

1 **Positive Trend in the Antarctic Sea Ice Cover and Associated Changes in**  
2 **Surface Temperature**

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## ABSTRACT

25 The Antarctic sea ice extent has been slowly increasing contrary to expected trends due to  
26 global warming and results from coupled climate models. After a record high extent in  
27 2012 the extent was even higher in 2014 when the magnitude exceeded  $20 \times 10^6$  km<sup>2</sup> for  
28 the first time during the satellite era. The positive trend is confirmed with a newly  
29 reprocessed sea ice data that addressed inconsistency issues in the time series. The  
30 variability in sea ice extent and ice area was studied alongside surface ice temperature for  
31 the 34-year period starting 1981 and the result of the analysis show a strong correlation of  
32 -0.94 during the growth season and -0.86 during the melt season. The correlation  
33 coefficients are even stronger with a one-month lag in surface temperature at -0.96 during  
34 the growth season and -0.98 during the melt season suggesting that the trend in sea ice  
35 cover is strongly influenced by the trend in surface temperature. The correlation with  
36 atmospheric circulation as represented by the Southern Annular Mode (SAM) index  
37 appears to be relatively weak. A case study comparing the record high in 2014 with a  
38 relatively low ice extent in 2015 also shows strong sensitivity to changes in surface  
39 temperature. The results suggest that the positive trend is a consequence of the spatial  
40 variability of global trends in surface temperature and that the ability of current climate  
41 models to forecast sea ice trend can be improved through better performance in  
42 reproducing observed surface temperatures in the Antarctic region.

43

44 **1. Introduction**

45           Among the contentious issues associated with the historical satellite record of the  
46 sea ice cover has been the observation of a positive trend in sea ice extent in the Antarctic  
47 region. Earlier reports indicated that the trend was relatively small, insignificant, and  
48 inconclusive (Zwally et al., 1983; Cavalieri et al., 1997; Bjorgo et al., 1997) but more  
49 recent reports show even more positive trends (Zwally et al., 2002; IPCC2014; Parkinson  
50 and Cavalieri, 2012). A positive trend in the Antarctic sea ice cover is intriguing because  
51 it appears physically counter-intuitive to what is expected from global warming  
52 observations. Some studies have indicated that the Antarctic sea ice cover was actually  
53 more extensive during the pre-satellite era (e.g., de la Mare, 1997; Gagne et al., 2014) but  
54 the uncertainties associated with such pre-satellite data are large (Ackley et al., 2003).

55           The positive sea ice trend might be in part the result of stratospheric ozone  
56 depletion that has caused a deepening of the lows in the West Antarctic region (Turner et  
57 al., 2009; Sigmond and Fyfe, 2010; Turner et al., 2015). The atmospheric conditions  
58 over the area between the Antarctic Peninsula and the Ross Sea is controlled primarily by  
59 the Amundsen Sea Low (Turner et al., 2016) which gives rise to the climatological  
60 southerly winds over the Ross and Amundsen Seas. The inter-annual variability of the  
61 sea ice extent in the Ross Sea Sector has been significantly correlated with the strength of  
62 the southerly winds over the Ross Sea and the depth of the Amundsen Sea Low (Turner  
63 et al., 2016). Stronger southerly winds and more vigorous coastal polynya formation  
64 along the Ross Ice Shelf boundary would increase sea ice production in the region as has  
65 been observed (Comiso et al., 2011; Martin et al., 2007; Holland and Kwok, 2012).  
66 Others have linked the positive trend in ice cover to a freshening of Antarctic sea water

67 (Jacobs, 2006; Swart and Fyfe, 2013) but model experiments suggest that the magnitude  
68 of this contribution cannot account for the observed ice increase. Some attribute the  
69 trend to changes in atmospheric circulation resulting from changes in the Southern  
70 Annular Mode, ENSO and the greater frequency of La Niña events since the late 1990s  
71 (Zhang 2007; Kwok and Comiso, 2002). Attribution studies are also complicated by the  
72 inability to reproduce the observed trend in recent simulation studies that make use of  
73 CMIP5 and other model outputs (Hobbs et al., 2015; IPCC 2014).

74         Among the goals of this study are to show that the positive trend in sea ice extent  
75 is real using an updated and enhanced version of the sea ice data; to quantify through  
76 correlation analysis the strength of the relationship of the trend in sea ice cover with the  
77 trend in global surface temperature; and to assess how the trends in temperature from  
78 satellite observations compares with those from models and reanalysis data. The positive  
79 trend is important to establish because it has been questioned and postulated as caused by  
80 the lack of consistency in the processing of data from different sensors (Eisenman et al.,  
81 2014). The consistency issue has already been addressed earlier by Comiso and Nishio  
82 (2008) but further examined again to establish a stronger confidence in the results. The  
83 connection of the positive trend in sea ice cover to changes in surface temperature is  
84 quantified for the first time using satellite data while the consistency of observed trends  
85 in ice extent with those from available models and reanalysis data is evaluated.

86

## 87 **2. Sea Ice and Surface Temperature Data**

88 *a. Enhancing and Updating the Sea Ice Concentration Data Set*

89           The key issue brought up by Eisenman et al. (2014) was an inconsistency in the  
90 ice extents estimated before and after January 1992 in the earlier and later versions of the  
91 Bootstrap data set. The problem came about because the earlier version was generated  
92 using whatever data were available then and did not take into account an unknown  
93 change in calibration when SSM/I-F8 data was replaced by F11 data during this period.  
94 The inconsistency was fixed when the entire data set (and referred to as SBA) was  
95 reprocessed as reported by Comiso and Nishio (2008). To establish higher confidence in  
96 the results of our current study the data set was again enhanced to generate a new data set  
97 (referred to as SB2). The new data had been enhanced as follows: (a) the consistency  
98 between the different sensors were further checked and improved if necessary; (b) the tie  
99 point for open water was made dynamic; and (c) the threshold for lower limit for ice was  
100 relaxed to allow retrieval of ice at 10% ice concentration. Further adjustments in  
101 brightness temperature ( $T_B$ ) than previously done were made to improve consistency in  
102 the retrieval of ice concentration, ice extent and ice area from the different sensors. The  
103 enhanced data set also made use of dynamic tie-points for 100% sea ice and 100% ice-  
104 free ocean that better accounts for daily fluctuations in  $T_B$  as may be caused by different  
105 weather conditions. Furthermore, the threshold for separating ice-covered areas from  
106 liquid surface water areas was slightly adjusted to ensure that all data elements with  
107 greater than 15% ice concentration are included in the ice extent calculations. Other  
108 filters are also utilized to exclude erroneous retrievals of ice in land/ocean boundaries  
109 where the measurements are contaminated by land data (Cho et al., 1996). Additional  
110 details are discussed in Comiso (2010).

111 To illustrate the effectiveness of the procedure, average ice concentration maps  
112 during the overlap period in December 1991 for F8 and F11 SSM/I data are shown to  
113 provide very similar distribution in Fig. 1a and 1b, respectively. Good agreement and  
114 consistency are also depicted in the scatter plots of brightness temperatures from F8  
115 versus those from F11 shown in Figures 1c for 37 GHz(V) and 1d for 19 GHz(V). The  
116 ice concentrations are also virtually identical as indicated in Figure 1e while the ice  
117 extents and ice areas are highly in agreement with the difference averaging 0.1% for both  
118 ice extent and ice area (Figure 1f). The results clearly show that there is good agreement  
119 during the period when the consistency in extent was questioned by Eisenman et al.  
120 (2014). For completeness, similar studies were done during overlap periods of the other  
121 sensors (not shown) and the results also indicated good agreement.

122 *b. Enhancement and update of surface temperature data*

123 A key variable that affects the growth and decay of the sea ice cover is surface  
124 temperature. Sea ice are formed only in areas where surface temperatures are lower than  
125 or near the 271 K freezing temperature of seawater. Together with winds and surface  
126 current, surface temperature determines the spatial distribution of the sea ice cover as  
127 well as the farthest north from the continent the sea ice edge can reach during the winter  
128 period. Detailed large-scale distributions of temperatures could be measured on a regular  
129 and consistent basis only through the use of satellite data and primarily through thermal  
130 infrared sensors (Comiso, 2010). Surface data can be acquired during both day and night  
131 but only during clear sky conditions. The daily average maps of the data therefore have  
132 gaps due to cloud cover but multiple passes at high latitude increase coverage and

133 minimize the problem. The key data used are monthly surface temperature averages that  
134 have been shown to have reasonably good agreement with in situ surface temperatures  
135 (Steffen et al., 1993; Comiso 2000; Shuman and Comiso, 2002).

136 The surface temperature data used in this study is an enhanced version of the data  
137 described by Comiso (2003, 2010). Surface temperature is derived separately over land,  
138 sea ice covered areas and ice-free ocean. The key enhancement was an improved cloud  
139 masking technique that uses climatology to eliminate abnormally high or low values.  
140 Also, updated and quality checked in situ data were used to ensure good consistency in  
141 the radiances and the calibration of the different AVHRR sensors. An additional quality  
142 control is applied on SST data through the use of Reynolds data (Reynolds et al. 2002),  
143 now referred to as NOAA high resolution data (which in this case means a few km)  
144 (<http://NOAA/OAR/ESRL/PSO>), to exclude anomalous data that are likely contaminated  
145 by clouds.

146 Some examples of monthly averages of surface temperature ( $T_s$ ) in the Antarctic  
147 as derived from AVHRR data are shown in Figure 2a and 2b. The data depicted are for  
148 September 2014 when the record high sea ice extent occurred and for September 2015  
149 when the ice extent was significantly lower. For convenience, the locations of the ice  
150 edges for the two years are indicated. The distribution of surface temperature is shown to  
151 be highly variable over the Antarctic continent and the sea ice cover while that for the  
152 open ocean is much more uniform. Previous studies have shown generally good  
153 agreement of derived surface temperatures with in situ data with the standard deviations  
154 ranging mainly from 2 to 3 K (Comiso 2000; Shuman and Comiso 2002, Comiso 2010).

155 Comparative analysis of the enhanced surface temperature data with WMO station data  
156 yielded similar results with RMS error of 2.7K when 2014 monthly averages are used  
157 (Figure 2c) and 2.4K when 2015 data are used (Figure 2d). The 1.5 to 2m surface air  
158 temperature station data have been converted to surface temperature using a conversion  
159 formula as discussed by Comiso (2003) to be consistent with AVHRR surface  
160 temperature data. In recent years aircraft thermal infrared data have become available  
161 (Kurtz et al. 2013) from Operation Ice Bridge (OIB) which enabled a direct comparison  
162 of similar infrared measurements as indicated in Figures 2e and 2f. The direct  
163 comparison yielded a better agreements with RMS errors of 2.1K in 2012 and 1.5K in  
164 2013. The accuracy of the AVHRR data is likely higher than these RMS values since the  
165 in situ and OIB data are not perfect and the errors in the latter can contribute to the  
166 estimated standard deviation and RMS. The isotherms on the maps are also shown to be  
167 coherent with the location of the ice edges and the expected changes due to variations in  
168 the elevation of surface snow in Antarctica. Overall, the data show good consistency with  
169 a similar surface data from Aqua/MODIS which has improved capability in cloud  
170 masking but shorter record length.

171

### 172 **3. Results of Data Analyses**

#### 173 *a. Decadal Changes and Trends in the Sea Ice Cover*

174 The monthly averages of the Antarctic sea ice extent as derived from satellite data  
175 for the period from November 1978 to December 2015 are presented in Figure 3a. Sea  
176 ice extent is defined as the integral sum of all observations with ice concentration greater



177 than 15%. The newly enhanced and updated version of the monthly data (labeled SB2)  
178 are shown in black while the updated version of the original data (labeled SBA), that are  
179 derived as reported in Comiso and Nishio (2008), are shown in red. The two data sets are  
180 not identical because of the enhancements as described earlier but the patterns are similar  
181 and the trends are basically consistent. The monthly extent plots show generally higher  
182 values for SB2 than those for SBA because of the adjustment made to the ice and ocean  
183 tie points in SB2 that allows for more of the low concentration data near the ice edge to  
184 be included as part of the ice covered area. The effect appears to be larger during the  
185 SMMR era as well in part because of different spatial resolution and antenna side-lobe  
186 characteristics than SSM/I.

187         It is intriguing that the September 2014 extent is the highest during the 1978 to  
188 2015 era with the extent exceeding 20 million km<sup>2</sup> for the first time. The monthly  
189 anomalies of sea ice extent as derived, using averages from November 1978 to December  
190 2015 as the baseline, and presented in Fig. 3b show similar patterns for SB2 and SBA but  
191 slightly different trends with the SB2 yielding a trend of  $1.7 \pm 0.2$  %/decade while SBA  
192 shows  $2.2 \pm 0.2$  % per decade. The slight discrepancy in the trend is likely caused  
193 primarily by the lower threshold for ice-covered regions and the use of a dynamic water  
194 tie point in SB2 that affected SSMR data more than the SSM/I data.

195         Monthly anomalies of the sea ice area for the entire Southern Ocean and  
196 individual sectors, as described in Zwally et al. (2002), are presented in Fig. 4. Sea ice  
197 area is determined by taking the sum of the product of the area and the ice concentration  
198 of each data element. The monthly averages and anomalies of ice areas using SB2 match

199 those of SBA much closer than extents and the trends are more similar as well. For the  
200 entire hemisphere the trend estimated for SB2 data is  $2.5 \pm 0.2$  % per decade while that  
201 for SBA data is  $2.7 \pm 0.2$  % per decade. Regionally, except for the  
202 Bellingshausen/Amundsen Seas sector the trends are all positive with the Ross Sea  
203 showing the highest at  $4.5 \pm 0.5$  % per decade followed by the West Pacific Ocean at  $4.0$   
204  $\pm 0.6$ , the Indian Ocean at  $3.6 \pm 0.5$  and the Weddell Sea at  $2.5 \pm 0.4$ . The trend for the  
205 the Bellingshausen/Amundsen Seas is the only one that is negative at  $-2.5 \pm 0.7\%$  per  
206 decade, although it is not as negative as reported previously by Comiso et al. (2011).  
207 This suggests a general warming in the Bellingshausen/ Amundsen Seas region, which  
208 has been regarded as a climate anomaly region (Jacobs and Comiso 1997; King and  
209 Comiso 2003). It is apparent from Fig. 4f that there has been a recovery in the ice area in  
210 the region since 2009. It also appears that the trend in the ice cover in the Ross Sea has  
211 not been as high as previously reported in Comiso et al. (2011), in part because the  
212 change in sea ice extent in this region since 2008 has been minimal. The overall increase  
213 in the trend as indicated in Figure 4a is thus mainly due to higher trends in the other  
214 sectors.

215         The trends in the ice extent and ice area for the different seasons and also during  
216 the maximum and minimum ice extent are listed in Table 1. Although the yearly  
217 fluctuations in each category are relatively small the trends for the different cases vary  
218 significantly. Actual trends in  $\text{km}^2$  per year and percentage trends are provided for ease  
219 in interpretation. The season with the highest trend is observed to be autumn at  $3.8$  % per  
220 decade for ice extent and  $5.4\%$  per decade for ice area. These trends are significantly

221 higher than the annual trend of 1.7% and 2.5% per decade for ice extent and ice area,  
222 respectively. This suggests that the slight increase in the trend in the more recent years is  
223 associated with more ice production in autumn. Following autumn are the more moderate  
224 trends in winter and summer while spring has the lowest trend at 0.9 %/decade for ice  
225 extent and 1.5%/decade for ice area. Note that the trends for ice minimum are relatively  
226 high suggesting increases in areas covered by thick ice in winter.

227         To gain additional insights into the aforementioned trend results, plots of decadal  
228 averages of daily ice extent and area over an annual cycle are presented in Fig. 5. In  
229 particular, daily averages for the first decade of satellite data (i.e., 1979 to 1988) are  
230 represented by the red line, the second decade (1989 to 1998) by the blue line and the  
231 third (1999 to 2008) by the gold line. For comparison, although not a complete decade,  
232 daily averages for the 2009 to 2015 are shown in green while daily extents for the years  
233 2013, 2014 and 2015 are presented as different gray levels. It is apparent that the changes  
234 in the first 3 decades were relatively minor with the biggest change occurring in autumn.  
235 The average values in the more recent years (green line) are obviously significantly  
236 higher than those of the previous periods. It is interesting that the yearly values for 2013  
237 and 2014 are significantly higher than the 2009 to 2015 average. The plot for 2015 is  
238 intriguing since during summer and autumn (January to May) the values were relatively  
239 high and appeared headed for a record high but the rate of increase stalled in early winter  
240 and the maximum winter extent became much lower than that of 2014. In mid-August,  
241 the extent in 2014 was almost  $2 \times 10^6 \text{ km}^2$  higher than that of 2015. The ice extent in

242 2015 also indicates significant fluctuation but significantly lower extent during the winter  
243 with the maximum occurring later in winter than normal.

244 To identify regions where the sea ice cover has been changing the most, color-  
245 coded maps of trends in ice concentration for each data element during different seasons  
246 and for the all seasons are presented in Fig. 6. Areas where the ice has been advancing  
247 are shown in greens and grays while areas where it has been retreating are depicted in  
248 purples and oranges. In spring and summer the maps show a pattern of alternating  
249 advance and retreat in sea ice cover around the Antarctic ice margins. In summer and  
250 autumn there is a persistence of negative trend in the Bellingshausen-Amundsen Seas  
251 and a persistence of positive trend in the other sectors especially in the Ross Sea. Note  
252 that areas of specific trend patterns are not confined to and may go beyond each sector.  
253 In particular, the trend may change from negative to positive within a sector indicating  
254 the need to interpret the trends in the various sectors with care. In winter and spring, sea  
255 ice retreats are apparent near the Antarctic Peninsula and parts of the Western Pacific  
256 Ocean while advances occur in the Ross/Western Amundsen Seas and the Eastern  
257 Weddell Sea and Indian Ocean. In the summer and autumn, ice decline is dominant in  
258 the Bellingshausen and Amundsen Seas while increases are dominant in the Weddell Sea  
259 and Western Ross Sea. In the all-season trend map (Fig. 6e) the trends are more modest  
260 overall but it is apparent that there is ice decline in the Bellingshausen and Amundsen  
261 Seas and ice advance in the Ross Sea and the other regions.

262

263 *b. Associated Variability and Trends in Surface Temperature*

264           The availability of concurrent ice concentration and surface temperature data  
265 provided the opportunity to assess the temporal changes in surface temperature that may  
266 be associated with the observed trends in sea ice cover as indicated in Fig. 6. The maps  
267 of trends in surface temperature for the same periods, with the contours of the 15% ice  
268 concentration averaged for each period depicted by black contour lines, are presented in  
269 Fig. 7. The two maps reveal a striking coherence of the trends in surface temperature and  
270 the sea ice cover suggesting a strong connection. With a few exceptions, the regions  
271 where the trends in the sea ice cover are observed to be positive as depicted in Fig. 6 are  
272 also the general location where the temperature trends are negative indicating a general  
273 cooling as would be expected. For example, the regions near 0°E and 170°E where  
274 strong positive trend in sea ice have been observed are also the regions where strong  
275 negative trends in surface temperatures are observed. Conversely, the region where sea  
276 ice is observed to be declining, like the Bellingshausen-Amundsen Seas region is also  
277 where the trend in temperature is positive.

278           The all-season trend map of surface temperatures as shown in Fig. 7e depicts the  
279 spatial distribution of the trends, which are quantitatively more moderate than those of  
280 seasonal trends in part because of the averaging of trends. The trends in the continental  
281 region shows a general warming, although the trend maps for winter, autumn and  
282 summer show some cooling in parts of the continent. Again, this is due to the averaging  
283 and a significant warming in the continent during spring. Quantitatively, the overall  
284 trend of 0.1 K per decade is estimated using all pixels >60°S from 1981 to 2015 which is  
285 much lower than the 0.6 K per decade observed in the Arctic (Comiso and Hall, 2014).

286 It should be noted that ice-covered surfaces are usually colder than ice-free ocean  
287 surfaces and therefore an advancing (or retreating) ice would have an effect on the  
288 temperature trend. For example, during ice growth in autumn, sea ice is shown in Fig. 6d  
289 to have positive trends in most areas of the Weddell Sea. As more ice accumulates in a  
290 region, the trend in surface temperature would become more negative because the  
291 presence of more ice would lead to more surfaces with colder temperatures. This  
292 phenomenon, however, is only relevant in the advancing (or retreating) ice regions and in  
293 Fig. 7d the negative trend goes way beyond the sea ice covered regions indicating that  
294 there is cooling in the general region that includes ice free surfaces. The results of lag  
295 analysis as will be presented later actually suggest that the positive trend in sea ice is  
296 strongly influenced by the trend in surface temperature.

297

### 298 *c. Correlation Analysis of Sea Ice versus Surface Temperature*

299 Correlation analysis of sea ice area versus sea ice surface temperature for all  
300 monthly data from 1981 to 2015 yields a correlation coefficient of -0.68 which is  
301 relatively low because of the hysteresis effect. The correlation is stronger when data are  
302 divided into the growth period and the melt period. The results of doing correlation  
303 analysis of the data for the entire hemisphere and the various sectors during the growth  
304 period (March to August) are presented in Fig. 8. The correlation is shown to be very  
305 strong at -0.94 for the entire hemisphere. The correlation is also very strong and varies  
306 from -0.82 to -0.90 for data from the various sectors. The high correlation is an  
307 indication that temperature is strongly related to the area and extent of the ice cover.

308 These results are also consistent with qualitative comparison of the anomalies for sea ice  
309 concentration and surface temperature in Figs. 6 and 7. Since it takes a few hours to a few  
310 weeks for the influence of surface temperature to cause an impact on the ice cover, a lag  
311 correlation analysis was also done using a one-month lag in surface temperature and the  
312 results show an even higher correlation at -0.96 for the entire hemisphere and -0.87 to -  
313 0.93 for the various sectors. The higher correlation with a one-month lag is indicative of  
314 an influence of surface temperature with the positive trend in area and extent of the sea  
315 ice cover.

316 A similar correlation analysis was done for the ice melt period (September to  
317 February) and the results of the analysis yielded a correlation coefficient of -0.86 for the  
318 entire hemisphere and -0.80 to -0.91 for the various sectors. With a one-month lag in  
319 surface temperature, the correlation is dramatically increased to -0.98 for the entire  
320 hemisphere and -0.94 to -0.97 for the various sectors. Again, such increases in  
321 correlations with a one-month lag are indicative of a strong influence of surface  
322 temperature on the area and extent of the sea ice cover.

323 To address the effect of changing ice concentration on surface temperature, the  
324 analysis was repeated using actual sea ice temperature that excludes ice free water  
325 through the use of ice concentration data (not shown). The observed temperatures for  
326 each data element are highly correlated to actual sea ice temperatures with the correlation  
327 coefficient being 0.96 for the entire hemisphere and 0.93 to 0.96 for the various sectors  
328 except at the Ross Sea where the correlation is 0.73. The correlation of sea ice area with  
329 surface temperature is also high at -0.83.

330

331 *c. Case Study: Ice Growth and Surface Temperature in 2014 and 2015*

332         The growth patterns of sea ice in 2014 and 2015, as illustrated in Fig. 5, were very  
333 similar but deviated considerably starting in June as the ice cover increased to reached  
334 maximum extent in September. To gain insight into how this phenomenon may have been  
335 influenced by surface temperature and other variables, monthly anomalies of sea ice  
336 concentration, surface temperature, surface pressure and winds during the growth period  
337 in 2014 and 2015 are presented in Figs. 9 and 10, respectively. NCEP/NCAR reanalysis  
338 data (Kalnay et al. 1996) were used for the surface wind and sea level pressure maps. Fig.  
339 9 shows a robust growth period for the sea ice cover (left column) in practically all  
340 regions for the months from June to September 2014 with the exception of some limited  
341 areas (near the Antarctic Peninsula). It is remarkable that the corresponding surface  
342 temperature anomaly maps during the period (middle column) show a very strong match,  
343 with the areas of negative anomaly (cooling) located in basically the same areas where  
344 significant ice growth (or positive anomalies) are located. Note again that the negative  
345 anomalies in temperature extend beyond the regions of positive anomalies in the sea ice  
346 cover indicating that the cooling is not just due to changes in ice concentration. The wind  
347 and pressure data as presented in the third column show substantial monthly variability  
348 but qualitative analysis indicates no consistent relationships to the observed anomalies in  
349 sea ice for each month. For example, the location of the lows changed considerably from  
350 June to July but the anomalies in sea ice and surface temperature were located in  
351 basically the same area. The changes in the distribution of anomalies in the ice cover in



352 the Ross Sea and the Amundsen Sea from August to September are also coherent with the  
353 changes in the surface temperature anomaly maps but not with the wind or sea level  
354 pressure data.

355 A similar set of images for 2015, as presented in Fig. 10, shows a significantly  
356 different growth pattern for the period June to September. Although sea ice advance was  
357 also robust in June 2015 as in June 2014, the areas of negative anomalies started to  
358 appear in July and was much more apparent in August and September especially in the  
359 Ross Sea region and to a lesser degree, the Weddell Sea and Indian Sea regions. Again,  
360 the matching of negative anomalies in sea ice to the positive anomalies in surface  
361 temperature is very good in practically all areas. It is interesting to note that the  
362 Bellingshausen/Amundsen Seas sector and a small segment of Western Indian Ocean are  
363 areas of persistent positive anomalies in surface temperature. These anomalies are  
364 coherent with the anomalies in the sea ice cover during the June to September period.  
365 Meantime, there are no apparent changes in the sea level pressure and the wind pattern  
366 that may be associated with changes in the sea ice cover.

367

#### 368 *e. Influence of Other Environmental Factors*

369 The influence of other factors on the trend of the Antarctic ice extent have been  
370 studied by several investigators (Hobbs et al. 2015; Zhang 2007; Holland and Kwok  
371 2012; Turner et al. 2013). Among the key factors that have been considered is the change  
372 in atmospheric circulation in the Antarctic region as may be influenced by the Southern  
373 Annular MODE (SAM). A direct correlation analysis of SAM indices with sea ice extent

374 for data from November 1978 to December 2016 yielded a correlation coefficient of 0.43  
375 which indicates some but a relatively weak connection. A similar correlation analysis  
376 using monthly surface temperature data yielded an even weaker correlation coefficient of  
377 0.025. A factor which may need greater attention is the influence of extra-polar  
378 phenomena like ENSO. A recent report indicates that the trends in the winter ice edge  
379 over the Ross Sea and Bellingshausen/Amundsen Seas regions are highly correlated to  
380 trends in atmospheric anomalies associated with ENSO (Kwok et al. 2016). This  
381 phenomenon may also be the cause of some of the changes in the spatial distribution of  
382 surface temperature in the region.

383         Prior to the record high extent in 2014 there was a record high extent in 2012 the  
384 temporal evolution of which was studied by Turner et al. (2013). The authors concluded  
385 that the record high extent was associated with the intrinsic variability of the Amundsen  
386 Sea low (Turner et al. 2015) which in turn would cause more ice production in the Ross  
387 Sea region and the observed cooling in the region.

#### 388 *f. Trends in Surface Temperature from Numerical Models*

389 The failure of current coupled climate models to reproduce the positive trend in Antarctic  
390 sea ice has been the subject of strong interest. To gain some insights into this  
391 phenomenon we show a comparison of trends from AVHRR data (Fig. 11a) with those  
392 from reanalysis data (Figs. 11b and 11c). The trend map using NCEP data shows a  
393 reasonable agreement with observations near the ice margin but shows much stronger  
394 positive values within the continent and also in the Ross Sea region. The ECMWF trends  
395 show the best consistency with AVHRR trends but there are significant discrepancies in

396 Weddell Sea, Indian Ocean, the Ross Sea and the Amundsen Sea. The problems with  
397 models like CMIPS have been discussed by Turner et al. (2013) but if models provide  
398 trends similar to those provided by NCEP and ECMWF data it would be highly unlikely  
399 for them to reproduce the observed positive trend in the sea ice cover. For completeness,  
400 we show in Fig. 11d the trend from the GSFC/Merra-2 data assimilation model that  
401 makes use as input satellite observed sea ice data. In this case where the trend in sea ice  
402 cover is correct, the resulting trends in surface temperature distribution are much more  
403 negative than AVHRR trends. The inability of Merra-2 to match observed surface  
404 temperature data is again an indication that the performance of the models needs to be  
405 improved.

#### 406 **4. Discussion and Conclusions**

407 This study confirms using an enhanced sea ice data set that the trend in the  
408 Antarctic sea ice cover is positive. The trend is even more positive than previously  
409 reported because prior to 2015, the sea ice extent was anomalously high for a few years  
410 with the record high recorded in 2014 when the ice extent was more than  $20 \times 10^6 \text{ km}^2$   
411 for the first time during the satellite era. The positive trend, however, should not be  
412 regarded as unexpected despite global warming and the strong negative trend in the  
413 Arctic ice cover because the distribution of global surface temperature trend is not  
414 uniform. In the Antarctic region the trend in surface temperature is about  $0.1 \text{ }^\circ\text{C}$  per  
415 decade while the trend is  $0.6 \text{ }^\circ\text{C}$  per decade in the Arctic and  $0.2 \text{ }^\circ\text{C}$  per decade globally  
416 since 1981 (Comiso and Hall, 2014).

417           The observed positive trend in the sea ice cover is found to be highly coherent  
418 with the trend in surface temperature. The results of correlation analyses show very  
419 strong relationships between surface temperature and sea ice area with the correlation  
420 coefficient being -0.94 without lag and -0.96 with one-month lag in surface temperature  
421 during the growth period. During the melt period, the increase in correlation coefficient  
422 with a month lag in surface temperature is even higher being -0.86 without lag and -0.98  
423 with one-month lag. The significant increase in correlation when a lag in surface  
424 temperature is applied is indicative of a strong role of surface temperature on the  
425 observed positive trends in the sea ice extent. A similar analysis using surface  
426 temperature of only ice covered areas yielded similar results. On the other hand, the  
427 results of regression analysis of SAM indices versus sea ice extent over the entire study  
428 period indicate a relatively weak correlation suggesting a less important role of  
429 atmospheric circulation on the increasing ice extent in the Antarctic.

430           During the 1979 to 2015 period, the overall trend in sea ice cover was estimated  
431 to be 1.7% per decade and was dominantly positive in the Ross Sea region while  
432 dominantly negative in the Bellingshausen/Amundsen Seas. Such contrast in ice trends is  
433 consistent with the observed trends in surface temperature and also has been cited as a  
434 manifestation of the important role of the Amundsen Sea Low in the region (Turner et al.  
435 2016). A case study comparing the 2014 data when the extent was a record high to 2015  
436 data when the extent was more moderate depicts the strong coherence of temperature  
437 changes with those of the sea ice cover. A connection of changes in sea ice cover with  
438 those of wind forcing and sea level pressure during the two years is not so apparent.

439 A comparison of the distribution and magnitude of trends of the satellite observed  
440 surface temperature in the Antarctic with those from reanalysis data (i.e., NCEP,  
441 ECMWF and Merra-2) shows large discrepancies. A representation of surface  
442 temperatures by climate models that agrees better with observed surface temperatures is  
443 likely needed to ensure that the simulated trends in Antarctic sea ice extent agree with  
444 those from satellite observations.

445

#### 446 **Acknowledgement**

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448 support for this project. Sea ice brightness temperature data was provided by NSIDC  
449 while surface temperature data were provided by NOAA.

450

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 586
- 587 Table 1: Trends in Sea Ice Extent and Area using SB2 during the 1979 to 2015 period for  
 588 the different seasons and during maximum and minimum ice cover.

Parameter	Trend in Area ( $\times 10^3 \text{km}^2/\text{yr}$ )	Percentage Trend (%/dec)
Winter Ice Extent	$21.8 \pm 5.0$	$1.39 \pm 0.32$
Spring Ice extent	$16.4 \pm 4.7$	$0.93 \pm 0.27$
Summer Ice Extent	$16.3 \pm 7.1$	$2.54 \pm 1.10$
Autumn Ice extent	$26.4 \pm 7.3$	$3.79 \pm 1.05$

Minimum Ice Extent	$8.8 \pm 5.8$	$2.89 \pm 1.92$
Maximum Ice Extent	$20.4 \pm 5.9$	$1.08 \pm 0.31$
Annual Ice Extent	$20.2 \pm 4.0$	$1.73 \pm 0.34$
Winter Ice Area	$27.1 \pm 4.8$	$1.98 \pm 0.35$
Spring Ice Area	$22.5 \pm 4.8$	$1.51 \pm 0.32$
Summer Ice Area	$18.7 \pm 5.8$	$4.21 \pm 1.29$
Autumn Ice Area	$29.0 \pm 6.8$	$5.24 \pm 1.23$
Minimum Ice Area	$10.7 \pm 4.4$	$5.41 \pm 2.22$
Maximum Ice Area	$24.6 \pm 5.5$	$1.50 \pm 0.33$
Annual Ice Area	$24.3 \pm 3.5$	$2.52 \pm 0.36$

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591 List of Figures:

592 1. Color-coded ice concentration maps using (a) SSM/I F8 data and (b) SSM/I F11 data  
593 during overlap period on 3-16 December 1991. Scatter plots of brightness temperatures  
594 for (c) F8 versus F11 for 37 GHz (V) and (d) for F8 versus F11 for 19 GHz (V) and (e)  
595 for F8 versus F11 ice concentrations during overlap period. (f) Daily ice extent and ice  
596 area from F8 and F11 during overlap period in December 1991.

597

598 2. Color-coded maps of monthly average surface temperatures in (a) September 2014 and  
599 (b) September 2015. Scatter plots of WMO/in situ data versus corresponding AVHRR  
600 surface temperatures data in (c) September 2014 and (d) September 2015. Scatter plots  
601 of Operation Ice Bridge infrared data versus AVHRR surface temperatures in (e)  
602 November 2012 and (f) November 2013.

603

604 3. Plots of the time series of (a) Monthly averages and (b) monthly anomalies of sea ice  
605 extents derived using the newly enhanced SB2 data (in black) and the older SBA data (in

606 red) from November 1978 to December 2015. The trend lines using SB2 and SBA data  
607 are also shown and the trend values with statistical errors are also provided.

608

609 4. Plots of the time series of monthly anomalies of sea ice area from 1978 to 2015 and  
610 trends in the (a) entire Southern Hemisphere; (b) Weddell Sea; (c) Indian Ocean; (d)  
611 West Pacific Ocean; (e) Ross Sea; and (f) Bellingshausen/Amundsen Seas using both  
612 SB2 and SBA data.

613

614 5. Plots shown decadal changes in the seasonality of Antarctic Sea Ice (a) extent and (b)  
615 area using daily averages. The first three decades are represented by red, blue and gold  
616 lines while the last decade (2009 to 2015 only) is represented by a green line. Data during  
617 the years 2013, 2014, and 2015 represented by different shades of gray are shown for  
618 comparison with the decadal averages.

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620 6. Color-coded maps of trends in the sea ice cover in each data element during the  
621 austral (a) winter; (b) spring; (c) summer and (d) autumn and (e) the entire year during  
622 the period November 1978 to November 2015.

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624 7. Color-coded maps of trend of surface temperatures in each data element during the  
625 austral (a) winter; (b) spring; (c) summer and (d) autumn and (e) the entire year during  
626 the period August 1981 to November 2015. The location of the 15% ice edge for each  
627 period is indicated by the black contour.

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629 8. Scatter plot of sea ice area versus surface ice temperature for (a) the entire Antarctic  
630 region and (b-f) the various sectors. Data from the decades 1981-1990, 1991-2000, and  
631 2001 to 2000 are indicated as red, green and yellow while the data for the remaining  
632 years are indicated in black. The red line is the result of a linear regression analysis that  
633 yielded the indicated correlation coefficient.

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635 9. Color-coded monthly anomaly maps of sea ice (a, d, g, j) and surface temperature (b,  
636 e, h, k) and monthly average maps of sea level pressure and wind (c, f, i, l) from June to  
637 September 2014.

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639 10. Color-coded monthly anomaly maps of sea ice (a, d, g, j) and surface temperature (b,  
640 e, h, k) and monthly average maps of sea level pressure and wind (c, f, i, l) from June to  
641 September 2015.

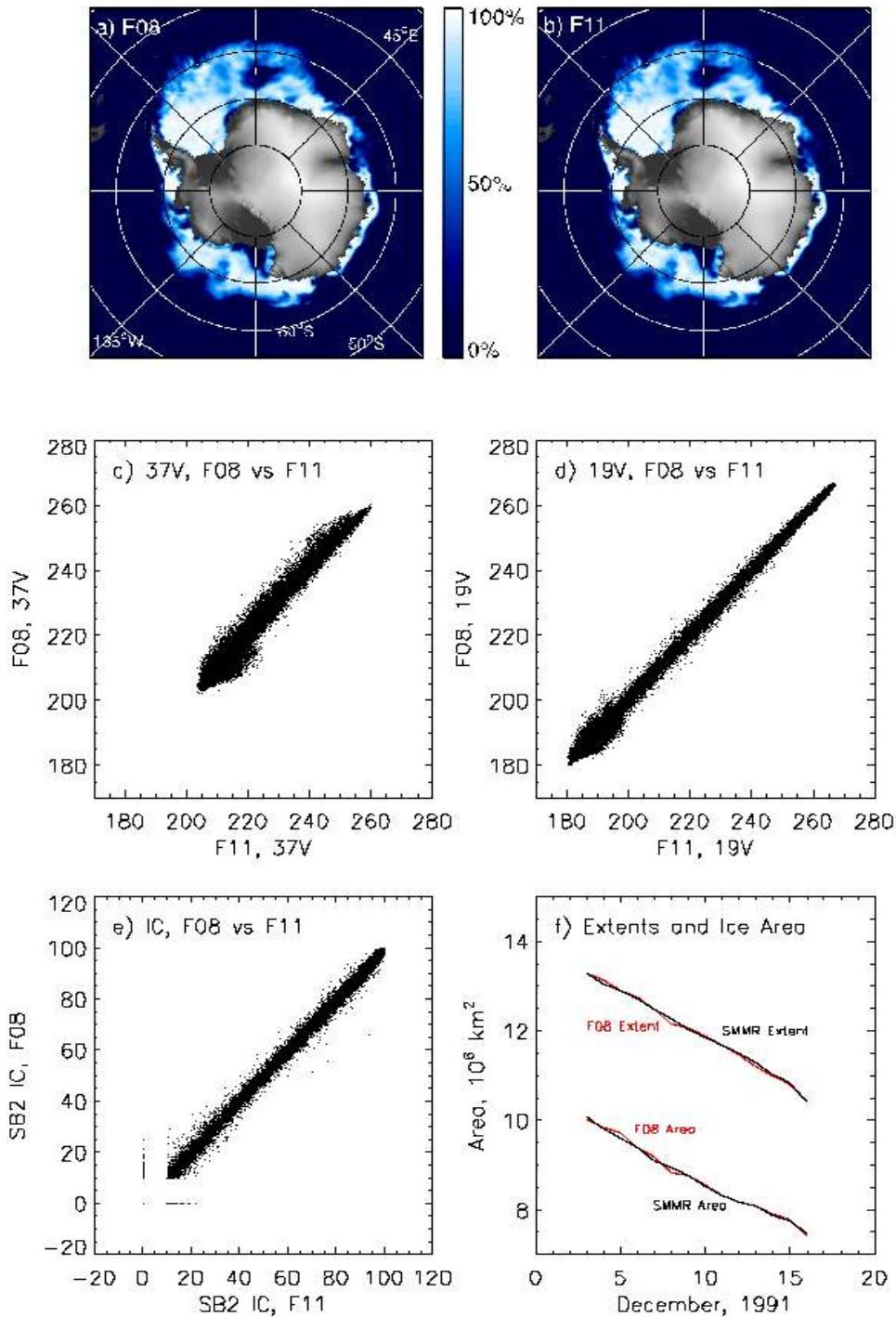
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643 11. Trends in surface temperature using data from (a) AVHRR; (b) NCEP; (c) ECMWF;  
644 and (d) Merra-2.

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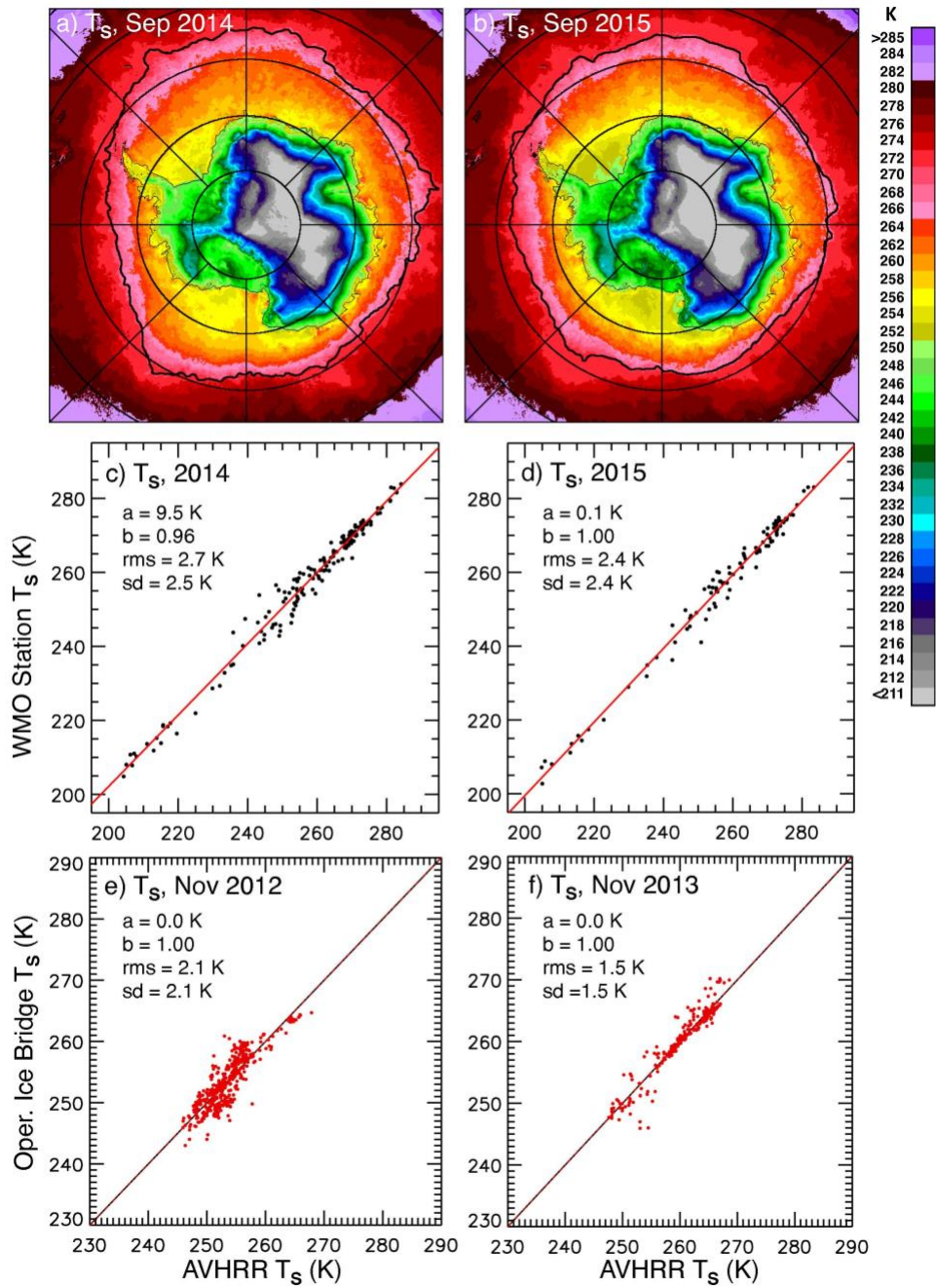
650 Figure 1. Color-coded ice concentration maps using (a) SSM/I F8 data and (b) SSM/I

651 F11 data during overlap period on 3-16 December 1991. Scatter plots of brightness

652 temperatures for (c) F8 versus F11 for 37 GHz (V) and (d) for F8 versus F11 for 19 GHz

653 (V) and (e) for F8 versus F11 ice concentrations during overlap period. (f) Daily ice

654 extent and ice area from F8 and F11 during overlap period in December 1991.

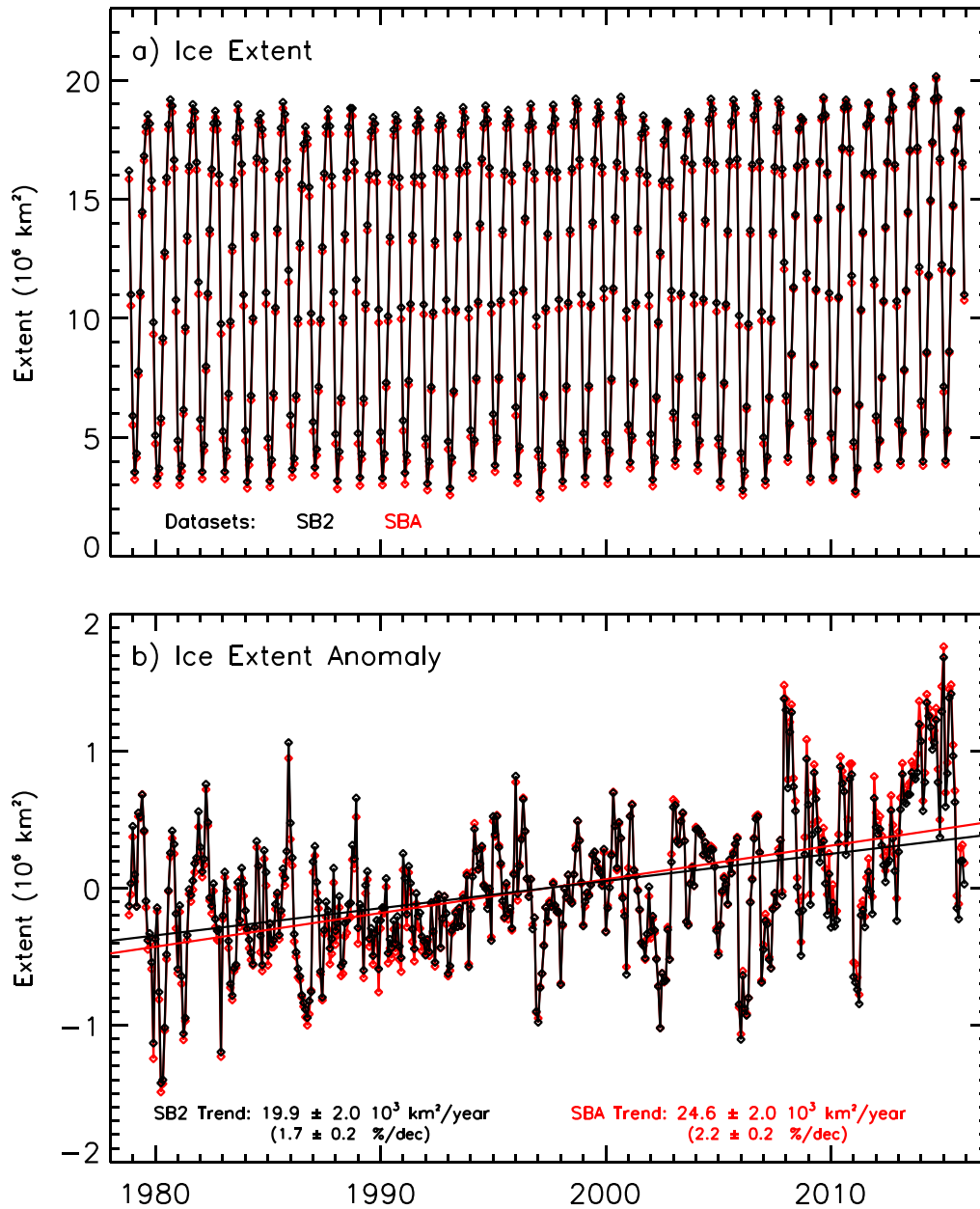


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Figure 2. Color-coded maps of monthly average surface temperatures in (a) September 2014 and (b) September 2015. Scatter plots of WMO/in situ data versus corresponding AVHRR surface temperatures data in (c) September 2014 and (d) September 2015. Scatter plots of Operation Ice Bridge infrared data versus AVHRR surface temperatures

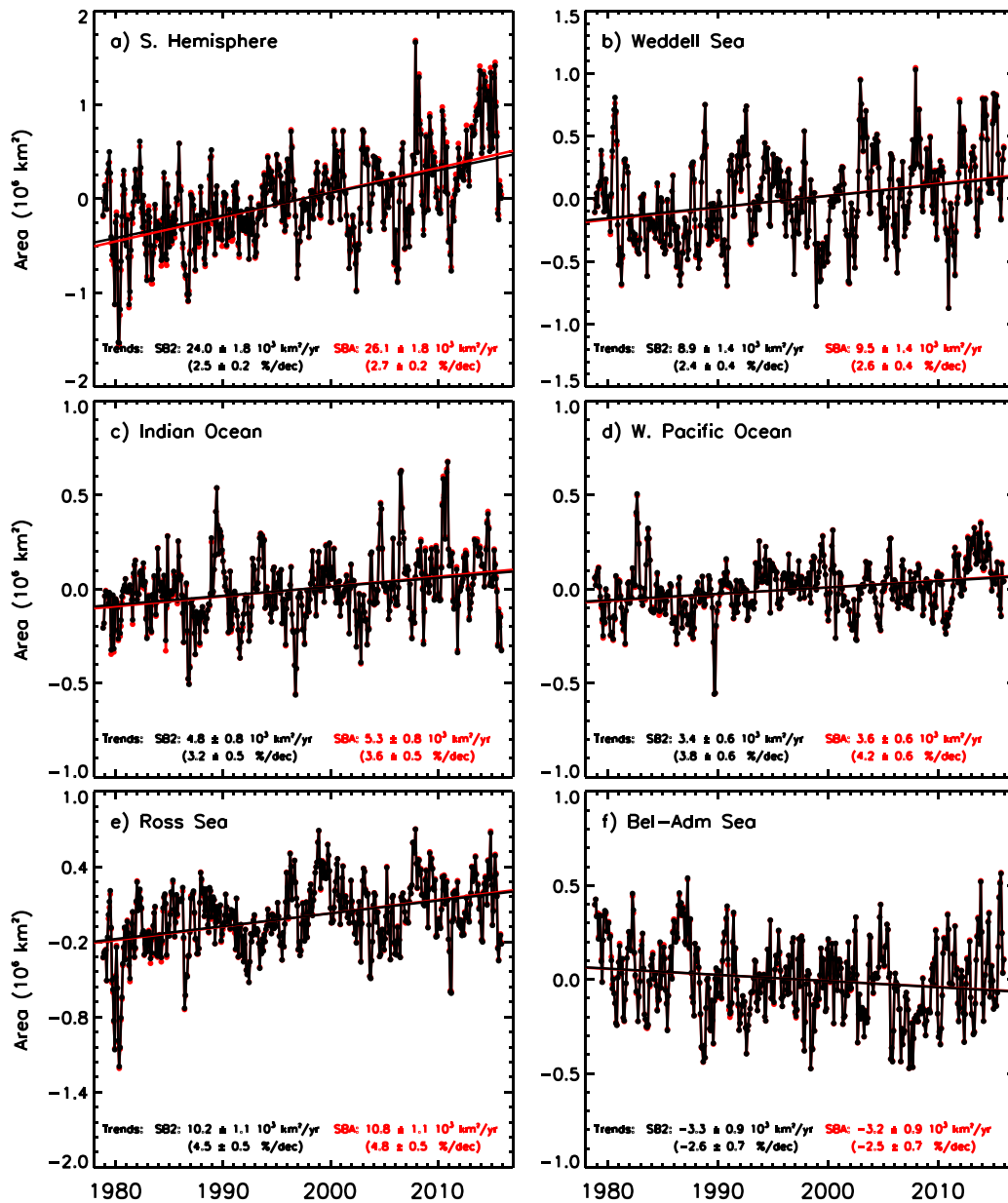


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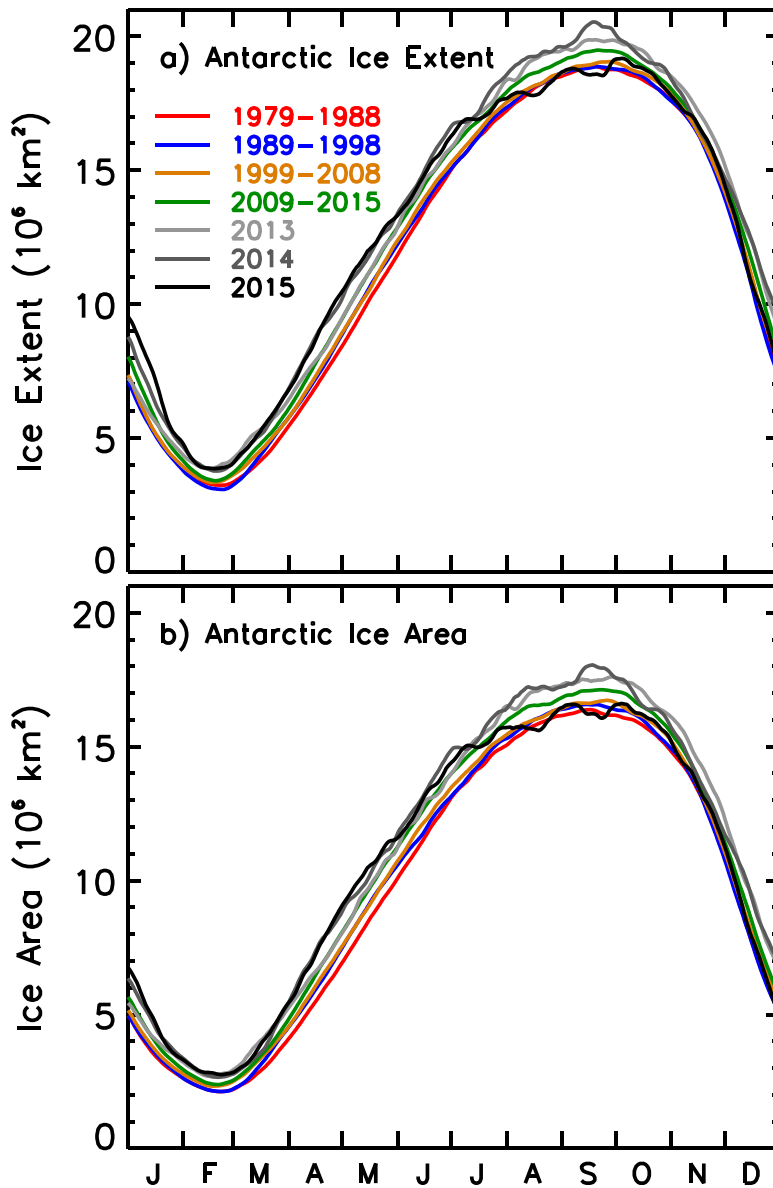
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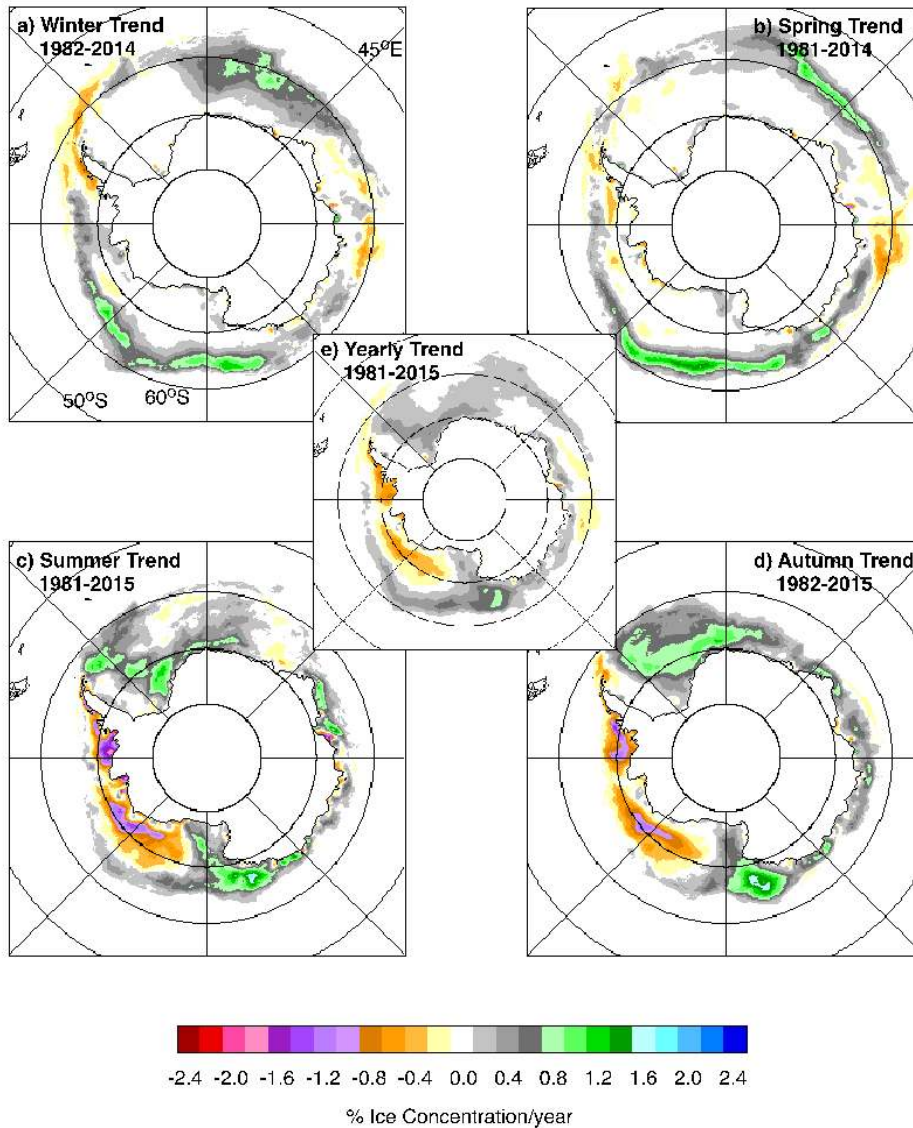
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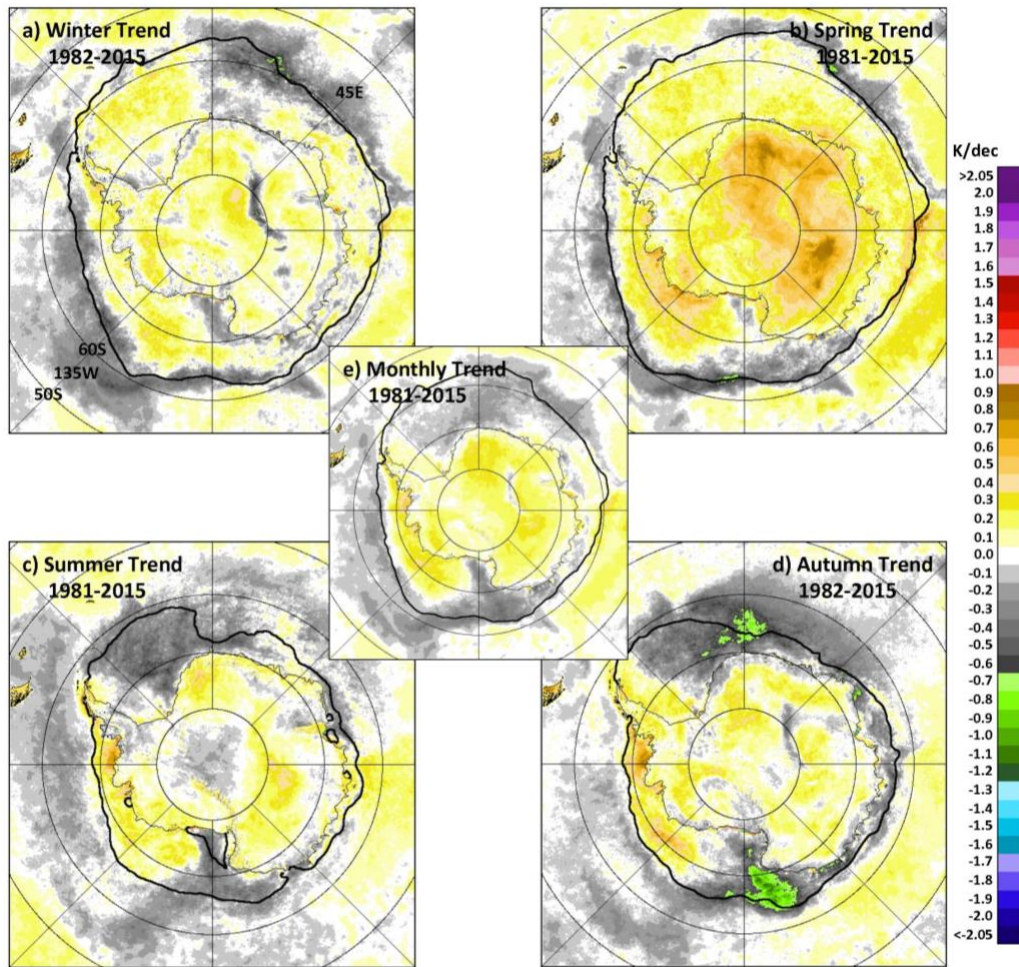
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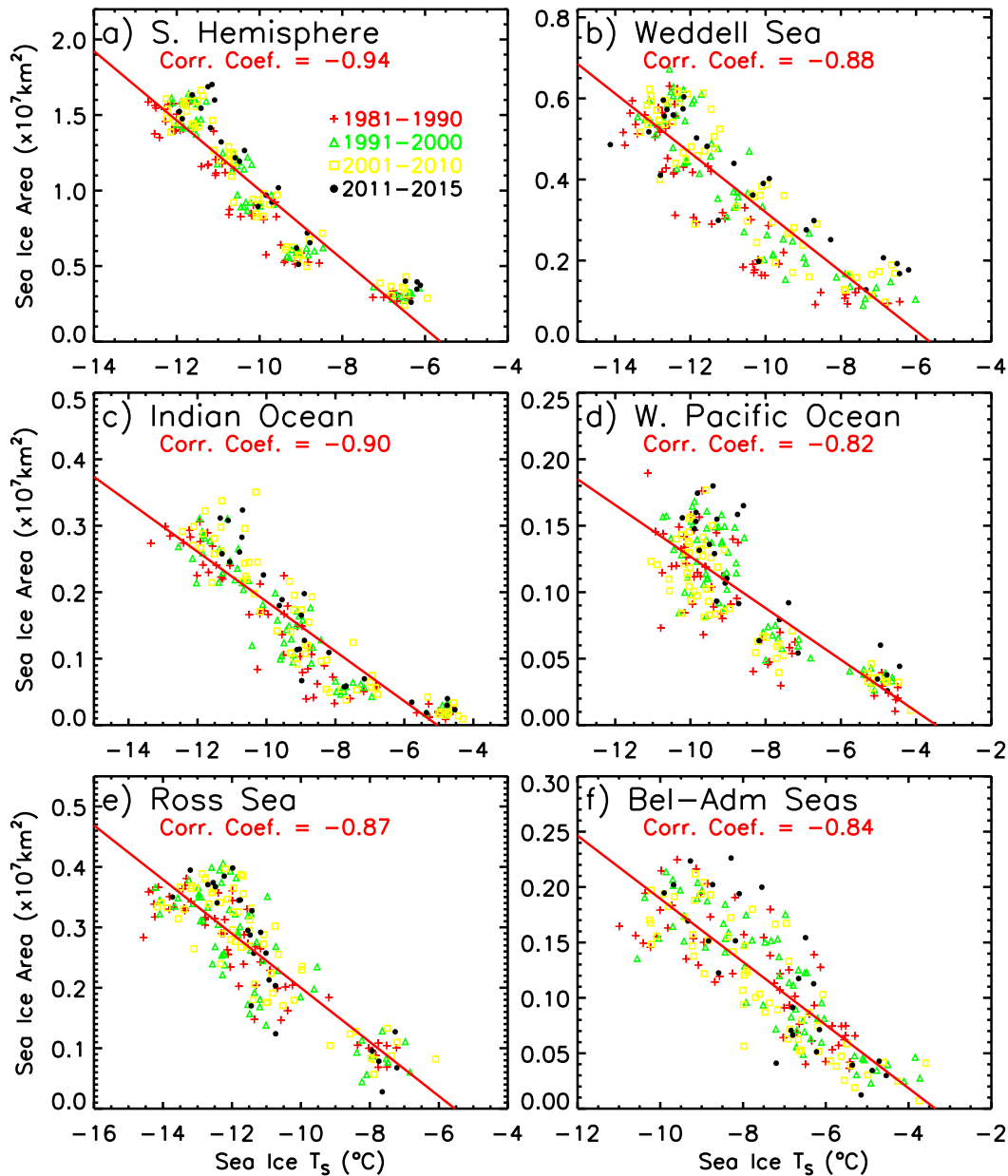
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Figure 6. Color-coded maps of trends in the sea ice cover in each data element during the austral (a) winter; (b) spring; (c) summer and (d) autumn and (e) the entire year during the period August 1981 to December 2015.



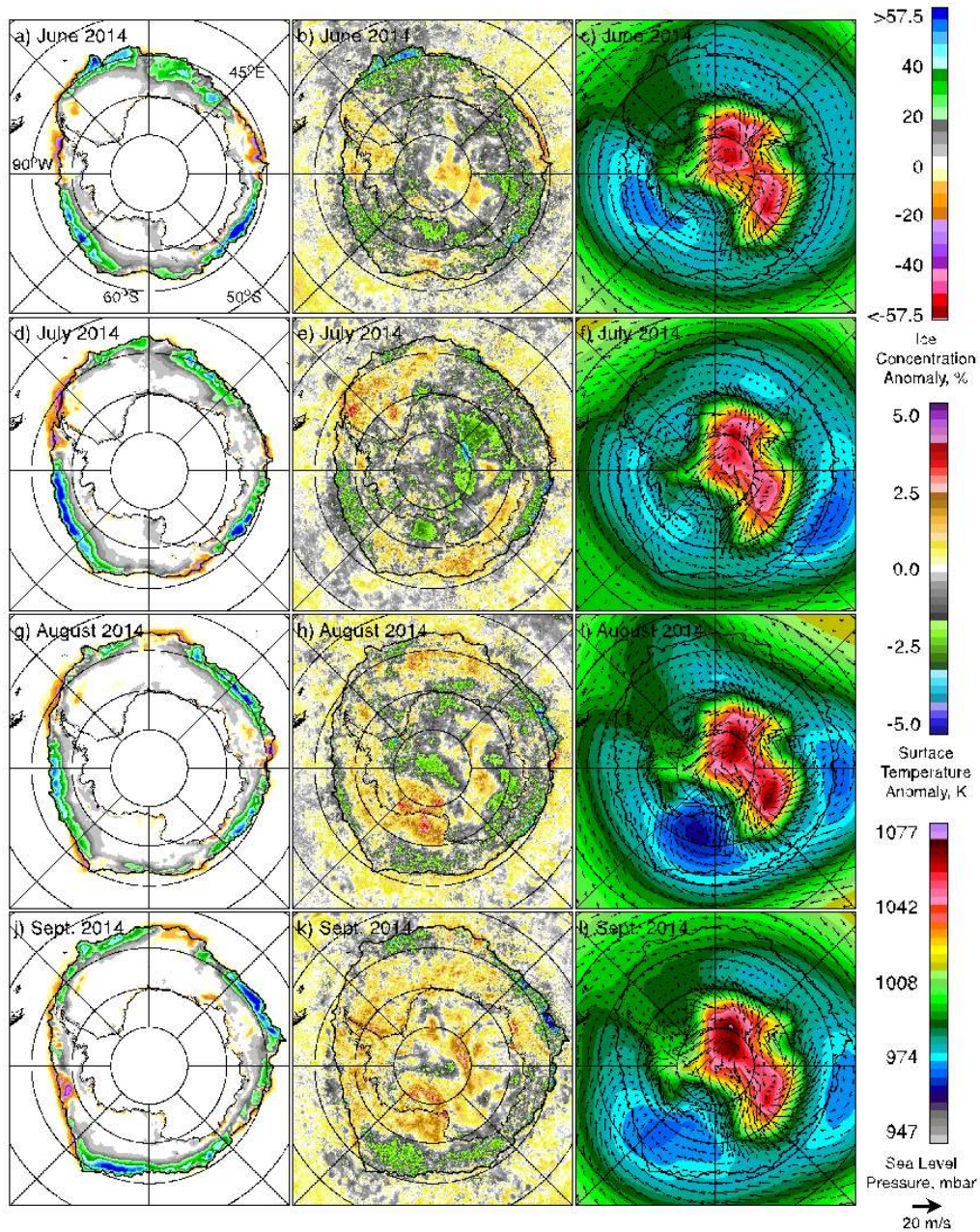
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696 Figure 7. Color-coded maps of trend of surface temperatures in each data element during  
697 the austral (a) winter; (b) spring; (c) summer and (d) autumn and (e) the entire year  
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699 each period is indicated by the black contour.



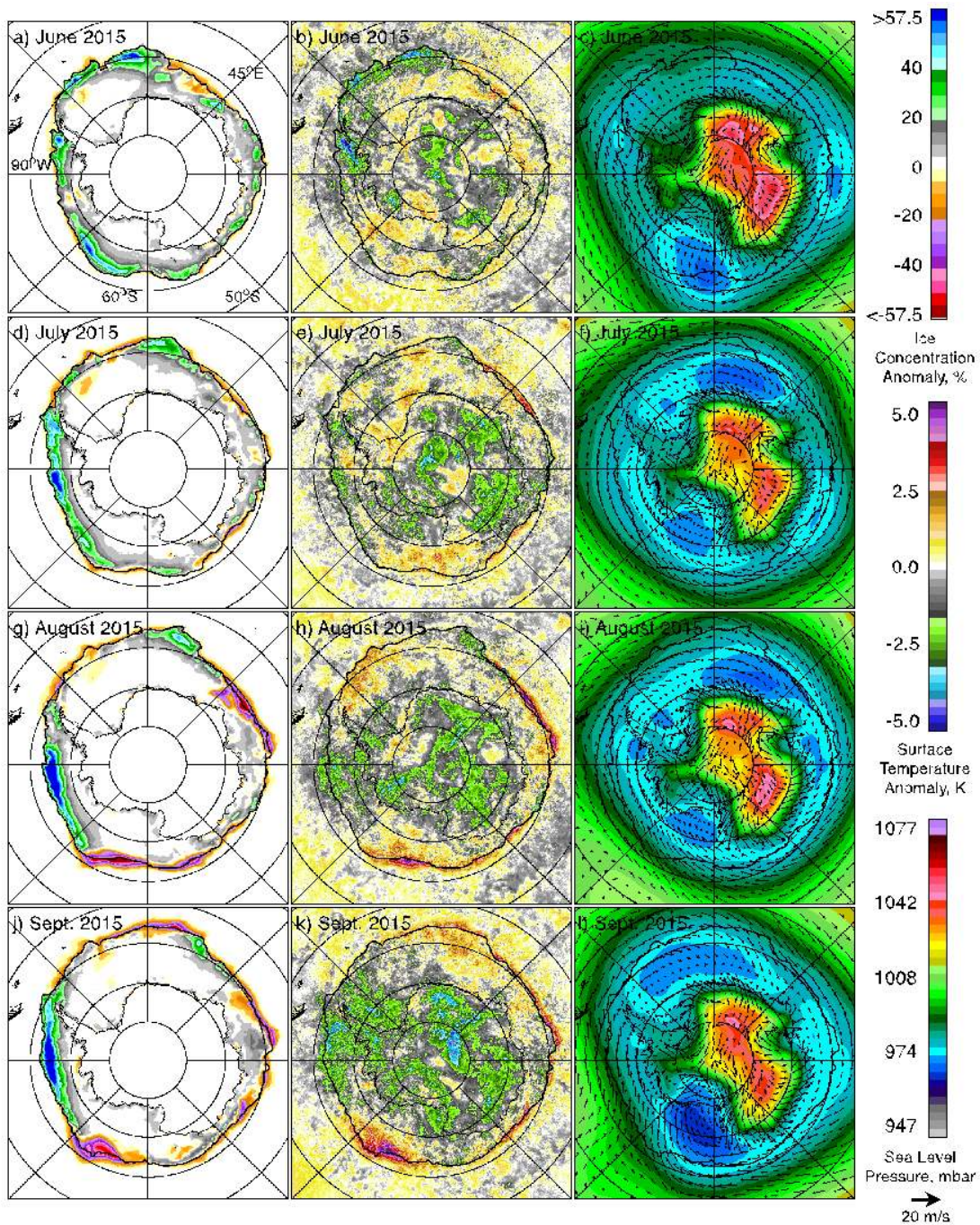
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Figure 9. Color-coded monthly anomaly maps of sea ice (a, d, g, j) and surface temperature (b, e, h, k) and monthly average maps of sea level pressure and wind (c, f, i, l) from June to September 2014.

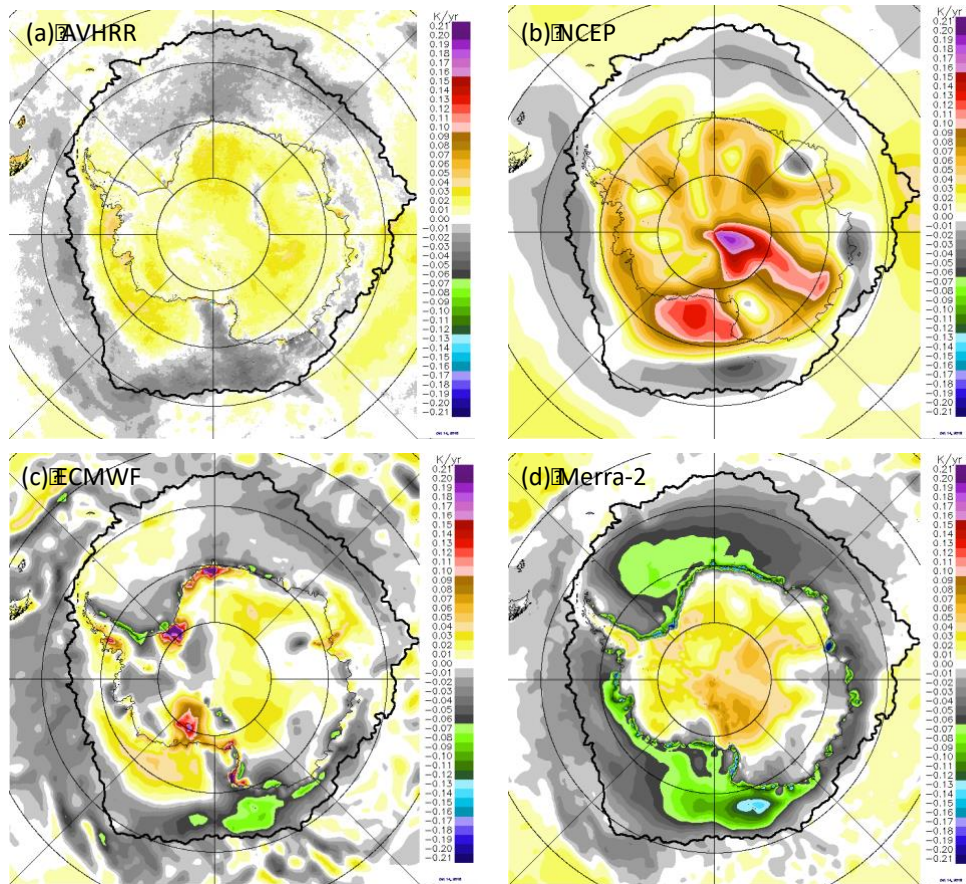


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Figure 10. Color-coded monthly anomaly maps of sea ice (a, d, g, j) and surface temperature (b, e, h, k) and monthly average maps of sea level pressure and wind (c, f, i, l) from June to September 2015.



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Figure 11. Trends in surface temperature using data from (a) AVHRR; (b) NCEP; (c) ECMWF (Interim); and (d) Merra-2.