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# Poincaré-Birkhoff-Witt bases and Khovanov-Lauda-Rouquier algebras

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# PBW bases and KLR algebras\*

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#### Abstract

We generalize Lusztig's geometric construction of the PBW bases of finite quantum groups of type ADE under the framework of [Varagnolo-Vasserot, J. reine angew. Math. 659 (2011)]. In particular, every PBW basis of such quantum groups is proven to yield a semi-orthogonal collection in the module category of the KLR-algebras. This enables us to prove Lusztig's conjecture on the positivity of the canonical (lower global) bases in terms of the (lower) PBW bases. In addition, we verify Kashiwara's problem on the finiteness of the global dimensions of the KLR-algebras of type ADE.

### Introduction

Canonical/global bases of quantum groups, defined by Lusztig [Lu90a] and Kashiwara [Kas91] subsequently, open up scenery in many areas of mathematics which are visible only through quantum groups [Ari05, Lus08, Nak06]. They are certain bases of quantum groups different from the natural quantum analogue of the classical Poincaré-Birkhoff-Witt theorem (that are usually referred to as the PBW bases).

Among these, the interaction between canonical/global bases of quantum groups and affine Hecke algebras of type A (and their cyclotomic quotients) yields many representation-theoretic consequences [Ari96, Ari05]. It is generalized to more general quantum groups and their representations by Khovanov-Lauda, Rouquier, Varagnolo-Vasserot, Zheng, Webster, and Kang-Kashiwara [KL09, Rou08, VV11, Zhe08, Web10, KK12] as a categorical counter-part of the theory of canonical/global bases.

More precisely, to each symmetric Kac-Moody algebra  $\mathfrak{g}$ , they introduced a series of algebras  $R_{\beta}$  (that we call the KLR-algebras) whose simple/projective modules give rise to the upper/lower global bases of the corresponding positive half of the quantum group of  $\mathfrak{g}$ . There the emphasis is on the categorification of quantum groups, and their results are strong enough to generalize and categorify Ariki's result [Ari96] in these cases (Lauda-Vazirani [LV11] and [VV11, KK12]).

This story is sufficient to recover deep representation-theoretic properties, without the PBW bases. The main observation of this paper is that the PBW

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bases still exist in the world of KLR-algebras, with essential new features which are visible only with the KLR-algebras.

To see what we mean by this, we prepare some notations: Let  $\mathcal{A} := \mathbb{Z}[t^{\pm 1}]$ . Let  $\mathfrak{g}$  be a simple Lie algebra of type ADE, and let  $U^+$  be the positive half of the  $\mathcal{A}$ -integral version of the quantum group of  $\mathfrak{g}$  (see e.g. Lusztig [Lus93] §1). Let  $Q^+ := \mathbb{Z}_{\geq 0}I$ , where I is the set of positive simple roots. We have a weight space decomposition  $U^+ = \bigoplus_{\beta \in Q^+} U_{\beta}^+$ . We have the Weyl group W of  $\mathfrak{g}$  with its set of simple reflections  $\{s_i\}_{i \in I}$  and the longest element  $w_0$ . For each  $\beta \in Q^+$ , we have a finite set  $B(\infty)_\beta$  which parameterizes a pair of distinguished bases  $\{G^{up}(b)\}_{b \in B(\infty)_\beta}$  and  $\{G^{low}(b)\}_{b \in B(\infty)_\beta}$  of  $\mathbb{Q}(t) \otimes_{\mathcal{A}} U_{\beta}^+$ . The Khovanov-Lauda-Rouquier algebra  $R_\beta$  is a certain graded algebra whose grading is bounded from below with the following properties:

- The set of isomorphism classes of simple graded  $R_{\beta}$ -modules (up to grading shifts) is also parameterized by  $B(\infty)_{\beta}$ ;
- For each  $b \in B(\infty)_{\beta}$ , we have a simple graded  $R_{\beta}$ -module  $L_b$  and its projective cover  $P_b$ . Let  $L_{b'}\langle k \rangle$  be the grade k shift of  $L_{b'}$ , and let  $[P_b:L_{b'}\langle k \rangle]_0$  be the multiplicity of  $L_{b'}\langle k \rangle$  in  $P_b$  (that is finite). Then, we have

$$G^{low}(b) = \sum_{b' \in B(\infty)_{\beta}, k \in \mathbb{Z}} t^{k} [P_{b} : L_{b'} \langle k \rangle]_{0} G^{up}(b');$$

• For each  $\beta, \beta' \in Q^+$ , there exists an induction functor

$$\star: R_{\beta}\operatorname{-gmod} \times R_{\beta'}\operatorname{-gmod} \ni (M,N) \mapsto M \star N \in R_{\beta+\beta'}\operatorname{-gmod};$$

•  $\mathbf{K} := \bigoplus_{\beta \in Q^+} \mathbb{Q}(t) \otimes_{\mathcal{A}} K(R_{\beta}\text{-gmod})$  is an associative algebra isomorphic to  $\mathbb{Q}(t) \otimes_{\mathcal{A}} U^+$  with its product inherited from  $\star$  (and the *t*-action is a grading shift).

As mentioned earlier, Lusztig [Lu90a] studied the geometric side of the story. By applying the results in [K12a], we first observe the following:

**Theorem A** (Kashiwara's problem = Corollary 2.9). For every  $\beta \in Q^+$ , the algebra  $R_{\beta}$  has finite global dimension.

This problem is raised by Kashiwara several times in his lectures on KLR algebras. We remark that in type A case, Theorem A follows through a Morita equivalence with an affine Hecke algebra of type A (see e.g. Opdam-Solleveld [OS09]).

For quantum groups, a way to construct a (nice) PBW basis depends on an arbitrary sequence  $\mathbf{i} := (i_1, i_2, \cdots, i_\ell) \in I^\ell$  corresponding to a reduced expression of  $w_0$ . Associated to  $\mathbf{i}$ , we have a total order  $<_{\mathbf{i}}$  on each  $B(\infty)_{\beta}$  (see §4). We define two collections of graded  $R_{\beta}$ -modules  $\{\widetilde{E}_b^i\}_{b \in B(\infty)_{\beta}}$  and  $\{E_b^i\}_{b \in B(\infty)_{\beta}}$  as follows (cf. Corollary 4.14): 1)  $\widetilde{E}_b^i$  is obtained from  $P_b$  by annihilating all  $L_{b'}\langle k \rangle$  with  $b' <_{\mathbf{i}} b$  and  $k \geq 0$ , and 2)  $E_b^i$  is obtained from  $\widetilde{E}_b^i$  by annihilating all  $L_b\langle k \rangle$  with k > 0.

Since  $R_{\beta}$  is a graded algebra with finite global dimension, we set

$$\langle M,N\rangle_{\mathsf{gEP}} := \sum_{i \geq 0} (-1)^i \mathsf{gdim} \operatorname{ext}^i_{R_\beta}(M,N) \in \mathbb{Q}(t) \ \text{ for } \ M,N \in R_\beta\text{-gmod},$$





where  $\hom_{R_{\beta}}(M,N) := \bigoplus_{k \in \mathbb{Z}} \operatorname{Hom}_{R_{\beta}\operatorname{-gmod}}(M \langle k \rangle, N)$ .

By construction, we deduce that the graded character expansion coefficient  $[M: \widetilde{E}_{b'}^{\mathbf{i}}] \in \mathbb{Z}((t))$  is well-defined for every  $M \in R_{\beta}$ -gmod.

The above definitions of  $\widetilde{E}_b^{\mathbf{i}}$  and  $E_b^{\mathbf{i}}$  look natural, but not apparently related to a PBW basis of  $U^+$ .

**Theorem B** (Orthogonality relation = Theorem 4.13 and its corollaries). *In the above setting, we have:* 

- 1. For  $b <_{\mathbf{i}} b'$ , we have  $\text{ext}_{R_{\beta}}^{\bullet}(E_b^{\mathbf{i}}, E_{b'}^{\mathbf{i}}) = \{0\}$ ;
- 2. We have

$$\operatorname{ext}_{R_{\beta}}^{i}(\widetilde{E}_{b}^{\mathbf{i}},(E_{b'}^{\mathbf{i}})^{*}) = \begin{cases} \mathbb{C} & (b \neq b', i = 0) \\ \{0\} & (otherwise) \end{cases}, \quad and \; \left\langle \widetilde{E}_{b}^{\mathbf{i}},(E_{b'}^{\mathbf{i}})^{*} \right\rangle_{\mathsf{gEP}} = \delta_{b,b'};$$

3. The graded  $R_{\beta}$ -module  $\widetilde{E}_b^{\mathbf{i}}$  is a self-extension of  $E_b^{\mathbf{i}}$  in the sense that there exists a separable decreasing filtration of  $\widetilde{E}_b^{\mathbf{i}}$  whose associated graded is a direct sum of grading shifts of  $E_b^{\mathbf{i}}$ .

Since we have  $\langle P_b, L_{b'} \rangle_{\mathsf{gEP}} = \delta_{b,b'}$  by definition, the pairing  $\langle \bullet, \bullet \rangle_{\mathsf{gEP}}$  is essentially the Lusztig inner form (cf. [Lus93] 1.2.10–1.2.11). Therefore, Theorem B guarantees that our  $\{\tilde{E}_b^i\}_b$ , and  $\{E_b^i\}_b$  must be the categorifications of the lower/upper PBW bases by their characterization. We remark that some of these modules seem to coincide with those obtained by Kleshchev-Ram [KR11], Webster [Web10], and Benkart-Kang-Oh-Park [BKOP].

**Theorem C** (Lusztig's conjecture = Theorem 4.17). We have  $[P_b : \widetilde{E}_{b'}^{\mathbf{i}}] = [E_{b'}^{\mathbf{i}} : L_b]$  for each  $b, b' \in B(\infty)_{\beta}$ . In particular, we have

$$[P_b: \widetilde{E}_{b'}^{\mathbf{i}}] \in \mathbb{N}[t] \quad \text{for every} \quad b, b' \in B(\infty)_{\beta}.$$

Theorem C is conjectured by Lusztig as his comment on [Lu90a] in his webpage. Note that Theorem C is established in Lusztig [Lu90a] Corollary 10.7 when the reduced expression **i** satisfies the condition so-called "adapted" (see §3).

Example D ( $\mathfrak{g} = \mathfrak{sl}_3$ ). We have  $I = \{\alpha_1, \alpha_2\}$ . The standard generators  $E_1, E_2$  of  $U^+$  correspond to projective modules  $P_1$  and  $P_2$  of  $R_{\alpha_1}$  and  $R_{\alpha_2}$ , respectively. Then, one series of the (lower) PBW basis  $\{\widetilde{E}_b^i\}_b$  are:

$$P_1^{(c_1)} \star Q_{21}^{(c_2)} \star P_2^{(c_3)}$$
 for  $c_1, c_2, c_3 \ge 0$ .

Here  $X^{(c)}$  denotes a direct factor of  $X \star X \star \cdots \star X$  (c times). Note that  $P_1^{(c_1)}$ ,  $Q_{21}^{(c_2)}$ , and  $P_2^{(c_3)}$  are maximal self-extensions of simple modules (this is a general phenomenon). We have a short exact sequence

$$0 \to P_1 \star P_2 \langle 2 \rangle \longrightarrow P_2 \star P_1 \longrightarrow Q_{21} \to 0$$
,

which is a categorical version of  $E_2E_1 - t^2E_1E_2$ .





The organization of this paper is as follows: In the first section, we collect several results from [K12a] needed in the sequel. The second section is the preliminary on the KLR algebra. In the third section, we abstract and categorify Lusztig's arguments in the setting of the Hall algebras [Lus98] to the KLR algebras by utilizing the results of [K12a] and the induction theorem imported from [KL87, Lus02, K09]. This includes categorifications of Saito's reflection actions [Sai94] that we call the Saito reflection functors. In the fourth section, we depart from geometry and utilize the properties of the Saito reflection functors established in the earlier sections to deduce Theorem B and Theorem C.

After submitted the initial version this paper, there appeared another (algebraic) proofs of the main results of this paper by McNamara [Mac12] and Brundan-Kleshchev-McNamara [BKM12], which also covers non-simply laced cases. Thier approach is quite different from that of ours, and one merit of our approach is that it provides a bridge between geometric/algebraic view points, typically seen in the Saito reflection functor used in the proof.

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#### Convention

An algebra R is a (not necessarily commutative) unital  $\mathbb{C}$ -algebra. A variety  $\mathfrak{X}$  is a separated reduced scheme  $\mathfrak{X}_0$  of finite type over some localization  $\mathbb{Z}_S$  of  $\mathbb{Z}$  specialized to  $\mathbb{C}$ . It is called a G-variety if we have an action of a connected affine algebraic group scheme G flat over  $\mathbb{Z}_S$  on  $\mathfrak{X}_0$  (specialized to  $\mathbb{C}$ ). As in [BBD82] §6 and [BL94], we transplant the notion of weights to the derived category of (G-equivariant) constructible sheaves with finite monodromy on  $\mathfrak{X}$ . Let us denote by  $D^b(\mathfrak{X})$  (resp.  $D^+(\mathfrak{X})$ ) the bounded (resp. bounded from the below) derived category of the category of constructible sheaves on  $\mathfrak{X}$ , and denote by  $D^b_G(\mathfrak{X})$  the G-equivariant derived category of  $\mathfrak{X}$ . We have a natural forgetful functor  $D^+_G(\mathfrak{X}) \to D^+(\mathfrak{X})$ , whose preimage of  $D^b(\mathfrak{X})$  is denoted by  $D^b_G(\mathfrak{X})$ . For an object of  $D^b_G(\mathfrak{X})$ , we may denote its image in  $D^b(\mathfrak{X})$  by the same letter.

Let vec be the category of  $\mathbb{Z}$ -graded vector spaces (over  $\mathbb{C}$ ) bounded from the below so that its objects have finite-dimensional graded pieces. In particular, for  $V = \bigoplus_{i \gg -\infty} V^i \in \text{vec}$ , its graded dimension  $\text{gdim } V := \sum_i t^i \dim V_i \in \mathbb{Z}((t))$  makes sense (with t being indeterminant). We define  $V \langle m \rangle$  by setting  $(V \langle m \rangle)_i := V_{i-m}$ .

In this paper, a graded algebra A is always a  $\mathbb{C}$ -algebra whose underlying space is in vec. Let A-gmod be the category of finitely generated graded A-modules. For  $E, F \in A$ -gmod, we define  $\hom_A(E, F)$  to be the direct sum of graded A-module homomorphisms  $\hom_A(E, F)^j$  of degree j (=  $\mathrm{Hom}_{A\text{-gmod}}(E \langle j \rangle, F)$ ). We employ the same notation for extensions (i.e.  $\mathrm{ext}_A^i(E, F) = \bigoplus_{j \in \mathbb{Z}} \mathrm{ext}_A^i(E, F)^j$ ). We denote by  $\mathrm{Irr}\,A$  the set of isomorphism classes of graded simple modules of A, and denote by  $\mathrm{Irr}_0\,A$  the set of isomorphism classes of graded simple modules of A up to grading shifts. Two graded algebras are said to be Morita equivalent if their graded module categories are equivalent. For a graded A-module E, we denote its head by  $\mathrm{hd}\,E$ , and its socle by  $\mathrm{soc}\,E$ .

For  $Q(t) \in \mathbb{Q}(t)$ , we set  $\overline{Q}(t) := Q(t^{-1})$ . For derived functors  $\mathbb{R}F$  or  $\mathbb{L}F$  of some functor F, we represent its arbitrary graded piece (of its homology





complex) by  $\mathbb{R}^*F$  or  $\mathbb{L}^*F$ , and the direct sum of whole graded pieces by  $\mathbb{R}^{\bullet}F$  or  $\mathbb{L}^{\bullet}F$ . For example,  $\mathbb{R}^*F \cong \mathbb{R}^*G$  means that  $\mathbb{R}^iF \cong \mathbb{R}^iG$  for every  $i \in \mathbb{Z}$ , while  $\mathbb{R}^{\bullet}F \cong \mathbb{R}^{\bullet}G$  means that  $\bigoplus_i \mathbb{R}^iF \cong \bigoplus_i \mathbb{R}^iG$ .

When working on some sort of derived category, we suppress  $\mathbb{R}$  or  $\mathbb{L}$ , or the category from the notation for simplicity when there is only small risk of confusion.

## 1 Recollection from [K12a]

Let G be a connected reductive algebraic group. Let  $\mathfrak{X}$  be a G-variety. Let  $\Lambda$  be the labelling set of G-orbits of  $\mathfrak{X}$ . For  $\lambda \in \Lambda$ , we denote the corresponding G-orbit by  $\mathbb{O}_{\lambda}$ . For  $\lambda, \mu \in \Lambda$ , we write  $\lambda \leq \mu$  if  $\mathbb{O}_{\lambda} \subset \overline{\mathbb{O}_{\mu}}$ . We assume the following property  $(\spadesuit)$ :

- $(\spadesuit)_1$  The set  $\Lambda$  is finite. For each  $\lambda \in \Lambda$ , we fix  $x_{\lambda} \in \mathbb{O}_{\lambda}(\mathbb{C})$ ;
- $(\spadesuit)_2$  For each  $\lambda \in \Lambda$ , the group  $\mathsf{Stab}_G(x_\lambda)$  is connected.

We have a (relative) dualizing complex  $\omega_{\mathfrak{X}} := p^{!}\underline{\mathbb{C}} \in D_{G}^{b}(\mathfrak{X})$ , where  $p : \mathfrak{X} \to \{pt\}$  is the G-equivariant structure map. We have a dualizing functor

$$\mathbb{D}: D_G^b(\mathfrak{X})^{op} \ni C^{\bullet} \mapsto \mathcal{H}om^{\bullet}(C^{\bullet}, \omega_{\mathfrak{X}}) \in D_G^b(\mathfrak{X}).$$

We have a  $\mathbb{D}$ -autodual t-structure of  $D_G^b(\mathfrak{X})$  whose truncation functor and perverse cohomology functor are denoted by  $\tau$  and  ${}^pH$ , respectively.

For each  $\lambda \in \Lambda$ , we have a constant local system  $\underline{\mathbb{C}}_{\lambda}$  on  $\mathbb{O}_{\lambda}$ . We have inclusions  $i_{\lambda}: \{x_{\lambda}\} \hookrightarrow \mathfrak{X}$  and  $j_{\lambda}: \mathbb{O}_{\lambda} \hookrightarrow \mathfrak{X}$ . Let  $\mathbb{C}_{\lambda}:=(j_{\lambda})_{!}\underline{\mathbb{C}}_{\lambda}[\dim \mathbb{O}_{\lambda}]$  and  $\mathsf{IC}_{\lambda}:=(j_{\lambda})_{!*}\underline{\mathbb{C}}_{\lambda}[\dim \mathbb{O}_{\lambda}]$ , which we regard as objects of  $D_{G}^{b}(\mathfrak{X})$ . We denote by

$$\operatorname{Ext}_{G}^{\bullet}(\bullet, \bullet) : D_{G}^{b}(\mathfrak{X})^{op} \times D_{G}^{b}(\mathfrak{X}) \longrightarrow D^{+}(\operatorname{pt})$$
$$\operatorname{Ext}^{\bullet}(\bullet, \bullet) : D^{b}(\mathfrak{X})^{op} \times D^{b}(\mathfrak{X}) \longrightarrow D^{b}(\operatorname{pt})$$

the Ext (as bifunctors) of  $D_G^b(\mathfrak{X})$  and  $D^b(\mathfrak{X})$ , respectively.

For each  $\lambda \in \Lambda$ , we fix  $L_{\lambda} \in D^b(\mathrm{pt})$  as a non-zero graded vector space with a trivial differential which satisfies the self-duality condition  $L_{\lambda} \cong L_{\lambda}^*$ . We set

$$\mathcal{L}:=\bigoplus_{\lambda\in\Lambda}L_{\lambda}\boxtimes \mathsf{IC}_{\lambda}\in D^b_G(\mathfrak{X}).$$

By construction, we find an isomorphism  $\mathcal{L} \cong \mathbb{D}\mathcal{L}$ .

We form a graded Yoneda algebra

$$A_{(G,\mathfrak{X})} = \bigoplus_{i \in \mathbb{Z}} A^i_{(G,\mathfrak{X})} := \bigoplus_{i \in \mathbb{Z}} \operatorname{Ext}^i_G(\mathcal{L},\mathcal{L})$$

whose degree is the cohomological degree. We denote by  $B_{(G,\mathfrak{X})}$  the algebra  $A_{(G,\mathfrak{X})}$  by taking  $\mathcal{L} = \bigoplus_{\lambda \in \Lambda} \mathsf{IC}_{\lambda}$  (and call it the basic ring of  $A_{(G,\mathfrak{X})}$ ). The algebra  $B_{(G,\mathfrak{X})}$  is Morita equivalent to  $A_{(G,\mathfrak{X})}$ , and hence all the arguments in the below are independent of the choice of  $\mathcal{L}$ , which we suppress for simplicity. We also drop  $(G,\mathfrak{X})$  in case the meaning is clear from the context. It is standard that  $\{L_{\lambda}\}_{\lambda \in \Lambda}$  forms a complete collection of graded simple A-modules up to grading shifts.





**Lemma 1.1** (see [K12a] 1.2). For a graded A-module M, its graded dual  $M^*$  is again a graded A-module.

For each  $\lambda \in \Lambda$ , we set

$$P_{\lambda} := \operatorname{Ext}_G^{\bullet}(\mathsf{IC}_{\lambda}, \mathcal{L}) = \bigoplus_{i \in \mathbb{Z}} \operatorname{Ext}_G^i(\mathsf{IC}_{\lambda}, \mathcal{L}).$$

Each  $P_{\lambda}$  is a graded projective left A-module. By construction, we have

$$A \cong \bigoplus_{\lambda \in \Lambda} L_{\lambda}^* \boxtimes \operatorname{Ext}_G^{\bullet}(\mathsf{IC}_{\lambda}, \mathcal{L}) = \bigoplus_{\lambda \in \Lambda} P_{\lambda} \boxtimes L_{\lambda}^*$$

as left A-modules. It is standard that  $P_{\lambda}$  is an indecomposable A-module whose head is isomorphic to  $L_{\lambda}$  (cf. [CG97] §8.7). We have an idempotent  $e_{\lambda} \in A$  so that  $P_{\lambda} \cong Ae_{\lambda}$  as left graded A-modules (up to a grading shift).

For each  $\lambda \in \Lambda$ , we set

$$\widetilde{K}_{\lambda} := \operatorname{Ext}_{G}^{\bullet}(\mathbb{C}_{\lambda}, \mathcal{L}) \text{ and } K_{\lambda} := H^{\bullet}i_{\lambda}^{!}\mathcal{L}[\dim \mathbb{O}_{\lambda}].$$

We call  $K_{\lambda}$  a standard module, and  $\widetilde{K}_{\lambda}$  a dual standard module of A.

We regard each  $\mathsf{IC}_\lambda$  as a simple mixed perverse sheaf (of weight zero) in the category of mixed sheaves on  $\mathfrak X$  via [BBD82] §5 and §6, and each  $L_\lambda$  as a mixed (complex of) vector space of weight zero. I.e. each  $L_\lambda^i$  is pure of weight i in the sense that the geometric Frobenius acts by  $q^{i/2}$ id if we switch the base field to the algebraic closure of a finite field of cardinality q. It follows that the algebra A acquires a (mixed) weight structure.

We consider the following property  $(\clubsuit)$ :

- $(\clubsuit)_1$  The algebra A is pure of weight 0;
- $(\clubsuit)_2$  For each  $\lambda \in \Lambda$ , the perverse sheaf  $\mathsf{IC}_\lambda$  is pointwise pure;

**Theorem 1.2** ([K12a] 3.5). Assume the properties  $(\spadesuit)$  and  $(\clubsuit)$ . Then, the algebra A has finite global dimension.

For  $M \in A$ -gmod and  $i \in \mathbb{Z}$ , we define

$$[M: L_{\lambda} \langle i \rangle]_0 := \dim \operatorname{Hom}_{A\operatorname{-gmod}}(P_{\lambda} \langle i \rangle, M) \in \mathbb{Z}$$
 and  $[M: L_{\lambda}] := \operatorname{gdim} \operatorname{hom}_A(P_{\lambda}, M) \in \mathbb{Z}((t)).$ 

We have  $[M:L_{\lambda}] = \sum_{i \in \mathbb{Z}} [M:L_{\lambda} \langle i \rangle]_0 t^i \in \mathbb{Z}((t)).$ 

**Theorem 1.3** ([K12a] 1.6). Assume the properties ( $\spadesuit$ ) and ( $\clubsuit$ ):

1. We have

$$[\widetilde{K}_{\lambda}:L_{\mu}]=0=[K_{\lambda}:L_{\mu}]\quad for\ \lambda\not\preceq\mu\quad and\quad [K_{\lambda}:L_{\lambda}]=1;$$

2. For each  $\mu \not\preceq \lambda$ , we have

$$\operatorname{ext}_{A}^{\bullet}(\widetilde{K}_{\lambda}, \widetilde{K}_{\mu}) = \{0\} \quad and \quad \operatorname{ext}_{A}^{\bullet}(K_{\lambda}, K_{\mu}) = \{0\};$$





3. For each  $\lambda \in \Lambda$ , we have

$$\widetilde{K}_{\lambda} \cong P_{\lambda} / \Big( \sum_{\mu \prec \lambda} A e_{\mu} P_{\lambda} \Big);$$

4. Each  $\widetilde{K}_{\lambda}$  is a successive self-extension of  $K_{\lambda}$ . In addition, we have

$$[\widetilde{K}_{\lambda}: L_{\lambda}] = \operatorname{gdim} H^{\bullet}_{\operatorname{Stab}_{C}(x_{\lambda})}(\operatorname{pt}).$$

For  $M \in A\operatorname{\mathsf{-gmod}}$  and  $N \in A\operatorname{\mathsf{-gmod}}$ , we define its graded Euler-Poincaré characteristic as:

$$\langle M,N\rangle_{\mathsf{gEP}} := \sum_{i \geq 0} (-1)^i \mathsf{gdim} \operatorname{ext}_A^i(M,N) \in \mathbb{Z}(\!(t)\!). \tag{1.1}$$

Let  $j:\mathfrak{Y}\hookrightarrow\mathfrak{X}$  be the inclusion of an open G-stable subvariety. We form a graded algebra

$$A_{(G,\mathfrak{Y})} := \operatorname{Ext}_G^{\bullet}(j^*\mathcal{L}, j^*\mathcal{L}).$$

**Lemma 1.4** ([K12a] 4.4). Let  $j: \mathfrak{Y} \hookrightarrow \mathfrak{X}$  be the inclusion of an open G-stable subvariety. Then,  $\mathfrak{Y}$  satisfies the conditions  $(\spadesuit)$  and  $(\clubsuit)$  if  $\mathfrak{X}$  does.

**Proposition 1.5** ([K12a] 4.3, 4.5). Let  $i : \mathbb{O}_{\lambda} \hookrightarrow \mathfrak{X}$  be the inclusion of a closed G-orbit (with  $\lambda \in \Lambda$ ), and let  $j : \mathfrak{Y} \hookrightarrow \mathfrak{X}$  be its complement. Then, we have an isomorphism  $A_{(G,\mathfrak{X})}/(A_{(G,\mathfrak{X})}e_{\lambda}A_{(G,\mathfrak{X})}) \xrightarrow{\cong} A_{(G,\mathfrak{Y})}$ .

**Corollary 1.6** ([K12a] 4.2, 4.3, 4.5). Let  $j : \mathfrak{Y} \hookrightarrow \mathfrak{X}$  be the inclusion of an open G-stable subvariety. We have

$$\operatorname{ext}_{A}^{*}(A, L_{\mu}) \cong \operatorname{ext}_{A}^{*}(A_{(G, \mathfrak{D})}, L_{\mu})$$

for every  $\mu \in \Lambda$  so that  $\mathbb{O}_{\mu} \subset \mathfrak{Y}$ .

# 2 Quivers and the KLR algebras

Let  $\Gamma = (I, \Omega)$  be an oriented graph with the set of its vertex I and the set of its oriented edges  $\Omega$ . Here I is fixed, and  $\Omega$  might change so that the underlying graph  $\Gamma_0$  of  $\Gamma$  is a fixed Dynkin diagram of type ADE. We refer  $\Omega$  as the orientation of  $\Gamma$ . We form a path algebra  $\mathbb{C}[\Gamma]$  of  $\Gamma$ .

For  $h \in \Omega$ , we define  $h' \in I$  to be the source of h and  $h'' \in I$  to be the sink of h. We denote  $i \leftrightarrow j$  for  $i, j \in I$  if and only if there exists  $h \in \Omega$  such that  $\{h', h''\} = \{i, j\}$ . A vertex  $i \in I$  is called a sink of  $\Gamma$  if  $h' \neq i$  for every  $h \in \Omega$ . A vertex  $i \in I$  is called a source of  $\Gamma$  if  $h'' \neq i$  for every  $h \in \Omega$ .

Let  $Q^+$  be the free abelian semi-group generated by  $\{\alpha_i\}_{i\in I}$ , and let  $Q^+ \subset Q$  be the free abelian group generated by  $\{\alpha_i\}_{i\in I}$ . We sometimes identify Q with the root lattice of type  $\Gamma_0$  with a set of its simple roots  $\{\alpha_i\}_{i\in I}$ . Let  $W=W(\Gamma_0)$  denote the Weyl group of type  $\Gamma_0$  with a set of its simple reflections  $\{s_i\}_{i\in I}$ . The group W acts on Q via the above identification. Let  $R^+ := W\{\alpha_i\}_{i\in I} \cap Q^+$  be the set of positive roots of a simple Lie algebra with its Dynkin diagram  $\Gamma_0$ .

An *I*-graded vector space V is a vector space over  $\mathbb{C}$  equipped with a direct sum decomposition  $V = \bigoplus_{i \in I} V_i$ .





Let V be an I-graded vector space. For  $\beta \in Q^+$ , we declare  $\underline{\dim} V = \beta$  if and only if  $\beta = \sum_{i \in I} (\dim V_i) \alpha_i$ . We call  $\underline{\dim} V$  the dimension vector of V. Form a vector space

$$E_V^{\Omega} := \bigoplus_{h \in \Omega} \operatorname{Hom}_{\mathbb{C}}(V_{h'}, V_{h''}).$$

We set  $G_V := \prod_{i \in I} GL(V_i)$ . The group  $G_V$  acts on  $E_V^{\Omega}$  through its natural action on V. The space  $E_V^{\Omega}$  can be identified with the based space of  $\mathbb{C}[\Gamma]$ -modules with its dimension vector  $\beta$ . Let  $M_i$  be a unique  $\mathbb{C}[\Gamma]$ -module (up to an isomorphism) with  $\underline{\dim} M_i = \alpha_i$ .

For each  $k \geq 0$ , we consider a sequence  $\mathbf{m} = (m_1, m_2, \dots, m_k) \in I^k$ . We abbreviate this as  $\mathsf{ht}(\mathbf{m}) = k$ . We set  $\mathsf{wt}(\mathbf{m}) := \sum_{j=1}^k \alpha_{m_j} \in Q^+$ . For  $\beta = \mathsf{wt}(\mathbf{m}) \in Q^+$ , we set  $\mathsf{ht} \beta = k$ . For a sequence  $\mathbf{m}' := (m'_1, \dots, m'_{k'}) \in I^{k'}$ , we set

$$\mathbf{m} + \mathbf{m}' := (m_1, \dots, m_k, m'_1, \dots, m'_{k'}) \in I^{k+k'}.$$

For  $i \in I$  and  $k \ge 0$ , we understand that  $ki = (i, ..., i) \in I^k$ .

For each  $\beta \in Q^+$ , we set  $Y^{\beta}$  to be the set of all sequences  $\mathbf{m}$  such that  $\mathsf{wt}(\mathbf{m}) = \beta$ . For each  $\beta \in Q^+$  with  $\mathsf{ht}\,\beta = n$  and  $1 \le i < n$ , we define an action of  $\{\sigma_i\}_{i=1}^{n-1}$  on  $Y^{\beta}$  as follows: For each  $1 \le i < n$  and  $\mathbf{m} = (m_1, \ldots, m_n) \in Y^{\beta}$ , we set

$$\sigma_i \mathbf{m} := (m_1, \dots, m_{i-1}, m_{i+1}, m_i, m_{i+2}, \dots, m_n).$$

It is clear that  $\{\sigma_i\}_{i=1}^{n-1}$  generates a  $\mathfrak{S}_n$ -action on  $Y^{\beta}$ . In addition,  $\mathfrak{S}_n$  naturally acts on a set of integers  $\{1, 2, \ldots, n\}$ .

**Definition 2.1** (Khovanov-Lauda [KL09], Rouquier [Rou08]). Let  $\beta \in Q^+$  so that  $n = \operatorname{ht} \beta$ . We define the KLR algebra  $R_{\beta}$  as a unital algebra generated by the elements  $\kappa_1, \ldots, \kappa_n, \tau_1, \ldots, \tau_{n-1}$ , and  $e(\mathbf{m})$  ( $\mathbf{m} \in Y^{\beta}$ ) subject to the following relations:

1.  $\deg \kappa_i e(\mathbf{m}) = 2$  for every i, and

$$\deg \tau_i e(\mathbf{m}) = \begin{cases} -2 & (m_i = m_{i+1}) \\ 1 & (m_i \leftrightarrow m_{i+1}) ; \\ 0 & (otherwise) \end{cases}$$

- 2.  $[\kappa_i, \kappa_j] = 0$ ,  $e(\mathbf{m})e(\mathbf{m}') = \delta_{\mathbf{m}, \mathbf{m}'}e(\mathbf{m})$ , and  $\sum_{\mathbf{m} \in Y^{\beta}} e(\mathbf{m}) = 1$ ;
- 3.  $\tau_i e(\mathbf{m}) = e(\sigma_i \mathbf{m}) \tau_i e(\mathbf{m})$ , and  $\tau_i \tau_j e(\mathbf{m}) = \tau_j \tau_i e(\mathbf{m})$  for |i j| > 1;
- 4.  $\tau_i^2 e(\mathbf{m}) = Q_{\mathbf{m},i}(\kappa_i, \kappa_{i+1}) e(\mathbf{m});$
- 5. For each  $1 \le i < n$ , we have

$$\tau_{i+1}\tau_{i}\tau_{i+1}e(\mathbf{m}) - \tau_{i}\tau_{i+1}\tau_{i}e(\mathbf{m}) = \begin{cases} \frac{Q_{\mathbf{m},i}(\kappa_{i+2},\kappa_{i+1}) - Q_{\mathbf{m},i}(\kappa_{i},\kappa_{i+1})}{\kappa_{i+2} - \kappa_{i}}e(\mathbf{m}) & (m_{i+2} = m_{i}) \\ 0 & (\text{otherwise}) \end{cases};$$

6. 
$$\tau_i \kappa_k e(\mathbf{m}) - \kappa_{\sigma_i(k)} \tau_i e(\mathbf{m}) = \begin{cases} -e(\mathbf{m}) & (i = k, m_i = m_{i+1}) \\ e(\mathbf{m}) & (i = k - 1, m_i = m_{i+1}) \\ 0 & (\text{otherwise}) \end{cases}$$





Here we set  $h_{\mathbf{m},i} := \#\{h \in \Omega \mid h' = m_i, h'' = m_{i+1}\}$  and

$$Q_{\mathbf{m},i}(u,v) = \begin{cases} 1 & (m_i \neq m_{i+1}, m_i \not \hookrightarrow m_{i+1}) \\ (-1)^{h_{\mathbf{m},i}} (u-v) & (m_i \leftrightarrow m_{i+1}) \\ 0 & (\text{otherwise}) \end{cases},$$

where u, v are indeterminants.

Remark 2.2. Note that the algebra  $R_{\beta}$  a priori depends on the orientation  $\Omega$  through  $Q_{\mathbf{m},i}(u,v)$ . Since the graded algebras  $R_{\beta}$  are known to be mutually isomorphic for any two choices of  $\Omega$  (cf. Theorem 2.3), we suppress this dependence in the below.

For an *I*-graded vector space V with  $\underline{\dim} V = \beta$ , we define

$$F_{\beta}^{\Omega} := \left\{ (\{F_j\}_{j=0}^{\mathsf{ht}\beta}, x) \middle| \begin{array}{l} x \in E_V^{\Omega}. \text{ For each } 0 < j \leq \mathsf{ht}\beta, \\ F_j \subset V \text{ is an $I$-graded vector subspace,} \\ F_{j+1} \subsetneq F_j, \text{ and satisfies } xF_j \subset F_{j+1}. \end{array} \right\} \quad \text{and} \quad \mathcal{B}_{\beta}^{\Omega} := \left\{ \{F_j\}_{j=0}^{\mathsf{ht}\beta} \middle| F_j \subset V \text{ is an $I$-graded vector subspace s.t. } F_{j+1} \subsetneq F_j. \right\}.$$

We have a projection

$$\varpi^{\Omega}_{\beta}: F^{\Omega}_{\beta} \ni (\{F_j\}_{j=0}^{\mathsf{ht}\beta}, x) \mapsto \{F_j\}_{j=0}^{\mathsf{ht}\beta} \in \mathcal{B}^{\Omega}_{\beta},$$

which is  $G_V$ -equivariant. For each  $\mathbf{m} \in Y^{\beta}$ , we have a connected component

$$F_{\mathbf{m}}^{\Omega} := \{(\{F_j\}_{j=0}^{\mathsf{ht}\beta}, x) \in F_{\beta}^{\Omega} \mid \underline{\dim} \, F_j/F_{j+1} = \alpha_{m_{j+1}} \ \forall j\} \subset F_{\beta}^{\Omega},$$

that is smooth of dimension  $d_{\mathbf{m}}^{\Omega}$ . We set  $\mathcal{B}_{\mathbf{m}}^{\Omega} := \varpi_{\beta}^{\Omega}(F_{\mathbf{m}}^{\Omega})$ , that is an irreducible component of  $\mathcal{B}_{\beta}^{\Omega}$ . Let

$$\pi_{\mathbf{m}}^{\Omega}: F_{\mathbf{m}}^{\Omega} \ni (\{F_j\}_{j=0}^{\mathsf{ht}\beta}, x) \mapsto x \in E_V^{\Omega}$$

be the second projection that is also  $G_V$ -equivariant. The map  $\pi_{\mathbf{m}}^{\Omega}$  is projective, and hence

$$\mathcal{L}_{\mathbf{m}}^{\Omega}:=(\pi_{\mathbf{m}}^{\Omega})_{!}\,\underline{\mathbb{C}}\,[d_{\mathbf{m}}^{\Omega}]$$

decomposes into a direct sum of (shifted) irreducible perverse sheaves with their coefficients in  $D^b(\mathrm{pt})$  (Gabber's decomposition theorem, [BBD82] 6.2.5). We set  $\mathcal{L}^{\Omega}_{\beta} := \bigoplus_{\mathbf{m} \in Y^{\beta}} \mathcal{L}^{\Omega}_{\mathbf{m}}$ . Let  $e(\mathbf{m})$  be the idempotent in  $\mathrm{End}(\mathcal{L}^{\Omega}_{\beta})$  so that  $e(\mathbf{m})\mathcal{L}^{\Omega}_{\beta} = \mathcal{L}^{\Omega}_{\mathbf{m}}$ . Since  $\pi^{\Omega}_{\mathbf{m}}$  is projective, we conclude that  $\mathbb{D}\mathcal{L}^{\Omega}_{\mathbf{m}} \cong \mathcal{L}^{\Omega}_{\mathbf{m}}$  for each  $\mathbf{m} \in Y^{\beta}$ , and hence

$$\mathbb{D}\mathcal{L}^{\Omega}_{\beta} \cong \mathcal{L}^{\Omega}_{\beta}. \tag{2.1}$$

**Theorem 2.3** (Varagnolo-Vasserot [VV11]). Under the above settings, we have an isomorphism of graded algebras:

$$R_{\beta} \cong \bigoplus_{i \in \mathbb{Z}} \operatorname{Ext}_{G_{V}}^{i}(\mathcal{L}_{\beta}^{\Omega}, \mathcal{L}_{\beta}^{\Omega}).$$

In particular, the RHS does not depend on the choice of an orientation  $\Omega$  of  $\Gamma_0$ .





For each  $\mathbf{m}, \mathbf{m}' \in Y^{\beta}$ , we set

$$R_{\mathbf{m},\mathbf{m}'} := e(\mathbf{m}) R_{\beta} e(\mathbf{m}') = \bigoplus_{i \in \mathbb{Z}} \operatorname{Ext}_{G_V}^i(\mathcal{L}_{\mathbf{m}'}^{\Omega}, \mathcal{L}_{\mathbf{m}}^{\Omega}).$$

We set  $S_{\beta} \subset R_{\beta}$  to be a subalgebra which is generated by  $e(\mathbf{m})$  ( $\mathbf{m} \in Y^{\beta}$ ) and  $\kappa_1, \ldots, \kappa_n$ .

For each  $\beta_1, \beta_2 \in Q_+$  with  $\operatorname{ht} \beta_1 = n_1$  and  $\operatorname{ht} \beta_2 = n_2$ , we have a natural inclusion:

$$R_{\beta_1} \boxtimes R_{\beta_2} \ni e(\mathbf{m}) \boxtimes e(\mathbf{m}') \mapsto e(\mathbf{m} + \mathbf{m}') \in R_{\beta_1 + \beta_2}.$$

$$R_{\beta_1} \boxtimes 1 \ni \kappa_i \boxtimes 1, \tau_i \boxtimes 1 \mapsto \kappa_i, \tau_i \in R_{\beta_1 + \beta_2}.$$

$$1 \boxtimes R_{\beta_2} \ni 1 \boxtimes \kappa_i, 1 \boxtimes \tau_i \mapsto \kappa_{i+n_1}, \tau_{i+n_1} \in R_{\beta_1 + \beta_2}.$$

This defines an exact functor

$$\star: R_{\beta_1} \boxtimes R_{\beta_2}\text{-gmod} \ni M_1 \boxtimes M_2 \mapsto R_{\beta_1+\beta_2} \otimes_{R_{\beta_1} \boxtimes R_{\beta_2}} (M_1 \boxtimes M_2) \in R_{\beta_1+\beta_2}\text{-gmod}.$$

It is straight-forward to see that  $\star$  restricts to an exact functor in the category of graded projective modules:

$$\star: R_{\beta_1}\boxtimes R_{\beta_2}\text{-proj}\ni M_1\boxtimes M_2\mapsto R_{\beta_1+\beta_2}\otimes_{R_{\beta_1}\boxtimes R_{\beta_2}}(M_1\boxtimes M_2)\in R_{\beta_1+\beta_2}\text{-proj}.$$

If  $i \in I$  is a source of  $\Gamma$  and  $f = (f_h)_{h \in \Omega} \in E_V^{\Omega}$ , then we define

$$\epsilon_i^*(f) := \dim \ker \bigoplus_{h \in \Omega, h'=i} f_h \le \dim V_i.$$

If  $i \in I$  is a sink of  $\Gamma$  and  $f = (f_h)_{h \in \Omega} \in E_V^{\Omega}$ , then we define

$$\epsilon_i(f) := \dim \operatorname{coker} \bigoplus_{h \in \Omega, h'' = i} f_h \leq \dim V_i.$$

Each of  $\epsilon_i^*(f)$  or  $\epsilon_i(f)$  do not depend on the choice of a point in a  $G_V$ -orbit. Hence,  $\epsilon_i$  or  $\epsilon_i^*$  induces a function on  $E_V^{\Omega}$  that is constant on each  $G_V$ -orbit, and a function on the set of isomorphism classes of simple  $G_V$ -equivariant perverse sheaves on  $E_V^{\Omega}$  through a unique open dense  $G_V$ -orbit of its support whenever i is a source or a sink.

**Proposition 2.4** (Lusztig [Lus91] 6.6). For each  $i \in I$ , the functions  $\epsilon_i$  and  $\epsilon_i^*$  descend to functions on the set of isomorphism classes of simple graded  $R_{\beta}$ -modules (up to degree shift).

*Proof.* Note that [Lus91] 6.6 considers only  $\epsilon_i$ , but  $\epsilon_i^*$  is obtained by swapping the order of the convolution operation.

**Theorem 2.5** (Khovanov-Lauda [KL09], Rouquier [Rou08], Varagnolo-Vasserot [VV11]). *In the above setting, we have:* 

1. For each  $i \in I$  and  $n \geq 0$ ,  $R_{n\alpha_i}$  has a unique indecomposable projective module  $P_{ni}$  up to grading shifts;





2. The functor  $\star$  induces a  $\mathbb{Z}[t^{\pm 1}]$ -algebra structure on

$$\mathbf{K}:=\bigoplus_{\beta\in Q^+}K(R_\beta\text{-proj});$$

- 3. The algebra **K** is isomorphic to the integral form  $U^+$  of the positive part of the quantized enveloping algebra of type  $\Gamma_0$  by identifying  $[P_{ni}]$  with the n-th divided power of a Chevalley generator of  $U^+$ ;
- 4. The above isomorphism identifies the classes of indecomposable graded projective  $R_{\beta}$ -modules ( $\beta \in Q^+$ ) with an element of the lower global basis of  $U^+$  in the sense of [Kas91];
- 5. There exists a set  $B(\infty) = \bigsqcup_{\beta \in Q^+} B(\infty)_{\beta}$  that parameterizes indecomposable projective modules of  $\bigoplus_{\beta \in Q^+} R_{\beta}$ -gmod. This identifies the functions  $\epsilon_i, \epsilon_i^*$   $(i \in I)$  with the corresponding functions on  $B(\infty)$ .

*Proof.* The first assertion is [KL09] 2.2 3), the second and the third assertions are [KL09] 3.4, and the fourth assertion is [VV11] 4.4. Based on this, the fifth follows from Proposition 2.4. See also Theorem 2.12 in the below.

Remark 2.6. The coincidence of the lower global basis and the canonical basis is proved by Lusztig [Lu90b] and Grojnowski-Lusztig [GL93]. We freely utilize this identification in the below.

**Proposition 2.7.** In the above setting, the conditions  $(\spadesuit)$  and  $(\clubsuit)$  are satisfied.

*Proof.* The condition  $(\spadesuit)_1$  is the Gabriel theorem (on the classification of finite algebras, applied to  $\mathbb{C}[\Gamma]$ ). The condition  $(\spadesuit)_2$  follows by the fact that  $\mathsf{Stab}_G(x_\lambda)$  is the automorphism group of a  $\mathbb{C}[\Gamma]$ -module M, which must be an open dense part of a linear subspace.

We set  $Z^{\Omega}_{\beta} := F^{\Omega}_{\beta} \times_{E^{\Omega}_{V}} F^{\Omega}_{\beta}$ . By [VV11] 1.8 (b) and 2.23 (or [CG97] 8.6.7), we have an isomorphism  $H^{G_{V}}_{\bullet}(Z^{\Omega}_{\beta}) \cong \operatorname{Ext}_{G_{V}}^{\bullet}(\mathcal{L}^{\Omega}_{\beta}, \mathcal{L}^{\Omega}_{\beta})$  as graded algebras (here we warn that the grading on the LHS is imported from the RHS, and is *not* the standard one; cf. [VV11] 1.9). Since  $G_{V}$  is a reductive group, we know that each  $G_{V}$ -orbit of  $(\mathcal{B}^{\Omega}_{\beta})^{2}$  is an affine bundle over a connected component of  $\mathcal{B}^{\Omega}_{\beta}$  (see e.g. [CG97] §3.4). By [VV11] 2.11, each fiber of the  $G_{V}$ -equivariant map  $Z^{\Omega}_{\beta} \to (\mathcal{B}^{\Omega}_{\beta})^{2}$  induced from  $\varpi^{\Omega}_{\beta}$  is a vector space. Therefore, we conclude that  $Z^{\Omega}_{\beta}$  is a union of finite increasing sequence of closed subvarieties

$$\emptyset = Z_{\beta,0}^\Omega \subsetneq Z_{\beta,1}^\Omega \subsetneq Z_{\beta,2}^\Omega \subsetneq \cdots \subsetneq Z_{\beta,\ell}^\Omega = Z_\beta^\Omega,$$

where each  $Z_{\beta,j}^{\Omega} \setminus Z_{\beta,j-1}^{\Omega}$  is an affine bundle over a connected component of  $\mathcal{B}_{\beta}^{\Omega}$ . This implies the purity of  $H_{\bullet}^{G_V}(Z_{\beta}^{\Omega})$ , and hence  $(\clubsuit)_1$  follows.

The condition  $(\clubsuit)_2$  is Lusztig [Lu90a] 10.6.

Corollary 2.8 (Lusztig [Lu90a]). Every simple  $G_V$ -equivariant perverse sheaf on  $E_V^{\Omega}$  appears as a non-zero direct summand of  $\mathcal{L}_{\beta}^{\Omega}$  up to a degree shift.

*Proof.* By Proposition 2.7 and Theorem 2.3, we deduce that the assertion is equivalent to  $\#\operatorname{Irr}_0R_\beta = \#(G_V \setminus E_V^\Omega)$ . This follows from a standard bijection between the set of isomorphism classes of indecomposable  $\mathbb{C}[\Gamma]$ -modules and a basis of  $U^+$  à la Ringel [Rin90] (or a consequence of the Gabriel theorem).  $\square$ 





**Theorem 2.9** (Kashiwara's problem). The algebra  $R_{\beta}$  has finite global dimension.

*Proof.* Apply Theorem 1.2 to (2.1), Proposition 2.7, and Corollary 2.8.

Thanks to Corollary 2.8 and Theorem 2.5 5), we have an identification  $B(\infty)_{\beta} \cong G_V \backslash E_V^{\Omega}$ , where V is an I-graded vector space with  $\underline{\dim} V = \beta$ . By regarding  $G_V \backslash E_V^{\Omega}$  as the space of  $\mathbb{C}[\Gamma]$ -modules with its dimension vector  $\beta$ , each  $b \in B(\infty)_{\beta}$  gives rise to (an isomorphism class of) a  $\mathbb{C}[\Gamma]$ -module  $M_b$ . Let us denote by  $\mathbb{O}_b^{\Omega}$  the  $G_V$ -orbit of  $E_V^{\Omega}$  corresponding to  $b \in B(\infty)_{\beta}$ . Each  $b \in B(\infty)_{\beta}$  defines an indecomposable graded projective module  $P_b$  of  $R_{\beta}$  with simple head  $L_b$  that is isomorphic to its graded dual  $L_b^*$  (see §1).

The standard module  $K_b$  and the dual standard module  $\widetilde{K}_b$  in §1 depends on the choice of  $\Omega$  since the Fourier transform interchanges the closure relations. Therefore, we denote by  $K_b^{\Omega}$  (resp.  $\widetilde{K}_b^{\Omega}$ ) the standard module (resp. the dual standard module) of  $L_b$  arising from  $E_{\Omega}^{\Omega}$ .

Example 2.10. If  $\beta = m\alpha_i$  for  $m \geq 1$  and  $i \in I$ , then the set  $B(\infty)_{m\alpha_i}$  is a singleton. Let  $L_{mi}$  and  $P_{mi}$  be unique simple and projective graded modules of  $R_{m\alpha_i}$  up to grading shifts, respectively. The standard module  $K_{mi}$  and the dual standard module  $\widetilde{K}_{mi}$  do not depend on the choice of  $\Omega$  in this case. We have  $L_{mi} \cong K_{mi}$  and  $P_{mi} \cong \widetilde{K}_{mi}$ , and

$$[\widetilde{K}_{mi}:K_{mi}]=\operatorname{gdim}\mathbb{C}[x_1,\cdots,x_m]^{\mathfrak{S}_m}.$$

Let  $\mathcal{Q}^{\Omega}_{\beta}$  be the full subcategory of  $D^b_{G_V}(E_V^{\Omega})$  consisting all complexes whose direct summands are degree shifts of that of  $\mathcal{L}^{\Omega}_{\beta}$ .

Let  $\beta \in Q^+$  with  $\operatorname{ht} \beta = n$ . Let  $\leq_B$  be the Bruhat order of  $\mathfrak{S}_n$  with respect to the set of simple reflections  $\{\sigma_1, \sigma_2, \dots, \sigma_{n-1}\}$ . For each  $w \in \mathfrak{S}_n$  and its reduced expression

$$w = \sigma_{i_1} \sigma_{i_2} \cdots \sigma_{i_L},$$

we set  $\tau_w := \tau_{j_1} \tau_{j_2} \cdots \tau_{j_L}$ . Note that  $\tau_w$  depends on the choice of a reduced expression.

**Theorem 2.11** (Poincaré-Birkoff-Witt theorem [KL09] 2.7). We have equalities as vector spaces:

$$R_{\beta} = \bigoplus_{w \in \mathfrak{S}_n, \mathbf{m} \in Y^{\beta}} \tau_w S_{\beta} e(\mathbf{m}) = \bigoplus_{w \in \mathfrak{S}_n, \mathbf{m} \in Y^{\beta}} S_{\beta} \tau_w e(\mathbf{m}),$$

regardless the choices of  $\tau_w$ .

Let  $\beta \in Q^+$  so that  $\mathsf{ht}\,\beta = n$ . For each  $i \in I$  and  $k \geq 0$ , we set

$$Y_{k,i}^{\beta} := \{ \mathbf{m} = (m_j) \in Y^{\beta} \mid m_1 = \dots = m_k = i \} \text{ and } Y_{k,i}^{\beta,*} := \{ \mathbf{m} = (m_j) \in Y^{\beta} \mid m_n = \dots = m_{n-k+1} = i \}.$$

In addition, we define two idempotents of  $R_{\beta}$  as:

$$e_i(k) := \sum_{\mathbf{m} \in Y_{k,i}^{\beta}} e(\mathbf{m}), \text{ and } e_i^*(k) := \sum_{\mathbf{m} \in Y_{k,i}^{\beta,*}} e(\mathbf{m}).$$





**Theorem 2.12** (Lusztig [Lus91] §6, Lauda-Vazirani [LV11] 2.5.1). Let  $\beta \in Q_+$  and  $i \in I$ . For each  $b \in B(\infty)_{\beta}$  and  $i \in I$ , we have

$$\epsilon_i(b) = \max\{k \mid e_i(k)L_b \neq \{0\}\} \text{ and }$$

$$\epsilon_i^*(b) = \max\{k \mid e_i^*(k)L_b \neq \{0\}\}.$$

Moreover,  $e_i(\epsilon_i(b))L_b$  and  $e_i^*(\epsilon_i^*(b))L_b$  are irreducible  $R_{\epsilon_i(b)\alpha_i} \boxtimes R_{\beta-\epsilon_i(b)\alpha_i}$ -module and  $R_{\beta-\epsilon_i^*(b)\alpha_i} \boxtimes R_{\epsilon_i^*(b)\alpha_i}$ -module, respectively. In addition, if we have distinct  $b, b' \in B(\infty)_{\beta}$  so that  $\epsilon_i(b) = k = \epsilon_i(b')$  with  $k \ge 0$ , then  $e_i(k)L_b$  and  $e_i(k)L_{b'}$  are not isomorphic as an  $R_{k\alpha_i} \boxtimes R_{\beta-k\alpha_i}$ -module.

### 3 Saito reflection functors

Keep the setting of the previous section. Let  $\Omega_i$  be the set of edges  $h \in \Omega$  with h'' = i or h' = i. Let  $s_i\Omega_i$  be a collection of edges obtained from  $h \in \Omega_i$  by setting  $(s_ih)' = h''$  and  $(s_ih)'' = h'$ . We define  $s_i\Omega := (\Omega \setminus \Omega_i) \cup s_i\Omega_i$  and set  $s_i\Gamma := (I, s_i\Omega)$ . Note that  $\Gamma_0 = (s_i\Gamma)_0$ .

Let  $w_0 \in W$  be the longest element. Choose a reduced expression

$$w_0 = s_{i_1} s_{i_2} \cdots s_{i_\ell}.$$

We denote by  $\mathbf{i} := (i_1, \dots, i_\ell) \in I^\ell$  the data recording this reduced expression. We say  $\mathbf{i}$  is adapted to  $\Omega$  (or  $\Gamma$ ) if each  $i_k$  is a sink of  $s_{i_{k-1}} \cdots s_{i_1} \Gamma$ .

Let V be an I-graded vector space with  $\underline{\dim} V = \beta$ . For a sink i of  $\Gamma$ , we define

$$_{i}E_{V}^{\Omega}:=\bigr\{(f_{h})_{h\in\Omega}\in E_{V}^{\Omega}\mid \operatorname{coker}(\bigoplus_{h\in\Omega.h''=i}f_{h}:\bigoplus_{h'}V_{h'}\to V_{i})=\bigl\{0\bigr\}\bigr\}.$$

For a source i of  $\Gamma$ , we define

$${}^iE_V^\Omega := \bigl\{ (f_h)_{h \in \Omega} \in E_V^\Omega \mid \ker(\bigoplus_{h \in \Omega. h' = i} f_h : V_i \to \bigoplus_{h''} V_{h''}) = \{0\} \bigr\}.$$

Let  $\Omega$  be an orientation of  $\Gamma$  so that  $i \in I$  is a sink. Let  $\beta \in Q^+ \cap s_i Q^+$ . Let V and V' be I-graded vector spaces with  $\underline{\dim} V = \beta$  and  $\underline{\dim} V' = s_i \beta$ , respectively. We fix an isomorphism  $\phi: \oplus_{j \neq i} V_j \xrightarrow{\cong} \oplus_{j \neq i} V_j'$  as I-graded vector spaces. We define:

$${}_{i}Z_{V,V'}^{\Omega} := \left\{ \{(f_{h})_{h \in \Omega}, (f'_{h})_{h \in s_{i}\Omega}, \psi\} \middle| \begin{array}{c} (f_{h}) \in {}_{i}E_{V}^{\Omega}, (f'_{h}) \in {}^{i}E_{V'}^{s_{i}\Omega}, \\ \phi f_{h} = f'_{h}\phi \text{ for } h \notin \Omega_{i} \\ \psi : V'_{i} \stackrel{\cong}{\longrightarrow} \ker(\bigoplus_{h \in \Omega_{i}} f_{h} : \bigoplus_{h} V_{h'} \to V_{i}) \end{array} \right\}.$$

We have a diagram:

$$E_{V}^{\Omega} \stackrel{j_{V}}{\lessdot} {}_{i}E_{V}^{\Omega} \stackrel{q_{V}^{i}}{\lessdot} {}_{i}Z_{V,V'}^{\Omega} \stackrel{p_{V'}^{i}}{\longrightarrow} {}^{i}E_{V'}^{s_{i}\Omega} \stackrel{j_{V'}}{\longrightarrow} E_{V'}^{s_{i}\Omega} \quad . \tag{3.1}$$

If we set

$$G_{V,V'} := GL(V_i) \times GL(V_i') \times \prod_{j \neq i} GL(V_j) \cong GL(V_i) \times GL(V_i') \times \prod_{j \neq i} GL(V_j'),$$

then the maps  $p_{V'}^i$  and  $q_V^i$  are  $G_{V,V'}$ -equivariant.





**Proposition 3.1** (Lusztig [Lus98]). The morphisms  $p_V^i$  and  $q_V^i$  in (3.1) are  $\operatorname{Aut}(V_i)$ -torsor and  $\operatorname{Aut}(V_i')$ -torsor, respectively.

When  $\beta = \dim V$ , we set

$${}_iR^\Omega_\beta:=\operatorname{Ext}^\bullet_{G_V}(j_V^*\mathcal{L}^\Omega_V,j_V^*\mathcal{L}^\Omega_V)\quad\text{and}\quad {}^iR^{s_i\Omega}_{s_i\beta}:=\operatorname{Ext}^\bullet_{G_{V'}}(j_{V'}^*\mathcal{L}^{s_i\Omega}_{V'},j_{V'}^*\mathcal{L}^{s_i\Omega}_{V'})$$

for the time being (see Corollary 3.4).

**Lemma 3.2.** We have an algebra isomorphism  ${}_{i}R^{\Omega}_{\beta} \cong R_{\beta}/(R_{\beta}e_{i}(1)R_{\beta})$ . Similarly, the algebra  ${}^{i}R^{s_{i}\Omega}_{s_{i}\beta}$  is isomorphic to  $R_{s_{i}\beta}/(R_{s_{i}\beta}e_{i}^{*}(1)R_{s_{i}\beta})$ .

Proof. The maps  $j_V$  and  $j_{V'}$  are  $G_{V^-}$  and  $G_{V'^-}$ -equivariant open embeddings, respectively. Therefore, we apply Lemma 1.4 and Proposition 1.5 repeatedly to deduce  ${}_iR^{\Omega}_{\beta} \cong R_{\beta}/(R_{\beta}eR_{\beta})$ , where  $e \in R_{\beta}$  is a degree zero idempotent so that  $eL_b = L_b$  ( $\mathbb{O}_b^{\Omega} \not\subset \operatorname{Im} j_V$ ) or  $\{0\}$  ( $\mathbb{O}_b^{\Omega} \subset \operatorname{Im} j_V$ ). By Proposition 2.4, Theorem 2.5 5), and Theorem 2.12, we conclude  $R_{\beta}eR_{\beta} = R_{\beta}e_i(1)R_{\beta}$ , which proves the first assertion. The case of  ${}^iR^{s_i\Omega}_{s_i\beta}$  is similar, and we omit the detail.

**Corollary 3.3.** The set of isomorphism classes of graded simple modules of  ${}_{i}R^{\Omega}_{\beta}$  and  ${}^{i}R^{s_{i}\Omega}_{s_{i}\beta}$  are  $\{L_{b}\langle j\rangle\}_{\epsilon_{i}(b)=0,j\in\mathbb{Z}}$  and  $\{L_{b}\langle j\rangle\}_{\epsilon_{i}^{*}(b)=0,j\in\mathbb{Z}}$ , respectively.  $\square$ 

Corollary 3.4. The algebras  ${}_iR^{\Omega}_{\beta}$  and  ${}^iR^{s_i\Omega}_{s_i\beta}$  do not depend on the choice of  $\Omega$ .

**Proposition 3.5.** In the setting of Proposition 3.1, two graded algebras  ${}_{i}R^{\Omega}_{\beta}$  and  ${}^{i}R^{s_{i}\Omega}_{s_{i}\beta}$  are Morita equivalent to each other. In addition, this Morita equivalence is independent of the choice of  $\Omega$  (as long as i is a sink).

*Proof.* First, note that the maps  $j_V, j_{V'}$  are open embeddings. In particular,  $j_V^* \mathcal{L}_V^{\Omega}$  and  $j_{V'}^* \mathcal{L}_{V'}^{s_i \Omega}$  are again direct sums of shifted equivariant perverse sheaves. By Proposition 3.1 and [BL94] 2.2.5, we have equivalences

$$D^b_{G_V}({}_iE^\Omega_V) \overset{(q^i_V)^*}{\longrightarrow} D^b_{G_{V,V'}}({}_iZ^\Omega_{V,V'}) \overset{(p^i_{V'})^*}{\longleftarrow} D^b_{G_{V'}}({}^iE^{s_i\Omega}_{V'}).$$

In addition, a simple  $G_{V,V'}$ -equivariant perverse sheaf  $\mathcal L$  on  ${}_iZ_{V,V'}^\Omega$  admits isomorphisms

$$(q_V^i)^* \left( {}_i \mathcal{L} \left[ \dim GL(V_i^i) \right] \right) \cong \mathcal{L} \cong (p_{V'}^i)^* \left( {}^i \mathcal{L} \left[ \dim GL(V_i) \right] \right), \tag{3.2}$$

where  ${}_{i}\mathcal{L}$  and  ${}^{i}\mathcal{L}$  are simple  $G_{V}$ - and  $G_{V'}$ -equivariant perverse sheaves on  ${}_{i}E_{V}^{\Omega}$  and  ${}^{i}E_{V'}^{s_{i}\Omega}$ , respectively. These induce isomorphisms of algebras:

$$B_{(G_{V,i}E_{V}^{\Omega})} \cong B_{(G_{V,V',i}Z_{V,V'}^{\Omega})} \cong B_{(G_{V'},iE_{v'}^{s_{i}\Omega})}.$$
 (3.3)

Therefore,  $B_{(G_V, iE_V^{\Omega})}$  and  $B_{(G_{V'}, iE_{V'}^{s_i\Omega})}$  are Morita equivalent to the algebras in the assertion by Corollary 2.8, which implies the first assertion.

We prove the second assertion. For any two orientations  $\Omega$  and  $\Omega'$  which have i as a common sink, we have Fourier transforms  $\mathcal{F}^{\Omega}$  and  $\mathcal{F}^{s_i\Omega}$  so that  $\mathcal{F}^{\Omega}(\mathcal{L}^{\Omega}_{\beta}) = \mathcal{L}^{\Omega'}_{\beta}$  and  $\mathcal{F}^{s_i\Omega}(\mathcal{L}^{s_i\Omega}_{s_i\beta}) = \mathcal{L}^{s_i\Omega'}_{s_i\beta}$ . Since  $\Omega_i = \Omega'_i$ , these two Fourier transforms are induced by the pairing between direct summands  $E \subset E^{\Omega}_V$  and  $E^* \subset E^{\Omega'}_V$  which can be identified with those of  $E^{s_i\Omega}_{V'}$  and  $E^{s_i\Omega'}_{V'}$  in (3.1) via  $\phi$ .





Since the diagram (3.1) is the product of a vector space and the contribution from  $\Omega_i$ , we conclude that two pairs of sheaves  $(\mathcal{L}^{\Omega}_{\beta}, \mathcal{L}^{s_i\Omega}_{s_i\beta})$  and  $(\mathcal{L}^{\Omega'}_{\beta}, \mathcal{L}^{s_i\Omega'}_{s_i\beta})$  are exchanged by  $\mathcal{F}^{\Omega}$  and  $\mathcal{F}^{s_i\Omega}$  commuting with the diagram (3.1). This identifies the Morita equivalences obtained by  $\Omega$  and  $\Omega'$  as required.

The maps  $q_V^i$  and  $p_{V'}^i$  give rise to a correspondence between orbits. For each  $b \in B(\infty)_{s_i\beta}$ , we denote by  $T_i(b) \in B(\infty)_{\beta} \sqcup \{\emptyset\}$  the element so that  $(p_{V'}^i)^{-1}(\mathbb{O}_b^{s_i\Omega}) \cong (q_V^i)^{-1}(\mathbb{O}_{T_i(b)}^{\Omega})$  (we understand that  $T_i(b) = \emptyset$  if  $\mathbb{O}_b^{s_i\Omega} \not\subset \operatorname{Im} p_{V'}^i$ ). Note that  $T_i(b) = \emptyset$  if and only if  $\epsilon_i^*(b) > 0$ . In addition, we have  $\epsilon_i(T_i(b)) = 0$  if  $T_i(b) \neq \emptyset$ . We set  $T_i^{-1}(b') := b$  if  $b' = T_i(b) \neq \emptyset$ .

Thanks to Corollary 3.4, we can drop  $\Omega$  or  $s_i\Omega$  from  ${}_iR^{\Omega}_{\beta}$  and  ${}^iR^{s_i\Omega}_{\beta}$ . We define a left exact functor

$$\mathbb{T}_i^*: R_{\beta}\operatorname{-gmod} \longrightarrow {}_iR_{\beta}\operatorname{-gmod} \stackrel{\cong}{\longrightarrow} {}^iR_{s_i\beta}\operatorname{-gmod} \hookrightarrow R_{s_i\beta}\operatorname{-gmod},$$

where the first functor is  $\operatorname{Hom}_{R_{\beta}}(iR_{\beta}, \bullet)$ , the second functor is Proposition 3.5, and the third functor is the pullback. Similarly, we define a right exact functor

$$\mathbb{T}_i: R_\beta\text{-gmod} \overset{i}{\longrightarrow} {}^iR_\beta\text{-gmod} \overset{\cong}{\longrightarrow} {}_iR_{s_i\beta}\text{-gmod} \hookrightarrow R_{s_i\beta}\text{-gmod},$$

where the first functor is  ${}^{i}R_{\beta} \otimes_{R_{\beta}} \bullet$ . We call these functors the Saito reflection functors (cf. [Sai94]). By the latter part of Proposition 3.5, we see that these functors are independent of the choices involved.

Let  $i \in I$ . We define  $R_{\beta}$ -gmod<sub>i</sub> (resp.  $R_{\beta}$ -gmod<sup>i</sup>) to be the full subcategory of  $R_{\beta}$ -gmod so that each simple subquotient is of the form  $L_b \langle k \rangle$  ( $k \in \mathbb{Z}$ ) with  $b \in B(\infty)_{\beta}$  that satisfies  $\epsilon_i(b) = 0$  (resp.  $\epsilon_i^*(b) = 0$ ). In addition, for each  $i \neq j \in I$ , we define  $R_{\beta}$ -gmod<sup>i</sup><sub>i</sub> :=  $R_{\beta}$ -gmod<sup>i</sup>  $\cap R_{\beta}$ -gmod<sub>i</sub>.

**Theorem 3.6** (Saito reflection functors). Let  $i \in I$ . We have:

1. Assume that i is a source of  $\Omega$ . For each  $b \in B(\infty)_{\beta}$ , we have

$$\mathbb{T}_i K_b^{\Omega} = \begin{cases} K_{T_i(b)}^{s_i \Omega} & (\epsilon_i^*(b) = 0) \\ \{0\} & (\epsilon_i^*(b) > 0) \end{cases};$$

2. For each  $b \in B(\infty)_{\beta}$ , we have

$$\mathbb{T}_{i}L_{b} = \begin{cases} L_{T_{i}(b)} & (\epsilon_{i}^{*}(b) = 0) \\ \{0\} & (\epsilon_{i}^{*}(b) > 0) \end{cases}, \text{ and } \mathbb{T}_{i}^{*}L_{b} = \begin{cases} L_{T_{i}^{-1}(b)} & (\epsilon_{i}(b) = 0) \\ \{0\} & (\epsilon_{i}(b) > 0) \end{cases};$$

- 3. The functors  $(\mathbb{T}_i, \mathbb{T}_i^*)$  form an adjoint pair;
- 4. For each  $M \in R_{\beta}$ -gmod<sup>i</sup> and  $N \in R_{s_i\beta}$ -gmod<sub>i</sub>, we have

$$\operatorname{ext}_{R_{s_i\beta}}^*(\mathbb{T}_iM,N) \cong \operatorname{ext}_{R_\beta}^*(M,\mathbb{T}_i^*N);$$

5. Let  $i \neq j \in I$ . For each  $\beta \in Q^+$  and  $m \geq 0$ , we have

$$\mathbb{T}_i(P_{mj} \star M) \cong (\mathbb{T}_i P_{mj}) \star \mathbb{T}_i M$$

as graded  $R_{s_i(\beta+m\alpha_i)}$ -modules for every  $M \in R_{\beta}$ -gmod<sub>i</sub>.





Remark 3.7. The proof of Theorem 3.6 is given by two parts, namely 1)-4) and 5). We warn that the proof of the latter part rests on the earlier part.

Proof of Theorem 3.6 1)-4). We prove the first assertion. The subset  ${}^iE^\Omega_V \subset E^\Omega_V$  (with  $\dim V = \beta$ ) is open. Therefore, Theorem 1.3 1) asserts that  $[K^\Omega_b: L_{b'}] \neq 0$  only if  $\epsilon_i^*(b') = 0$  whenever  $\epsilon_i^*(b) = 0$ . It follows that  ${}^iR_\beta \otimes_{R_\beta} K^\Omega_\beta \cong K^\Omega_b$  as a vector space if  $\epsilon_i^*(b) = 0$ , and  $\{0\}$  otherwise. This gives rise to a standard module of  ${}^iR_\beta$  by Lemma 1.4, and thus it gives a standard module of  ${}^iR_{s_i\beta}$  by Proposition 3.5. Note that the subset  ${}_iE^{s_i\Omega}_V \subset E^{s_i\Omega}_V$  (with  $\dim V' = s_i\beta$ ) is also open. Therefore, we use Lemma 3.2 to deduce the first assertion.

The second assertion is immediate from the first assertion and the construction of  $\mathbb{T}_i$  and  $\mathbb{T}_i^*$ .

We prove the third assertion. By Lemma 3.2, we know that  $\mathbb{T}_i$  factors through the functor giving the maximal quotient which is a  ${}^iR_\beta$ -module, while  $\mathbb{T}_i^*$  factors through the functor giving the maximal submodule which is an  ${}_iR_\beta$ -module. Therefore, the third assertion follows by the Morita equivalence  ${}^iR_\beta$ -gmod  $\cong {}_iR_{s_i\beta}$ -gmod for every  $\beta \in Q^+ \cap s_iQ^+$ .

For the fourth assertion, notice that  $R_{\beta}$ - and  $R_{s_i\beta}$ -action on M and N factors through  ${}^iR_{\beta}$  and  ${}_iR_{s_i\beta}$ , respectively. It follows that  ${}^iR_{\beta} \otimes_{R_{\beta}} M \cong M$ ,  ${}_iR_{s_i\beta} \otimes_{R_{s_i\beta}} \mathbb{T}_i M \cong \mathbb{T}_i M$ , and  $\operatorname{Hom}_{R_{s_i\beta}}({}_iR_{s_i\beta}, N) \cong N$ . By Lemma 3.2 and Corollary 1.6, we deduce that each indecomposable projective  ${}_iR_{s_i\beta}$ -module  ${}_iP$  admits an  $R_{s_i\beta}$ -graded projective resolution

$$\cdots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow {}_iP \rightarrow 0$$

so that  $P_0$  is indecomposable and  ${}_iR_{s_i\beta}\otimes_{R_{s_i\beta}}P_k=\{0\}$  for  $k\geq 1$ . Therefore, we have

$$\operatorname{ext}_{R_{s,\beta}}^*(M,N) \cong \operatorname{ext}_{iR_{s,\beta}}^*(M,N),$$

where we regard M, N as  ${}_{i}R_{s_{i}\beta}$ -modules via Proposition 3.5 (here we treat the Morita equivalence as an isomorphism for simplicity). Applying the same argument for  ${}^{i}R_{\beta}$  (again for M), we conclude the result.

**Lemma 3.8.** Let  $i \in I$ . For each  $\beta \in Q^+$ ,  $m \geq 0$ , and an indecomposable graded projective  ${}_iR_{\beta}$ -module P, the module  $P_{mi} \star P$  is an  $R_{\beta+m\alpha_i}$ -module with simple head.

*Proof.* By the Frobenius reciprocity, we have

$$\hom_{R_{\beta+m\alpha_i}}(P_{mi} \star P, L_b) \cong \hom_{R_{m\alpha_i} \boxtimes R_{\beta}}(P_{mi} \boxtimes P, L_b)$$
 (3.4)

for every  $b \in B(\infty)_{\beta+m\alpha_i}$ . Assume that the above space is non-zero to deduce the uniqueness of b and the one-dimensionality of (3.4). Choose  $d \in B(\infty)_{\beta}$  so that  $L_d$  is the unique simple quotient of P. We have  $\epsilon_i(d) = 0$  by assumption. By Theorem 2.11, we have  $e(\mathbf{m})(P_{mi} \star P) \neq \{0\}$  only if there exist a minimal length representative  $w \in \mathfrak{S}_{(\operatorname{ht}\beta+m)}/(\mathfrak{S}_m \times \mathfrak{S}_{\operatorname{ht}\beta})$  and  $\mathbf{m}' \in Y^{\beta}$  so that  $e(\mathbf{m}')P \neq \{0\}$  and  $\mathbf{m} = w(mi + \mathbf{m}')$ . Since  $\mathbf{m}' \notin Y_{1,i}^{\beta}$ , we deduce  $\epsilon_i(b) \leq m$ . Thus, if (3.4) is non-trivial, then we have  $\epsilon_i(b) = m$  and w = 1. Now Theorem 2.12 forces  $e_i(m)L_b \cong L_{mi} \boxtimes L_d$ . Therefore,  $P_{mi} \star P$  has at most one quotient, which completes the proof.





**Theorem 3.9** (Induction theorem). Let V(i) be I-graded vector spaces with  $\underline{\dim} V(i) = \beta_i$ , and  $b_i \in B(\infty)_{\beta_i}$  for i = 1, 2. Let  $b \in B(\infty)_{\beta_1 + \beta_2}$  so that  $\mathtt{M}_b \cong \mathtt{M}_{b_1} \oplus \mathtt{M}_{b_2}$  as  $\mathbb{C}[\Gamma]$ -modules. Assume the following condition  $(\star)$ :

- $(\star)_0$   $M_{b'_1}$  is not a quotient of  $M_b$  for every  $b_1 \neq b'_1 \in B(\infty)_{\beta_1}$ , and  $M_{b'_2}$  is not a submodule of  $M_b$  for every  $b_2 \neq b'_2 \in B(\infty)_{\beta_2}$ ;
- $(\star)_1 \operatorname{Ext}^1_{\mathbb{C}[\Gamma]}(M_{b_1}, M_{b_2}) = \{0\}.$

We have an isomorphism  $K_{b_1}^{\Omega} \star K_{b_2}^{\Omega} \cong K_b^{\Omega}$  as an ungraded  $R_{\beta_1+\beta_2}$ -module. In addition, if  $\mathbf{M}_b$  canonically determines the factor  $\mathbf{M}_{b_2}$  as a vector subspace, then  $(\star)_0$  and  $(\star)_1$  implies

$$K_{b_1}^{\Omega} \star K_{b_2}^{\Omega} \cong K_b^{\Omega}$$

as a graded  $R_{\beta_1+\beta_2}$ -module.

Before proving Theorem 3.9, we present some of its consequences. The proof of Theorem 3.9 itself is given at the end of this section.

Corollary 3.10. Suppose that i is a sink of  $\Omega$ . Let  $m \geq 0$ . For each  $\beta \in Q^+$  and  $b \in B(\infty)_{\beta}$  with  $\epsilon_i(b) = 0$ , the module  $K_{mi} \star K_b^{\Omega}$  is an indecomposable graded  $R_{\beta+m\alpha_i}$ -module isomorphic to  $K_{b'}^{\Omega}$  with  $M_{b'} \cong M_i^{\oplus m} \oplus M_b$ .

Proof. By Example 2.10, we deduce that the first part of  $(\star)_0$  is a void condition. Every irreducible subquotient of a  $\mathbb{C}[\Gamma]$ -module isomorphic to  $M_i$  is in its socle. Hence, the second part of  $(\star)_0$  follows by the comparison of the socles. Since i is a sink, we have no extension of  $M_i^{\oplus m}$  by  $M_b$ , which is  $(\star)_1$ . We write  $\beta = k\alpha_i + \sum_{j\neq i} k_j\alpha_j$ . Since  $\epsilon_i(b) = 0$ ,  $M_i$  is not a direct summand of  $M_b$ . In particular,  $M_b$  is canonically determined by  $M_{b'}$  as its direct factor. Applying Theorem 3.9 yields the result.

**Corollary 3.11.** Assume that i is a source and j is a sink of  $\Omega$ . Let  $\beta \in Q^+$ . For each  $m \geq 0$  and  $b \in B(\infty)_{\beta}$  such that  $\epsilon_j(b) = 0$ , we have

$$\mathbb{T}_i(K_{mj} \star K_b^{\Omega}) \cong (\mathbb{T}_i K_{mj}) \star \mathbb{T}_i K_b^{\Omega}$$

as graded  $R_{s_i(\beta+m\alpha_i)}$ -modules.

Proof. By Corollary 3.10, we see that  $K_{mj} \star K_b^{\Omega} \cong K_{b'}^{\Omega}$ , where  $M_{b'} \cong M_j^{\oplus m} \oplus M_b$ . By [Lu90a] 4.4 (c), we deduce that  $T_i(b') \neq \emptyset$  if and only if  $T_i(b) \neq \emptyset$ . Since a standard module is generated by its simple head, we deduce that  $\mathbb{T}_i(K_{mj} \star K_b^{\Omega}) = \{0\}$  if  $\epsilon_i^*(b) > 0$ , and it is isomorphic to  $K_{T_i(b')}^{s_i\Omega}$  if  $\epsilon_i^*(b) = 0$ .

Since  $i \neq j$ , we always have  $\mathbb{T}_i K_{mj} \neq \{0\}$ . Therefore, we conclude that the RHS is non-zero if and only if the LHS is non-zero. Thus, it suffices to show that the RHS is isomorphic to  $K_{T_i(b')}^{s_i\Omega}$ .

If we have  $i \nleftrightarrow j$ , then j is a sink of  $s_i\Gamma$ . By  $\epsilon_j(b) = 0$  and the assumption, we deduce that  $M_{T_i(b)}$  also do not contain  $M_j$  in this case. Hence, we deduce  $\epsilon_j(T_i(b)) = 0$ . In addition, we have  $\mathbb{T}_i K_{mj}^{\Omega} \cong K_{mj}^{s_i\Omega}$ . Therefore, we apply Corollary 3.10 to deduce that the RHS is  $K_{T_i(b')}^{s_i\Omega}$ .

Assume that we have  $i \leftrightarrow j$ . Let  $M_{i,j}$  be a unique indecomposable  $\mathbb{C}[s_i\Gamma]$ -module with  $\underline{\dim} M_{i,j} = \alpha_i + \alpha_j$  (up to an isomorphism). By  $\epsilon_j(b) = 0$  and loc. cit. 4.4 (c), we conclude that  $M_{T_i(b)}$  does not contain  $M_i, M_{i,j}$  as its direct





factor. By assumption, i is a sink of  $s_i\Gamma$  and j is a source of an edge from j to i, but is a source of no other edges. This particularly implies that  $M_i$  is the socle of  $M_{i,j}$ . Therefore, we conclude the first half of  $(\star)_0$  in Theorem 3.9. If an indecomposable  $\mathbb{C}[s_i\Gamma]$ -module contains  $M_i$  or  $M_{i,j}$  as its subquotient, then it must be a submodule. If an indecomposable  $\mathbb{C}[s_i\Gamma]$ -module has a non-zero homomorphism to  $M_i$  or  $M_{i,j}$ , then it must be isomorphic to either  $M_i$  or  $M_{i,j}$ . These imply the latter half of  $(\star)_0$  in Theorem 3.9. In addition, we have

$$\operatorname{Ext}^1_{\mathbb{C}[s_i\Gamma]}(\mathbf{M}_{i,j}^{\oplus m},\mathbf{M}_{T_i(b)}) = \{0\}.$$

Therefore, we conclude  $(\star)_1$  in Theorem 3.9. Let  $h_* \in s_i\Omega$  be the unique edge so that  $h'_* = j, h''_* = i$ . For a representation  $(f_h)_{h \in s_i\Omega}$  on  $V = \bigoplus_{i \in I} V_i$  isomorphic to  $M_{i,j}^{\oplus m} \oplus M_{T_i(b)}$ , we set

$$V'_{k} := \begin{cases} V_{k} & (k \neq i, j) \\ \operatorname{Im}\left(\bigoplus_{h \in s_{i}\Omega, h'' = i} f_{h} \oplus \bigoplus_{h \in s_{i}\Omega, h'' = j} f_{h_{*}} f_{h}\right) & (k = i) \\ \operatorname{Im}\bigoplus_{h \in s_{i}\Omega, h'' = i} f_{h} + f_{h_{*}}^{-1}(V'_{i}) + \ker f_{h_{*}} & (k = j) \end{cases}.$$

Then, the space  $V' \subset V$  defines a canonical  $\mathbb{C}[s_i\Gamma]$ -submodule M' on V' so that  $M' \cong M_{T_i(b)}$ . Therefore, we conclude that  $(\mathbb{T}_i K_{mj}) \star \mathbb{T}_i K_b^{\Omega} \cong K_{T_i(b')}^{s_i\Omega}$  as required.

**Lemma 3.12.** Let  $i, j \in I$  be distinct vertices,  $m \geq 0$ , and  $\beta \in Q^+$ . For each  $b \in B(\infty)$  so that  $\epsilon_i(b) > 0$ , the module  $\mathbb{T}_i K_{mj} \star L_b$  has simple head that is isomorphic to  $L_{b'}$  with  $\epsilon_i(b') > 0$  up to grading shifts.

Proof. We first consider the case  $i \nleftrightarrow j$ . We assume that both i and j are sink. We have  $\mathbb{T}_i K_{mj} \cong K_{mj}$ . By Theorem 3.9, we further deduce an isomorphism  $K_{mj} \star K_{pj} \cong K_{(m+p)j}$  for  $p \geq 0$ . Together with Corollary 3.10 and the induction-by-stage argument, we conclude that  $K_{mj} \star L_b$  has simple head  $L_{b'}$ . Moreover, we have  $M_{b'} \cong M_j^{\oplus m} \oplus M_b$ . Therefore, we have  $\epsilon_i(b) > 0$  if and only if  $\epsilon_i(b') > 0$  since  $\epsilon_i$  counts the number of direct summand isomorphic to  $M_i$  by our assumption on  $\Omega$ .

Now we consider the case  $i \leftrightarrow j$ . We rearrange  $\Omega$  so that j is a sink of  $\Omega$  and i is sink of  $s_i\Omega$ , and employ the same notation as in the proof of Corollary 3.11. We have a decomposition

$$\mathbf{M}_b \cong \mathbf{M}_i^{\oplus p} \oplus \mathbf{M}_{i,j}^{\oplus q} \oplus \mathbf{M}_d \quad \text{ with } \quad d \in B(\infty)_{\beta - p\alpha_i - qs_i\alpha_j}$$

as  $\mathbb{C}[s_i\Gamma]$ -modules so that  $M_d$  does not contain  $M_i$  or  $M_{i,j}$  as its direct summand. Here we have  $d = T_i(f)$  with  $\epsilon_j(f) = 0$  by [Lu90a] 4.4 (c). We set  $d' \in B(\infty)_{ms_i\alpha_j+\beta}$  so that  $M_{d'} \cong M_{i,j}^{\oplus m} \oplus M_b$ . Thanks to Corollary 3.10 and Corollary 3.11, we have

$$K_b^{s_i\Omega} \cong K_{pi} \star (\mathbb{T}_i K_{qj}) \star K_d^{s_i\Omega}.$$

By Corollary 3.10, we deduce that  $K_i \star \mathbb{T}_i K_j$  is isomorphic to a standard module of  $R_{2\alpha_i + \alpha_j}$ . Since the orbit corresponding to  $K_i \star \mathbb{T}_i K_j$  is open dense, we deduce that  $K_i \star \mathbb{T}_i K_j$  is simple. By inspection, we find that  $\# \operatorname{Irr}_0 R_{2\alpha_i + \alpha_j} = 2$  and each of simple graded  $R_{\alpha_i + 2\alpha_j}$ -module has dimension 3. Hence,  $\mathbb{T}_i K_j \star K_i$  must be simple. By a weight comparison argument, we deduce that  $K_i \star \mathbb{T}_i K_j \cong \mathbb{T}_i K_j \star K_i \langle 1 \rangle$ . By Theorem 3.9, we deduce that

$$(\mathbb{T}_i K_{rj}) \star (\mathbb{T}_i K_{sj}) \cong \mathbb{T}_i K_{(r+s)j}$$
 for every  $r, s \geq 0$ .





Hence, we deduce  $K_{pi} \star \mathbb{T}_i K_{mj} \cong \mathbb{T}_i K_{mj} \star K_{pi}$  up to grading shifts by induction. Therefore, the induction-by-stage implies that the ungraded  $R_{\beta+ms_i\alpha_j}$ -module

$$\mathbb{T}_{i}K_{mj}\star K_{b}^{s_{i}\Omega}\cong\mathbb{T}_{i}K_{mj}\star K_{pi}\star (\mathbb{T}_{i}K_{qj})\star K_{d}^{s_{i}\Omega}\cong K_{pi}\star (\mathbb{T}_{i}K_{(m+q)j})\star K_{d}^{s_{i}\Omega}\cong K_{d'}^{s_{i}\Omega}$$

has simple head  $L_{b'}$  with  $\epsilon_i(b') = p > 0$  as desired.

Proof of Theorem 3.6 5). We choose an orientation  $\Omega$  so that i is a source and j is a sink. Let  $F_1:=(\mathbb{T}_iP_{mj})\star(\mathbb{T}_i\bullet)$  and  $F_2:=\mathbb{T}_i(P_{mj}\star\bullet)$  be two functors from  $R_\beta$ -gmod $_j$  to  $R_{s_i(\beta+m\alpha_j)}$ -gmod. Both of them are exact on  $R_\beta$ -gmod $_j^i$ . Therefore, taking successive quotients of the isomorphisms in Corollary 3.11 (cf. Theorem 3.6 1)) yield

$$\mathbb{T}_i(K_{mj} \star L_b) \cong (\mathbb{T}_i K_{mj}) \star \mathbb{T}_i L_b \tag{3.5}$$

as a graded  $R_{s_i(\beta+m\alpha_j)}$ -module for every  $b \in B(\infty)_{\beta}$  such that  $\epsilon_j(b) = 0$ . Consider the composition map

$$\eta: R_{ms_i\alpha_j} \boxtimes R_{s_i\beta} \hookrightarrow R_{ms_i\alpha_j + s_i\beta} \longrightarrow {}_iR_{ms_i\alpha_j + s_i\beta}.$$

By the construction of the induction isomorphism (3.5), the map  $\eta$  induces an inclusion  $\mathbb{T}_i K_{mj} \boxtimes \mathbb{T}_i L_b \hookrightarrow \mathbb{T}_i (K_{mj} \star L_b)$  if  $\epsilon_j(b) = 0$ . We have a two-sided ideal  $J := \ker(R_{ms_i\alpha_i} \boxtimes R_{s_i\beta} \to iR_{ms_i\alpha_j} \boxtimes iR_{s_i\beta})$ . We have

$$\hom_{R_{ms_i\alpha_i}\boxtimes R_{s_i\beta}}(J, L_{b_1'}\boxtimes L_{b_2'}) \neq \{0\}$$

only if  $b'_1 \in B(\infty)_{ms_i\alpha_j}$  satisfies  $\epsilon_i(b'_1) > 0$ , or  $b'_2 \in B(\infty)_{s_i\beta}$  satisfies  $\epsilon_i(b'_2) > 0$ . In the former case, it is straightforward to verity that its image to  ${}_iR_{ms_i\alpha_j+s_i\beta}$  must be zero by Lemma 3.2. Thus, we consider the case  $\epsilon_i(b'_1) = 0$  and  $\epsilon_i(b'_2) > 0$ . As  $b'_1 \in B(\infty)_{m(\alpha_i+\alpha_j)}$ , it is standard to see  $L_{b'_1} \cong \mathbb{T}_i K_{mj}$  by rank two inspection (see Theorem 3.6 1)).

The image of  $L_{b'_1} \boxtimes L_{b'_2}$  in  $R_{ms_i\alpha_j+s_i\beta}$  factors through  $L_{b'_1} \star L_{b'_2}$  by construction. By Lemma 3.12, the unique simple quotient  $L_{b'}$  of  $L_{b'_1} \star L_{b'_2}$  satisfies  $\epsilon_i(b') > 0$ . Hence, the map  $\eta$  factors through  ${}_iR_{ms_i\alpha_j} \boxtimes {}_iR_{s_i\beta}$ . In other words, we have a graded algebra map

$$\mathbb{T}_i R_{m\alpha_i} \boxtimes \mathbb{T}_i R_{\beta} \longrightarrow \mathbb{T}_i R_{m\alpha_i+\beta}.$$

Therefore, we have a natural transformation

$$F_1 = (\mathbb{T}_i P_{mj}) \star \mathbb{T}_i \bullet \longrightarrow \mathbb{T}_i (P_{mj} \star \bullet) = F_2.$$

Thanks to Lemma 3.8, we see that  $F_2$  sends an indecomposable projective module of  ${}_jR_\beta$  (regarded as an  $R_\beta$ -module) to a module with simple head (or zero). The image of this natural transformation surjects onto this simple head by (3.5). This forces two functors  $F_1$  and  $F_2$  to be isomorphic on projective objects of  $R_\beta$ -gmod<sub>j</sub> by the comparison of their graded characters. Therefore, we conclude that they are isomorphic.

The rest of this section is devoted to the proof of Theorem 3.9. During the proof of Theorem 3.9, we omit  $\Omega$  from the notation. We set  $\beta := \beta_1 + \beta_2$ , and  $V := V(1) \oplus V(2)$ . We set  $n = \operatorname{ht} \beta$ , and  $n_i := \operatorname{ht} \beta_i$  for i = 1, 2. We write  $\beta_i = \sum_{j \in I} d_i(j)\alpha_j$  for  $i = \emptyset, 1, 2$ .





We recall the convolution operation from Lusztig [Lu90a]. We consider two varieties with natural  $G_V$ -actions:

$$\begin{split} \operatorname{Gr}_{V(1),V(2)}(V) &:= \Big\{ (F,x,\psi_1,\psi_2) \Big| \begin{matrix} F \subset V : \text{$I$-graded vector subspace} \\ x \in E_V, \text{ s.t. } xF \subset F \\ \psi_1 : V/F \cong V(1), \psi_2 : F \cong V(2) \end{matrix} \Big\}, \\ \operatorname{Gr}_{\beta_1,\beta_2}(V) &:= \Big\{ (F,x) \Big| \begin{matrix} F \subset V : \text{$I$-graded vector subspace} \\ x \in E_V, \text{ s.t. } xF \subset F \\ \underline{\dim} \, F = \beta_2 \end{matrix} \Big\}. \end{split}$$

We have a  $G_{V(1)} \times G_{V(2)}$ -torsor structure  $\vartheta : \operatorname{Gr}_{V(1),V(2)}(V) \longrightarrow \operatorname{Gr}_{\beta_1,\beta_2}(V)$  given by forgetting  $\psi_1$  and  $\psi_2$ . We have two maps

$$\begin{split} & \mathsf{p} : \mathrm{Gr}_{\beta_1,\beta_2}(V) \ni (F,x) \mapsto x \in E_V \text{ and} \\ & \mathsf{q} : \mathrm{Gr}_{V(1),V(2)}(V) \ni (F,x,\psi_1,\psi_2) \mapsto (\psi_1(x \mod F),\psi_2(x|_F)) \in E_{V(1)} \oplus E_{V(2)}. \end{split}$$

Notice that  $\vartheta$  and  $\mathbf{q}$  are smooth of relative dimensions  $\dim G_{V(1)} + \dim G_{V(2)}$  and  $\frac{1}{2}(\dim G_V + \dim G_{V(1)} + \dim G_{V(2)}) + \sum_{h \in \Omega} d_1(h')d_2(h'')$ , respectively. The map  $\mathbf{p}$  is projective. We set  $N^{\beta}_{\beta_1,\beta_2} := \frac{1}{2}(\dim G_V - \dim G_{V(1)} - \dim G_{V(2)}) + \sum_{h \in \Omega} d_1(h')d_2(h'')$ . For  $G_{V(i)}$ -equivariant constructible sheaves  $\mathcal{F}_i$  on  $E_{V(i)}$  for i=1,2, we define their convolution products as

$$\mathcal{F}_1 \odot \mathcal{F}_2 := \mathsf{p}_! \mathcal{F}_{12}[N_{\beta_1,\beta_2}^{\beta}], \text{ where } \vartheta^* \mathcal{F}_{12} \cong \mathsf{q}^*(\mathcal{F}_1 \boxtimes \mathcal{F}_2) \text{ in } D_{G_V}^b(\mathrm{Gr}_{V(1),V(2)}(V)).$$

We return to the proof of Theorem 3.9. Let us fix objects  $\mathbb{C}_{b_1,b_2}, \mathcal{L}_{\mathbf{m}^1,\mathbf{m}^2}$  of  $D^b_{G_V}(\mathrm{Gr}_{\beta_1,\beta_2}(V))$  ( $\mathbf{m}^1 \in Y^{\beta_1}$  and  $\mathbf{m}^2 \in Y^{\beta_2}$ ) so that we have isomorphisms

$$\vartheta^*(\mathbb{C}_{b_1,b_2}) \cong \mathsf{q}^*(\mathbb{C}_{b_1} \boxtimes \mathbb{C}_{b_2})[N_{\beta_1,\beta_2}^\beta] \text{ and } \vartheta^*\mathcal{L}_{\mathbf{m}^1,\mathbf{m}^2} \cong \mathsf{q}^*(\mathcal{L}_{\mathbf{m}^1} \boxtimes \mathcal{L}_{\mathbf{m}^2})[N_{\beta_1,\beta_2}^\beta].$$

Lemma 3.13. In the above settings, we have:

- 1. the variety  $p^{-1}(\mathbb{O}_b)$  is a single  $G_V$ -orbit;
- 2. the map  $p : p^{-1}(\mathbb{O}_b) \to \mathbb{O}_b$  is a  $\mathcal{P}$ -fibration, where  $\mathcal{P}$  is a suitable partial flag variety.

Proof. We have  $M_b \cong M_{b_1} \oplus M_{b_2}$  by assumption. The condition  $(\star)_0$  asserts that the image of every two inclusions  $M_{b_2} \subset M_b$  are transformed by  $\operatorname{Aut}_{\mathbb{C}[\Gamma]}(M_b)$ . Here we have  $\operatorname{Aut}_{\mathbb{C}[\Gamma]}(M_b) \cong \operatorname{Stab}_{G_V}(x_b)$  for  $x_b \in \mathbb{O}_b(\mathbb{C})$ . Therefore,  $\mathfrak{p}^{-1}(\mathbb{O}_b)$  is a single  $G_V$ -orbit, which is the first assertion. Since  $\mathfrak{p}$  is projective, we conclude that  $\mathfrak{p}^{-1}(\mathbb{O}_b) \to \mathbb{O}_b$  is projective. By  $(\spadesuit)_2$ , the group  $\operatorname{Stab}_{G_V}(x_b)$  is connected. Let  $U_b$  denote the unipotent radical of  $\operatorname{Stab}_{G_V}(x_b)$ . Since we have  $\mathfrak{p}^{-1}(\mathbb{O}_b) \cong G_V/H_b$  with  $H_b \subset \operatorname{Stab}_{G_V}(x_b)$ , the fiber of  $\mathfrak{p}$  is isomorphic to  $\operatorname{Stab}_{G_V}(x_b)/H_b$ , that is projective. Therefore, we deduce  $U_b \subset H_b$  and the inclusion

$$H_b/U_b \subset \mathsf{Stab}_{G_V}(x_b)/U_b$$

must be a parabolic subgroup (of a connected reductive group). Therefore, we set  $\mathcal{P}$  to be their quotient to deduce the second part of the result.

Corollary 3.14. We have

$$\mathbb{C}_{b_1}[\dim \mathbb{O}_{b_1}] \odot \mathbb{C}_{b_2}[\dim \mathbb{O}_{b_2}] \cong D[d] \boxtimes \mathbb{C}_b[\dim \mathbb{O}_b],$$

where  $D \cong H^{\bullet}(\mathcal{P}, \mathbb{C})$  by a suitable partial flag variety  $\mathcal{P}$  with its dimension d.





Proof. Thanks to  $(\star)_1$ , we deduce that  $\vartheta(\mathsf{q}^{-1}(\mathbb{O}_{b_1} \times \mathbb{O}_{b_2}))$  is contained in a single  $G_V$ -orbit. This, together with Lemma 3.13, implies that the stalk of the LHS vanishes outside of  $\mathbb{O}_b$ . In addition, every direct summand of  $\mathsf{p}_*\mathbb{C}_{b_1,b_2}|_{\mathbb{O}_b}$ , viewed as a shifted  $G_V$ -equivariant local system (which in turn follows by [BBD82] 5.4.5 or 6.2.5), must be a trivial local system by  $(\spadesuit)_2$ . Therefore, we conclude that  $\mathbb{C}_{b_1}[\dim \mathbb{O}_{b_1}] \odot \mathbb{C}_{b_2}[\dim \mathbb{O}_{b_2}] \cong D' \boxtimes \mathbb{C}_b[\dim \mathbb{O}_b]$  with a graded vector space D'. The isomorphism  $D' \cong H^{\bullet}(\mathcal{P}, \mathbb{C})[d]$  is by Lemma 3.13 2).

We return to the proof of Theorem 3.9. In the below (during this section), we freely use the notation from Corollary 3.14.

Thanks to Corollary 2.8,  $\mathcal{L}_{\beta_1}$  and  $\mathcal{L}_{\beta_2}$  contains  $\mathsf{IC}_{b_1}$  and  $\mathsf{IC}_{b_2}$ , respectively. We have

$$\mathcal{L}_{\mathbf{m}^1} \odot \mathcal{L}_{\mathbf{m}^2} \cong \mathcal{L}_{\mathbf{m}^1 + \mathbf{m}^2}$$

by construction. Thanks to  $(\star)_1$  and [BBD82] 5.4.5 or 6.2.5,  $\mathsf{IC}_b$  appears in  $\mathcal{L}_{\mathbf{m}^1+\mathbf{m}^2}$  up to a grading shift if the following condition  $(\diamond)$  hold:

(\$\dagger\$) IC<sub>bi</sub> appears in  $\mathcal{L}_{\mathbf{m}^i}$  for i = 1, 2.

We set  $\mathbf{m} := \mathbf{m}^1 + \mathbf{m}^2$ . Let  $x_b \in \mathbb{O}_b$  be a point and let  $i_b : \{x_b\} \hookrightarrow E_V$  be the inclusion.

**Lemma 3.15.** Assume that (\$\display\$) holds. Then, the subspace

$$i_{b}^{!}\mathcal{E}xt_{D^{b}(E_{V})}^{\bullet}(\mathbb{C}_{b_{1}} \odot \mathbb{C}_{b_{2}}, \mathcal{L}_{\mathbf{m}^{1}+\mathbf{m}^{2}}) \subset i_{b}^{!}\mathcal{E}xt_{D^{b}(E_{V})}^{\bullet}(\mathbb{C}_{b_{1}} \odot \mathbb{C}_{b_{2}}, \mathcal{L}_{\beta})$$

$$\cong \mathcal{E}xt_{D^{b}(D_{V})}^{\bullet}(i_{b}^{*}(\mathbb{C}_{b_{1}} \odot \mathbb{C}_{b_{2}}), i_{b}^{!}\mathcal{L}_{\beta}) \cong D^{*}[-d] \boxtimes K_{b} \langle 2 \dim \mathbb{O}_{b} \rangle$$

is a generating subspace as an  $R_{\beta}$ -module.

*Proof.* The isomorphism parts of the assertion follow by [KS90] 3.1.13 and Corollary 3.14. By  $(\diamond)$  and  $(\star)_1$ , we conclude that  $\mathcal{L}_{b_1,b_2}$  contains an irreducible perverse sheaf supported on Supp  $\mathbb{C}_{b_1,b_2}$ . Thanks to [BBD82] 5.4.5 or 6.2.5, we conclude that  $\mathcal{L}_{\mathbf{m}^1} \odot \mathcal{L}_{\mathbf{m}^2}$  contains  $\mathsf{IC}_b$ . Therefore, the head  $L_b$  of  $K_b$  satisfies  $e(\mathbf{m})L_b \neq \{0\}$ , which proves the assertion.

We set  $\mathbb{O}_b^{\uparrow} \subset E_V$  to be the union of  $G_V$ -orbits which contains  $\mathbb{O}_b$  in its closure. Let  $j_b^{\uparrow}: \mathbb{O}_b^{\uparrow} \hookrightarrow E_V$  be its inclusion.

Proposition 3.16. We have a canonical isomorphism

$$\mathcal{E}xt^{\bullet}_{D^b(E_V)}(\mathbb{C}_{b_1}\odot\mathbb{C}_{b_2},\mathcal{L}_{\mathbf{m}^1+\mathbf{m}^2})\cong \mathsf{p}_*\mathcal{E}xt^{\bullet}_{D^b(\mathrm{Gr}_{\beta_1,\beta_2}(V))}(\mathbb{C}_{b_1,b_2},D^*\boxtimes\mathcal{L}_{\mathbf{m}^1,\mathbf{m}^2})$$

in the bounded derived category of constructible sheaves on  $E_V$ .

*Proof.* During this proof, we repeatedly use the local form of the Verdier duality (see e.g. [KS90] 3.1.10, or [SGA4] Exposé XVIII 3.1.10). We have

$$\mathcal{E}xt^{\bullet}_{D^b(E_V)}(\mathbb{C}_{b_1}\odot\mathbb{C}_{b_2},\mathcal{L}_{\mathbf{m}^1+\mathbf{m}^2})\cong \mathsf{p}_*\mathcal{E}xt^{\bullet}_{D^b(\mathrm{Gr}_{\beta_1,\beta_2}(V))}(\mathbb{C}_{b_1,b_2},\mathsf{p}^!\mathcal{L}_{\mathbf{m}^1+\mathbf{m}^2}).$$

Consider the Cartesian diagram

$$\operatorname{Gr}_{\beta_1,\beta_2}(V) \xrightarrow{\operatorname{p}} E_V .$$

$$\downarrow j_b^{\uparrow} \qquad \qquad \downarrow j_b^{\uparrow} \qquad \qquad \downarrow j_b^{\uparrow}$$

$$\mathcal{G} \xrightarrow{p} \operatorname{\mathfrak{D}}_b^{\uparrow}$$





Note that  $j_h^{\uparrow}$  is an open embedding since **p** is continuous. It follows that

$$\begin{aligned} & \mathsf{p}_* \mathcal{E}xt^{\bullet}_{D^b(\mathrm{Gr}_{\beta_1,\beta_2}(V))}(\mathbb{C}_{b_1,b_2},\mathsf{p}^!\mathcal{L}_{\mathbf{m}^1+\mathbf{m}^2}) \\ & \cong (j_b^{\uparrow})_* p_* \mathcal{E}xt^{\bullet}_{D^b(\mathcal{G})}((j_b^{\uparrow})^*\mathbb{C}_{b_1,b_2},(j_b^{\uparrow})^! p^!\mathcal{L}_{\mathbf{m}^1+\mathbf{m}^2}) \quad (\mathbb{C}_{b_1,b_2} \cong (j_b^{\uparrow})_! (j_b^{\uparrow})^*\mathbb{C}_{b_1,b_2}) \\ & \cong (j_b^{\uparrow})_* p_* \mathcal{E}xt^{\bullet}_{D^b(\mathcal{G})}((j_b^{\uparrow})^*\mathbb{C}_{b_1,b_2},p^!(j_b^{\uparrow})^!\mathcal{L}_{\mathbf{m}^1+\mathbf{m}^2}) \qquad (j_b^{\uparrow} \circ p = \mathsf{p} \circ j_b^{\uparrow}). \end{aligned}$$

In addition,  $(j_b^{\uparrow})^*\mathbb{C}_{b_1,b_2}$  is a local system supported on the closed  $G_V$ -orbit  $\mathcal{O}_b$  of  $\mathcal{G}$ . Let us denote by  $j_b: \mathcal{O}_b \hookrightarrow \mathcal{G}$  the inclusion. We have  $(j_b^{\uparrow})^*\mathbb{C}_{b_1,b_2} \cong (j_b)_!\mathbb{C}[\dim \mathcal{O}_b]$ . Thus, we deduce

$$(j_{b}^{\uparrow})_{*}p_{*}\mathcal{E}xt_{D^{b}(\mathcal{G})}^{\bullet}((j_{b}^{\uparrow})^{*}\mathbb{C}_{b_{1},b_{2}},p^{!}(j_{b}^{\uparrow})^{!}\mathcal{L}_{\mathbf{m}^{1}+\mathbf{m}^{2}})$$

$$\cong (j_{b}^{\uparrow})_{*}p'_{*}\mathcal{E}xt_{D^{b}(\mathcal{O}_{b})}^{\bullet}(\mathbb{C}[\dim\mathcal{O}_{b}],j_{b}^{!}p^{!}(j_{b}^{\uparrow})^{!}\mathcal{L}_{\mathbf{m}^{1}+\mathbf{m}^{2}}) \qquad ((j_{b}^{\uparrow})^{*}\mathbb{C}_{b_{1},b_{2}}\cong (j_{b})_{!}\mathbb{C}[\bullet])$$

$$\cong (j_{b}^{\uparrow})_{*}p'_{*}\mathcal{E}xt_{D^{b}(\mathcal{O}_{b})}^{\bullet}(\mathbb{C}[\dim\mathcal{O}_{b}],D^{*}\boxtimes j_{b}^{!}(j_{b}^{\uparrow})^{!}\mathcal{L}_{\mathbf{m}^{1},\mathbf{m}^{2}}) \qquad (Corollary 3.14)$$

$$\cong \mathsf{p}_{*}\mathcal{E}xt_{D^{b}(\mathrm{Gr}_{\beta_{1},\beta_{2}}(V))}^{\bullet}(\mathbb{C}_{b_{1},b_{2}},D^{*}\boxtimes\mathcal{L}_{\mathbf{m}^{1},\mathbf{m}^{2}}),$$

where  $p': \mathcal{O}_b \to \mathbb{O}_b^{\uparrow}$  is the restriction of p. Since all the maps are canonically defined, composing all the isomorphisms yield the result.

We return to the proof of Theorem 3.9. Taking account into the fact  $p^{-1}(x_b) \cong \mathcal{P}$ , we have an isomorphism

$$D^* \langle d \rangle \boxtimes e(\mathbf{m}^1 + \mathbf{m}^2) K_b \cong \mathbb{H}^{\bullet} i_b^! \mathcal{E}xt_{D^b(E_V)}^{\bullet} (\mathbb{C}_{b_1} \odot \mathbb{C}_{b_2}, \mathcal{L}_{\mathbf{m}^1 + \mathbf{m}^2}) [2 \dim \mathbb{O}_b]$$

and a spectral sequence arising from the base change (applied to  $i_b$  and p)

$$E_{2} := D^{*} \otimes H^{\bullet}(\mathcal{P}) \otimes \left( e(\mathbf{m}^{1}) K_{b_{1}} \boxtimes e(\mathbf{m}^{2}) K_{b_{2}} \right)$$

$$\Rightarrow \mathbb{H}^{\bullet} i_{b}^{!} \mathbf{p}_{*} \mathcal{E}xt_{D^{b}(\mathbf{Gr}_{\beta_{1},\beta_{2}}(V))}^{\bullet}(\mathbb{C}_{b_{1},b_{2}}, D^{*} \boxtimes \mathcal{L}_{\mathbf{m}^{1},\mathbf{m}^{2}}) [2 \dim \mathbb{O}_{b}],$$

where we used the fact that  $\dim \mathsf{p}^{-1}(\mathbb{O}_b) - \dim \mathsf{p}^{-1}(x_b) = \dim \mathbb{O}_b$  in the degree shift of the second spectral sequence. Here the modules  $K_{b_1}, K_{b_2}$ , and  $K_b$  are pure of weight 0 by [Lu90a] 10.6 (see the proof of Proposition 2.7 for a bit precise account). By Lemma 3.13 2), we deduce that  $H^{\bullet}(\mathcal{P})$  is also pure. Therefore, the spectral sequence  $E_2$  degenerates at the  $E_2$ -stage. By factoring out the effect of  $D^*$ , we conclude that

$$e(\mathbf{m}^1 + \mathbf{m}^2)K_b \cong H^{\bullet}(\mathcal{P}) \boxtimes (e(\mathbf{m}^1)K_{b_1} \boxtimes e(\mathbf{m}^2)K_{b_2}) \langle -d \rangle.$$

This induces an inclusion as  $R_{\mathbf{m}^1,\mathbf{m}^1} \boxtimes R_{\mathbf{m}^2,\mathbf{m}^2}$ -modules

$$\varphi_{\mathbf{m}^1 \mathbf{m}^2} : (e(\mathbf{m}^1)K_{b_1} \boxtimes e(\mathbf{m}^2)K_{b_2}) \langle d \rangle \hookrightarrow e(\mathbf{m}^1 + \mathbf{m}^2)K_{b_2}$$

The module  $e(\mathbf{m}^1 + \mathbf{m}^2)K_b$  admits an  $R_{\mathbf{m}^1+\mathbf{m}^2,\mathbf{m}^1+\mathbf{m}^2}$ -module structure with simple head thanks to Theorem 1.3 3). This extends the  $R_{\mathbf{m}^1,\mathbf{m}^1} \boxtimes R_{\mathbf{m}^2,\mathbf{m}^2}$ -module structure. Recall that for each  $i = \emptyset, 1, 2$ , the simple head of  $K_{b_i}$  as an irreducible  $R_{\beta_i}$ -module is realized as the coefficient vector space of  $|C_{b_i}|$  inside  $\mathcal{L}_{\beta_i}$  (see §1), and its weight  $e(\mathbf{m}^i)$ -part is that of  $\mathcal{L}_{\mathbf{m}^i}$  (see §2). (Note that this sheaf-theoretic interpretation gives a splitting of  $L_{b_i}$  to  $K_{b_i}$  as vector spaces for each  $i = \emptyset, 1, 2$ .) By [BBD82] 5.4.5 or 6.2.5 and Corollary 3.14, the





complex  $H^{\bullet}(\mathcal{P})[d] \boxtimes \mathsf{IC}_b$  is a direct summand of  $\mathsf{IC}_{b_1} \odot \mathsf{IC}_{b_2}$ . Therefore, the above interpretation implies that the unique simple quotients  $L_{b_1}$  and  $L_{b_2}$  of  $K_{b_1}$  and  $K_{b_2}$  satisfy

$$\varphi_{\mathbf{m}^1,\mathbf{m}^2}(H^{\bullet}(\mathcal{P}) \otimes (e(\mathbf{m}^1)L_{b_1} \boxtimes e(\mathbf{m}^2)L_{b_2})) \langle -d \rangle \subset e(\mathbf{m})L_b \subset e(\mathbf{m})K_b$$

as vector subspaces, where  $L_b$  is the simple head of  $K_b$ . In addition, these inclusions are non-zero if  $\mathbf{m}^1$  and  $\mathbf{m}^2$  satisfies ( $\diamond$ ). Since we can choose  $\mathbf{m}^1$  and  $\mathbf{m}^2$  so that ( $\diamond$ ) is satisfied, we have a surjective map of graded  $R_\beta$ -modules:

$$K_{b_1} \star K_{b_2} \langle d \rangle \longrightarrow K_b$$
.

Lemma 3.17. In the above settings, we have

$$\dim K_b = \dim \left( K_{b_1} \star K_{b_2} \right).$$

*Proof.* In this proof, i denotes either  $\emptyset$ , 1, or 2. Let us choose a point  $x_{b_i} \in \mathbb{O}_{b_i} \subset E_{V(i)}$ . Let  $T_i$  be a maximal torus of  $\mathsf{Stab}_{G_{V(i)}} x_{b_i}$ . Choose  $\mathbf{m}^i \in Y^{\beta_i}$ . Thanks to the purity of each module (Lusztig [Lu90a] 10.6), we deduce that the spectral sequence

$$H_{T_i}^{\bullet}(\mathrm{pt}) \otimes H_{\bullet}(\pi_{\mathbf{m}^i}^{-1}(x_{b_i})) \Rightarrow H_{\bullet}^{T_i}(\pi_{\mathbf{m}^i}^{-1}(x_{b_i}))$$

degenerates at the  $E_2$ -stage. Here the RHS have the same  $H_{T_i}^{\bullet}(\mathrm{pt})$ -rank as that of  $H_{\bullet}^{T_i}(\pi_{\mathbf{m}^i}^{-1}(x_b)^{T_i})$ . Therefore, we have

$$\dim H_{\bullet}(\pi_{\mathbf{m}^i}^{-1}(x_b)) = \dim H_{\bullet}(\pi_{\mathbf{m}^i}^{-1}(x_{b_i})^{T_i}).$$

By Theorem 2.11, we deduce that  $R_{\beta}$  is a free  $R_{\beta_1} \boxtimes R_{\beta_2}$ -module of rank  $\frac{n!}{n_1!n_2!}$ . Hence, it is enough to show

$$\sum_{\mathbf{m} \in Y^{\beta}} \dim H_{\bullet}(\pi_{\mathbf{m}}^{-1}(x_{b})^{T})$$

$$= \frac{n!}{n_{1}! n_{2}!} \sum_{\substack{\mathbf{m}^{1} \in Y^{\beta_{1}} \\ \mathbf{m}^{2} \in Y^{\beta_{2}}}} (\dim H_{\bullet}(\pi_{\mathbf{m}^{1}}^{-1}(x_{b_{1}})^{T_{1}})) (\dim H_{\bullet}(\pi_{\mathbf{m}^{2}}^{-1}(x_{b_{2}})^{T_{2}})).$$

This follows by a simple counting since  $E_{V(i)}^{T_i}$  decomposes into the product of varieties corresponding to each indecomposable module.

We return to the proof of Theorem 3.9. Lemma 3.17 asserts that

$$K_{b_1} \star K_{b_2} \langle d \rangle \cong K_b$$

as graded  $R_{\beta}$ -modules. This completes the proof of Theorem 3.9 except for the last assertion. The last assertion follows since the assumption implies that  $\mathsf{p}_{b_1,b_2}$  is birational onto its image, and hence d=0.





### 4 Characterization of the PBW bases

Keep the setting of the previous section. For a reduced expression  $\mathbf{i}$  of  $w_0$  and a sequence of non-negative integers  $\mathbf{c} := (c_1, c_2, \dots, c_\ell) \in \mathbb{Z}_{\geq 0}^\ell$ , we call the pair  $(\mathbf{i}, \mathbf{c})$  a Lusztig datum, and we call  $\mathbf{c}$  an  $\mathbf{i}$ -Lusztig datum. For a Lusztig datum  $(\mathbf{i}, \mathbf{c})$ , we define

$$\operatorname{wt}(\mathbf{i}, \mathbf{c}) := \sum_{k=1}^{\ell} c_k \gamma_{\mathbf{i}}^{(k)}, \quad \text{where} \quad \gamma_{\mathbf{i}}^{(k)} := s_{i_1} \cdots s_{i_{k-1}} \alpha_{i_k}.$$

For two **i**-Lusztig data **c** and **c**', we define  $\mathbf{c} <_{\mathbf{i}} \mathbf{c}'$  as: There exists  $0 \le k < \ell$  so that

$$c_1 = c'_1, c_2 = c'_2, \dots, c_k = c'_k$$
 and  $c_{k+1} > c'_{k+1}$ .

Associated to each Lusztig datum  $(\mathbf{i}, \mathbf{c})$ , we define the lower PBW-module  $\widetilde{E}^{\mathbf{i}}_{\mathbf{c}}$  as:

$$\widetilde{E}_{\mathbf{c}}^{\mathbf{i}} := P_{c_1 i_1} \star \mathbb{T}_{i_1} \left( P_{c_2 i_2} \star \mathbb{T}_{i_2} \left( P_{c_3 i_3} \star \cdots \mathbb{T}_{i_{\ell-1}} P_{c_{\ell} i_{\ell}} \right) \cdots \right). \tag{4.1}$$

Similarly, we define the corresponding upper PBW-module  $E_c^i$  as:

$$E_{\mathbf{c}}^{\mathbf{i}} := L_{c_1 i_1} \star \mathbb{T}_{i_1} \left( L_{c_2 i_2} \star \mathbb{T}_{i_2} \left( L_{c_3 i_3} \star \cdots \mathbb{T}_{i_{\ell-1}} L_{c_\ell i_\ell} \right) \cdots \right). \tag{4.2}$$

By construction, it is clear that  $E_c^i$  is a quotient of  $\widetilde{E}_c^i$ .

Remark 4.1. The modules  $E_{\mathbf{c}}^{\mathbf{i}}$  and  $\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}$  are identified with  $\widetilde{K}_{b}^{\Omega}$  and  $K_{b}^{\Omega}$  from §2 when the reduced expression  $\mathbf{i}$  is adapted to  $\Omega$  (cf. Corollary 4.14). In addition, we have  $P_{ci} = \widetilde{K}_{ci}$  and  $L_{ci} = K_{ci}$  for each  $c \in \mathbb{Z}_{\geq 0}$  and  $i \in I$  by Example 2.10.

**Lemma 4.2.** For each Lusztig datum (i, c), we have:

- 1.  $\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}$  and  $E_{\mathbf{c}}^{\mathbf{i}}$  are  $R_{\mathsf{wt}(\mathbf{i},\mathbf{c})}$ -modules;
- 2. There exist isomorphisms as graded  $R_{wt(i,c)}$ -modules:

$$\widetilde{E}_{\mathbf{c}}^{\mathbf{i}} \cong P_{c_1 i_1} \star (\mathbb{T}_{i_1} P_{c_2 i_2}) \star (\mathbb{T}_{i_1} \mathbb{T}_{i_2} P_{c_3 i_3}) \star \cdots \star (\mathbb{T}_{i_1} \cdots \mathbb{T}_{i_{\ell-1}} P_{c_\ell i_\ell}) 
E_{\mathbf{c}}^{\mathbf{i}} \cong L_{c_1 i_1} \star (\mathbb{T}_{i_1} L_{c_2 i_2}) \star (\mathbb{T}_{i_1} \mathbb{T}_{i_2} L_{c_3 i_3}) \star \cdots \star (\mathbb{T}_{i_1} \cdots \mathbb{T}_{i_{\ell-1}} L_{c_\ell i_\ell}).$$

- 3.  $\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}$  is a successive self-extension of  $E_{\mathbf{c}}^{\mathbf{i}}$ ;
- 4.  $\widetilde{E}^{\mathbf{i}}_{\mathbf{c}}$  and  $E^{\mathbf{i}}_{\mathbf{c}}$  are modules with simple heads if they are non-zero;
- 5.  $\widetilde{E}_{\mathbf{c}}^{\mathbf{i}} \neq \{0\}$  if and only if  $E_{\mathbf{c}}^{\mathbf{i}} \neq \{0\}$ .

Proof. Since  $\mathbb{T}_i$  is a functor sending an  $R_{\beta}$ -module to an  $R_{s_i\beta}$ -module (possibly zero), the first assertion is immediate. Notice that each part in (4.1) grouped as " $\mathbb{T}_{i_k}(P_{ci_{k+1}} \star \cdots)$ " belongs to  $R_{\gamma}$ -gmod<sub>i<sub>k</sub></sub> for some  $\gamma \in Q^+$  by Theorem 3.6 2). Therefore, we apply Theorem 3.6 5) repeatedly to deduce the second assertion from (4.1). In addition, each  $\mathbb{T}_{i_1}\mathbb{T}_{i_2}\cdots\mathbb{T}_{i_{k-1}}L_{c_ki_k}$  is simple (unless it is zero) and  $\mathbb{T}_{i_1}\mathbb{T}_{i_2}\cdots\mathbb{T}_{i_{k-1}}P_{c_ki_k}$  is a successive self-extension of  $\mathbb{T}_{i_1}\mathbb{T}_{i_2}\cdots\mathbb{T}_{i_{k-1}}L_{c_ki_k}$ . Therefore, the third assertion follows by the second assertion. The functor  $\mathbb{T}_i$  also preserves the simple head property (provided if it does not annihilate the whole module) by construction. Therefore, we apply Lemma 3.8 repeatedly to deduce the simple head property of  $\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}$  from that of  $P_{c_ki_k}$  ( $1 \leq k \leq \ell$ ), which is the fourth assertion. By the third assertion,  $E_{\mathbf{c}}^{\mathbf{i}}$  contains the head of  $E_{\mathbf{c}}^{\mathbf{i}}$ , and hence the fifth assertion.





**Theorem 4.3** (Lusztig [Lu90a]). Assume that the reduced expression  $\mathbf{i}$  is adapted to  $\Omega$ . Then, we have  $E_{\mathbf{c}}^{\mathbf{i}} \neq \{0\}$  for every  $\mathbf{i}$ -Lusztig datum. Moreover, the set of  $\mathbf{i}$ -Lusztig data is in bijection with  $B(\infty)$  as:

$$\mathbf{c} \mapsto \operatorname{hd} E_{\mathbf{c}}^{\mathbf{i}} \cong L_b \quad for \quad b \in B(\infty).$$

*Proof.* Since **i** is adapted, we deduce that a module  $\mathbb{T}_{i_1}\mathbb{T}_{i_2}\cdots\mathbb{T}_{i_{k-1}}L_{c_ki_k}$  is simple and it corresponds to an indecomposable  $\mathbb{C}[\Gamma]$ -module  $\mathbb{M}_{(k)}$  with  $\underline{\dim}\,\mathbb{M}_{(k)}=\gamma_{\mathbf{i}}^{(k)}$  ([Lu90a] 4.7). We apply Corollary 3.10 and Theorem 3.6 1) repeatedly to construct a module with its simple head corresponding to the quiver representation  $\mathbb{M}_{(1)}^{\oplus c_1}\oplus\cdots\oplus\mathbb{M}_{(\ell)}^{\oplus c_\ell}$ . Now the Gabriel theorem yields the result.

**Definition 4.4** (2-move, 3-move, [Lu90a] 2.3). We say that two Lusztig data  $(\mathbf{i}, \mathbf{c})$  and  $(\mathbf{i}', \mathbf{c}')$  are connected by a 2-move if

- 1. there exists  $1 \le k < \ell$  so that  $i_k = i'_{k+1}, i_{k+1} = i'_k, i_k \nleftrightarrow i_{k+1}$ , and  $i_l = i'_l$  for every  $l \ne k, k+1$ ;
- 2. we have  $c_k = c'_{k+1}, c_{k+1} = c'_k$ , and  $c_l = c'_l$  for every  $l \neq k, k+1$ .

We say that  $(\mathbf{i}, \mathbf{c})$  and  $(\mathbf{i}', \mathbf{c}')$  are connected by a 3-move if

- 1. there exists  $1 < k < \ell$  so that  $i_{k-1} = i_{k+1} = i'_k$ ,  $i_k = i'_{k-1} = i'_{k+1}$ ,  $i_k \leftrightarrow i_{k+1}$ , and  $i_l = i'_l$  for every  $l \neq k-1, k, k+1$ ;
- 2. we have  $c_l = c'_l$  for every  $l \neq k-1, k, k+1$ , and

$$(c'_{k-1}, c'_k, c'_{k+1}) = (c_k + c_{k+1} - c_0, c_0, c_{k-1} + c_k - c_0)$$
 for  $c_0 := \min\{c_{k-1}, c_{k+1}\}.$ 

**Lemma 4.5.** For two Lusztig data  $(\mathbf{i}, \mathbf{c})$  and  $(\mathbf{i}', \mathbf{c}')$  which are connected by a 2-move, we have  $E^{\mathbf{i}}_{\mathbf{c}} \cong E^{\mathbf{i}'}_{\mathbf{c}'}$ .

*Proof.* Find a unique  $1 \le k < \ell$  so that  $i_k = i'_{k+1} \ne i_{k+1} = i'_k$ . By Lemma 4.2 2), it suffices to prove

$$L_{c_k i_k} \star \mathbb{T}_{i_k} L_{c_{k+1} i_{k+1}} \cong L_{c_{k+1} i_{k+1}} \star \mathbb{T}_{i_{k+1}} L_{c_k i_k} \tag{4.3}$$

and  $\mathbb{T}_{i_k}\mathbb{T}_{i_{k+1}}\cong\mathbb{T}_{i_{k+1}}\mathbb{T}_{i_k}$ . Here we realize  $\mathbb{T}_{i_k}$  and  $\mathbb{T}_{i_{k+1}}$  by choosing the orientation  $\Omega$  so that the both of  $i_k, i_{k+1}$  are source (which is in turn possible since  $i_k \not \hookrightarrow i_{k+1}$ ). Then, we have  $\mathbb{T}_{i_k}L_{c_{k+1}i_{k+1}}\cong L_{c_{k+1}i_{k+1}}$ ,  $\mathbb{T}_{i_{k+1}}L_{c_ki_k}\cong L_{c_ki_k}$ , and  $L_{c_ki_k}\star L_{c_{k+1}i_{k+1}}\cong L_{c_{k+1}i_{k+1}}\star L_{c_ki_k}$  since  $R_{p\alpha_{i_k}+q\alpha_{j_k}}$  is Morita equivalent to  $R_{p\alpha_{i_k}}\boxtimes R_{q\alpha_{j_k}}$  for each  $p,q\geq 0$  by the product decomposition of  $(G_V,E_V^\Omega)$ . This shows (4.3).

We have  $T_{i_k}T_{i_{k+1}}(b)=T_{i_{k+1}}T_{i_k}(b)$ ,  $\epsilon_{i_k}(b)=\epsilon_{i_k}(T_{i_{k+1}}(b))$ , and  $\epsilon_{i_{k+1}}(b)=\epsilon_{i_{k+1}}(T_{i_k}(b))$  by inspection. The essential image of the functor  $\mathbb{T}_i$  (applied to  $R_{s_i\beta}$ -gmod for some  $\beta\in Q^+$ ) is equivalent to  ${}_iR_{\beta}$ -gmod by construction. We have  $e_{i_k}(1)e_{i_{k+1}}(1)=0=e_{i_{k+1}}(1)e_{i_k}(1)$  by definition. Therefore, we deduce that the essential image of each of the functors  $\mathbb{T}_{i_k}\mathbb{T}_{i_{k+1}}$  and  $\mathbb{T}_{i_{k+1}}\mathbb{T}_{i_k}$  is equivalent to the graded module category of

$$(R_{\beta}/(R_{\beta}e_{i_k}(1)R_{\beta}))\otimes_{R_{\beta}}(R_{\beta}/(R_{\beta}e_{i_{k+1}}(1)R_{\beta}))\cong R_{\beta}/(R_{\beta}eR_{\beta})$$
 for some  $\beta\in Q^+$ ,

where  $e := e_{i_k}(1) + e_{i_{k+1}}(1)$  is the minimal idempotent so that  $ee_{i_k}(1) = e_{i_k}(1)$  and  $ee_{i_{k+1}}(1) = e_{i_{k+1}}(1)$ . Hence, Theorem 3.6 2) guarantees that  $\mathbb{T}_{i_k}\mathbb{T}_{i_{k+1}} \cong \mathbb{T}_{i_{k+1}}\mathbb{T}_{i_k}$  as functors, which completes the proof.





For a reduced expression  $\mathbf{i} = (i_1, \dots, i_\ell)$  of  $w_0$ , we have a unique reduced expression of the form  $\mathbf{i}^\# := (i_2, i_3, \dots, i_\ell, i_1')$ . (Namely  $s_{i_1'} := w_0 s_{i_1} w_0^{-1}$ .)

**Lemma 4.6.** Assume that the set of modules  $\{\operatorname{hd} E_{\mathbf{c}}^{\mathbf{i}^{\#}}\}_{\mathbf{c}\in\mathbb{Z}_{\geq 0}^{\ell}}$  is in bijection with  $\bigsqcup_{\beta\in Q^{+}}\operatorname{Irr}_{0}R_{\beta}$ . Then, so is  $\{\operatorname{hd} E_{\mathbf{c}}^{\mathbf{i}}\}_{\mathbf{c}\in\mathbb{Z}_{\geq 0}^{\ell}}$ .

*Proof.* By Theorem 3.6 2), we deduce that

$$\{\mathbb{T}_{i_1}(\operatorname{hd} E_{\mathbf{c}'}^{\mathbf{i}^\#})\}_{\mathbf{c}'\in\mathbb{Z}_{\geq 0}^\ell}=\{L_b\in\bigcup_{\beta\in Q^+}\operatorname{Irr}_0R_\beta\,|\,\,\epsilon_i(b)=0\}\cup\{\{0\}\}.$$

For every  $\beta \in Q^+$ , we have  $w_0 \beta \notin Q^+$ . It follows that

$$\mathbb{T}_{i_1}\mathbb{T}_{i_2}\cdots\mathbb{T}_{i_\ell}L_{mi_1'}=\{0\}\quad\text{for each}\quad m>0.$$

Therefore, Lemma 4.2 2) asserts that  $\mathbb{T}_{i_1} E_{\mathbf{c}'}^{\mathbf{i}^\#} \neq \{0\}$  implies that the  $\mathbf{i}^\#$ -Lusztig datum  $\mathbf{c}' = (c'_1, \dots, c'_\ell)$  satisfies  $c'_\ell = 0$ , and we have

$$\mathbb{T}_{i_1} E_{\mathbf{c}'}^{\mathbf{i}^\#} \cong E_{\mathbf{c}}^{\mathbf{i}}$$

for  $\mathbf{c}=(0,c_1',c_2',\cdots,c_{\ell-1}')$ . Thanks to Theorem 2.12 and Corollary 3.10, we deduce that

$$\{\operatorname{hd}\,(L_{mi_1}\star \mathbb{T}_{i_1}E_{\mathbf{c}'}^{\mathbf{i}^\#})\}_{\mathbf{c}'\in \mathbb{Z}_{\geq 0}^\ell, m\geq 0}=\{\operatorname{hd}E_{\mathbf{c}}^{\mathbf{i}}\}_{\mathbf{c}\in \mathbb{Z}_{\geq 0}^\ell}\cup\{\{0\}\}.$$

However, as in [Lu90a] 2.4 (a), the number of Lusztig data of each  $B(\infty)_{\beta}$  is constant for every reduced expression. Therefore, we must have a bijection as required.

**Lemma 4.7.** Let **i** and **i**' be two reduced expressions which are connected by a 3-move as  $(i_{k-1}, i_k, i_{k+1}) = (i'_k, i'_{k\pm 1}, i'_k)$  for some k. If the set of modules  $\{\operatorname{hd} E^{\mathbf{i}}_{\mathbf{c}}\}_{\mathbf{c} \in \mathbb{Z}^{\ell}_{> 0}}$  is in bijection with  $\bigsqcup_{\beta \in Q^+} \operatorname{Irr}_0 R_{\beta}$ , then so is  $\{\operatorname{hd} E^{\mathbf{i}'}_{\mathbf{c}}\}_{\mathbf{c} \in \mathbb{Z}^{\ell}_{> 0}}$ .

*Proof.* Applying Lemma 4.6 repeatedly, we assume that  $k = \ell - 1$ . We set  $i := i_{k-1} = i_{\ell}, j := i_k = i_{\ell-1}$  for simplicity.

In order to analyze the effect of  $\mathbb{T}_i$  or  $\mathbb{T}_i^*$ , we assume that  $i \in I$  is a sink or a source by applying a Fourier transform if necessary. Since a Fourier transform is defined by pairing a direct factor E of  $E_V^\Omega$  with a direct factor  $E^*$  of  $E_V^{\Omega'}$  (where  $\Omega'$  is a different orientation of  $\Gamma_0$ ), it must be identity if  $E = \{0\}$ . Therefore, we can regard as if  $\Gamma_0$  is a quiver  $(\{i,j\},\{h\})$  of type  $A_2$  provided if  $\beta \in \mathbb{Z}_{\geq 0}\alpha_i \oplus \mathbb{Z}_{\geq 0}\alpha_j$ . Here  $h \in \{h_\pm\}$ , where  $h'_+ = j, h''_+ = i$  or  $h'_- = i, h''_- = j$ . In this case, the both sequences (i,j,i) and (j,i,j) are adapted to the orientations  $\{h_+\}$  and  $\{h_-\}$ , respectively.

Thanks to Theorem 4.3 and the definition of  $\star$ , it follows that each of the two sets

$$\left\{ \operatorname{hd} \left( L_{c_1 i} \star (\mathbb{T}_i L_{c_2 j}) \star (\mathbb{T}_i \mathbb{T}_j L_{c_3 i}) \right) \right\} \text{ and } \left\{ \operatorname{hd} \left( L_{c_1' j} \star (\mathbb{T}_j L_{c_2' i}) \star (\mathbb{T}_j \mathbb{T}_i L_{c_2' j}) \right) \right\}$$

exhausts  $\bigsqcup_{p,q\in\mathbb{Z}_{\geq 0}} \operatorname{Irr}_0 R_{p\alpha_i+q\alpha_j}$  (and hence they are identical). By applying Lemma 4.2 2), we conclude the result since the other factors are in common.  $\square$ 





Corollary 4.8. The module  $E_{\mathbf{c}}^{\mathbf{i}}$  is non-zero for every Lusztig datum  $(\mathbf{i}, \mathbf{c})$ , and the map

$$\mathbf{c} \mapsto \operatorname{hd} E_{\mathbf{c}}^{\mathbf{i}} \cong L_b \text{ for } b \in B(\infty)$$

sets up a bijection between the set of i-Lusztig data and  $B(\infty)$ .

*Proof.* Every two reduced expressions of  $w_0 \in W(\Gamma_0)$  are connected by a repeated use of two moves and three moves ([Lu90a] 2.1 (c)). Therefore, we apply Lemma 4.5 and Lemma 4.7 repeatedly to deduce the assertion.

**Corollary 4.9.** For any reduced expression  $\mathbf{i}$ ,  $1 \le k \le \ell$ , and  $m \ge 0$ , the module

$$\mathbb{T}_{i_1}\mathbb{T}_{i_2}\cdots\mathbb{T}_{i_{k-1}}L_{mi_k}$$

 $is \ a \ non\text{-}zero \ simple \ R_{m\gamma_{\cdot}^{(k)}}\text{-}module.$ 

*Proof.* Apply Theorem 3.6 2) and Corollary 4.8 to the Lusztig datum  $\mathbf{c} = (0, \dots, 0, m, 0, \dots, 0)$ , where the unique m is sitting at the k-th place.

**Proposition 4.10.** Let  $(\mathbf{i}, \mathbf{c})$  and  $(\mathbf{i}', \mathbf{c}')$  be two Lusztig data which are connected by a 3-move as  $(i_{k-1}, i_k, i_{k+1}) = (i'_k, i'_{k+1}, i'_k)$  for some k. Then, we have  $\operatorname{hd} E^{\mathbf{i}}_{\mathbf{c}'} \cong \operatorname{hd} E^{\mathbf{i}'}_{\mathbf{c}'}$ .

*Proof.* Let  $i_k = j, i_{k+1} = i$ . By an explicit calculation (which reduces to the rank two case), we see that

$$\operatorname{hd} \left( L_{c_{k-1}i} \star \mathbb{T}_i L_{c_kj} \star \mathbb{T}_i \mathbb{T}_j L_{c_{k+1}i} \right) \cong \operatorname{hd} \left( L_{c'_{k-1}j} \star \mathbb{T}_j L_{c'_ki} \star \mathbb{T}_j \mathbb{T}_i L_{c'_{k+1}j} \right). \tag{4.4}$$

Thanks to Corollary 4.9 and Lemma 4.2 2), it suffices to show

$$\operatorname{hd} E_{\mathbf{c}}^{\mathbf{i}} \cong \operatorname{hd} E_{\mathbf{c}'}^{\mathbf{i}'}$$

for every **i**-Lusztig datum **c** so that  $c_1 = \cdots = c_{k+1} = 0$  (and its counterpart **i**'-Lusztig datum **c**'). We set

$$L_{s,c} := \mathbb{T}_{i_1} \cdots \mathbb{T}_{i_{s-1}} L_{ci_s}$$
 and  $L'_{s,c} := \mathbb{T}_{i'_1} \cdots \mathbb{T}_{i'_{s-1}} L_{ci'_s}$ 

for every  $1 \le s \le \ell$  and  $c \ge 0$ . We have  $L_{s,c} \cong L'_{s,c}$  for  $1 \le s < k-1$ . The both  $L_{s,c}$  and  $L'_{s,c}$  are non-zero simple  $R_{c\gamma_1^{(s)}}$ -modules for  $k+1 < s \le \ell$ .

Claim A. We have  $L_{s,c} \cong L'_{s,c}$  for every  $k+1 < s \le \ell$  and  $c \ge 1$ .

Proof. We prove the assertion by induction on  $\operatorname{ht}(c\gamma_{\mathbf{i}}^{(s)})$ . The case  $\operatorname{ht}(c\gamma_{\mathbf{i}}^{(s)}) = c\operatorname{ht}\gamma_{\mathbf{i}}^{(s)} = c$  is trivial since  $\gamma_{\mathbf{i}}^{(s)}$  is a simple root (Example 2.10). Fix s and c so that the assertion is true for  $\operatorname{ht}\beta < \operatorname{ht}(c\gamma_{\mathbf{i}}^{(s)})$ . Taking Corollary 4.8 into account, we conclude that all but one simple  $R_{c\gamma_{\mathbf{i}}^{(s)}}$ -modules are realized by the heads of the modules of the form (4.2) constructed from simple modules of  $R_{\beta}$  with  $\operatorname{ht}\beta < \operatorname{ht}(c\gamma_{\mathbf{i}}^{(s)})$ . Thanks to (4.4) and the fact that all the other relevant simple modules are ordered in the common way, this simple module must be in common between  $\mathbf{i}$  and  $\mathbf{i}'$ . Therefore, the induction proceeds and we conclude the result.





We return to the proof of Proposition 4.10. By (4.4), Claim A, and Lemma (4.2, 2), (4.4), we conclude the result.

**Corollary 4.11.** For Lusztig data  $(\mathbf{i}, \mathbf{c})$  and  $(\mathbf{i'}, \mathbf{c'})$ , we have  $\operatorname{hd} E^{\mathbf{i}}_{\mathbf{c}} \cong \operatorname{hd} E^{\mathbf{i'}}_{\mathbf{c'}}$  if and only if  $(\mathbf{i}, \mathbf{c})$  and  $(\mathbf{i'}, \mathbf{c'})$  are linked by a successive application of two-moves and three-moves.

*Proof.* Every two reduced expressions of  $w_0 \in W(\Gamma_0)$  are connected by a repeated use of two moves and three moves ([Lu90a] 2.1 (c)). Therefore, we enrich Corollary 4.8 by applying Proposition 4.10 instead of Lemma 4.7 in its proof to deduce the result.

Thanks to Corollary 4.8, we often write  $\widetilde{E}_b^{\mathbf{i}}$  and  $E_b^{\mathbf{i}}$  instead of  $\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}$  and  $E_{\mathbf{c}}^{\mathbf{i}}$ . Since  $R_{\beta}$  is a finitely generated algebra free over a polynomial ring (by Theorem 2.11) with finite global dimension (by Theorem 2.9), it follows that

$$[M:L_b],\ \langle M,N \rangle_{\mathsf{gEP}} \in \mathbb{Z}(\!(t)\!), \quad \text{and} \quad \mathsf{gch}\, M \in \bigoplus_{b \in B(\infty)_\beta} \mathbb{Z}(\!(t)\!)[L_b]$$

for  $M, N \in R_{\beta}$ -gmod. (See (1.1) and Theorem 2.3 for  $\langle \bullet, \bullet \rangle_{g \in P}$ .)

Corollary 4.12. Fix a reduced expression  $\mathbf{i}$  and let  $\beta \in Q_+$ . Then, two sets  $\{\operatorname{gch} \widetilde{E}_b^{\mathbf{i}}\}_{b \in B(\infty)_{\beta}}$  and  $\{\operatorname{gch} E_b^{\mathbf{i}}\}_{b \in B(\infty)_{\beta}}$  are  $\mathbb{Z}((t))$ -bases of  $\bigoplus_{b \in B(\infty)_{\beta}} \mathbb{Z}((t))[L_b]$ , respectively.

*Proof.* Thanks to Corollary 4.8 and Lemma 4.2 4), we deduce

$$\operatorname{gch} \widetilde{E}_b^{\mathbf{i}} \left\langle c_b \right\rangle \in [L_b] + \bigoplus_{b' \in B(\infty)_\beta} t \mathbb{Z}[\![t]\!][L_{b'}] \quad \text{ for some } \quad c_b \in \mathbb{Z}.$$

This is enough to see the first assertion. (In fact, we can show  $c_b = 0$  by a standard argument, or a consequence of Theorem 4.13 3).) The second assertion is similar.

Thanks to Corollary 4.12, we define  $[M:\widetilde{E}_b^{\bf i}], [M:E_b^{\bf i}] \in \mathbb{Z}(\!(t)\!)$  for every  $M \in R_\beta$ -gmod as:

$$\operatorname{gch} M = \sum_{b \in B(\infty)_\beta} [M:\widetilde{E}_b^{\mathbf{i}}] \operatorname{gch} \widetilde{E}_b^{\mathbf{i}} \quad \text{and} \quad \operatorname{gch} M = \sum_{b \in B(\infty)_\beta} [M:E_b^{\mathbf{i}}] \operatorname{gch} E_b^{\mathbf{i}}.$$

**Theorem 4.13.** Fix a reduced expression  $\mathbf{i}$  and  $\beta \in Q^+$ . We have:

- 1. For every  $b <_{\mathbf{i}} b'$ , it holds  $\operatorname{ext}_{R_{\beta}}^{\bullet}(\widetilde{E}_{b}^{\mathbf{i}}, \widetilde{E}_{b'}^{\mathbf{i}}) = \{0\};$
- 2. For each  $b \in B(\infty)_{\beta}$ , we have

$$\operatorname{ext}_{R_{\beta}}^{\bullet}(\widetilde{E}_{b}^{\mathbf{i}}, E_{b}^{\mathbf{i}}) = \operatorname{hom}_{R_{\beta}}(\widetilde{E}_{b}^{\mathbf{i}}, E_{b}^{\mathbf{i}}) \cong \mathbb{C};$$

3. For each  $b \in B(\infty)_{\beta}$ , we have

$$[E_b^{\mathbf{i}}:L_{b'}] = \begin{cases} 0 & (b \not\leq_{\mathbf{i}} b') \\ 1 & (b=b') \end{cases} \quad and \quad [\widetilde{E}_b^{\mathbf{i}}:L_{b'}] = 0 \quad (b \not\leq_{\mathbf{i}} b');$$





4. For every  $b \leq_i b'$ , it holds

$$\mathrm{ext}_{R_{\beta}}^{\bullet}(\widetilde{E}_{b}^{\mathbf{i}},(E_{b'}^{\mathbf{i}})^{*}) \cong \mathrm{hom}_{R_{\beta}}(\widetilde{E}_{b}^{\mathbf{i}},(E_{b'}^{\mathbf{i}})^{*}) \cong \mathbb{C}^{\oplus \delta_{b,b'}}.$$

*Proof.* We fix two elements  $b <_{\mathbf{i}} b' \in B(\infty)_{\beta}$  which correspond to **i**-Lusztig data **c** and **c'**, respectively. Let m be the smallest number so that  $c_m \neq 0$ . (Note that  $c'_1 = \dots = c'_{m-1} = 0.$ 

We prove these assertions by the downward induction on m. In particular,

we assume all the assertions if  $c_1 = \cdots = c_m = 0$ . The base case  $m = \ell$  is examined in Example 2.10 (since  $\gamma_{\mathbf{i}}^{(\ell)}$  is a simple root).

We set  $w_m := s_{i_{m-1}} s_{i_{m-2}} \cdots s_{i_1}$ . Put  $\beta_1 := w_m \beta - c_m \alpha_{i_m}$  and  $\beta_1' := w_m \beta' - c_m' \alpha_{i_m}$ . By the (m-1)-times repeated application of the construction  $\mathbf{i} \mapsto \mathbf{i}^{\sharp}$ , we obtain

$$\mathbf{i}^{\flat} := (i_m, \dots, i_{\ell}, i'_1, i'_2, \dots, i'_{m-1}) \in I^{\ell}.$$

Let **d** and **d'** be the  $\mathbf{i}^{\flat}$ -Lusztig data given by  $d_1 = c_m, d_2 = c_{m+1}, \dots, d_{\ell-m+1} =$  $c_{\ell}, d_{\ell-m+2} = \dots = d_{\ell} = 0$  and  $d'_1 = c'_m, d'_2 = c'_{m+1}, \dots, d'_{\ell-m+1} = c'_{\ell}, d'_{\ell-m+2} = d'_{\ell}$  $\cdots = d'_{\ell} = 0$ , respectively. We also set  $\mathbf{d}[1]$  and  $\mathbf{d}'[1]$  as sequences defined as:  $d_j[1] = 0$  (j = 1) or  $d_j$   $(1 < j \le \ell)$ , and  $d'_j[1] = 0$  (j = 1) or  $d'_j$   $(1 < j \le \ell)$ . We

$$\widetilde{E}_{\mathbf{c}}^{\mathbf{i}} \cong \mathbb{T}_{i_1} \cdots \mathbb{T}_{i_{m-1}} \widetilde{E}_{\mathbf{d}}^{\mathbf{i}^{\flat}} \quad \text{and} \quad E_{\mathbf{c}'}^{\mathbf{i}} \cong \mathbb{T}_{i_1} \cdots \mathbb{T}_{i_{m-1}} E_{\mathbf{d}'}^{\mathbf{i}^{\flat}}.$$

Claim B. We have

$$\widetilde{E}_{\mathbf{d}}^{\mathbf{i}^\flat} \cong \mathbb{T}_{i_{m-1}}^* \cdots \mathbb{T}_{i_1}^* \widetilde{E}_{\mathbf{c}}^{\mathbf{i}} \quad and \quad E_{\mathbf{d}'}^{\mathbf{i}^\flat} \cong \mathbb{T}_{i_{m-1}}^* \cdots \mathbb{T}_{i_1}^* E_{\mathbf{c}'}^{\mathbf{i}}.$$

*Proof.* By Corollary 4.9 and Theorem 3.6 2), we have necessarily

$$\mathbb{T}_{i_j} \mathbb{T}_{i_{j+1}} \cdots \mathbb{T}_{i_{k-1}} L_{c_k i_k} \in R_{s_{i_{j-1}} \cdots s_{i_1} \beta}$$
-gmod <sup>$i_{j-1}$</sup>  for each  $1 < j < k$ .

Applying this to Lemma 4.2 2) and use Theorem 3.6 2), we deduce

$$E_{\mathbf{d}}^{\mathbf{i}^{\flat}} \cong \mathbb{T}_{i_{m-1}}^* \cdots \mathbb{T}_{i_1}^* E_{\mathbf{c}}^{\mathbf{i}}.$$

In particular, the lengths of the  $R_{\beta}$ -module  $E_{\mathbf{d}}^{\mathbf{i}^{\flat}}$  and  $R_{w_m\beta}$ -module  $E_{\mathbf{c}}^{\mathbf{i}}$  are the same. Since each  $\mathbb{T}_{i_j}$  annihilates simple modules which are not irreducible constituents of  $\mathbb{T}_{i_{j+1}} \cdots \mathbb{T}_{i_{m-1}} E_{\mathbf{d}}^{\mathbf{i}'}$ , we conclude

$$\widetilde{E}_{\mathbf{d}}^{\mathbf{i}^{\flat}} \cong \mathbb{T}_{i_{m-1}}^* \cdots \mathbb{T}_{i_1}^* \widetilde{E}_{\mathbf{c}}^{\mathbf{i}}$$

by Lemma 4.2 3). The case of  $E_{\mathbf{c}'}^{\mathbf{i}}$  is similar.

Claim C. The vanishing of  $\operatorname{ext}_{R_{\mathcal{S}}}^{\bullet}(\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}, \widetilde{E}_{\mathbf{c}'}^{\mathbf{i}})$  follows from the vanishing of

$$\operatorname{ext}_{R_{\beta}}^{\bullet}(\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}, E_{\mathbf{c}'}^{\mathbf{i}}) \cong \operatorname{ext}_{R_{c_{m}\alpha_{i_{m}}} \boxtimes R_{\beta_{1}}}^{\bullet}(P_{c_{m}i_{m}} \boxtimes \widetilde{E}_{\mathbf{d}[1]}^{\mathbf{i}^{\flat}}, E_{\mathbf{d}'}^{\mathbf{i}^{\flat}}). \tag{4.5}$$

*Proof.* Thanks to Claim B, a repeated use of Theorem 3.6 4) implies a sequence of isomorphisms

$$\operatorname{ext}_{R_{\beta}}^{\bullet}(\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}, E_{\mathbf{c}'}^{\mathbf{i}}) \cong \operatorname{ext}_{R_{\beta}}^{\bullet}(\mathbb{T}_{1} \cdots \mathbb{T}_{m-1} \widetilde{E}_{\mathbf{d}}^{\mathbf{i}^{\flat}}, \mathbb{T}_{1} \cdots \mathbb{T}_{m-1} E_{\mathbf{d}'}^{\mathbf{i}^{\flat}})$$

$$\cong \operatorname{ext}_{R_{s_{i_{1}}\beta}}^{\bullet}(\mathbb{T}_{2} \cdots \mathbb{T}_{m-1} \widetilde{E}_{\mathbf{d}}^{\mathbf{i}^{\flat}}, \mathbb{T}_{2} \cdots \mathbb{T}_{m-1} E_{\mathbf{d}'}^{\mathbf{i}^{\flat}})$$

$$\cdots \cong \operatorname{ext}_{R_{w_{m}\beta}}^{\bullet}(\widetilde{E}_{\mathbf{d}}^{\mathbf{i}^{\flat}}, E_{\mathbf{d}'}^{\mathbf{i}^{\flat}}).$$





Since  $\star$  preserves the projectivity (see e.g. [KL09] 2.16) and  $\widetilde{E}_{\mathbf{d}}^{\mathbf{i}^{\flat}} \cong P_{c_m i_m} \star \widetilde{E}_{\mathbf{d}[1]}^{\mathbf{i}^{\flat}}$ , we conclude an isomorphism

$$\mathrm{ext}_{R_{w_m\beta}}^{\bullet}(\widetilde{E}_{\mathbf{d}}^{\mathbf{i}^{\flat}}, E_{\mathbf{d}'}^{\mathbf{i}^{\flat}}) \cong \mathrm{ext}_{R_{c_m i_m} \boxtimes R_{\beta_1}}^{\bullet}(P_{c_m i_m} \boxtimes \widetilde{E}_{\mathbf{d}[1]}^{\mathbf{i}^{\flat}}, E_{\mathbf{d}'}^{\mathbf{i}^{\flat}}).$$

It remains to deduce  $\operatorname{ext}_{R_{\beta}}^{\bullet}(\widetilde{E}_{\mathbf{c}}^{\mathbf{i}},\widetilde{E}_{\mathbf{c}'}^{\mathbf{i}}) = \{0\}$  from the vanishing of (4.5). Since  $R_{\beta}$  is a Noetherian ring with finite global dimension, we have a pro-

Since  $R_{\beta}$  is a Noetherian ring with finite global dimension, we have a projective resolution  $\mathcal{P}^{\bullet}$  of  $\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}$ , which consists of finitely many finitely generated projective  $R_{\beta}$ -modules. In particular, there exists  $x \in \mathbb{Z}$  so that the degrees of simple quotients of all  $R_{\beta}$ -module direct summands of  $\mathcal{P}^{\bullet}$  are  $\leq x$ .

For each  $j \in \mathbb{Z}$ , we have a (surjective) A-module quotient  $\varphi_j : \widetilde{E}^{\mathbf{i}}_{\mathbf{c}'} \to E_j$  so that **a**) ker  $\varphi_j$  is concentrated in degree > j + x, and **b**)  $E_j$  is a finite successive self-extension of (grading shifts) of  $E^{\mathbf{i}}_{\mathbf{c}'}$  by Lemma 4.2 3). Then,  $\mathrm{ext}_{R_\beta}^{\bullet}(\widetilde{E}^{\mathbf{i}}_{\mathbf{c}}, E^{\mathbf{i}}_{\mathbf{c}'}) = \{0\}$  implies

$$\operatorname{ext}_{R_{\mathcal{S}}}^{\bullet}(\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}, \ker \varphi_{j})^{j} = \{0\} = \operatorname{ext}_{R_{\mathcal{S}}}^{\bullet}(\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}, E_{j}).$$

This yields  $\operatorname{ext}_{R_o}^{\bullet}(\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}, \widetilde{E}_{\mathbf{c}'}^{\mathbf{i}})^j = \{0\}$  (for each j) as required.

We return to the proof of Theorem 4.13.

We have the following two short exact sequences:

$$0 \to L_{c_m i_m} \boxtimes E_{\mathbf{d}[1]}^{\mathbf{i}^{\flat}} \to E_{\mathbf{d}}^{\mathbf{i}^{\flat}} \to C \to 0 \quad \text{ as } R_{c_m \alpha_{i_m}} \boxtimes R_{\beta_1}\text{-modules, and}$$
$$0 \to L_{c'_m i_m} \boxtimes E_{\mathbf{d}'[1]}^{\mathbf{i}^{\flat}} \to E_{\mathbf{d}'}^{\mathbf{i}^{\flat}} \to C' \to 0 \quad \text{ as } R_{c'_m \alpha_{i_m}} \boxtimes R_{\beta'_1}\text{-modules.}$$

**Claim D.** We have  $e_{i_m}(c_m)C = \{0\}$  and  $e_{i_m}(c'_m)C' = \{0\}$ .

*Proof.* Let  $\Psi$  be the set of  $\mathbf{m} \in Y^{w_m\beta}$  so that

$$e(\mathbf{m})(L_{c'_{m}i_{m}} \boxtimes E_{\mathbf{d}'[1]}^{\mathbf{i}^{\flat}}) \neq \{0\}.$$

Let  $n = \operatorname{ht} w_m \beta$ , and let  $\mathfrak S$  be the set of minimal length representatives of  $\mathfrak S_n/(\mathfrak S_{c'_m} \times \mathfrak S_{n-c'_m})$  inside  $\mathfrak S_n$ . We set  $\mathfrak S^* := \mathfrak S \setminus \{1\}$ . We have  $e(\mathbf m)C' \neq \{0\}$  only if  $\mathbf m \in \mathfrak S^*\Psi$ . Since  $E^{\mathbf i^\flat}_{\mathbf d'[1]}$  belongs to the (essential) image of  $\mathbb T_{i_m}$ , we have  $e_{i_m}(1)E^{\mathbf i^\flat}_{\mathbf d'[1]} = \{0\}$ . On the other hand, we have  $Y^{c'_1\alpha_{i_1}} = \{(i_1, \ldots, i_1)\}$ . Since every element of  $\mathfrak S^*$  decreases the number of heading  $i_1, \ldots, i_1$ , we deduce the assertion for C'. The case of C is the same.

Claim E. Every irreducible constituent  $L_{b''}\langle k \rangle$  of  $E_{\mathbf{c}}^{\mathbf{i}}$   $(b'' \in B(\infty)_{\beta}, k > 0)$  satisfies  $b'' >_{\mathbf{i}} b$ .

*Proof.* By Claim B and Theorem 3.6 2), the module  $L_{b''}\langle k \rangle$  gives rise to an irreducible constituent  $\mathbb{T}^*_{i_{m-1}}\cdots\mathbb{T}^*_{i_1}L_{b''}$  of  $E^{\mathbf{i}^{\flat}}_{\mathbf{d}}$ , that we denote by  $L_{b^{\flat}}\langle k \rangle$  with  $b^{\flat} \in B(\infty)_{w_m\beta}$ . We have  $\mathbb{T}_{i_1}\cdots\mathbb{T}_{i_\ell}=0$  since it must send an  $R_{\gamma}$ -module to an  $R_{w_0\gamma}$ -module, which is inexistent. In particular, the  $\mathbf{i}^{\flat}$ -Lusztig datum  $\mathbf{d}^{\flat}$  of  $b^{\flat}$  must be of the form  $d^{\flat}_{\ell-m+2}=\cdots d^{\flat}_{\ell}=0$ , and the  $\mathbf{i}$ -Lusztig datum  $\mathbf{c}''$  of b'' is given as

$$c_1'' = \cdots c_{m-1}'' = 0, c_m'' = d_1^\flat, c_{m+1}'' = d_2^\flat, \ldots, c_\ell'' = d_{\ell-m+1}^\flat.$$





Claim D implies that  $\epsilon_{i_m}(b^{\flat}) = d_1^{\flat} \leq c_m$ . In case this inequality is strict, we have  $b'' >_{\mathbf{i}} b$ . Hence, we assume  $\epsilon_{i_m}(b^{\flat}) = c_m$ . Theorem 2.12 asserts that we have  $e_{i_m}(c_m)L_{b''}=L_{c_mi_m}\boxtimes L_{b_i^b}$  for some  $b_1^b\in B(\infty)_{\beta_1}$ . In addition, Claim D (and the above arguments) imply

$$0 \neq [E_{\mathbf{d}'[1]}^{\mathbf{i}^{\flat}}: L_{b_{1}^{\flat}}\langle k \rangle]_{0} = [\mathbb{T}_{i_{1}} \cdots \mathbb{T}_{i_{m-1}} E_{\mathbf{d}'[1]}^{\mathbf{i}^{\flat}}: \mathbb{T}_{i_{1}} \cdots \mathbb{T}_{i_{m-1}} L_{b_{1}^{\flat}}\langle k \rangle]_{0}.$$

In case k > 0, this yields  $\mathbf{d}_1^{\flat} >_{\mathbf{i}^{\flat}} \mathbf{d}'[1]$  by induction hypothesis, where  $\mathbf{d}_1^{\flat}$  is the  $\mathbf{i}^{\flat}$ -Lusztig datum of  $b_1^{\flat}$  (that is obtained from  $\mathbf{d}^{\flat}$  by replacing  $d_1^{\flat}$  by 0). This implies  $b'' >_{\mathbf{i}} b$  as required.

We return to the proof of Theorem 4.13. Claim E, together with Lemma 4.2 3), implies the third assertion. In addition, we deduce that the RHS of (4.5) must be zero if  $c_m > c_m'$ . We assume  $c_m = c_m'$ . Applying Claim D, we deduce that

$$\mathrm{ext}^{\bullet}_{R_{c_m\alpha_{i_m}}\boxtimes R_{\beta_1}}(P_{c_mi_m}\boxtimes \widetilde{E}^{\mathbf{i}^{\flat}}_{\mathbf{d}[1]},C')=\{0\}.$$

Therefore, the vector spaces in (4.5) is isomorphic to

$$\mathrm{ext}^{\bullet}_{R_{c_m\alpha_{i_m}}\boxtimes R_{\beta_1}}(P_{c_mi_m}\boxtimes \widetilde{E}^{\mathbf{i}^{\flat}}_{\mathbf{d}[1]}, L_{c_mi_m}\boxtimes E^{\mathbf{i}^{\flat}}_{\mathbf{d}'[1]}).$$

Here we have  $\operatorname{ext}_{R_{c_m\alpha_{i_m}}}^{\bullet}(P_{c_mi_m}, L_{c_mi_m}) = \operatorname{hom}_{R_{c_m\alpha_{i_m}}}(P_{c_mi_m}, L_{c_mi_m}) = \mathbb{C}$ . Therefore, Claim B, Lemma 4.2 2), and Theorem 3.6 4) implies

$$\operatorname{ext}_{R_{c_{m}\alpha_{i_{m}}}\boxtimes R_{\beta_{1}}}^{*}(P_{c_{m}i_{m}}\boxtimes \widetilde{E}_{\mathbf{d}[1]}^{\mathbf{i}^{\flat}}, L_{c_{m}i_{m}}\boxtimes E_{\mathbf{d}'[1]}^{\mathbf{i}^{\flat}}) \cong \operatorname{ext}_{R_{\beta_{1}}}^{*}(\widetilde{E}_{\mathbf{d}[1]}^{\mathbf{i}^{\flat}}, E_{\mathbf{d}'[1]}^{\mathbf{i}^{\flat}})$$

$$\cong \operatorname{ext}_{R_{s_{i_{m-1}}\beta_{1}}}^{*}(\mathbb{T}_{i_{m-1}}\widetilde{E}_{\mathbf{d}[1]}^{\mathbf{i}^{\flat}}, \mathbb{T}_{i_{m-1}}E_{\mathbf{d}'[1]}^{\mathbf{i}^{\flat}}) \cong \cdots \cong \operatorname{ext}_{R_{w_{m}\beta_{1}}}^{*}(\widetilde{E}_{\mathbf{c}[1]}^{\mathbf{i}}, E_{\mathbf{c}'[1]}^{\mathbf{i}}), (4.6)$$

where  $\mathbf{c}[1]$  and  $\mathbf{c}'[1]$  are the **i**-Lusztig data of  $\mathbb{T}_{i_1}\cdots\mathbb{T}_{i_{m-1}}\widetilde{E}_{\mathbf{d}[1]}^{\mathbf{i}^{\flat}}$  and  $\mathbb{T}_{i_1}\cdots\mathbb{T}_{i_{m-1}}E_{\mathbf{d}'[1]}^{\mathbf{i}^{\flat}}$ , respectively. Therefore, we deduce the first two assertions by the induction hypothesis and Claim C.

The fourth assertion follows from the vanishing of (4.5) and the middle two assertions by applying long exact sequences repeatedly.

Therefore, the induction proceeds and we conclude the result. 

Corollary 4.14. Fix a reduced expression i and  $\beta \in Q^+$ . We have

$$\widetilde{E}_b^{\mathbf{i}} = P_b / \big( \sum_{f \in \hom_{R_\beta}(P_{b'}, P_b), b' <_{\mathbf{i}} b} \operatorname{Im} f \big) \quad and \quad E_b^{\mathbf{i}} = P_b / \big( \sum_{f \in \hom_{R_\beta}(P_b, \widetilde{E}_b^{\mathbf{i}}) > 0} \operatorname{Im} f \big),$$

where b and b' runs over  $B(\infty)_{\beta}$ .

*Proof.* By Lemma 4.2 4),  $\widetilde{E}_b^i$  admits a surjection from  $P_b$ . By Theorem 4.13 3), we conclude that all the simple subquotient  $\widetilde{E}_b^{\mathbf{i}}$  is of the form  $L_{b'}\langle k \rangle$  for  $b \leq_{\mathbf{i}} b'$ , and hence the RHS surjects onto  $\widetilde{E}_{b}^{i}$ . By Theorem 4.13 3) and 4), the head of  $\ker (P_b \to \widetilde{E}_b^i)$  must be spanned by  $L_{b'}\langle k \rangle$  for  $b' <_i b$  and  $k \in \mathbb{Z}$ , and hence the both sides are maximal quotients of  $P_b$  whose simple subquotients are that form. Therefore, they are isomorphic to each other. This proves the first assertion. The second assertion follows by Lemma 4.2 3) and Theorem 4.13 2).





Corollary 4.15. Fix a reduced expression i and  $\beta \in Q^+$ . Then, we have

$$\operatorname{ext}_{R_{\beta}}^{i}(\widetilde{E}_{b}^{\mathbf{i}},(E_{b'}^{\mathbf{i}})^{*}) = \begin{cases} \mathbb{C} & (b \neq b', i = 0) \\ \{0\} & (otherwise) \end{cases}, \quad and \quad \left\langle \widetilde{E}_{b}^{\mathbf{i}},(E_{b'}^{\mathbf{i}})^{*} \right\rangle_{\operatorname{gEP}} = \delta_{b,b'}$$

for every  $b, b' \in B(\infty)_{\beta}$ .

*Proof.* Since the first assertion implies the second assertion, we prove only the first assertion. If  $b \leq_{\mathbf{i}} b'$ , then the assertion follows from Theorem 4.13 4). Thanks to Example 2.10, each  $L_{ci}$  admits a finite resolution by the graded shifts of  $P_{ci}$  (for each  $c \geq 1$  and  $i \in I$ ). By Lemma 4.2 2), we deduce that each  $E_{\mathbf{c}}^{\mathbf{i}}$  admits a finite resolution by the graded shifts by  $\widetilde{E}_{\mathbf{c}}^{\mathbf{i}}$ . By taking the spectral sequence of this resolution, we deduce

$$\operatorname{ext}_{R_{\mathcal{S}}}^{\bullet}(E_{b}^{\mathbf{i}}, (E_{b'}^{\mathbf{i}})^{*}) = \{0\} \tag{4.7}$$

for each  $b <_{\mathbf{i}} b'$ . Since \* is an exact functor and  $\operatorname{ext}_A^i$  is a universal  $\delta$ -functor, we deduce

$$\hom_{R_{\beta}}(M, N^*) \cong \hom_{R_{\beta}}(N, M^*)$$

for each  $M, N \in R_{\beta}$ -gmod. In particular, we conclude (4.7) unless b = b'.

Thanks to Theorem 2.3 and the definition of the algebras  $A_{(G,\mathfrak{X})}$  (and  $B_{(G,\mathfrak{X})}$ ) in §1, we can replace  $R_{\beta}$  with its basic ring to assume that it is non-negatively graded. Then, we have  $(E_b^{\mathbf{i}})_k^* = \{0\}$  for every k > 0. For each  $j \in \mathbb{Z}$ , we have an  $R_{\beta}$ -module quotient  $\varphi_j : \widetilde{E}_b^{\mathbf{i}} \to E_j$  so that **a**) ker  $\varphi_j$  is concentrated in degree > -j, and **b**)  $E_j$  is a finite successive self-extension of (graded shifts) of  $E_b^{\mathbf{i}}$  by Lemma 4.2 3). Then, the minimal projective resolution of ker  $\varphi_j$  is concentrated in degree > -j. In particular, we have

$$\operatorname{ext}_{R_\beta}^{\bullet}(\ker\,\varphi_j,(E_{b'}^{\mathbf{i}})^*)^j=\{0\}=\operatorname{ext}_{R_\beta}^{\bullet}(E_j,(E_{b'}^{\mathbf{i}})^*)\quad\text{ for each }\ b\neq b'.$$

This yields 
$$\operatorname{ext}_{R_{\beta}}^{\bullet}(\widetilde{E}_{b}^{\mathbf{i}},(E_{b'}^{\mathbf{i}})^{*})^{j}=\{0\}$$
 (for each  $j$ ) as required.

Remark 4.16. For each  $\beta \in Q^+$ , the standard normalization

$$\langle P_b, L_b \rangle_{\text{gEP}} = \text{gdim} \hom_{R_\beta}(P_b, L_b) = \delta_{b,b'}$$

combined with Theorem 2.5, Corollary 4.15 and Theorem 4.13 implies that  $\{\operatorname{gch} \widetilde{E}_b^i\}_b$  and  $\{\operatorname{gch} E_b^i\}_b$  give rise to the lower/upper PBW bases corresponding to  $\mathbf{i}$ , respectively.

**Theorem 4.17** (Lusztig's conjecture). For every reduced expression **i** of  $w_0$ ,  $\beta \in Q^+$ , and  $b, b' \in B(\infty)_{\beta}$ , we have an equality

$$[P_b: \widetilde{E}_{b'}^{\mathbf{i}}] = [E_{b'}^{\mathbf{i}}: L_b].$$

In particular, the expansion coefficients of the lower global basis in terms of the lower PBW basis are in  $\mathbb{N}[t]$ .

Remark 4.18. Thanks to [K12a] 1.7 (and Lusztig [Lu90a] 10.6), a projective module  $P_b$  admits a filtration by  $\{\widetilde{E}_{b'}^{\mathbf{i}}\}_{b'}$  if  $\mathbf{i}$  is adapted to  $\Gamma$ .





Proof of Theorem 4.17. By Corollary 4.15, we have

$$\begin{split} \delta_{b,b'} &= \langle P_b, L_{b'}^* \rangle_{\text{gEP}} = \sum_{d,d' \in B(\infty)_\beta} \overline{[P_b : \widetilde{E}_d^{\mathbf{i}}]} \overline{[L_{b'} : E_{d'}^{\mathbf{i}}]} \left\langle \widetilde{E}_d^{\mathbf{i}}, (E_{d'}^{\mathbf{i}})^* \right\rangle_{\text{gEP}} \\ &= \sum_{d \in B(\infty)_\beta} \overline{[P_b : \widetilde{E}_d^{\mathbf{i}}]} \overline{[L_{b'} : E_d^{\mathbf{i}}]}. \end{split}$$

By applying the bar involution, this shows that

$$([P_b: \widetilde{E}_d^{\mathbf{i}}])([E_{d'}^{\mathbf{i}}: L_{b'}])^{-1} = (\delta_{b,b'}),$$

which is equivalent to the assertion.

For each  $\beta, \beta' \in Q^+$ , we define the formal expression  $q^\beta$  and  $q^{\beta'}$  so that  $q^\beta \cdot q^{\beta'} = q^{\beta+\beta'}$ . We define

$$\operatorname{ep}_t(q^\beta) := \sum_{n \geq 0} \frac{q^{n\beta}}{(1 - t^2)(1 - t^4) \cdots (1 - t^{2n})} \in \mathbb{Q}(t) [\![Q^+]\!].$$

Corollary 4.19 (cf. Problem 2 in Kashiwara [Kas95]). For each  $\beta \in Q^+$ , we set

$$[P:L]_{\beta}:=([P_b:L_{b'}])_{b,b'\in B(\infty)_{\beta}}=(\langle P_{b'},P_b\rangle_{\mathsf{gEP}})_{b,b'\in B(\infty)_{\beta}}$$

as the square matrix with its determinant  $D_{\beta}$ . We have

$$\sum_{\beta \in Q^+} D_\beta q^\beta = \prod_{\alpha \in R^+} \operatorname{ep}_t(\alpha).$$

*Proof.* As in the proof of Theorem 4.17, we factorize

$$[P:L]_{\beta} = [P:\widetilde{E}]_{\beta}[\widetilde{E}:E]_{\beta}[E:L]_{\beta},$$

where the second term is the  $\#B(\infty)_{\beta}$ -square matrix of expansion coefficients between projectives/lower PBWs, lower PBWs/upper PBWs, and upper PBWs/simples, respectively. By Theorem 4.13 3), the determinant of the third matrix is 1. By Theorem 4.17, the determinant of the first matrix is also 1. By Lemma 4.2 3) (cf. Corollary 4.15), we conclude

$$D_{\beta} = \prod_{b \in B(\infty)_{\beta}} [\widetilde{E}_b^{\mathbf{i}} : E_b^{\mathbf{i}}].$$

By Lemma 4.2 2) and the construction of  $\mathbb{T}_{i_j}$ , if we denote **c** the **i**-Lusztig datum corresponding to b, then we have

$$[\widetilde{E}_b^{\mathbf{i}}: E_b^{\mathbf{i}}] = \prod_{j=1}^{\ell} [P_{c_j i_j}: L_{c_j i_j}] = \prod_{j=1}^{\ell} \frac{1}{(1-t^2)(1-t^4)\cdots(1-t^{2c_j})}.$$

This is equivalent to the assertion by a simple counting.

Remark 4.20. 1) By a formal manipulation, we have

$$\langle P_b, P_{b'} \rangle_{\mathsf{gEP}} = \overline{\langle P_b, P_{b'}^* \rangle_{\mathsf{gEP}}} \quad \text{for every } b, b' \in B(\infty).$$

Since the RHS calculates the Lusztig inner form  $\{\bullet, \bullet\}$  ([Lus93] 1.2.10) of the lower global basis, Corollary 4.19 yields the Shapovalev determinant formula of quantum groups of type ADE. 2) The proof of Corollary 4.19 also follows from [K12a] 3.12, but here the proof works also from the PBW bases  $\{E_b^i\}_b$  in which i is not an adapted reduced expression of  $w_0$ .





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