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Polydopamine-Based Simple and Versatile Surface Modification of Polymeric Nano Drug Carriers

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Abstract

The surface of a polymeric nanoparticle (NP) is often functionalized with cell-interactive ligands and/or additional polymeric layers to control NP interaction with cells and proteins. However, such modification is not always straightforward when the surface is not chemically reactive. For this reason, most NP functionalization processes employ reactive linkers or coupling agents or involve pre-functionalization of the polymer, which are complicated and inefficient. Moreover, pre-functionalized polymers can lose the ability to encapsulate and retain a drug if the added ligands change chemical properties of the polymer. To overcome this challenge, we use dopamine polymerization as a way of functionalizing NP surfaces. This method includes brief incubation of the pre-formed NPs in a weak alkaline solution of dopamine, followed by secondary incubation with desired ligands. Using this method, we have functionalized poly(lactic-co-glycolic acid) (PLGA) NPs with three representative surface modifiers: a small molecule (folate), a peptide (Arg-Gly-Asp), and a polymer [poly(carboxybetaine methacrylate)]. We confirmed that the modified NPs showed the expected cellular interactions with no cytotoxicity or residual bioactivity of dopamine. The dopamine polymerization method is a simple and versatile surface modification method, applicable to a variety of NP drug carriers irrespective of their chemical reactivity and the types of ligands.

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Supporting Information Available: Supplementary methods and results are available free of charge *via* the Internet at <http://pubs.acs.org>.

Keywords

Polymeric nanoparticles; drug delivery; surface modification; dopamine polymerization; cell-nanoparticle interaction

Polymeric nanoparticles (NPs) have been pursued as a promising carrier of anti-cancer drugs, which may improve drug distribution in tumors and reduce side effects on normal organs. To maximize drug delivery to tumors, NPs need to fulfill at least two requirements: to circulate with a long half-life until they reach target tumors (*stealth*), and to bind and enter the tumor cells (*target interaction*). The stealth effect is usually achieved by decorating the NP surface with hydrophilic, electrically neutral polymers such as polyethylene glycol (PEG), although it often interferes with effective interaction with tumor cells.^{1, 2} The NP-target interaction can be enhanced by attaching cell-specific ligands on NPs, which promote cell binding and uptake of NPs *via* specialized endocytosis mechanisms. Therefore, NPs are typically decorated with stealth coating and cell-interactive ligands to achieve both effects, either simultaneously³ or on demand.⁴⁻⁶

On the other hand, surface modification of polymeric NPs can be quite cumbersome unless the particle surface is chemically reactive. The lack of reactive functional groups necessitates activation of the NP surface with reactive linkers^{7, 8} or coupling agents,⁹⁻¹² followed by exhaustive purification processes to remove catalysts and excess reactants. Alternatively, NPs may be produced using pre-functionalized polymers, where functional ligands are covalently conjugated to polymers.^{3, 13-17} However, the synthesis of a polymer-ligand conjugate can be lengthy, inefficient, and needs to be tailored for each ligand. Moreover, the ligand can alter the chemical properties of the conjugate (*e.g.*, increased hydrophilicity), compromising the ability of the polymer to encapsulate and/or retain a drug.

To overcome these challenges, we employ a simple and versatile surface modification method based on dopamine polymerization. In a weak alkaline condition (~pH 8–8.5) dopamine catechol is oxidized to quinone, which reacts with other catechols and/or quinones to form polymerized dopamine (pD).^{18, 19} As pD deposits on solid surfaces, it binds with functional ligands *via* Michael addition and/or Schiff base reactions to incorporate them into the surface layer.^{18, 19} The only requirement in this process is that the ligand molecules possess nucleophilic functional groups such as amine and thiol. Due to the simplicity and versatility, this principle has widely been exploited in functionalizing various types of substrates since its discovery in 2007.¹⁸ For example, trypsin was immobilized on cellulose paper,¹⁹ bivalirudin peptide on stainless steel,²⁰ polylysine on neuronal interface materials (gold, glass, platinum, indium tin oxide, liquid crystal polymer),²¹ heparin²² or albumin²³ on polyethylene membrane, lipase on magnetic NPs,²⁴ and avidin on yeast cells.²⁵ While the dopamine polymerization method has not been used in the modification of polymeric nano drug carriers, we hypothesize that the dopamine polymerization method can be applied to modify the surface of polymeric NPs, eliminating the complexity and inefficiency involved in traditional NP functionalization processes.

In this study, we demonstrate that poly(lactic-co-glycolic acid) (PLGA) NPs can be functionalized with various types of ligands *via* the dopamine polymerization method with

unprecedented ease. Despite the popularity as a drug carrier, PLGA NPs do not readily interact with cells²⁶ and thus require surface modification to attain the ability. Our previous studies find that their functionalization is however challenged due to the lack of surface reactivity and technical complexity in the synthesis of new polymer derivatives.^{14, 27, 28} Therefore, PLGA NPs is an ideal candidate for testing the new surface modification method. The dopamine polymerization method involves sequential incubation of PLGA NPs with dopamine and amine-terminated ligands in aqueous solution (Figure 1). We determined the optimal reaction conditions for functionalizing NPs, modified the NP surface with various ligands representing small molecules (folate), peptides (Arg-Gly-Asp, RGD), and polymers [poly(carboxybetaine methacrylate), pCB], and observed their interactions with target cells to confirm the effectiveness of this method. To estimate the safety of pD coating as a NP development tool, we also measured cytotoxicity and residual dopaminergic activity of the functionalized NPs.

RESULTS AND DISCUSSION

Prime-coating with pD

Prior to functionalization with ligands, NPs were incubated with dopamine under an oxidative condition (pH 8.5) to induce dopamine polymerization. Polymerized dopamine (pD) is known to bind tightly on solid surfaces *via* covalent and non-covalent interactions forming a durable layer that serves as an intermediate for ligand incorporation.²⁹ In an earlier study using polymer films, Jiang *et al.* envisioned that oligomeric dopamine would first form nanoaggregates, which assemble into random aggregates on the micrometer scale and then deposit on the polymer surface.³⁰ Alternatively, Bernsmann *et al.* proposed that small oligomeric dopamines and monomers interact with the surface and then form interconnected layers on the surface.^{31, 32}

To determine how pD deposited on polymeric NP surfaces, we first incubated a fixed amount (0.5 mg) of polystyrene (PS) or PLGA NPs in an alkaline dopamine solution, varying the concentration from 0.25 to 1 mg/mL. Particle size was varied from 50 to 1000 nm in diameter to provide different surface areas for pD deposition. After 3h incubation at room temperature, all NP suspensions turned dark indicating dopamine polymerization (Supporting Figure 1a), but we did not observe macroscopic black particles. We noticed that NP size increased at relatively high dopamine concentrations (0.75 mg/mL and 1 mg/mL), especially when the NPs were relatively small (50 and 170 nm) (Supporting Figure 2). This result may be interpreted as increasing interparticulate interactions *via* surface-deposited pD, greater for small NPs with relatively large surface area per weight. Alternatively, the particle size increase with the increasing dopamine concentration may be interpreted as an indication of micrometric pD aggregates. To observe the configuration of pD deposition on NP surface, the PLGA NPs prime coated in 0.5 mg/mL dopamine (NP-pD) were imaged with scanning electron microscopy (SEM). The freeze-dried NPs had a gray tint, clearly distinguished from the uncoated white NPs (Supporting Figure 1b). However, there was no noticeable difference between bare PLGA NPs (NP) and NP-pD under SEM (Figure 2a), nor was there visible surface feature attributable to pD aggregates. Moreover, low magnification SEM images (Supporting Figure 1c) showed that the collected NPs were homogeneous, free of

extraneous particles in size and shape, indicating the absence of extra pD aggregates. Given the lack of macroscopic or microscopic pD aggregates, we speculate that pD deposits on NP surface as a thin film rather than particulate aggregates.

Bernsmann *et al.* reported that 2 mg/mL dopamine solution formed only ~2 nm thick film on a silicon slide in 3h.³¹ In another study, 2 mg/mL dopamine solution formed 5–10 nm thick smooth film on the surface of a peptide nanowire after 16h.³² The pD coating formed on PLGA NPs with 0.5 mg/mL dopamine solution in 3h would likely be thinner than 2 nm, difficult to visualize by SEM. The difference of our observation from Jiang's model³⁰ may be attributable to several reasons, such as a lower concentration of dopamine solution (0.5 mg/mL vs. 2 mg/mL), a shorter incubation time (3 h vs. 24 h), the presence of substrate with a large surface area (NPs vs. polymer film) during dopamine polymerization, and the hydrophilicity of the NP surface due to residual polyvinyl alcohol (PVA), which would reduce initial interfacial tension with dopamine solution. Taken together, our observations indicate that pD could be deposited on NP surface as a thin film, at least in the tested conditions, without forming pD aggregate impurities. Since NP size remained stable with dopamine concentration at 0.5 mg/mL or lower, all particles used in the rest of the study were prime-coated in 0.5 mg/mL dopamine solution.

Functionalization of NP-pD

After prime-coating of PLGA NPs with pD, amine-terminated functional ligands such as folate, cRGD, and a betaine polymer (pCB), representing small molecule, peptide, and polymer ligands, respectively, were incorporated to the NPs *via* the pD surface. Folate and cRGD are frequently used as ligands to target cancer cells overexpressing folate receptors³³ and neovasculature of tumors,³⁴ respectively. Amine-terminated betaine polymer (pCB) was synthesized as a new stealth coating with a potent anti-fouling effect.^{35–38} All of the compounds had at least one primary amine per molecule, which react with pD *via* Schiff base reaction under an oxidizing condition.^{39, 40}

All the functionalized NPs showed (but bare NPs did not) a peak at ~399.6 eV in X-ray photoelectron spectroscopy (XPS) spectra, which corresponded to nitrogen (N 1s), verifying the presence of pD layer (Figure 2b). A noticeable deviation was the shift of deprotonated nitrogen peak shown with NP-pD-pCB, from 401.6 eV to 402.1 eV (Supporting Figure 3), indicative of quaternary nitrogen in pCB polymer. There was no additional difference in XPS spectra among the functionalized NPs otherwise, likely due to the small quantity of conjugated ligands. Ligand incorporation did not significantly alter surface charge (Figure 3). NP, NP-pD, and the functionalized particles assumed negative charges at pH 7.4, reflecting the presence of carboxylate on the surface of the core PLGA NPs, evident from the XPS peak at 289.0 eV (Supporting Figure 4). The influence of pD coating and incorporated ligands on the surface charge is thought to be minimal, given the little difference in XPS between NP and the rest. However, we do not exclude a possibility that the negative charges partly reflect the deprotonated catechol hydroxyl groups of the surface pD. The only exception was the microparticles (MPs) modified with pCB (MP-pD-pCB), which showed no charge, probably due to pCB-induced hydration of the NP surface.⁴¹ It is curious that the same effect was not seen in NP-pD-PEG or NP-pD-PEG-Fol with surface

PEG. This may be attributable to the differences between pCB and PEG in MW (pCB: 9.4kDa, PEG: 5kDa) and the hydration efficiency.⁴² Ligand incorporation did not induce particle size increase (Figure 3). The particle size of NPs estimated from SEM (Figure 2a) was approximately 100 nm irrespective of the particle type, about half of the hydrodynamic diameters measured by dynamic light scattering (220–250 nm, Figure 3). This observation is consistent with our previous study^{28, 43} and attributable to NP aggregation in suspension. SEM did not reveal any visible difference in morphology among the functionalized NPs (Figure 2a).

To determine the relationship between the ligand feed and its incorporation in NPs, fluoresceinamine (FLA), a fluorescent dye with a primary amine, was incubated in varying concentrations (1–10 $\mu\text{g}/\text{mL}$) with a fixed amount of prime-coated NPs (NP-pD, 0.5 mg/mL). FLA was used in lieu of functional ligands to facilitate detection of the incorporated ligands. However, it was difficult to directly quantify the FLA incorporated in NPs due to the fluorescence enhancement by the pD layer (data not shown). Therefore, the incorporated FLA in NPs was indirectly quantified by subtracting the unincorporated dye from the feed. The amount of FLA incorporated in 0.5 mg/mL NP-pD reached a plateau of $\sim 2.5 \mu\text{g}/\text{mL}$ (7.2 μM) (Supporting Figure 5), which translates to conjugation of 3.6×10^4 FLA molecules per NP with an average diameter of 200 nm (0.3 FLA / nm^2). Of note, all the functionalized NPs were created with 100–2000 μM of ligands for 0.5 mg/mL of NP-pD, sufficient excess of the maximum incorporated ligands.

Toxicity and biological activity of pD coating

pD coating was shown to be non-toxic in various cell models and *in vivo* studies. The pD layer deposited on solid surfaces did not interfere with regular cell adhesion processes and survival of mouse osteoblasts, rat pheochromocytoma cells⁴⁴ and human endothelial cells.⁴⁵ Moreover, pD coating prevented acute blood toxicity caused by quantum dots and inflammatory reactions to poly(lactic acid) films *in vivo*.⁴⁶ Consistent with these results, the NP-pD created at two levels of dopamine concentrations (0.25 mg/mL and 0.5 mg/mL) had no significant effect on viability of NIH/3T3 fibroblasts in the NP concentration of 0.0001–0.1 mg/mL after 24h (Figure 4a) or 72h (Figure 4b) exposure. Even pD aggregates (*i.e.*, 100% pD) were not toxic in the concentration of 0.0001–0.1 mg/mL . This result indicates that pD coating did not have cytotoxic effects.

Dopamine is a well-known neurotransmitter. Although it was shown that dopamine monomer did not exist in pD coating,⁴⁶ it was necessary to confirm that pD did not have any residual activity of dopamine. Therefore, bioassays measuring dopamine receptor activation were performed. These experiments were carried out in HEK293 (human embryonic kidney) cells expressing either the dopamine D1 receptor (DRD1) or the dopamine D2 receptor (DRD2). The DRD1 couples to stimulatory G proteins, which stimulate the production of cAMP through the activation of adenylyl cyclase. As shown in Figure 4c, HEK-D1 cells respond to dopamine by displaying a dose-dependent increase in the production of cAMP. In contrast, the pD coated NPs were inactive and did not show any significant enhancement in the cAMP production by the DRD1 (Figure 4c). Similar functional assays were carried out using the DRD2, which couples to inhibitory G proteins to inhibit forskolin-stimulated

cAMP production. Increasing concentrations of dopamine induced a dose-dependent decrease in cAMP accumulation. Consistent with the results from the DRD1 studies, the pD coated NPs had no significant effects on DRD2-mediated inhibition of cAMP accumulation (Figure 4d).

A recent study demonstrates that pD layer dissolves away in pH 5 or lower,⁴⁷ suggesting that the presence of pD layer is unlikely to interfere with degradation of NPs or intracellular drug release. Moreover, it is reported that the median lethal dose (LD₅₀) of intravenously injected pD NPs was as high as 400–585 mg/kg, and they induced no signs of toxicity over one month after injection, indicating low acute and long-term toxicity *in vivo*.⁴⁸ While biodegradation and long-term toxicology of pD remain to be systematically investigated, our results and the literature consistently suggest that pD layer would present little biological hazards.

Cellular uptake of functionalized particles

To evaluate whether the functionalized particles show the expected interactions with target cells, cellular uptake of the modified particles was observed using confocal microscopy and/or flow cytometry. Particles at concentrations used in this study had no influence on cell viability (Supporting Figure 6); therefore, the observed difference is attributable to the ability of viable cells to internalize the particles. We also note that the prime-coating with pD was performed in the same condition (incubation in 0.5 mg/mL dopamine-Tris buffer (10 mM, pH 8.5) solution for 3h at room temperature) for all particles to minimize any variation in pD thickness, which may affect the extent of cellular NP uptake otherwise.⁴⁹

*NP, *NP-pD, and *NP-pD-PEG showed minimal cellular uptake either by KB cells (Figure 5) or HUVEC cells (Figure 6a). On the other hand, *NP-pD-Fol and *NP-pD-PEG-Fol showed greater extent of cellular uptake by KB cells both in microscopy and flow cytometry (Figure 5), confirming the presence of functional folate on the NP surface. Co-treatment with folate (1 mM) selectively inhibited cellular uptake of *NP-pD-Fol and *NP-pD-PEG-Fol (Figure 5). This result indicates that the folate-modified NPs were taken up by KB cells *via* folate-receptor-mediated endocytosis. Similarly, *NP-pD-cRGD were taken up by HUVEC activated with TNF- α (hence expressing $\alpha_v\beta_3$ integrin⁵⁰) to a greater extent than *NP or *NP-pD (Figure 6a). On the other hand, cRADyk, a control peptide with a similar molecular weight and isoelectric point as cRGDyk, did not contribute to cellular uptake of the modified NPs (*NP-pD-cRAD). This demonstrates that the increased cellular uptake of *NP-pD-cRGD was a result of specific cRGD interaction with $\alpha_v\beta_3$ integrin expressed on the activated HUVEC cells.

To evaluate the stealth effect of pCB, PLGA microparticles (MPs) were modified with pCB and incubated with J774A.1 macrophages for measurement of their phagocytic uptake. Another set of MPs were modified with mPEG-NH₂ to compare with MP-pD-pCB. MPs with an average diameter of ~2 μ m were used instead of NPs, since MPs are more readily taken up than NPs *via* phagocytosis⁵¹; thus, the effect of stealth polymers is best tested with MPs. As shown in Figure 6b, surface modification with pCB inhibited macrophage uptake of the particles effectively, and the extent of inhibition was similar to that of MP-pD-PEG. Interestingly, *MP-pD also showed reduced uptake by macrophages. Given the negative

relationship between the extent of particle phagocytosis and the surface hydrophilicity,⁵² the reduced *MP-pD uptake likely reflects the hydrophilic nature of the catechol and amine groups of the pD-coated surface.^{18, 53}

These results illustrate the versatility of the dopamine polymerization method in modifying the non-reactive surface of polymeric NPs. Using this method, we recently reported a peritumorally activatable PLGA NP system, dual-functionalized with a cell-interactive peptide and an enzymatically removable PEG.⁴³ As demonstrated in this study, dual modification was carried out by simple incubation of core NPs in a mixture of two types of functional molecules with no need for new polymer synthesis or complex surface chemistry. The versatility of this surface modification method has an important implication for future NP development in that it can decouple NP formation and surface modification processes. In this way, polymers to make core NPs can be chosen according to the applications not constrained by the surface reactivity, and the surface modification may be performed on the pre-formed NPs, irrespective of their chemical identity.

CONCLUSIONS

We used the dopamine polymerization method to functionalize the surface of PLGA NPs with folate, cRGD, and a stealth polymer. This method did not require modification of PLGA or chemical activation of the NP surface but involved only a brief incubation of NPs in alkaline solution of dopamine, followed by secondary incubation with the desired ligands. Thus formed NPs showed no cytotoxicity or residual bioactivity of dopamine and displayed expected interactions with target cells. Our study demonstrates that the dopamine polymerization method enables flexible surface modification of polymeric NPs with various functional ligands. With the simplicity and versatility the dopamine polymerization method can expedite the development of NP drug carriers with functional surfaces.

EXPERIMENTAL SECTION

Materials

PLGA (LA:GA=65:35, carboxylic acid end group, molecular weight 118 kDa) was purchased from Lakeshore Biomaterials (Birmingham, AL, USA). (3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) (MTT), Hoechst 33342, and recombinant human tumor necrosis factor-alpha (TNF- α) were purchased from Invitrogen (Eugene, OR, USA). Polystyrene particles with an average diameter of 50 nm (PS50), 500 nm (PS500), and 1 μ m (PS1000) were purchased from Polysciences (Warrington, PA, USA). Cyclo(Arg-Gly-Asp-D-Tyr-Lys) (cRGDyK) and cyclo(Arg-Ala-Asp-D-Tyr-Lys) (cRADyK) were purchased from Peptides International (Louisville, KY, USA). Folate-conjugated, amine-terminated polyethylene glycol (5 kDa, Fol-PEG-NH₂) and fluorescein-labeled poly(lactic-co-glycolic acid) (7kDa, PLGA*) were purchased from Akina (West Lafayette, IN, USA). Methoxy-polyethylene glycol-amine (5 kDa, mPEG-NH₂) was purchased from JenKem Technology USA (Allen, TX, USA). Collagen I rat tail was purchased from BD Biosciences (San Jose, CA, USA). Poly(carboxybetaine methacrylate)-NH₂ (pCB, 9.4kDa) was synthesized as described in the supporting information. Dopamine

hydrochloride was purchased from Alfa Aesar (Ward Hill, MA). All other materials were purchased from Sigma Aldrich unless specified otherwise.

Preparation of core PLGA particles

For preparation of ~200 nm NPs, 20 mg of PLGA was dissolved in 400 μ L of dichloromethane (DCM). The polymer solution was added to 3 mL of a 5% PVA solution and immediately homogenized using a Sonics Vibracell™ probe sonicator (Sonics, Newtown, CT, USA) for 1 min, pulsing 4 sec on and 2 sec off at an amplitude of 80%. The emulsion was added to 5 mL of deionized water and stirred overnight to evaporate the remaining DCM. The NPs were then collected *via* centrifugation at 8,161 \times g for 30 min at 4°C and washed twice in deionized water with centrifugation at 5,223 \times g for 20 min. For preparation of ~2 μ m microparticles (MPs), the polymer solution was added to 5 mL of a 1% PVA solution and homogenized using a Silverson L4R Laboratory Mixer (East Longmeadow, MA, USA) for 1 min at 5,000 rpm. The emulsion was dispersed in 10 mL water and stirred overnight to evaporate the remaining DCM. The MPs were harvested *via* centrifugation at 1,306 \times g for 10 min at 4°C and washed with deionized water twice. Fluorescently labeled particles for confocal microscopy and flow cytometry were prepared by replacing 8 mg of PLGA with the same amount of PLGA* (PLGA conjugated with fluorescein). All particles were stored as pellets at 4°C and used in less than 24 h after preparation.

Particle surface modification (Figure 1)

The core particles were prime-coated with pD by incubating 0.5 mg particles in 1 mL of dopamine hydrochloride solution in Tris buffer (10 mM, pH 8.5) for 3h at room temperature with rotation. Dopamine concentration was fixed at 0.5 mg/mL unless specified otherwise. The pD-coated PLGA particles (NP-pD or MP-pD) were collected by centrifugation (NP-pD: 8,161 \times g for 20 min; MP-pD: 1,306 \times g for 10 min) at 4°C. For surface functionalization, NP-pD or MP-pD were resuspended in Tris buffer (10 mM, pH 8.5), which contained different ligands (Folate, mPEG-NH₂, Fol-PEG-NH₂, cRADyK, cRGDyK, or pCB). The final concentrations of particles and ligands were 1 mg/mL and 2 mg/mL, respectively. After 30 min incubation at room temperature with rotation, particles were collected by centrifugation and washed with deionized water once. The functionalized NPs or MPs were named as NP-pD-Fol, NP-pD-PEG, NP-pD-PEG-Fol, NP-pD-RAD, NP-pD-RGD, MP-pD-pCB, and MP-pD-PEG according to the ligand used for the functionalization.

Quantification of fluoresceinamine incorporation

0.5 mg of NP-pD was suspended in 1 mL of Tris buffer (10 mM, pH 8.5) containing fluoresceinamine (FLA) in different concentrations (1 – 10 μ g/mL) and incubated for 30 min at room temperature. The resulting NPs (NP-pD-FLA) were collected at 8,161 \times g for 20 min. Free (unincorporated) FLA was quantified by measuring the absorbance of each supernatant at 497 nm. The incorporated FLA into NP-pD-FLA was calculated by subtracting free FLA from the added FLA.

Particle characterization

Particles were suspended in 1 mM phosphate buffer (pH 7.4), and their sizes and zeta potentials were measured by the Malvern Zetasizer Nano ZS90 (Worcestershire, UK). NP morphology was observed by scanning electron microscopy. NPs were sputter-coated with platinum for 60 sec and visualized with a FEI Nova nanoSEM field emission scanning electron microscope (FEI Company, Hillsboro, Oregon) using a high resolution through-the-lens detector (TLD) operating at a 5 kV accelerating voltage, ~3 mm working distance, and spot size 3. X-ray photoelectron spectroscopy (XPS) were obtained with a Kratos Axis Ultra DLD spectrometer using monochromatic Al K α radiation ($h\nu = 1486.58$ eV). Survey and high resolution spectra were collected at fixed analyzer pass energy of 160 and 20 eV, respectively. A built-in Kratos charge neutralizer was used. Binding energy values were referenced to the Fermi edge, and charge correction was performed setting the C 1s peak at 284.80 eV. Data analysis was performed using the CasaXPS software (ver. 2.3.16).

Cell culture

KB human epidermal carcinoma cells (ATCC, Manassas, VA, USA) were grown in Eagle's Minimum Essential Medium (EMEM) complete medium supplemented with 10% fetal bovine serum (FBS). Human umbilical vein endothelial cells (HUVEC, ATCC) were grown in EGM-2 Bullet Kit complete medium. Culture plates were coated with 5 $\mu\text{g}/\text{cm}^2$ of rat tail collagen Type I prior to cell culture. J774A.1 mouse macrophage cells and NIH/3T3 mouse fibroblast cells (ATCC) were grown in Dulbecco's Modified Eagle Medium (DMEM) complete medium supplemented with 10% FBS. These media were supplemented with 100 units/mL penicillin and 100 $\mu\text{g}/\text{mL}$ streptomycin. HEK293 (human embryonic kidney) cells stably expressing the dopamine D1 receptor (HEK-D1) or the long isoform of the dopamine D2 receptor (HEK-D2) were cultured in DMEM supplemented with 5% bovine calf serum (Thermo Fisher Scientific, Waltham, MA), 5% Fetal Clone I (Thermo Fisher Scientific, Waltham, MA), and 1 \times Antibiotic-Antimycotic (Life Technologies, Green Island, NY). In addition, the culture medium for the HEK-D1 cells contained 300 $\mu\text{g}/\text{mL}$ G418 (Sigma, St. Louis, MO) and 2 $\mu\text{g}/\text{mL}$ puromycin (Sigma, St. Louis, MO), and the medium for the HEK-D2 cells contained 2 $\mu\text{g}/\text{mL}$ puromycin. The cells were harvested using cell dissociation buffer (Life Technologies, Green Island, NY) and frozen in 1 mL aliquots of 10% DMSO (Sigma, St. Louis, MO) in FBS (Thermo Fisher Scientific, Waltham, MA) until the day of assay. All cells were subcultured at a ratio of 1:5 when they were 70–80% confluent.

Cytotoxicity

To examine toxicity of pD coating, the MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) assay was carried out with NIH/3T3 fibroblast cells incubated with the modified NPs. NIH/3T3 cells were plated in a 96-well plate at a density of 10,000 cells/well in 200 μL of complete medium. After overnight incubation, 10 μL of concentrated NP suspension was added to each well to provide NPs in the final concentration ranging from 0.0001 to 0.1 mg/mL. Cells were incubated with NPs for 24h, washed twice with fresh medium and incubated for additional 48h in NP-free complete medium. Another group of cells were incubated with NPs for 72h. At the end of incubation, the medium was replaced with 100 μL of fresh medium and 15 μL of 5 mg/mL MTT solution and incubated for 3.5 h.

One hundred microliters of solubilization/stop solution comprising 20% SDS, 0.02% (v/v) acetic acid, and 50% (v/v) dimethyl sulfoxide (DMSO) was then added, and the plate was left in dark overnight. The absorbance of solubilized formazan in each well was read with a SpectraMax M3 microplate reader (Molecular Device, Sunnyvale, CA) at a wavelength of 560 nm. The absorbance of medium was subtracted from the absorbance of all wells. The measured sample absorbance was then normalized to the absorbance of control cells, which did not receive NPs.

Dopaminergic receptor assay

Pharmacological assays were carried out in HEK-D1 and HEK-D2 cells using the cAMP dynamic 2 kit from Cisbio Bioassays (Bedford, MA). Briefly, cryopreserved cells were thawed and resuspended in Opti-MEM (Life Technologies, Green Island, NY). Cells were plated in 384 well plates (Perkin Elmer, Waltham, MA) at densities of 5,000 and 4,000 cells/well for HEK-D1 and HEK-D2 cells, respectively. The cells were incubated for 1h at 37°C in a humidified incubator with 5% CO₂. All assays were carried out in Opti-MEM containing 0.5 mM 3-isobutyl-1-methylxanthine (Sigma, St. Louis, MO) and 0.0025% (w/v) ascorbic acid (Sigma, St. Louis, MO). The HEK-D1 assay measured cAMP in response to increasing concentrations of dopamine or the pD-coated NPs (PS50-pD, PS1000-pD, and NP-pD). For the HEK-D2 assays, 10 μM forskolin (Tocris Bioscience, Bristol, UK) was used to stimulate cAMP accumulation in the presence of dopamine or the NPs. The cells were incubated with dopamine or NPs for 1h at room temperature, and cAMP accumulation was measured according to the manufacturer's instructions. Plates were read in a Synergy 4 (BioTek, Winoosky, VT) at an excitation of 330 nm and emissions of 665 nm and 620 nm. A ratiometric analysis was carried out by dividing the 665 nm emission values by the corresponding 620 nm values. Unknown cAMP values were extrapolated from a cAMP standard curve. Assays were carried out in duplicate and data analysis was done using GraphPad Prism 6 (GraphPad Software Inc., San Diego, CA).

Confocal microscopy

KB cells were seeded at a density of 80,000 cells/cm² in a 35mm petri dish. After overnight incubation, medium was replaced with serum-free medium containing 0.4 mg/mL of fluorescently labeled NPs (*NPs). After 3 h incubation with NPs, the cells were then washed with media two times to remove free or loosely-bound NPs. Hoechst 33342 was added to 2 μg/mL and incubated for 30 min prior to imaging. Confocal microscopy was performed using Nikon A1R confocal microscope equipped with a Spectra Physics 163C argon ion laser and a Coherent CUBE diode laser. The *NPs were excited with a 488-nm laser, and the emission read from 500 to 600 nm. Cell nuclei were excited with a 633-nm laser, and the emission read from 650 to 750 nm.

Flow cytometry

Cellular uptake of the functionalized NPs was quantitatively measured by flow cytometry. KB cells were plated in 24-well plates at a density of 50,000 cells/well, grown overnight, and incubated with *NP, *NP-pD, *NP-pD-PEG, *NP-pD-Fol, and *NP-pD-PEG-Fol suspended in serum-free medium. For competitive inhibition of NP uptake, folate was added to a final concentration of 1 mM simultaneously with NPs. HUVEC cells were plated in 24-

well plates at a density of 50,000 cells/well and grown overnight. HUVEC cells were activated with TNF- α (4 ng/mL) for 4 h to induce $\alpha_v\beta_3$ integrin expression.⁵⁰ Cells were then incubated with *NP, *NP-pD, *NP-pD-cRGD, and *NP-pD-cRAD suspended in serum-free medium. J774A.1 cells were plated in 24-well plates at a density of 50,000 cells/well, grown overnight, and incubated with *MP, *MP-pD, *MP-pD-pCB, and *MP-pD-PEG suspended in serum free medium. All particles were added at a concentration of 0.5 mg/mL. As a negative control, a group of cells were treated with fresh medium with no particles. After 3h incubation, cells were trypsinized and analyzed with a flow cytometer (Beckman Coulter, FC 500, Indianapolis, IN USA) with a FL-1 detector (Ex: 488 nm, Em: 525 nm). In all flow cytometry analysis, cell debris and free particles were excluded by setting a gate on the plot of side-scattered light vs. forward scattered light. A total of 10,000 gated events were acquired for each analysis. The fluorescent amplifier of the FL-1 detector filter was adjusted to ensure that the negative cell population appeared in the first logarithmic decade. All experiments were performed in triplicate.

Statistical Analysis

All data were expressed as means \pm standard deviations. Statistics were performed using ANOVA with a t-test for means comparison unless specified otherwise. A value of $p < 0.05$ was considered statistically significant.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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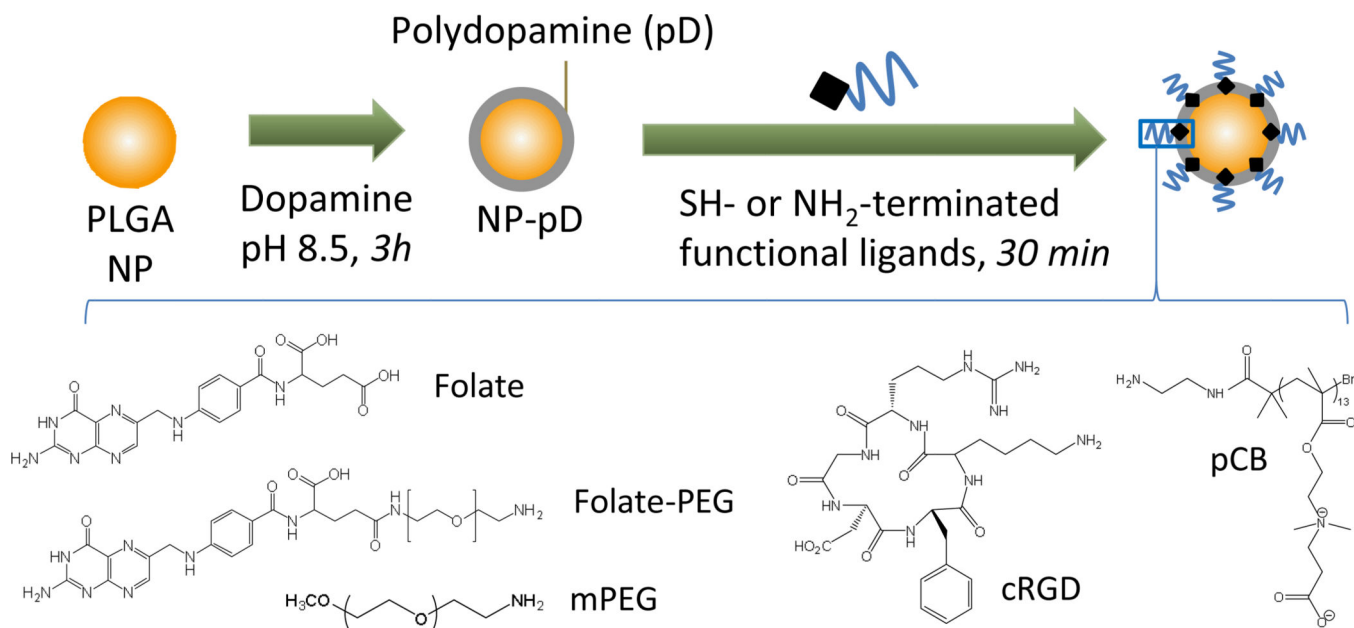


Figure 1. Schematic diagram of surface modification of polymeric nanoparticles using dopamine polymerization.

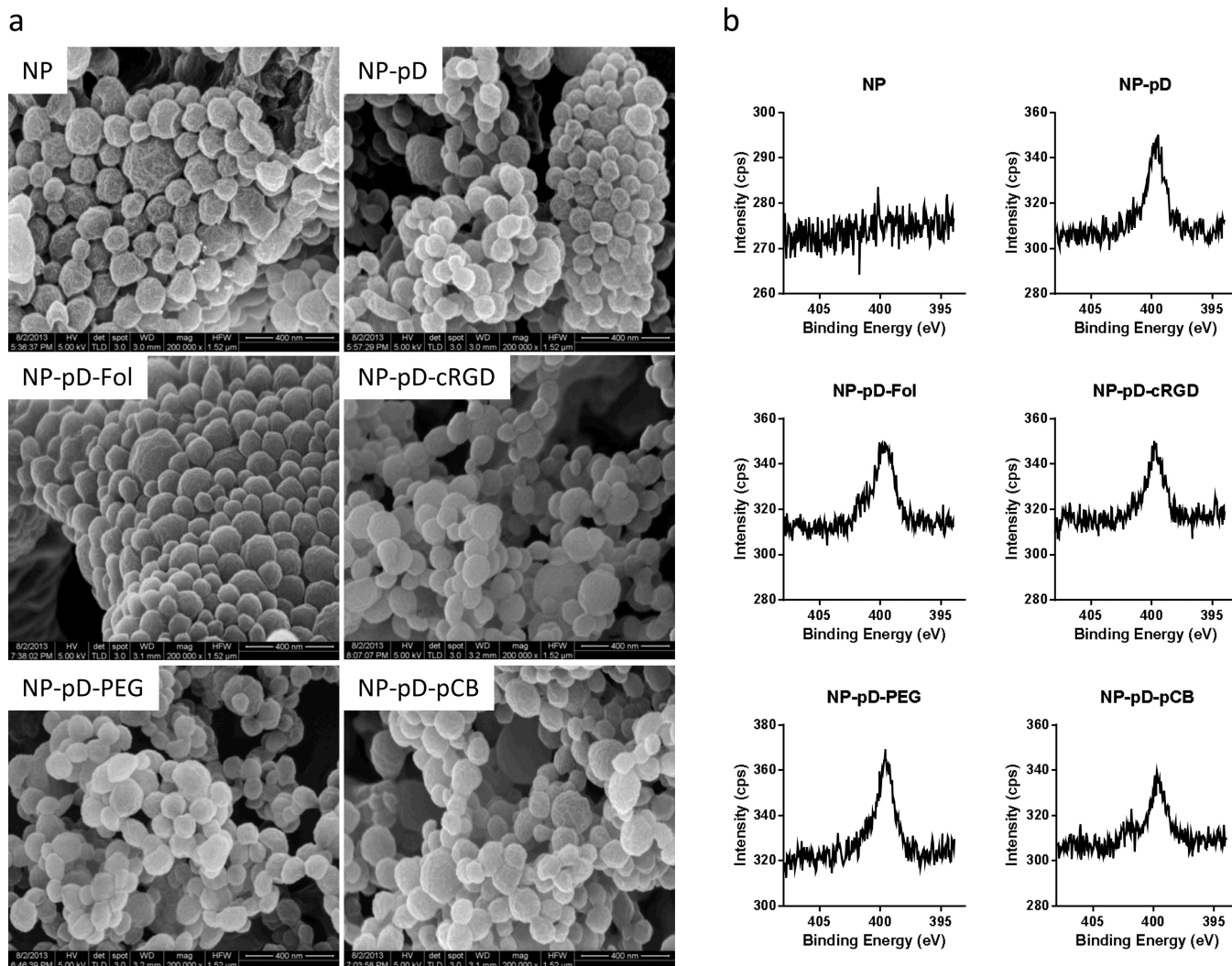


Figure 2. (a) Scanning electron micrographs and (b) XPS N 1s spectra of PLGA NPs (NP), polydopamine prime-coated NPs (NP-pD), NPs coated with folate (NP-pD-Fol), cRGD (NP-pD-cRGD), mPEG (NP-pD-PEG), and pCB (NP-pD-pCB) *via* polydopamine.

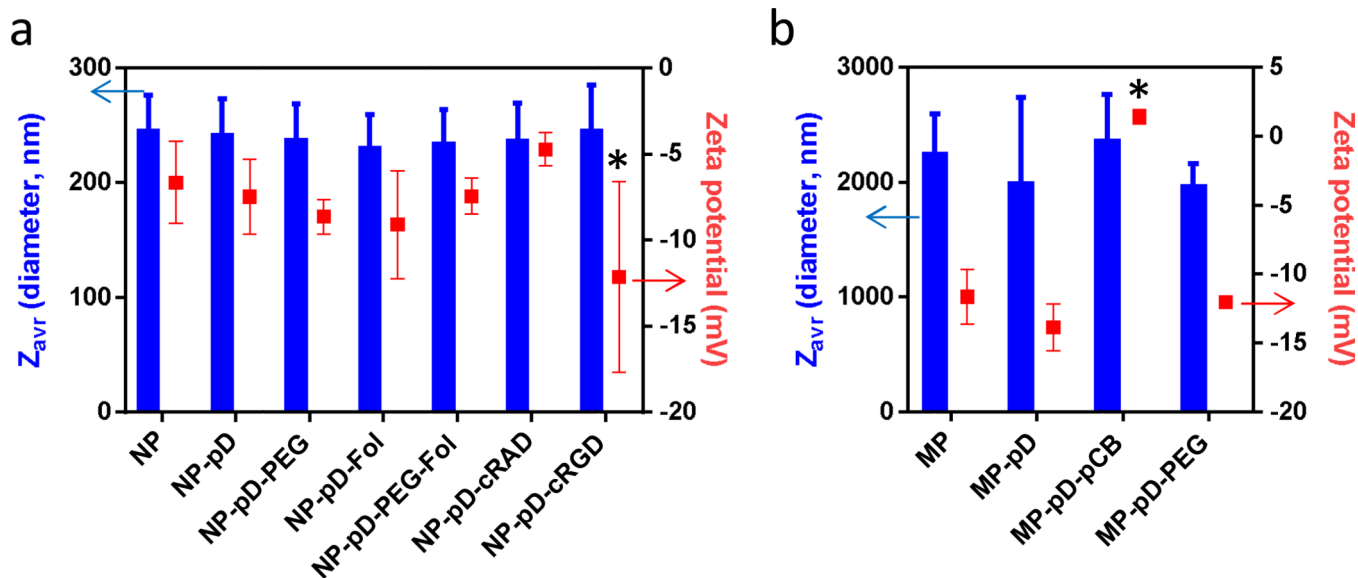
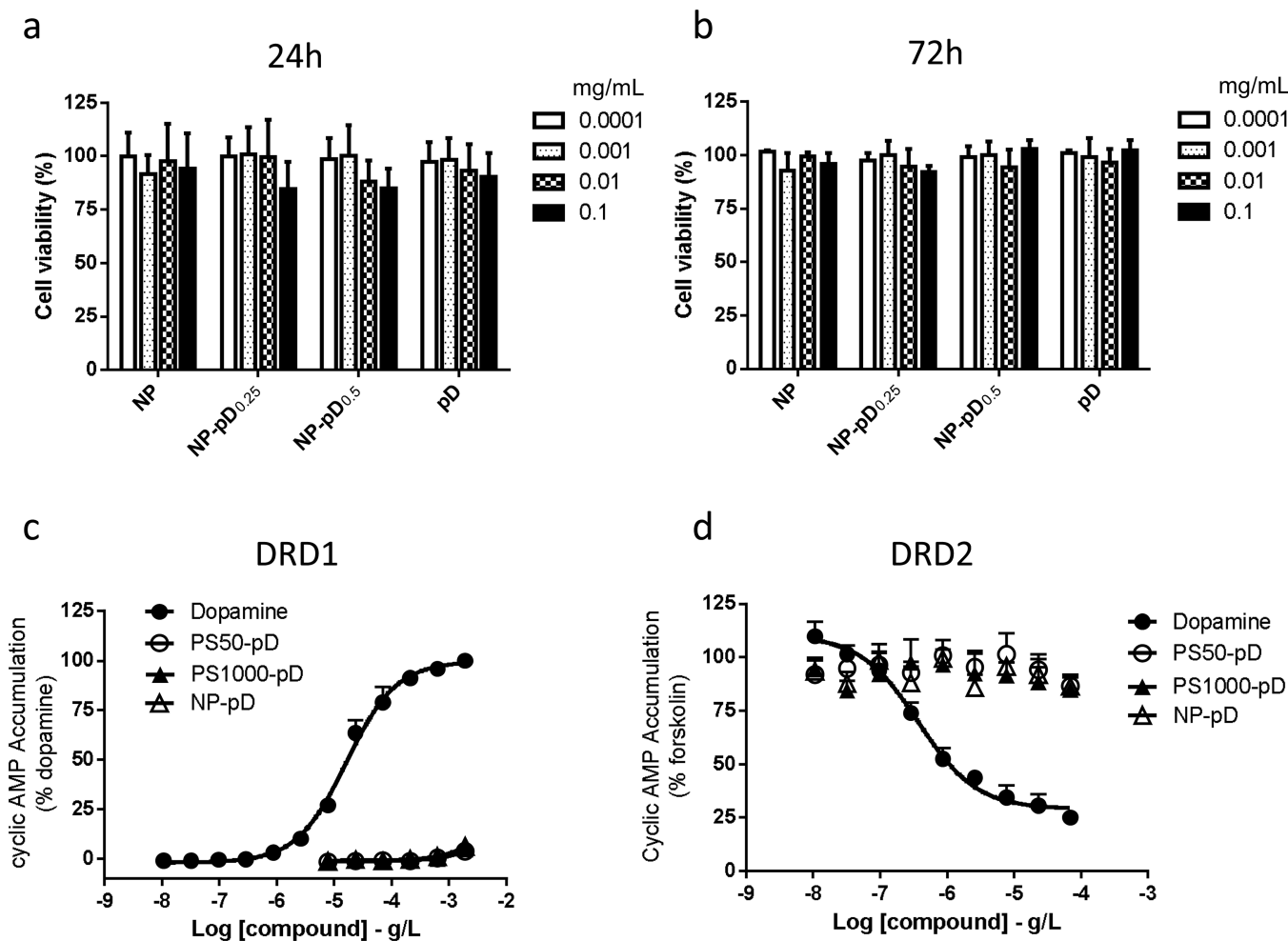


Figure 3. Particle sizes and zeta potentials of NPs and MPs modified with different functional ligands *via* polydopamine. Data are expressed as averages and standard deviations of 3–8 batches of independently and identically prepared samples. Some data points have smaller standard deviations than the symbol size. *: $p < 0.05$ vs. NP or MP by 2-tailed t-test.

**Figure 4.**

(a–b) Cytotoxicity of NP, NP-pD, and polydopamine (pD) aggregates in NIH/3T3 fibroblast cells measured by the MTT assay. NP-pDs were prepared in 0.25 (NP-pD_{0.25}) or 0.5 mg/mL (NP-pD_{0.5}) dopamine solution, and pD aggregates were prepared by incubating dopamine hydrochloride solution in Tris buffer (10 mM, pH 8.5) for 3h at room temperature with no NPs. NPs were added in the concentrations (mg/mL) indicated in the legends to the cells and incubated for (a) 24h or (b) 72h. Data are expressed as averages and standard deviations of 4 measurements of a representative batch. No statistical difference was observed across the concentration levels and NP types (two-way ANOVA). (c–d) Dopaminergic activity of pD coated NPs. Dose-response curve of dopamine or pD coated NPs for activation of the (c) DRD1 and (d) DRD2 in HEK cells. Cyclic AMP accumulation data are expressed as percentage of the maximal response elicited by dopamine (b) or forskolin (c) as indicated.

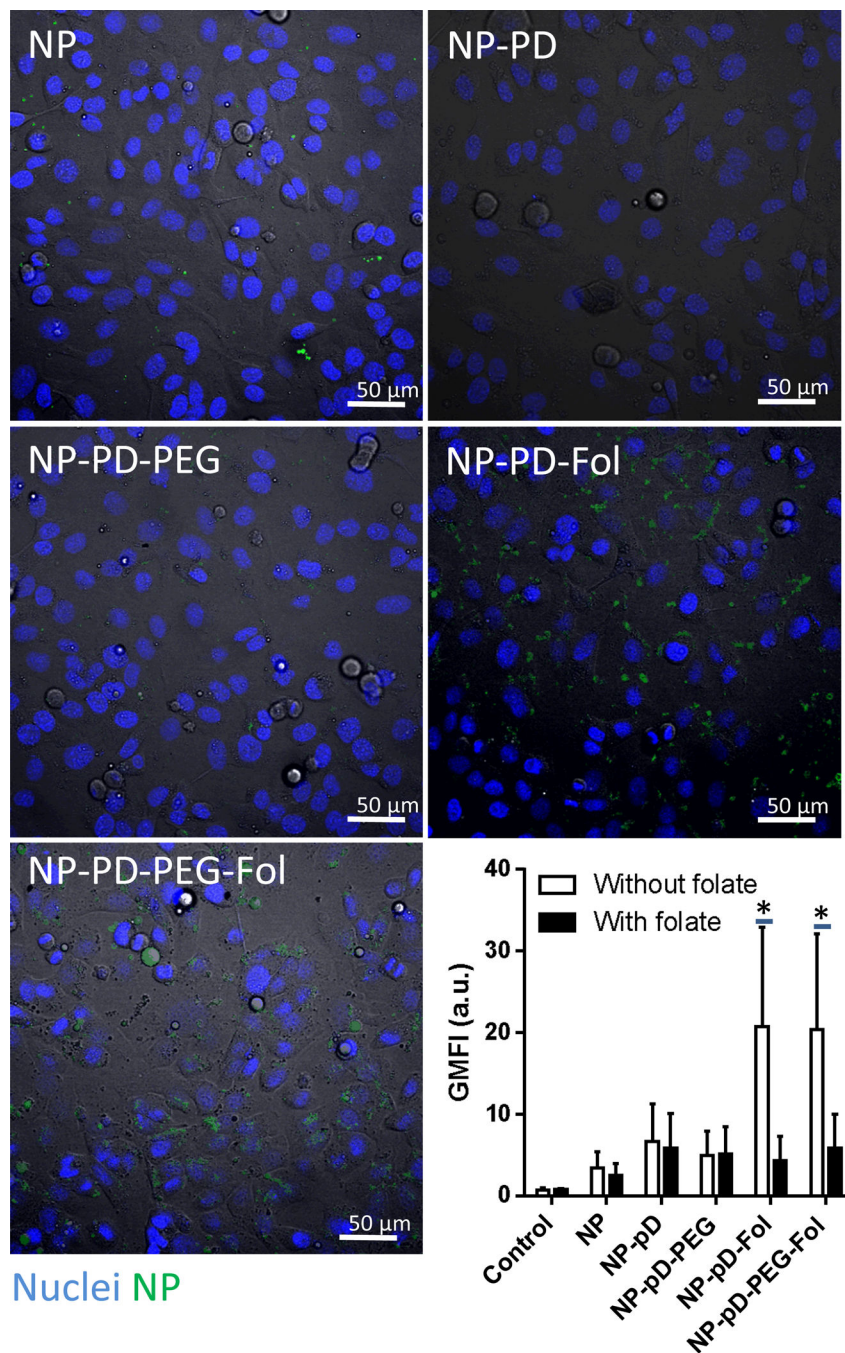


Figure 5. Cellular uptake of fluorescently labeled NP, NP-pD, NP-pD-PEG, NP-pD-Fol, and NP-pD-PEG-Fol by KB cells, observed with confocal microscopy and flow cytometry. Flow cytometry data are expressed as averages and standard deviations of 3 batches of independently and identically prepared samples. *: $p < 0.05$ by 1-tailed paired t-test.

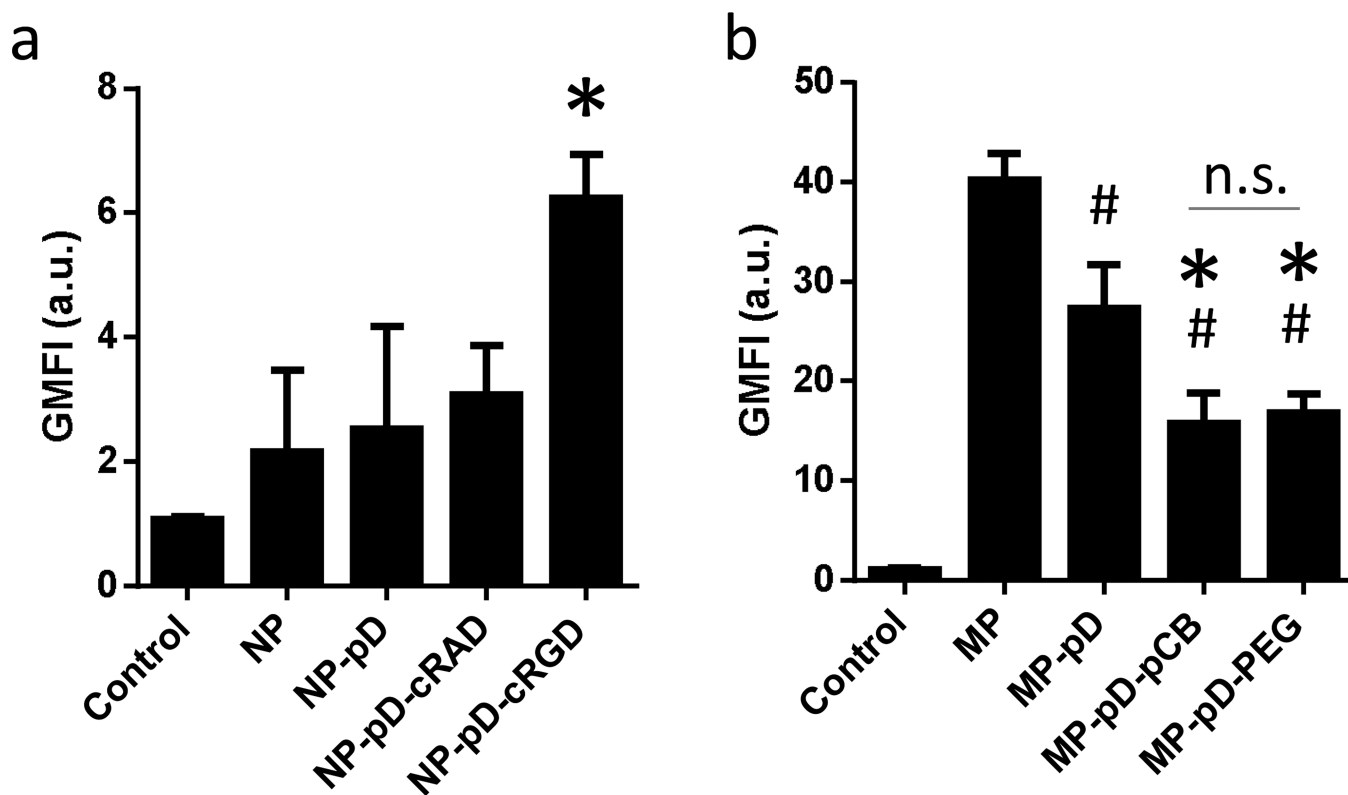


Figure 6.

(a) Cellular uptake of fluorescently labeled NP, NP-pD, NP-pD-cRAD, and NP-pD-cRGD by TNF- α activated HUVEC. *: $p < 0.005$ vs. NP by 2-tailed t-test. (b) Cellular uptake of fluorescently labeled MP, MP-pD, MP-pD-pCB, and MP-pD-PEG by J774A.1 macrophages. #: $p < 0.05$ vs. MP; *: $p < 0.05$ vs. MP-pD; n.s.: not significant by 2-tailed t-test. Flow cytometry data are expressed as averages and standard deviations of 3 batches of independently and identically prepared samples.