

1 **Plant roots sense soil compaction through restricted ethylene diffusion**

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35 **Abstract:**

36 Soil compaction represents a major challenge for modern agriculture. Compaction is intuitively
37 thought to reduce root growth by limiting their ability to penetrate harder soils. We report that root
38 growth in compacted soil is instead actively suppressed by the volatile hormone ethylene. Mutant
39 roots insensitive to ethylene penetrate compacted soil more effectively than wildtype. We
40 demonstrate that roots sense mechanical impedance by employing the gaseous signal ethylene, as
41 soil compaction lowers gas diffusion through a reduction in air-filled pores, causing ethylene to
42 accumulate in root tissues and trigger hormone responses that restrict growth. We propose that
43 ethylene acts as an early warning signal for roots to avoid compacted soils, revealing approaches
44 to breed crops resilient to soil compaction.

45 **118/125 words**

46 **One Sentence Summary:**

47 Roots sense soil compaction employing the gaseous signal ethylene.

48

49 Soil compaction impacts global crop cultivation by reducing root penetration in both the
50 upper and deeper soil layers (1). Modern agricultural practices have exacerbated soil compaction,
51 largely due to intensification of operations leading to the deployment of heavier machinery and
52 tillage practices (2, 3), severely degrading ~ 65 million hectares of land globally (4). Compaction
53 increases soil bulk density and reduces soil porosity, limiting the availability and transport of water
54 and nutrients (4, 5). The decrease in soil pore space, especially in large air-filled pores (Fig. 1, A-
55 D; figs. S1 and S2 and *Movie S1 and S2*) also restricts diffusion of gases between roots and the
56 rhizosphere (6). To deal with compacted soils and penetrate cracks, roots are reported to undergo
57 adaptive growth responses, including increasing radial expansion of root tips (1). However, the
58 predominant response of roots is cessation of growth of which the mechanistic basis remains
59 unclear. Here, we report that entrapped ethylene functions as a key signal regulating root growth
60 in compacted soils.

61 Ethylene is produced by root tissues and its level increases when roots are exposed to
62 compacted soil (7, 8). Ethylene concentrations outside the root could increase due to the reduction
63 in soil pore space in compacted soil, impacting gas diffusion from root tissues (Fig 1A-D; figs. S1
64 and S2). To test this ‘restricted gas diffusion’ model, we used the EIN3-GFP Arabidopsis ethylene
65 response reporter (9; fig. S3, A-C) and examined the effect of covering root tips with a gas
66 impermeable barrier. In agreement with model assumptions, restricting gas diffusion from root tip
67 tissues triggered a rapid and sustained increase in EIN3-GFP in root elongation zone cell nuclei
68 compared to controls (Fig. 1, F versus E; fig. S3, D-G). This result is consistent with (a) limitation
69 of ethylene release from root tip tissues and (b) changes in gas diffusion rate between roots and
70 the external environment inducing ethylene accumulation and signalling. To rule out that changes
71 in ethylene signalling were related to reduced oxygen levels in root tip tissues, we treated roots

72 expressing hypoxia markers *pPCO1:GFP-GUS*, *pPCO2:GFP-GUS* (10) and *RAP2.12-GFP* (11)
73 with the gas impermeable barrier. Hypoxia reporters were not induced by the gas barrier but were
74 induced by submergence (figs. S4 to S6). We conclude that EIN3-GFP induction results from
75 restricted ethylene diffusion, rather than hypoxic conditions (11).

76 Roots exposed to elevated levels of ethylene exhibit growth inhibition (Fig. 1, I and J)
77 which phenocopies the impact of soil compaction (Fig. 1, G and H). We observed that rice roots
78 grown in 1.1 g cm⁻³ (uncompacted) versus 1.6 g cm⁻³ (compacted) soil bulk densities exhibit
79 reduced root length when exposed to compacted conditions (fig. S7, A and B). Root anatomical
80 analysis revealed that compaction caused a three-fold decrease in epidermal cell length (fig. S7C),
81 matched by a three-fold increase in cortical cell diameter (compare Fig. 1, G and H, and fig. S7D).
82 Similarly, ethylene treatment reduces root length (fig. S8A) whilst increasing root width (Fig. 1, I
83 and J), by decreasing epidermal cell length and increasing cortical cell diameter (fig. S8, B and C).

84 To directly test the functional importance of ethylene during soil compaction, we examined
85 root growth responses of wildtype (WT) rice versus ethylene insensitive mutants *osein2* and *oseil1*
86 (12). *OsEIN2* (*ETHYLENE INSENSITIVE2*) encodes a key ethylene signaling component (13).
87 *OsEIL1* (*EIN3-like 1*) encodes a critical transcription factor in the ethylene transduction pathway
88 downstream of *OsEIN2* (9). Mutations in rice *OsEIN2* and *OsEIL1* genes confer ethylene
89 insensitive root elongation phenotypes (12; fig. S9, A and B). To analyse the impact of soil
90 compaction on WT rice versus *osein2* root growth, lines were grown in columns either entirely
91 filled with uncompacted soil (1.1 g cm⁻³) or highly compacted soil (1.6 g cm⁻³ with a 1 cm top layer
92 packed 1.1 g cm⁻³ to help establish seedling root growth). Penetrometer resistance analysis
93 demonstrated that root elongation rate is sensitive to increased soil strength (fig. S10).

94 To quantify the impact of soil compaction on root length of WT versus ethylene mutant
95 lines, we employed the non-invasive X-ray imaging approach, Computed Tomography (CT; Fig.
96 2, A to G). CT imaging revealed that, unlike WT (Fig. 2B), both *osein2* and *oseill* roots were able
97 to penetrate highly compacted soil (Fig. 2, D and F; quantified in Fig. 2G). This result reveals
98 ethylene signalling is critical for triggering root growth responses upon soil compaction.
99 Anatomical analysis of rice mutant roots further demonstrated that under compacted soil
100 conditions, *osein2* and *oseill* root epidermal cells continued to elongate normally, whilst cortical
101 cells did not undergo radial expansion (figs. S11 and S12) compared to WT (fig. S13). Moreover,
102 this growth response also occurs in other classes of roots, since primary and lateral root growth
103 and cortical responses induced by soil compaction are blocked in the ethylene insensitive
104 *Arabidopsis* mutant *etr1* (figs. S14 to S17). Similarly, ethylene insensitive mutants in rice (*osein2*
105 and *oseill*) and *Arabidopsis* (*ein3eill*) accumulated significantly higher shoot and root biomass in
106 compacted soil conditions compared to WT (figs. S18 and S19). Hence, our rice and *Arabidopsis*
107 mutant analysis reveals ethylene plays an inhibitory role in both monocot and eudicot root (and
108 shoot) tissues when experiencing soil compaction.

109 Our results suggest that reduced root growth triggered by soil compaction does not arise
110 from mechanical impedance, but instead represents a timely response controlled by ethylene,
111 perhaps to avoid growth in compacted soils (14). To discriminate between the effects mediated by
112 mechanical impedance versus ethylene, we compared their impact on root tip shape. Soil
113 compaction causes WT rice roots to double in width and their root cap to develop a ‘flattened’
114 shape (compare Fig. 2, H and I). Soil compaction-induced radial growth and root cap shape
115 changes were blocked in *osein2* (Fig. 2, J and K, and O). Hence, root tip shape changes induced
116 by soil compaction appear to be controlled primarily by ethylene and not by mechanical

117 impedance. Indeed, ethylene treatment alone was sufficient to trigger equivalent changes in root
118 width (Fig. 1, I and J, and fig. S8, B and C) and cap shape (Fig. 2, L to N, and fig. S20 similar to
119 roots exposed to soil compaction. Therefore, ethylene represents a critical signal in plants
120 controlling shape changes underpinning root compaction responses.

121 Given ethylene's functional importance during root responses to compaction, we
122 investigated whether soil mechanical impedance triggered increased ethylene signaling in root
123 tissues. We employed transgenic *Arabidopsis* and rice either expressing an ethylene biosensor
124 featuring *EIN3* (9) or *OsEIL1* sequences fused with GFP (fig. S21). In uncompacted soil,
125 *35S:EIN3-GFP* or *proOsEIL1:OsEIL1-GFP* reporters in root nuclei were not detectable (Fig. 3, A
126 and D). However, when reporter lines are grown in compacted soil, both ethylene reporters were
127 detected in root elongation zone cells (Fig. 3, B and C, and E). To probe the role of ethylene in
128 other soil types, we grew rice reporter lines in two other soils. Compaction triggered a root ethylene
129 response in clay soil (figs. S21 and S22), and sandy loam soil (Fig. 3E, and fig. S23). Hence, the
130 ethylene-based compaction mechanism appears to operate in different soil types.

131 How does soil compaction induce elevated ethylene signaling in root tissues? Mechanical
132 impedance could cause roots to upregulate ethylene synthesis. Profiling of the ethylene precursor
133 1-aminocyclopropane-1-carboxylic acid (ACC) in excised rice root tips detected no change in
134 levels after growth in compacted soil versus non-compacted controls (fig. S24). Alternatively,
135 plant roots may sense soil compaction by monitoring ethylene levels. Mathematical modelling
136 predicted slower outward ethylene diffusion rates under compacted soil conditions (Fig. 3H and
137 fig. S25) due to the decreased volume of air-filled pores (1; Fig. 1, A-D and *movie S1* and *S2*).
138 This will result in a higher ethylene concentration close to roots (Fig. 3, F and G) and therefore in
139 root cells, consistent with soil compaction triggering an ethylene response (Fig. 3, B, C and E).

140 We directly tested whether soil compaction restricted gas diffusion by experimentally
141 measuring ethylene's ability to move through compacted versus uncompacted soil. A 1cm thick
142 soil column (connecting two air-filled chambers) was either left empty (control) or filled with
143 uncompacted soil (1.1 g cm^{-3}) or compacted soil (1.6 g cm^{-3}) (Fig. 3I and S25B). Ethylene was
144 injected into the upper chamber (an increase in pressure was avoided) and ethylene concentrations
145 were subsequently measured over time in the lower chamber until an equilibrium was reached
146 between the chambers. In agreement with gas diffusion simulations, ethylene levels rapidly
147 reached an equilibrium with the lower chamber in control conditions without soil resistance (Fig.
148 3I). Ethylene was also able to diffuse through uncompacted soil, albeit 10-50 times more slowly
149 than the empty control (Fig. 3I). In contrast, ethylene was unable to diffuse through compacted
150 soil, and was still undetectable in the lower chamber at 20 days (Fig. 3I). This result demonstrates
151 that soil compaction and the associated increase in soil moisture, due to less porosity, impacts
152 ethylene diffusion rates, consistent with our 'restricted gas diffusion' model. This much slower
153 ethylene diffusion in compacted soil results in an enhanced ethylene response in root cells. This
154 entrapped ethylene gas provides a fast and reliable signal for plants to interact with their
155 environment since nearly all roots produce ethylene under normoxic conditions (15).

156 Our results reveal how roots regulate growth responses to soil compaction. First, the
157 inhibition of root growth by compacted soils is triggered by ethylene signalling, rather than simply
158 by mechanical forces. Second, rather than using a dedicated mechano-perception mechanism, roots
159 appear to sense soil compaction through restricted diffusion of this gaseous signal from the plant
160 cells to the soil, causing ethylene to accumulate in root expansion zone cells, and inhibiting
161 elongation growth. Third, compaction and soil moisture status appear to impact root elongation,
162 not only because they control soil strength, but also through regulating ethylene diffusion. Fourth,

163 we propose that ethylene acts as an early warning signal for roots to avoid compacted soils (14)
164 providing a pathway for how breeders could select crops resilient to soil compaction.

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191

192 **Acknowledgments:** We thank R. Ashton for performing soil penetrometer assays, D. Weijers and
193 D. Grierson for advice whilst drafting the manuscript, J. Dewick and P. Mehra for assisting with
194 its submission and Otto van de Beek, Henkjan Siekman and Matthijs Krijnen of Jobshop, Utrecht
195 University, for designing and creating the ethylene diffusion device. We thank Jinsong Zhang for
196 providing rice *oseil1/mhz6-1*, *osein2/mhz7-1* and *proOsEIL1:GUS*; Francesco Licausi for
197 *PCO1/2:GFP-GUS* and Xin Zhou for *Arabidopsis ein3eil1* lines.

198

199 **Funding:** This work was supported by Biotechnology and Biological Sciences Research Council
200 (BB/G023972/1, BB/R013748/1, BB/L026848/1, BB/M018431/1, BB/PO16855/1,
201 BB/M001806/1, BB/M012212, BB/P016855/1); ERC FUTUREROOTS Advanced grant 294729;
202 B.K.P is supported by Future-Food Beacon and Challenge Grant-Royal Society
203 (CHG\R1\170040). G.H. is supported by China Postdoctoral Science Foundation Project
204 (2019M661486) and Shanghai Post-doctoral Excellent Program (2018063). R.B. is supported by
205 BBSRC Discovery and Future Food Beacon Nottingham Research Fellowships. O.M. and M.K. is
206 supported by Saclay Plant Sciences-SPS (ANR-17-EUR-0007) and Czech Foundation Agency
207 (GJ20-25948Y), respectively. K.L. acknowledges support from the Swedish Foundation for
208 Strategic Research (VINNOVA), the Swedish Research Council (VR) and the Knut and Alice
209 Wallenberg foundation (KAW).

210 **Author contributions:** B.K.P., G.H., R.B., S.H., L.A.C.J.V., J.P.L., K.B., W.R.W., S.J.M., K.L.,
211 D.Z., and M.J.B. designed experiments; B.K.P., G.H., R.B., S.H., L.J., C.J.S. and M.K. performed
212 experiments. O.M. performed modelling. B.K.P., G.H., D.Z., and M.J.B. wrote the manuscript.

213 **Competing interests:** Authors declare no competing interests.

214 **Data and materials availability:** No restrictions are placed on materials, such as materials
215 transfer agreements. Details of all data, code, and materials used in the analysis are available in
216 the main text or the supplementary materials.

217 **Supplementary Materials**

218 Materials and Methods

219 Figs. S1 to S25

220 Movies S1 and S2

221 References (16-20)

222 MDAR Reproducibility Checklist

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233 **Figures:**

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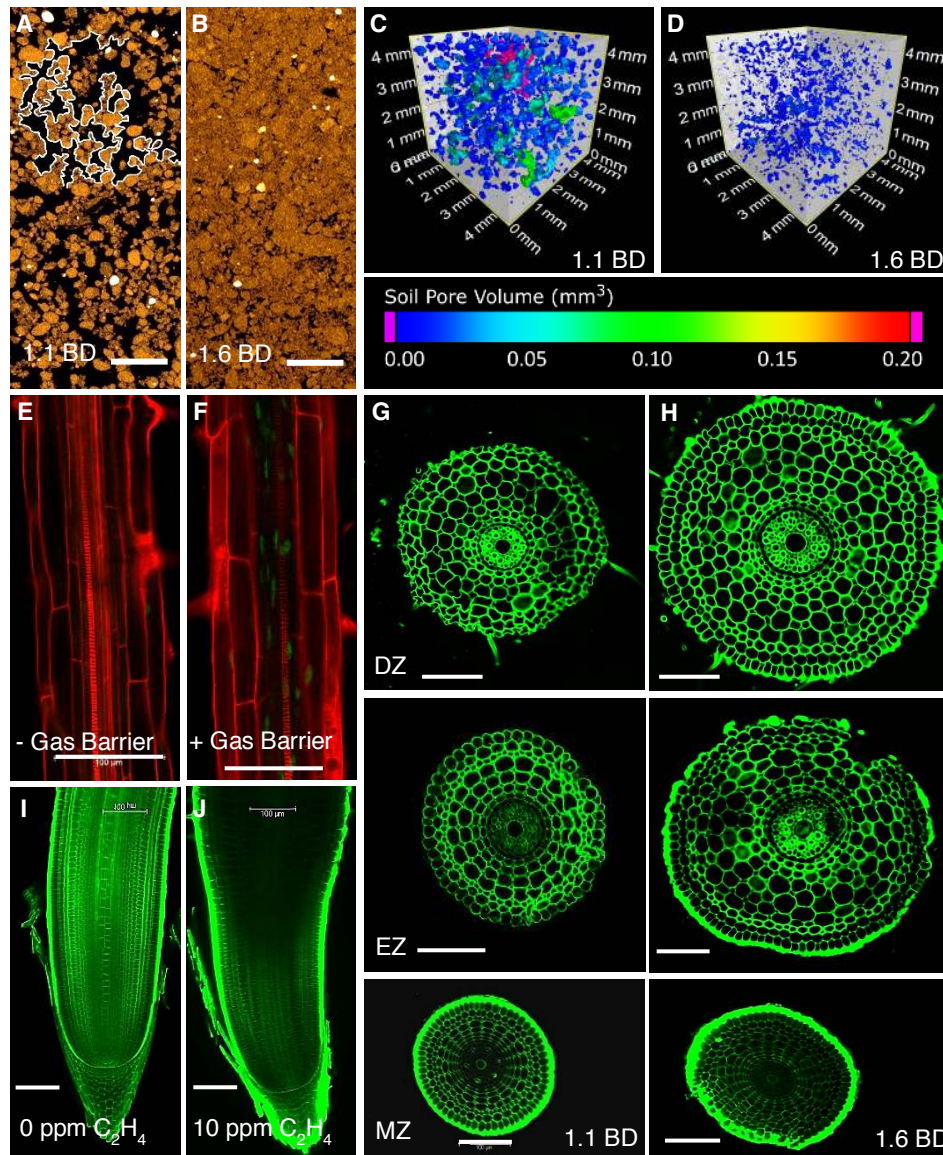
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266 **Fig. 1 Soil compaction reduces the larger pores and triggers root growth responses**
 267 **mimicking ethylene treatment.** (A and B) CT images showing higher porosity (outlined in white)

268 in uncompacted (1.1 g cm⁻³ bulk density [BD]) (A) versus compacted soil (1.6 BD) (B). (C and D)

269 Representative 3D images of air-filled soil pores for a 100 x 100 x 100 voxel region from 1.1 BD

270 (C) and 1.6 BD (D) soil cores. (E and F) Arabidopsis EIN3-GFP reporter exhibits elevated signal

271 after covering root tip with high vacuum silicone grease (+Gas Barrier) for ten hours (F) compared

272 to control (-Gas Barrier) (E). (G and H) Confocal images of radial cross sections of rice primary

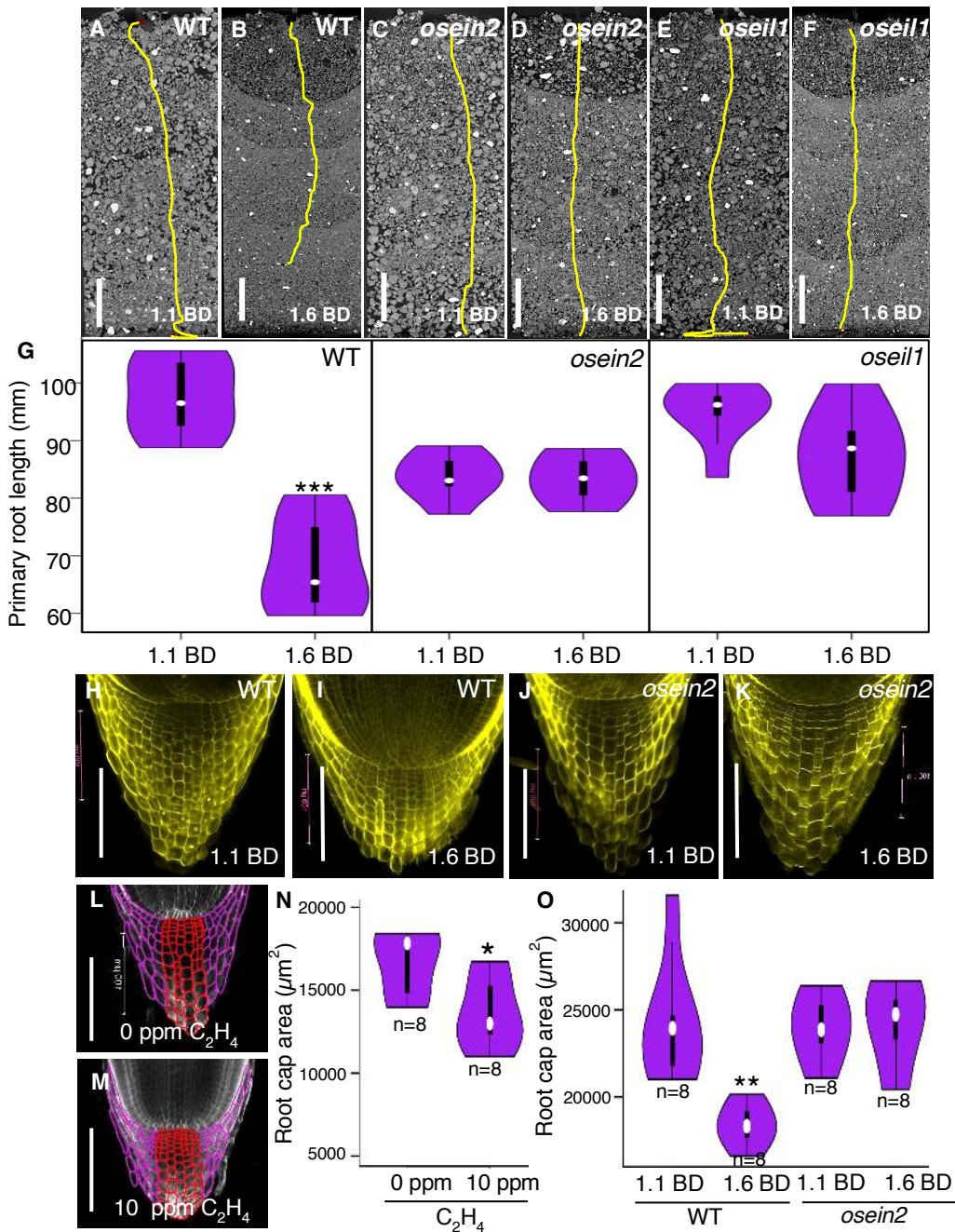
273 roots through meristem (MZ), elongation (EZ) and differentiation (DZ) zones grown in 1.1 BD

274 (G) and 1.6 BD (H) soils. (I and J) Compared to control roots (I), 10 ppm ethylene treated rice

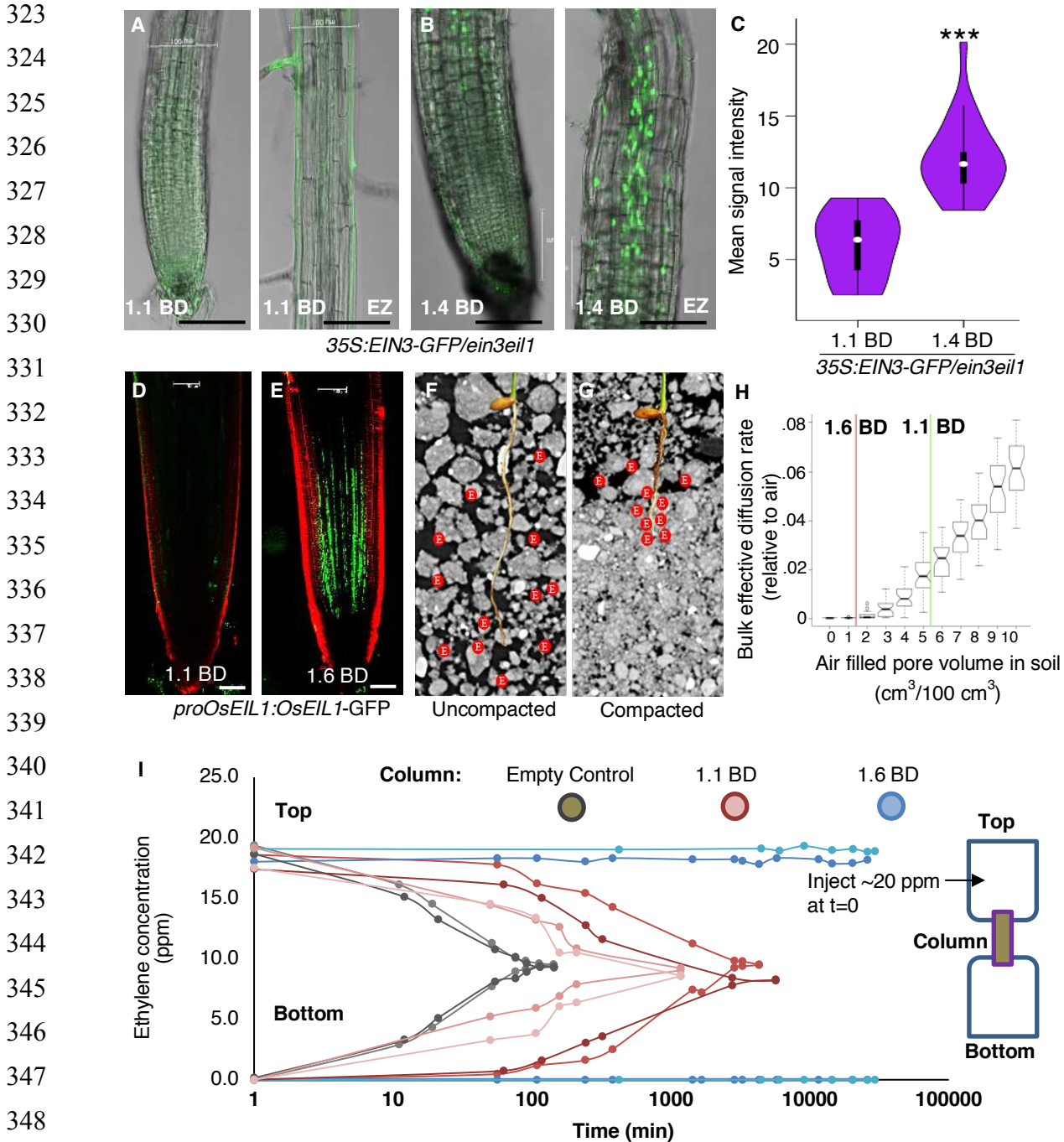
275 roots exhibit cortical cell expansion (J), mimicking the effect of compacted soil conditions (H).

276 Bars, 1.25 mm in A and B, and 100 μm in G to J.

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312 **Fig. 2 Disrupting ethylene response in rice confers root growth resistance to compacted soil.**
 313 (A to F) CT images of primary roots of WT (A and B), *osein2* (C and D) and *oseil1* (E and F) in
 314 1.1 BD (A, C and E) vs 1.6 BD (B, D and F). (G) Violin plots of primary root length in
 315 uncompacted (1.1 BD) versus compacted (1.6 BD) conditions for WT (wildtype), *osein2* and *oseil1*
 316 rice seedlings. (H to K) Representative images showing root cap area in WT (H and I) and *osein2*
 317 (J and K) in 1.1 BD (H and J) vs 1.6 BD (I and K). (L and M) Ethylene treatment of WT roots
 318 showing reduction in root cap area (M versus L). (N) Violin plots showing reduction of root cap
 319 area after ethylene treatment. (O) Violin plots showing reduction of root cap area of WT but not
 320 *osein2* when grown in 1.6 BD versus 1.1 BD. Columella cells are marked in red (L and M). *, **
 321 and *** show *p* value ≤ 0.05 , 0.001 and 0.0001 , respectively determined using Student's *t*-test.
 322 Bars, 10 mm in A to F and 100 µm in H to M.



349 **Fig. 3 Compacted soil reduces ethylene diffusion and enhances root ethylene signalling.** (A
 350 and B) *Arabidopsis* ethylene reporter EIN3-GFP exhibits no nuclear GFP signal when grown in
 351 uncompact soil (1.1 BD) (A), but is clearly detected in root EZ (elongation zone) cells when
 352 grown in compacted soil (1.4 BD) (B). (C) Violin plot of GFP signal in 1.1 BD versus 1.4 BD in
 353 EZ of *35S:EIN3-GFP/ein3eil1*. (D and E) Compared to 1.1 BD (D) rice OsEIL1-GFP based
 354 ethylene translational reporter exhibits elevated signal in compacted soil condition (1.6 BD) (E).
 355 (F and G) Schematic figures of ethylene diffusion (denoted by red circles) in uncompact (F)
 356 versus (G) compacted soil, illustrating preferential accumulation of ethylene around and in root
 357 tissues. (H) Model simulation showing rate of bulk diffusion of ethylene in soil pores in

358 uncompacted (green line) and compacted soil (red line). % air equates to $\text{cm}^3/100\text{cm}^3$ (I)
359 Graphical representation of quantification of ethylene across 1.1 BD and 1.6 BD soil layers (1 cm).
360 20 ppm of ethylene was injected in top chamber. Subsequently, ethylene diffusion in bottom
361 chamber was measured across empty, uncompacted (1.1 BD) and compacted (1.6 BD) soils using
362 GC-MS. *** shows $p \leq 0.0001$ evaluated using Student's *t*-test.