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## Plasmacytoid Dendritic Cells: Recent Progress and Open Questions

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## Abstract

Plasmacytoid dendritic cells (pDCs) are specialized in rapid and massive secretion of type I interferon (IFN- $\alpha/\beta$ ) in response to foreign nucleic acids. Combined with their antigen presentation capacity, this powerful functionality enables pDCs to orchestrate innate and adaptive immune responses. pDCs combine features of both lymphocytes and classical dendritic cells and display unique molecular adaptations to nucleic acid sensing and IFN production. In the decade since the identification of the pDC as a distinct immune cell type, our understanding of its molecular underpinnings and role in immunity has progressed rapidly. Here we review select aspects of pDC biology including cell fate establishment and plasticity, specific molecular mechanisms of pDC function, and the role of pDCs in T cell responses, antiviral immunity, and autoimmune diseases. Important unresolved questions remain in these areas, promising exciting times in pDC research for years to come.

## Keywords

type I interferon; dendritic cells; innate immunity; antigen presentation

## NOTE FROM THE AUTHORS

Plasmacytoid dendritic cells (pDCs) are one of the most recent additions to the palette of immune cell types. Since the first unequivocal characterization of pDCs in 1999, our knowledge about these cells and the associated volume of publications increased dramatically (from 41 references in 2001 to 728 in 2009). The first and only review on pDCs in this series was published in 2005 (1), and nearly all key aspects of pDC biology have been recently reviewed elsewhere (2–7). Given the vastness of material, the availability of recent reviews, and the extraordinary pace of progress, a comprehensive overview of the field is neither feasible nor necessary. Instead, we highlight only several key aspects of pDC biology and function that are incompletely understood and require further elucidation. More than anything else, we would like this review to provide grounds for discussion and future

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investigation. As an unfortunate side effect of this selective task, we are unable to cover many important primary contributions, for which we apologize to their authors.

## INTRODUCTION

## **Brief Historical Perspective**

The primary function and unique property of pDCs is the secretion of type I interferon (IFN- $\alpha/\beta$ ) in response to viruses and/or virus-derived nucleic acids. Pioneering studies by Alm and colleagues (8, 9), Fitzgerald-Bocarsly and colleagues (10, 11), and Trinchieri and colleagues (12, 13) identified and partially characterized a specific minor subset of human peripheral blood leukocytes responsible for high-level IFN production. Independently, Facchetti et al. (14, 15) built on the early observations by Lennert (16) to characterize secretory cells termed plasmacytoid mono-cytes, which accumulated in human reactive lymph nodes and sites of inflammation. In 1997, Liu et al. (17) showed that these plasmacytoid cells efficiently generated dendritic cells (DCs) in vitro, designating them as type 2 DC precursors, or pre-DC2. Finally, in 1999 the Liu (18) and Colonna (19) groups demonstrated that plasmacytoid monocytes, pre-DC2, and natural IFN-producing cells were in fact the same cellular entity, the pDC. These converging lines of investigation reflect the key properties of pDCs: powerful IFN production, secretory plasmacytoid (i.e., plasma celllike) morphology, and the ability to differentiate into conventional or classical DCs (cDCs). Subsequently, the murine counterparts of human pDCs were identified (20-22), and in vitro derivation of pDCs from human and murine hematopoietic progenitors was established (23, 24). These advances led to deep genetic and mechanistic insights into pDC development and function, including the most recent genome-wide expression (25) and proteomic (26) analyses.

#### Essential Features of pDCs

Despite certain molecular differences, the function, overall phenotype, and core gene expression program (25) of the murine and human pDCs are conserved. pDCs are rare (0.3– 0.5% of the human peripheral blood or of murine lymphoid organs) cells that develop in the bone marrow and reside primarily in the lymphoid organs in the steady state, entering the lymph nodes from the blood (7, 27). pDCs have the round morphology of a secretory lymphocyte, turn over relatively slowly (28, 29), and express low levels of MHC class II and costimulatory molecules. pDCs are low (mouse) or negative (human) for the integrin CD11c but positive for the B cell marker B220/CD45RA. Notably, these features of steady-state pDCs are similar to those of lymphocytes but are distinct from those of cDCs [see sidebar, Classical or Conventional DCs (cDCs)]. Several relatively pDC-specific surface markers have been established, such as human blood dendritic cell antigen (BDCA)-2 and ILT7 (immunoglobulin-like transcript 7) and murine SiglecH and Bst2; other useful (albeit less specific) markers include human IL-3R $\alpha$  (CD123) and BDCA-4 and murine Ly6C and Ly49Q.

pDCs express endosomal nucleic acid– sensing Toll-like receptors (TLRs) TLR7 and TLR9 and respond to the respective ligands, single-stranded RNA, and unmethylated CpG-containing DNA (CpG). The most distinct pDC response to these stimuli is rapid and

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abundant IFN secretion, which can be up to 1,000-fold more potent than in other cell types (1). In fact, IFN- $\alpha$  secretion in response to CpG challenge in vivo is mediated exclusively by pDCs, as suggested by antibody-mediated (31) and genetic ablation (32) and IFN reporter strain analysis (33). Other consequences of TLR-induced pDC activation include the secretion of cytokines such as TNF- $\alpha$  and (in the mouse) IL-12 and the acquisition of antigen presentation ability. Altogether, these powerful immunostimulatory functions of pDCs contribute to the recruitment and/or activation of nearly all immune cell types [e.g., natural killer (NK) cells (34) and plasma cells (35)], establishing pDCs as a key link between innate and adaptive immunity.

## Practical Aspects of pDC Study

Given the rarity of pDCs and the complexity of their phenotype, caution is needed in their definition and functional analysis. For example, the definition of pDCs as CD11c<sup>low</sup> B220<sup>+</sup> is clearly misleading, as it includes multiple additional cell types such as NK-like cells (36, 37) and cDC progenitors (38). Conversely, the use of a single pDC-specific marker may be equally problematic. For instance, the widely used murine pDC marker Bst2/mPDCA-1 is also expressed on plasma cells and is broadly inducible upon activation (39). Furthermore, the isolation of human pDCs based on their specific marker BDCA-2 (an inhibitory receptor) impairs their IFN production capacity. To boost the frustratingly low pDC numbers in mice, many studies inject a Flt3 ligand (Flt3L)-expressing melanoma cell line (40). This approach should be discouraged because the resulting gross tumor and supraphysiological Flt3L levels likely affect pDC ground state and functionality. Another practical consideration involves gene targeting in mice: Some Crerecombinase–expressing deleter strains such as the T cell–specific CD4-Cre strain mediate efficient off-target recombination in pDCs (41). Thus, a careful analysis of recombination in pDCs is required to exclude its potential contribution to the phenotype in conditional targeting experiments.

## THE DEVELOPMENT OF pDCs

We have recently reviewed the developmental origin and transcriptional control of the pDC lineage (6). Here we provide a brief update and highlight several issues that await resolution in future studies. Some of the points discussed below are incorporated into the proposed scheme of pDC development (Figure 1).

## pDC Progenitors

Most pDCs develop from common DC progenitors (CDP, or pro-DCs), a distinct progenitor type in the bone marrow that expresses cytokine receptors Flt3 (CD135), M-CSFR (CD115), and low levels of c-Kit (CD117) (42, 43). It is noteworthy that Flt3<sup>+</sup> c-Kit<sup>low</sup> is a broad definition of lymphoid progenitors, including the canonical IL-7Ra<sup>+</sup> common lymphoid progenitor (CLP) (44); moreover, CLP can give rise to pDCs upon adoptive transfer (45). Indeed, at least a fraction of pDCs show evidence of lymphoid derivation (46, 47), as confirmed recently by single-cell genetic tracing for prior *Rag1* expression (48). In a reverse tracing system, the DC/pDC-specific *CD11c*–Credeleter strain mediates deletion in 10–15% of lymphocytes; this fraction is similar in all lymphoid cell types (T, B, and NK cells) and in their earliest progenitors (49; B. Reizis, unpublished observations). We have located the

origin of this recombination in a minor subset of CD11c<sup>low</sup> Flt3<sup>+</sup> c-Kit<sup>low</sup> progenitors, which appear to overlap with CDP and/or pre-DCs (50) but also contribute to lymphopoiesis as suggested by the Cre reporter tracing. Thus, pDCs may develop in a less linear fashion than suggested (51) and originate from a continuum of progenitors with DC and/or lymphoid potential. A fully committed pDC progenitor (i.e., lacking the cDC potential) remains to be identified but may reside within the Flt3<sup>+</sup> CD11c<sup>+</sup> Ly-6C<sup>+</sup> population in the murine bone marrow (32).

### The Role and Mechanism of Flt3L Signaling

The cytokine Flt3 ligand (Flt3L) and its receptor Flt3 are essential signals for DC and pDC development, controlling the expansion of common progenitors and peripheral DC homeostasis (52). Notably, pDCs are more dependent on Flt3 signaling than most cDCs, as the pDC population is selectively diminished in the lymphoid organs of mice with targeted Flt3 deletion (53) or with mutated nonfunctional Flt3 (54). This suggests that Flt3 signaling has a specific roleinp DCs after the common progenitor stage, possibly promoting terminal pDC differentiation or mature pDC survival. One important unresolved question has been the molecular pathway transducing Flt3L signals in pDC development. Our recent studies indicate that phosphoinositide 3-kinase (PI3K)-dependent activation of mammalian target of rapamycin (mTOR) mediates Flt3L signaling in DCs. In particular, pDC development in Flt3L-supplemented bone marrow cultures was exquisitely sensitive to the mTOR inhibitor, rapamycin, even when it was added at low concentrations or at late time points that largely spared cDC development. Conversely, PI3K hyperactivation by deletion of Pten in the bone marrow greatly accelerated Flt3L-driven cDC and pDC development in culture (55). Thus, PI3K/mTOR activation may represent a key signaling event downstream of Flt3L in DC progenitors and in the differentiating pDC.

Whereas Flt3L induces proliferative expansion of common DC/pDC progenitors (42), little or no proliferation appears to occur during and after pDC commitment. This likely explains the technical challenges of boosting pDC development in vivo: indeed, Flt3L administration expands the population of cDCs (especially the CD8<sup>+</sup> cDC subset) to a much greater extent than pDCs (56). Similarly, Pten deletion in the bone marrow increases cDC but not pDC numbers in vivo (55). This apparently permanent quiescence distinguishes pDCs from lymphocytes and cDCs, which proliferate during development or in situ (29), respectively.

## The Longevity of pDCs

Based on the relatively slow BrdU incorporation rate (28, 29), pDCs are thought to be longlived. However, this slow uptake may be due to the above-mentioned quiescence of mature pDCs; moreover, in parabiosis experiments parabiotic partner-derived splenic pDCs disappeared very rapidly after surgical separation (29). Thus, the true life span of peripheral pDCs remains to be established through a combination of approaches. In general, the regulation of pDC survival and quiescence is poorly understood and would provide an interesting topic of future studies.

## Molecular Basis of pDC Lineage Commitment

The upregulation of the basic helix-loop-helix transcription factor (E protein) E2–2 serves as a key lineage commitment event in pDC development, as E2–2-deficient hematopoietic progenitors fail to produce pDCs (32). The exact cellular stage of E2–2 induction is unknown and awaits the analysis of single-cell reporter strains for E2–2 expression. More importantly, the signals for E2–2 induction in pDCs remain obscure and may represent soluble factors, cell-associated ligands, and/or upstream transcription factors. It is noteworthy that E2–2 is expressed at significant levels in hematopoietic stem/progenitor cells and B lymphocytes; thus, a merely quantitative several-fold upregulation of E2–2 underlies pDC commitment. Importantly, pDCs show particularly low expression of the E protein inhibitor Id2, in contrast to abundant Id2 expression in T,NK, and myeloid cells (25, 32). The absence of Id2 expression likely serves as an important counterpart of E2–2 upregulation, increasing the effective E2–2 concentration in pDCs (Figure 1).

Every lineage commitment step involves the establishment of a lineage-specific gene expression program, as well as the suppression of alternative lineage programs. Our recent genome-wide analysis of E2-2 binding to promoters in the human pDC cell line (57) suggests that E2–2 is directly involved in both activities. We found that E2–2 binds to a large fraction of pDC-enriched genes, including highly pDC-specific genes such as LILRA4 (ILT7) (58) and PACSINI (59). In addition, E2-2 appears to bind directly to and repress several hallmark genes of the alternative cDC fate, such as ITGAX (CD11C) and ID2 itself. The transcriptional repression of Id2 by E2-2 and the post-translational inhibition of E2-2by Id2 suggest that the two factors represent a pair of reciprocally antagonistic cell fate determinants, typical for many lineage bifurcations (e.g., Bcl6 and Blimp-1 in effector/ memory lymphocyte differentiation). In addition to E/Id protein antagonism, bona fide transcriptional repressors likely modulate the decision between pDC and alternative (e.g., cDC) cell fates. One likely candidate is Bcl11a, a repressor that is prominently expressed in pDCs and required for pDC development (P. Tucker, personal communication). We found that BCL11A is a direct target of E2-2 (57), suggesting that E2-2 may promote pDC commitment in part through Bcl11a-mediated repression of cDC differentiation.

However, E2–2 likely represents only one of several transcription factors and other regulatory molecules (e.g., microRNAs) that collectively regulate different aspects of the pDC development and expression program. Some of the players are known, including pDC-enriched transcription factors Irf8 and SpiB. Both genes represent direct targets of E2–2 (32), although their own binding targets and precise role in pDC development and/or function remain to be established. Others, such as the highly pDC-enriched transcription factor Runx2 (25) and other candidates, must be characterized from scratch. Finally, it should be of interest to elucidate the epigenetic events (e.g., chromatin modifications) that accompany pDC commitment, maintenance, and activation.

#### A Novel pDC-Related Dendritic Cell Subset

A simple linear view of E2–2-driven pDC development recently became more complicated, as a novel pDC-related cDC subset in mice was identified using Cx3cr1 as a marker (60). Unlike the classical CD8<sup>+</sup> cDCs, the alternative CD8-expressing Cx3cr1<sup>+</sup> cDCs develop

independently of transcription factor Batf3, do not cross-present antigen, and cannot be expanded by Flt3L. Instead, these cells carry  $I_gH$  D $\rightarrow$ J rearrangements typical of pDCs, express many pDC-related genes including E2–2, and indeed fail to develop from E2–2-deficient bone marrow. On the other hand, Cx3cr1<sup>+</sup> CD8<sup>+</sup> cDCs do not secrete IFN and express significantly lower levels of E2–2 and other pDC-specific genes than do pDCs. Therefore, the alternative CD8<sup>+</sup> cDCs likely split off the pDC lineage after E2–2-dependent lineage commitment and may arise from immature pDCs that failed terminal differentiation (Figure 1). This may represent either an accidental by-product of pDC development or a regulated switch between pDC and cDC lineages. Be that as it may, these data emphasize the intimate connection between pDC and cDC development and identify the likely default outcome of pDC differentiation.

## The Plasticity of pDC Cell Fate

It has recently become clear that the terminally differentiated state of many cell types is actively enforced by genetic mechanisms. Thus, the removal of a single transcription factor may cause the loss of lineage identity and the transdifferentiation into an alternative cell fate. A dramatic example is the conversion of adult ovaries into testes after somatic loss of the ovary-specific transcription factor FoxL2 (61). The ultimately differentiated immune cell lineage, T cells, were recently shown to convert into NK-like cells after the deletion of Bcl11b (62). We have tested whether the pDC lineage shows similar plasticity by deleting E2-2 from mature pDCs in vivo (57). We found that E2-2-deficient mature pDCs spontaneously differentiate into cDC-like cells, acquiring a cDC phenotype, morphology, antigen-presenting capacity, and expression profile. Such differentiation is consistent with the rapid (within one week) disappearance of peripheral pDCs after E2-2 deletion (32). A similar but less pronounced drift toward the cDC phenotype was observed in  $E2-2^{+/-}$  pDCs, likely underlying the depletion, aberrant phenotype, and impaired function of pDCs in E2- $2^{+/-}$  mice and human patients (32). Thus, continuous E2–2 expression is required to maintain the lineage identity of pDCs and to prevent their spontaneous differentiation into cDC-like cells.

These results demonstrate that the pDC cell fate is reversible in principle and raise the question of whether such lineage plasticity may occur in real life. Notably, the cDC-like cells derived from E2–2-deficient pDCs express CD8 yet preferentially upregulate CD8<sup>-</sup> cDC-enriched genes, resembling the alternative CD8<sup>+</sup> cDC subset (60). Although the latter cDC subset is likely derived from early stages of pDC development rather than from mature peripheral pDCs, it may represent a common outcome of a natural or genetically induced pDC-to-cDC conversion (Figure 1). Another potential instance of such conversion may occur after pDC activation and is discussed below.

## The Lineage Affiliation of pDCs

The constantly expanding variety of immune cell types can no longer be described by the simple lymphoid-versus-myeloid dichotomy, but rather comprise a continuous spectrum between the two sides. For instance, novel innate effector cell types have been described that appear similar to hematopoietic stem/progenitor cells, thus defying a conventional lineage assignment (63, 64). In particular, the development of DCs has now been recognized as a

unique pathway, sharing early progenitors with monocytes and macrophages but distinct from canonical myelopoiesis (50, 51).

The close affiliation of pDCs with cDCs is supported by similar innate functions, common progenitors, a closely related gene-expression profile (59), and activation-induced differentiation into cDCs (see below). The spontaneous conversion of E2–2-deficient pDCs to cDC-like cells provides additional genetic evidence for their kinship with cDCs. Given that E proteins are key regulators of lymphopoiesis, the conspicuous lymphoid features of pDCs (such as morphology) likely result from the ongoing activity of E2–2 (6). In particular, many genes shared between pDCs and B cells, including important transcription factors SpiB, Bcl11a, and CIIta, are directly activated by E2–2 in the pDC. Thus, pDCs can be viewed as "the DCs in lymphocyte's clothing," exemplifying a truly complex lineage identity that evades simple definitions.

## ASPECTS OF pDC FUNCTION

## Pathogen-Induced Interferon Secretion

One of the most exciting questions of pDC biology is a seemingly simple one: What is the molecular basis of their uniquely powerful IFN secretion capacity? Although several plausible answers have been advanced over the years, they are likely to cover only part of the picture, and fundamental new insights can be expected. Given the recent extensive reviews on the subject (3, 65), we briefly discuss only several factors contributing to the unique pDC functionality.

**Secretory morphology**—Whereas human pDCs demonstrate plasmacytoid morphology with extensive rough endoplasmic reticulum (17), the latter appears less prominent in murine (20) or sheep (66) pDCs. Furthermore, murine cDCs lack secretory morphology but can produce IFN levels comparable to those of pDCs after stimulation through cytoplasmic RNA sensors (67). Indeed, XBP-1, the master regulator of secretory function, is constitutively active and required in pDCs and cDCs in mice (68). Thus, secretory morphology may be a useful adaptation for, but not the cause of, high-level IFN secretion capacity.

**Expression of IRF7**—Early studies using virus infection in mice proposed that IFN secretion in pDCs is independent of the IFN-α receptor (IFNAR) (69), which is required in other cell types to induce the expression of IRF7, the master regulator of IFN expression. Indeed, subsequent studies showed that naive pDCs express IRF7 in the steady state (70, 71). However, the IFNAR-mediated positive feedback is still operative in pDCs (70, 72); in particular, it is required for IFN secretion in the absence of viral replication (73). Notably, *IRF7* transcript levels are only several-fold higher in murine and human pDCs than in other cell types (25). The rapid activation of IRF7 in pDCs may be facilitated by post-transcriptional mechanisms, such as the interaction with TLR signaling adaptor MyD88 (74). Thus, baseline IRF7 expression undoubtedly primes pDCs for rapid IFN secretion but appears insufficient to explain its pDC-specific nature.

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**TLR signaling**—The TLR7/9-dependent pathway appears to be a predominant mode of nucleic acid sensing in pDCs, although additional DNA sensors such as DHX9/DHX36 have been proposed recently (75). TLR9 signaling by IFN-inducing large multimeric (type A) CpG oligonucleotides occurs in early endosomes, whereas monomeric (type B) CpG are transferred to endolysosomes and fail to induce IFN (74, 76). pDCs are uniquely capable of retaining type A CpG in the early endocytic compartment, thereby enabling IFN induction through TLR9/MyD88/IRF7 complexes (74). Notably, CpG A complexes with lipids are retained in endosomes and enable IFN secretion by bone marrow–derived cDCs in vitro (74), although pDCs remain the only IFN producers in response to these complexes in vivo (33). Therefore, a distinct endosomal trafficking/retention mechanism likely plays a critical role in the IFN secretion capacity of pDCs.

Recently, TLR9 signals that induce IFN (as opposed to interleukin-12) were shown to originate in a distinct endosomal compartment, the lysosome-related organelles (77). The trafficking of TLR9 to this compartment requires the adaptor protein 3 (AP-3) complex, and indeed CpG- or virus-induced IFN induction is abolished in AP-3-deficient pDCs (72, 77). It remains to be elucidated whether the lysosome-related organelles in pDCs are preformed and/or have unique molecular features. Transmembrane protein Slc15a4, which harbors a predicted AP-3 targeting motif, was identified by forward genetic screening as an essential regulator of cytokine secretion by pDCs but not by cDCs (72). Slc15a4 is required for the production of IFN and other cytokines (including IL-12) in response to TLR9 and TLR7 ligands, suggesting that Slc15a4 has a broader role in pDC function than does AP-3. Because both Slc15a4 and AP-3 components appear broadly expressed in immune cells, their function may involve additional pDC-enriched molecular regulators, such as pDC-specific endocytic adaptor Pacsin1 (59).

The PI3K signaling induced by TLR ligands in myeloid cells and cDCs is thought to promote antiinflammatory responses (78). In contrast, PI3K (79) and mTOR in particular (80) are essential for TLR9-induced IFN production by pDCs. PI3K/mTOR specifically promotes the activation and nuclear localization of IRF7, but not other consequences of TLR signaling (79). Thus, a distinct PI3K/mTOR-dependent pathway couples TLR signaling to IRF7 activation in pDCs. Again, the molecular components of this pathway are not known but may involve known PI3K pathway adaptors BCAP and TCL1 that are expressed in pDCs. The analysis of the mechanism and candidate regulators of the pDC-specific endocytic and PI3K/mTOR/IRF7 pathways should provide an exciting area of research in the near future.

**pDC-specific receptors**—Several membrane proteins with relatively pDC-specific expression have been shown to promote IFN secretion by pDCs. A C-type lectin receptor Ly49Q is specifically expressed on naive peripheral pDCs in mice and is required for optimal IFN production in vitro and in vivo (81). Ly49Q is homologous to NK cell receptors, binds to the MHC class I molecule H2-K<sup>b</sup>, and contains an immunoreceptor tyrosine-based inhibitory motif; thus, the mechanism of its activating function in pDCs is unclear. It is possible that Ly49Q acts in an entirely different manner in the IFN response, e.g., by regulating the distribution of TLR ligands in the endosomal compartment (82). The mechanism and a possible functional ortholog of Ly49Q in the human pDCs remain to be

characterized. Another receptor, pDC-TREM, is induced in pDCs upon activation and was proposed to facilitate IFN secretion based on RNAi results (83). pDC-TREM was found in a complex with Plexin A1, a cell guidance receptor implicated in DC function and trafficking. Whether pDC-TREM has a specific ligand (other than Plexin A1 ligand semaphorin) and a human ortholog and how it is integrated in the TLR/IRF7 signaling cascade are the questions to be elucidated. Together, these data highlight an emerging new world of novel pDC-specific receptors that act in completely unexpected ways to facilitate IFN secretion by pDCs.

Consistent with the potential danger of unchecked IFN secretion, multiple pDC-specific receptors inhibit pDC function. These include murine SiglecH and human BDCA-2 and ILT7, which signal through a common pathway involving FccRIy or DAP12, SYK, and BLNK (3, 84). This signaling attenuates TLR-induced production of IFN and other cytokines by an unknown mechanism. Recently, the significance of negative pDC regulation has been revealed through the identification of BST2 as a ligand of ILT7 (58). BST2 is an IFN-inducible membrane protein that directly restricts viral replication; thus, its expression provides negative feedback to pDCs by confirming a successful IFN signal. BST2 is also expressed in many tumors, suggesting a likely mechanism where by these tumors prevent pDC activation and escape tumor surveillance. Interestingly, murine pDCs lack an ILT7 ortholog but instead specifically express Bst2 (39), possibly providing a short-circuit or an inverted version of the same signaling pathway. The endogenous ligands for other inhibitory receptors remain unknown, although viruses such as human immunodeficiency virus (HIV) and hepatitis B virus may hijack the pathway and inhibit pDC function by binding to BDCA-2 (85, 86). Further characterization of inhibitory pDC receptors, their ligands, and their mechanism of interference with pDC activation should yield important insights into pDC biology.

## Other Consequences of pDC Activation

In addition to cytokine secretion, activated pDCs undergo a characteristic DC maturation program involving the upregulation of co-stimulatory molecules and the acquisition of T cell stimulation capacity. This program can be selectively engaged by type B CpG oligonucleotides that induce pDC maturation at the expense of IFN secretion (70). The maturation program of pDCs is specifically mediated by NF-κB signaling, as NF-κB1/c-Rel double-deficient pDCs secrete IFN but fail to undergo maturation and die in response to CpG (87). These data further illustrate the two distinct molecular pathways leading to IFN secretion versus maturation in pDCs.

The antigen-presenting properties of pDCs have been reviewed in detail (2); the emerging conclusion is that activated pDCs can efficiently prime and cross-prime T lymphocytes. Unlike the cDCs isolated ex vivo from naive animals, pDCs absolutely require TLR-mediated activation for efficient antigen presentation and T cell activation (88, 89). Some of the antigen-presentation pathways may operate specifically in pDCs but not in cDCs, such as the proteasome-independent, endosomal pathway of viral antigen cross-presentation to cy-totoxic T cells (90). In addition, pDCs differ from cDCs (but are similar to B cells) in the continuous synthesis of MHC class II after activation, possibly to facilitate the presentation

of virus-derived peptides (89, 91). The functional consequences of pDC-mediated antigen presentation for T cell responses are briefly discussed below.

#### The Fate of Activated pDCs

Antigen receptor triggering of T and Blymphocytes not only causes activation and its immediate effects (proliferation, cytokine secretion, surface phenotype change), but also launches a prolonged and tightly regulated differentiation program. This program ultimately leads to the acquisition of a new cell fate that may require a thorough erasure of the original cell identity, e.g., during B cell differentiation into plasma cells. Thus, lymphocytes are preprogrammed for lineage plasticity, in contrast to myeloid cells and cDCs which are not known to switch cell fate after activation. An important question is whether activated pDCs undergo full cell fate conversion to cDCs, as opposed to mere functional maturation. Benchmarks of full conversion would be indicated by phenotypic and functional changes, i.e., a switch from plasmacytoid to dendritic morphology, the loss of pDC markers and upregulation of cDC markers, and acquisition of naive T cell priming capacity for exogenous antigens.

pDCs were originally identified as efficient type 2 precursors of cDCs from the human peripheral blood (17). Indeed, mature human pDCs cultured with cytokines and/or activation stimuli (IL-3, CD40L, viruses, TLR ligands) exhibit full phenotypic and functional differentiation to cDCs (92). Although this provides a proof of principle for pDC-to-cDC conversion, the evidence for this event in vivo has been scarce. Lindstedt et al. (93) characterized human DC populations in resected tonsils and in the peripheral blood. Notably, the tonsils appear to contain a minor intermediate population between CD123<sup>+</sup> pDCs and BDCA-3<sup>+</sup> cDCs; moreover, increased expression of pDC-specific genes was observed in BDCA-3<sup>+</sup> cDCs from the tonsils. These results may reflect ongoing pDC differentiation into BDCA-3<sup>+</sup> cDCs, the human counterpart of mouse CD8<sup>+</sup> cDCs, at the site of active chronic infection. In mice, phenotypic changes consistent with CD8<sup>+</sup> cDC differentiation (loss of B220, increase in CD11c and CD8) were described in adoptively transferred splenic pDCs 10 h after inactivated virus injection (28). However, the pDC purification scheme used at the time (CD11c<sup>low</sup> B220<sup>+</sup>) likely included other cell types such as B220<sup>+</sup> cDC precursors unrelated to pDCs (38). Subsequently, Zuniga and colleagues provided a compelling case for IFN-dependent pDC conversion to CD8<sup>-</sup> cDCs following lymphocytic choriomeningitis virus (LCMV) infection in vitro (94) and invivo (95). Importantly, only pDCs from the bone marrow but not from the spleen were capable of such conversion, suggesting that fully mature peripheral pDCs have lost this capacity.

Teleologically, the differentiation of activated pDCs to cDCs would appear to be both desirable and plausible. It would automatically terminate high-level IFN secretion and shorten the life span of an infected pDC; at the same time, it would facilitate T cell priming to viral antigens. In addition, pDCs can differentiate into an alternative CD8<sup>+</sup> cDC subset during development or after E2–2 deletion (discussed above), suggesting their possible fate after activation. In fact, E2–2 expression is reduced several-fold after pDC activation (32), raising the possibility that this event may drive the conversion to cDCs. Thus, the cell fate

conversion of activated pDCs appears likely but awaits clear demonstration in a natural infection model.

## pDCs IN THE IMMUNE RESPONSE

As a major effector cell type in immunity, the pDC has been implicated in nearly all normal and pathological immune responses. For example, important roles of pDCs have been suggested in allergy and asthma (96), antitumor immunity (97), and responses to nonviral pathogens (98, 99). Here we briefly review only three related aspects of pDC function in immunity: their roles in T cell responses versus tolerance, in antiviral immune responses, and in autoimmunity.

#### The Role of pDCs in T Cell Responses: Activation or Tolerance?

The capacity of pDCs to prime productive T cell responses after infection or immunization is well documented. For instance, pDCs can efficiently prime CD4<sup>+</sup> T cell responses in the lymph nodes (100), induce Th1 polarization (101, 102), and prime (103) and cross-prime (88, 90, 104) CD8<sup>+</sup> T cell responses. These results are consistent with the postulated role of pDCs as key sensors and immune system activators during viral infections.

Intriguingly, the opposite tolerogenic role has been proposed for pDCs in several systems, mostly associated with the induction of regulatory T cells (Tregs). Thus, human pDCs induce T cell differentiation into IL-10-producing Tregs in vitro (105, 106). In vivo, pDCs were proposed to promote Treg differentiation in the human thymus (107) and to induce Treg-mediated tolerance in tumor-bearing mice (108), during tolerization to cardiac allografts (109), in experimental autoimmune encephalomyelitis (EAE) (110), and in graftversus-host disease (111). In some of these studies, however, the phenotypic definition of pDCs can be questioned; for instance, the indoleamine 2,3-dioxygenase-expressing pDCs in tumor-draining lymph nodes (108) likely correspond to B cells with a similar phenotype (112). In other models, such as graft-versus-host disease, the T cell stimulatory function of pDCs has been subsequently demonstrated (113). An interesting recent study suggested an important role for liver pDCs in oral tolerance induction, largely through T cell clonal deletion (114). Thus, the tolerogenic and/or Treg-inducing properties of pDCs may be relevant in certain immune responses, likely in an organ-dependent fashion. However, they appear far from universal or conclusively proven at present, and thus a broad definition of steady-state pDCs as tolerogenic would be premature and misleading.

#### The Role of pDCs in Human Infections

In humans, pDCs have been most extensively studied during HIV and chronic viral hepatitis, particularly hepatitis C virus (HCV) infections. The emerging picture suggests an important role for pDCs in these infections, although the exact mechanism and consequences of pDC activity are controversial at present (115). It has been shown recently that pDCs can respond to HCV and particularly to HCV-infected hepatocytes through TLR7 (116). HCV may specifically impair pDC activity (117), thereby compromising T cell responses against it; however, other studies demonstrated normal pDC functionality on a per cell basis in chronic HCV (118). The resolution of this controversy would establish pDCs either as a weak link of

anti-HCV immune response or as a potentially powerful effector type that can be harnessed for immunotherapy of chronic HCV.

In HIV (recently reviewed in 119), it is clear that pDCs can be infected with the virus and/or respond to it with robust IFN secretion. Furthermore, pDCs are progressively depleted from the blood of infected patients, either through infection-induced death or due to redistribution to lymphoid organs. The key unresolved question is whether HIV-induced pDC activation is beneficial or harmful for the host. On one hand, IFN secretion by pDCs was shown to inhibit viral replication in T cells and promote pDC and cDC maturation, leading to the killing of infected T cells. Indeed, HIV may have evolved mechanisms to suppress pDC activation, e.g., through BDCA-2 ligation (85). On the other hand, the same functions of pDCs may exacerbate T cell depletion, e.g., by disseminating HIV to uninfected CD4<sup>+</sup> T cells or by bystander T cell killing. Most importantly, elevated IFN response by pDCs may contribute to chronic immune activation and faster T cell depletion, e.g., in female compared to male patients (120) or in primate species susceptible to simian immunodeficiency virus (121).

It is plausible that the function of pDCs in HIV infection changes from protective to pathogenic as the disease progresses. At the early stages of infection, IFN production and virus cross-presentation by pDCs may help limit virus spread and mount cytotoxic T cell responses. As the virus replication escapes control, IFN secretion may drive polyclonal T cell hyperactivation and depletion. The eventual loss, redistribution, or functional impairment of pDCs at the late stages of infection would contribute to immunodeficiency. Thus, the role of pDCs in HIV infection highlights the power and the danger of pDC activation and reveals yet another strategy of immune system subversion by HIV.

#### The Role of pDCs in Viral Infections: Mouse Models

Given the powerful pDC response to nearly all enveloped viruses, their indispensable role in antiviral immune responses would be anticipated; however, it appears surprisingly difficult to demonstrate. For example, pDCs produce IFN in response to vesicular stomatitis virus and influenza virus yet appear dispensable for their control in vivo (5, 122). Similarly, pDCs are primary IFN producers during LCMV infection (123) but are not required for optimal IFN production, virus clearance, or T cell response in acute LCMV infection (5, 124). The depletion of pDCs impairs the IFN response to murine cytomegalovirus but does not affect the net NK cell response or overall survival (34, 124). In topical virus in the vagina (127), pDC depletion leads to several-fold increases in viral titers and exacerbated tissue pathology.

Perhaps the best-documented model of pDC-dependent antiviral immune response is mouse hepatitis virus (MHV) infection, an acute disease that requires Tlr7 and IFN for virus clearance. Ludewig and colleagues (128) showed that pDC depletion nearly abolishes IFN response to MHV, increases viral replication in the spleen ~1000-fold, causes virus spread to normally protected tissues, and severely exacerbates liver damage. Macrophages and cDCs were shown to be the major beneficiaries of protection conferred by pDC-secreted IFN (41), and it has been estimated that a single splenic pDC can protect  $10^3-10^4$  macrophages (129). Thus, the requirement for pDCs in antiviral responses appears variable, with only a single

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model of primarily pDC-dependent protection described to date. This is likely because antiviral responses are robust and therefore involve multiple redundant mechanisms of virus sensing and IFN secretion. However, in addition to acute IFN response to viruses, the role of pDCs in long-term protective T cell responses remains to be investigated. Interestingly, chronic LCMV infection impairs the IFN secretion capacity of pDCs through unknown mechanisms (130, 131). This suggests that normal pDC function would be detrimental to LCMV persistence, possibly by facilitating cytotoxic T cell responses. Studies in this direction would require more specific and long-term approaches to pDC depletion, such as diphtheria toxin–based transgenic models (5).

## The Role of pDCs in Human Autoimmune Diseases

With the emergence of elevated IFN levels as a pathogenesis factor in several autoimmune diseases, the potentially important role of pDCs in autoimmunity has been recognized (132). To date, the strongest evidence for pDC involvement has been accumulated from the study of two diseases: psoriasis and systemic lupus erythematosus (reviewed in 3, 133). In psoriasis, early skin lesions are highly infiltrated by activated pDCs, corresponding with decreased numbers of circulating pDCs in the blood (134). Blocking IFN production by pDCs using anti-BDCA-2 antibody inhibited the development of skin lesions in a xenograft model, providing causal proof of pDC function in the disease (134). Subsequently, Gilliet et al. (135) identified the activating stimulus for pDCs as complexes of self-DNA with the antimicrobial peptide LL-37. This and possibly other homologous proteins promote the aggregation of released cellular DNA and RNA into large complexes that efficiently activate pDCs (135, 136). Although the origin of these immunostimulatory complexes and the consequences of pDC activation remain to be elucidated, the major role of pDCs in psoriasis appears well established.

Similarly, lupus patients show a decrease in circulating pDCs and the accumulation of activated, IFN-producing pDCs in affected tissues such as the skin (137). The hallmark of lupus is the production of antinuclear antibodies, and immune complexes of such antibodies with endogenous nucleic acids were shown to activate pDCs through TLR7/9 (138, 139). These complexes may be delivered into the endosomal compartment of pDCs via Fc receptor FcyRII (138, 140), and their stimulatory capacity can be augmented by the nuclear DNA-binding protein HMGB1 (141). In addition, self-DNA forms complexes with LL-37 and other antimicrobial peptides released by neutrophils, and the resulting complexes induce IFN secretion in pDCs through TLR9 (M. Gilliet, personal communication). Notably, TLRactivated pDCs become resistant to glucocorticoids, which could underlie the limited efficacy of these drugs in lupus (142, 143). The direct causal relationship between pDCderived IFN and lupus progression/severity is hard to establish in the human system and should await elucidation in animal models. Nevertheless, the likely connection between the formation of nucleic acid-containing immune complexes, pDC activation, and IFN secretion and the pronounced IFN signature of the disease make a strong case for the pDC as a major player in lupus pathogenesis (133). Overall, the aberrant conversion of self-nucleic acids into ligands for TLR7/9 on pDCs (via immune complex formation, antimicrobial peptide binding, and other mechanisms to be discovered) may represent a common patho-genesis

step in psoriasis, lupus, and possibly other autoimmune diseases such as Sjögren's syndrome (144).

#### The Role of pDCs in Autoimmunity: Mouse Models

The role of pDCs in experimental autoimmunity models is poorly understood at present. For instance, studies using antibody-mediated pDC ablation yielded conflicting results in EAE (145, 146). Animals with selective loss of MHC class II expression on several cell types were used to demonstrate the anti-inflammatory role of pDCs (110); however, a strictly pDC-specific genetic loss of MHC expression and/or function is required to fully elucidate the issue. Similarly, the results of pDC ablation in the NOD model of type I diabetes (147) remain to be reconciled with other studies in the field (148). These conflicting data may reflect the specificity and efficiency issues of the transient pDC ablation and emphasize the necessity to deplete or functionally manipulate pDCs in the long term. This ability would be a prerequisite for the analysis of pDCs in spontaneous, chronic autoimmune diseases such as lupus in susceptible mouse strains. As Tlr7 and Tlr9 appear to play opposing roles in lupus pathogenesis (149), it would be interesting to dissect the net contribution of the Tlr7/Tlr9 double-edged cell type.

## **CONCLUSIONS AND FUTURE DIRECTIONS**

The coming years should bring important insights into the key open questions of pDC biology, such as the regulation of pDC lineage commitment, homeostasis, and plasticity; the molecular basis of the unique IFN-secreting capacity of pDCs; tissue- and immune response– specific roles of pDCs in T cell activation and tolerance; and the precise function of pDCs in infectious immunity and in autoimmune diseases. Approaches involving cross-species gene expression (59) and functional analysis (32), forward genetic screening (72), and in vivo targeting and ablation (5) should facilitate the research in these directions.

With the growing evidence for the importance of IFN production in all aspects of immunity, pDCs are emerging as prime targets for immunotherapy. In some cases, such as chronic viral infections and/or immunodeficiency, controlled enhancement of pDC function would appear beneficial. One possible approach is to combine TLR stimuli and growth factors that preferentially engage pDCs (e.g., CpG and Flt3L, respectively) as vaccine adjuvants (150). Another future direction is the engagement of activating pDC-specific receptors suchasp DC-TREM (83). Conversely, autoimmune diseases such as lupus and psoriasis may benefit from targeted inhibition of chronic pDC activation. In addition to broader approaches targeting IFN signaling (151) or TLR7/9-mediated IFN production (152), one might envisage therapies targeted specifically at pDCs, such as the ligation of pDC-specific inhibitory receptors or targeting the unique endocytic machinery of pDCs. In any case, the insights into basic pDC biology are likely to fuel translational research and pave the way to novel immunotherapies.

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## Glossary

pDC	plasmacytoid dendritic cell
IFN	type I interferon, interferon- $\alpha/\beta$
cDC	classical or conventional dendritic cell
TLR	Toll-like receptor
CpG	unmethylated CpG-containing DNA
CDP	common dendritic cell progenitor
HIV	human immunodeficiency virus
Treg	regulatory T cell
EAE	experimental autoimmune encephalomyelitis
HCV	hepatitis C virus
MHV	murine hepatitis virus

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## CLASSICAL OR CONVENTIONAL DCs (cDCs)

cDCs, the original DC type identified by Steinman & Cohn (30) as a powerful stimulator of T cell responses, have the following characteristics:

- possess dendritic morphology with prominent cytoplasmic veils and protrusions;
- differentiate and reside in tissues and lymphoid organs in the steady state;
- turn over rapidly and undergo proliferation in situ;
- express a broad range of pattern-recognition receptors;
- express high levels of MHC class II and of the integrin CD11c (a specific marker in the mouse), but not B220;
- have a unique capacity for naive T cell priming. cDCs comprise two main subsets:
- CD8<sup>-</sup> (CD11b<sup>+</sup>, also called myeloid) cDCs showing high capacity for MHC class II-mediated presentation of exogenous antigen;
- CD8<sup>+</sup> (CD103<sup>+</sup> in tissues) cDCs capable of antigen cross-presentation to cytotoxic T cells. (Human BDCA-3<sup>+</sup> cDCs have recently been identified as the genetic and functional counterpart of murine CD8<sup>+</sup>/CD103<sup>+</sup> cDCs.)

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## Figure 1.

The proposed scheme of pDC development and relationship with cDCs. pDCs develop in the bone marrow from a continuum of Flt3<sup>+</sup> c-Kit<sup>low</sup> progenitors including lymphoid progenitors (*dark gray*) and common DC progenitors (CDPs, *light blue*). The development proceeds through the putative committed pDC progenitor (*dashed magenta*) and immature pDCs in the bone marrow toward the mature peripheral pDCs. The CDP gives rise to peripheral cDCs (*dark blue*), whereas immature bone marrow pDCs can differentiate into CD8 cDCs upon virus-induced activation. The alternative CD8<sup>+</sup> DC (*purple*) develops from pDC progenitors in the steady state; similar cells can be generated from mature pDCs after induced E2–2 deletion and possibly upon activation. The inferred relative amounts of E protein E2–2 and its inhibitor Id2 are indicated for each cell type.