'$  transitions in the vicinity of the Seaton–Cooper minima. The lineshapes are displayed as a function of  $-\mu$ , i.e., a negative quantum defect, used as a common energy variable for different principal quantum numbers. (Adapted from [64].)

**Figure 30.** Influence of s–d mixing in Kr on the  $6s[3/2]_1 \rightarrow 8f'[5/2]_2$  (left panels) and  $4d[3/2]_1 \rightarrow 8f'[5/2]_2$  spectra (right panels). The upper panels show the experimental spectra shifted to the calculated resonance position; the resonance is broadened by the ionizing bandwidth 0.16 meV. The middle panels present the cross sections calculated with ‘pure’  $6s[3/2]_1$ - and  $4d[3/2]_1$ -states. The bottom panels show the cross sections calculated with inclusion of the interaction between the  $4p^5(^2P_{3/2})6s$ - and  $4p^5(^2P_{3/2})4d$ -configurations. (Adapted from [34].)

**Figure 31.** Experimental ((a), (c)) and theoretical ((b), (d)) results for photoionization of Xe ( $6s' \ ^3P_0$ ) atoms in the range of the Xe ( $7p'$ ,  $J = 1$ ) ARS. (a) Photoion yield (open circles) compared with the sum of two independent Beutler–Fano profiles (smooth line) fitted to the experimental data. (c) Measured PAD parameters  $\beta$ ; open circles with error bars indicate experiments for which the angular distribution was fully determined, closed circles indicate  $\beta$  values which were obtained from electron intensity measurements at the two angles  $\theta = 0^\circ$  and  $\theta = 90^\circ$ , respectively. (b) Theoretical photoionization cross section. (d) Energy dependence of the PAD parameters  $\beta$ , calculated separately for the  $7p'[3/2]_1$  resonance (dotted curve) and the  $7p'[1/2]_1$  resonance (broken curve), and of the “composed” PAD parameter  $\beta_c(E)$  (full curve) to be compared with the experimental values (for details see [31]). For easier comparison with the experimental data, the experimental energy scale has been adopted in (b) and (d) by using the experimental resonance energies. (Adapted from [31].)

**Figure 32.** Theoretical and experimental PAD parameters  $\beta_2$  (open squares) and  $\beta_4$  (open circles) for photoionization of the aligned (a) Ne ( $3d'[3/2]_1$ ) and (b) Ne ( $3d[3/2]_1$ ) states. The experimental values are extracted from the measured PADs, whereas the theoretical values ( $\beta_2$ : solid curves;  $\beta_4$ : broken curves) were obtained with a quantum defect treatment (see [186]). The polar plots illustrate the experimental angular distributions measured at photoelectron kinetic energies of 33 meV (a) and 45 meV (b). (Adapted from [186] with permission.)

**Figure 33.** Calculated relevant phase differences  $\Delta_{ik}$  and ratios of reduced dipole matrix elements  $\nu_{ik} \equiv d_{ik}/d_{12}$  between the five d-wave channels and the s-wave channel ( $d_{12}$ ) for photoionization of Ar ( $4p[5/2]_3$ ) atoms to the  $\text{Ar}^+$  ( $^2P_{3/2}$ ) ion state over the electron energy range 0–2 eV. The calculations include the term dependence and important many-electron correlations as well as long-range core polarization effects. (Adapted from [184].)

**Figure 34.** Comparison of PADs  $I(\theta; \alpha \text{ fixed})$  (left panels:  $\alpha = 0^\circ$ ; right panels:  $\alpha = 90^\circ$ ) for photoionization of laser-excited, polarized Ar ( $4p[5/2]_3$ ) atoms with three different theoretical predictions ( $\theta$  is the angle between the momentum of the photoelectron and the electric-field vector of the ionizing light;  $\alpha$  is the angle between the electric-field vectors of the exciting and ionizing linearly-polarized lasers). Open circles: experimental data; dotted curves: theory with dynamical parameters computed without inclusion of long-range core polarization; broken curves: theory with dynamical parameters computed with inclusion of long-range core polarization (see figure 33); full curves: theory with dynamical parameters based on those in figure 33, but with modified values of  $\nu_{ik}$  (see text and [184]). (Adapted from [184].)

**Figure 35.** Schematic representation of spin-orbit and hyperfine autoionization processes for different xenon isotopes. The hyperfine levels of the  $^2P_{3/2}$  and  $^2P_{1/2}$  states of  $^{131}\text{Xe}^+$  and  $^{129}\text{Xe}^+$  are labeled with the quantum number  $F^+$ . Dark grey bars are closed channels representing series of discrete Rydberg states and light grey bars are open channels, i.e., the adjoining continua. Spin-orbit autoionization processes are indicated by full arrows, hyperfine autoionization processes by dashed arrows. The spin-orbit splitting ( $10\,536.9 \text{ cm}^{-1}$ ) is  $10^4 - 10^5$  times larger than the hyperfine splittings (e.g.,  $0.10985(1) \text{ cm}^{-1}$  for  $^{129}\text{Xe}^+ \ ^2P_{3/2}$  [237] and  $0.4071(9) \text{ cm}^{-1}$  for  $^{129}\text{Xe}^+ \ ^2P_{1/2}$  [21]).

**Figure 36.** Comparison between experimental and calculated spectra of the  $39d'$  and  $41s'$  Rydberg states of (a)  $^{132}\text{Xe}$ , (b)  $^{131}\text{Xe}$ , and (c)  $^{129}\text{Xe}$  excited from the  $5p^6 \ ^1S_0$  ground state. (Adapted from [21].)

**Figure 37.** Hyperfine structure of autoionizing Rydberg states of  $^{83}\text{Kr}$  excited from the  $4p^6 \ ^1S_0$  ground state. Panels (a)–(c) show the hyperfine structure of  $nd'$  and  $(n+2)s'$  Rydberg states for  $n = 41, 51, \text{ and } 73$ , respectively. The double arrows indicate the magnitude of the hyperfine splitting of the  $^2P_{1/2}$  state of  $^{83}\text{Kr}^+$ . (Adapted from [22].)



**Figure 38.** Stroboscopic resonances arising from the hyperfine structure in the ARS of  $^{83}\text{Kr}$  (top),  $^{131}\text{Xe}$  (middle), and  $^{129}\text{Xe}$  (bottom) [21,22]. In each panel, the theoretical spectrum from MQDT calculations is presented above the experimental spectrum. The positions of the stroboscopic resonances  $k$  can be located from the rulers, which show the positions of the hypothetical unperturbed  $nd'[3/2]_1$  resonances converging to the lower (with  $n$  labels) and upper hyperfine levels of the  $^2\text{P}_{1/2}$  ionic state [calculated with the quantum defects  $\mu_d(\text{Kr}) = 1.243$  and  $\mu_d(\text{Xe}) = 2.333$ ].

**Figure 39.** Hyperfine structure of the  $ns[3/2]_{1,2}$  ( $37 \leq n \leq 152$ ) Rydberg states of  $^{83}\text{Kr}$  and of the  $^2\text{P}_{3/2}$  state of  $^{83}\text{Kr}^+$  as derived from the MQDT analysis of [236]. The  $F = 11/2$  levels are highlighted by the solid black curves. For  $n > 60$ , avoided crossings resulting from interactions between  $ns$  and  $(n-2)d$  hyperfine levels can be observed. One of these avoided crossings, between the  $F = 11/2$  hyperfine levels of the  $ns[3/2]_2$  and  $(n-2)d[3/2]_1$  states and marked with a box, has been studied at high resolution with millimetre wave spectroscopy; in the inset, the experimental values are indicated by circles, and lines connect the calculated level positions. For  $n > 120$ , the hyperfine levels mix with those of the next higher or lower  $n$ , indicated by the broad dashed lines representing the lowest  $F = 11/2$  level of  $(n-1)d/(n+1)s$  and the highest  $F = 11/2$  level of  $(n-3)d/(n-1)s$ , respectively.

2345 **Tables**

**Table 1.** First and second ionization energies<sup>a</sup>  $E_i(^2P_{3/2})$  and  $E_i(^2P_{1/2})$  and spin-orbit splittings  $A_{\text{so}} = [E_i(^2P_{1/2}) - E_i(^2P_{3/2})]/hc$  of the rare-gas atoms Ne, Ar, Kr and Xe.

|    | $E_i(^2P_{3/2})/hc$ (cm <sup>-1</sup> ) | $E_i(^2P_{1/2})/hc$ (cm <sup>-1</sup> ) | $A_{\text{so}}$ (cm <sup>-1</sup> ) |
|----|---|---|-------------------------------------|
| Ne | 173929.7726(6) <sup>b</sup>             | 174710.1966(11) <sup>c</sup>            | 780.4240(11) <sup>d</sup>           |
| Ar | 127109.842(4) <sup>e</sup>              | 128541.425(4) <sup>c</sup>              | 1431.5831(7) <sup>d</sup>           |
| Kr | 112914.434(16) <sup>f</sup>             | 118284.728(44) <sup>g</sup>             | 5370.294(44) <sup>g</sup>           |
| Xe | 97833.790(11) <sup>h</sup>              | 108370.714(16) <sup>i</sup>             | 10536.925(19) <sup>i</sup>          |

<sup>a</sup> Conversion factor  $1 \text{ eV}/hc = 8065.54465(20) \text{ cm}^{-1}$  [352].

<sup>b</sup> From Chang *et al* [353].

<sup>c</sup> Calculated with the values of  $E_i(^2P_{3/2})/hc$  and  $A_{\text{so}}$ .

<sup>d</sup> From Yamada *et al* [354].

<sup>e</sup> From Velchev *et al* [355]. The isotope shifts for <sup>36</sup>Ar and <sup>38</sup>Ar with respect to <sup>40</sup>Ar are  $-0.0939(22) \text{ cm}^{-1}$  and  $-0.0463(25) \text{ cm}^{-1}$ , respectively [356].

<sup>f</sup> Value for <sup>84</sup>Kr from Hollenstein *et al* [357], where the values for the other stable isotopes except <sup>83</sup>Kr are given as well. The isotope shift of <sup>83</sup>Kr has been reevaluated based on the isotope shifts of low- $n$  levels above  $100\,000 \text{ cm}^{-1}$  [118, 341, 358–363] and data of the  $ns [3/2]_1$  Rydberg series with well-resolved hyperfine structure for  $n \leq 40$  [235] (the  $F = 11/2$  hyperfine level of the  $ns [3/2]_1$  Rydberg series appears to be disturbed by the adjacent  $ns [3/2]_2 F = 11/2$  hyperfine levels for  $n > 40$ , see figure 39); the obtained isotope shift of  $-0.0038(10) \text{ cm}^{-1}$  with respect to the <sup>84</sup>Kr ionization limit is larger than that obtained by Wörner *et al* [235] ( $-0.0020(8) \text{ cm}^{-1}$ ).

<sup>g</sup> Value for <sup>84</sup>Kr from Paul *et al* [22], where the values for the other stable isotopes are also reported.

<sup>h</sup> Value for <sup>132</sup>Xe from Brandi *et al* [364], where the values for the other stable isotopes are also reported.

<sup>i</sup> Value for <sup>132</sup>Xe from Wörner *et al* [21], where the values for other isotopes are also reported.

**Table 2.** Characteristics of the metastable rare-gas atoms.

|    | State             |         | $E^a/hc$ (cm <sup>-1</sup> ) | Radiative lifetime $\tau$ (s)   |
|----|-------------------|---------|------------------------------|---|
| Ne | $2p^5 3s'[1/2]_0$ | $^3P_0$ | 134 818.6405                 | 430.0 <sup>b</sup>  |
|    | $2p^5 3s[3/2]_2$  | $^3P_2$ | 134 041.8400                 | 24.4 <sup>b</sup> , 14.73 ± 0.14 <sup>c</sup>                                 |
| Ar | $3p^5 4s'[1/2]_0$ | $^3P_0$ | 94 553.6705                  | 44.9 <sup>b</sup>   |
|    | $3p^5 4s[3/2]_2$  | $^3P_2$ | 93 143.7653                  | 55.9 <sup>b</sup> , 38 <sup>+8d</sup> <sub>-5</sub>                           |
| Kr | $4p^5 5s'[1/2]_0$ | $^3P_0$ | 85 191.6171                  | 0.488 <sup>b</sup>  |
|    | $4p^5 5s[3/2]_2$  | $^3P_2$ | 79 971.7422                  | 85.1 <sup>b</sup> , 39 <sup>+5d</sup> <sub>-4</sub> , 28.3 ± 1.8 <sup>e</sup> |
| Xe | $5p^5 6s'[1/2]_0$ | $^3P_0$ | 76 196.767                   | 0.0782 <sup>b</sup> , 0.128 <sup>+0.122f</sup> <sub>-0.042</sub>              |
|    | $5p^5 6s[3/2]_2$  | $^3P_2$ | 67 067.547                   | 149.5 <sup>b</sup> , 42.9 ± 0.9 <sup>g</sup>                                  |

<sup>a</sup> Level energy relative to the  $mp^6 \ ^1S_0$  ground state [365]. Conversion factor 1 eV/ $hc$  = 8065.54465(20) cm<sup>-1</sup> [352].

<sup>b</sup> Calculated lifetime [129].

<sup>c</sup> Experimental lifetime [91].

<sup>d</sup> Experimental lifetime [79].

<sup>e</sup> Experimental lifetime [92].

<sup>f</sup> Experimental lifetime [93].

<sup>g</sup> Experimental lifetime [80].

**Table 3.** Two-photon transitions in rare gases and spectral ranges where the tunable VUV radiation can be produced by resonance-enhanced four-wave mixing  $\tilde{\nu}_{\text{VUV}} = 2\tilde{\nu}_1 \pm \tilde{\nu}_2$  <sup>a</sup>

|    | Transition                                | $2\tilde{\nu}_1$ (cm <sup>-1</sup> ) | $2\tilde{\nu}_1 - \tilde{\nu}_2$ (cm <sup>-1</sup> ) | $2\tilde{\nu}_1 + \tilde{\nu}_2$ (cm <sup>-1</sup> ) |
|----|---|--------------------------------------|--|--|
| Xe | $5p^5 6p[1/2]_0 \leftarrow 5p^6 (^1S_0)$  | 80 118.962(3) <sup>b</sup>           | $\leq 76\,800$                                       | 92 200–142 000                                       |
|    | $5p^5 6p'[1/2]_0 \leftarrow 5p^6 (^1S_0)$ | 89 860.015(3) <sup>b</sup>           |  |  |
| Kr | $4p^5 5p[1/2]_0 \leftarrow 4p^6 (^1S_0)$  | 94 092.8632(14) <sup>c</sup>         | $\leq 86\,700$                                       | 107 000–151 000                                      |
|    | $4p^5 5p'[1/2]_0 \leftarrow 4p^6 (^1S_0)$ | 98 855.0703(14) <sup>c</sup>         |  |  |
| Ar | $3p^5 4p[1/2]_0 \leftarrow 3p^6 (^1S_0)$  | 107 054.2773(30) <sup>d,e</sup>      | 55 000–96 000  | 121 500–161 000                                      |
|    | $3p^5 4p'[1/2]_0 \leftarrow 3p^6 (^1S_0)$ | 108 722.6247(30) <sup>d,e</sup>      |  |  |

<sup>a</sup> Tuning range of variable frequency laser  $\tilde{\nu}_2$ : 13 000 – 52 000 cm<sup>-1</sup> (770 – 190 nm) .

<sup>b</sup> Value for the isotopic center of gravity of natural Xe [365].

<sup>c</sup> Value for the most abundant isotope <sup>84</sup>Kr [365].

<sup>d</sup> Value for the most abundant isotope <sup>40</sup>Ar [365].

<sup>e</sup>  $\tilde{\nu}_1 + \tilde{\nu}'_1$  where  $\tilde{\nu}'_1 = 63\,439.322(40)$  cm<sup>-1</sup> (157.6 nm F<sub>2</sub> excimer line) [111].

**Table 4. (a)** Reduced widths  $\Gamma_r$  ( $\text{cm}^{-1}$ ) and quantum defects  $\mu_\ell$  for Ne.

| $n\ell'$           | $K' = \ell' - 1/2$ |                                   |                    |                    | $K' = \ell' + 1/2$ |                           |                    |                           |
|--------------------|--------------------|-----------------------------------|--------------------|--------------------|--------------------|---------------------------|--------------------|---------------------------|
|                    | $J = \ell' - 1$    |                                   | $J = \ell'$        |                    | $J = \ell'$        |                           | $J = \ell' + 1$    |                           |
|                    | $\Gamma_r$         | $\mu_\ell$                        | $\Gamma_r$         | $\mu_\ell$         | $\Gamma_r$         | $\mu_\ell$                | $\Gamma_r$         | $\mu_\ell$                |
| 20s' <sup>a</sup>  |                    |                                   |                    |                    | 129                | 1.286                     | 355                | 1.276                     |
| 14s' <sup>b</sup>  |                    |                                   |                    |                    | 158                | 1.3091                    | 298                | 1.3008                    |
| $ns'$ <sup>c</sup> |                    |                                   |                    |                    | <b>121(3)</b>      | <b>1.3150</b>             | <b>371(10)</b>     | <b>1.3049</b>             |
|                    |                    |                                   |                    |                    | 14 <sup>d</sup>    | 14 <sup>d</sup>           | 14–24 <sup>d</sup> | 14 <sup>e</sup>           |
| 20p' <sup>a</sup>  | 3355               | 0.745                             | 1804               | 0.816              | 168                | 0.816                     | 191                | 0.810                     |
| 13p' <sup>b</sup>  | 6431               | 0.7634                            | 2620               | 0.8397             | 249                | 0.8394                    | 264                | 0.8317                    |
| $np'$ <sup>c</sup> | <b>5334(130)</b>   | <b>0.768(2)</b>                   | <b>2550(150)</b>   | <b>0.8403(8)</b>   | <b>280(25)</b>     | <b>0.8381(6)</b>          | <b>300(40)</b>     | <b>0.8301(7)</b>          |
|                    | 13 <sup>f</sup>    | 13 <sup>f</sup>                   | 13–15 <sup>g</sup> | 13–15 <sup>g</sup> | 13–15 <sup>g</sup> | 13–15 <sup>g</sup>        | 13 <sup>f,g</sup>  | 13–15 <sup>g,i</sup>      |
| 20d' <sup>a</sup>  | 156                | 0.0039                            | 313                | 0.0084             | 73                 | 0.0084                    | 66                 | 0.0077                    |
| 12d' <sup>b</sup>  | 186                | 0.0123                            | 359                | 0.0165             | 87                 | 0.0169                    | 81                 | 0.0164                    |
| $nd'$ <sup>c</sup> | <b>167(7)</b>      | <b>0.0155(2)</b>                  | <b>350(7)</b>      | <b>0.0200(4)</b>   | <b>87(2)</b>       | <b>0.0196<sup>j</sup></b> | <b>73(3)</b>       | <b>0.0192<sup>j</sup></b> |
|                    | 15 <sup>e</sup>    | 15 <sup>e</sup>                   | 15 <sup>e</sup>    | 15 <sup>e</sup>    | 12 <sup>e</sup>    | 12 <sup>e</sup>           | 12 <sup>e</sup>    | 12 <sup>e</sup>           |
| 20f' <sup>a</sup>  | 23.2               | 0.0001                            | 23.6               | 0.0001             | 9.64               | 0.0001                    | 9.61               | 0.0001                    |
| 12f' <sup>b</sup>  | 20.32              | 0.00156                           | 20.61              | 0.00158            | 8.69               | 0.00161                   | 8.74               | 0.00163                   |
| $nf'$ <sup>c</sup> |                    | <b>0.0023(9)</b>                  |                    | <b>0.0008(4)</b>   |                    |                           |                    |                           |
|                    |                    | 12 <sup>h,i</sup>                 |                    | 12 <sup>f</sup>    |                    |                           |                    |                           |
| 20g' <sup>a</sup>  | 4.3                | $5.5 \times 10^{-4}$ <sup>k</sup> | 4.3                |                    | 2.2                |                           | 2.2                |                           |
| 20h' <sup>a</sup>  | 1.1                | $2.0 \times 10^{-4}$ <sup>k</sup> | 1.1                |                    | 0.65               |                           | 0.65               |                           |

<sup>a</sup> Pauli–Fock calculations for  $20\ell'$  levels [76], in italics.

<sup>b</sup> Configuration interaction Pauli–Fock with core polarization (CIPFCP) calculations. Values for  $\ell' = 1, 3$  from [34, 62], for  $\ell' = 0, 2$  from [74].

<sup>c</sup> Recommended experimental data in bold font (in part averaged values from several experiments with estimated uncertainty are given). The numbers in the respective second line quote the (range of) principal quantum number  $n$  of the measured resonances.

<sup>d–i</sup> References: <sup>d</sup> [40], <sup>e</sup> [42], <sup>f</sup> [62], <sup>g</sup> [33], <sup>h</sup> [309], <sup>i</sup> Hollenstein, evaluation of spectra in [62].

<sup>j</sup> Value from MQDT analysis.

<sup>k</sup> Estimate using  $\mu_{\ell,n} = \frac{2[3-\ell(\ell+1)/n^2]}{\ell(\ell+1)(2\ell-1)(2\ell+1)(2\ell+3)} \cdot \alpha_d$  [209] with the dipole polarizability of the ion core in a.u. ( $\alpha_d(\text{Ne}^+) = 1.3028(13)$  a.u.) [366].

**Table 4. (b)** Reduced widths  $\Gamma_r$  ( $\text{cm}^{-1}$ ) and quantum defects  $\mu_\ell$  for Ar.

| $n\ell'$ | $K' = \ell' - 1/2$   |                                   |                         |                         | $K' = \ell' + 1/2$   |                      |                      |                      |
|----------|----------------------|-----------------------------------|-------------------------|-------------------------|----------------------|----------------------|----------------------|----------------------|
|          | $J = \ell' - 1$      |                                   | $J = \ell'$             |                         | $J = \ell'$          |                      | $J = \ell' + 1$      |                      |
|          | $\Gamma_r$           | $\mu_\ell$                        | $\Gamma_r$              | $\mu_\ell$              | $\Gamma_r$           | $\mu_\ell$           | $\Gamma_r$           | $\mu_\ell$           |
| $20s'^a$ |                      |                                   |                         |                         | <i>2344</i>          | <i>2.089</i>         | <i>1587</i>          | <i>2.077</i>         |
| $12s'^b$ |                      |                                   |                         |                         | 1188                 | 2.134                | 392                  | 2.123                |
| $ns'^c$  |                      |                                   |                         |                         | <b>820(50)</b>       | <b>2.148(2)</b>      | <b>510(20)</b>       | <b>2.137(1)</b>      |
|          |                      |                                   |                         |                         | 11–25 <sup>d,e</sup> | 11–25 <sup>e</sup>   | 11–25 <sup>d,e</sup> | 11–25 <sup>e</sup>   |
| $20p'^a$ | <i>2204</i>          | <i>1.564</i>                      | <i>3678</i>             | <i>1.635</i>            | <i>385</i>           | <i>1.635</i>         | <i>386</i>           | <i>1.627</i>         |
| $14p'^b$ | 4507                 | 1.599                             | 4287                    | 1.674                   | 481                  | 1.677                | 447                  | 1.667                |
| $np'^c$  | <b>3980(400)</b>     | <b>1.615(1)</b>                   | <b>3300(400)</b>        | <b>1.684(2)</b>         | <b>440(120)</b>      | <b>1.687(1)</b>      | <b>340(40)</b>       | <b>1.6765(1)</b>     |
|          | 11–15 <sup>f,g</sup> | 11–16 <sup>g,h</sup>              | 11–16 <sup>h,i,j</sup>  | 11–14 <sup>i,j</sup>    | 11–16 <sup>h,j</sup> | 11–16 <sup>h,j</sup> | 11,15 <sup>g,j</sup> | 11–16 <sup>g,j</sup> |
| $20d'^a$ | <i>13330</i>         | <i>0.059</i>                      | <i>22760</i>            | <i>0.205</i>            | <i>4880</i>          | <i>0.205</i>         | <i>4601</i>          | <i>0.168</i>         |
| $10d'^b$ | 12916                | 0.194                             | 30358                   | 0.359                   | 8045                 | 0.364                | 9501                 | 0.312                |
| $nd'^c$  | <b>28800(900)</b>    | <b>0.207(3)</b>                   | <b>26000(1000)</b>      | <b>0.355(12)</b>        | <b>5000(100)</b>     | <b>0.350(9)</b>      | <b>7150(200)</b>     | <b>0.314(8)</b>      |
|          | 21–24 <sup>k</sup>   | 21–24 <sup>k</sup>                | 10,12,13 <sup>l,m</sup> | 10,12,13 <sup>l,m</sup> | 10,14 <sup>l,m</sup> | 10,14 <sup>l,m</sup> | 10,11 <sup>l,m</sup> | 10,11 <sup>l,m</sup> |
| $20f'^a$ | <i>191.4</i>         | <i>0.0005</i>                     | <i>205.8</i>            | <i>0.0009</i>           | <i>79.1</i>          | <i>0.0009</i>        | <i>77.9</i>          | <i>0.0008</i>        |
| $9f'^b$  | 181.8                | 0.00769                           | 192.0                   | 0.00792                 | 80.9                 | 0.00832              | 79.0                 | 0.00824              |
| $nf'^c$  | <b>155(20)</b>       | <b>0.0111(1)</b>                  | <b>162(5)</b>           | <b>0.0113(1)</b>        |                      |                      |                      | <b>0.010(5)</b>      |
|          | 13,14 <sup>g</sup>   | 13,14 <sup>g</sup>                | 9,10 <sup>n</sup>       | 9,10 <sup>n</sup>       |                      |                      |                      | 10–15 <sup>f</sup>   |
| $20g'^a$ | <i>33.9</i>          | $2.3 \times 10^{-3}$ <sup>o</sup> | <i>34.0</i>             |                         | <i>17.6</i>          |                      | <i>17.6</i>          |                      |
| $ng'^c$  | <b>26.9(6)</b>       | <b>0.004(3)</b>                   | <b>27.7(14)</b>         | <b>0.00272(4)</b>       |                      |                      |                      |                      |
|          | 11 <sup>p</sup>      | 11 <sup>p</sup>                   | 9 <sup>q</sup>          | 9 <sup>q</sup>          |                      |                      |                      |                      |
| $20h'^a$ | 7.8                  | $0.8 \times 10^{-3}$ <sup>o</sup> | 7.8                     |                         | 5.6                  |                      | 5.6                  |                      |

<sup>a</sup> Pauli–Fock calculations for  $20\ell'$  levels [76], in italics.

<sup>b</sup> Configuration interaction Pauli–Fock with core polarization (CIPFCP) calculations. Values for  $\ell' = 1, 3$  from [34]; the values for  $\ell' = 0, 2$  were obtained in [75], but not listed there (see also [77]).

<sup>c</sup> See footnote *c* in table 4(a) for Ne.

<sup>d–n,p–q</sup> References: <sup>d</sup> [40], <sup>e</sup> [43], <sup>f</sup> [55], <sup>g</sup> [64], <sup>h</sup> [60], <sup>i</sup> [33], <sup>j</sup> [300], <sup>k</sup> [20], <sup>l</sup> [132], <sup>m</sup> [45], <sup>n</sup> [34], <sup>p</sup> [41], <sup>q</sup> [46].

<sup>o</sup> Estimate using formula given in footnote *k* of table 4(a) for Ne and  $\alpha_d(\text{Ar}^+) \approx \alpha_d(\text{K}^+) = 5.33$  a.u. [285].

**Table 4. (c)** Reduced widths  $\Gamma_r$  ( $\text{cm}^{-1}$ ) and quantum defects  $\mu_\ell$  for Kr.

| $n\ell'$ | $K' = \ell' - 1/2$ |                        |                   |                 | $K' = \ell' + 1/2$ |                   |                  |                 |
|----------|--------------------|------------------------|-------------------|-----------------|--------------------|-------------------|------------------|-----------------|
|          | $J = \ell' - 1$    |                        | $J = \ell'$       |                 | $J = \ell'$        |                   | $J = \ell' + 1$  |                 |
|          | $\Gamma_r$         | $\mu_\ell$             | $\Gamma_r$        | $\mu_\ell$      | $\Gamma_r$         | $\mu_\ell$        | $\Gamma_r$       | $\mu_\ell$      |
| $20s'^a$ |                    |                        |                   |                 | <i>3774</i>        | <i>3.037</i>      | <i>2752</i>      | <i>3.024</i>    |
| $9s'^b$  |                    |                        |                   |                 | 2510               | 3.091             | 805              | 3.081           |
| $ns'^c$  |                    |                        |                   |                 | <b>2245(100)</b>   | <b>3.110(1)</b>   | <b>1185(30)</b>  | <b>3.099(1)</b> |
|          |                    |                        |                   |                 | $8^d$              | $8^d$             | $8^d$            | $8^e$           |
| $20p'^a$ | <i>1924</i>        | <i>2.492</i>           | <i>3960</i>       | <i>2.565</i>    | <i>691</i>         | <i>2.565</i>      | <i>671</i>       | <i>2.557</i>    |
| $14p'^b$ | 3838               | 2.547                  | 3886              | 2.607           | 795                | 2.619             | 597              | 2.602           |
| $np'^c$  | <b>3800(400)</b>   | <b>2.558(4)</b>        | <b>2900(150)</b>  | <b>2.613(3)</b> | <b>&lt;1900</b>    | <b>2.628(3)</b>   | <b>600(150)</b>  | <b>2.607(3)</b> |
|          | $8-12^{f,g}$       | $8-10^f$               | $12-14^h$         | $12-14^h$       | $12-14^h$          | $12-14^h$         | $12-14^{g,h}$    | $12-14^h$       |
| $20d'^a$ | <i>22860</i>       | <i>1.074</i>           | <i>20458</i>      | <i>1.238</i>    | <i>5092</i>        | <i>1.238</i>      | <i>5043</i>      | <i>1.200</i>    |
| $7d'^b$  | 18472              | 1.226                  | 18257             | 1.346           | 5588               | 1.367             | 5955             | 1.322           |
| $nd'^c$  | <b>22460(220)</b>  | <b>1.223(3)</b>        | <b>13960(320)</b> | <b>1.341(2)</b> | <b>4030(60)</b>    | <b>1.3515(15)</b> | <b>4630(160)</b> | <b>1.315(1)</b> |
|          | $6^{i,j}$          | $6^{i,j}$              | $6^j$             | $6^j$           | $6^j$              | $6^j$             | $6^j$            | $6^j$           |
| $20f'^a$ | <i>286</i>         | <i>0.0012</i>          | <i>329</i>        | <i>0.0019</i>   | <i>154</i>         | <i>0.0019</i>     | <i>150</i>       | <i>0.0017</i>   |
| $11f'^b$ | 251.8              | 0.0132                 | 284.3             | 0.0138          | 151.2              | 0.0147            | 146.1            | 0.0144          |
| $nf'^c$  | <b>301(20)</b>     | <b>0.015(3)</b>        |                   | <b>0.015(2)</b> |                    |                   |                  | <b>0.012(4)</b> |
|          | $8^g$              | $5-14^{f,k}$           |                   | $5-14^l$        |                    |                   |                  | $5-8^f$         |
| $20g'^a$ | <i>39.7</i>        | $3.8 \times 10^{-3} m$ | <i>39.5</i>       |                 | <i>46.8</i>        |                   | <i>46.8</i>      |                 |
| $5g'^a$  | <i>17.5</i>        | $2.9 \times 10^{-3} m$ | <i>17.5</i>       |                 | <i>42.4</i>        |                   | <i>42.4</i>      |                 |
| $ng'^c$  | <b>&lt; 36</b>     | <b>0.003(2)</b>        |                   |                 |                    |                   |                  |                 |
|          | $5^n$              | $5-16^n$               |                   |                 |                    |                   |                  |                 |
| $20h'^a$ | <i>6.05</i>        | $1.4 \times 10^{-3} m$ | <i>6.05</i>       |                 | <i>18.3</i>        |                   | <i>18.3</i>      |                 |
| $6h'^a$  | <i>1.79</i>        | $1.0 \times 10^{-3} m$ | <i>1.79</i>       |                 | <i>10.6</i>        |                   | <i>10.6</i>      |                 |

<sup>a</sup> Pauli–Fock calculations for  $20\ell'$  levels or other  $n\ell'$  levels as specified [76], in italics.

<sup>b</sup> Configuration interaction Pauli–Fock with core polarization (CIPFCP) calculations. Values for  $\ell' = 1, 3$  from [34]; the values for  $\ell' = 0, 2$  were obtained in [75], but not listed there.

<sup>c</sup> See footnote *c* in table 4(a) for Ne.

<sup>d–l,n</sup> References: <sup>d</sup> [40], <sup>e</sup> [15], <sup>f</sup> [55], <sup>g</sup> [34], <sup>h</sup> [33], <sup>i</sup> [14], <sup>j</sup> [47], <sup>k</sup> [367], <sup>l</sup> [310], <sup>n</sup> [53].

<sup>m</sup> Estimate using formula given in footnote *k* of table 4(a) for Ne and  $\alpha_d(\text{Kr}^+) \approx \alpha_d(\text{Rb}^+) = 8.98$  a.u. [285].

**Table 4. (d)** Reduced widths  $\Gamma_r$  ( $\text{cm}^{-1}$ ) and quantum defects  $\mu_\ell$  for Xe.

| $n\ell'$          | $K' = \ell' - 1/2$ |                                   |                    |                     | $K' = \ell' + 1/2$ |                     |                   |                     |
|-------------------|--------------------|-----------------------------------|--------------------|---------------------|--------------------|---------------------|-------------------|---------------------|
|                   | $J = \ell' - 1$    |                                   | $J = \ell'$        |                     | $J = \ell'$        |                     | $J = \ell' + 1$   |                     |
|                   | $\Gamma_r$         | $\mu_\ell$                        | $\Gamma_r$         | $\mu_\ell$          | $\Gamma_r$         | $\mu_\ell$          | $\Gamma_r$        | $\mu_\ell$          |
| 20s' <sup>a</sup> |                    |                                   |                    |                     | 2082               | 3.943               | 3116              | 3.929               |
| 10s' <sup>b</sup> |                    |                                   |                    |                     | 1148               | 4.006               | 676               | 3.997               |
| $n\text{s}'^c$    |                    |                                   |                    |                     | <b>1105(25)</b>    | <b>4.031(2)</b>     | <b>848(15)</b>    | <b>4.021(2)</b>     |
|                   |                    |                                   |                    |                     | 9 <sup>d</sup>     | 9 <sup>e</sup>      | 9 <sup>d</sup>    | 9 <sup>e</sup>      |
| 20p' <sup>a</sup> | 1856               | 3.399                             | 4030               | 3.476               | 1711               | 3.476               | 1663              | 3.468               |
| 8p' <sup>b</sup>  | 3197               | 3.504                             | 3627               | 3.541               | 1424               | 3.571               | 1140              | 3.540               |
|                   |                    |                                   | <b>2955(25)</b>    | <b>3.5684(2)</b>    | <b>1163(12)</b>    | <b>3.6039(2)</b>    | <b>1090(80)</b>   | <b>3.5654(2)</b>    |
|                   |                    |                                   | 7 <sup>f</sup>     | 7 <sup>f</sup>      | 7 <sup>f</sup>     | 7 <sup>f</sup>      | 7 <sup>g</sup>    | 7 <sup>g</sup>      |
| $n\text{p}'^c$    | <b>2900(200)</b>   | <b>3.522</b>                      | <b>2680(100)</b>   | <b>3.5522(7)</b>    | <b>1050(100)</b>   | <b>3.5910(8)</b>    | <b>950(80)</b>    | <b>3.551(2)</b>     |
|                   | 8 <sup>h</sup>     | 8 <sup>h</sup>                    | 8 <sup>i,j</sup>   | 8 <sup>i,j</sup>    | 8 <sup>i,j</sup>   | 8 <sup>i,j</sup>    | 8 <sup>h</sup>    | 8 <sup>h,k</sup>    |
| 20d' <sup>a</sup> | 51015              | 2.114                             | 16277              | 2.335               | 4620               | 2.335               | 5473              | 2.291               |
| 8d' <sup>b</sup>  | 35398              | 2.316                             | 9308               | 2.448               | 3068               | 2.474               | 3796              | 2.423               |
| $n\text{d}'^c$    | <b>35000(900)</b>  | <b>2.328(5)</b>                   | <b>10400(1500)</b> | <b>2.458(3)</b>     | <b>2250(150)</b>   | <b>2.474(2)</b>     | <b>2930(420)</b>  | <b>2.433(2)</b>     |
|                   | 8–14 <sup>l</sup>  | 8–14 <sup>l</sup>                 | 7–9 <sup>m</sup>   | 7–13 <sup>e,m</sup> | 7–9 <sup>m</sup>   | 7–13 <sup>e,m</sup> | 8–14 <sup>n</sup> | 7–13 <sup>e,m</sup> |
| 20f' <sup>a</sup> | 429                | 0.0034                            | 593                | 0.0057              | 418                | 0.0057              | 399               | 0.0050              |
| 4f' <sup>b</sup>  | 216                | 0.0167                            | 272                | 0.0176              | 375                | 0.0186              | 364               | 0.0183              |
| $n\text{f}'^c$    | <b>210(20)</b>     | <b>0.0240(6)</b>                  | <b>300(60)</b>     | <b>0.027(2)</b>     | <b>250(30)</b>     | <b>0.025</b>        | <b>250(30)</b>    | <b>0.025</b>        |
|                   | 4 <sup>o</sup>     | 4 <sup>o</sup>                    | 4,5 <sup>i,j</sup> | 4,5 <sup>i</sup>    | 4 <sup>g</sup>     | 4 <sup>g</sup>      | 4 <sup>g</sup>    | 4 <sup>g</sup>      |
| 20g' <sup>a</sup> | 49.7               | 8.1×10 <sup>-3</sup> <sup>p</sup> | 48.6               |                     | 116.0              |                     | 115.9             |                     |
| 5g' <sup>a</sup>  | 17.5               | 6.1×10 <sup>-3</sup> <sup>p</sup> | 17.2               |                     | 69.0               |                     | 69.0              |                     |
| $n\text{g}'^c$    | <b>71(14)</b>      | <b>0.006(3)</b>                   |                    |                     |                    |                     |                   |                     |
|                   | 5 <sup>n</sup>     | 5 <sup>n</sup>                    |                    |                     |                    |                     |                   |                     |
| 20h' <sup>a</sup> | 5.05               | 2.9×10 <sup>-3</sup> <sup>p</sup> | 5.06               |                     | 30.6               |                     | 30.6              |                     |
| 6h' <sup>a</sup>  | 1.154              | 2.1×10 <sup>-3</sup> <sup>p</sup> | 1.155              |                     | 10.44              |                     | 10.44             |                     |

<sup>a</sup> Pauli–Fock calculations for 20 $\ell'$  levels or other  $n\ell'$  levels as specified [76], in italics.

<sup>b</sup> Configuration interaction Pauli–Fock with core polarization (CIPFCP) calculations. Values for  $\ell' = 1, 3$  from [34]; the values for  $\ell' = 0, 2$  were obtained in [75], but not listed there (see also [48]).

<sup>c</sup> See footnote *c* in table 4(a) for Ne.

<sup>d–o</sup> References: <sup>d</sup> [40], <sup>e</sup> [39], <sup>f</sup> [31], <sup>g</sup> [32], <sup>h</sup> [59], <sup>i</sup> [26], <sup>j</sup> [34], <sup>k</sup> [55], <sup>l</sup> [15], <sup>m</sup> [48], <sup>n</sup> [53], <sup>o</sup> [58].

<sup>p</sup> Estimate using formula given in footnote *k* of table 4(a) for Ne and  $\alpha_d(\text{Xe}^+) \approx \alpha_d(\text{Cs}^+) = 19.1$  a.u. [285].



**Table 5.** Experimentally determined hyperfine structures of the  $\text{Rg}^* mp^5(m+1)s$  and  $\text{Rg}^+ mp^5$  states.

| State                            |           | $^{21}\text{Ne}$ ( $I = 3/2$ ) | $^{83}\text{Kr}$ ( $I = 9/2$ ) | $^{129}\text{Xe}$ ( $I = 1/2$ ) | $^{131}\text{Xe}$ ( $I = 3/2$ ) |
|----------------------------------|-----------|--------------------------------|--------------------------------|---------------------------------|---------------------------------|
| $ns[3/2]_2$                      | $A$ (MHz) | $-267.68(3)^a$                 | $-243.9693(2)^b$               | $-2384.5031(4)^c$               | $706.4742(2)^c$                 |
| ( $1s_5$ )                       | $B$ (MHz) | $-111.55(10)^a$                | $-452.1697(36)^b$              | —                               | $252.5263(6)^c$                 |
| $ns[3/2]_1$                      | $A$ (MHz) | $-460(4)^d$                    | $-160.4(6)^e$                  | $-959.1(7)^f$                   | $284.3(6)^f$                    |
| ( $1s_4$ )                       | $B$ (MHz) | $+33(8)^d$                     | $-105.8(30)^e$                 | —                               | $89.8(8)^f$                     |
| $ns'[1/2]_1$                     | $A$ (MHz) | $-658^g$                       | $-739.6(5)^e$                  | $-5808(2)^f$                    | $1709.3(7)^f$                   |
| ( $1s_2$ )                       | $B$ (MHz) | $g$                            | $-111.5(30)^e$                 | —                               | $30.3(8)^f$                     |
| $\text{Rg}^+ {}^2\text{P}_{3/2}$ | $A$ (MHz) | $h$                            | $-198.2(9)^i$                  | $-1646.66(16)^j$                | $488.15(6)^j$                   |
|                                  | $B$ (MHz) | $h$                            | $-462(21)^i$                   | —                               | $260.48(25)^j$                  |
| $\text{Rg}^+ {}^2\text{P}_{1/2}$ | $A$ (MHz) | $k$                            | $-1154(15)^l$                  | $-12\,205(27)^m$                | $3615(9)^m$                     |

<sup>a</sup> From Grosf *et al* [368].

<sup>b</sup> From Faust and Chow Chiu [369].

<sup>c</sup> From Faust and McDermott [370].

<sup>d</sup> From Delsart and Keller [371]; Ducas *et al* give  $A = -452(7)$  MHz,  $B = +44$  MHz [372].

Theoretical values:  $A = -444$  MHz,  $B = +44$  MHz [373];  $A = -460$  MHz [374].

<sup>e</sup> From Jackson [375].

<sup>f</sup> From D'Amico *et al* [376].

<sup>g</sup> Experimental value cited in [374]. Theoretical values:  $A = -663$  MHz,  $B = -100$  MHz [373];  $A = -659$  MHz [374].

<sup>h</sup> *Ab initio* values:  $A = -280.5$  MHz,  $B = 106$  MHz [377]. Values may be estimated from the hyperfine structure of the  $3s$  states [341, 342]:  $A({}^2\text{P}_{3/2}) \approx 2[A(1s_2) + A(1s_4) - A(1s_5)]/[1 + A({}^2\text{P}_{1/2})/A({}^2\text{P}_{3/2})] \approx [A(1s_2) + A(1s_4) - A(1s_5)]/3 \approx -283$  MHz;  $B({}^2\text{P}_{3/2}) \approx B(1s_5) \approx -112$  MHz.

<sup>i</sup> From Schäfer and Merkt [236].

<sup>j</sup> From Schäfer *et al* [237].

<sup>k</sup> For a “p hole”,  $A({}^2\text{P}_{1/2})/A({}^2\text{P}_{3/2}) \approx 5F_r(\frac{1}{2}, Z_i)/F_r(\frac{3}{2}, Z_i) \approx 5 \cdot 1.005$  [341, 342] where  $F_r(j, Z_i)$  are relativistic corrections and the effective atomic number  $Z_i \approx Z - 2 = 8$  for a  $2p$  electron [378].

<sup>l</sup> From Paul *et al* [22].

<sup>m</sup> From Wörner *et al* [21].

# Photoionization dynamics of excited Ne, Ar, Kr, and Xe atoms near threshold

V L Sukhorukov *et al.*

## Figures 1-39

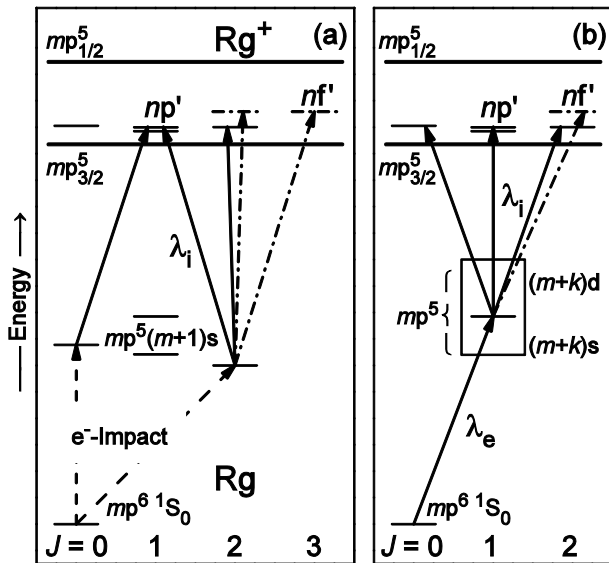


Figure 1.

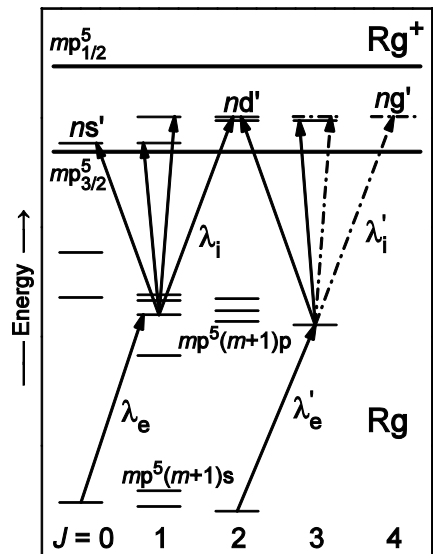


Figure 2.

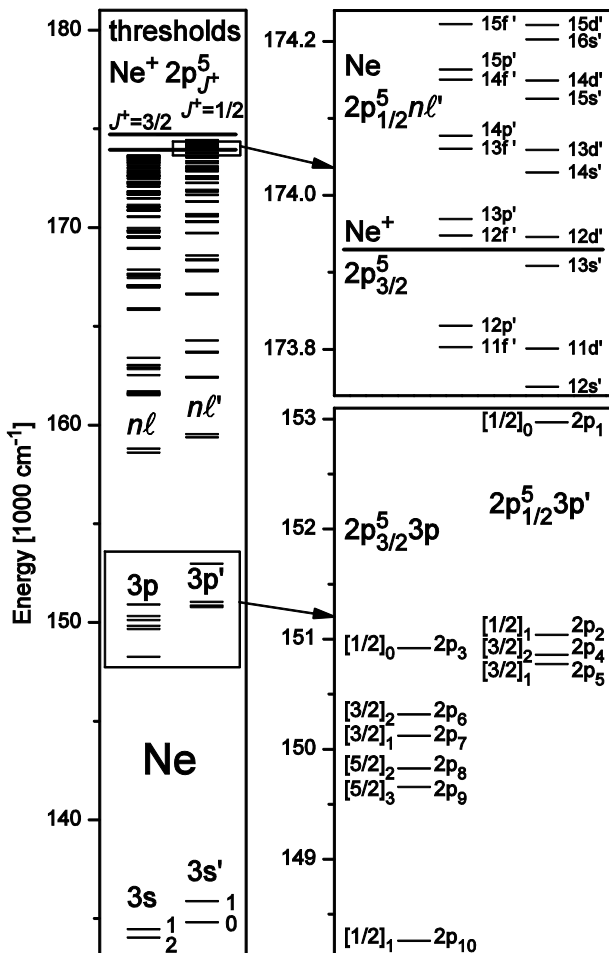


Figure 3.

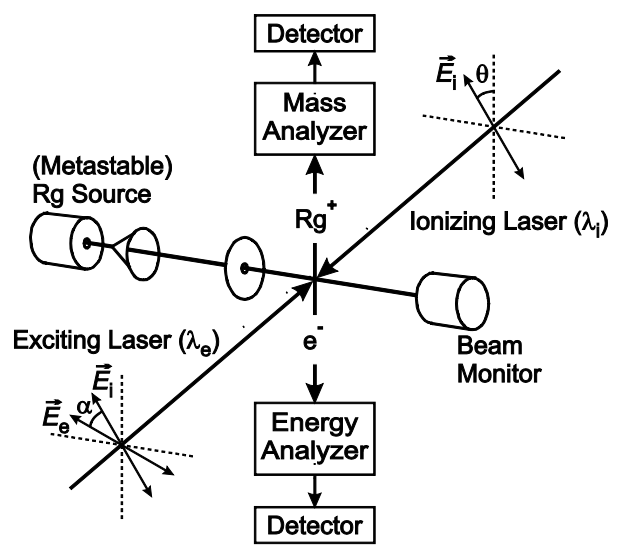


Figure 4.

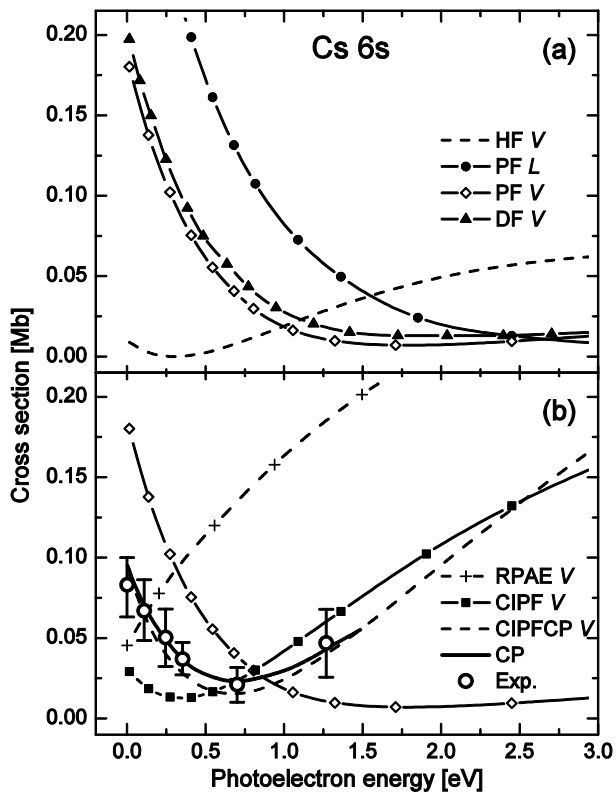


Figure 5.

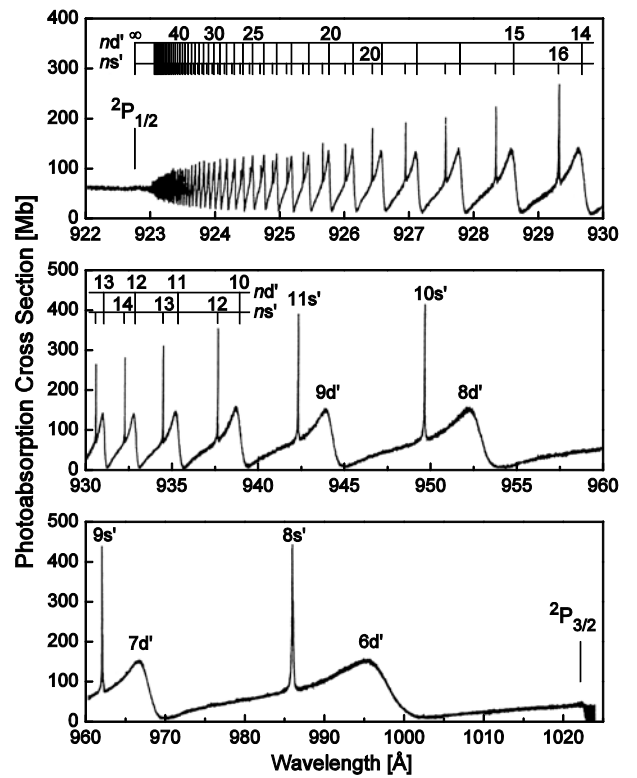


Figure 6.

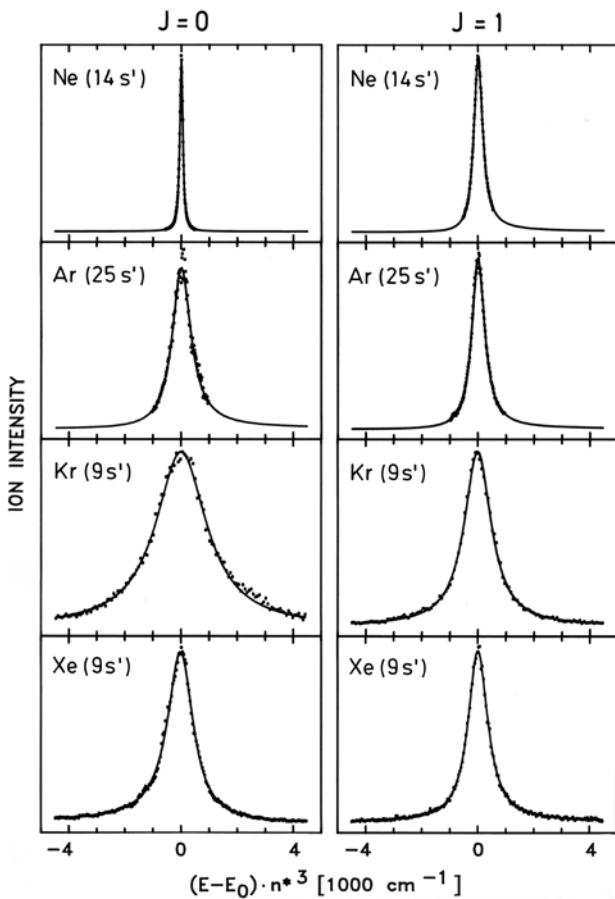


Figure 7.

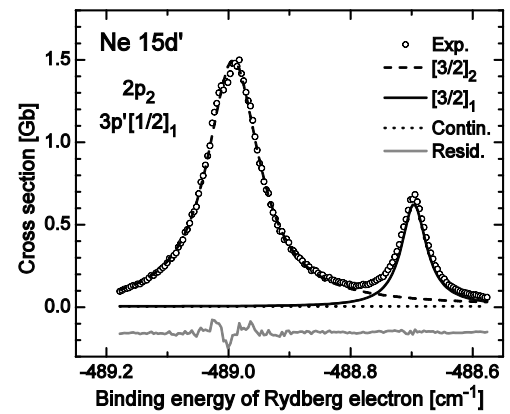


Figure 8.

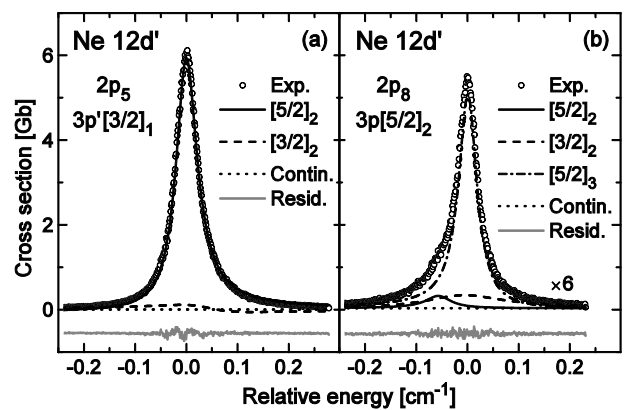


Figure 9.

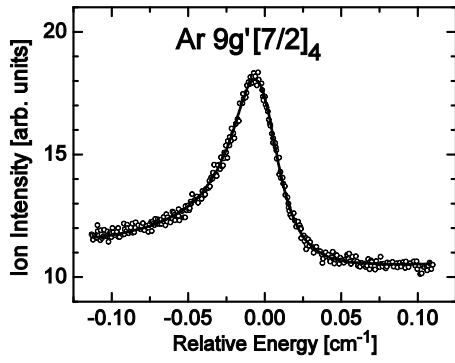


Figure 10.

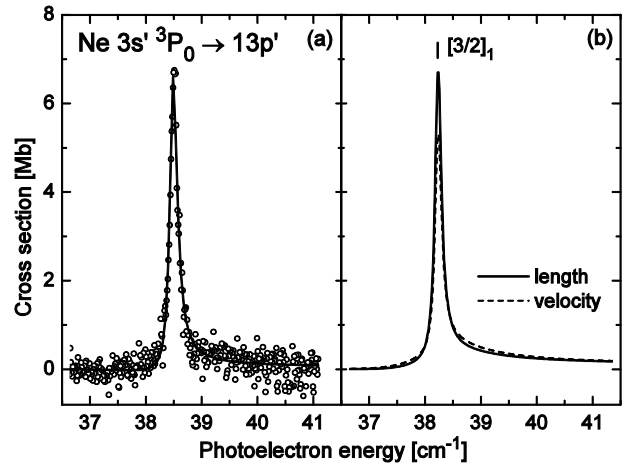


Figure 11.

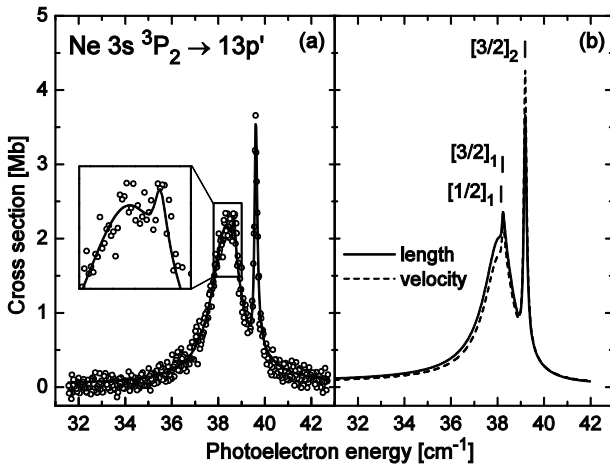


Figure 12.

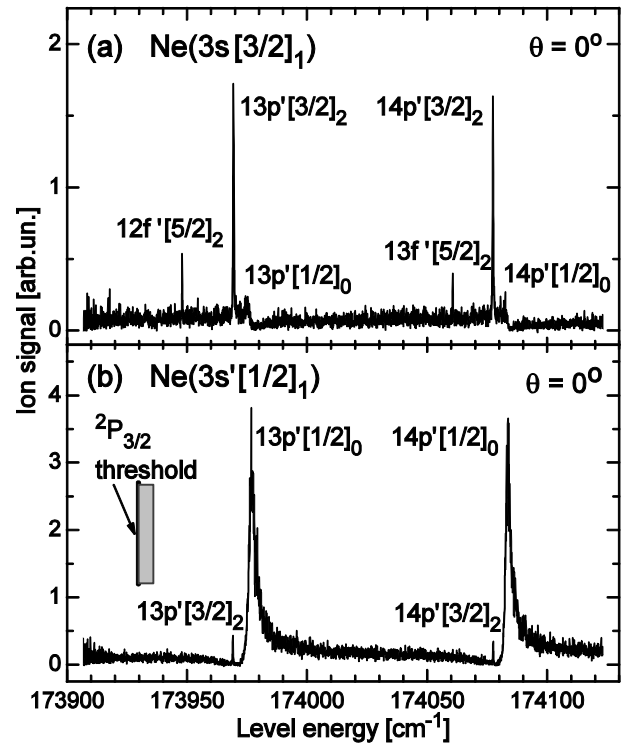


Figure 13.

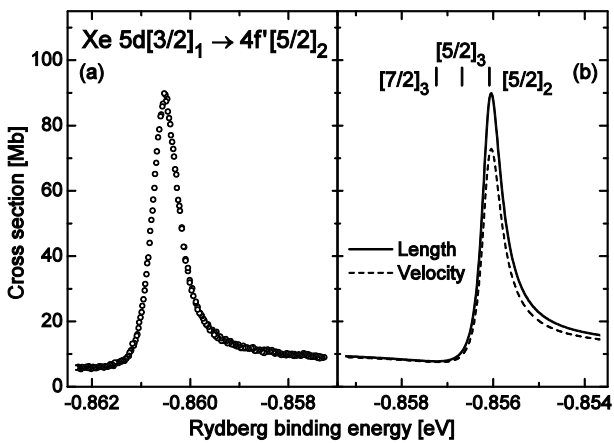


Figure 14.

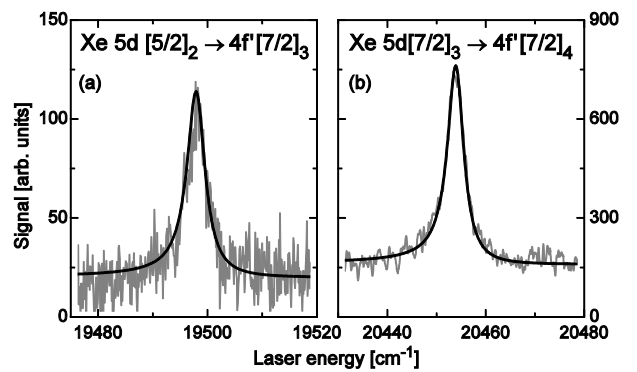
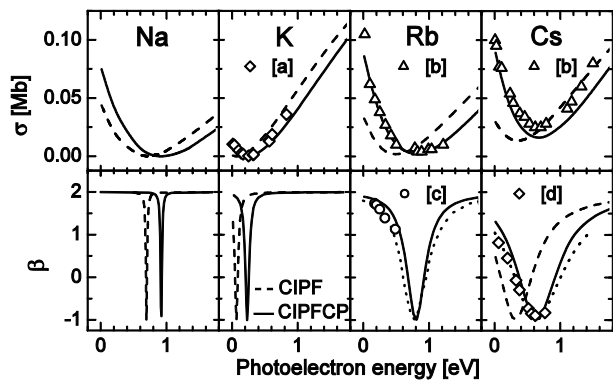
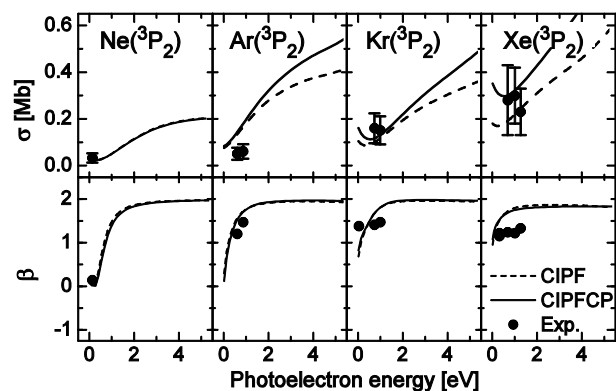


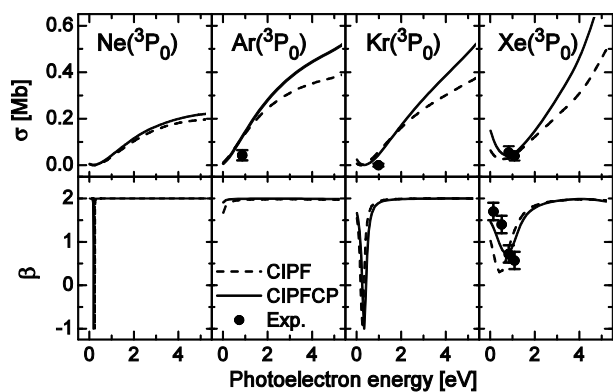
Figure 15.



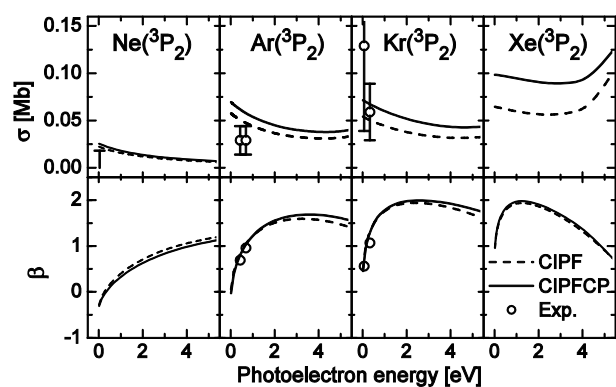
**Figure 16.**



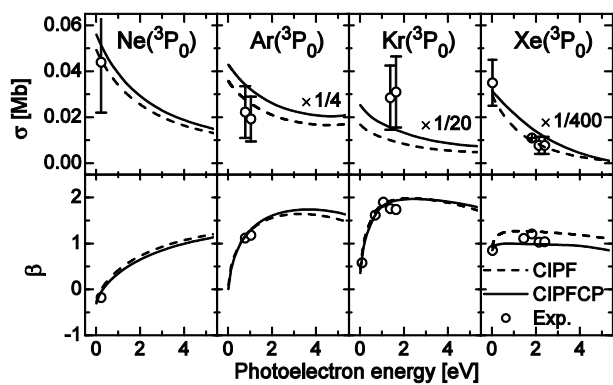
**Figure 17.**



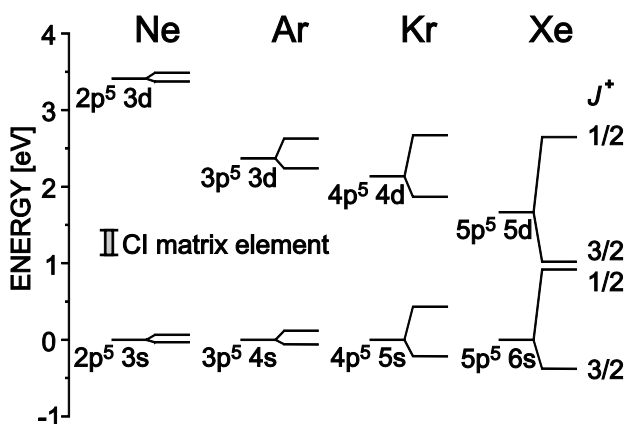
**Figure 18.**



**Figure 19.**



**Figure 20.**



**Figure 21.**

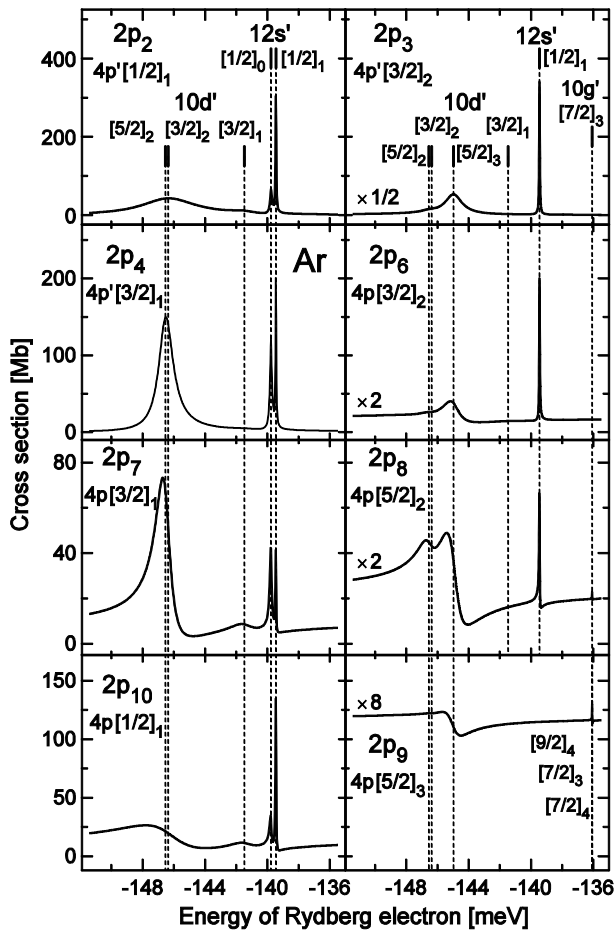


Figure 22.

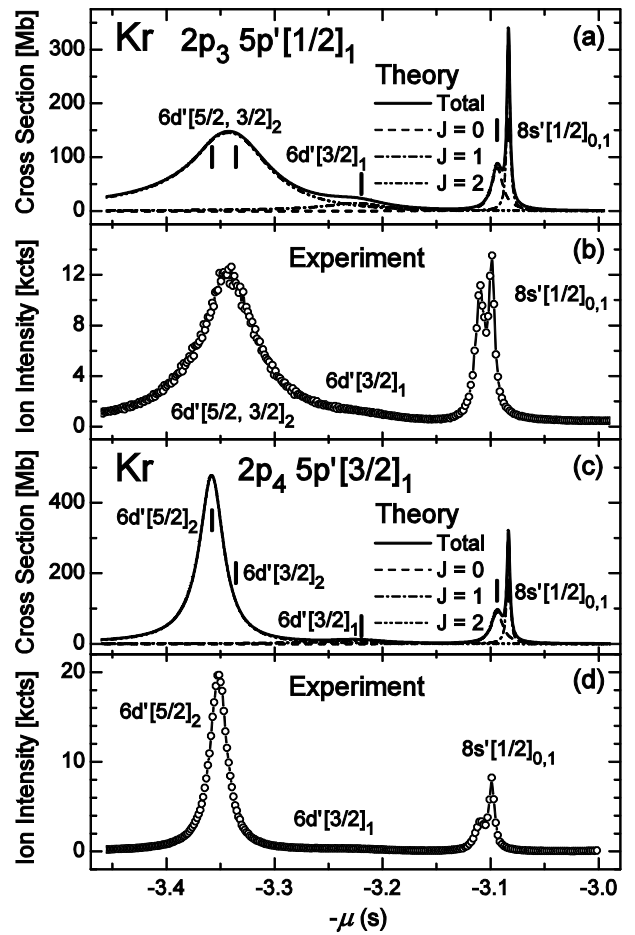


Figure 24.

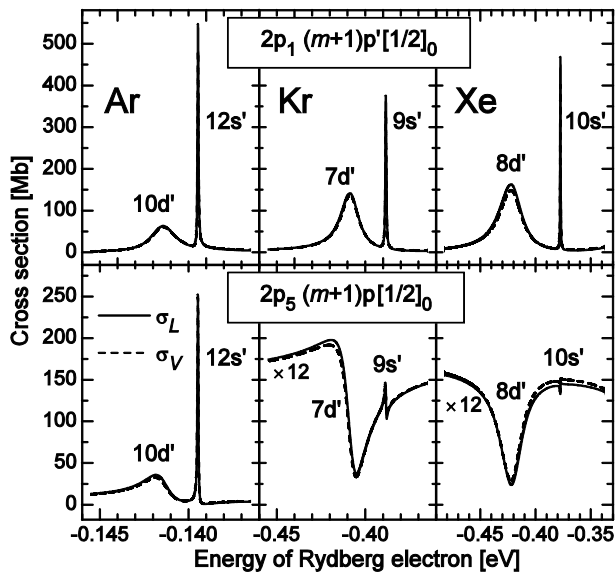


Figure 23.

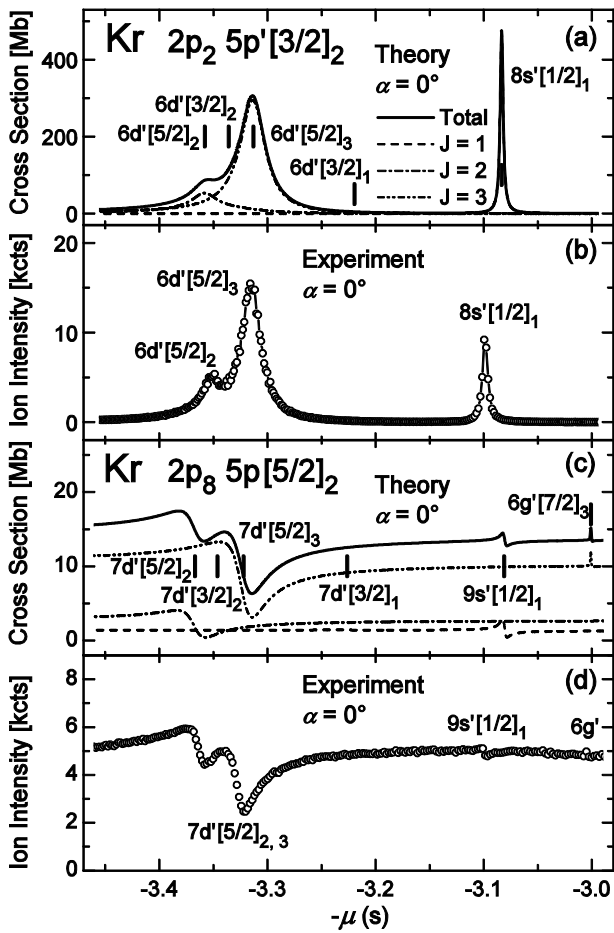


Figure 25.

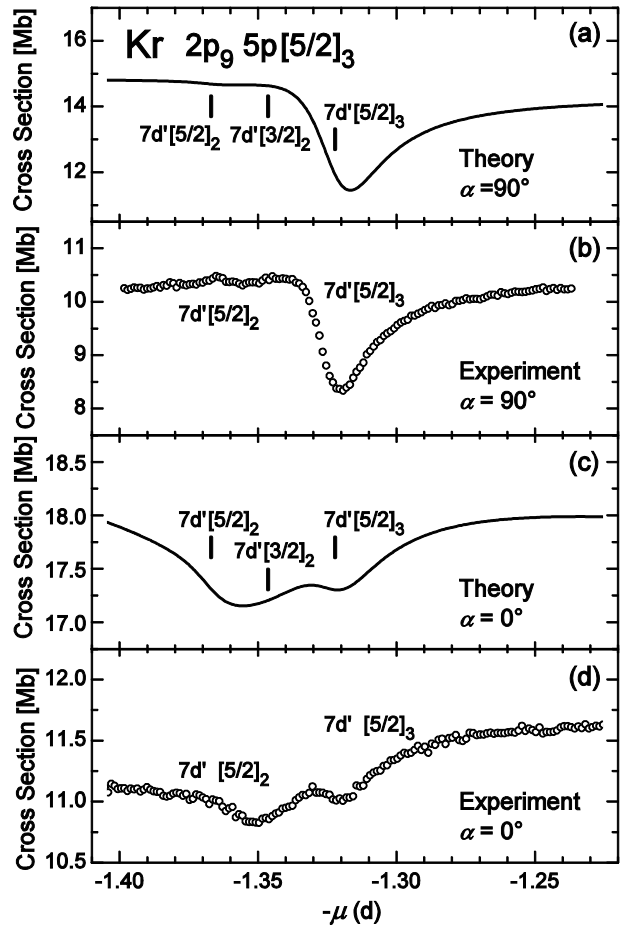


Figure 26.

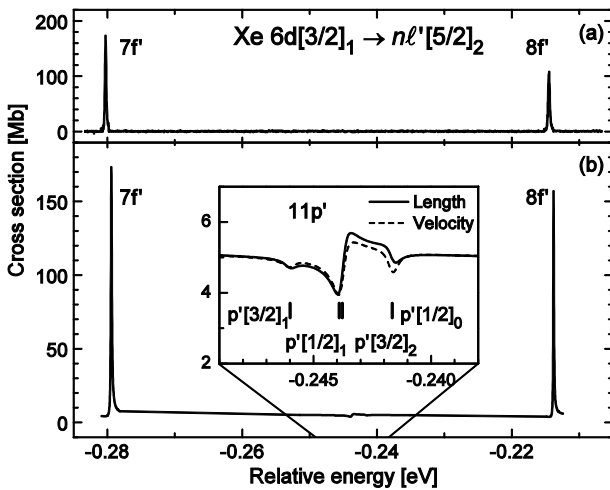
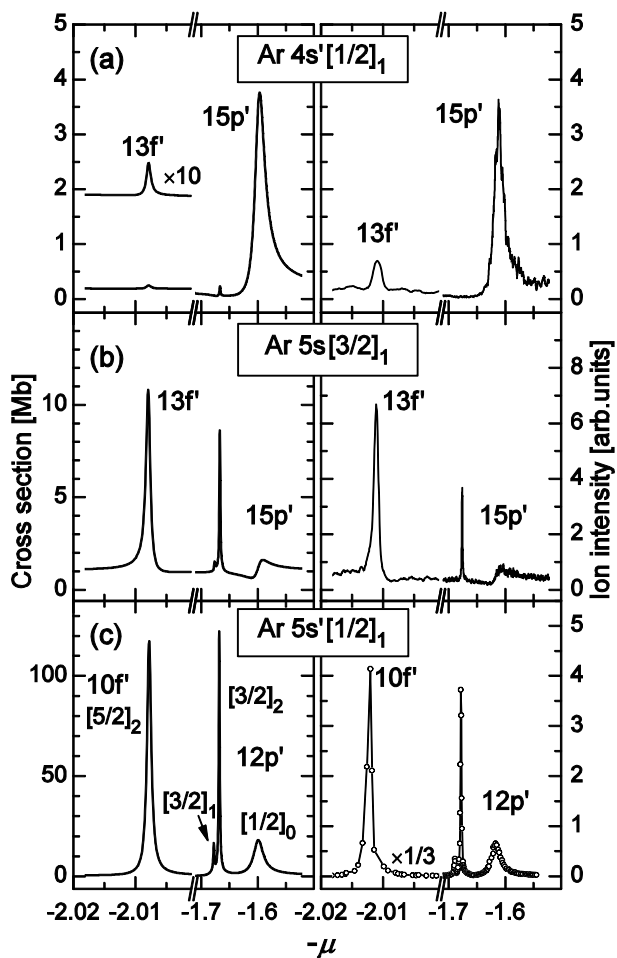
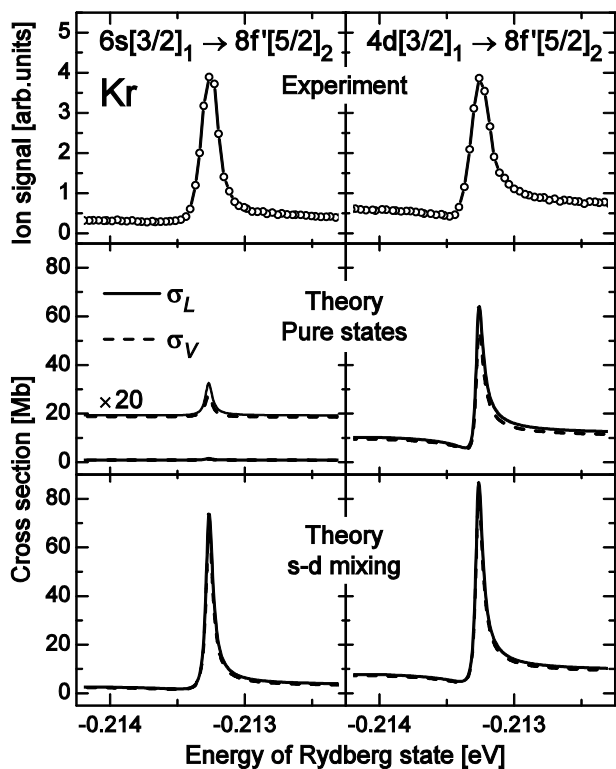


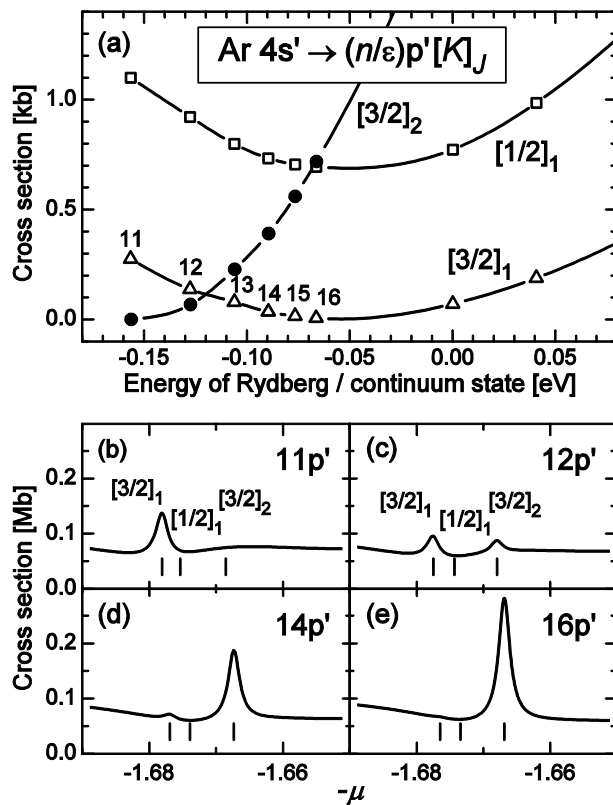
Figure 27.



**Figure 28.**



**Figure 30.**



**Figure 29.**



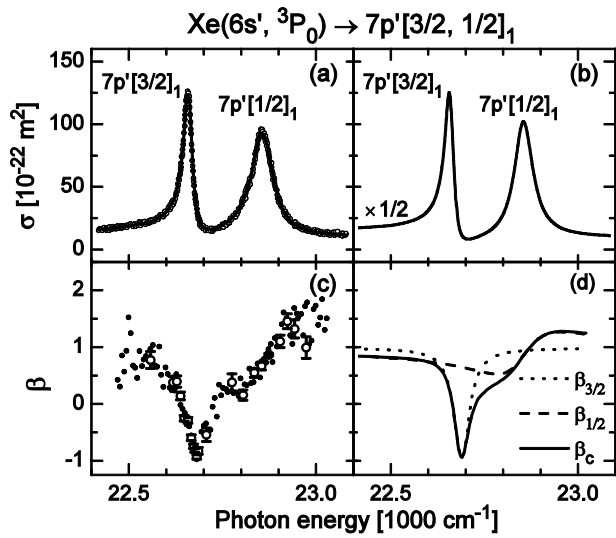


Figure 31.

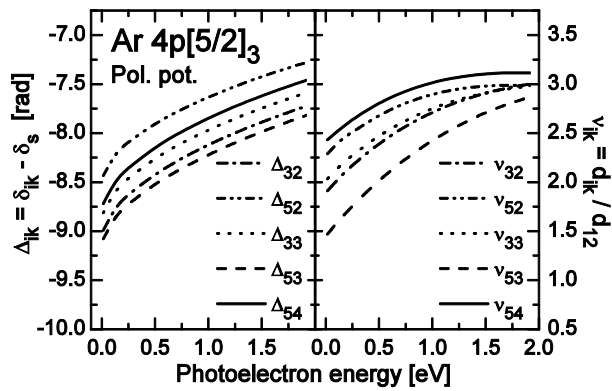


Figure 33.

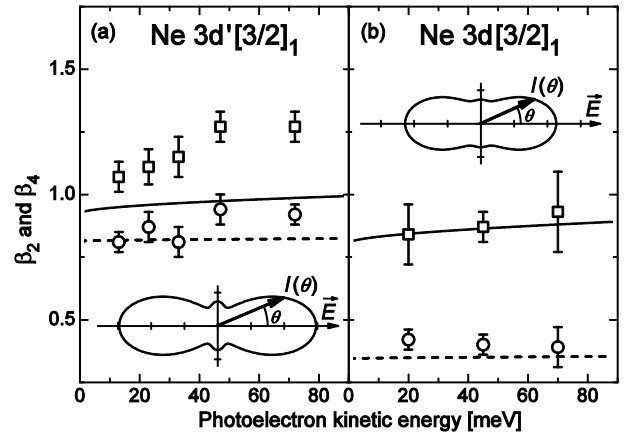


Figure 32.

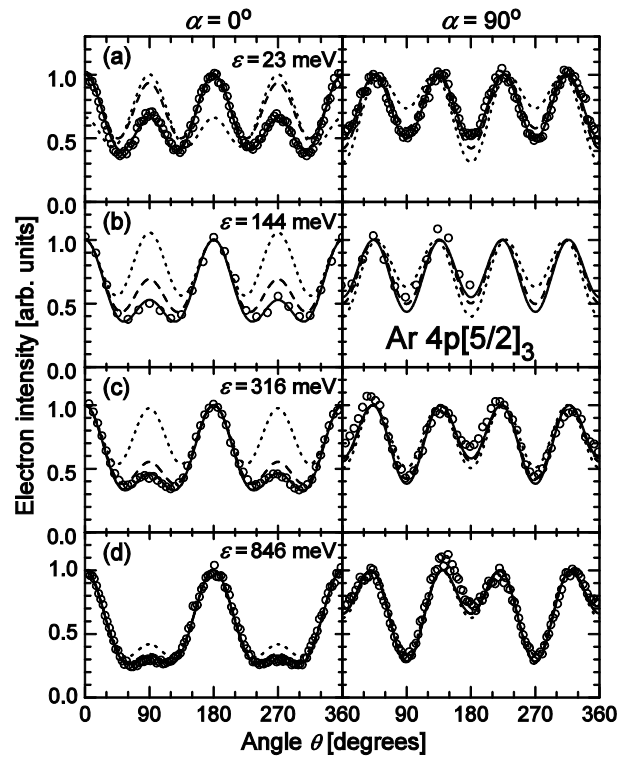
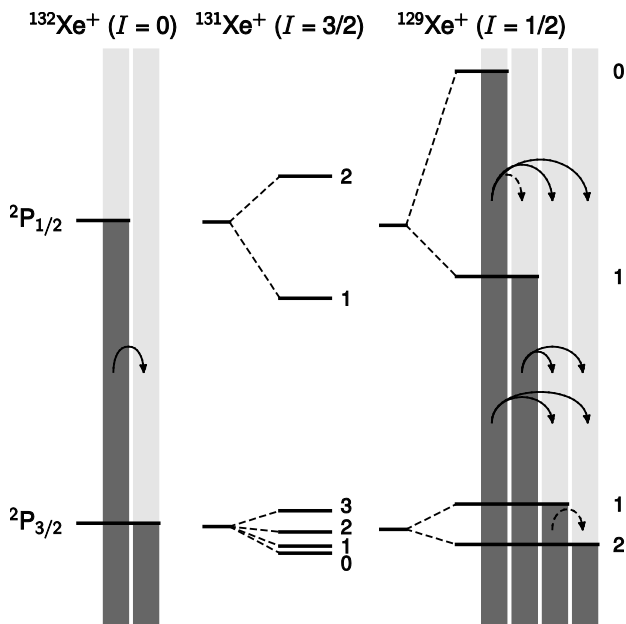
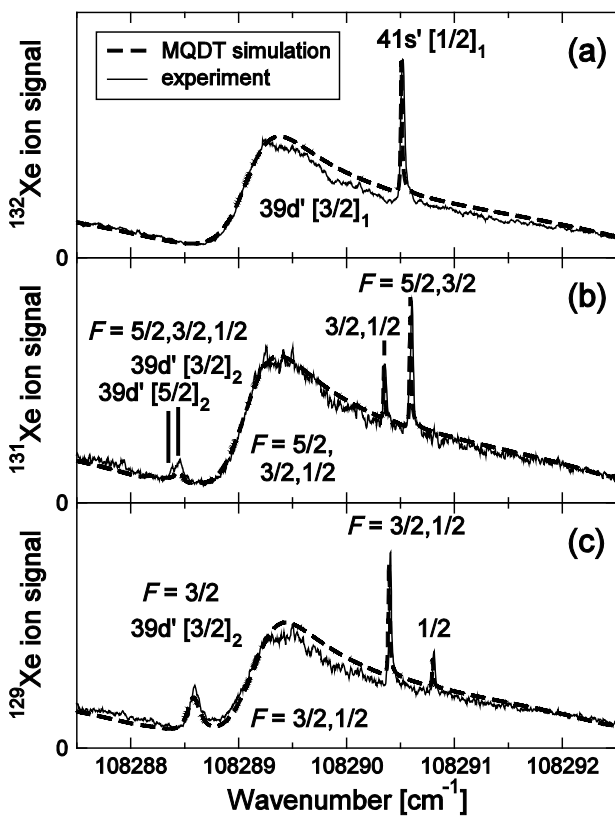


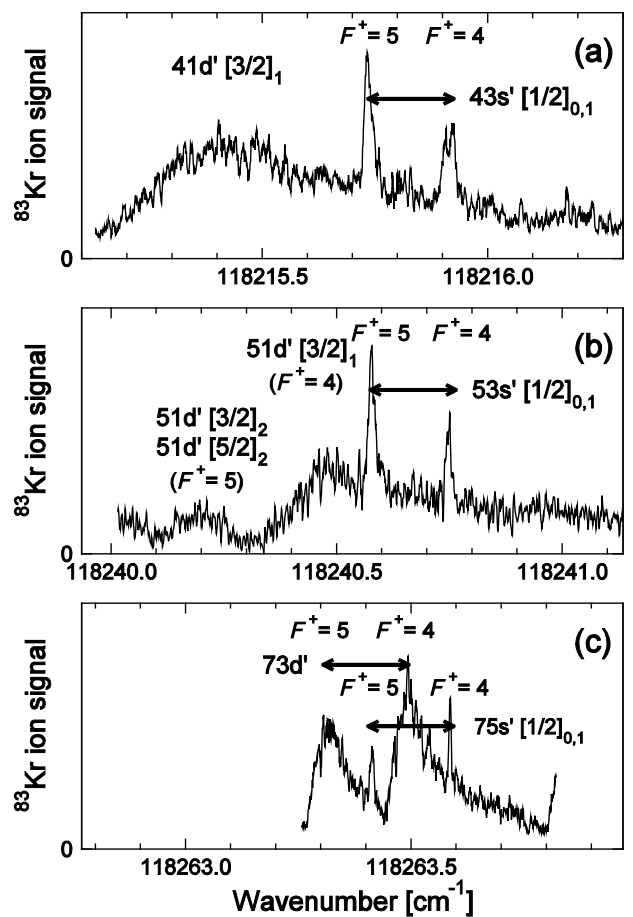
Figure 34.



**Figure 35.**



**Figure 36.**



**Figure 37.**

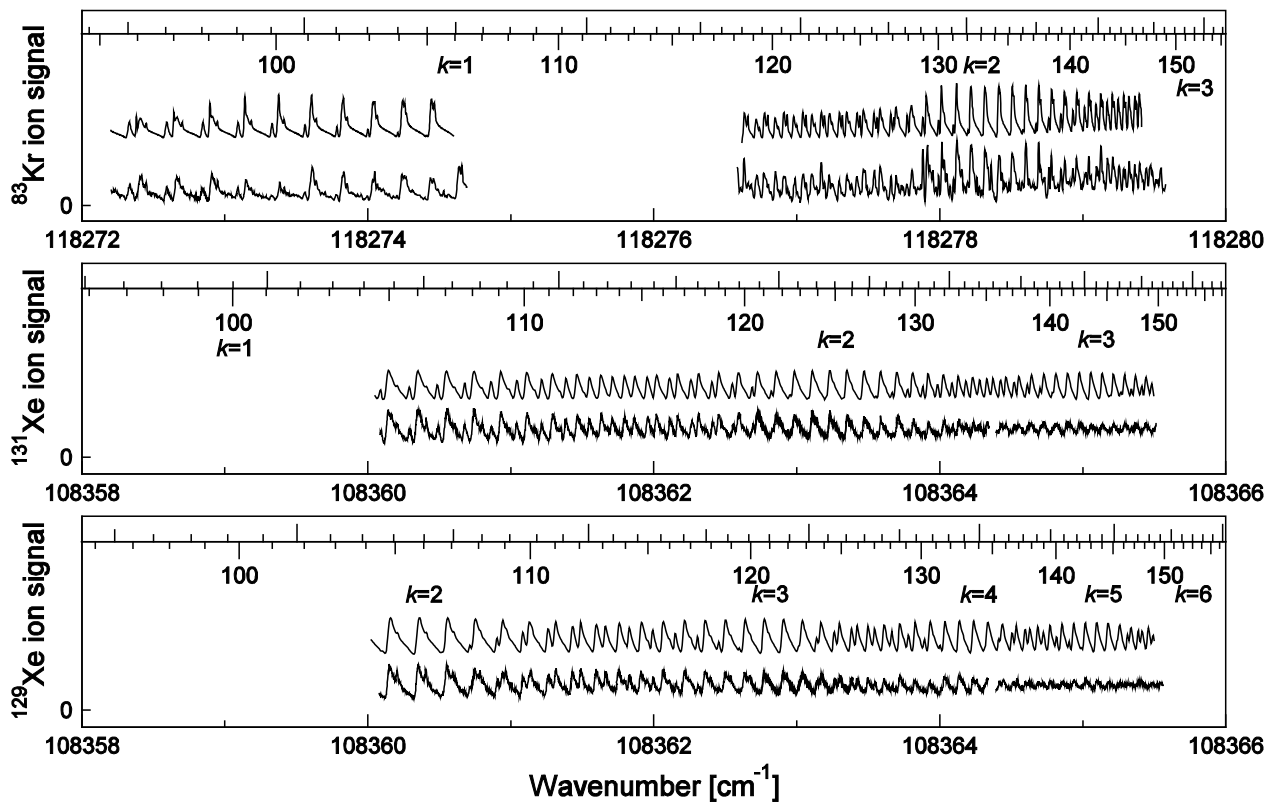


Figure 38.

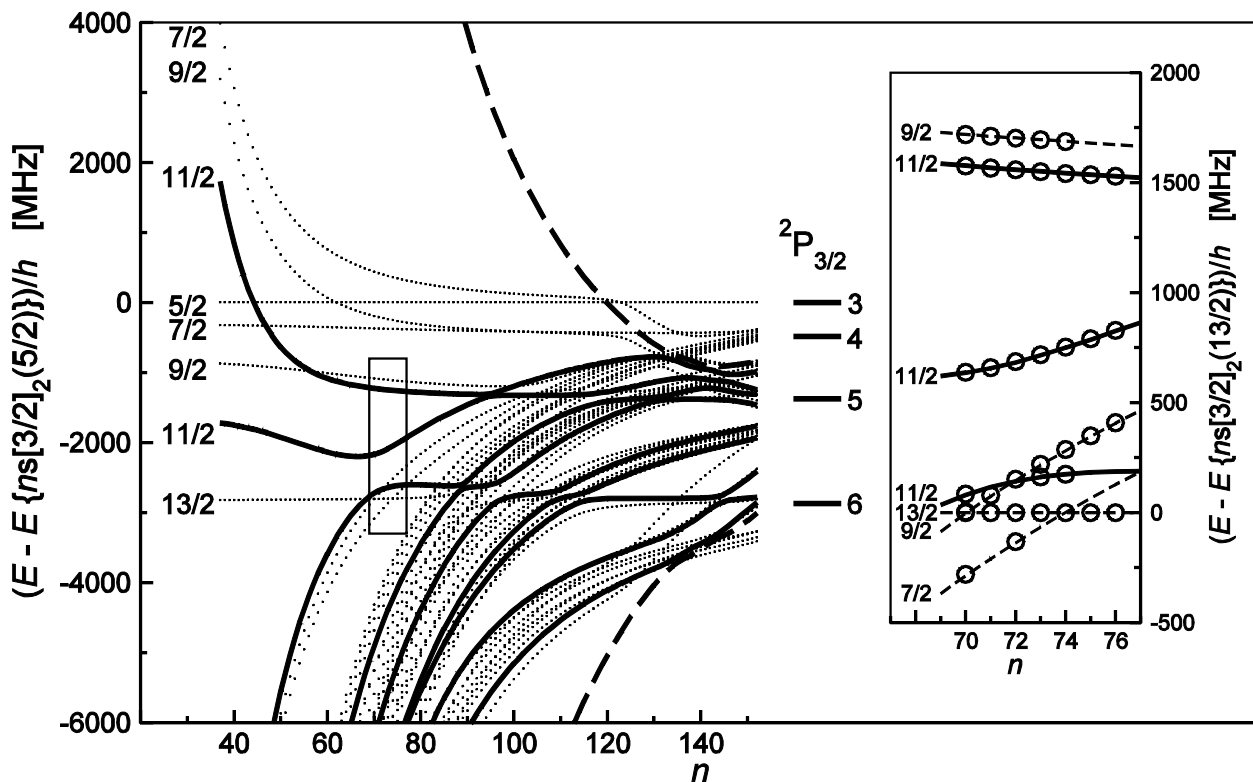


Figure 39.