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## Polarization Effects in Optical Coherence Tomography of Various Biological Tissues

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### Abstract

Polarization sensitive optical coherence tomography (PS-OCT) was used to obtain spatially resolved *ex vivo* images of polarization changes in skeletal muscle, bone, skin and brain. Through coherent detection of two orthogonal polarization states of the signal formed by interference of light reflected from the biological sample and a mirror in the reference arm of a Michelson interferometer, the depth resolved change in polarization was measured. Inasmuch as any fibrous structure will influence the polarization of light, PS-OCT is a potentially powerful technique investigating tissue structural properties. In addition, the effects of single polarization state detection on OCT image formation is demonstrated.

### Index Terms

Biological tissues; biomedical imaging; birefringence; optical tomography; polarization

### I. Introduction

First reported in the field of fiber optics [1], [2], optical coherence tomography (OCT) has become an important new high resolution technique for biomedical imaging. OCT utilizes a

Michelson interferometer with a low coherence source to measure light reflected from turbid structures with high spatial resolution ( $\sim 10 \mu\text{m}$ ) and sensitivity ( $>100 \text{ dB}$ ) [3]. OCT has been used to image the eye [4], skin [5]–[7], aero-digestive tracts [8], and cervical dysplasia and carcinoma *in situ* [9]. In these studies, OCT images displayed the spatially resolved magnitude of reflected light. Except for an early study reported by Hee *et al.* [10], the polarized nature of light was not considered until recently [11]–[13]. Here we illustrate the importance of polarization changes in reflected light in a variety of biological tissues, such as skeletal muscle, bone, skin and brain. PS-OCT provides high resolution spatial information on the polarization state of light reflected from the tissue not discernible using existing diagnostic optical methods. Furthermore, the polarization effects on OCT images are illustrated in rodent skin by showing separately the images recorded by each detector.

## II. Instrument

Fig. 1 shows a schematic of the PS-OCT system used in our experiments. Light from a superluminescent diode (SLD), 0.8-mW output power, central wavelength  $\lambda_0 = 856 \text{ nm}$  and spectral FWHM  $\Delta\lambda = 25 \text{ nm}$ , passed through a polarizer (P) to select a pure linear horizontal input state, and was split into reference and sample arms by a polarization insensitive beamsplitter (BS). Light in the reference arm passed through a zeroth-order quarter-wave plate (QWP) oriented at  $22.5^\circ$  to the incident horizontal polarization. Following reflection from a mirror attached to a piezoelectric transducer (PZT), retroreflector, and return pass through the QWP, light in the reference arm had a linear polarization at  $45^\circ$  with respect to the horizontal. The normal to the plane of the PZT driven mirror made an angle of  $11^\circ$  with respect to the incident light. The mirror on the PZT modulated the reference arm length over  $20 \mu\text{m}$  to generate a carrier frequency. The PZT retroreflector assembly was mounted on a translation stage to allow for active focus tracking in the sample [14]. For improved signal to noise ratio [15], a neutral density filter (NDF) positioned in the reference arm reduced intensity noise by a factor of 50.

Light in the sample arm passed through a QWP oriented at  $45^\circ$  to the incident horizontal polarization producing circularly polarized light incident on the sample. After double passage through a lens L ( $f = 50 \text{ mm}$ ) and the sample, and propagation through the QWP, light in the sample arm was in an arbitrary (elliptical) polarization state, determined by the sample birefringence. After recombination in the detection arm, the light was split into its horizontal and vertical components by a polarizing beamsplitter (PBS) and focused ( $f = 50 \text{ mm}$ ) on  $25\text{-}\mu\text{m}$ -diameter pinholes placed directly in front of the detectors to detect a single polarization and spatial mode.

Two dimensional images were formed by lateral movement of the sample at constant velocity  $v$  ( $x$ -direction), repeated after each longitudinal displacement ( $z$ -direction). The carrier frequency  $f \approx 6 \text{ kHz}$  was generated by displacing the PZT driven mirror with a 50-Hz triangular or 100-Hz sawtooth waveform. Transverse and longitudinal pixel sizes of the images were, respectively, the product of the transverse velocity  $v$  and the time duration of a single ramp of the PZT waveform (10 ms for both waveforms), and the longitudinal displacement between transverse scans. Transverse and axial image resolutions were  $\sim 15 \mu\text{m}$

and  $\sim 10 \mu\text{m}$ , respectively, determined by the beam waist at the focal point and the coherence length of the source.

### III. Theory

The polarization state in each arm of the interferometer was computed using the Jones matrix formalism. In the calculation, it was assumed that the polarization changes in the sample are due to birefringence. The horizontal  $A_H$  and vertical  $A_V$  polarized components of the interference intensity between light in the sample and reference paths were detected separately. Since light from the reference arm was split equally into the horizontal and vertical polarization states,  $A_H$  and  $A_V$  were proportional to the light amplitude fields reflected from the sample [11],

$$A_H = \sqrt{R(z)} \cos(2k_0 \Delta z + 2\alpha) e^{-(\Omega \Delta z/c)^2} \sin(k_0 z \delta) \quad (1)$$

$$A_V = \sqrt{R(z)} \cos(2k_0 \Delta z) e^{-(\Omega \Delta z/c)^2} \cos(k_0 z \delta) \quad (2)$$

where  $\Delta z$  was the optical path length difference between the sample and reference arms of the interferometer,  $z$  the depth of light reflected from the sample,  $R(z)$  described the reflectivity at depth  $z_s$  and the attenuation of the coherent beam by scattering,  $k_0 = 2\pi/\lambda_0$ ,  $\Omega = (\Delta \lambda \pi c)/(\lambda_0^2 \sqrt{\ln 2})$ ,  $c$  the speed of light in a vacuum,  $\delta$  the birefringence given by the difference in refractive indices along the fast and slow axes of the sample ( $\delta = n_s - n_f$ ) and  $\alpha$  the angle of the fast optical axis measured with respect to the vertical. In addition to the carrier frequency ( $\cos[2k_0 \Delta z]$ ) within the coherence envelope ( $\exp[-(\Omega \Delta z/c)^2]$ ), both signals oscillated with a periodicity determined by the product of sample birefringence  $\delta$  and propagation depth  $z$ .

Signals  $A_H$  and  $A_V$  were bandpass filtered between 3–10 kHz and digitized at  $5 \times 10^4$  points per second each. The central 256 points of a single ramp of the piezoelectric transducer were digitally bandpass filtered between 5–7 kHz, squared, and averaged over those 256 points (which corresponded to averaging over a 10- $\mu\text{m}$ -length modulation of the reference arm). The resulting signals gave the horizontal and vertical reflected intensities as a function of depth  $z$ , modulated with their respective birefringence dependent terms,  $I_H(z) = R(z) \sin^2(k_0 z \delta)$ ,  $I_V(z) = R(z) \cos^2(k_0 z \delta)$ .

OCT images were formed by grayscale coding the common log of the sum of both polarization channels,  $I_S(z) = I_H(z) + I_V(z)$ , from 0 to  $-48$  dB, where the 0 dB level corresponded to the maximum signal in an image and the noise level was below  $-54$  dB, determined by the signal above the sample surface. The PS-OCT images were formed by grayscale coding the birefringence induced phase retardation,

$$\phi = \arctan \sqrt{I_H(z)/I_V(z)} = k_0 z \delta \quad (3)$$

from  $0^\circ$  to  $90^\circ$ . Contour lines indicating  $-16$  and  $-32$ -dB intensity and  $30^\circ$  and  $60^\circ$  phase retardation levels in the OCT and PS-OCT images, respectively, were calculated after low pass filtering by convolving the images with a Gaussian filter of  $4 \times 4$  pixels and overlaid with the original image.

#### IV. Experiment and Discussion

In Fig. 2, four OCT and PS-OCT images made within hours postmortem are shown of rat skeletal muscle, bone, skin and brain; all tissues studied show birefringence in the PS-OCT images. The birefringence in Fig. 2(a) is attributed to the high structural order (anisotropy) of skeletal muscle fibers. Several periods of the phase retardation  $\varphi$ , cycling from  $0$ – $90^\circ$  and back, are observed. The greater birefringence in skeletal muscle ( $\delta = 2.2 \times 10^{-3}$ ) as compared to the myocardium reported by Everett *et al.* [12] can be attributed to the different wavelengths used (850 nm versus 1300 nm), and to the structural difference between these two types of muscle. In the myocardium, the fibers are oriented in different directions, and not necessarily parallel to the muscle surface. The skeletal muscle imaged in Fig. 2(a) shows a high degree of fiber alignment.

The birefringence observed in Fig. 2(B) and (C) is attributed to the presence of collagen in bone and skin, respectively. Fig. 2(D) shows a scan of dissected cerebral cortex which was made perpendicular to a strip of white matter, dividing an adjacent area of gray matter. As seen in the PS-OCT image, the gray matter minimally influences polarization, while considerable birefringence is observed in the white matter, which largely consists of myelin, a fibrous structure containing nerve bundles.

Both birefringence and scattering by particles [16], [17] can change the polarization state of light propagating through turbid media. Birefringence is likely to be the dominant factor for the images presented in Fig. 2. Except for scatterers arranged in a macroscopic order, scattering would change the polarization state in a random manner. The largest change would be a complete scrambling of the polarization, in which case the signal amplitude on each detector would be equal, which corresponds to a phase retardation calculated from (3) of  $45^\circ$ . All images in Fig. 2 show large areas with a phase retardation of  $60^\circ$  or more, which is a strong indication for birefringence. Even stronger evidence for birefringence can be provided by measuring the Stokes vector of the reflected light. We demonstrated recently that the depth resolved Stokes vector of the reflected light can be calculated with PS-OCT [18]. It was also shown that the change of the Stokes vector with depth in rodent muscle and skin was consistent with birefringence.

Calculation of the Stokes vector with our instrument can be summarized as follows: if the interference fringes are maximized on one detector, and minimal on the other, the polarization state is linear in either the horizontal or vertical plane, which corresponds to the Stokes parameter  $Q$  being one or minus one. If the interference fringes on both detectors are of equal amplitude and exactly in phase, or exactly  $\pi$  out of phase, the polarization state is linear, at  $45^\circ$  with the horizontal or vertical, corresponding to the Stokes parameter  $U$ . If the interference fringes on both detectors are of equal amplitude and are exactly  $\pi/2$  or  $3\pi/2$  out of phase, the polarization state is circular, corresponding to the Stokes parameter  $V$ .

Fig. 3 shows six images reconstructed from a single scan of rodent skin, 3 weeks post exposure to a 100 °C brass rod for 20 s. The six images display, respectively, in the left column from top to bottom the sum of the reflected intensity detected by the detectors, the vertically polarized reflected intensity (detector 1), the horizontally polarized reflected intensity (detector 2), and, in the right column from top to bottom, the Stokes parameters  $Q$ ,  $U$ , and  $V$ . The Stokes parameters were calculated as described in [18] and give the polarization state of light reflected from the sample before the return pass through the QWP. Images display the Stokes parameters normalized on the intensity and grayscale coded from 1 to  $-1$ . Contour lines indicating  $1/3$  and  $-1/3$  values were calculated after low pass filtering by convolving the images with a Gaussian filter of  $4 \times 4$  pixels and overlaid with the original image. The scan was made from normal (left) into thermal damaged skin with scar formation (right).

Two interesting observations can be made. First, the images recorded by a single detector show dark area's suggesting low tissue reflectivity that are completely absent in the summed image. These features are solely due to polarization changes in the tissue, and are not correlated with tissue reflectivity. Images of the Stokes parameters show the polarization state changing from circular to linear and back as a function of increasing depth.

Second, the summed image has a smoother appearance than the single channel images, which suggests that speckle noise is averaged. Presently, speckle averaging algorithms in OCT use four detectors recording light that has traveled over a slightly different path through the sample to the focal point and back [19], [20]. Wavefront distortions by tissue inhomogeneities create a different speckle pattern at each detector. However, implementation of this approach in a single-mode fiber (SMF) is difficult. Since light has two degrees of freedom, at each spatial location two (partially) independent speckle patterns are present, one in each polarization channel. Summing the images recorded in orthogonal polarization channels provides an alternative approach to speckle averaging that is simple to implement in an SMF. The number of images with (partially) independent speckle patterns can be further increased by modulating the polarization incident on the sample over orthogonal states. Two additional (partially) independent images can be recorded, giving four interference signals available for speckle averaging, which would increase the signal to noise ratio (SNR) by a factor of 2. However, the actual increase in SNR that can be realized may be less, since the speckle patterns in orthogonally polarized channels need not be completely independent due to the low order scattering nature of OCT signals [21].

## V. Conclusion

In summary, inasmuch as collagen is present in many tissues, such as skin, bone and tendon, PS-OCT is important not only to measure birefringence, but also for accurate interpretation of OCT images. Single detector OCT systems can generate images that show structural properties by a reduction in tissue reflectivity, that are solely due to polarization effects. Furthermore, most fibrous structures in tissue (e.g., muscle, nerve fibers) are birefringent due to their anisotropy. Polarization diversity detection and polarization modulation in the sample arm could be interesting approaches to speckle averaging in SMF-based OCT

systems. PS-OCT offers a non-contact technique for spatially resolved birefringence imaging that reveals structure not discernible with other diagnostic optical methods.

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## Biographies

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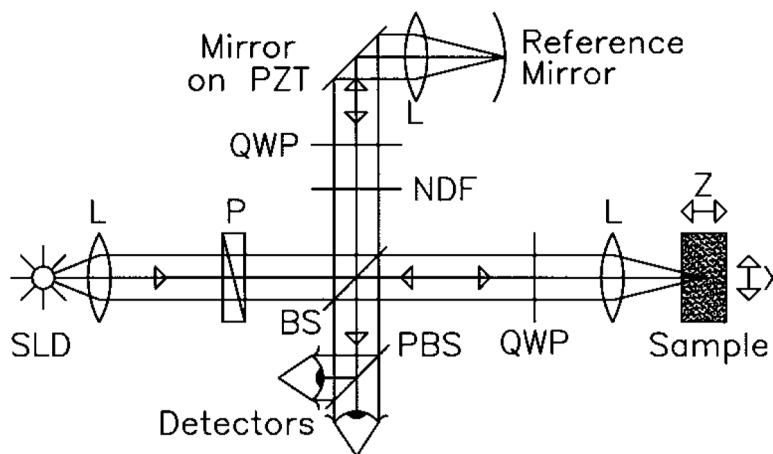
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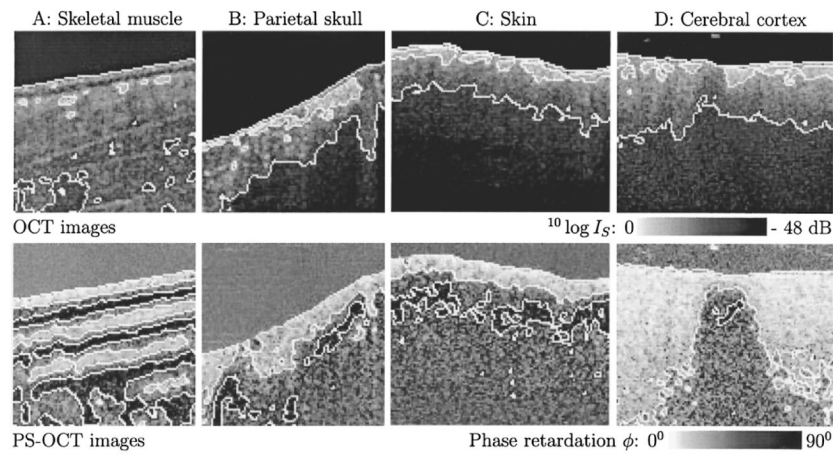
**Thomas E. Milner**, for photograph and biography, see this issue, p. 1066.

**J. Stuart Nelson**, for photograph and biography, see this issue, p. 1066.



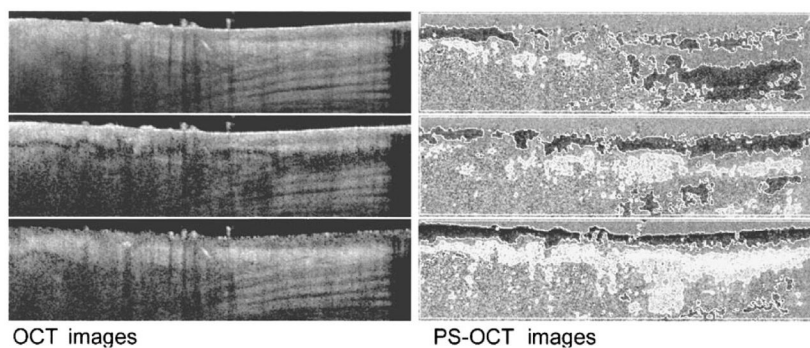


**Fig. 1.** Schematic of the PS-OCT system. SLD: superluminescent diode. L: Lens. P: Polarizer. BS: Beam splitter. QWP: Quarter-wave plate. NDF: Neutral density filter. PBS: Polarizing beam splitter. PZT: Piezoelectric transducer. 2-D images were formed by lateral movement of the sample at constant velocity ( $x$ -direction), repeated after each longitudinal displacement ( $z$ -direction).



**Fig. 2.**

Upper panel shows OCT images. White lines are contours at  $-16$  dB (white to gray transition) and  $-32$  dB (gray to black transition) intensity levels, respectively. Lower panel shows PS-OCT images. White lines are contours at  $30^\circ$  (white to gray transition) and  $60^\circ$  (gray to black transition) phase retardation levels, respectively. The phase retardation above the sample surfaces was  $\approx 45^\circ$ , determined by an approximately equal noise level in each polarization channel. A:  $1\text{ mm} \times 1\text{ mm}$  image of *ex vivo* rat skeletal muscle. Three periods of the phase retardation can be observed in the PS-OCT image; such detail is not discernible in the OCT image. B:  $1\text{ mm} \times 1\text{ mm}$  image of *ex vivo* rat parietal skull. C:  $1.2\text{ mm} \times 1\text{ mm}$  image of *ex vivo* rat skin. PS-OCT images B and C show dark islands below the surface confined by the  $60^\circ$  phase contour line. D:  $1.2\text{ mm} \times 1\text{ mm}$  image of dissected *ex vivo* rat cerebral cortex. The birefringent region in the PS-OCT image is a strip of white matter, surrounded by gray matter.



**Fig. 3.**

OCT and PS-OCT images generated from a single scan of rodent skin, three weeks post exposure to a 100 °C brass rod for 20 s. Image size is 4 mm × 1 mm. The six images display, respectively, in the left column from top to bottom, the sum of the reflected intensity detected by the detectors, the vertically polarized reflected intensity (detector 1) and the horizontally polarized reflected intensity (detector 2) gray-scale coded on a logarithmic scale, and, in the right column from top to bottom, the normalized Stokes parameters Q, U, and V gray scale coded from 1 to -1. White lines are contours at 1/3 (white to gray transition) and -1/3 (gray-to-black transition) values. The scan was made from normal (left) into thermal damaged skin with scar formation (right). Punch biopsy and histological evaluation of the imaged location indicate that the banded structure in the lower right half of the summed intensity image is muscle tissue.