

Piezoelectricity in two-dimensional group-III monochalcogenides

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ABSTRACT

It is found that several layer-phase group-III monochalcogenides, including GaS, GaSe, and InSe, are piezoelectric in their monolayer form. First-principles calculations reveal that the piezoelectric coefficients of monolayer GaS, GaSe, and InSe (2.06, 2.30, and 1.46 pm·V⁻¹) are of the same order of magnitude as previously discovered two-dimensional (2D) piezoelectric materials such as boron nitride (BN) and MoS₂ monolayers. This study therefore indicates that a strong piezoelectric response can be obtained in a wide range of two-dimensional materials with broken inversion symmetry. The co-existence of piezoelectricity and superior photo-sensitivity in these monochalcogenide monolayer semiconductors means they have the potential to allow for the integration of electromechanical and optical sensors on the same material platform.

1 Introduction

Piezoelectric materials have a wide range of applications in systems that require robust electrical-mechanical coupling, which includes mechanical stress sensors, actuators, and energy harvesting devices [1–7]. However, technological progress continues to push for increasingly miniaturized devices, motivating a search for piezoelectric materials with a nanoscale form factor. For a crystal to be piezoelectric it is essential that it does not possess inversion symmetry [8]. Many layer-phase materials from which atom-

thick two-dimensional (2D) materials [9] are derived have inversion symmetry in the bulk form. When thinned down to a monolayer, however, this inversion symmetry may no longer be present, meaning that the monolayers have the potential to become piezoelectric. Recently, 2D monolayers of boron nitride (BN) and some transition metal dichalcogenides (TMDCs) were theoretically predicted to be intrinsically piezoelectric with a large piezoelectric coefficient [10–12], an effect that has been experimentally demonstrated in the case of molybdenum disulfide (MoS₂) monolayers [6, 7, 13, 14]. The high crystal quality

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and large elastic strain limits [15, 16] of such 2D materials could allow for the fabrication of high-performance nanoscale piezoelectric materials [6, 7], though it is believed that there are other 2D piezoelectric materials that have yet to be discovered. The identification and characterization of new 2D piezoelectric materials would not only expand the family of piezoelectric nanomaterials, but could also potentially introduce new phenomena and functionalities through a cross-coupling between electrical, chemical, optical, and mechanical responses.

2 Results and discussion

In this article, first-principles density functional theory (DFT) calculations are used to demonstrate that monolayers of group-III monochalcogenides (MX, M = Ga or In, X = S or Se) such as GaS, GaSe, and InSe are intrinsically piezoelectric and possess large piezoelectric coefficients. Bulk crystals of GaS, GaSe, and InSe share the same GaSe-type structure, which consists of vertically stacked X–M–M–X layers held together by weak van der Waals-like forces. Within each four-atom-thick monolayer, bonding is mainly covalent with some ionic characteristics. Depending on the stacking sequence of the monolayers, several different structural polytypes can exist and are defined as β , ϵ , γ , and δ phases [17]. In the past, these layered semiconductors attracted considerable attention by virtue of their nonlinear optical properties [18], and interest in MX was recently revived following the discovery that many layered compounds can be exfoliated down to monolayers with relative ease [9]. The study of 2D MX is now an active field of research, with monolayers or few-layers of all three compounds studied in this paper having either been mechanically exfoliated [19–24] or chemically synthesized via vapor phase transport [25, 26] and found to exhibit excellent potential for use in flexible photodetectors [20–23].

Figure 1 presents top and side views of the atomic structure of a GaS monolayer, which is representative of the monolayer structure in all three MX compounds studies here. Note that two vertically bonded layers of metal atoms are sandwiched between two layers of chalcogen atoms, and when viewed from the top, the M and X atoms each occupy one triangular sub-lattice

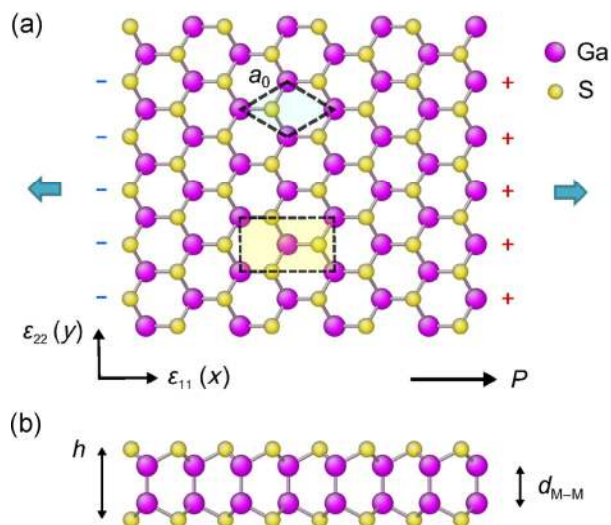


Figure 1 Structural model of a GaS monolayer viewed from (a) the top and (b) side. The larger-sized gallium atoms are purple, while the sulfur atoms are yellow. In (a), a two-dimensional primitive cell with rhombus shape and lattice constant a_0 is highlighted. The rectangular unit cell drawn beneath was used for DFT calculations. The x direction of the orthogonal coordinate system corresponds to the “armchair” direction of the monolayer, while the y direction corresponds to the “zigzag” direction. The direction of piezoelectric polarization after uniaxially stretching the monolayer along the x direction is labeled. In (b), the thickness of the monolayer, as defined by the distance between the top and bottom layer of sulfur atoms, is denoted by h . Also indicated is the distance between two bonded metal atoms, d_{M-M} .

of the overall honeycomb lattice. This structure of the MX monolayer therefore bears a strong similarity to monolayers of 2H-type TMDCs such as MoS₂. In fact, both MX and MoS₂ monolayers belong to the D_{3h} ($6m2$) point group. A notable feature is the absence of an inversion center in the symmetry group, leading to a potential non-zero piezoelectric response in MX monolayers much like that seen in the case of BN and 2H-TMDC monolayers [6, 7, 10]. Consequently, first-principles calculations were first carried out to quantify the piezoelectric properties of the MX monolayers.

All DFT calculations were performed using the Vienna *ab initio* Simulation Package (VASP) with a plane wave basis set [27, 28] in accordance with the projector-augmented wave method [29]. Exchange-correlation functionals were used in the Perdew–Burke–Ernzerhof (PBE) form [30] within the generalized gradient approximation (GGA) [31]. For all calculations, the plane-wave cutoff energy was taken to be 450 eV. To facilitate the calculation of unit cell polarization,

an orthorhombic unit cell containing four M atoms and four X atoms was used, as indicated in Fig. 1(a). The cell height in the direction normal to the monolayer plane (z direction) was 20 Å. Brillouin zone integration employed an $11 \times 18 \times 1$ Γ -centered Monkhorst–Pack [32] k -point grid, corresponding to k -point sampling spacing less than 0.1 \AA^{-1} along the reciprocal lattice vectors in the x - y plane. Convergence criteria for electronic and ionic relaxations were 10^{-6} eV and $10^{-3} \text{ eV} \cdot \text{Å}^{-1}$, respectively.

Table 1 lists the DFT-optimized structural parameters, which includes the lattice constant a_0 , monolayer thickness h , and M–M bond length $d_{\text{M-M}}$. Also included here are the corresponding experimental structural parameters for the bulk phase [17, 33, 34] to allow for direct comparison. The structural parameters obtained from the DFT calculations are in perfect agreement with a previous study [35]; their slightly greater magnitude compared to the bulk experimental values can be attributed to the use of a PBE exchange-correlation functional, which tends to overestimate lattice parameters by one or two percent [36]. As these materials are known semiconductors, the experimental bandgap values for the bulk phase and DFT calculated values for the monolayers are also listed in Table 1. These calculations indicated that all three MX compounds are indirect bandgap semiconductors in their monolayer form. The calculated bandgap values, however, are only provided for reference as the ground-state DFT calculations tend to significantly underestimate the bandgap value [37]. More accurate bandgap values for these monolayers have been obtained through excited-state calculations at the G_0W_0 [37, 38] level and hybrid functional calculations by Zhuang et al. [35].

To facilitate comparison with previously calculated

results for 2D piezoelectric materials, the piezoelectric coefficients for the MX monolayers were calculated by following a procedure very similar to that used by Duerloo et al. [10]. To this end, the C_{11} and C_{12} planar elastic stiffness coefficients of the MX monolayers were first obtained by fitting the DFT-calculated unit-cell energy U to a series of 2D strain states (ε_{11} , ε_{22}) based on

$$C_{11} = \frac{1}{A_0} \frac{\partial^2 U}{\partial \varepsilon_{11}^2}, \quad C_{22} = \frac{1}{A_0} \frac{\partial^2 U}{\partial \varepsilon_{22}^2}, \quad C_{12} = \frac{1}{A_0} \frac{\partial^2 U}{\partial \varepsilon_{11} \partial \varepsilon_{22}} \quad (1)$$

where A_0 is the unit-cell area at zero strain. Since $C_{11} = C_{22}$ in the case of MX monolayers with D_{3h} point group symmetry, the small strain limit gives

$$\Delta u(\varepsilon_{11}, \varepsilon_{22}) = \frac{1}{2} C_{11} (\varepsilon_{11}^2 + \varepsilon_{22}^2) + C_{12} \varepsilon_{11} \varepsilon_{22} \quad (2)$$

where

$$\Delta u(\varepsilon_{11}, \varepsilon_{22}) = [U(\varepsilon_{11}, \varepsilon_{22}) - U(\varepsilon_{11} = 0, \varepsilon_{22} = 0)] / A_0$$

is the change of unit-cell energy per area. Strain energy calculations were carried out on a 7×7 grid with ε_{11} and ε_{22} ranging from -0.006 to 0.006 in steps of 0.002 . The atomic positions in the strained unit cell were allowed to fully relax, from which the experimentally relevant C_{11} and C_{12} relaxed-ion stiffness coefficients were determined. If the atomic positions are not allowed to relax after applying unit-cell strain, then the so-called clamped-ion coefficients (which are of theoretical interest) can also be calculated. Table 2 summarizes the clamped- and relaxed-ion stiffness coefficients for the three MX monolayers. In the relaxed-ion case, the Poisson ratios ν_1 are also given, with these being obtained directly from the relaxed ion coordinates by evaluating the change in layer thickness that occurs

Table 1 Experimental and DFT-PBE calculated structural parameters and bandgap for bulk and monolayer MX. Values for the monolayer lattice constant a_0 , monolayer thickness h , bond length between metal atoms $d_{\text{M-M}}$ and bandgap E_{gap} are listed

Material	Bulk experiment [17, 33, 34, 39]				Monolayer DFT-PBE calculation			
	a_0 (Å)	h (Å)	$d_{\text{M-M}}$ (Å)	E_{gap} (eV)	a_0 (Å)	h (Å)	$d_{\text{M-M}}$ (Å)	E_{gap} (eV)
GaS	3.59	4.60	2.45	2.59 ^a	3.64	4.66	2.48	2.37
GaSe	3.76	4.78	2.46	2.10 ^b	3.82	4.83	2.47	1.81
InSe	4.00	5.28	2.78	1.17 ^c	4.09	5.39	2.82	1.40

^{a,b,c} Bandgap values measured at $T = 77, 4.2,$ and 300 K, respectively. Data is from Ref. [39].

Table 2 DFT-PBE calculated in-plane elastic stiffness C_{11} and C_{12} for monolayer MX. The Poisson ratio ν_{\perp} is also calculated for the relaxed-ion case

Material	Clamped-ion		Relaxed-ion		ν_{\perp}
	C_{11} ($\text{N}\cdot\text{m}^{-1}$)	C_{12} ($\text{N}\cdot\text{m}^{-1}$)	C_{11} ($\text{N}\cdot\text{m}^{-1}$)	C_{12} ($\text{N}\cdot\text{m}^{-1}$)	
GaS	108	32	83	18	0.39
GaSe	91	26	70	16	0.34
InSe	75	35	51	12	0.40

in response to in-plane hydrostatic strain $\Delta h/h = -\nu_{\perp}(\epsilon_{11} + \epsilon_{22})$. The values of the relaxed-ion C_{11} coefficients show good agreement with an earlier calculation [35].

The linear piezoelectric coefficients of the MX monolayers were next calculated by evaluating the change in unit-cell polarization after imposing a uniaxial strain on the system, with this being based on the modern theory of polarization [40, 41] that is implemented in VASP. The polarization vector of the unit cell was obtained using the LCALCPOL tag in VASP. The linear piezoelectric coefficients e_{ijk} and d_{ijk} are third-rank tensors, as they relate the polarization vector P_i to the second-rank tensors of strain ϵ_{jk} and stress σ_{jk} , respectively

$$e_{ijk} = \frac{\partial P_i}{\partial \epsilon_{jk}} \quad (3)$$

$$d_{ijk} = \frac{\partial P_i}{\partial \sigma_{jk}} \quad (4)$$

Since the focus here is on quasi-2D systems, the i, j , and k indices can be considered as 1 or 2 and corresponding to the x or y directions defined in Fig. 1. The number of non-zero and unique piezoelectric coefficients is restricted by the symmetry elements of the crystal [8]. The symmetry of the piezoelectric properties of 2D monolayers with D_{3h} point group symmetry was analyzed by Duerloo et al. [10], who concluded that the only unique piezoelectric coefficients are e_{111} and d_{111} . Written in Voigt notation as e_{11} and d_{11} , these are further related through the elastic stiffness coefficients as

$$e_{11} = d_{11}(C_{11} - C_{22}) \quad (5)$$

The e_{11} piezoelectric coefficients of the MX mono-

layers were calculated by applying a uniaxial strain ϵ_{11} to the orthorhombic unit cell along the x direction, and then evaluating the differential change in unit-cell polarization per area along the x direction. The values of e_{11} reported here resulted from a linear fitting of the 2D polarization change ΔP_1 with respect to ϵ_{11} when ϵ_{11} was varied from -0.01 to 0.01 at intervals of 0.005 , as illustrated in Fig. 2. Much like the evaluation of the elastic stiffness coefficients, the clamped-ion and relaxed-ion coefficients were obtained by changing whether the ionic positions were allowed to relax or not after applying strain. The relaxed-ion (or clamped-ion) d_{11} coefficients were then calculated from their corresponding e_{11} coefficients and elastic stiffness coefficients C_{11} and C_{12} using Eq. (5).

Table 3 lists the clamped-ion and relaxed-ion piezoelectric coefficients e_{11} and d_{11} that were calculated for the MX monolayers. The corresponding values for monolayer BN and MoS₂ calculated by Duerloo et al. [10] are also provided for comparison along with experimentally measured values of e_{11} for monolayer MoS₂ [7]. Benchmarking of the calculation for the MoS₂ monolayer produced excellent agreement with previous calculation results [10], with a 5% difference attributed to the use of different simulation packages and pseudopotentials. As can be seen from Table 3, the calculated relaxed-ion piezoelectric coefficients of the GaS and GaSe monolayers ($e_{11} = 1.34 \times 10^{-10} \text{ C}\cdot\text{m}^{-1}$,

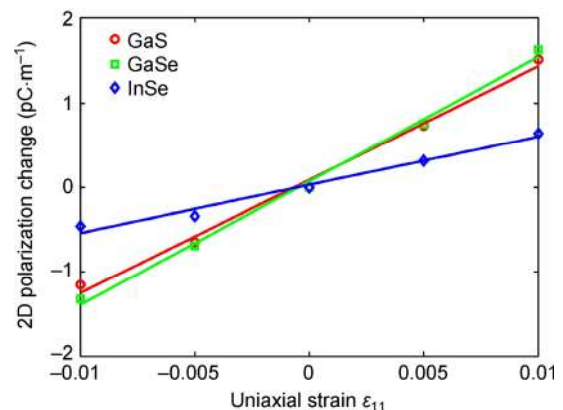


Figure 2 Change in unit-cell polarization of MX monolayers per unit of area along the x -direction after applying a uniaxial strain ϵ_{11} along the same direction. Ionic positions within the unit cells were relaxed after imposing this strain and the piezoelectric coefficients e_{11} corresponding to the slope of the lines were obtained through linear fitting.

Table 3 Calculated clamped-ion and relaxed-ion piezoelectric coefficients, e_{11} and d_{11} , of GaS, GaSe, and InSe monolayers. The piezoelectric coefficient of h-BN and MoS₂ monolayers calculated by Duerloo et al. [10], as well as the experimental value of e_{11} for MoS₂ monolayer [7], are listed for comparison

Material	Clamped-ion		Relaxed-ion	
	e_{11} (10^{-10} C·m ⁻¹)	d_{11} (pm·V ⁻¹)	e_{11} (10^{-10} C·m ⁻¹)	d_{11} (pm·V ⁻¹)
GaS	5.39	8.29	1.34	2.06
GaSe	5.22	9.67	1.47	2.30
InSe	5.17	13.26	0.57	1.46
h-BN cal. [10]	3.71	1.50	1.38	0.60
MoS ₂ cal. [10]	3.06	2.91	3.64	3.73
MoS ₂ exp. [7]			2.9	

$d_{11} = 2.06$ pm·V⁻¹ and $e_{11} = 1.47 \times 10^{-10}$ C·m⁻¹, $d_{11} = 2.30$ pm·V⁻¹, respectively), are of the same order of magnitude as the MoS₂ monolayer ($e_{11} = 3.64 \times 10^{-10}$ C·m⁻¹, $d_{11} = 3.73$ pm·V⁻¹), and generally larger than the values for the BN monolayer ($e_{11} = 1.38 \times 10^{-10}$ C·m⁻¹, $d_{11} = 0.60$ pm·V⁻¹). The piezoelectric coefficients of the InSe monolayer ($e_{11} = 0.57 \times 10^{-10}$ C·m⁻¹, $d_{11} = 1.46$ pm·V⁻¹) are smaller than the GaS and GaSe monolayers, but still comparable to the BN monolayer. In contrast to the MoS₂ monolayer though, relaxing ion positions after applying strain significantly reduces the polarization of the MX monolayer, a trend that is more consistent with the BN monolayer. As a result, the clamped-ion piezoelectric coefficients of the MX monolayers are much larger than the relaxed-ion coefficients.

It is worth noting here that previous experimental studies have demonstrated that the piezoelectric coefficients of MoS₂ monolayer experience a non-monotonic decrease with an increasing number of layers, which is the result of breakage and the recovery of inversion symmetry with odd and even numbers of layers [6, 7]. This effect is considered likely to also exist in the MX monolayers due to the similarity in the layer-stacking sequence between bulk MX and MoS₂.

From an application perspective, experimental studies have demonstrated that monolayer or few-layer MX has superior photo-responsivity and a faster response time than MoS₂ monolayers [20–23]. Although the piezoelectric coefficients of MX monolayers are slightly

smaller than those of MoS₂ monolayers, the piezoelectric properties of MX monolayers can nonetheless find application in situations where excellent photo-sensitivity and electro-mechanical coupling are both valued. For example, MX monolayers can be used to make flexible photodetectors in which both mechanical and optical signals can be electrically sensed using the same active material.

3 Conclusions

This study has computationally demonstrated that several single-layer group-III monochalcogenides (namely GaS, GaSe, and InSe) are not only piezoelectric, but have linear piezoelectric coefficients that are of the same order of magnitude as other 2D piezoelectric materials. These good piezoelectric properties, combined with the excellent photo-sensitivity of these 2D semiconductors, opens the possibility of integrating stress sensors, photo detectors and possibly even nano-scale transducers into the same 2D material platform, with potential applications in flexible electronics, optoelectronics and electromechanical systems.

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