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1 **Polymorphisms in Human Cytomegalovirus gO Exert Epistatic Influences on Cell-Free and Cell-To-Cell**
2 **Spread, and Antibody Neutralization on gH Epitopes.**
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4

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Running Title: Epistatic effects of HCMV gO polymorphisms

35 **ABSTRACT**

36 The human cytomegalovirus (HCMV) glycoproteins H and L (gH/gL) can be bound by either gO, or the UL128-
37 131 proteins to form complexes that facilitate entry and spread and the complexes formed are important
38 targets of neutralizing antibodies. Strains of HCMV vary considerably in the levels of gH/gL/gO and
39 gH/gL/UL128-131 and this can impact infectivity and cell tropism. In this report, we investigated how natural
40 interstrain variation in the amino acid sequence of gO influences the biology of HCMV. Heterologous gO
41 recombinants were constructed in which 6 of the 8 alleles or genotypes (GT) of gO were analyzed in the
42 backgrounds of strain TR and Merlin (ME). The levels of gH/gL complexes were not affected, but there were
43 impacts on entry, spread and neutralization by anti-gH antibodies. AD169 (AD) gO (GT1a) drastically reduced
44 cell-free infectivity of both strains on fibroblasts and epithelial cells. PHgO(GT2a) increased cell-free infectivity
45 of TR in both cell types, but spread in fibroblasts was impaired. In contrast, spread of ME in both cell types
46 was enhanced by Towne (TN) gO (GT4), despite similar cell-free infectivity. TR expressing TNgO(GT4) was
47 resistant to neutralization by anti-gH antibodies AP86 and 14-4b, whereas ADgO(GT1a) conferred resistance
48 to 14-4b, but enhanced neutralization by AP86. Conversely, ME expressing ADgO(GT1a) was more resistant
49 to 14-4b. These results suggest; 1) mechanistically distinct roles for gH/gL/gO in cell-free and cell-to-cell
50 spread, 2) gO isoforms can differentially shield the virus from neutralizing antibodies, and 3) effects of gO
51 polymorphisms are epistatically dependent on other variable loci.

52 **IMPORTANCE**

53 Advances in HCMV population genetics have greatly outpaced understanding of the links between genetic
54 diversity and phenotypic variation. Moreover, recombination between genotypes may shuffle variable loci into
55 various combinations with unknown outcomes. UL74(gO) is an important determinant of HCMV infectivity, and
56 one of the most diverse loci in the viral genome. By analyzing interstrain heterologous UL74(gO)
57 recombinants, we show that gO diversity can have dramatic impacts on cell-free and cell-to-cell spread as well
58 as on antibody neutralization and that the manifestation of these impacts can be subject to epistatic influences
59 of the global genetic background. These results highlight the potential limitations of laboratory studies of
60 HCMV biology that use single, isolated genotypes or strains.

61 INTRODUCTION

62 Recent application of state-of-the-art genomics approaches have begun to uncover a greater and more
63 complex genetic diversity of human cytomegalovirus (HCMV) than had been appreciated (1–8). Of the 165
64 canonical open reading frames (ORFs) in the 235 kbp HCMV genome, 21 show particularly high nucleotide
65 diversity and are distributed throughout the otherwise highly conserved genome. Links between specific
66 genotypes and observed phenotypes are not well understood and as a corollary outcome, the factors driving
67 HCMV genetic diversity and evolution remain speculative. This is further complicated by recombination
68 between genotypes that can shuffle the diverse loci into various combinations, and this may result in epistasis
69 where the phenotypic manifestation of a specific genotype of one locus may be influenced by the specific
70 genotypes of other loci. Thus, realizing the full potential of modern genomics approaches towards the design
71 of new interventions, clinical assessments and predictions will require better mechanistic understanding of the
72 links between genotypes and phenotypes.

73 The UL74 ORF codes for glycoprotein (g) O and is one of the aforementioned highly diverse loci of
74 HCMV (9–12). Most phylogenetic groupings indicate 8 genotypes or alleles of gO that differ in 10-30% of
75 amino acids, predominately near the N-terminus and in a short central region. These amino acid
76 polymorphisms also affect predicted N-linked glycan sites. The evolutionary origins of gO genotype diversity
77 are not understood. Studies that followed infected humans through latency-reactivation cycles over several
78 years demonstrated remarkable stability in UL74(gO) sequences, arguing against the idea of selective
79 pressure from a dynamically adapting host immune system as a driving force for gO diversity (11, 13). The
80 functional significance of gO diversity has only recently been addressed and centers around its role as a
81 subunit of the envelope glycoprotein complex gH/gL/gO, which is involved in the initiation of infection
82 into different cell types.

83 The general model for herpesvirus entry involves fusion between the virion envelope and cell
84 membranes mediated by the fusion protein gB and the regulatory protein gH/gL (14–16). The HCMV gH/gL
85 can be unbound, or bound by gO or the set of UL128-131 proteins (17–20). How these gH/gL complexes
86 participate to mediate infection is complicated and seems to depend on both the cell type and whether the
87 infection is by cell-free virus or direct cell-to-cell spread. Efficient infection of all cultured cell types by cell-free
88 HCMV is dependent on gH/gL/gO, whereas infection of select cell types including epithelial and endothelial

89 cells additionally requires gH/gL/UL128-131 (21–26). Experiments involving HCMV mutants lacking either gO
90 or UL128-131 suggested that cell-to-cell spread in fibroblast cultures can be mediated by either gH/gL/gO or
91 gH/gL/UL128-131, whereas in endothelial and epithelial cells gH/gL/UL128-131 is required, and it has
92 remained unclear whether gH/gL/gO plays any role (23, 25, 27, 28). While it is clear that gH/gL/gO can bind
93 to the cell surface protein PDGFR α via gO, and that gH/gL/UL128-131 can bind NRP2 and OR14I1 via UL128-
94 131, the specific function(s) of these receptor engagements is unclear, but may include virion attachment,
95 regulation of gB fusion activity, or activation of signal transduction pathways (29–31). In the case of
96 gH/gL/gO, binding to PDGFR α activates signaling pathways, but these are not required for entry (28, 30, 32).
97 Stegmann et al. showed that binding of a gO null HCMV to fibroblasts and endothelial cells was impaired, yet it
98 is unclear whether this was due to lack of PDGFR α engagement. (33). Finally, Wu et al. reported
99 coimmunoprecipitation of gB with gH/gL/gO and PDGFR α , consistent with a role for the gH/gL/gO-PDGFR α
100 interaction in promoting gB fusion activity (32). However, unbound gH/gL has been shown to mediate cell-cell
101 fusion and has also been found in stable complex with gB in extracts of infected cells and extracellular virions
102 (20, 34). Thus, although many of the key factors in HCMV entry and cell-to-cell spread have been identified,
103 their interplay in the various entry pathways is unclear. Moreover, the influence of gO diversity remains a
104 mystery.

105 The gH/gL complexes have been extensively studied as potential vaccine candidates and neutralizing
106 antibodies have been described that react with epitopes on gH/gL, on UL128-131 and on gO (35–43). Anti-
107 UL128-131 antibodies neutralize with high potency, but only on cell types for which gH/gL/UL128-131 is
108 required for entry; e.g., epithelial cells. In contrast, antibodies that react with epitopes on gH/gL tend to
109 neutralize virus on both fibroblasts and epithelial cells, but are far less potent on fibroblasts, where only
110 gH/gL/gO is needed for entry. One explanation for these observations is that gO, with its extensive N-linked
111 glycan decorations presents more steric hindrance to antibodies accessing the underlying gH/gL epitopes than
112 do the UL128-131 proteins. Similar effects of glycans in shielding neutralizing epitopes have been described
113 for HIV env, and for HCMV gN (44) (45). In support of this hypothesis for gO, Jiang et al. showed that focal
114 spread of a gO null HCMV in fibroblasts was more sensitive to anti-gH antibodies (46). Recently, Cui et al.
115 described antibodies that reacted to a linear epitope on gH that exhibited strain-selective neutralization that

could not be explained by polymorphisms within the gH epitope (47). One possible explanation was that gO polymorphisms between the strains imposed differential steric hindrances on these antibodies.

In this study we utilized a set of HCMV BAC-clones that represent the range of phenotypic diversity in terms of gH/gL complexes. HCMV TB40/e (TB), TR and Merlin (ME) differ dramatically in the amounts of gH/gL complexes in the virion envelope and their infectivity on fibroblasts and epithelial cells. Extracellular virions of TB and TR contain gH/gL predominately in the form of gH/gL/gO and are far more infectious on both fibroblasts and epithelial cells than ME, which contains overall lower amounts of gH/gL, predominately as gH/gL/UL128-131 (9, 26). Each of these strains encodes a different representative of the 8 gO genotypes. In a previous report, we demonstrated that variation in the UL74(gO) ORF was not responsible for the observed differences between TR and ME. (48). Rather, it was shown that the amounts of gH/gL/gO in ME and TR virions were influenced by different steady-state levels of gO present during progeny assembly. Kalsner et al. showed that replacing the gO of TB with that of Towne (TN) also did not affect the levels of gH/gL complexes but may have enhanced the ability of TB to spread in epithelial cell cultures (49). Here, we have generated a set of heterologous gO recombinants to include 6 of the 8 genotypes in the genetic backgrounds of the gH/gL/gO-rich strain TR and the gH/gL/UL128-131-rich ME to analyze how the differences in gO sequence influence HCMV biology. The results demonstrate that gO variation can have dramatic effects on cell-free entry, cell-to-cell spread and the neutralization by anti-gH antibodies. In some cases opposite influences were observed for a given gO genotype in the different backgrounds of TR and ME, indicating epistasis with other genetic differences between these strains.

RESULTS

Influences of gO polymorphisms on cell-free infectivity and tropism can be dependent on the background strain. To examine the effects of gO polymorphism, a set of recombinant viruses was constructed in which the endogenous UL74(gO) ORFs of strain TR and ME were replaced with the UL74(gO) ORFs from 5 other strains. BAC-cloned strains TR and ME were chosen as the backgrounds for these studies since they represent gH/gL/gO-rich and gH/gL/UL128-131-rich strains respectively (9, 26, 49). Additionally, ME is restricted to a cell-to-cell mode of spread in culture, whereas TR is capable of both cell-free and cell-to-cell modes of spread (23, 50, 51). The intended changes to UL74(gO) in each recombinant BAC were verified

144 by sequencing the UL74 ORF and the flanking regions used for BAC recombineering. However, it was
145 recently reported that HCMV BAC-clones can sustain various genetic deletions, and rearrangements, and
146 mutations during rescue in fibroblasts or epithelial cells, resulting in mixed genotype populations (52). To
147 ensure that phenotypes characterized were the associated with the intended changes to UL74(gO) and not to
148 other genetic changes sustained during BAC rescue in fibroblasts, all analyses were performed on at least
149 three independently BAC-rescued viral stocks.

150 As a basis for interpretation of the later biological comparisons among recombinants, the levels of
151 gH/gL complexes incorporated into the virion envelope were analyzed by immunoblot as previously described
152 (9, 26). As in the previous reports, TR contained predominantly gH/gL/gO, whereas ME contained mostly
153 gH/gL/UL128-131 (Fig 1, compare lane 1 in panels A and B). Propagation of ME under conditions of UL131
154 transcriptional repression (denoted “Merlin-T” (MT) as described (26, 51)), resulted in more gH/gL/gO and less
155 gH/gL/UL128-131 (Fig. 1C, lane 1). Some minor differences in the amounts of total gL, gH/gL/gO, and
156 gH/gL/UL128-131 were observed for some of the heterologous gO recombinants relative to their parental
157 strains. However, band density analyses showed that all apparent differences were less than 3-fold and few
158 reached statistical significance when compared across multiple experiments, likely reflecting the limitations of
159 immunoblot as a precise quantitative method, as well as stock-to-stock variability in glycoprotein composition
160 (Table 1). Thus, consistent with our previous report, differences between strains TR and ME in the abundance
161 of gH/gL complexes are predominately influenced by genetic background differences outside the UL74(gO)
162 ORF (48).

163 While gH/gL/gO is clearly important for entry into both fibroblasts and epithelial cells, the mechanisms
164 are likely different since 1) fibroblasts clearly express the gH/gL/gO receptor PDGFR α on their surface,
165 whereas ARPE19 epithelial cells express little or none of this protein (28, 30, 32, 53), and 2) entry into
166 epithelial cells requires gH/gL/UL128-131 in addition to gH/gL/gO (23, 24, 26). Thus, it was possible that gO
167 polymorphisms would differentially affect replication in these two cell types. To address this, fibroblast-to-
168 epithelial tropism ratios were determined for each parental strain and gO recombinant by inoculating cultures of
169 fibroblasts and epithelial cells in parallel with equivalent amounts of cell-free virus stocks. The number of
170 infected cells in each culture was then determined by flow cytometry using GFP expressed from the virus
171 genome. Figure 2 shows the results of these experiments as the fold preference for either cell type as a ratio,

172 where “1” indicates equal infection of both cell types. Stocks of the parental TR were approximately 20-fold
173 more infectious on fibroblasts than on epithelial cells (Fig 2A). Preference towards fibroblasts was greater for
174 TR-recombinants expressing MEGO(GT5), PHGO(GT2b), and TBGO(GT1c). In contrast, tropism ratios of TR-
175 recombinants expressing ADGO(GT1a) and TNGO(GT4) were closer to 1, indicating more equal infection of
176 both cell types. Parental ME and all of the ME-based gO recombinants had tropism ratios within the range of 6
177 in favor of fibroblasts to 3 in favor of epithelial cells. Several of these viruses had variability between replicate
178 stocks where some had slight fibroblasts preference and others slight epithelial preference (Fig 2B).
179 Propagation of the ME-based viruses as MT greatly increased the preference towards fibroblasts infection for
180 all recombinants to a range of 30-300 fold (Fig 2B). These results suggested that for the more gH/gL/gO-rich
181 TR and MT, gO polymorphisms may differentially influence the infection of fibroblasts and epithelial cells,
182 shifting the apparent relative tropism. However, such influences were less pronounced for ME, consistent with
183 the low abundance of gH/gL/gO expressed by this virus.

184 It was not clear if the observed differences in tropism ratios were due to enhanced infection of one cell
185 type, reduced infection of the other cell type or a mixture of both. To address this, specific infectivity (ratio of
186 the number of virions to the number of infectious units) was determined for each parental and recombinant on
187 both fibroblasts and epithelial cells. Multiple independent supernatant stocks of each recombinant were
188 analyzed by qPCR for encapsidated viral genomes and infectious titers on both cell types were determined by
189 flow cytometry quantification of GFP-positive cells (Fig 3). For the TR-based viruses on fibroblasts,
190 MEGO(GT5), TBGO(GT1c), and TNGO(GT4) each resulted in moderately enhanced infectivity (2 to 10-fold
191 fewer genomes/IU) compared to the parental TR, and PHGO(GT2a) enhanced infectivity 30-fold. In contrast,
192 ADGO(GT1a) dropped TR infectivity below the detection limit of the flow cytometry-based assay (Fig 3A, top
193 panel). In our previous report, expression of MEGO in the TR background did not appear to affect infectivity on
194 fibroblasts (48). This discrepancy was likely due to the more sensitive flow cytometry readout used in the
195 current studies as compared to the plaque assay readout used previously. The infectivity of parental TR on
196 epithelial cells was about 20-fold lower than on fibroblasts (i.e., 20-fold higher genomes/IU), but the relative
197 effect of each heterologous gO was similar to that observed on fibroblasts (Fig 3A, bottom panel). Thus, some
198 of the gO changes had dramatic effects on the infectivity of TR. Although these effects were manifest on both

199 cell types, they were more pronounced on fibroblasts and this explains the observed differences in fibroblast
200 preferences reported in Figure 2A.

201 The infectivity of cell-free ME virions on both cell types was below the detection limit of the flow
202 cytometry-based assay and none of the changes to gO rescued infectivity (Fig 3B). These results indicated
203 that the cell-free virions of all of the ME-based viruses were virtually non-infectious. When propagated as MT,
204 infectivity on both cell types was improved to levels comparable to TR and this was consistent with our
205 previous results (Fig 2C) (26, 48). The only significant effect of gO changes on MT was ADgO(GT1a), which
206 reduced infectivity on both cell types,. Thus, as in the TR background, some changes to gO influenced
207 infectivity of MT and this was disproportionally manifest on fibroblasts compared epithelial cells, but the overall
208 preference of all of the MT-based viruses was strongly in favor of fibroblasts. In contrast, gO changes had little
209 effect on the infectivity or tropism of ME-based viruses.

210 It has been reported that gO-null HCMV are impaired for attachment to cells and that soluble gH/gL/gO
211 can block HCMV attachment (33, 54). Thus, it was possible that the observed changes to cell-free infectivity
212 due to gO polymorphisms were related to a role for gO in attachment. To test this hypothesis, each
213 heterologous gO recombinant was compared to the corresponding parental strain by applying cell-free virus
214 stocks to fibroblast or epithelial cell cultures for approximately 20 min, washing away the unbound virus and
215 then counting the numbers of cell-associated virions by immunofluorescence staining of the capsid-associated
216 tegument protein pp150 (33) (Fig 4 and Tables 2 and 3). Given the short incubation time, high concentrations
217 of input viruses were used to, and these inputs were equal for each set of parental and heterologous gO
218 recombinants within the constraints of the stock concentrations. Higher inputs were required for ME to obtain
219 detectable numbers of bound virus, consistent with the low amounts of gH/gL/gO in these virions. The average
220 number of cell-associated virions per cell varied considerable between experiments, likely reflecting the
221 complex parameters expected to influence virus attachment including stock concentration, cell state and
222 variability in the incubation time between experiments. In some cases, a given recombinant was significantly
223 different from parental in only one or two of the three experiments. It was concluded that these specific gO
224 isoforms did not affect binding or attachment of HCMV to cells. However, binding of TR_TNgO(GT4) and
225 MT_ADgO(GT1a) were each significantly lower than their respective parental viruses in all three experiments
226 on both fibroblasts and epithelial cells. While it was possible that the reduced binding of MT_ADgO(GT1a) was

227 due in part to the slightly lower amounts of gH/gL/gO (Fig 1C and Table 1), the reduced binding of
228 TR_TNgO(GT4) could not be similarly explained since this virus had slightly more gH/gL/gO than the parental
229 TR (Fig. 1A, Table 1). Moreover, reduced binding may help explain the lower infectivity of MT_ADgO(GT1a)
230 (Fig 3C), but the poor infectivity of TR_ADgO(GT1a) could not be explained by poor binding, and the reduced
231 binding of TR_TNgO(GT4) did not result in reduction of infectivity (Fig 3A).

232 In sum, these analyses indicated that; 1) gO polymorphisms can influence the cell-free infectivity of
233 HCMV. In some cases this was independent of any effects on abundance of gH/gL/gO in the virion envelope
234 or binding to cells (e.g. parental TR and TR recombinants harboring MEGO(GT5), TBgO(GT1c), and
235 ADgO(GT1a), had dramatically different infectivity but comparable levels of gH/gL/gO and cell binding). 2) The
236 influence of some gO isoforms was dependent on the background strain (e.g., PHgO(GT2a) enhanced TR
237 infectivity but did not affect ME or MT and TNgO(GT4) reduced binding of TR but had no effect on binding of
238 ME or MT). 3) While some heterologous gO recombinants had quantitatively different effects on infectivity on
239 fibroblast compared to epithelial cells, these did not change the fundamental fibroblast preferences for either
240 TR or MT. 4) Some of the heterologous gOs did appear to change relative tropism of ME. However, the
241 relevance of tropism ratios for these viruses is questionable since the specific infectivity (genomes/IU)
242 analyses suggested that all ME-based recombinants were noninfectious on either cell type. This was
243 consistent with the highly cell-associated nature of ME (50, 51).

244 **Polymorphisms in gO can differentially influence the mechanisms of cell-free and cell-to-cell**
245 **spread.** The analyses described above focused on the cell-free infectivity of HCMV, as indicative of a cell-free
246 mode of spread. Cell-to-cell spread mechanisms are likely important for HCMV, and while gH/gL complexes
247 are clearly important for cell-to-cell spread, the mechanisms in these processes are poorly characterized in
248 comparison to cell-free infection. Strains TR and ME are well-suited to compare the effects of gO
249 polymorphisms on cell-free and cell-to-cell spread since ME is mostly restricted to cell-to-cell due to the poor
250 infectivity of cell-free virions but can be allowed to also spread cell-free by propagation as MT, whereas TR can
251 spread by both cell-free and cell-to-cell mechanisms (23, 26, 50, 51).

252 To compare spread among heterologous gO recombinants, replicate cultures were infected at low
253 multiplicity, and at 12 dpi, foci morphology was documented by fluorescence microscopy and the increased
254 number of infected cells was determined by flow cytometry. In fibroblasts cultures, parental TR and MT

255 showed more diffuse foci compared to the tight, localized focal pattern of parental ME, consistent with the
256 notion that TR and MT spread by both cell-free and cell-to-cell mechanisms whereas ME was restricted to cell-
257 to-cell spread (Fig 5A). Quantitatively, spread by parental TR increased the numbers of infected cells 55-fold
258 over 12 days, whereas spread of TR_MEgO(GT5) and TR_PHgO(GT2a) were significantly reduced (Fig 5B).
259 Spread of ME was slightly reduced by ADgO(GT1a), but was increased by TNgO(GT4) (Fig 5C). Surprisingly,
260 different effects on spread were observed for MT where TBgO(GT1c) and TNgO(GT4) reduced spread, and
261 ADgO(GT1a) increased spread.

262 A number of interesting incongruities were observed when comparing the cell-free infectivity of some
263 gO recombinants on fibroblasts to their respective spread characteristics in fibroblasts; 1) Spread of TR_PHgO
264 in fibroblasts was reduced compared to the parental TR (Fig 5B), but the cell-free infectivity of this recombinant
265 was actually better (Fig 3A). Similarly, spread of both MT_TBgO(GT1c) and MT_TNgO(GT4) were reduced in
266 fibroblasts (Fig 5D), but cell-free infectivity of both viruses was comparable to parental MT. 2) Conversely,
267 MT_ADgO(GT1a) spread better in fibroblasts (Fig 5D), but the cell-free infectivity was substantially worse (Fig
268 3C). Since the efficiency of cell-free spread should depend on both the specific infectivity and the quantities of
269 progeny virus released to the culture supernatants, it was possible that some of these incongruities reflected
270 offsetting differences in the quantity of cell-free virus released as compared to their infectivity. To test this,
271 progeny released from infected fibroblasts into culture supernatants were quantified by qPCR. There were no
272 significant differences in the quantity of progeny released per cell for any of the TR or ME-based recombinants
273 (Fig. 6A, and B). Likewise, all of MT-based recombinants released similar numbers of cell-free progeny except
274 for MT_ADgO(GT1a), which was reduced by approximately 4-fold (Fig. 6C). Thus, the discrepancies between
275 efficiency of spread and cell-free infectivity could not be explained by offsetting differences in the release of
276 cell-free progeny. Rather, these results suggested that gO polymorphisms can differentially influence the
277 mechanisms of cell-free and cell-to-cell spread in fibroblasts. The interpretation that gH/gL/gO can provide a
278 specific function for cell-to-cell spread was supported by the results that expression of ADgO(GT1a) and
279 TNgO(GT4), respectively reduced and increased spread of the strain ME, for which spread is almost
280 exclusively cell-to-cell (Fig 5C).

281 Spread was also analyzed in epithelial cell cultures. Here, foci of both TR and ME remained tightly
282 localized, suggesting predominantly cell-to-cell modes of spread for both strains in this cell type (Fig. 7A). The

283 number of TR-infected cells increased by only 5-6 fold over 12 days compared to approximately 25-fold for ME
284 (Fig 7B and C). The low efficiency of spread for TR in epithelial cells compared to ME was documented
285 previously and may relate to the low expression of gH/gL/UL128-131 by TR compared to ME (23, 26, 55).
286 Expression of TNgO(GT4) further reduced TR spread in epithelial cells (Fig 7B). In contrast, ME spread was
287 slightly reduced by TBgO(GT1c) and ADgO(GT1a), but nearly doubled by TNgO(GT4). The observed increase
288 in ME spread due to TNgO(GT4) was not attributed to increased release of progeny to the culture supernatants
289 in epithelial cells (Fig 8). Note that spread of MT could not be addressed in epithelial cells, since
290 gH/gL/UL128-131 is clearly required for spread in these cells and its repression would complicate analysis of
291 the contribution of gO polymorphisms (23). Nevertheless, it is clear from these experiments that gO
292 polymorphisms can affect spread in epithelial cells and that this can depend on the background strain.
293 Specifically, TNgO(GT4) reduced TR spread but increased ME spread. This suggested that although
294 gH/gL/UL128-131 is required for efficient cell-to-cell spread in epithelial cells, and may even be sufficient in the
295 case of gO-null HCMV (25, 27), gH/gL/gO may also contribute to the mechanism when present.

296 **Polymorphisms in gO can affect antibody neutralization on gH epitopes.** The extensive N-linked
297 glycosylation of gO raised the possibility that gO could present steric hindrance to the binding of antibodies to
298 epitopes on gH/gL, as was shown for HCMV gN and also HIV env (44, 45). A corollary hypothesis was that
299 such effects might vary with the polymorphisms among gO isoforms. To address this, neutralization
300 experiments were conducted using two monoclonal anti-gH antibodies; 14-4b, which recognizes a
301 discontinuous epitope likely located near the membrane proximal ectodomain of gH (35, 56) and AP86, which
302 binds to a continuous epitope near the N-terminus of gH (57). Note that these experiments could only be
303 performed with TR- and MT-based recombinants since the cell-free progeny of ME-based viruses were found
304 to be only marginally infectious (Fig 3B).

305 Parental TR and recombinants encoding MEGO(GT5), PHGO(GT2a) and TBGO(GT1c) were
306 completely neutralized on fibroblasts by mAb 14-4b, whereas TR_ADGO(GT1a) and TR_TNGO(GT4) were
307 significantly resistant (Fig 9A). There was more variability among TR-based recombinants with mAb AP86 (Fig
308 9B). Here, parental TR could only be neutralized to approximately 40% residual infection. TNgO(GT4)
309 rendered TR totally resistant to mAb AP86, and MEGO(GT5) also significantly protected TR. In contrast,
310 TR_TBGO(GT1c) and TR_ADGO(GT1a) were more sensitive to mAb AP86. On epithelial cells neutralization

311 by both antibodies was more potent and complete than on fibroblasts, and there was less variability among gO
312 recombinants (Fig 9C, and D). This was consistent with the interpretation that both 14-4b and AP86 could bind
313 their epitopes on gH/gL/UL128-131 and that this represented the majority of the observed neutralization on
314 epithelial cells. However, TR_TNgO(GT4) still displayed some reduced sensitivity to both antibodies,
315 suggesting that gH/gL/gO epitopes also contributed to neutralization on epithelial cells.

316 MT-based recombinants were generally more sensitive to neutralization by 14-4b than were TR-based
317 viruses (compare 14-4b concentrations in Fig 9A and 10A). Strikingly, whereas TNgO(GT4) conferred 14-4b
318 resistance to TR, it did not in MT, and instead ADgO(GT1a) provided resistance to 14-4b (Fig 10A). As was
319 observed for TR-based recombinants, 14-4b neutralization on epithelial cells was less affected by gO
320 polymorphisms (Fig 10B). Note that neutralization of MT-based recombinants by AP86 could not be tested
321 since MEgH harbors a polymorphism in the linear AP86 epitope that precludes reactivity (57). Together, these
322 results indicated that differences among gO genotypes can differentially affect antibody neutralization on gH
323 epitopes. Moreover, which gO genotype could protect against which antibody depended on the background
324 strain, suggesting the combined effects of gO polymorphisms and gH/gL polymorphisms.

325 DISCUSSION

326 Efficient cell-free infection of most, if not all cell types requires gH/gL/gO (22, 25, 26). However, the
327 details of the mechanisms, and the distinctions between the roles of gH/gL/gO in cell-free and cell-to-cell
328 spread remain to be clarified. While there are naturally occurring amino acid polymorphisms in each subunit of
329 gH/gL/gO, gO has the most dramatic variation, with 8 known genotypes (or alleles) that differ between 10-30%
330 of amino acids (9–12). All isoforms of gO are predicted to have extensive N-linked glycan modifications and
331 some of the amino acid differences alter the predicted sites. In a previous report, we sought to determine if gO
332 polymorphisms were a factor influencing the different levels of gH/gL/gO and gH/gL/UL128-131 in strains TR
333 and ME. On the contrary, results suggested that genetic differences outside the UL74(gO) ORF result in more
334 rapid degradation of gO in the ME-infected cells compared to TR, and this influences the pool of gO available
335 during progeny assembly (48). Kalsner et al. reported that gO polymorphisms could differentially affect multi-
336 step replication kinetics in fibroblasts and epithelial cells (49). However, only TB was analyzed as the
337 background and distinctions between effects on cell-free and cell-to-cell spread were unclear. In this report we
338 constructed a matched set of heterologous gO recombinants in the well-characterized, BAC-cloned strains TR

339 and ME. Studies included address aspects of cell-free and cell-to-cell spread, cell-type tropism and
340 neutralization by anti-gH antibodies. The results demonstrate that gO polymorphisms can influence each of
341 these parameters and the effects in some cases were dependent on the genetic background, suggesting a
342 number of possible epistatic phenomena at play.

343 A commonly used measure to assess the tropism of HCMV strains, isolates and recombinants is the
344 ratio of infection between fibroblasts and other cell types, including epithelial and endothelial cells (49, 55, 58,
345 59). Expressions of this ratio have varied, but have generally involved a normalization of the epithelial or
346 endothelial infection to that of fibroblasts. Here we similarly determined the infectious titer of each of the
347 parental strains and heterologous gO recombinants on both fibroblasts and epithelial cells and expressed
348 ratios ≥ 1 (either fibroblasts/epithelial or epithelial/fibroblasts) to indicate the fold cell type preference or tropism
349 of each virus (Fig 2). Both gH/gL/gO-rich viruses, TR and MT, were strongly fibroblast-tropic and some
350 heterologous gO isoforms enhanced this preference, while others reduced it. In contrast, the gH/gL/UL128-
351 131-rich virus ME infected both cell type more equally (ratios closer to 1), and gO polymorphisms had little
352 effect. The limitation of any such measure of relative tropism is that it does not determine whether the virus in
353 question can efficiently infect one cell type in particular, both or neither. Thus, any 2 viruses compared may
354 have the same fibroblast-to-epithelial cell infectivity ratio for completely different reasons. To address this we
355 also compared infectivity on both cell types using a common comparison for all viruses, i.e., the number of
356 virions in the stock as determined by qPCR for DNase-protected viral genomes in the cell-free virus stocks (Fig
357 3). This analysis provided a measure of specific infectivity as the number of genomes/IU, where the lower ratio
358 indicates more efficient infection. Whether higher genomes/IU values reflect the presence of greater numbers
359 of *bona fide* “defective” virions, or a lower probability or efficiency of each viable virion in the stock to
360 accomplish a detectable infection, and whether or how these two possibilities are different is difficult to know
361 for any type of virus. Nevertheless, these analyses provided important insights to the tropism ratios reported.
362 In general, the specific infectivity ratios of the gH/gL/gO-rich viruses TR and MT in these experiments were in
363 the range of 500-5000 genomes/IU on fibroblasts, but these viruses were approximately 20-100 fold less
364 infectious on epithelial cells, explaining the strong fibroblast preference exhibited by these strains. The effect
365 of most heterologous gO isoforms was similar on both cell types, but often of larger magnitude on fibroblasts.
366 Thus, while all of the TR and MT-based gO recombinants remained fibroblast tropic, the quantitatively different

367 effects on the two cell types influenced the magnitude of fibroblasts preference. Importantly, in no case did
368 the change of gO affect the fundamental fibroblast preference of either TR or MT. The infectivity of the
369 gH/gL/UL128-131-rich, ME-based viruses on both cell types was undetectable in these assays. Thus, the near
370 neutral fibroblast-to-epithelial tropism ratios of the ME-based viruses seem to reflect an equal inability to infect
371 either cell type and any assertion of a “preference” for either cell type for extracellular ME virions seems
372 spurious.

373 Binding to PDGFR α through gO is clearly critical for infection of fibroblasts (30). However, while
374 gH/gL/gO is also important for infection of epithelial cells, the literature is conflicted on the expression of
375 PDGFR α and its importance for HCMV infection in epithelial and endothelial cells (26, 28, 29, 32, 33). On
376 either cell type, possible mechanisms of gH/gL/gO include facilitating initial attachment to cells, promoting gB-
377 mediated membrane fusion, and signaling through PDGFR α or other receptors. While Wu et al. were able to
378 coimmunoprecipitate gB with gH/gL/gO and PDGFR α , Vanarsdall et al. showed that gH/gL without gO or
379 UL128-131 can directly interact with gB and promote gB-fusion activity (20, 32, 34). It has also been shown
380 that gH/gL/gO engagement of PDGFR α can elicit signaling cascades, but that this is not required for infection
381 (28, 30, 32). In contrast, there is evidence that gH/gL/gO can help facilitate initial virion attachment (33, 54).
382 In our studies, TNgO(GT4) reduced binding of TR to both fibroblasts and epithelial cells (Fig 4, Tables 2 and
383 3). However, the reduced binding of TR_TNgO(GT4) did not result in reduced infection of either cell type, and
384 there were other isoforms of gO that either resulted in increased or decreased infectivity but were not
385 associated with any detectable alteration in binding. Thus, while gH/gL/gO may contribute to initial binding, it is
386 likely involved in other important mechanisms that facilitate infection and these can be influenced by gO
387 polymorphisms. For example, it is possible that polymorphisms in gO can affect the nature and outcome of
388 PDGFR α engagement. In support of this hypothesis, Stegmann et al. showed that mutation of conserved
389 residues within the N-terminal variable domain of gO were critical for PDGFR α binding (60). Thus it is
390 conceivable that the variable residues of gO can alter the architecture of the interaction with PDGFR α .
391 Alternatively, it may be that there are other receptors on both cell types for gH/gL/gO and that gO
392 polymorphisms can affect those interactions. Also, the effects of several specific gO isoforms observed in the
393 TR-background were not observed in the ME or MT-backgrounds. Possible explanations for the apparent
394 epistasis include not only the differential contributions of polymorphisms in gH/gL, but also potential differences

395 between strains in other envelope glycoproteins, such as gB, or gM/gN may influence the relative importance
396 of gH/gL/gO for binding and infection.

397 The mechanistic distinctions between cell-free and cell-to-cell spread of HCMV are unclear. Spread of
398 ME in both fibroblast, epithelial and endothelial cells is almost exclusively cell-to-cell and this can be at least
399 partially explained by the non-infectious nature of cell-free ME virions (Fig 3) (27, 50, 51, 55). Laib Sampaio et
400 al. showed that inactivation of the UL74(gO)ORF in ME did not impair spread but that a dual inactivation of
401 both gO and UL128 completely abrogated spread (27). This indicates that gH/gL/UL128-131 is sufficient for
402 cell-to-cell spread in fibroblasts or endothelial cells in the absence of gH/gL/gO, and it seems likely that spread
403 in epithelial cells might be similar in this respect. Our finding that various heterologous gO isoforms can
404 enhance or reduce spread of ME without affecting the cell-free infectivity strongly suggest that while
405 gH/gL/UL128-131 may be sufficient for cell-to-cell spread, gH/gL/gO can modulate or mediate the process, if
406 present in sufficient amounts. In the context of MT, where expression of gH/gL/UL128-131 is reduced to sub-
407 detectable levels (26, 51) the virus gained cell-free spread capability, and yet some of the heterologous gO
408 isoforms had opposite effects on cell-free infectivity and spread (compare Fig 3C to 5D). Similar
409 discordances between cell-free infectivity and spread were observed for the naturally gH/gL/gO-rich strain
410 TR, albeit with different heterologous gO isoforms involved. That gO polymorphisms can have opposite effects
411 on cell-free and cell-to-cell spread supports a hypothesis of mechanistic differences in how gH/gL/gO mediates
412 the two processes, and again these effects seem dependent on epistatic influences of the different genetic
413 backgrounds.

414 Beyond the roles of gH/gL/gO in replication, the complex is likely a significant target of neutralizing
415 antibodies, and therefore a valid candidate for vaccine design. Several groups have reported neutralizing
416 antibodies that react with epitopes contained on the gH/gL base of both gH/gL/UL128-131 and gH/gL/gO and
417 others that react to gO (35–43). We found that changing the gO isoform can have dramatic effects on the
418 sensitivity to two anti-gH mAbs (Figs 9 and 10). In the TR background on fibroblasts, both ADgO(GT1a) and
419 TNgO(GT4) conferred significant resistance to neutralization by 14-4b, which likely reacts to a discontinuous
420 epitope near the membrane proximal ectodomain of gH (35, 56). TNgO(GT4) also conferred resistance to
421 AP86, which reacts to a linear epitope near the N-terminus of gH (57), whereas ADgO(GT1a) actually
422 increased sensitivity of TR to AP86. Neutralization by either antibody on epithelial cells was not significantly

423 affected, consistent with the notion that these antibodies can also neutralize by reacting to gH/gL/UL128-131.
424 Again, the strain background exerted considerable influence over the effects of gO polymorphisms. For MT, it
425 was ADgO(GT1a) that conferred resistance to 14-4b, and the other isoforms had little or no effect. The
426 observed effects on neutralization on gH epitopes likely involve differences in how gO variable regions or
427 associated glycans fold onto gH/gL to exert differential steric effects. Relatedly, the differential influence of gO
428 isoforms in the two genetic backgrounds suggests epistasis involving the additive effects of gO polymorphisms
429 with the more subtle gH polymorphisms, which together can differentially affect the global conformation of the
430 gH/gL/gO trimer.

431 Previous analyses have suggested two groups of gH sequences defined by polymorphisms at the N-
432 terminus, including the AP86 epitope (57, 61). Of the strains represented in this study, TB, TR and AD belong
433 to the gH1 genotype and are sensitive to AP86, whereas ME, TN and PH belong to gH2 genotype and are
434 resistant to AP86. The differential effects of gO recombinants reported here raise questions about the
435 combinations of gH and gO genotypes in HCMV circulating in human populations. The recently published
436 genome sequence datasets from clinical specimens have been collected with short-read sequencing
437 approaches, which allow sensitive detection of the various gH and gO genotypes within samples, but not the
438 combinations of the two ORFs on individual genomes (1, 3, 4, 6, 7). To address, this we analyzed 236
439 complete HCMV genome sequences of isolated strains and BAC clones in the NCBI database (Fig 11).
440 Approximately half the sequences were gH1 and the other half gH2. ADgO(GT1a) and TBgO(GT1c)
441 genotypes were exclusively linked to gH1, whereas MEGO(GT5) was exclusively linked to gH2. Other gO
442 genotypes were found mixed with both gH genotypes, but in most cases, disproportionally with one of the gH
443 genotypes. These analyses agreed with Rasmussen et al who suggested a strong linkage between gH1 and
444 gO1 genotypes (note that their study predated the GT1a, 1, b, and 1c subdivisions) (10). Thus, it appears that
445 gH and gO genotypes are non-randomly linked. This may be due in part to the adjacent position of UL74(gO)
446 and UL75(gH) on the HCMV genome and the sequence diversity, together limiting the frequency of
447 recombination, as suggested by the high linkage-disequilibrium of this region reported by Lassalle et al (3). In
448 addition, our results may suggest linkage pressures based on functional compatibility of gH and gO. However,
449 it was worth noting that among the more striking effects reported were the loss of cell-free infectivity and
450 differential sensitivity to neutralization by gH antibodies of TR_ADgO(GT1a). Together, with the fact that TR

451 and AD are of the same gH genotype, these results suggest epistatic interplay of genetic variation of other loci
452 with that of gH and gO.

453 In conclusion, we have shown that naturally occurring polymorphisms in the HCMV gO can have a
454 dramatic influence on significant aspects of HCMV biology including, cell-free and cell-to-cell spread, and
455 neutralization by anti-gH antibodies. These effects could not be explained by changes to the levels of gH/gL
456 complexes in the virion envelope, but rather point to changes in the mechanism(s) of gH/gL/gO in the
457 processes of cell-free and cell-to-cell spread. The associated epistasis with the global genetic background
458 highlights a particular challenge for intervention approaches since humans can be superinfected with several
459 combinations of HCMV genotypes and recombination may occur frequently (1–8). Moreover, these
460 observations could help explain the incomplete protection observed for the natural antibody response against
461 HCMV.

MATERIALS AND METHODS

Cell lines. Primary neonatal human dermal fibroblasts (nHDF; Thermo Fisher Scientific), MRC-5 fibroblasts (ATCC CCL-171; American Type Culture Collection), and HFFFtet cells (which express the tetracycline [Tet] repressor protein; provided by Richard Stanton) (51) were grown in Dulbecco's modified Eagle's medium (DMEM; Thermo Fisher Scientific) supplemented with 6% heat-inactivated fetal bovine serum (FBS; Rocky Mountain Biologicals, Inc., Missoula, MT, USA) and 6% bovine growth serum (BGS; Rocky Mountain Biologicals, Inc., Missoula, MT, USA) and with penicillin streptomycin, gentamycin and amphotericin B. Retinal pigment epithelial cells (ARPE19) (American Type Culture Collection, Manassas, VA, USA) were grown in a 1:1 mixture of DMEM and Ham's F-12 medium (DMEM:F-12)(Gibco) and supplemented with 10% FBS and with penicillin streptomycin, gentamycin and amphotericin B.

Human Cytomegalovirus (HCMV). All HCMV were derived from bacterial artificial chromosome (BAC) clones. The BAC clone of TR was provided by Jay Nelson (Oregon Health and Sciences University, Portland, OR, USA) (62). The BAC clone of Merlin (ME) (pAL1393), which carries tetracycline operator sequences in the transcriptional promoter of UL130 and UL131, was provided by Richard Stanton (51). All BAC clones were modified to express green fluorescent protein (GFP) by replacing the US11 ORF with the eGFP gene under the control of the murine CMV major immediate early promoter. The constitutive expression of eGFP allows the monitoring of HCMV infection early and was strain-independent. Infectious HCMV was recovered by electroporation of BAC DNA into MRC-5 fibroblasts, as described previously by Wille et al. (25) and then coculturing with nHDF or HFFFtet cells. Cell-free HCMV stocks were produced by infecting HFF or HFFFtet cells at 2 PFU per cell and harvesting culture supernatants at 8 to 10 days postinfection (when cells were still visually intact). Harvested culture supernatants were clarified by centrifugation at 1,000 X g for 15 min. Stock aliquots were stored at -80°C. Freeze-thaw cycles were avoided. Infectious unit (IU) were determined by infecting replicate cultures of nHDF or ARPE19 with serial 10-fold dilutions and using flow cytometry to count GFP positive cells at 48 hours post infection.

Heterologous UL74(gO) recombinant HCMV. A modified, three step BAC En Passant recombineering technique was performed (63, 64). In the first step, the endogenous UL74 ORF from the start codon to the stop codon of both TR and ME was replaced by a selectable marker. This necessary step was added to prevent formation of chimeric UL74 gene by internal recombination of the UL74 BAC sequence and

490 the incoming heterologous UL74 ORF. A purified PCR product containing the ampicillin resistance selectable
491 marker (AmpR) cassette from the pUC18 plasmid flanked by sequences homologous to 50 bp upstream and
492 downstream of the TR or ME UL74 ORF was electroporated into the bacteria, recombination was induced and
493 the recombinant-positive bacteria were selected on medium containing ampicillin (50 µg/ml) and
494 chloramphenicol (12.5 µg/ml). The primers used to produce the TR- and ME-specific AmpR PCR bands are
495 For74TRamp, 5'-
496 CATGGGAGCTTTTTGTATCGTATTACGACATTGCTGTTTCCAGAACTTTAcgcggaaccctattgtttattttctaaatac,
497 For74MEamp, 5'-
498 GATGGGAGCTTTTTGTATCGTATTACGACATTGCTGCTTCCAGAACTTTAcgcggaaccctattgtttattttctaaatac,
499 and Rev74amp (used for both TR and ME PCR reactions), 5'-
500 CCAAACCACAAGGCAGACGGACGGTGCGGGGTCTCCTCCTCTGTCATGGGGttaccaatgcttaatcagtgaggcacc
501 . The lower case nucleotides correspond to the AmpR gene from the pUC18 plasmid, the upper case
502 nucleotides to the TR and ME BAC sequences immediately upstream and downstream of the UL74 ORF.

503 In the second step, the AmpR cassette in the TR and ME first-step intermediate BACs was replaced
504 with the UL74(gO) sequence from the heterologous strain containing the En Passant cassette (63, 64).
505 Briefly, *E. coli* cultures were prepared for recombination as described above for step 1 and electroporated with
506 purified PCR products containing the UL74 ORF from the TR or ME strain flanked by sequence homologous to
507 50 bp upstream and downstream of the opposite strain. The UL74 ORF also contained an inserted En Passant
508 cassette (an I-SceI site followed by a kanamycin resistance gene surrounded by a 50-bp duplication of the
509 UL74 nucleotides of the insertion site). Transformed *E. coli* cells were induced for recombination and then
510 selected for the swap of the UL74 En Passant sequence into the BAC by growth on medium containing
511 kanamycin (50 µg/ml) and chloramphenicol (12.5 µg/ml). A PCR reaction analysis with primers located
512 upstream and downstream of UL74 was used to confirm the swap of the AmpR cassette by the En Passant
513 cassette/UL74 gene.

514 In the third step, several sequencing validated colonies of the second step were subjected to the last
515 step of the En Passant recombineering, that is, an induction of both the I-SceI endonuclease and the
516 recombinase (63, 64). The activity of these enzymes lead to an intramolecular recombination in the UL74
517 sequence around the En Passant cassette and thus the restoration of an uninterrupted, full length UL74 ORF.

518 The final heterologous UL74(gO) recombinants were verified by Sanger sequencing of PCR products using
519 primers located upstream and downstream of the UL74 gene.

520 **Antibodies.** Monoclonal antibodies (MAbs) specific to HCMV major capsid protein (MCP), pp150, and
521 gH (14-4b and AP86) were provided by Bill Britt (University of Alabama, Birmingham, AL) (35, 57, 65, 66). 14-
522 4b and AP86 were purified by FPLC and quantified by the University of Montana Integrated Structural Biology
523 Core Facility. Rabbit polyclonal sera against HCMV gL was described previously (9, 26).

524 **Immunoblotting.** HCMV cell-free virions were solubilized in 2% SDS–20 mM Tris-buffered saline
525 (TBS) (pH 6.8). Insoluble material was cleared by centrifugation at 16,000 X g for 15min, and extracts were
526 then boiled for 10 min. For reducing blots, dithiothreitol (DTT) was added to extracts to a final concentration of
527 25 mM. After separation by SDS-PAGE, proteins were transferred onto polyvinylidene difluoride (PVDF)
528 membranes (Whatman) in a buffer containing 10 mM NaHCO₃ and 3mM Na₂CO₃ (pH 9.9) plus 10% methanol.
529 Transferred proteins were probed with MAbs or rabbit polyclonal antibodies, anti-rabbit or anti-mouse
530 secondary antibodies conjugated with horseradish peroxidase (Sigma-Aldrich), and Pierce ECL-Western
531 blotting substrate (Thermo Fisher Scientific). Chemiluminescence was detected using a Bio-Rad ChemiDoc
532 MP imaging system. Band densities were quantified using BioRad Image Lab v 5.1.

533 **Quantitative PCR.** Viral genomes were determined as described previously (26). Briefly, cell-free
534 HCMV stocks were treated with DNase I before extraction of viral genomic DNA (PureLink viral RNA/DNA
535 minikit; Life Technologies/Thermo Fisher Scientific). Primers specific for sequences within UL83 were used
536 with the MyiQ real-time PCR detection system (Bio-Rad).

537 **Flow cytometry.** Recombinant GFP-expressing HCMV-infected cells were washed twice with PBS
538 and lifted with trypsin. Trypsin was quenched with DMEM containing 10% FBS and cells were collected at 500
539 g for 5 min at RT. Cells were fixed in PBS containing 2% paraformaldehyde for 10 min at RT, then washed
540 and resuspended in PBS. Samples were analyzed using an AttuneNxT flow cytometer. Cells were identified
541 using FSC-A and SSC-A, and single cells were gated using FSC-W and FSC-H. BL-1 laser (488nm) was used
542 to identify GFP+ cells, and only cells with median GFP intensities 10-fold above background were considered
543 positive.

544 **Virus particle binding.** nHDF or ARPE19 cells were seeded at density of 35,000 cells per cm² on
545 chamber slides (Nunc Lab Tek II). 2 days later, virus stocks were diluted with media to equal numbers of virus

546 particles based on genome quantification by qPCR. Binding of virus particles to the cells was allowed for 20min
547 at 37°C. Then the inoculum was removed, and the cells were washed once with medium to remove unbound
548 virus before fixation and permeabilization with 80% acetone for 5min. Bound virus particles were stained with
549 an antibody against the capsid-associated tegument protein pp150 (65) which allowed to detect enveloped
550 particles attached to the plasma membrane as well as internalized particles. For visualization, a goat anti-
551 mouse Alexa Fluor 488 (Invitrogen) secondary antibody was used. Unbound secondary antibody was washed
552 off before the chambers were removed and the cells were mounted with medium containing DAPI
553 (Fluoroshield) and sealed with a cover slide for later immunofluorescence analysis. Images were taken with a
554 Leica DM5500 at 630-fold magnification. For each sample 10 images with 4 to 6 cells per image were taken
555 and the number of cell nuclei as well as the number of virus particles was determined using Image J Fiji
556 software (v 1.0). Three independent virus stocks were tested in 3 independent experiments.

557 **Antibody neutralization assays.** Equal numbers of nHDF-derived cell-free parental viruses and
558 heterologous gO recombinants were incubated with multiple concentrations of anti-gH mAb 14-4b or AP86 for
559 1hr at RT then plated on nHDF or ARPE19 for 4hrs at 37°C. Cells were then cultured in the appropriate growth
560 medium supplemented with 2% FBS. After 2 days, cells were detected from the dish and fixed for flow
561 cytometry analyses. Each antibody concentration was performed in triplicate and 3 independent experiments
562 were conducted.

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772 **FIGURE LEGENDS**

773 **Figure 1. Immunoblot analysis of gH/gL complexes in parental and heterologous gO recombinant**
774 **HCMV.** Equal number of cell-free virions (as determined by qPCR) of HCMV TR (A), ME (B), or MT (C) or the
775 corresponding heterologous gO recombinants were separated by reducing (upper two panels) or non-reducing
776 (bottom panel) SDS-PAGE, and analyzed by immunoblot with antibodies specific for major capsid protein
777 (MCP) or gL. Blots shown are representative of three independent experiments. Molecular mass markers
778 (kDa) indicated on each panel.

780 **Figure 2. Relative fibroblast and epithelial cell tropism of parental and heterologous gO recombinant**
781 **HCMV.** Cell-free stocks of HCMV TR (A), ME (B), or MT (C) or the corresponding heterologous gO
782 recombinants were serially diluted, and side-by-side cultures of nHDF fibroblasts and ARPE19 epithelial cells
783 were inoculated with equal volumes of the dilutions. The number of infected cells was determined by flow
784 cytometry for GFP at 2 days post infection. Ratios greater than or equal to 1 of the number of each cell type
785 infected (fib/epi or epi/fib) are plotted for each of three independent sets of virus stocks (black, open and
786 striped bars).

788 **Figure 3. Specific infectivity of parental and heterologous gO recombinant HCMV.** Extracellular HCMV
789 stocks of HCMV TR (A), ME (B), or MT (C) or the corresponding heterologous gO recombinants were
790 quantified by qPCR for viral genomes, and infectious units (IU) were determined by flow cytometry
791 quantification of GFP-expressing nHDF fibroblasts or ARPE-19 epithelial cells, 2 days post infection. Average
792 genomes/IU of 3 independent set of virus stock are plotted, with error bars representing standard deviations.
793 Undetectable levels of infectivity indicated by ND (not determined). Asterisks (*) denote p-values ≤ 0.05 ; one-
794 way ANOVA with Dunnett's multiple comparisons test comparing each recombinant to the parental in three
795 independent experiments.

797 **Figure 4. Binding of parental and heterologous gO recombinant HCMV to fibroblasts.** Extracellular
798 HCMV TR, ME, MT or the corresponding heterologous gO recombinants were applied to nHDF for 20 min.
799 Multiplicities (genomes/cell) were: TR-background viruses (1×10^4), ME-background viruses (5×10^4), MT-

background viruses (1×10^4). After washing away unbound virus, cultures were fixed and permeabilized with acetone and cell-associated virus particles were detected by immunofluorescence using antibodies specific for the capsid-associated tegument protein, pp150. Cells were visualized by staining nuclei with DAPI. (A) Representative fields of parental TR, ME, MT and heterologous gO recombinants that consistently reduced binding in 3 independent experiments (Table 2). (B) Mean particles per cell for representative experiments. Error bars represent the standard deviation. Asterisks (*) denote p-values ≤ 0.05 ; one-way ANOVA with Dunnett's multiple comparisons test comparing each recombinant to the parental.

Figure 5. Spread of parental and heterologous gO recombinant HCMV in fibroblast cultures. Confluent monolayers of nHDF or HFFFTet (for "MT") were infected with 0.003/cell of HCMV TR (A, B), ME (A, C), MT (A, D) or the corresponding heterologous gO recombinants. At 3 and 12 days post infection cultures were analyzed by fluorescence microscopy (A) or by flow cytometry to quantitate the total number of infected (GFP+) cells (B-D). Plotted are the average number of infected cells at day 12 per infected cell at day 3 in 3 independent experiments. Error bars represent standard deviations. Asterisks (*) denote p-values ≤ 0.05 ; one-way ANOVA with Dunnett's multiple comparisons test comparing each recombinant to the parental.

Figure 6. Release of extracellular progeny by parental and heterologous gO recombinant HCMV in fibroblast cultures. Cultures of nHDF or HFFFTet (for "MT") were infected with 1 IU/cell of HCMV TR (A), ME (B), MT (C) or the corresponding heterologous gO recombinants for 8 days. The number of infected cells was determined by flow cytometry and progeny virus in culture supernatants was quantified by qPCR for viral genomes. The average number of extracellular virions per mL in each of 3 independent experiments is plotted. Error bars represent standard deviations. Asterisks (*) denote p-values ≤ 0.05 ; one-way ANOVA with Dunnett's multiple comparisons test comparing each recombinant to the parental.

Figure 7. Spread of parental and heterologous gO recombinant HCMV in epithelial cell cultures. Confluent monolayers of ARPE19 cells were infected with 0.003 IU/cell of HCMV TR (A, B), ME (A, C), or the corresponding heterologous gO recombinants. At 3 and 12 days post infection cultures were analyzed by fluorescence microscopy (A) or by flow cytometry to quantitate the total number of infected (GFP+) cells (B-D).

328 Plotted are the average number of infected cells at day 12 per infected cell at day 3 in 3 independent
329 experiments. Error bars represent standard deviations Asterisks (*) denote p-values ≤ 0.05 ; one-way ANOVA
330 with Dunnett's multiple comparisons test comparing each recombinant to the parental.

331 .
332 **Figure 8. Release of extracellular progeny by parental and heterologous gO recombinant HCMV ME in**

333 **epithelial cell cultures.** Cultures of ARPE19 epithelial cells were infected with HFFF-tet-derived MT or
334 corresponding heterologous gO recombinants at the highest multiplicities possible given the specific infectivity
335 of stocks reported in Fig 3 (approximately 0.0005 IU/cell). (Note: since APRE19 cells do not express TetR,
336 after the initial infection, MT replicates as ME). Cultures were then propagated by trypsinization and reseeded
337 of intact cells until the number of infected cells approached 90-100% by microscopy inspection for GFP+ cells.
338 After 8 more days, culture supernatants were then analyzed by quantified by qPCR for viral genomes. The
339 average number of extracellular virions per mL in each of 3 independent experiments is plotted. Error bars
340 represent standard deviations. Asterisks (*) denote p-values ≤ 0.05 ; one-way ANOVA with Dunnett's multiple
341 comparisons test comparing each recombinant to the parental.

342
343 **Figure 9. Neutralization of parental HCMV TR and heterologous gO recombinant by anti-gH antibodies.**

344 Genome equivalents of extracellular HCMV TR or the corresponding heterologous gO recombinants were
345 incubated with 0.025-250 $\mu\text{g/mL}$ of anti-gH mAb 14-4b, or 0.01-100 $\mu\text{g/mL}$ of anti-gH mAb AP86 and then
346 plated on cultures of nHDF fibroblasts (A and B) or ARPE19 epithelial cells (C and D). At 2 days post infection
347 the number of infected (GFP+) cells was determined by flow cytometry and plotted as the percent of the no
348 antibody control. (Left panels) Full titration curves shown are representative of three independent experiments,
349 each performed in triplicate. (Right panels) Average percent of cells infected at the highest antibody
350 concentrations in 3 independent experiments. Error bars represent standard deviations. Asterisks (*) denote p-
351 values ≤ 0.05 ; one-way ANOVA with Dunnett's multiple comparisons test comparing each recombinant to the
352 parental.

353
354 **Figure 10. Neutralization of parental HCMV MT and heterologous gO recombinant by anti-gH**

355 **antibodies.** Genome equivalents of extracellular extracellular HCMV MT or the corresponding heterologous

356 gO recombinants were incubated with 0.025-250 $\mu\text{g}/\text{mL}$ of anti-gH mAb 14-4b and then plated on cultures of
357 nHDF fibroblasts (A) or ARPE19 epithelial cells (B). At 2 days post infection the number of infected (GFP+)
358 cells was determined by flow cytometry and plotted as the percent of the no antibody control. (Left panels) Full
359 titration curves shown are representative of three independent experiments, each performed in triplicate.
360 (Right panels) Average percent of cells infected at the highest antibody concentrations in 3 independent
361 experiments. Error bars represent standard deviations. Asterisks (*) denote p-values ≤ 0.05 ; one-way ANOVA
362 with Dunnett's multiple comparisons test comparing each recombinant to the parental.
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364 **Figure 11. Association of gH and gO genotypes in 236 complete HCMV genome sequences in the NCBI**
365 **database.** Complete HCMV genome sequences were retrieved from the NCBI nucleotide database using the
366 keywords filter <human herpesvirus type 5 complete genome>. The resulting set of 350 sequences was
367 curated to remove duplicates or genomes missing any of the UL74(gO) and UL75(gH) open reading frames,
368 generating a working set of 236 complete HCMV genomes, which were analyzed using MAFFT FFT-NS-i
369 (v7.429) phylogeny software. UL74(gO) and UL75(gH) sequences were assigned to their respective genotype
370 groups as defined previously; UL75(gH) genotypes 1 and 2 (57, 61); UL74(gO) genotypes 1a, 1b, 1c, 2a, 2b,
371 3, 4 and 5 (10, 12). Shown is a phylogenetic tree of the 8 gO genotypes with the frequency of pairing with
372 either gH1 or gH2 . Asterisks (*) indicate gO genotypes that were not analyzed in the experiments described
373 herein.

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Table 1. Immunoblot band density analyses of parental and heterologous gO recombinants

Genotype Background		Virion Protein(s) Analyzed							
TR		MCP		gL		gH/gL/gO		gH/gL/UL128	
<u>gO genotype</u>		<u>Fold^b</u>	<u>ANOVA^c</u>	<u>Fold</u>	<u>p-value</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Fold</u>	<u>ANOVA</u>
TR(GT1b)		-	-	-	-	-	-	-	-
MEgO(GT5)		1.1	ns	0.6	ns	1.4	ns	2.0	ns
PHgO(GT2a)		1.1	ns	0.9	ns	1.8	ns	2.3	*
TBgO (GT1c)		1.2	ns	0.8	ns	0.9	ns	0.9	ns
ADgO (GT1a)		1.1	ns	0.9	ns	0.9	ns	1.0	ns
TNgO (GT4)		1.1	ns	2.0	ns	2.7	ns	2.1	ns
ME		MCP		gL		gH/gL/gO		gH/gL/UL128	
<u>gO genotype</u>		<u>Fold</u>	<u>ANOVA</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Fold</u>	<u>ANOVA</u>
MEgO(GT5)		-	-	-	-	-	-	-	-
TR(GT1b)		0.9	ns	0.8	ns	0.9	ns	1.1	ns
PHgO(GT2a)		1.1	ns	1.1	ns	1.4	ns	1.4	ns
TBgO (GT1c)		1.3	ns	1.2	ns	1.0	ns	1.4	ns
ADgO (GT1a)		1.0	ns	0.7	ns	0.9	ns	1.1	ns
TNgO (GT4)		1.1	ns	0.8	ns	0.9	ns	1.4	ns
MT		MCP		gL		gH/gL/gO		gH/gL/UL128	
<u>gO genotype</u>		<u>Fold</u>	<u>ANOVA</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Fold</u>	<u>ANOVA</u>
MEgO(GT5)		-	-	-	-	-	-	-	-
TR(GT1b)		1.1	ns	1.2	ns	0.7	ns	0.9	ns
PHgO(GT2a)		1.1	ns	1.6	ns	1.4	ns	1.1	ns
TBgO (GT1c)		1.1	ns	1.3	ns	1.1	ns	1.6	ns
ADgO (GT1a)		0.8	ns	0.5	ns	0.6	ns	1.7	ns
TNgO (GT4)		0.9	ns	0.7	ns	1.4	ns	1.8	ns

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a. Three independent stocks of cell-free virions collected from infected nHDF (for TR and ME) or HFFF-tet (for MT) culture supernatants and analyzed by immunoblot as described for Figure 1.

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b. Mean fold difference of chemiluminescent band densities obtained for each recombinant compared to the parental TR in three independent experiments.

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c. One-way ANOVA with Dunnett's multiple comparisons test comparing each recombinant to the parental in three independent experiments. (*) $p \leq 0.05$, (ns) not significant.

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Table 2. Binding of parental and heterologous gO recombinant HCMV to fibroblasts.

Genotype Background	Experiment 1 (input ^a)			Experiment 2 (input)			Experiment 3 (input)		
TR	(6.2 x 10 ⁷)			(7.5 x 10 ⁷)			(1.0 x 10 ⁸)		
<u>gO genotype</u>	<u>Mean^b</u>	<u>Fold^c</u>	<u>ANOVA^d</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>
TR(GT1b)	17.8	-	-	31.2	-	-	30.4	-	-
MEgO(GT5)	21.2	-	ns	44.7	1.4	*	37.9	-	ns
PHgO(GT2a)	24.3	-	ns	12.7	0.41	*	35.3	-	ns
TBgO (GT1c)	18.8	-	ns	30.5	-	ns	33.7	-	ns
ADgO (GT1a)	25.7	-	ns	24.7	-	ns	23.3	-	ns
TNgO (GT4)^e	4.9	0.27	*	6.9	0.22	*	7.3	0.24	*
ME	(2.0 x 10 ⁸)			(5.0 x 10 ⁸)			(5.0 x 10 ⁸)		
<u>gO genotype</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>
MEgO(GT5)	21.6	-	-	5.8	-	-	7	-	-
TR(GT1b)	5.3	0.25	*	7.1	-	ns	3.9	0.56	*
PHgO(GT2a)	8.0	0.37	*	7.5	-	ns	2.3	0.33	*
TBgO (GT1c)	15.9	0.74	*	9.0	-	ns	7	-	ns
ADgO (GT1a)	2.4	0.11	*	2.4	-	ns	3.7	0.53	*
TNgO (GT4)	5.8	0.27	*	8.5	-	ns	7.4	-	ns
MT	(1.0 x 10 ⁸)			(2.0 x 10 ⁸)			(5.0 x 10 ⁸)		
<u>gO genotype</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>
MEgO(GT5)	27.5	-	-	63.9	-	-	120.9	-	-
TR(GT1b)	28.5	-	ns	40.2	0.63	*	159.4	-	ns
PHgO(GT2a)	33.4	-	ns	50.4	-	ns	222	1.84	*
TBgO (GT1c)	44.6	1.6	*	66.2	-	ns	220.8	1.83	*
ADgO (GT1a)	8.5	0.31	*	13.4	0.21	*	23.6	0.2	*
TNgO (GT4)	32.5	-	ns	61.8	-	ns	133.2	-	ns

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a. Concentration of cell-free virus stock (genomes/mL) applied to cells.

b. Average pp150 puncta detected by immunofluorescence per cell in 10 microscopy fields; approximately 4 to 6 cells per field.

c. Fold difference in mean pp150 puncta per cell as compared to parental virus. Determined for recombinant viruses that were significantly different ($p \leq 0.05$) from parental within an experiment. (-) indicates value not calculated.

d. One-way ANOVA with Dunnett's multiple comparisons test comparing each recombinant to the parental. (*); $p \leq 0.05$, (ns); not significant.

e. Bold font indicates recombinant viruses that were significantly different from the parental in the same direction (> or <) in all 3 experiments.

Table 3. Binding of parental and heterologous gO recombinant HCMV to epithelial cells.

Genotype Background	Experiment 1 (input ^a)			Experiment 2 (input)			Experiment 3 (input)		
TR	(6.2 x 10 ⁷)			(7.5 x 10 ⁷)			(1.0 x 10 ⁸)		
<u>gO genotype</u>	<u>Mean^b</u>	<u>Fold^c</u>	<u>ANOVA^d</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>
TR(GT1b)	26.2	-	-	41.7	-	-	43.7	-	-
MEgO(GT5)	35.5	1.35	*	38.3	-	ns	56.8	-	ns
PHgO(GT2a)	33.4	-	ns	19.3	0.46	*	61	1.4	*
TBgO (GT1c)	24.1	-	ns	35.4	-	ns	58.7	1.34	*
ADgO (GT1a)	36.4	1.39	*	22.2	0.53	*	36	-	ns
TNgO (GT4)^e	16.2	0.62	*	18.62	0.45	*	23.4	0.54	*
ME	(2.0 x 10 ⁸)			(5.0 x 10 ⁸)			(5.0 x 10 ⁸)		
<u>gO genotype</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>
MEgO(GT5)	37.3	-	-	18	-	-	15	-	-
TR(GT1b)	17.7	0.47	*	24.9	-	ns	10.4	0.69	*
PHgO(GT2a)	22.3	0.6	*	23	-	ns	9.4	0.62	*
TBgO (GT1c)	34.1	-	ns	32.3	1.79	*	18.6	-	ns
ADgO (GT1a)	14.4	0.39	*	11.4	-	ns	10.8	0.72	*
TNgO (GT4)	24.4	0.65	*	25.9	1.44	*	14.3	-	ns
MT	(1.0 x 10 ⁸)			(2.0 x 10 ⁸)			(5.0 x 10 ⁸)		
<u>gO genotype</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>	<u>Mean</u>	<u>Fold</u>	<u>ANOVA</u>
MEgO(GT5)	33.2	-	-	68	-	-	236.8	-	-
TR(GT1b)	35.3	-	ns	46.1	0.68	*	210.1	-	ns
PHgO(GT2a)	46.5	-	ns	78	-	ns	383.2	1.62	*
TBgO (GT1c)	63.4	1.91	*	69.6	-	ns	238.3	-	ns
ADgO (GT1a)	16.7	0.5	*	26.1	0.38	*	26.6	0.11	*
TNgO (GT4)	44.1	-	ns	48.1	0.71	*	150.9	0.64	*

a. Concentration of cell-free virus stock (genomes/mL) applied to cells.

b. Average pp150 puncta detected by immunofluorescence per cell in 10 microscopy fields; approximately 4 to 6 cells per field.

c. Fold difference in mean pp150 puncta per cell as compared to parental virus. Determined for recombinant viruses that were significantly different ($p \leq 0.05$) from parental within an experiment. (-) indicates value not calculated.

d. One-way ANOVA with Dunnett's multiple comparisons test comparing each recombinant to the parental. (*) $p \leq 0.05$, (ns) not significant

e. Bold font indicates recombinant viruses that were significantly different from the parental in the same direction (> or <) in all 3 experiments.

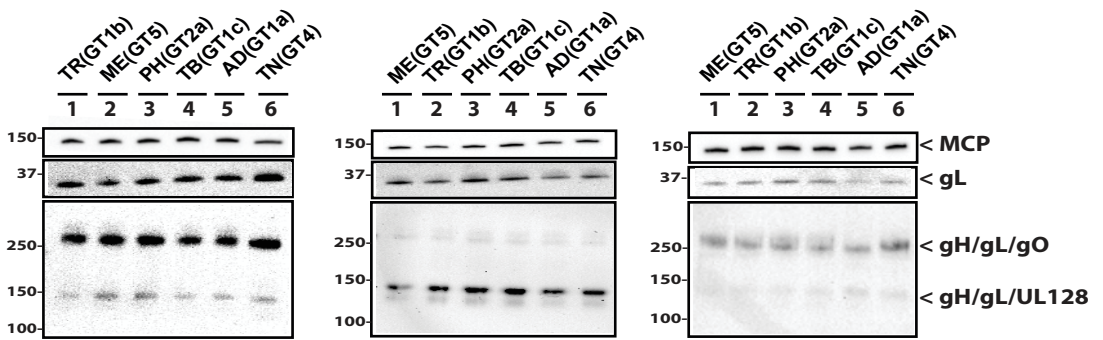
Background genotype:

A. TR

B. ME

C. MT

gO genotype:



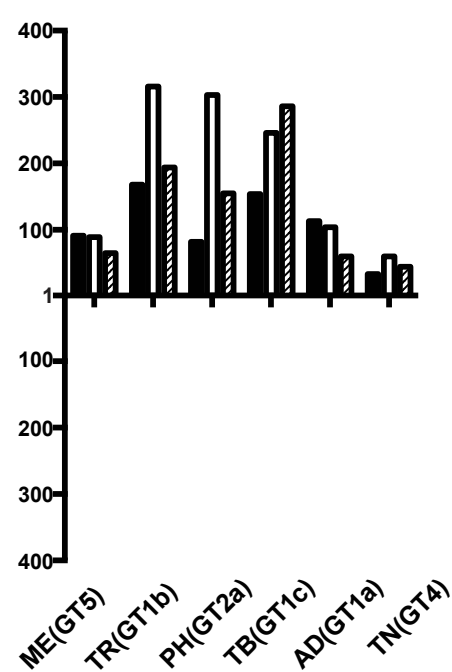
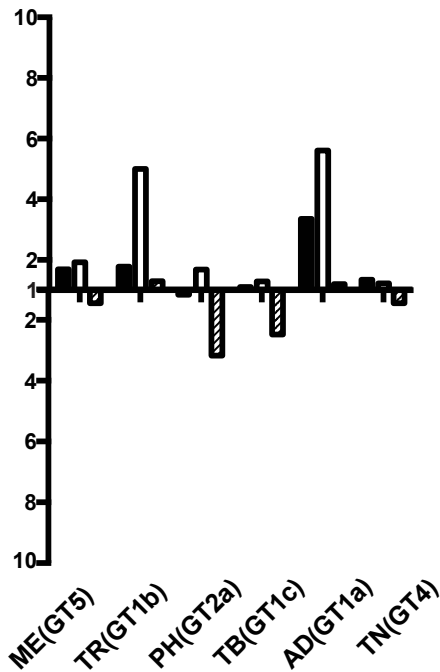
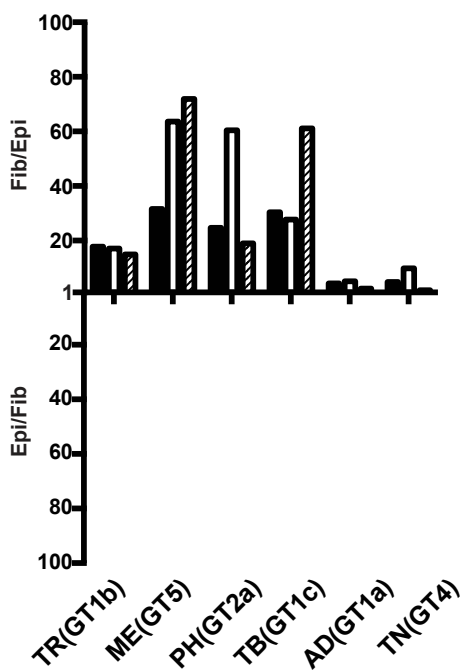
Background genotype:

A.TR

B.ME

C.MT

Fold Tropic



gO genotype:

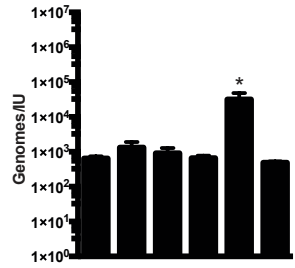
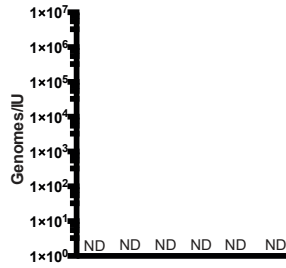
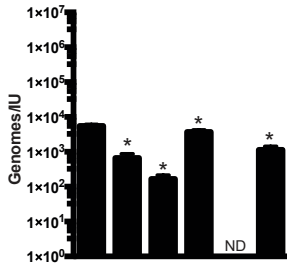
Background genotype:

A.TR

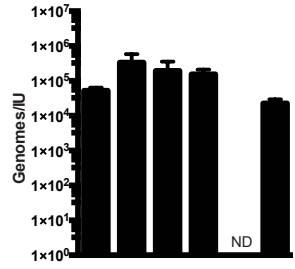
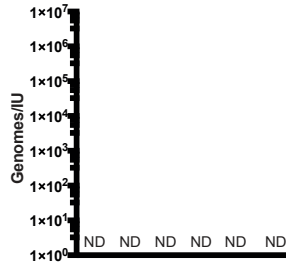
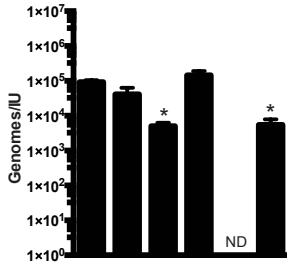
B.ME

C.MT

nHDF



ARPE19



go genotype:

TR(GT1b)
ME(GT5)
PH(GT2a)
TB(GT1c)
AD(GT1a)
TN(GT4)

ME(GT5)
TR(GT1b)
PH(GT2a)
TB(GT1c)
AD(GT1a)
TN(GT4)

ME(GT5)
TR(GT1b)
PH(GT2a)
TB(GT1c)
AD(GT1a)
TN(GT4)

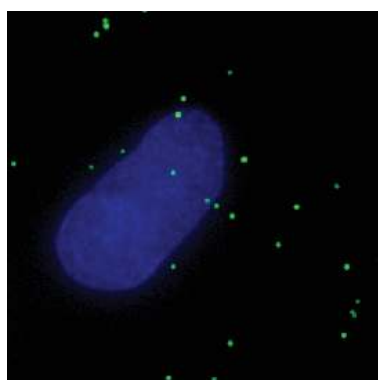
A.

Background genotype:

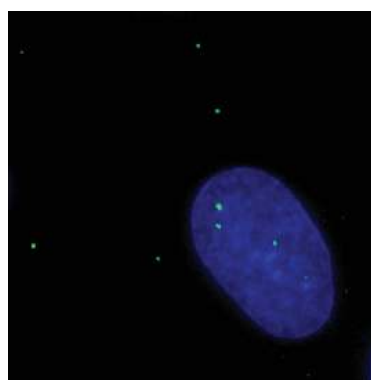
TR

ME

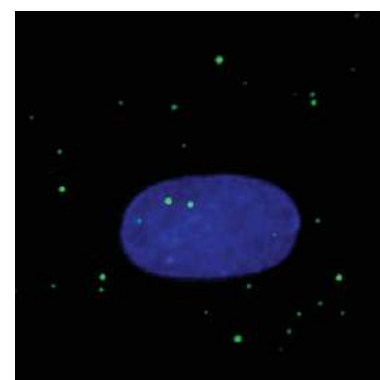
MT



TR(GT1b)

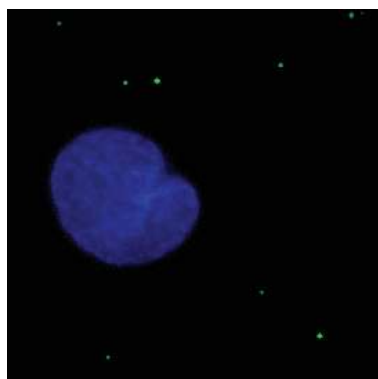


ME(GT5)

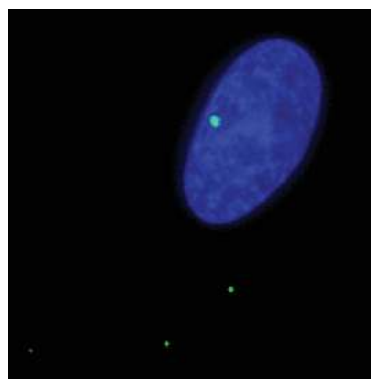


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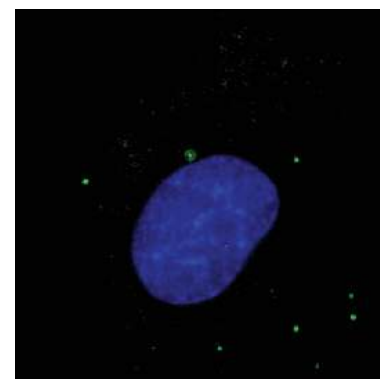
gO genotype:



TNgO(GT4)



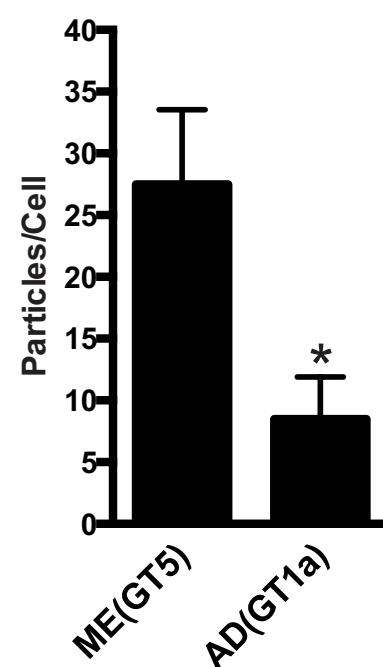
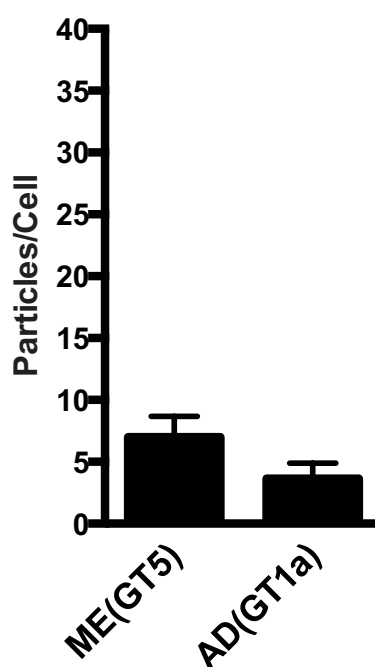
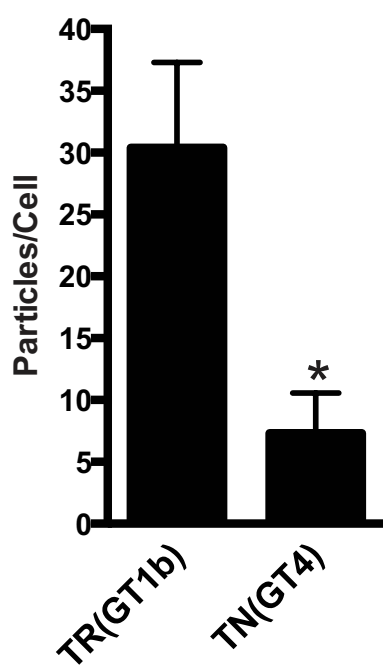
ADgO(GT1a)

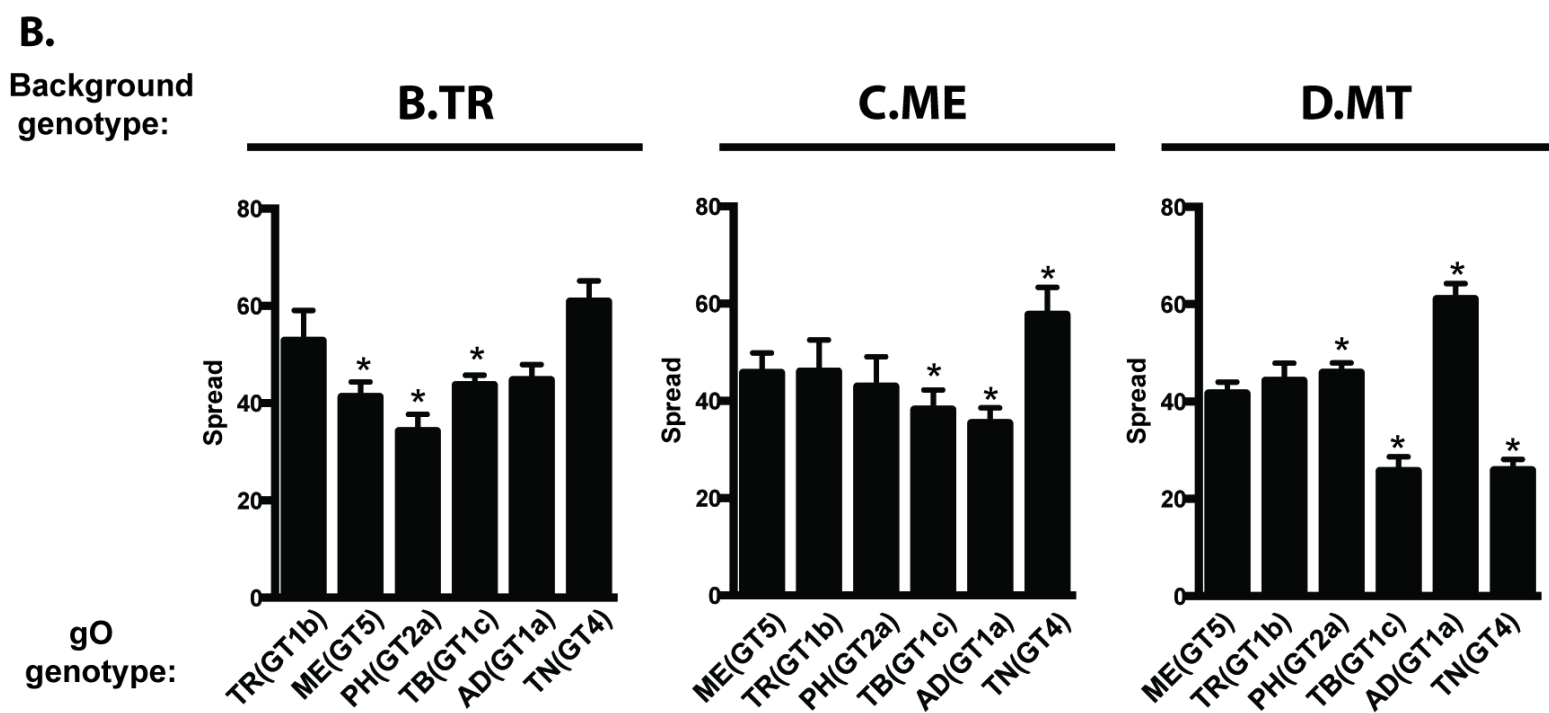
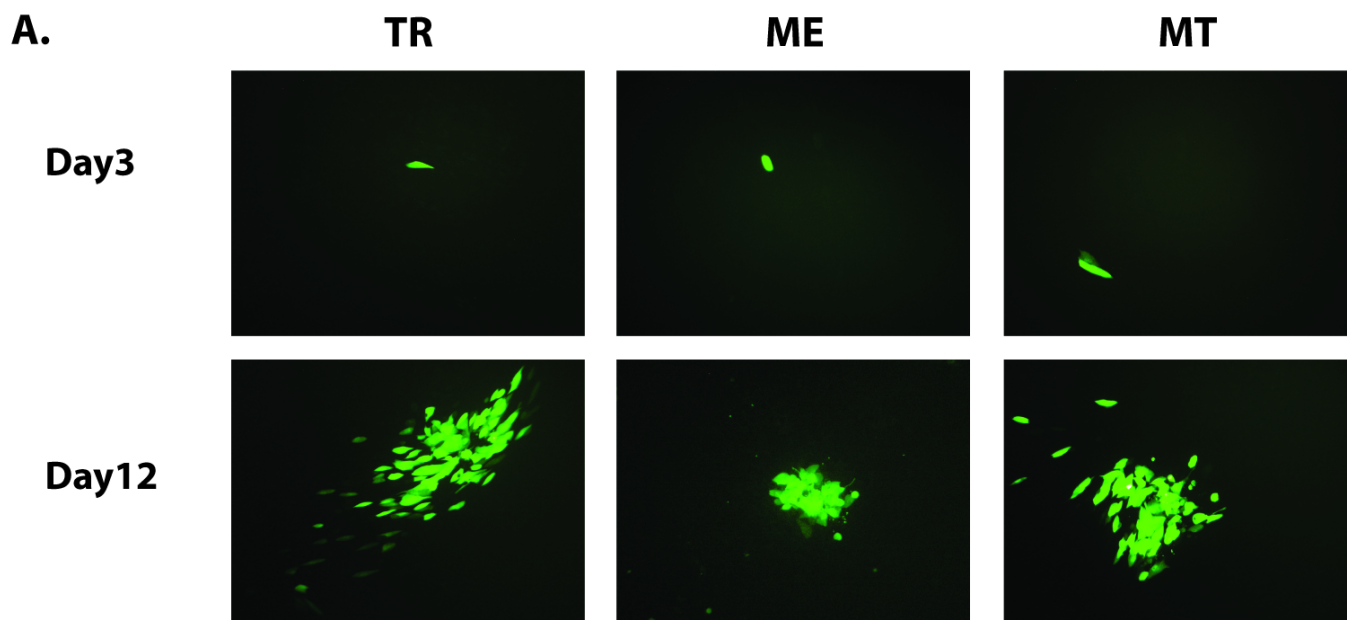


ADgO(GT1a)

gO genotype:

B.





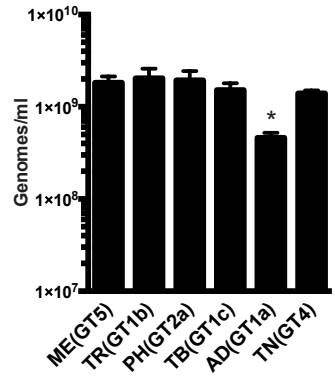
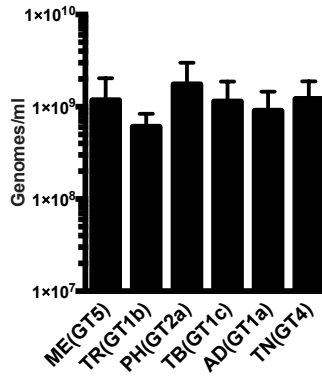
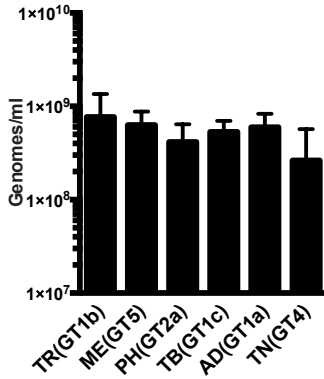
Background genotype:

A.TR

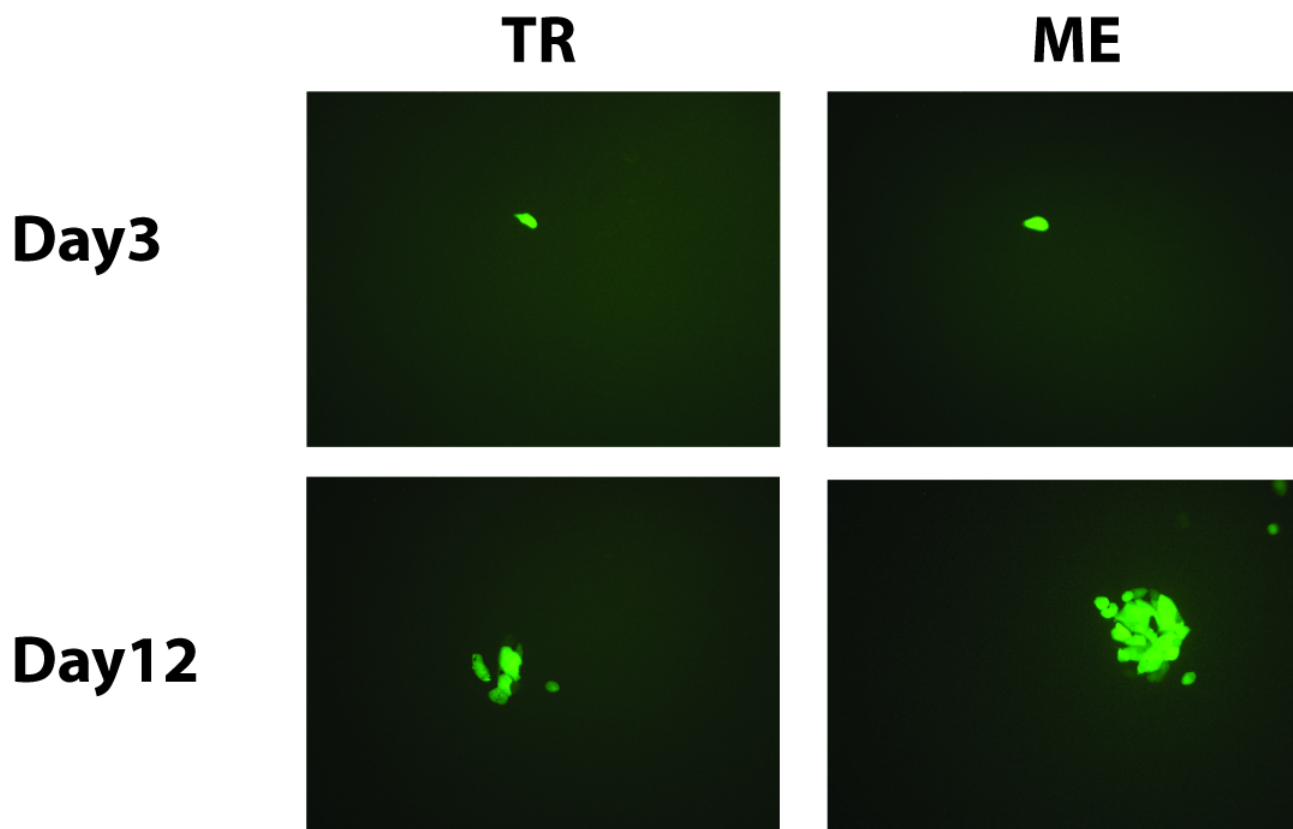
B.ME

C.MT

gO genotype:

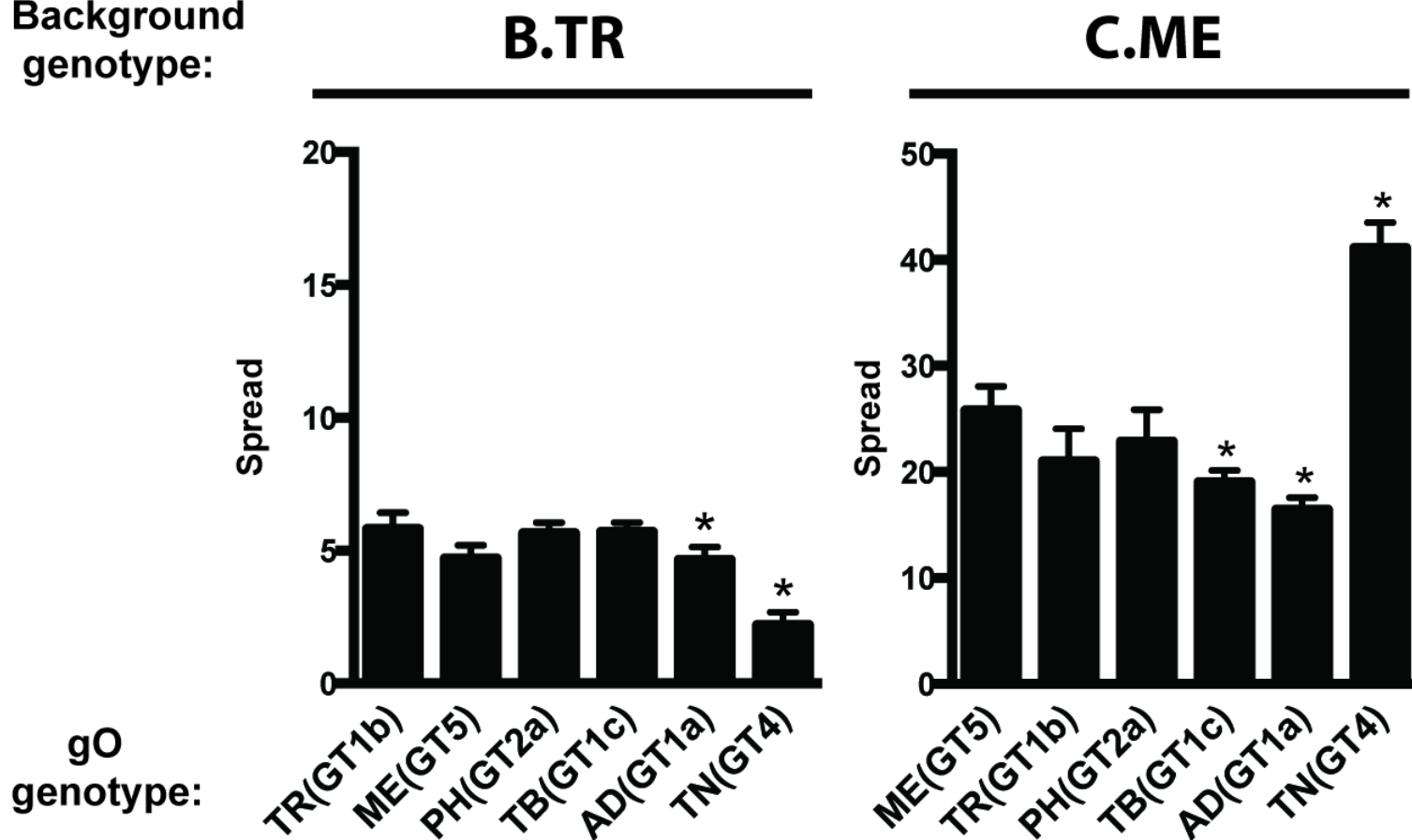


A.



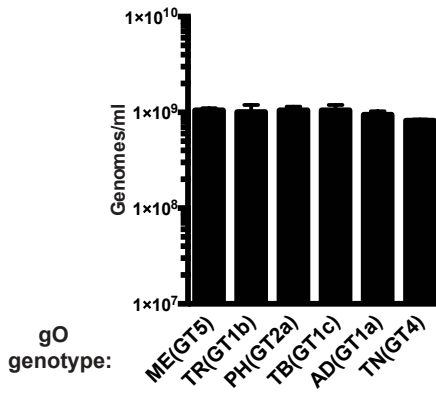
B.

Background genotype:

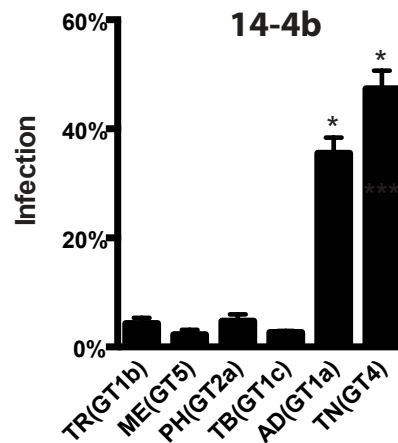
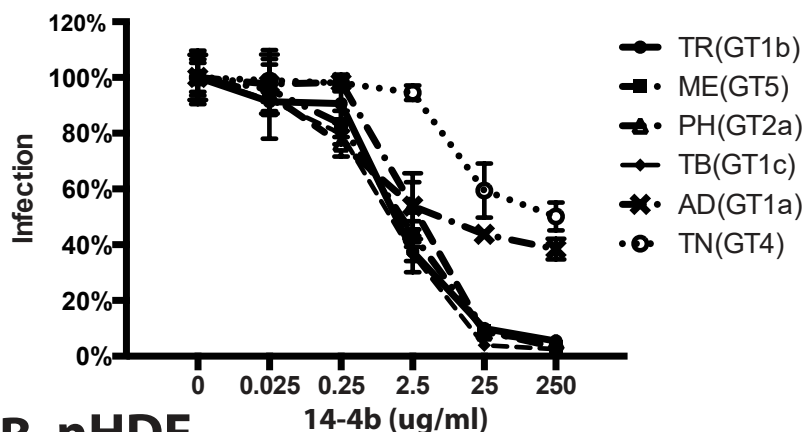


Background
genotype:

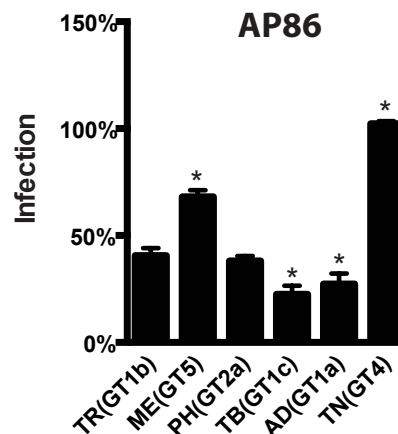
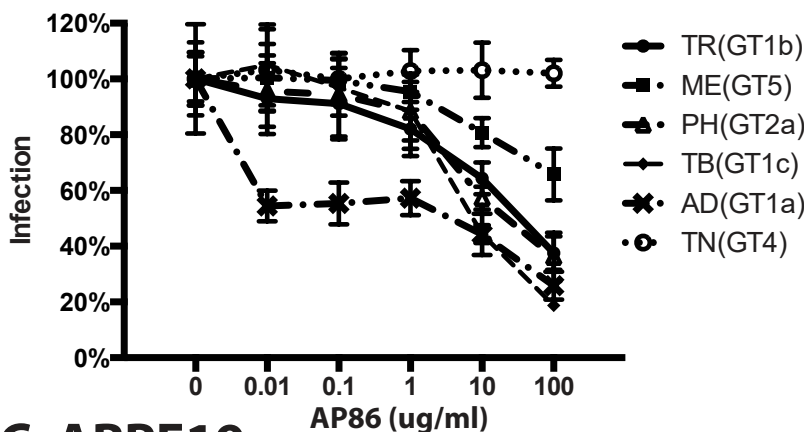
ME



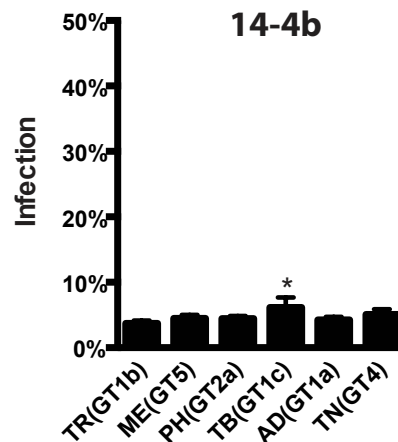
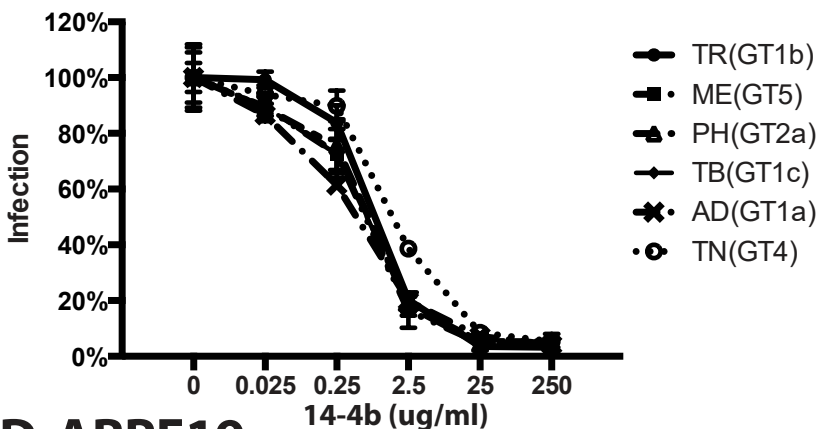
A. nHDF



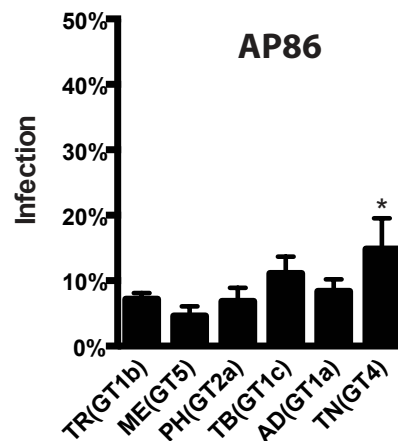
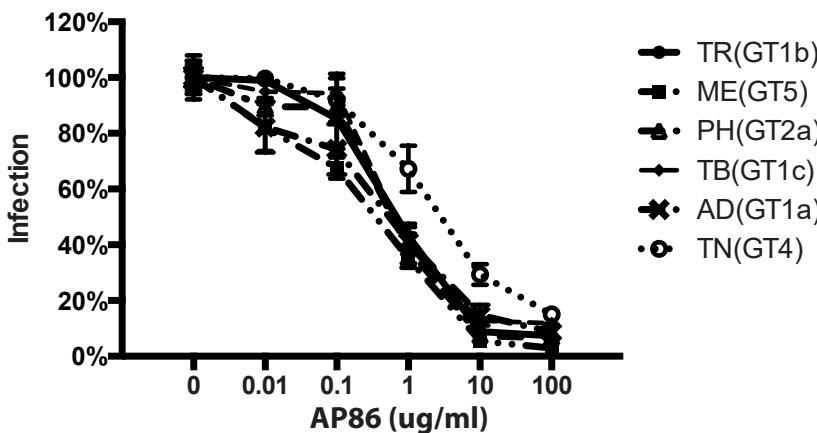
B. nHDF



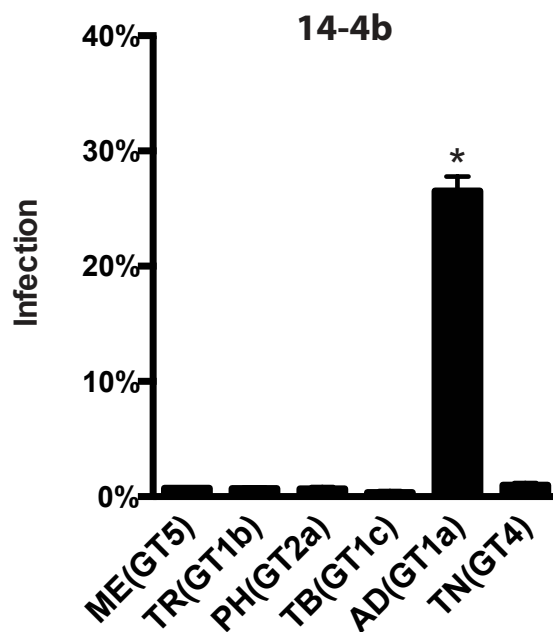
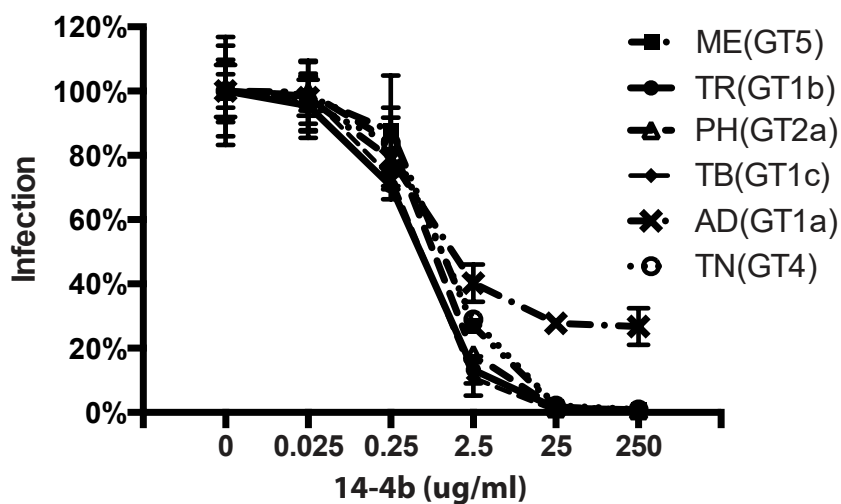
C. ARPE19



D. ARPE19



A. nHDF



B. ARPE19

