

## REVIEW

# Plant respiration and photosynthesis in global-scale models: incorporating acclimation to temperature and CO<sub>2</sub>

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## Abstract

To realistically simulate climate feedbacks from the land surface to the atmosphere, models must replicate the responses of plants to environmental changes. Several processes, operating at various scales, cause the responses of photosynthesis and plant respiration to temperature and CO<sub>2</sub> to change over time of exposure to new or changing environmental conditions. Here, we review the latest empirical evidence that short-term responses of plant carbon exchange rates to temperature and CO<sub>2</sub> are modified by plant photosynthetic and respiratory acclimation as well as biogeochemical feedbacks. We assess the frequency with which these responses have been incorporated into vegetation models, and highlight recently designed algorithms that can facilitate their incorporation. Few models currently include representations of the long-term plant responses that have been recorded by empirical studies, likely because these responses are still poorly understood at scales relevant for models. Studies show that, at a regional scale, simulated carbon flux between the atmosphere and vegetation can dramatically differ between versions of models that do and do not include acclimation. However, the realism of these results is difficult to evaluate, as algorithm development is still in an early stage, and a limited number of data are available. We provide a series of recommendations that suggest how a combination of empirical and modeling studies can produce mechanistic algorithms that will realistically simulate longer term responses within global-scale models.

*Keywords:* acclimation, carbon cycling, climate change, CO<sub>2</sub>, ESM, nutrient limitation, photosynthesis, Q<sub>10</sub>, respiration, temperature

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## Introduction

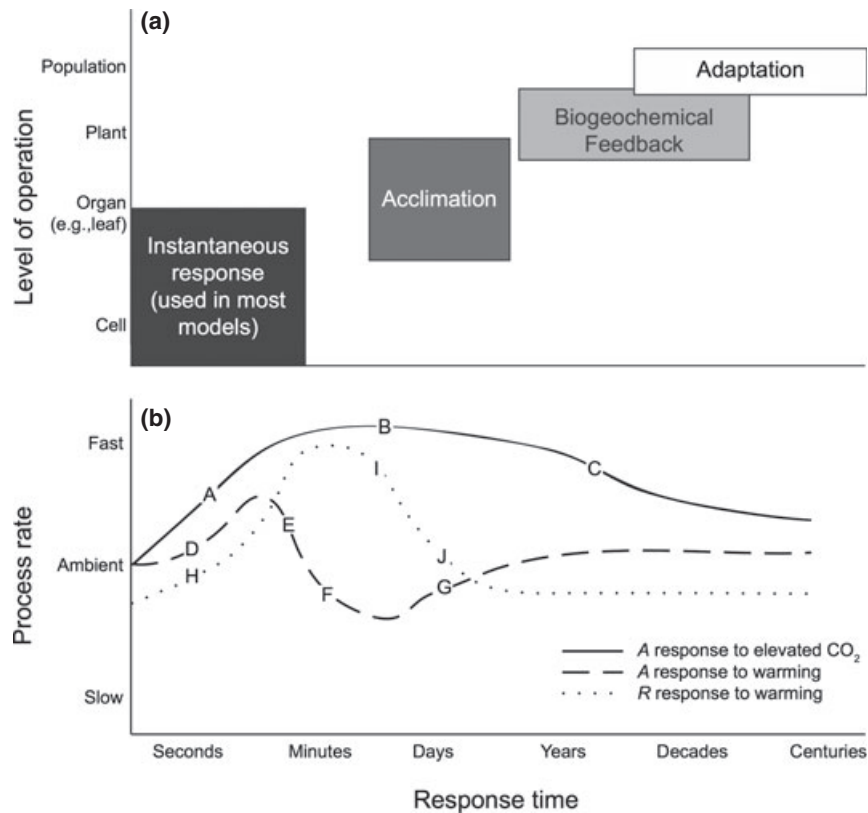
The Intergovernmental Panel on Climate Change (IPCC) uses the output of Earth system models (ESMs; Collins *et al.*, 2011) to make projections of future rates of climate change. These models must accurately represent exchanges of carbon between the atmosphere and the land surface if they are to provide reliable estimates of terrestrial feedbacks to atmospheric CO<sub>2</sub> and warming. Thus, realistic simulation of photosynthesis and respiration in plants, two of the largest fluxes between land and atmosphere (IPCC, 2007), is critical.

Despite recent mechanistic improvements to the land model components of some ESMs (e.g., Sokolov *et al.*, 2008; Thornton *et al.*, 2009; Zaehle & Friend, 2010), many potentially important responses have not been incorporated, often because they remain poorly understood (Arneeth *et al.*, 2010), or poorly quantified at scales relevant for models. Currently, ESM algorithms for plant carbon uptake and release are derived from equations

designed to simulate processes well at small scales (e.g., leaves), and to accurately represent short-term (e.g., minutes) responses to changes in environmental conditions. The mechanisms driving carbon exchange responses that occur over larger scales and longer time periods (see Fig. 1a) are rarely represented. These longer term responses become increasingly relevant in simulations that stretch across decades or centuries.

Here, we review the current understanding and recent implementation into vegetation models of three longer term responses involved in regulating photosynthesis and respiration: plant-level acclimation of (1) photosynthesis and (2) respiration to temperature and CO<sub>2</sub>, and (3) nutrient limitation of plant responses to CO<sub>2</sub>. We first review empirical evidence for these responses, and then examine the standard structure of carbon cycle models within land models, including those used as components of ESMs. Next, we discuss various methodologies for introducing acclimation processes into models and the implications of including them for regional and global carbon cycling and climate feedbacks. Finally, we propose a series of

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**Fig. 1** Scales and response times of factors modifying instantaneous plant responses to growth environment. (a) Conceptual graph of processes that determine how plant carbon exchange rates respond to environmental conditions. These processes operate over different scales and response times, and those with longer response times are often omitted from models. (b) Conceptual graph of the three responses mentioned in the manuscript over time: the response of photosynthetic assimilation (A) to elevated CO<sub>2</sub> (solid line) warming (dashed line), and autotrophic respiration (R) to warming (dotted line). Letters along each line indicate the influence of one of the following processes acting to change the shape of the curve: (A) release from diffusion limitation of CO<sub>2</sub>, (B) stomatal reduction and closure, (C) biogeochemical (e.g., N, P) limitation, (D) photosynthetic enzyme stimulation, (E) increase in Rubisco deactivation, (F) photosynthetic enzyme degradation, (G) photosynthetic enzyme stabilization, (H) respiratory enzyme stimulation, (I) decrease in substrate availability, (J) respiratory enzyme stabilization. In each panel, the response time indicates the time after a given environmental stimulus (i.e., change in [CO<sub>2</sub>] or temperature).

recommendations designed to help facilitate the incorporation of these responses in a mechanistic manner. Terrestrial plants respond to many facets of global change (nitrogen availability, precipitation, etc.), but for the purpose of this review we only address plant responses to projected increases in temperature and atmospheric CO<sub>2</sub>. Also, we consider only physiological responses at the tissue level. Long-term changes in biomass allocation are certainly important (Franklin *et al.*, 2012), but are beyond the scope of this review. The goal of this review is not to evaluate model performance or argue for increased model complexity, but rather to highlight mechanisms that have been documented in the field, examine the degree to which they are understood and the degree to which they have been incorporated into leaf-level carbon exchange models, and to consider the barriers to and potential outcomes of their inclusion into global-scale models.

### Acclimation of photosynthesis and plant respiration to temperature and CO<sub>2</sub>

Plant acclimation, in the context of plant physiology, is a term used to describe a collection of biochemical and physiological responses of an individual plant to an environmental change, in which the plant's response to a sustained change is manifested as alterations in the short-term response functions of physiological processes (see Table 1 for further explanation as well as clarification of these and other terms used in the literature). The extent to which acclimation occurs depends on the stimulus and time since exposure, since these factors influence both substrate availability and the plant's capacity to make physiological, structural, and biochemical adjustments (see Fig. 1b). There now exists a compelling body of evidence in support of the existence of strong acclimatory responses that affect several

**Table 1** Definitions of terms used in the discussion of plant responses and acclimation to temperature and CO<sub>2</sub>

Term	Definition	Example
Instantaneous response	The initial plant response to an environmental stimulus that manifests before any type of physiological, structural or biochemical adjustment or limitation	Asymptotic increase in photosynthetic assimilation under instantaneously elevated CO <sub>2</sub> as a result of decreased diffusion limitation
Acclimation	A physiological, structural, or biochemical adjustment by an individual plant in response to an experimentally induced environmental stimulus that is manifested as alterations in the short-term response function of a physiological process	An increase in the optimum temperature for photosynthesis as a result of an extended increase in temperature during plant growth
Acclimatization	Similar to acclimation, but responses are a result of natural (e.g., seasonal, latitudinal, altitudinal) changes in an environmental condition. In this context, changes in the environmental condition of interest may co-vary with changes in other environmental conditions	A decrease in the optimum temperature of photosynthesis in plants growing at increasingly high altitudes, where temperature and moisture differences may co-vary
Adaptation	The response of a species over multiple generations to a physical or environmental stimulus that acts to increase growth, survival, and/or reproduction	An alteration in structural cooling mechanisms as a result of an evolutionary response to increased temperature
Homeostasis	The maintenance of a process rate, after an environmental stimulus, at or near the original rate seen before the stimulus. Homeostasis is not necessary for acclimation, and, in terms of plant carbon exchange responses to temperature and CO <sub>2</sub> , is likely more the exception than the rule	After extended exposure to an increase in temperature, photosynthesis and respiration rates return to the rates seen prior to the temperature increase
Biogeochemical feedback	Feedback to plant growth, survival, and/or reproduction due to an alteration in the physical environment resulting from an individual or community response to an environmental change	A decrease in environmental nitrogen availability after a sustained period of enhanced photosynthesis in a community responding to elevated CO <sub>2</sub>
Type I acclimation	A change in the instantaneous response of a process to a stimulus that results in an alteration of the shape (or slope) of the response and not necessarily the basal rate (or intercept), resulting in larger rate changes only at higher stimulus levels. This type of change is likely a result of biochemical adjustments	A decrease in the Q <sub>10</sub> of respiration in plants grown under increasingly warmer conditions, resulting in rate changes at high temperatures, but not at low temperatures
Type II acclimation	A change in the basal rate (intercept), but not necessarily the slope of a response to a stimulus. This results in rate changes of a process at all levels of a stimulus. This type of change is likely a result of biochemical adjustments and/or biogeochemical feedbacks	A decrease in low- and high-temperature basal rates of respiration in plants grown under increasingly warmer conditions

key plant responses to environmental forcing. Below, we describe the nature of this evidence, to provide support for our contention that these processes should be represented in land surface models as a matter of course.

#### *Temperature response of plant carbon exchange*

The instantaneous response of many plant carbon exchange processes to changes in temperature is attributed to changes in enzymatic activity, where temperature increases stimulate enzyme activity, resulting in an

increase in rates up to an optimum temperature at which the rates peak. Beyond the optimum, rates begin to decline. The reason for this decline is not well understood for many processes (including respiration and photosynthesis). The decline in photosynthesis at high temperature may be related to Rubisco; the activation state of Rubisco is an equilibrium between deactivation and activase-promoted activation, both of which increase at high temperatures. At high temperatures, enzyme deactivation may increase to the point that it exceeds the capacity of activase enzymes to promote activation (Crafts-Brandner & Salvucci, 2000).

Respiration is often shown to peak at temperatures higher than that seen for photosynthesis, with decreases possibly resulting from substrate limitation or enzymatic degradation (Atkin & Tjoelker, 2003).

Temperature acclimation is an adjustment of the instantaneous temperature response and can take two forms, known as Type I and Type II acclimation. Type I acclimation refers to adjustments in the shape of the instantaneous response curve as a result of regulatory changes in existing enzymes. This results in little to no change in process rates at low temperatures where rates are limited by enzymatic activity, but instead alters rates at high temperatures at or near the optimum where rates are more limited by substrate availability. Type II acclimation does not necessarily result in changes in the shape of the instantaneous function, but instead results in changes in the base rate or overall capacity of the process (the intercept of the temperature response curve), leading to a decrease in the elevation of the temperature response curve with warming, and consequently a greater degree of homeostasis than Type I acclimation (Atkin & Tjoelker, 2003; Atkin *et al.*, 2005).

Photosynthetic acclimation can be observed as shifts in the instantaneous response of net photosynthesis to temperature, or more specifically the enzymatic processes that drive photosynthesis, such as the carboxylation rate of Rubisco ( $V_{\max}$ ) or the electron transport rate ( $J_{\max}$ ). This is often observed as changes in the shape and/or base rate (Type I and Type II responses, respectively) of the instantaneous response for these processes in response to changes in growth temperature, often resulting in changes in the optimum temperature ( $T_{\text{opt}}$ ; see Fig. 2a). Photosynthetic temperature acclimation has been attributed to increases in enzymatic heat tolerance (Berry & Bjorkman, 1980; Hikosaka *et al.*, 2006), but may also result from a changed expression or activation state of the Calvin cycle enzymes (Stitt & Hurry, 2002), through stomatal adjustment (Lin *et al.*, 2012), or as a result of allocation of enzymes to different metabolic processes such as light capture under higher temperatures (Xu *et al.*, 2012).

Gunderson *et al.* (2010) studied  $T_{\text{opt}}$  of net photosynthesis in seedlings of five deciduous tree species under three temperature treatments, and found that  $T_{\text{opt}}$  was significantly correlated with daytime plot temperature in all treatments, with acclimation potentials ranging from 0.55 to 1.07 °C change in  $T_{\text{opt}}$  per degree change in daytime temperature. This type of adjustment occurred not only in response to the warming treatments (acclimation), but also on a seasonal basis (acclimatization; see Table 1) (Gunderson *et al.*, 2010). It is important to distinguish between acclimation and acclimatization responses in empirical studies because in

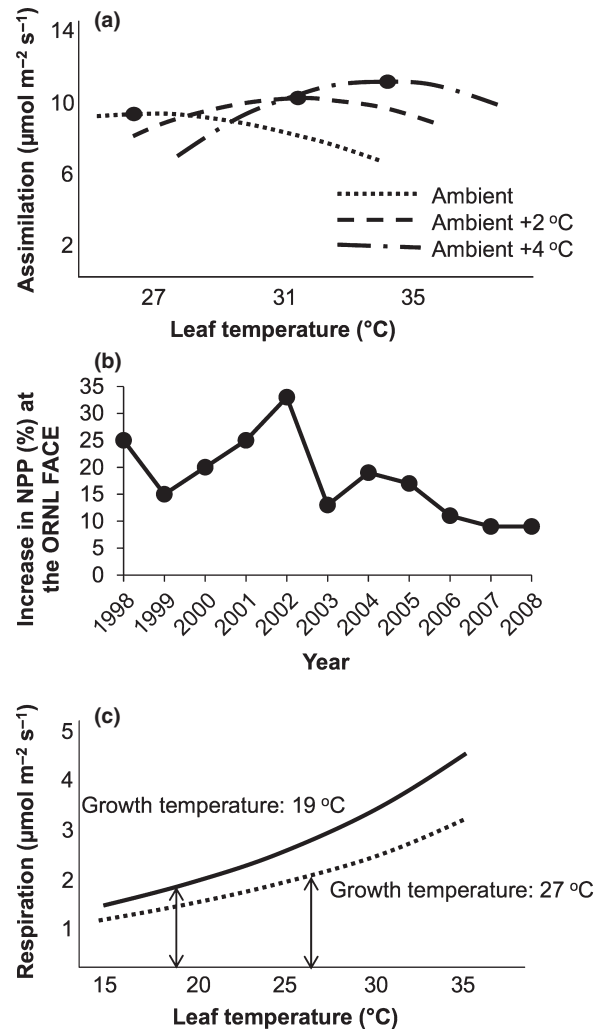


Fig. 2 Empirically assessed responses. (a) The mean photosynthetic response of six field-grown *Quercus rubra* seedlings to instantaneous changes in temperature. The three lines show the response of seedlings grown at the ambient temperature (dotted line), 2 °C above ambient temperature (dashed line), and 4 °C above ambient temperature (dot-dash line). Note the shift in the temperature optimum (circles) in the seedlings grown under elevated temperatures. Modified from Gunderson *et al.* (2010). (b) The percent increase in NPP under elevated  $\text{CO}_2$  (~550 ppm) in a *Liquidambar styraciflua* forest stands over 11 years at the Oak Ridge National Laboratory Free-Air  $\text{CO}_2$  Enrichment (ORNL FACE) experiment in Tennessee. Note that the response decreases from year 1 (25%) to year 11 (9%), possibly indicating long-term down-regulation of photosynthetic machinery in response to nutrient limitation. Modified from Norby *et al.* (2010). (c) The instantaneous response of leaf respiration to temperature in *Populus balsamifera* grown under two different day/night temperature treatments: 19/10 °C (solid line) and 27/16 °C (dotted line). The circles denote the respiration rate at the growth temperature for each treatment. The respiration rate at the growth temperature is very similar in both treatments, indicating acclimation of the seedlings to their respective growth temperature. Modified from Silim *et al.* (2010).

studies examining acclimatization, which occurs across a natural (e.g., seasonal, altitudinal, latitudinal) temperature gradient, an array of environmental factors may co-vary with temperature and alter the acclimation response, which results only from the change in temperature. However, this distinction is not necessarily important for modeling studies that systematically isolate temperature responses from other environmental responses (thus examining acclimation only).

Photosynthetic acclimation to temperature has been observed in many species, spanning a wide range of plant functional types, including deciduous trees (Slatyer & Morrow, 1977; Battaglia *et al.*, 1996; Gunderson *et al.*, 2000, 2010; Kositsup *et al.*, 2009), coniferous trees (Hikosaka *et al.*, 1999; Medlyn *et al.*, 2002b), shrubs (Smith & Hadley, 1974), and herbaceous species (Atkin *et al.*, 2006; Hikosaka *et al.*, 2006; Bunce, 2008). An analysis of 36 species of various plant functional types found that plants generally adjust their photosynthetic apparatus to maintain an optimal photosynthetic rate at a given growth temperature (Kattge & Knorr, 2007). However, species vary in whether and to what degree they acclimate (Berry & Bjorkman, 1980). The ability to acclimate may be greater in fast-growing species than that in slow-growing species (Atkin *et al.*, 2006), and may also depend on plant functional type (Tjoelker *et al.*, 1998; Bunce, 2008; Volder *et al.*, 2010). Also, there is evidence that plants that experience a more variable thermal environment, such as temperate species, may have a greater ability to acclimate than plants grown in an environment where temperature does not vary as much during the growing season (Billings *et al.*, 1971; Berry & Bjorkman, 1980; Xiong *et al.*, 2000; Cunningham & Read, 2002) (see Table 2). It is difficult to compare photosynthetic acclimation to temperature across studies because a variety of factors affecting the temperature response of photosynthesis, including biochemical responses, daytime respiration ( $R_d$ ), and stomatal responses to changes in vapor pressure deficit ( $D$ ), and soil moisture that are not always accounted for (see Lin *et al.*, 2012).

As the temperature of their growing environment changes, plants can adjust their respiratory rate through adaptive responses that alter the instantaneous temperature response (Berry & Bjorkman, 1980; Atkin & Tjoelker, 2003; Armstrong *et al.*, 2008). Although many studies show that the instantaneous response is exponential over a wide range of temperatures, with  $Q_{10}$  values at or near 2, the response is more complex over large temperature ranges. In fact,  $Q_{10}$  values have been shown to decrease as temperatures increase along the instantaneous curve, resulting in a peaked response similar to the one seen for photosynthesis (Tjoelker *et al.*, 2001).

Several studies have recorded changes in the instantaneous response of respiration to temperature with changes in growth temperature (see Fig. 2c), either through Type I or Type II acclimation, as a result of changes in mitochondrial abundance, protein composition, and/or electron transport rates (Armstrong *et al.*, 2008). This pattern has been seen in the leaves, stems, and roots of a wide range of plant species from a wide range of ecosystems (Smith & Hadley, 1974; Ziska & Bunce, 1998; Loveys *et al.*, 2003; Wright *et al.*, 2006; Tjoelker *et al.*, 2009). However, the degree to which plants adjust their respiratory rate in response to long-term changes in temperature varies by location (Billings *et al.*, 1971; Larigauderie & Korner, 1995) and plant functional type (Tjoelker *et al.*, 1999; Atkin *et al.*, 2006). There is evidence to support the idea that young plants (Atkin *et al.*, 2006; Campbell *et al.*, 2007) and plants grown in more variable environments (Billings *et al.*, 1971) may possess a greater ability to adjust their respiratory rate in response to changes in temperature. Tissue age and tissue type also affect acclimatory ability (Atkin *et al.*, 2005). In addition, respiratory responses of roots, which have been shown to be less sensitive to temperature than leaves (Loveys *et al.*, 2003), may be influenced by soil symbionts such as arbuscular mycorrhizal fungi, which decreased cold acclimation in *Plantago lanceolata* (Atkin *et al.*, 2009). To better understand the mechanisms behind responses, future studies of whole plants should also consider the responses of specific plant tissues, and not just the leaf.

#### *CO<sub>2</sub> response of plant carbon exchange*

Plant photosynthetic machinery can also stabilize in response to elevated levels of atmospheric CO<sub>2</sub>. Over short periods of time, CO<sub>2</sub> often accelerates photosynthesis (e.g., Norby *et al.*, 2005), but plants may down-regulate photosynthetic activity over longer timeframes through physiological and biochemical adjustments (Lee *et al.*, 2001, 2011; Ainsworth & Long, 2005; Crous *et al.*, 2010). This often comes as a result of biochemical (Cook *et al.*, 1998; Tjoelker *et al.*, 1998; Juurola, 2003), structural (Kirschbaum, 2011), or resource limitation (Drake *et al.*, 1997; Reich *et al.*, 2006a; Norby *et al.*, 2010; Reddy *et al.*, 2010). As the atmospheric CO<sub>2</sub> concentration increases, intercellular CO<sub>2</sub> ( $C_i$ ) levels rise, decreasing diffusion limitation of some processes, and leading to short-term increases in photosynthesis. Eventually, although, some of the other processes that regulate plant growth (e.g., light harvesting, carbohydrate export, nitrogen uptake) become unbalanced, there is some evidence that plants reallocate resources away from CO<sub>2</sub> fixation in favor of these newly limiting processes. For example, if the plant is unable to use the

**Table 2** Studies documenting sources of variation in acclimation of plant carbon exchange rates to temperature and elevated atmospheric concentrations of carbon dioxide

Source of variation	Photosynthetic response to temperature	Photosynthetic response to CO <sub>2</sub>	Respiration response to temperature
Plant species/ functional type	Atkin <i>et al.</i> (2006), Bunce (2008), Dillaway & Kruger (2010), Gunderson <i>et al.</i> (2010), Tjoelker <i>et al.</i> (1998), Volder <i>et al.</i> (2010)	Leakey <i>et al.</i> (2009a), Lee <i>et al.</i> (2011), Reich <i>et al.</i> (2006b), Tjoelker <i>et al.</i> (1998)	Atkin <i>et al.</i> (2006), Loveys <i>et al.</i> (2003), Volder <i>et al.</i> (2010), Wright <i>et al.</i> (2006)
Location/ biome	Berry & Bjorkman (1980), Billings <i>et al.</i> (1971), Cunningham & Read (2002), Smith & Hadley (1974), Xiong <i>et al.</i> (2000)	Leakey <i>et al.</i> (2009a), Reich <i>et al.</i> (2006b)	Billings <i>et al.</i> (1971), Larigauderie & Korner (1995), Smith & Hadley (1974), Wright <i>et al.</i> (2006)
Species/ tissue age	Campbell <i>et al.</i> (2007)		Atkin <i>et al.</i> (2005, 2006), Campbell <i>et al.</i> (2007)
Interactive effects	Dillaway & Kruger (2010), Lin <i>et al.</i> (2012)	Drake <i>et al.</i> (1997), Hickler <i>et al.</i> (2008), Reich <i>et al.</i> (2006b)	Atkin <i>et al.</i> (2008, 2009), Crous <i>et al.</i> (2011), Tjoelker <i>et al.</i> (1999), Wright <i>et al.</i> (2006)
Length of study		Cook <i>et al.</i> (1998), Lee <i>et al.</i> (2011), Norby <i>et al.</i> (2010), Reich <i>et al.</i> (2006b)	

extra carbohydrates that are created through increased photosynthesis, then a decrease in photosynthetic activity follows via feedback inhibition pathways (Drake *et al.*, 1997; Kirschbaum, 2011). Cook *et al.* (1998) found that the Icelandic grassland species *Nardus stricta* down-regulated its photosynthetic apparatus in response to over 100 years of CO<sub>2</sub> enrichment (790  $\mu\text{mol mol}^{-1}$ ). The same study found a decrease in leaf-level photosynthetic capacity at a given C<sub>i</sub> (25%), chlorophyll content (33%), and Rubisco content (26%), and activity (40%) in plants grown in an enriched environment compared to those grown in ambient conditions (Cook *et al.*, 1998). A recent 11-year grassland free air CO<sub>2</sub> enrichment (FACE) study found that plants grown under elevated CO<sub>2</sub> down-regulated their stomatal conductance and leaf nitrogen content similarly across four functional groups. These reductions caused a decrease in assimilation compared to what would be expected under elevated CO<sub>2</sub>. However, the down-regulation of stomatal conductance and leaf nitrogen did not fully explain the photosynthetic acclimation seen in this study (Lee *et al.*, 2011).

Results from some FACE studies suggest that a CO<sub>2</sub> fertilization effect may be seen even after Rubisco down-regulation (Ainsworth & Long, 2005; Leakey *et al.*, 2009a). However, the fertilization response likely depends on genetic and environmental factors and the length of the study. For example, species limited by Rubisco carboxylation capacity at elevated CO<sub>2</sub> show greater fertilization effect compared to those limited by ribulose-1,5-bisphosphate regeneration capacity at elevated CO<sub>2</sub> (Ainsworth & Rogers, 2007). Also, plants grown in low nutrient systems may exhibit stronger

down-regulation and decreased CO<sub>2</sub> response compared to those in high nutrient systems (Ainsworth & Long, 2005). Similarly, positive responses of photosynthesis to CO<sub>2</sub> may decrease over time in longer term studies due to limitation by nutrients (e.g., nitrogen) needed to sustain the short-term response, a phenomenon known as progressive nutrient limitation (PNL) (Luo *et al.*, 2004; Reich *et al.*, 2006b; Lukac *et al.*, 2010). Observations of a gradual decline in growth stimulation in long-term FACE studies have been attributed to PNL. Researchers at a FACE site in Tennessee, USA observed a significant decline in productivity enrichment under elevated CO<sub>2</sub> over 11 years (see Fig. 2b), which coincided with a decrease in nitrogen uptake in that system (Norby *et al.*, 2010). Also, a grassland FACE study in the north-central USA found that increased plant growth under elevated CO<sub>2</sub> was only sustained where nutrients did not become limiting (Reich *et al.*, 2006a). Plants in some systems, however, may be able to overcome PNL through enhanced root growth, litter decomposition, and microbial nitrogen release (Zak *et al.*, 2011). Reich *et al.* (2006a) reviewed the effect of PNL, specifically nitrogen limitation, on plant production under elevated CO<sub>2</sub> in a variety of site-level studies. Although they noted that decreased nitrogen availability should eventually decrease photosynthetic enhancement from elevated CO<sub>2</sub>, the two studies they reviewed (in managed pasture and temperate grassland) did not detect photosynthetic enhancement under varying nitrogen levels, even though both studies found significant biomass responses. These results indicate that these systems may be more sensitive to carbon-nitrogen interactions at the whole system scale,

as opposed to the leaf scale (Reich *et al.*, 2006b). However, more studies are needed in different systems to better understand these mechanisms.

Most studies suggest plant respiration does not respond directly to increases in atmospheric CO<sub>2</sub> concentration (Ziska & Bunce, 1998; Tjoelker *et al.*, 1999; Gifford, 2003; Gonzalez-Meler *et al.*, 2004; Atkin *et al.*, 2005), but the effect of CO<sub>2</sub> on respiration is still poorly understood (Gonzalez-Meler *et al.*, 2004). Respiration is directly influenced by photosynthesis, in that photosynthesis provides the substrate needed for respiratory metabolism (Bouma *et al.*, 1995; Kromer, 1995). As a result, respiration may respond in a way similar to photosynthesis due to increased growth and maintenance demands under elevated CO<sub>2</sub>. Some studies on soybean suggest that elevated CO<sub>2</sub> enhances nighttime respiration due to increased substrate availability and respiratory gene expression (Ainsworth *et al.*, 2006; Leakey *et al.*, 2009a,b), but others have found contradicting results in the same species (Bunce, 2005). More research is needed to more fully understand the response of plant respiration to elevated CO<sub>2</sub> across a wider range of species.

#### *Respiration-photosynthesis coupling*

Some empirical studies do not treat plant photosynthesis and respiration as separate entities, but instead measure both rates in response to climatic conditions and describe these rates as a ratio of plant respiration to photosynthesis (*R:P*). The *R:P* ratio of plants directly correlates with carbon use efficiency (CUE) in that  $CUE = 1 - (R/P)$  (Gifford, 2003). Some studies show that this ratio remains constrained under many growth conditions (Ziska & Bunce, 1998; Loveys *et al.*, 2002, 2003; Gifford, 2003; Atkin *et al.*, 2005, 2006; Van Oijen *et al.*, 2010). This ratio may, however, increase under high growth temperature (Tjoelker *et al.*, 1999; Loveys *et al.*, 2002; Atkin *et al.*, 2007; Campbell *et al.*, 2007; Harley *et al.*, 2007; Way & Sage, 2008), presumably due to heat stress and the need for plants to allocate more carbon to maintenance respiration than to growth and storage. In addition, although respiration and photosynthesis are physiologically linked (Bouma *et al.*, 1995; Kromer, 1995), the processes have different temperature responses, regardless of time scale (see Fig 2a and c). Finally, the 'threshold' stress level where *R:P* ratio deviations are seen is hard to define empirically due to interactions and co-variations among stressors (e.g., temperature, soil moisture, nutrients, light availability), which makes it difficult to incorporate these deviations into models. At least one long-term field study shows that this ratio is unaffected by CO<sub>2</sub> concentration (Tjoelker *et al.*, 1999). The results from the studies

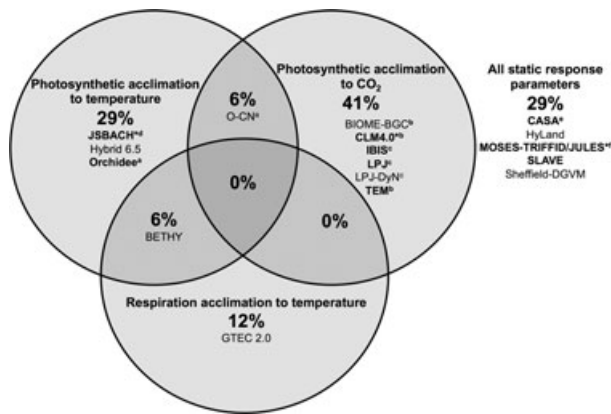
reviewed here provide strong evidence for long-term acclimation of photosynthesis to temperature and CO<sub>2</sub> forcing and respiration to temperature forcing; we conclude that these processes are strong candidates for inclusion into Earth System Models.

#### **Model survey**

Process-based models use interactive algorithms to describe plant responses to environmental conditions. These algorithms are designed to replicate physiological rates and responses recorded in lab and field observations and experiments. These algorithms often incorporate prescribed values that are fixed; they do not change. To avoid confusion in the text, we use the term 'parameters' for these fixed numbers within algorithms that are prescribed by the model and do not respond to environmental stimuli. We use the term 'response variables' for variables within algorithms that can change in response to environmental stimuli, often through the use of another algorithm that incorporates one or many parameters. The use of static parameters rather than dynamic response variables to represent plants' responses to environmental forcing leads to algorithms with instantaneous responses that do not adjust in response to changes in the environment (Wythers *et al.*, 2005). These algorithms (many of which are described in detail in the next section) thus assume that plants cannot acclimate to changes in environmental conditions. At some level, all algorithms use fixed parameters; however, to incorporate the acclimation responses mentioned above, the instantaneous response to an environmental stimulus such as temperature or CO<sub>2</sub> must be allowed to change over the duration of exposure to that stimulus (i.e., be represented in the form of a dynamic response variable). An increasing number of models have begun to incorporate algorithms with dynamic response variables that allow models to simulate acclimation and/or optimization of carbon exchange rates in plants, as well as effects of changing nitrogen availability on production, allowing for the possibility of nitrogen cycle feedbacks to photosynthesis when coupled to a nitrogen model (Luo & Reynolds, 1999; Sitch *et al.*, 2003; King *et al.*, 2006; Kattge & Knorr, 2007; Thornton *et al.*, 2007, 2009; Atkin *et al.*, 2008; Sokolov *et al.*, 2008; Kattge *et al.*, 2009; Friend, 2010; Zaehle & Friend, 2010; Zaehle *et al.*, 2010; Ziehn *et al.*, 2011).

We surveyed 17 vegetation models, most of which are primary components of current-generation ESMs, to assess their structure with respect to terrestrial plant carbon exchange. The survey evaluated the physiological processes within each model in terms of their ability to capture the three long-term processes reviewed in

this study: (1) photosynthetic acclimation to temperature, (2) autotrophic respiration acclimation to temperature, and (3) photosynthetic acclimation to CO<sub>2</sub> through photosynthetic limitation by other biogeochemical processes under elevated CO<sub>2</sub>. Although some of the models surveyed attempted to account for one or two of the processes reviewed here, none of the 17 models surveyed incorporated dynamic response variables for both photosynthetic and plant respiratory responses to temperature and CO<sub>2</sub>, meaning that none of the models could simulate simultaneous plant photosynthetic and respiratory acclimation to temperature and CO<sub>2</sub> concentration (Fig. 3). In the following sections, we review common algorithms used to simulate temperature and



**Fig. 3** Incorporation of dynamic plant carbon exchange responses into land models. Results from a review of 17 process-based models, examining which models incorporated dynamic plant carbon exchange responses. Note that none of the models surveyed incorporated all three dynamic responses. Bold names denote models used in the C<sup>4</sup>MIP (Friedlingstein *et al.*, 2006). Note that some of the C<sup>4</sup>MIP models have been updated since 2006 to include the responses evaluated here and are denoted with an asterisk (\*). <sup>a</sup>ORCHIDEE and O-CN allow for dynamic response of photosynthesis to temperature only in C<sub>3</sub> grasses. <sup>b</sup>Model mediates photosynthetic response to CO<sub>2</sub> through environmental nitrogen limitation. <sup>c</sup>Model mediates photosynthetic response to CO<sub>2</sub> through optimization of V<sub>cmax</sub>. Note that IBIS-2 (used in the C<sup>4</sup>MIP) did not use the optimization response of V<sub>cmax</sub>. <sup>d</sup>Newer unpublished versions of JSBACH exist which include dynamic nitrogen and phosphorus cycles (D. Goll, personal communication). <sup>e</sup>CASA model does include dynamic nitrogen and phosphorus cycles, but these only constrain NPP, not photosynthesis and/or respiration. <sup>f</sup>JULES has been modified to include respiration acclimation in at least one study (Atkin *et al.*, 2008). <sup>g</sup>To the authors' knowledge, SLAVE is not currently in active use or development, but is included in the diagram and Table 3 due to its use in the CMIP<sup>4</sup> simulations. Full citations for each of the models can be found in Table 3. Note that alternate versions exist for some of the models referenced here (e.g., JSBACH-CN). See Table 3 for the full list of citations for the model versions used to construct this diagram.

CO<sub>2</sub> responses of plant carbon exchange in models as well as some recent mechanistic formulations designed to facilitate the incorporation of long-term dynamics of these processes.

### Common models of plant carbon exchange

To simulate photosynthesis, many models use a derivation of the Farquhar *et al.* (1980) model of photosynthesis (FvCB). In this biochemical model, photosynthesis is simulated as a function of rate-limiting processes involved in the photosynthetic pathway, including the electron transport rate ( $A_j$ ), carboxylation rate ( $A_c$ ), and, in some cases, triose phosphate utilization rate ( $TPU$ ) such that:

$$A_n = \min(A_c, A_j, TPU) - R_d \quad (1)$$

where  $A_n$  is the net rate of photosynthesis.  $A_c$  and  $A_j$  are described using the maximum rates of each process ( $V_{cmax}$  and  $J_{max}$  for carboxylation and electron transport, respectively) such that (modified from Medlyn *et al.* (2002a)):

$$A_c = \frac{V_{cmax}(C_i - \Gamma^*)}{C_i + K_c \left(1 + \frac{O_i}{K_o}\right)} \quad (2)$$

and

$$A_j = \left(\frac{J}{4}\right) \left[\frac{C_i - \Gamma^*}{C_i + 2\Gamma^*}\right] \quad (3)$$

and

$$\theta J^2 - (\alpha Q + J_{max})J + \alpha Q J_{max} = 0 \quad (4)$$

where  $C_i$  is the intercellular concentration of CO<sub>2</sub>,  $O_i$  is the intercellular concentration of O<sub>2</sub>,  $\Gamma^*$  is the CO<sub>2</sub> compensation point,  $K_c$  and  $K_o$  are Michaelis–Menton coefficients,  $\theta$  is the curvature of the light response curve,  $Q$  is the photosynthetically active photon flux density, and  $\alpha$  is the quantum yield of electron transport.  $J_{max}$  and  $V_{cmax}$  can be described using fixed parameters or dynamic response variables that (in most cases) respond to temperature and/or leaf nitrogen. Instantaneous temperature functions include basic  $Q_{10}$  functions (Collatz *et al.*, 1991) or peaked Arrhenius functions in which the temperature response of either  $J_{max}$  or  $V_{cmax}$  [ $f(T_k)$ ] is described by the equation [from Medlyn *et al.* (2002a)]:

$$f(T_k) = k_{25} \exp\left[\frac{E_a(T_k - 298)}{298RT_k}\right] \frac{1 + \exp\left(\frac{298\Delta S - H_d}{298R}\right)}{1 + \exp\left(\frac{298\Delta S - H_d}{298T_k}\right)} \quad (5)$$



**Table 3** Description of photosynthesis (*A*) and autotrophic respiration (*R*) responses for the 17 models reviewed in the model survey

Model Name	Temperature response of <i>A</i>	Temperature response of <i>R</i>	Biogeochemical feedback to <i>A</i>	Main Citations*
BETHY	Acclimation of carboxylation and regeneration to previous 30-day temperature	$Q_{10}$ -type function that linearly decreases with temperature	Carboxylation is a function of leaf nitrogen, but does not include nitrogen model	Kattge <i>et al.</i> (2009), Knorr (2000), Ziehn <i>et al.</i> (2011)
BIOME-BGC	$Q_{10}$ -type increase with temperature, when temperature >15 °C. This rate is divided by the $Q_{10}$ when temperatures are <15 °C	Constant $Q_{10}$ function	Carboxylation is a function of leaf nitrogen, and can be used with nitrogen model	Nemani <i>et al.</i> (2009), Wang <i>et al.</i> (2011)
CASA	$T_{opt}$ for NPP is defined by temperature during the month of highest NDVI. <i>A</i> drops off above and below $T_{opt}$	Not explicitly defined	None, but NPP does respond to environmental nitrogen and phosphorus	Potter <i>et al.</i> (1993), Wang <i>et al.</i> (2010)
Community Land Model (CLM4.0)	Carboxylation has $Q_{10}$ -style relationship	Maintenance: exponential function of temperature. Growth: 30% of total C in new growth	Carboxylation is a function of nitrogen, includes nitrogen model	Oleson <i>et al.</i> (2010), Thornton <i>et al.</i> (2009, 2007)
GTEC 2.0	CO <sub>2</sub> fertilization of NPP increases with temperature	Acclimation to previous four days temperature	None	King <i>et al.</i> (2006, 1997)
Hybrid 6.5	Acclimation of optimum temperature of electron transport	Mitochondrial respiration is modeled using and Arrhenius-type response	Carboxylation and electron transport are directly related to leaf nitrogen, but does not include nitrogen model	Friend (2010)
HyLand	Arrhenius increase in FvCB parameters with temperature	None; constant fraction of <i>A</i>	Carboxylation and electron transport are directly related to leaf nitrogen, but does not include nitrogen	Levy <i>et al.</i> (2004)
IBIS	None	None for leaf, Arrhenius function for stem and root	None, but <i>A</i> is optimized (in IBIS-1 only); which accounts for down-regulation under high CO <sub>2</sub>	Friedlingsstein <i>et al.</i> (2006), Kucharik <i>et al.</i> (2000)
JSBACH	Acclimation of carboxylation and regeneration to previous 30-day temperature up to 55 °C	Maintenance: constant exponential response to temperature. Growth: 20% of net photosynthesis	None (but see JSBACH-CN and JSBACH-CNP)	Raddatz <i>et al.</i> (2007)
Lund-Potsdam-Jena (LPJ)	Limited at high and low temperatures	Maintenance respiration is described using a constant modified Arrhenius function in response to temperature	None, but <i>A</i> is optimized; which accounts for down-regulation under high CO <sub>2</sub>	Sitch <i>et al.</i> (2003)
LPJ-DyN	Limited at high and low temperatures	Maintenance respiration is described using a constant modified Arrhenius function in response to temperature	Similar to LPJ, but includes a full nitrogen cycle. Although, nitrogen does not directly influence <i>A</i>	Xu & Prentice (2008)
MOSES-TRIFFID / JULES	Carboxylation has $Q_{10}$ dependence with temperature. Drops at very high and very low temperatures that differ based on PFT	Growth respiration is 25% of GPP. Maintenance respiration is 1.5% of $V_{cmax}$ (for C <sub>3</sub> plants). Acclimation to previous 10 days temperature was incorporated in JULES by Atkin <i>et al.</i> (2008)	Carboxylation is a function of leaf nitrogen, no nitrogen sub-model	Atkin <i>et al.</i> (2008), Clark <i>et al.</i> (2011), Cox (2001)

Table 3 (continued)

Model Name	Temperature response of A	Temperature response of R	Biogeochemical feedback to A	Main Citations*
O-CN	Arrhenius increase in FvCB parameters with temperature; $T_{opt}$ prescribed, with values shifting with temperature for C <sub>3</sub> grasses	Maintenance respiration is described using a constant modified Arrhenius function in response to temperature	Function of nitrogen availability, and includes full nitrogen model	Krinner <i>et al.</i> (2005), Zaehle & Friend (2010)
Orchidee	Arrhenius increase in FvCB parameters with temperature; $T_{opt}$ prescribed, with values shifting with temperature for C <sub>3</sub> grasses	Maintenance respiration is described using a constant modified Arrhenius function in response to temperature	Function of nitrogen availability, but does not include a full nitrogen model	Krinner <i>et al.</i> (2005)
Sheffield-DGVM	Carboxylation and regeneration change in accordance to a third degree polynomial	Function showing steep increase with temperature, followed by a sharp drop at high temperature	Maximum photosynthesis is constrained by nitrogen uptake, not combined with N model	Woodward <i>et al.</i> (1995)
SLAVE	$T_{opt}$ for NPP is defined by temperature during the month of highest NDVI; P drops off above and below $T_{opt}$	Not explicitly defined	None	Field <i>et al.</i> (1995), Friedlingstein <i>et al.</i> (1995)
Terrestrial ecosystem model (TEM)	Increases with temperature up to $T_{opt}$	$Q_{10}$ -type response with $Q_{10}$ decreasing with temperature via third degree polynomial	Function of nitrogen availability, and includes full nitrogen model	McGuire <i>et al.</i> (1992), Sokolov <i>et al.</i> (2008)

\*Not all references for a given model incorporate the process responses for that model in the same way.

where  $k_{25}$  is the rate of  $J_{max}$  or  $V_{cmax}$  at 25 °C,  $E_a$  is the increase in the response below the temperature optimum,  $T_k$  is the leaf temperature in Kelvin,  $R$  is the universal gas constant (8.314 J mol<sup>-1</sup> K<sup>-1</sup>),  $H_d$  is the rate of decrease above the optimum, and  $\Delta S$  is an entropy term related to the temperature optimum ( $T_{opt}$ ) such that:

$$\Delta S = \frac{H_d}{T_{opt}} + R \ln \left[ \frac{H_a}{H_d - H_a} \right] \quad (6)$$

where  $T_{opt}$  describes the optimum temperature for  $J_{max}$  or  $V_{cmax}$  and  $H_a$  is the exponential increase below the optimum; analogous to  $E_a$  in Eqn (5). This relationship allows Eqn (5) to be written in an equivalent form such that:

$$f(T_k) = k_{opt} \frac{H_d \exp\left(\frac{H_a(T_k - T_{opt})}{T_k R T_{opt}}\right)}{H_d - H_a \left(1 - \exp\left(\frac{H_a(T_k - T_{opt})}{T_k R T_{opt}}\right)\right)} \quad (7)$$

where  $k_{opt}$  is the rate of  $J_{max}$  or  $V_{cmax}$  at the optimum. These algorithms, unless adjusted, assume that photosynthetic response to temperature and CO<sub>2</sub> does not change over time due to the fixed nature of the parameters  $k_{25}$ ,  $E_a$ ,  $H_d$ ,  $H_a$ ,  $T_{opt}$ , and  $k_{opt}$ . Nitrogen response functions within the FvCB model usually follow the often-observed linear response of  $V_{cmax}$  to leaf nitrogen (e.g., Kattge *et al.*, 2009). The models have the potential to facilitate the incorporation of nitrogen limitation under elevated CO<sub>2</sub>, but only if they are used in conjunction with a nitrogen cycling model (see following section).

Of the models that do not use a version of the FvCB, model many instead use a light use efficiency (LUE)-type algorithm, which is based on the observations of Monteith (1972), Monteith & Moss (1977) that productivity or photosynthesis is proportional to light interception. Net photosynthesis is described as:

$$A_n = LUE * APAR \quad (8)$$

where LUE is a term describing the efficiency of the plant to convert light into usable sugars and APAR is the fraction of radiation absorbed by the canopy. LUE models only respond to light; they cannot account for plant responses to growth temperature or carbon dioxide level unless they are adjusted (e.g., Medlyn, 1996).

To date, a detailed mechanistic model for autotrophic respiration, similar to the FvCB model for photosynthesis, has not been created. So, models must use more generalized calculations to simulate responses of respiration to temperature. As such, most models either use a function with a fixed  $Q_{10}$  parameter or assume that an unchanging portion of the carbon fixed through photosynthesis is lost via respiration. A function incorporating

a fixed  $Q_{10}$  parameter does not facilitate temperature acclimation and assumes that respiration increases exponentially with temperature (but see discussion of temperature dependent  $Q_{10}$  below). In contrast, a fixed ratio of respiration to photosynthesis ( $R:P$ ) does allow for changes in the temperature response of respiration over time as a consequence of changes in photosynthesis. This function is acclimatory in a sense, but the validity of the  $R:P$  assumption has been debated by the empirical community (see above).  $R:P$  models are further discussed in the following section.

### Adjusting models to incorporate acclimation

The absence of long-term plant carbon exchange responses in most process-based models (see Fig. 3) can no longer be attributed to a lack of suitable algorithms. Initial versions of carbon exchange algorithms have been designed to incorporate (1) photosynthetic acclimation to temperature, (2) respiratory acclimation to temperature, (3) a dynamic photosynthetic response to atmospheric  $CO_2$  concentration (in the form of progressive nitrogen limitation), and (4) coupling of respiration to photosynthesis.

#### *Dynamic response of photosynthesis to temperature*

Two different algorithms have been created to incorporate photosynthetic acclimation to temperature change (Kattge & Knorr, 2007; Friend, 2010) into the commonly used FvCB model of photosynthesis. The first algorithm, formulated by Friend (2010), adjusts the temperature response of the electron transport rate ( $J$ ) described by June *et al.* (2004) (Eqn 9 below) to include temperature acclimation. This algorithm, in accordance with empirical evidence, allows the optimum temperature for  $J$  to respond to changes in growth or leaf temperature such that:

$$J(T_L) = J(T_o) \exp \left[ -\frac{T_L - T_o}{\Omega} \right]^2 \quad (9)$$

where  $T_L$  is the leaf temperature,  $T_o$  is the optimum leaf temperature for  $J$ , and  $\Omega$  is a parameter controlling the response on either side of  $T_o$ . Friend (2010) then assumed that  $T_o$  decays toward an equilibrium during daylight hours following:

$$\frac{dT_o}{dT} = -\lambda(T_o - T_e) \quad (10)$$

where  $T_e$  is the equilibrium temperature and  $\lambda$  is the decay constant set to  $-3.3e-6$ . Finally  $T_e$  was described as having a linear relationship with  $T_L$  that maximized GPP in a Pennsylvania deciduous forest, using the following equation:

$$T_e = 17 + 0.35T_L \quad (11)$$

where  $T_L$  is defined using daylight hours only. Inclusion of this dynamic algorithm into a global model only stimulated NPP by 2.55% globally compared to a model run with a static formulation (i.e., Eqn 9 only). However, in regions cooler than Pennsylvania, where the algorithm was optimized, NPP was stimulated up to 20%, whereas decreases in NPP in warmer regions offset this stimulation. These results highlight the need for acclimation to be represented in a plant type- and/or location-specific way (Friend, 2010).

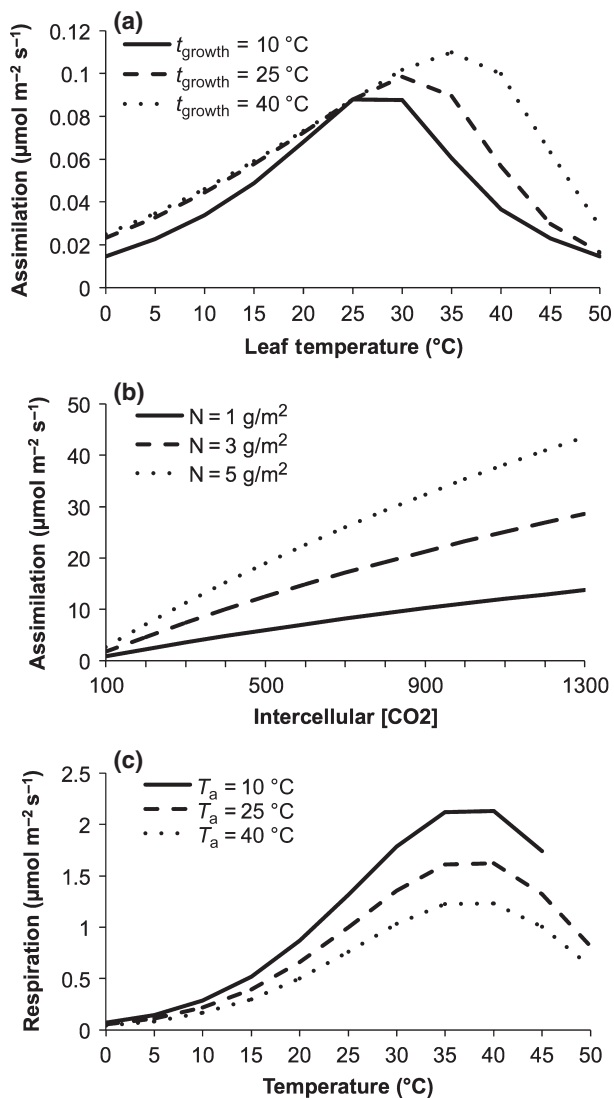
A second algorithm, formulated by Kattge & Knorr (2007), allows for temperature acclimation of  $J_{max}$  and  $V_{cmax}$  (see Fig. 4a). The adjustment is based on the linear response of the optimum temperature for  $J_{max}$  and  $V_{cmax}$  to growth temperature from an analysis of 36 species. The algorithm follows from Eqn (5) above and allows the  $\Delta S$  term for  $J_{max}$  and  $V_{cmax}$  as well as the ratio of  $J_{max}$  to  $V_{cmax}$  ( $r_{jv}$ ) to respond linearly to the average growth temperature of the past 30 days ( $t_{growth}$ ) in the following way:

$$x_i = a_i + b_i t_{growth} \quad (12)$$

where  $x_i$  is  $\Delta S$  or  $r_{jv}$ , and  $b_i$  and  $a_i$  are the slope and intercept, respectively, defined separately for each relationship. Empirically derived values for  $a_i$  and  $b_i$  can be found in Kattge & Knorr (2007). In addition, posterior values gained from a Markov Chain Monte Carlo simulation can be found in Ziehn *et al.* (2011). The algorithm is specifically designed for incorporation into global models, and has been incorporated into at least two published global simulations (Kattge *et al.*, 2009; Ziehn *et al.*, 2011) (discussed below). One potential problem with this model is that it may underestimate optimum temperature values for  $J_{max}$ . The values found by Kattge & Knorr range from 19.2 to 38.8 °C in plants growing under temperatures that varied from 11 to 30 °C (Kattge & Knorr, 2007). Results from at least one recent field study fall within this range (Bauerle *et al.*, 2007). However, tobacco plants grown under high temperature and high light had optimum temperature values for  $J_{max}$  values in excess of 40 °C (Yamori *et al.*, 2010). More research is needed to increase confidence in this value across warm-climate functional types.

#### *Dynamic response of autotrophic respiration to temperature*

At least two groups (McGuire *et al.*, 1992; Tjoelker *et al.*, 2001) have formulated algorithms to alleviate the problematic issue of using a fixed  $Q_{10}$  to model plant respiration by incorporating a temperature-dependent  $Q_{10}$  that more closely matches the instantaneous response



of respiration seen in the field. First, designers of the Terrestrial Ecosystem Model (TEM) used an early textbook example (Larcher, 1980) showing the decline of the  $Q_{10}$  of maintenance respiration with temperature. They fit a third degree polynomial to a dataset in which the value for  $Q_{10}$  stayed at about 2 at moderate temperatures between 5 and 20  $^{\circ}\text{C}$ , increased to 2.5 between 5 and 0  $^{\circ}\text{C}$  and decreased to 1.5 between 20 and 40  $^{\circ}\text{C}$ , with temperatures corresponding to the mean monthly temperature (McGuire *et al.*, 1992). Tjoelker *et al.* (2001) took this a step further by using field data from 56 species spanning from arctic to tropical species to fit a linear model for a temperature-dependent  $Q_{10}$  such that:

$$R_T = R_{\text{ref}} Q_{10}^{\frac{(T - T_{\text{ref}})}{10}} \quad (13)$$

and

$$Q_{10} = 3.22 - 0.046T \quad (14)$$

**Fig. 4** Dynamic responses of plant carbon exchange to temperature and CO<sub>2</sub>. (a) The dynamic response of photosynthesis to temperature given by the modified Farquhar *et al.* (1980) model of photosynthesis described by Kattge & Knorr (2007), which incorporates the acclimation of  $J_{\text{max}}$  and  $V_{\text{cmax}}$  to growth temperature (shown here as ' $t_{\text{growth}}$ '). Curves are shown for  $t_{\text{growth}}$  values of 10  $^{\circ}\text{C}$  (solid line), 25  $^{\circ}\text{C}$  (dashed line), and 40  $^{\circ}\text{C}$  (dotted line). Photosynthesis calculations follow Eqns (1–5) and (12) in the text. Parameterization for Eqn (12) follows from values given in Kattge & Knorr (2007).  $V_{\text{cmax}}$  is normalized to 1 at 25  $^{\circ}\text{C}$ .  $[C_i]$  is set at 380  $\mu\text{mol mol}^{-1}$ ,  $[O_i]$  at 2100  $\mu\text{mol mol}^{-1}$ ,  $Q$  at 1500  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ,  $\theta$  at 0.9, and  $\alpha$  at 0.3 mol electrons mol<sup>-1</sup> photon. (b) The response of photosynthesis to changes in intercellular CO<sub>2</sub> given by a modified Farquhar *et al.* (1980) model designed by Kattge *et al.* (2009) to incorporate the photosynthetic response to leaf nitrogen content. The solid, dashed, and dotted lines show the response at a leaf nitrogen level of 1, 3, and 5 g m<sup>-2</sup>, respectively. All three lines show the response of a C<sub>3</sub> meadow forb species, with parameters defined by Kattge & Knorr (2007) and Kattge *et al.* (2009). Photosynthesis calculations again follow Eqns (1–5) in the text with settings similar to panel a. Leaf temperature is fixed at 25  $^{\circ}\text{C}$ . (c) The response of dark respiration to temperature given by a respiratory acclimation algorithm (dotted line) described by Atkin *et al.* (2008) with growth temperatures (defined as  $T_a$  in the model) set at 5  $^{\circ}\text{C}$  (solid line), 25  $^{\circ}\text{C}$  (dashed line), and 40  $^{\circ}\text{C}$  (dotted line). The unacclimated rate is defined using Eqns (13) and (14) in the text and is adjusted using a correction factor given by Eqn (19) in the text.  $T_{\text{ref}}$  in all cases is set to 25  $^{\circ}\text{C}$ , at which respiration rates are normalized to 1  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . A C value of -0.00794, the value defined for the logR-logN relationship for preexisting leaves by Atkin *et al.* (2008), is used.

where  $R_T$  is the rate of respiration at temperature ( $T$ ) and  $R_{\text{ref}}$  is the rate of respiration at a reference temperature  $T_{\text{ref}}$  (Tjoelker *et al.*, 2001). These alterations cause the increase in respiration to decrease at higher temperatures and, in the case of the Tjoelker *et al.* (2001) model, produce a temperature optimum at which respiration peaks. These formulations are certainly a more realistic representation of the instantaneous response than a fixed  $Q_{10}$ , but they still do not incorporate acclimation to longer term growth conditions.

In contrast, a few research groups have formulated algorithms that do simulate acclimation of plant respiration to temperature (Wythers *et al.*, 2005; King *et al.*, 2006; Atkin *et al.*, 2008; Ziehn *et al.*, 2011). The first acclimation algorithm, formulated by King *et al.* (2006), follows from the work of Wythers *et al.* (2005). Wythers *et al.* (2005) used field data, including data from (Tjoelker *et al.*, 2001), on the temperature dependence of  $Q_{10}$ , to adjust algorithms used by the PnET family of models to incorporate temperature dependence of the  $Q_{10}$  of foliar respiration (an instantaneous adjustment similar to Eqn 14) and full acclimation of foliar respiration to

temperature. However, these algorithms were designed for an ecosystem-scale model. King *et al.* (2006) adjusted these algorithms for inclusion into a global-scale model. Their first algorithm is a derivation of the temperature-dependent  $Q_{10}$  algorithm of Tjoelker *et al.* (2001) that instead uses the energy of activation ( $E_{aT}$ ) to describe the rate of respiration at any temperature  $T$  ( $R_T$ ):

$$R_T = R_{\text{ref}} \exp \left[ \left( \frac{E_{aT}}{RT_{\text{ref}}} \right) \left( \frac{1-T}{T_{\text{ref}}} \right) \right] \quad (15)$$

where  $R_{\text{ref}}$  is the respiration rate at a reference temperature  $T_{\text{ref}}$ . The second algorithm modifies the first to create a full acclimation adjustment of the instantaneous response (i.e., Eqn 15) where  $R_a$  is the rate of respiration acclimated to  $T_a$ , which is defined as the average temperature over the four previous days, following:

$$R_a = R_{\text{ref}} \frac{R_T (1 - (0.9935 \ln(T_a) - 2.8308))}{R_T - 0.5(R_T - 1)} \quad (16)$$

where  $R_T$  is the rate at  $T$  from Eqn (15). This algorithm was created using empirical data from warming experiments, including that of (Gunderson *et al.*, 2000), and other published and unpublished data (A. King, personal communication). A global model incorporating these algorithms simulated greater global carbon storage than was seen in a simulation with a fixed temperature-sensitivity algorithm, with carbon storage being highest in the simulation incorporating the full acclimation model (i.e., Eqn 16) (King *et al.*, 2006). These results are interesting, but the data used to parameterize the model were limited. More empirical research is needed to help quantify the response of respiration to temperature across a wider range of species and plant functional types.

Although they do not report its impact on carbon fluxes, Ziehn *et al.* (2011) incorporated acclimation of autotrophic respiration in a modified version of BETHY, using a temperature-dependent formulation for the instantaneous response such that:

$$R_T = R_{\text{ref}} \exp \left( - \frac{T - T_{\text{ref}}}{10} \right) \left( \frac{(3.22 - 0.046T)^{(3.22 - 0.046T) / -0.046 \cdot 10}}{(3.22 - 0.046T_{\text{ref}})^{(3.22 - 0.046T_{\text{ref}}) / -0.046 \cdot 10}} \right) \quad (17)$$

where the relationship between the rate of respiration at the reference temperature ( $R_{\text{ref}}$ ) and the rate of carboxylation at a reference temperature ( $V_{\text{cmax, ref}}$ , similar to  $k_{25}$  in Eqn 5) acclimates to 30 day growing temperature ( $t_{\text{growth}}$ ) such that:

$$R_{\text{ref}} = [i_{\text{Rd}} - s_{\text{Rd}}(t_{\text{growth}} - T_{\text{ref}})] V_{\text{cmax, ref}} \quad (18)$$

where  $i_{\text{Rd}}$  and  $s_{\text{Rd}}$  are the intercept and slope of the relationship, respectively. The parameterization of the model differed for  $C_3$  and  $C_4$  species. The equation followed from results of a group of empirical studies (Tjoelker *et al.*, 2001; Atkin *et al.*, 2005; Wythers *et al.*, 2005) and the full derivation can be found in the supplementary materials of Ziehn *et al.* (2011). Although the impact of respiratory acclimation was not explicitly evaluated in this study, the results did show that the model was highly sensitive to changes in  $s_{\text{Rd}}$  for both  $C_3$  and  $C_4$  species (Ziehn *et al.*, 2011).

A final algorithm has been developed using the log-log relationship between leaf respiration, leaf mass-to-area ratio, and leaf nitrogen content (R-LMA-N) in 19 species of plants grown at four different temperatures, to account for respiratory acclimation to thermal history. Atkin *et al.* (2008) found that thermal history accounted for 20% of the variability in the log-log R-LMA-N relationship, with the impacts being highly predictable. From these experimentally derived relationships, they created an algorithm for whole plant respiration that incorporates the temperature to which the plant is acclimated using the following relationship:

$$R_a = R_T 10^{C(T_a - T_{\text{ref}})} \quad (19)$$

where  $R_T$  is the unacclimated rate of respiration,  $T_{\text{ref}}$  is the reference temperature,  $T_a$  is the average daily temperature in the preceding 10 days, and  $C$  is a constant that takes into account variation in the intercept of the log-log relationships that is due to acclimation to  $T_a$ . The authors then incorporated this algorithm into a global model using a  $C$  value defined for the log-log relationship between leaf nitrogen and respiration for preexisting leaves ( $C = -0.00794$ ) and found that the new algorithms led to large decreases in respiration in warm, tropical regions, and moderate increases in cool, temperate ecosystems. These changes largely offset each other, implying that the incorporation of respiratory acclimation into models may be more important for regional-scale results than for those evaluated on a global scale (Atkin *et al.*, 2008).

#### Dynamic response of photosynthesis to $\text{CO}_2$

Several new modeling approaches permit dynamic responses of photosynthesis to  $\text{CO}_2$  by allowing the  $\text{CO}_2$  fertilization response to become limited by environmental nitrogen availability. The models include the CLM-CN (Thornton *et al.*, 2009), TEM (Sokolov *et al.*, 2008), and O-CN (Zaehle & Friend, 2010) models, each of which couple carbon assimilation with environmental nitrogen availability. These models also include full nitrogen cycles as part of the biogeochemical model

that is coupled with the vegetation model, a requirement for incorporating nitrogen limitation responses to photosynthesis. Carbon-nitrogen (CN) coupling has been shown to reduce simulated global carbon uptake in response to elevated CO<sub>2</sub> by up to 74% (Thornton *et al.*, 2007). Zaehle *et al.* (2010) found that this effect was slightly dampened in mid-high latitudes when dynamic temperature effects were considered. However, this dampening was minor on the global scale, due to offsetting responses in tropical regions. Although they are more mechanistically comprehensive, dynamic CN models still do not account for down-regulation of photosynthesis in response to limitation by other factors that might limit photosynthesis (e.g., phosphorus, Lewis *et al.*, 2010), particularly in tropical regions of the world (Townsend *et al.*, 2011; but see Wang *et al.*, 2010).

These models are still very recent, and may be further enhanced by a comprehensive understanding of how nitrogen effects photosynthesis, such as was attained by Kattge *et al.* (2009), who used >700 data points from empirical studies to quantify the linear response of  $V_{\text{cmax}}$  to leaf nitrogen content for 10 plant functional types. These functions, used in conjunction with the temperature acclimation algorithm mentioned above (Kattge & Knorr, 2007), reduced the error between observed productivity (given by stand-scale data for relevant forest vegetation types) and modeled global productivity by 17% globally, and up to 40% in some regions. However, this simulation did not include a full nitrogen cycle, which likely would have affected these results (Kattge *et al.*, 2009).

Some vegetation models use an optimization principle to model plant carbon exchange [e.g., Lund-Potsdam-Jena (LPJ), IBIS]. The basis behind this principle is that Rubisco capacity ( $V_{\text{cmax}}$ ), which governs photosynthesis and respiration in these models, is optimized by plants to maintain a maximum rate of carbon gain under the ambient PAR levels. Thus, carboxylation is only up-regulated if the increase in carbon uptake is not 'outweighed' by the carbon lost in respiration (see Haxeltine & Prentice, 1996a,b; Sitch *et al.*, 2003). This phenomenon does not correspond to a mechanism observed in the field, but could potentially produce results consistent with Rubisco down-regulation in response to elevated CO<sub>2</sub>. These types of optimization models provide a simple alternative to more mechanistically complex models, but the omission of important mechanisms that drive these responses, such as nitrogen limitation of photosynthesis, may decrease the predictive ability of this approach. A recent model of nitrogen optimization has also been developed and has shown promising results in terms of simulating photosynthetic responses to altered environmental conditions such as elevated CO<sub>2</sub>

and temperature, but this model uses assumptions that have yet to be fully validated in the field (Xu *et al.*, 2012). More empirical research is needed to investigate the biological relevance of optimization-type responses, as they may prove to be important, overlooked mechanisms.

#### *Respiration to photosynthesis coupling*

Many process-based models prescribe a constant  $R:P$ , or similarly  $GPP:NPP$ , ratio (Potter *et al.*, 1993; Aber *et al.*, 1996; Landsberg & Waring, 1997; Kucharik *et al.*, 2000; Pepper *et al.*, 2005; Thornton & Rosenbloom, 2005; Van Oijen *et al.*, 2005; Sokolov *et al.*, 2008; Kattge *et al.*, 2009; Nemani *et al.*, 2009; Friend, 2010). This assumes that all plants release the same percentage of CO<sub>2</sub> assimilated through photosynthesis regardless of climate. This relationship is acclimatory in the sense that changes in photosynthesis in response to temperature result in adjustments of the response of respiration to temperature; however, if deviations from this ratio occur under stressful environmental conditions, as has been seen in many empirical studies (Tjoelker *et al.*, 1999; Loveys *et al.*, 2002; Atkin *et al.*, 2007; Campbell *et al.*, 2007; Harley *et al.*, 2007; Gratani *et al.*, 2008; Way & Sage, 2008), then the use of a constant ratio would yield unrealistic results. The validity of the use of a constant  $R:P$  ratio likely depends on the time scale of interest in the study, as variations in the ratio seen over short time scales may average out over longer periods of time. Additional empirical investigations of carbon flow in terrestrial systems that follow from theoretical analyses (e.g., Van Oijen *et al.*, 2010) are needed to better describe the  $R:P$  relationship at time scales relevant for climate models.

#### **Suggestions for experimental-modeling collaboration**

We have highlighted three processes that have been shown to affect the responses of plant carbon exchange to environmental changes in the field, but that are rarely incorporated into ESMs. We believe these processes constitute ideal examples of areas in which more detailed interactions between experimental and modeling activities would be beneficial. Such combined model-experiment endeavors can lead to the design of field experiments with models in mind (e.g., by measuring responses used in common carbon exchange models) and the use of models to help guide experimental research (e.g., identifying responses that the model does a poor job of simulating).

To design models that more accurately simulate feedbacks between the atmosphere and terrestrial ecosystems, empirical studies should continue to assess

variation in plant acclimatory ability, of which there are many sources (see Table 2). More empirical data can be used to improve confidence in algorithms over a wider range of species; in particular, representatives of the functional types that are used in models, but not often studied experimentally, such as tropical species. However, these measurements must be taken in a way that can benefit modeling studies. For example, photosynthetic acclimation to temperature can be measured relatively simply, by recording how temperature optima of net photosynthesis shift in response to changes in growth environment (e.g., Slatyer & Morrow, 1977; Mooney *et al.*, 1978; Gunderson *et al.*, 2010). However, in most models, specifically those implementing versions of the FvCB model, net photosynthesis is a function of photosynthetic capacity and stomatal conductance. Therefore, the temperature responses of variables within biophysical models (e.g.,  $V_{\text{cmax}}$  and  $J_{\text{max}}$ ) are more important for model formulation. The temperature responses of these variables are less often evaluated (e.g., Medlyn *et al.*, 2002b; Bauerle *et al.*, 2007; Silim *et al.*, 2010) as the measurements are more time-intensive, but these responses should receive greater attention in future studies. Also, researchers should be careful about controlling for additional factors that affect the temperature response of photosynthesis, including daytime respiration responses to temperature and stomatal responses to vapor pressure deficit (Lin *et al.*, 2012). Finally, although most studies examine acclimatory responses of photosynthesis in terms of shifting temperature optima, shifts in the slope and/or intercept of the initial instantaneous response could be of equal or greater importance, particularly at suboptimal temperatures.

Respiratory temperature acclimation is typically treated differently than photosynthetic acclimation. The instantaneous respiratory response to temperature resembles an exponential response over a wide range of temperatures, which leads to the representation of respiratory responses using  $Q_{10}$  values and/or basal respiration rates (rates at a standard temperature) rather than temperature optima (e.g., Billings *et al.*, 1971; Smith & Hadley, 1974; Gifford, 1995; Larigauderie & Korner, 1995; Tjoelker *et al.*, 1999, 2009). However, as noted above, the instantaneous response is not truly exponential; rather, it is a peaked function similar to the one seen for photosynthesis (Tjoelker *et al.*, 2001), albeit with a much higher optimum temperature. Investigators should be careful not to treat the response as strictly exponential, particularly in cases where the optimum cannot be reached experimentally. In such cases, researchers should carefully examine changes in the instantaneous response or  $Q_{10}$  values between temperature intervals, which might allow for estimation of the

temperature optimum. Since a biochemical model has not been developed for plant respiration, these measurements should be taken with acclimatory models in mind (e.g., King *et al.*, 2006; Atkin *et al.*, 2008) to ensure that the measurements can be easily used to parameterize such models. In the case of temperature responses of photosynthesis and respiration, a great deal of uncertainty remains as to how plants respond at very high temperatures. As such, future studies should examine responses at high temperatures, beyond the optimum.

Acclimation responses can be assessed in as little as a few days, but it may take years to identify and characterize biogeochemical limitations (see Fig. 1). Therefore, longer term experiments are needed to assess these responses in the field. However, more controlled experiments could be used to examine the cumulative effect of elevated  $\text{CO}_2$  and experimentally altered nutrient availability on plant carbon exchange processes. There are many regions in which these types of studies are underrepresented – tropical regions in particular (Luo, 2007). Comparisons of the responses of tropical plants with those of temperate plants would help to determine the importance of seasonal climatic variability for acclimation potential. Multi-factor field experiments can help determine the importance of interactive effects of other elements of global change (e.g., water availability (Crous *et al.*, 2011) and temperature effects on nitrogen mineralization (Rustad *et al.*, 2001)) for plant carbon exchange responses to temperature and  $\text{CO}_2$  (Luo, 2007). Consideration also should be given to how temperature and  $\text{CO}_2$  affect the allocation of carbon within plant leaves (e.g., Loveys *et al.*, 2002) and among different organs (e.g., Ziska & Bunce, 1998), as these likely have important implications for  $R:P$  ratios and long-term carbon storage (Franklin, 2007).

The interactive effects of elevated  $\text{CO}_2$  and temperature should also be considered, particularly with regard to photosynthesis. Some models, including the FvCB model, predict that stimulation of photosynthesis by elevated  $\text{CO}_2$  will be greatest in warm regions of the world (Hickler *et al.*, 2008). Empirical tests of this prediction, as well as simulations using modifications of such models that include the long-term responses mentioned here would provide valuable insight into its validity. Of particular interest would be the evaluation of this response within a model framework that allows for biogeochemical limitation of photosynthesis.

In addition, when considering long-term responses, field studies should give consideration to whether plant tissues developed under new growth conditions. Tissues developed under new growth conditions have been shown to have higher acclimatory potential than those forced to respond to environmental changes after development (Stitt & Hurry, 2002; Atkin & Tjoelker,

2003; Campbell *et al.*, 2007). Over time scales relevant to the ongoing changes in climate (e.g., decades), changes in developmental conditions will likely influence photosynthetic and respiratory plant carbon fluxes.

Model parameterization will also likely benefit from the use of plant trait databases (e.g., TRY (Kattge *et al.*, 2011)), which are becoming more readily accessible. For example, Ziehn *et al.* (2011) used leaf-level plant trait data and a Bayesian random sampling method to constrain a modified version of the Farquhar *et al.* (1980) model. The authors found that this method was able to significantly reduce uncertainty in the global simulation of leaf photosynthesis. However, much of the remaining uncertainty was associated with temperature acclimation and stomatal response to CO<sub>2</sub>, implying that more empirical research is needed to refine the understanding of these responses (Ziehn *et al.*, 2011).

Comparisons of results from model simulations that do and do not incorporate these types of acclimation algorithms (e.g., through benchmarking activities (Randerson *et al.*, 2009)) will also have value for the empirical and modeling communities. Early results suggest that incorporation of dynamic responses can lead to gross over- or underestimation of global fluxes of terrestrial carbon relative to those predicted by static models (King *et al.*, 2006; Thornton *et al.*, 2007) and may have even greater influences at local scales (Wythers *et al.*, 2005; Atkin *et al.*, 2008; Kattge *et al.*, 2009; Friend, 2010). Although these results highlight the potential impact of the incorporation of these long-term responses, they do not highlight areas that need further empirical evaluation. Therefore, sensitivity analyses that investigate which parameters have the largest impact on the model output will be useful (e.g., Zaehle *et al.*, 2005; Ziehn *et al.*, 2011; Booth *et al.*, 2012). Such an analysis was performed by Ziehn *et al.* (2011), who found that after using plant trait data to reduce the uncertainty in net leaf assimilation, four parameters associated with temperature acclimation of photosynthesis and respiration and the stomatal response to CO<sub>2</sub> could explain the majority of the remaining variation in net leaf assimilation in a terrestrial biosphere model (BETHY). Additional sensitivity studies can help to identify the most important parameters within models, and also when, to what extent, and at what scales the processes leading to acclimation and biogeochemical limitation are relevant and need to be taken into account in global models. Ultimately, these studies should investigate how the inclusion of these longer term responses of vegetation to changes in temperature or CO<sub>2</sub> translates to long-term changes in the carbon pool size at and above the level of the ecosystem.

## Conclusions

Results from field experiments suggest that the algorithms for plant carbon exchange (i.e., photosynthesis and respiration) used in many models do not accurately represent long-term responses. Specifically, plant photosynthesis and respiration can acclimate to long-term changes in temperature and elevated CO<sub>2</sub>, and photosynthetic responses to elevated CO<sub>2</sub> can be down-regulated due to biogeochemical limitations. Several groups have devised new, and adjusted old, carbon cycling algorithms to account for these dynamic responses in a mechanistic manner (e.g., King *et al.*, 2006; Kattge & Knorr, 2007; Thornton *et al.*, 2007; Sokolov *et al.*, 2008; Friend, 2010; Zaehle & Friend, 2010). These new algorithms have been incorporated into some models (e.g., King *et al.*, 2006; Thornton *et al.*, 2007, 2009; Atkin *et al.*, 2008; Sokolov *et al.*, 2008; Kattge *et al.*, 2009; Friend, 2010; Zaehle *et al.*, 2010; Ziehn *et al.*, 2011), but to date, to our knowledge, no published model has incorporated independent dynamic responses of both plant photosynthesis and respiration to temperature and CO<sub>2</sub> (Fig. 3). These longer term responses may act to increase (temperature acclimation) or decrease (biogeochemical limitation) terrestrial productivity and carbon storage. As these responses each act on different time scales and may differ by region, the cumulative effect is difficult to predict.

We do not suggest that models incorporating the mechanisms mentioned in this study will immediately simulate observed conditions more accurately; rather, we suggest that the omission of important biological mechanisms for the purpose of matching observed data or simplifying model structure may lead researchers to unwittingly sacrifice the quality of future climate projections in the name of better representing current conditions. Rather than developing models that produce the right answer for what might be the wrong reason, we should seek to incorporate known mechanisms now, and observe how the inclusion of these mechanisms alters projected climate feedbacks. Results from such experiments are important not only for evaluating the models themselves but also for identifying areas that deserve immediate attention from the empirical community.

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