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Possible causal factors of structural chromosome aberrations in intracytoplasmic sperm injection of the mouse

Tateno, Hiroyuki

**Possible causal factors of structural chromosome aberrations
in intracytoplasmic sperm injection of the mouse**

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Hiroyuki Tateno

Department of Biological Sciences, Asahikawa Medical College, Asahikawa 078-8510, Japan

Running title: ICSI and chromosomal risk

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Correspondence to: H. Tateno

Department of Biological Sciences

Asahikawa Medical College

2-1 Midorigaoka-higashi

15

Asahikawa 078-8510, Japan

Tel: +81-166-68-2729

Fax: +81-166-68-2783

E-mail: htateno@asahikawa-med.ac.jp

Abstract

Incidence of structural chromosome aberrations in mouse one-cell embryos produced by intracytoplasmic sperm injection (ICSI) with mature epididymal spermatozoa were influenced by sperm incubation medium and time. When spermatozoa were incubated in bicarbonate-buffered TYH for ≤ 0.5 h, the embryo aberration rates were significantly higher than IVF embryos. However, after the incubation of spermatozoa in the same medium for ≥ 2 h, the aberration rates were close to the IVF embryo level. When spermatozoa were incubated in bicarbonate-buffered mCZB, hepes-buffered H-TYH and H-mCZB, and phosphate-buffered PB1, the increased incidences of aberrations were observed at any incubation time. In the case of sperm incubation in H-TYH, H-mCZB and PB1, the aberration rates increased in a time-dependent manner. Chromosome aberrations generated by ICSI were transmissible to offspring. On the other hand, the aberration rate in embryos derived from testicular spermatozoa was independent of the medium type and incubation time. Thus, the incubation media appears to have no effect on sperm chromatin. TYH can effectively induce capacitation and acrosome reaction, while H-TYH, H-mCZB and PB1 never induce these spermatozoal events. It is probable that the cholesterol-rich plasma membrane and intact acrosome injected into the ooplasm affect sperm chromatin remodeling, thus resulting in the generation of chromosome damage in ICSI embryos.

Key words

ICSI, structural chromosome aberrations, mouse embryos, sperm plasma membrane, acrosome

Introduction

Since the mouse intracytoplasmic sperm injection (ICSI) technique was established in 1995 [1], it has been used for reproductive and cytogenetic research [2]. In addition, ICSI has superseded the interspecific in vitro fertilization (IVF) with zona-free golden hamster oocytes in chromosome analysis of human spermatozoa [3-9]. However, little attention has been directed to chromosomal risks using ICSI. As far as previous chromosome studies of mouse one-cell ICSI embryos are concerned, incidences ($7.7 \pm 3.4\%$) of structural chromosome aberrations are usually high even though live spermatozoa with normal morphology were used [10-17]. The incidence was approximately six times as high as that ($1.3 \pm 0.6\%$) in one-cell IVF embryos [18-22]. Thus, the detrimental effect of ICSI on chromosomes may be an issue.

There are several crucial differences between ICSI and conventional IVF. In ICSI, the oolemma is mechanically punctured by a fine glass needle, and then a small amount of medium and polyvinylpyrrolidone (PVP) are injected into the oocyte. Although a bicarbonate-buffered medium with bovine serum albumin (BSA) is usually used under 5% CO₂ to allow spermatozoa capacitate in IVF, hepes-buffered medium with polyvinyl alcohol (PVA) instead of BSA is used as a medium for sperm incubation under 100% air with ICSI because even uncapacitated spermatozoa can be fertilized after direct injection into the oocytes. However, our detailed chromosomal investigation of mouse ICSI embryos indicated that incidence of structural chromosome aberrations was relatively

high when uncapacitated spermatozoa were used for ICSI [23]. As ICSI is essential to the treatment of human male infertility, the detection of causal factors of structural chromosome aberrations would be fundamental to further the genetic security during this promising technique.

5 **Experimental design for chromosome analysis of ICSI embryos**

Mouse ICSI was basically performed according to Kimura and Yanagimachi [1], with the exception of sperm preparation. For sperm incubation, five types of media with a varying buffer system, i.e., bicarbonate-buffered TYH and mCZB, hepes-buffered H-TYH and H-mCZB, and phosphate-buffered PB1, were prepared [23]. Both TYH and mCZB were used under 5% CO₂, and others were used under 100% air. Spermatozoa were incubated in these media for 0 h (without incubation), 0.5 h, 2-2.5 h or 6 h at 37°C. In spite of the sperm incubation time, oocytes were collected approximately 16 h after hCG injection to avoid the in vitro aging. To make a comparison of the chromosome aberration rate, IVF embryos were conventionally produced using spermatozoa incubated in TYH for 1.5-2.0 h. Spermatozoa incubated in other media were unavailable to produce IVF embryos due to insufficient induction of acrosome reaction (see Fig.5). Oocytes that underwent ICSI and IVF were cultured in mCZB at 37°C. Six to eight hours later, fertilized eggs were transferred into mCZB containing vinblastine sulfate (0.02 µg/ml) to prevent syngamy and spindle formation, and cultured until they reached the first mitotic metaphase.

Chromosome slides of one-cell embryos were made by the gradual fixation-air drying method [24]. The chromosome slides were conventionally stained with 2% Giemsa solution to detect gap, acentric fragment, ring, and intra- and interchanges. Subsequently, C-band staining was applied to detect dicentric chromosome [12]. Structural chromosome aberrations reported here represent those in zygotes rather than in individual gametes because paternal chromosome complements were not always separated from maternal ones. However, in chromosome preparations in which paternal chromosome complements could be distinguished from maternal ones by less condensation of paternal chromosomes or presence of Y chromosome, most of structural chromosome aberrations were found to be of paternal origin. Regardless of the occurrence of structural aberrations in paternal chromosomes, incidences of structural chromosome aberrations of maternal origin in ICSI embryos remained fairly constant (0-2.8%) and they were similar to that (0.7%) in IVF embryos. Therefore, it is understandable that zygotic incidences of structural chromosome aberrations in one-cell ICSI embryos are faithfully dependent on the occurrence of structural chromosome aberrations of paternal origin.

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Changes in incidence of structural chromosome aberrations in one-cell ICSI embryos with sperm medium, time of sperm incubation, and source of spermatozoa

Chromosome analysis of one-cell ICSI embryos produced by motile spermatozoa from the

cauda epididymis found that incidences of structural chromosome aberrations were greatly dependent on the kind of sperm medium and incubation time (Fig. 1). Major types of aberrations were break and dicentric regardless of sperm incubation conditions (Fig. 2). When spermatozoa were incubated in TYH for 0 and 0.5 h, the incidence of structural chromosome aberrations in the resultant ICSI embryos was 6.9% and 7.4%, respectively. These rates were significantly ($P < 0.01$; chi-square test) higher than that (2.3%: 8/355) in conventional IVF embryos. However, when spermatozoa were incubated for 2-2.5 and 6 h, the aberration rates (3.8% and 4.3%, respectively) were reduced to IVF embryo levels. Significantly ($P < 0.05-0.001$; chi-square test) high aberration rates compared to IVF embryos were found in ICSI embryos derived from spermatozoa incubated in other media in spite of incubation time. There was a clear time-dependent increase in aberration rates when spermatozoa were incubated in hepes- and phosphate-buffered media.

To confirm whether such medium type- and incubation time-dependent changes are found in chromosome aberration rates in ICSI embryos derived from testicular spermatozoa, the spermatozoa were incubated in TYH, H-mCZB, and PB1 for 0.5 and 6 h before ICSI [25]. Unlike the results on embryos derived from mature epididymal spermatozoa, the aberration rates (7.4-11.7%) in embryos derived from testicular spermatozoa were considerably independent of the medium type, and there was no time-dependent change in incidence of structural chromosome aberrations even though sperm incubation was extended to 6 h (Fig. 3).

Transmission of structural chromosome aberrations to offspring

Embryos with imbalanced chromosome aberrations such as breaks and asymmetric exchanges usually die in the pre-implantation and early post-implantation stages, while most
5 embryos with balanced chromosome aberrations, such as reciprocal translocation and inversion, can survive [26]. These aberrations, however, often disturb future gametogenesis. To evaluate heritable risk, chromosomal analysis of ICSI fetuses was carried out [23, 25].

On the basis of chromosome analysis in one-cell embryos (Fig. 1), mature epididymal spermatozoa were incubated in TYH for 2-2.5 h (low aberration rate: 3.8%), H-mCZB for 2-2.5 h
10 (intermediate aberration rate: 14.7%), and PB1 for 6 h (high aberration rate: 28.1%) to produce embryos. When fertilized ova became two- or four-cell embryos, they were transferred into the oviducts of pseudopregnant females. The surrogate females were sacrificed on day 16 of pregnancy to examine fetus morphology and chromosomes.

There were neither morphological anomalies nor chromosome aberrations in fetuses
15 developed from IVF embryos (Table 1). However, external anomalies (umbilical hernia and polydactyly) were found in fetuses developed from ICSI embryos. Because both of the malformed fetuses had a normal karyotype, the cause of teratogenicity remains unclear. In a chromosomal survey, one (1.8%) fetus in TYH group had a mosaic consisting of normal cells and hyperploid cells

[23], and four (6.7%) fetuses in H-mCZB group displayed structural chromosome aberrations including a deletion, an inversion, and two different types of reciprocal translocations [25]. Thus, structural chromosome aberrations generated by ICSI are transmissible to offspring. Although there was no fetus with chromosome aberrations in PB1 group, more than 40% of embryos transferred were lost due to pre- and post-implantation death. The result of chromosome analysis of one-cell embryos indicates that the developmental defect is mostly due to structural chromosome aberrations.

The prenatal diagnosis of human ICSI fetuses revealed that incidence (0.44%) of *de novo* structural chromosome aberrations was approximately twice as high as the general population (0.16-0.22%) [27], and a higher rate (0.9%) of *de novo* structural chromosome aberrations was recently reported in ICSI fetuses, compared with that (0.2%) in IVF fetuses [28]. Although there is no available data on the incidence of *de novo* structural chromosome aberrations of paternal origin in human ICSI embryos, the results of the prenatal diagnosis suggest that embryos with structural chromosome aberrations may be significantly produced by ICSI.

15 **Possible causal factors of structural chromosome aberrations and the production mechanism**

There was a clear time-dependent increase in chromosome aberration rates when mature epididymal spermatozoa were incubated in hepes- and phosphate-buffered media (Fig. 1). Initially these media were suspected as one of causal factors of structural chromosome aberrations. However,

the result of chromosome analysis of ICSI embryos derived from testicular spermatozoa following incubation in H-mCZB and PB1 (Fig. 3) decreased the suspicion. Sperm chromatin is compacted in a small head; nevertheless, chromatin of testicular spermatozoa is less stable than that of mature epididymal spermatozoa because the former spermatozoa have poor disulfide (S-S) bonds in their nucleoprotein [29]. Some studies suggest that testicular sperm chromatin is vulnerable to chemical and physical treatments than mature epididymal sperm chromatin [30-32]. If sperm culture media directly exerted some detrimental effects on sperm DNA, more structural chromosome aberrations would be generated in embryos derived from testicular spermatozoa. However, even when testicular spermatozoa were incubated in H-mCZB and PB1 for 6 h, aberration rates in the resultant ICSI embryos were significantly lower than those in ICSI embryos derived from mature epididymal spermatozoa (Figs. 2 and 3). It is unlikely that hepes- and phosphate-buffered media are possible causal factors of structural chromosome aberrations. In addition, the great fluctuation in chromosome aberration rate depending on sperm incubation conditions does not support the original hypothesis that mechanical puncture of the oolemma, and injection of a small amount of medium with PVP cause structural chromosome aberrations in mouse ICSI embryos.

Mouse mature epididymal spermatozoa have an intact acrosome that covers nearly two thirds of the sperm head (see Fig. 4), and the cholesterol distinctively distributes in the plasma membrane overlying the acrosomal region [33, 34]. Because uncapacitated spermatozoa are usually

used in ICSI, these components are injected into oocytes. Precocious induction of the acrosome reaction by calcium ionophore reportedly improves fertilization and development in ICSI of mice [35]. A similar result was observed by mechanically disrupting the acrosome in human spermatozoa [36]. Furthermore, the injection of intact acrosome into the ooplasm altered nuclear decondensation in human [37], mouse [38], pig [39], and rhesus monkey spermatozoa [40-42]. The acrosome enzymes could potentially induce deformation and degeneration of mouse oocytes [43]. However, the simultaneous removal of the sperm plasma membrane and acrosome before ICSI improved oocyte activation and embryonic development [44].

Here, we observed a reduction in the incidence of structural chromosome aberrations in ICSI embryos derived from mature epididymal spermatozoa when spermatozoa were incubated in TYH for at least 2 h before ICSI (Fig. 1). TYH is widely used in the mouse IVF program because it can effectively induce sperm capacitation by promotion of cholesterol efflux from the plasma membrane and trigger acrosome reaction [45, 46]. Immunocytological staining of acrosome [47] also revealed that acrosome reaction was effectively induced when TYH was used for sperm incubation (Figs. 4 and 5). Although mCZB is a bicarbonate-buffered medium for mouse embryo culture, sperm incubation in this medium delayed progression of the acrosome reaction, most likely because mCZB contains the Ca^{2+} -chelating agent, EDTA. In the sperm incubation in H-TYH, H-mCZB and PB1, the acrosome reaction was never induced even after a lapse of 6 h. These results strongly suggest that

the removal of cholesterol from the plasma membrane and/or the induction of acrosome reaction before ICSI may prevent the generation of structural chromosome aberrations in mouse ICSI embryos.

There is a possible reason why injection of the cholesterol-rich plasma membrane and /or intact acrosome can affect chromosomes of paternal origin. During normal fertilization, sperm chromatin begins to decondense immediately after incorporation into the ooplasm. After passing through recondensation, the sperm chromatin drastically expands to form an enlarged male pronucleus. It has been found that decondensing mouse sperm chromatin during fertilization was highly vulnerable to topoisomerase II (topo-II) inhibitor teniposide [48]. When mouse sperm chromatin during fertilization was exposed to topo-II inhibitors etoposide and merbarone, nearly 100% of embryos displayed structural chromosome aberrations [49]. Thus, sperm DNA is cut and rejoined in the course of chromatin remodeling during normal fertilization and the process is mediated by ooplasmic topo-II. If the topological rearrangement of DNA during sperm chromatin remodeling was physically or chemically interfered by the plasma membrane cholesterol and/or acrosome enzymes, structural chromosome aberrations would be produced in ICSI embryos.

Whether removal of cholesterol from the plasma membrane and the induction of acrosome reaction before ICSI can reduce the occurrence of structural chromosome aberrations still remains to be investigated.

It has been shown that *in vitro* aging of mouse spermatozoa caused structural chromosome aberrations of paternal origin. The aberration rate increased from 1% to 6% after 6 h of *in vitro* aging and 12% after 12 h of *in vitro* aging when spermatozoa were stored in unsupplemented Tyrode medium [50, 51]. Estop *et al.* [51] also found that *in vitro* incubation of mouse mature spermatozoa altered the chromatin structure. The authors proposed that the change of chromatin structure makes DNA susceptible to denaturation, thus leading to structural chromosome aberrations. Chromatin alteration by *in vitro* aging of spermatozoa may contribute to an increased incidence of structural chromosome aberrations when mature epididymal spermatozoa were incubated for 6 h in hepes- and phosphate-buffered media.

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Conclusions

In mouse ICSI, injection of uncapacitated spermatozoa with intact acrosome was linked with the generation of structural chromosome aberrations in resultant embryos. In contrast, precocious induction of capacitation and acrosome reaction by incubating spermatozoa in bicarbonate-buffered TYH before ICSI was effective in repressing the occurrence of structural chromosome aberrations. Long incubation periods of spermatozoa in hepes- and phosphate-buffered media, which are unable to induce capacitation and acrosome reactions, increase chromosomal damage in ICSI embryos. To further improve genetic security using ICSI, sperm preparation and

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incubation conditions should be refined.

Although this article exclusively concentrated on the generation of structural chromosome aberrations in mouse ICSI embryos, concurrent chromosomal analysis of mouse ICSI embryos concludes that ICSI has no relation to the production of aneuploid embryos [23, 25].

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Figure legends

Fig. 1 Occurrence of one-cell ICSI embryos with structural chromosome aberrations when mature spermatozoa from the cauda epididymis were incubated in five different media for various times before ICSI

5 Number of embryos analyzed is indicated in parentheses

Statistical significance compared to IVF embryos (2.3%) is shown by a ($P<0.05$), b ($P<0.01$) and c ($P<0.001$) (chi-square test)

Fig. 2 Major types of structural chromosome aberrations found in ICSI embryos

10 Centromeric regions of chromosomes except Y chromosome are positively stained with the C-band method

(a) A chromosome break with acentric fragment (arrow)

(b) A dicentric chromosome (thick arrow) and a derivative fragment (fine arrow)

15 **Fig. 3** Comparison of occurrence of structural chromosome aberrations in one-cell ICSI embryos derived from testicular spermatozoa after incubation in three different media for 0.5 and 6

h

Number of embryos analyzed is indicated in parentheses

Fig. 4 Fluorescent patterns of mouse sperm acrosomes stained with FITC-conjugated peanut agglutinin

(a) Spermatozoon with the intact acrosome

(b) Spermatozoon showing acrosome reaction

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Fig. 5 Change in percentage of spermatozoa with acrosome reaction after incubation in five different media

Figure 1

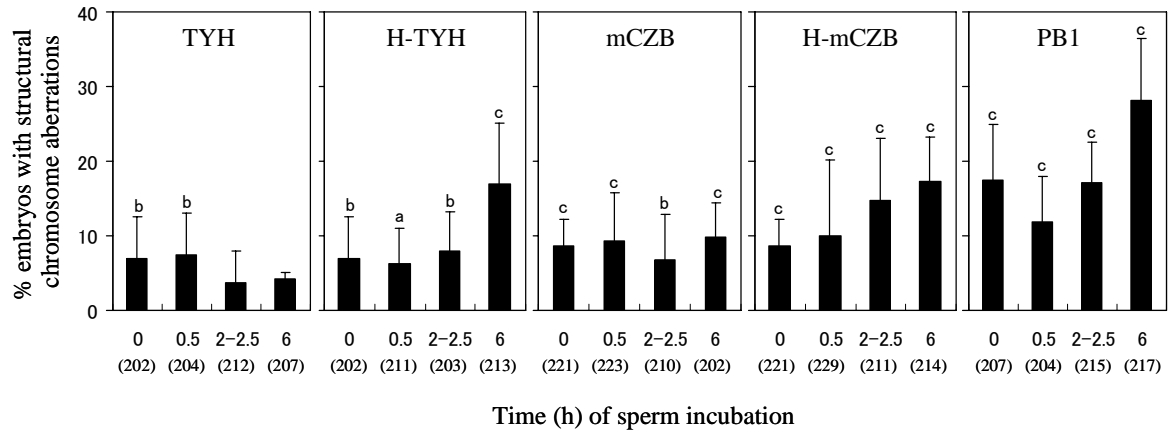


Figure 2

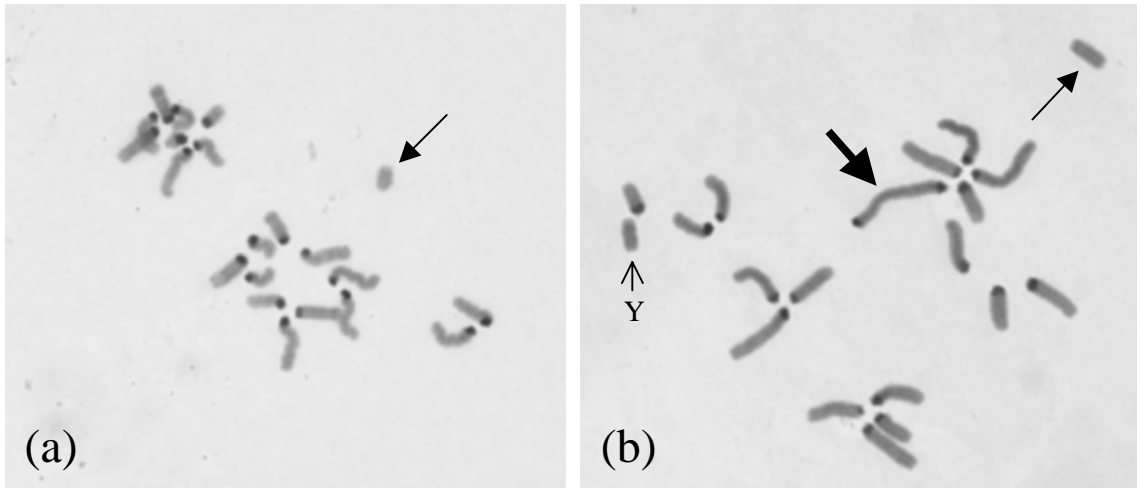


Figure 3

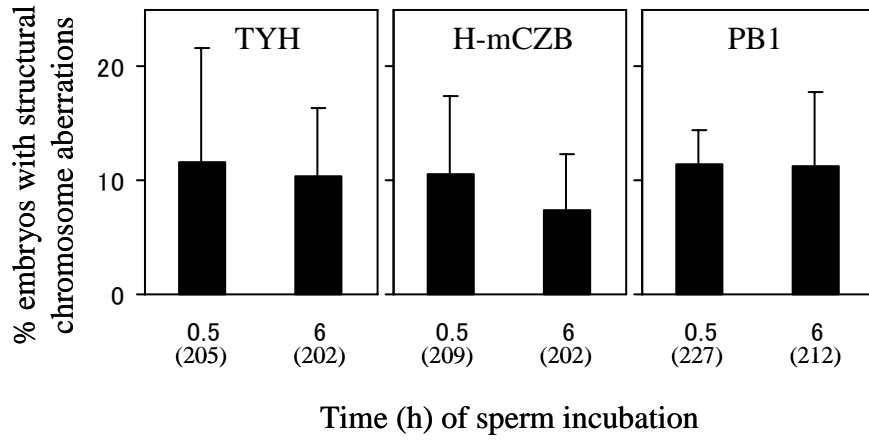


Figure 4

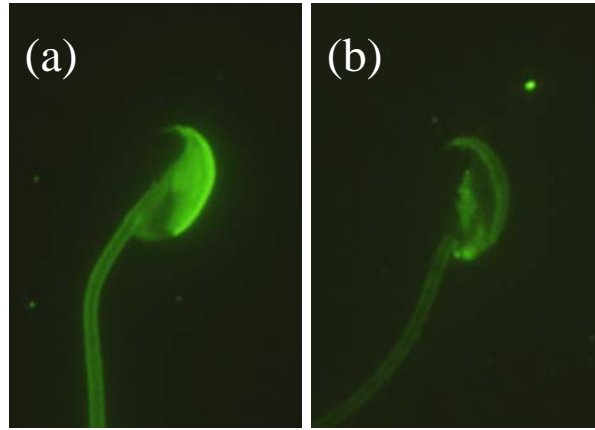


Figure 5

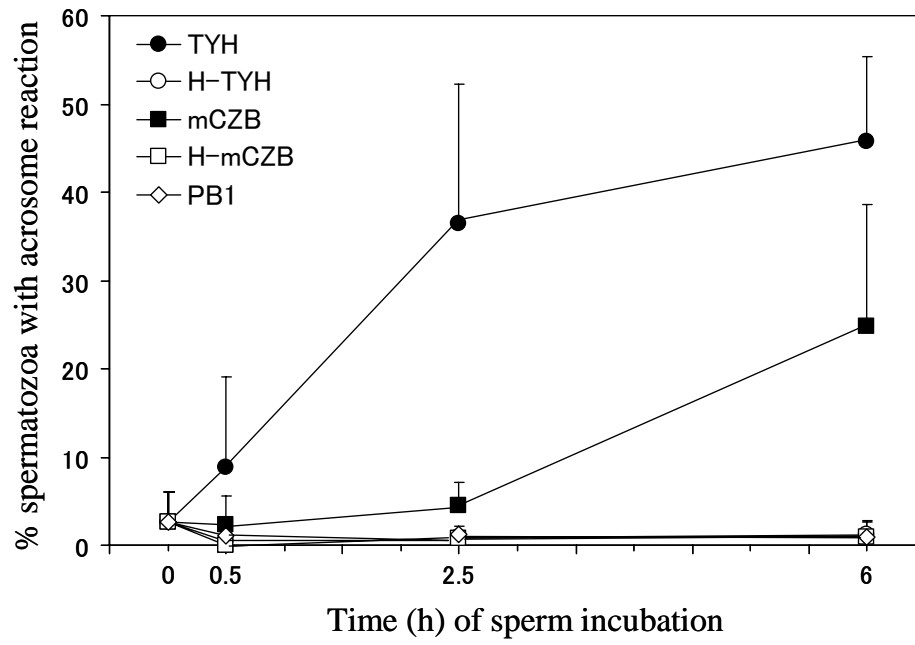


Table 1 Morphological and chromosomal analysis of fetuses developed from 2- or 4-cell embryos using IVF and ICSI techniques [23, 24]

Methods	Sperm medium (incubation time)	No. of embryos transferred	No. of developing fetuses	Morphological analysis			Chromosome analysis		
				Live fetuses		Dead fetuses	No. of fetuses karyotyped	Normal	Aberration
				Normal	Malformation				
IVF	TYH (1.5-2.0 h)	75	60	60	0	0	59	59	0
ICSI	TYH (2-2.5 h)	79	57	56	0	1 (1.7%)	56	55	1 (1.8%)
	H-mCZB (2-2.5)	87	62	59	1 ^a (1.6%)	2 (3.2%)	60	56	4 (6.7%)
	PB1 (6 h)	62	35	34	1 ^b (2.9%)	0	35	35	0

a: umbilical hernia, b: polydactyly