

Poincaré–Cartan integral invariant and canonical transformations for singular Lagrangians: An addendum

D. Dominici

Istituto Nazionale di Fisica Nucleare—Sezione di Firenze, Istituto di Fisica Teorica dell'Università di Firenze, 50125 Firenze, Italy

J. Gomis

Departament de Física Teòrica de la Universitat de Barcelona, Barcelona, Spain

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The results of a previous work, concerning a method for performing the canonical formalism for constrained systems, are extended when the canonical transformation proposed in that paper is explicitly time dependent.

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In a previous paper¹ we discussed in the framework of the Poincaré–Cartan integral invariant, a method for performing the canonical formalism for constrained systems. The basic idea consists of considering a canonical transformation which brings the constraints into a subset of the canonical variables. Thus the physical variables can be easily obtained by means of a reduction of the phase space. Our method is different from the path-integral approach of Faddeev² (see also Ref. 3), which use in addition a set of gauge-fixing conditions, one for each first-class constraint. Two applications of our procedure concerning action-at-a-distance relativistic models have been recently studied.⁴

In this note we extend the method by considering a time-dependent general canonical transformation, such that all the constraints acquire an explicit time dependence.

Let us consider a dynamical system described in terms of $2n$ degrees of freedom in the phase space q_s, p_s ($s = 1, \dots, n$) and constrained to the hypersurface S defined by

$$\Omega_\alpha(q, p) = 0 \quad (\alpha = 1, \dots, T - W), \quad (1)$$

$$\Omega_\beta(q, p) = 0 \quad (\beta = T - W + 1, \dots, T), \quad (2)$$

where Ω_α are $T - W$ first-class⁵ and Ω_β W second-class constraints. In order to guarantee the stability of S during the evolution, the Ω_α are required to satisfy

$$\{\Omega_\alpha, H_c\} \approx 0, \quad (3)$$

where H_c is the canonical Hamiltonian. The notation “ \approx ” means equality on the hypersurface S (“weak” equality).

Now, given the set (2), according to some theorems on function groups⁶ and involutory systems⁷ it is possible, at least locally, to find a canonical transformation

$$\{q_s, p_s, \quad s = 1, \dots, n\} \rightarrow \{Q'_s, P'_s, \quad s = 1, \dots, n\}, \quad (4)$$

such that the equations

$$Q'_f = P'_f = 0 \quad (f = n_2 + 1, \dots, n, n_2 = n - W/2), \quad (5)$$

define the same surface as Eqs. (2) and the following equations,

$$\begin{aligned} \{Q'_s, P'_s\} &= \delta_{ss'}, \\ \{Q'_s, Q'_s\} &= \{P'_s, P'_s\} = 0, \end{aligned} \quad (6)$$

are identically (and not only “weakly”) satisfied.

If we denote the generating function by F , defined as

$$p_s \delta q_s - H_c \delta t = P'_s \delta Q'_s - K_c \delta t - \delta F, \quad (7)$$

the Hamilton equations for the new variables are given by

$$\dot{Q}'_s \approx \{Q'_s, K(Q'_s, P'_s, t)\}, \quad \dot{P}'_s \approx \{P'_s, K(Q'_s, P'_s, t)\} \quad (8)$$

where K ,

$$K = K_c + l_\alpha \tilde{\Omega}_\alpha - \tilde{\Omega}_\beta \tilde{C}_{\beta\beta'} \left[\{\tilde{\Omega}_{\beta'}, K_c\} + \frac{\partial \tilde{\Omega}_{\beta'}}{\partial t} \right], \quad (9)$$

is the extended Hamiltonian with l_α arbitrary functions. $\tilde{\Omega}_{\alpha, \beta}$ are obtained from Eqs. (1) and (2) by substitution of variables, and $\tilde{C}_{\beta\beta'}$ is defined by

$$\tilde{C}_{\beta\beta'} \{\tilde{\Omega}_{\beta'}, \tilde{\Omega}_{\beta'}\} \approx \delta_{\beta\beta'}. \quad (10)$$

In I we have shown that it is possible to write the equations of motion for the reduced set of variables

$R' = \{Q'_j, P'_j, j = 1, \dots, n_2\}$ which are free with respect to the second-class constraints (5) in a simple form

$$\dot{Q}'_j \approx \{Q'_j, \bar{K}\}_{R'}, \quad \dot{P}'_j \approx \{P'_j, \bar{K}\}_{R'}, \quad (11)$$

$$\bar{K} = \bar{K}(Q'_j, P'_j, t) = \bar{K}_c(Q'_j, P'_j, t) + l_\alpha \tilde{\Omega}_\alpha(Q'_j, P'_j, t) \quad (12)$$

where $\{, \}_{R'}$ denote the Poisson brackets defined on the space R' and \bar{K}_c and $\tilde{\Omega}_\alpha$ are obtained by setting equal to zero the variables Q'_f and P'_f , corresponding to the second-class constraints, in K_c and $\tilde{\Omega}_\alpha$ of Eq. (9). As shown in I the $\tilde{\Omega}_\alpha$ so obtained are first class, i.e.,

$$\{\tilde{\Omega}_\alpha, \tilde{\Omega}_\alpha\}_{R'} \approx 0 \quad (13)$$

and, as a consequence of $(d/dt)\Omega_\alpha(q, p) \approx 0$, satisfy the stability condition

$$\frac{d}{dt} \tilde{\Omega}_\alpha = \frac{\partial \tilde{\Omega}_\alpha}{\partial t} + \{\tilde{\Omega}_\alpha, \bar{K}_c\}_{R'} \approx 0. \quad (14)$$

In Eq. (14) we have now supposed the $\tilde{\Omega}_\alpha$ explicitly time dependent, unlike what we did for the sake of simplicity in I.

A similar procedure of reduction of the phase space can be performed also for the first-class constraints. In fact, a theorem on involutory systems⁷ guarantees that it is possible, at least locally, to replace the $\tilde{\Omega}_\alpha$ by an equivalent set of equations

$$P_e(Q'_j, P'_j, t) = 0 \quad (e = n_1 + 1, \dots, n_2), \quad (15)$$

($n_1 = n - T + W/2$), which are in involution. For instance, the set (15) can be obtained by solving the equations

$$\bar{\Omega}_\alpha(Q'_j, P'_j, t) = 0 \quad (\alpha = 1, \dots, n_2 - n_1) \quad (16)$$

with respect to an equal number $n_2 - n_1$ of momenta. Without loss of generality we suppose Eq. (16) be solved with respect to $P'_e (e = n_1 + 1, \dots, n_2)$, or

$$|\partial \bar{\Omega}_\alpha / \partial P'_e| \neq 0. \quad (17)$$

Let

$$P_e = P'_e - f_e(Q'_e, Q'_k, P'_k, t) \quad (k = 1, \dots, n_1) \quad (18)$$

be the expression of the equations in involution. The stability of the hypersurface (18) can be easily proved. In fact, from

$$\bar{\Omega}_\alpha(Q'_k, Q'_e, P'_k, P'_e = f_e(Q'_k, Q'_e, P'_k, t), t) = 0 \quad (19)$$

we get

$$\frac{\partial \bar{\Omega}_\alpha}{\partial t} \approx - \frac{\partial \bar{\Omega}_\alpha}{\partial P'_e} \frac{\partial f_e}{\partial t} = \frac{\partial \bar{\Omega}_\alpha}{\partial P'_e} \frac{\partial P_e}{\partial t}, \quad (20)$$

$$\begin{cases} -\{P'_j, \bar{\Omega}_\alpha\}_{R'} = \frac{\partial \bar{\Omega}_\alpha}{\partial Q'_j} \approx - \frac{\partial \bar{\Omega}_\alpha}{\partial P'_e} \frac{\partial f_e}{\partial Q'_j} = - \frac{\partial \bar{\Omega}_\alpha}{\partial P'_e} \frac{\partial P_e}{\partial Q'_j} \\ \{Q'_j, \bar{\Omega}_\alpha\}_{R'} = \frac{\partial \bar{\Omega}_\alpha}{\partial P'_j} \approx - \frac{\partial \bar{\Omega}_\alpha}{\partial P'_e} \frac{\partial f_e}{\partial P'_j} = - \frac{\partial \bar{\Omega}_\alpha}{\partial P'_e} \frac{\partial P_e}{\partial P'_j} \end{cases} \quad (21)$$

Therefore, from Eq. (14) we get

$$\frac{\partial \bar{\Omega}_\alpha}{\partial P'_e} \left[\frac{\partial P_e}{\partial t} + \{P_e, \bar{K}_c\}_{R'} \right] \approx 0, \quad (22)$$

and using Eq. (17)

$$\frac{\partial P_e}{\partial t} + \{P_e, \bar{K}_c\}_{R'} \approx 0. \quad (23)$$

As a final step we make a transformation

$$\begin{aligned} \{Q'_j, P'_j, j = 1, \dots, n_2\} \rightarrow \{Q_k, P_k, Q_e, P_e, k = \\ = 1, \dots, n_1, e = n_1 + 1, \dots, n_2\} \end{aligned} \quad (24)$$

with

$$\{Q_k, P_{k'}\} = \delta_{kk'}, \quad \{Q_e, P_{e'}\} = \delta_{ee'}, \quad (25)$$

where part of the momenta are the set of functions in the involution (18) which are equivalent to the first-class constraints.

If we denote the new canonical Hamiltonian by K'_c and the new expression for the constraints by

$$\begin{aligned} \hat{\Omega}_\alpha(Q_k, P_k, Q_e, P_e, t) \\ = \bar{\Omega}_\alpha(Q'_j(Q_k, P_k, Q_e, P_e, t), P'_j(Q_k, P_k, Q_e, P_e, t), t), \end{aligned} \quad (26)$$

the Hamiltonian equations are given by

$$\begin{cases} \dot{Q}_k \approx \{Q_k, K'_c + l_\alpha \hat{\Omega}_\alpha\}_R \\ \dot{P}_k \approx \{P_k, K'_c + l_\alpha \hat{\Omega}_\alpha\}_R \end{cases} \quad (27)$$

$$\begin{cases} \dot{Q}_e \approx \{Q_e, K'_c + l_\alpha \hat{\Omega}_\alpha\}_R \\ \dot{P}_e \approx \{P_e, K'_c + l_\alpha \hat{\Omega}_\alpha\}_R \end{cases} \quad (28)$$

where now $\{, \}_R$ denote the Poisson brackets with respect to the set

$$R = \{Q_k, P_k, Q_e, P_e, k = 1, \dots, n_1, e = n_1 + 1, \dots, n_2\}.$$

With respect to the stability of the hypersurface $\hat{\Omega}_\alpha = 0$, after the canonical transformations (24) we have

$$\frac{\partial}{\partial t} \hat{\Omega}_\alpha + \{\hat{\Omega}_\alpha, K'_c\}_R \approx 0. \quad (29)$$

On the other hand, due to the equivalence between $\hat{\Omega}_\alpha$ and P_e we may write

$$\hat{\Omega}_\alpha(Q_k, P_k, Q_e, P_e, t) = g_{\alpha e'}(Q_k, P_k, Q_e, P_e, t) P_{e'}, \quad \det |g| \neq 0, \quad (30)$$

where we introduced the strong equality notation “ \equiv ” following Sudarshan and Mukunda.⁸

Thus from Eq. (30) we have

$$\frac{\partial \hat{\Omega}_\alpha}{\partial t} \approx 0, \quad (31)$$

and using Eqs. (30) and (29) in Eq. (28), we get

$$\dot{P}_e = \{P_e, K'_c\} = \frac{\partial K'_c}{\partial Q_e} \approx 0. \quad (32)$$

In other words the variables Q_e are ignorable variables.

Finally, the remaining equations (27) and (28) become

$$\begin{cases} \dot{Q}_k \approx \{Q_k, K'_c\}_R \\ \dot{P}_k \approx \{P_k, K'_c\}_R \end{cases} \quad (33)$$

and

$$\dot{Q}_e = \{Q_e, K'_c\}_R + \lambda_e, \quad (34)$$

where $\lambda_e = g_{\alpha e'} l_\alpha$ are arbitrary functions.

We can now consider the reduced space $[Q_k, P_k, Q_e]$, where Q_k and P_k satisfy

$$\dot{Q}_k = \frac{\partial \mathcal{K}'_c}{\partial P_k}, \quad \dot{P}_k = - \frac{\partial \mathcal{K}'_c}{\partial Q_k} \quad (k = 1, \dots, n_1), \quad (35)$$

with

$$\mathcal{K}'_c = \mathcal{K}'_c(Q_k, P_k, t) = K'_c(Q_k, P_k, Q_e, P_e, t)|_{P_e=0}. \quad (36)$$

where the Q_e dependence disappears due to Eq. (32) and the Q_e 's are gauge-dependent variables

$$\dot{Q}_e = \frac{\partial K'_c}{\partial P_e} \Big|_{P_e=0} + \lambda_e \quad (e = n_1 + 1, \dots, n_2). \quad (37)$$

In conclusion, we have isolated the set of the gauge-dependent variables Q_e from a set of physical (gauge-independent) variables Q_k, P_k .

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