

# Power management in co-phase traction power supply system with super capacitor energy storage for electrified railways

Xiaohong Huang<sup>1</sup> · Qinyu Liao<sup>1</sup> · Qunzhan Li<sup>1</sup> · Sida Tang<sup>1</sup> · Ke Sun<sup>1</sup>

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Abstract Increasing railway traffic and energy utilization issues prompt electrified railway systems to be more economical, efficient and sustainable. As regenerative braking energy in railway systems has huge potential for optimized utilization, a lot of research has been focusing on how to use the energy efficiently and gain sustainable benefits. The energy storage system is an alternative because it not only deals with regenerative braking energy but also smooths drastic fluctuation of load power profile and optimizes energy management. In this work, we propose a co-phase traction power supply system with super capacitor (CSS\_SC) for the purpose of realizing the function of energy management and power quality management in electrified railways. Besides, the coordinated control strategy is presented to match four working modes, including traction, regenerative braking, peak shaving and valley filling. A corresponding simulation model is built in MATLAB/ Simulink to verify the feasibility of the proposed system under dynamic working conditions. The results demonstrate that CSS\_SC is flexible to deal with four different working conditions and can realize energy saving within the

$\bowtie$	Xiaohong Huang Huangxh_ee@swjtu.edu.cn; hxhxj924@163.com		
	Qinyu Liao Qyliao_abc@126.com		
	Qunzhan Li Lqz3431@263.net		
	Sida Tang 402998593@qq.com		
	Ke Sun 1731401192@qq.com		
1	School of Electrical Engineering, SouthWest Jiaotong University, Chengdu, China		

allowable voltage unbalance of 0.008% in simulation in contrast to 1.3% of the standard limit. With such a control strategy, the performance of super capacitor is controlled to comply with efficiency and safety constraints. Finally, a case study demonstrates the improvement in power fluctuation with the valley-to-peak ratio reduced by 20.3% and the daily load factor increased by 17.9%.

**Keywords** Electrified railway · Co-phase traction power supply system · Energy storage · Peak shaving · Valley filling · Power quality · Super capacitor

#### List of symbols

W	Electric energy
$p_{ m L}$	Power lower limit in load power optimization
$p_{\mathrm{H}}$	Power upper limit in load power optimization
$p_{\text{load}}$	Actual load power
$S_{c}$	State of charge of super capacitor
$S_{ m L}$	Lower limit of state of charge of super capacitor
$S_{\rm H}$	Upper limit of state of charge of super capacitor
$p_{\rm s}$	Grid output power
$p_{\rm sc}$	Absorbing power of super capacitor
$v_{\rm a}, v_{\rm b}, v_{\rm c}$	Three-phase voltages of the secondary side
	of transformer
$V_{\rm a}, V_{\rm b}, V_{\rm c}$	Root-mean-square value of $v_a, v_b$ and $v_c$ ,
_	respectively
I <sub>a</sub>	Root-mean-square value of secondary
	winding current
$\varphi_{a1}$	Phase angle of $I_a$
$i_{\rm a}, i_{\rm b}, i_{\rm c}$	Three-phase currents of the secondary side
	of transformer
$i_{ m ca}, i_{ m cb}, i_{ m cc}$	Three-phase compensation currents
$i_{a1}, i_{b1}, i_{c1}$	Positive sequence components of currents
v <sub>ab</sub>	Line voltage
$i_{ m load}$	Load current

$i_{lpha}, i_{eta}$	Current values in $\alpha\beta0$ stationary reference
	frame
$i_d$	DC component of current in $dq0$ rotating
	reference frame
<i>i</i> <sub>Ld</sub>	DC component output of low-pass filter
$i_{lpha { m f}}, i_{eta { m f}}$	Expected currents in $\alpha\beta0$ stationary
	reference frame
$i_{\rm apf}, i_{\rm bpf}, i_{\rm cpf}$	Expected currents of the secondary side of
	transformer
$i_{\rm pa}, i_{\rm pb}, i_{\rm pc}$	Driving currents of PWM (pulse width
	modulation)
<i>i</i> <sub>ref</sub>	Expected current of super capacitor
$i_{\rm sc}$ _ff	Feedforward current of super capacitor
i <sub>sc</sub>	Super capacitor current
$\Delta i_{ m sc}$	Current difference of super capacitor
$\Delta i'_{ m sc}$	PI value of $\Delta i_{\rm sc}$
$P_{\rm req}$	Design required power
V <sub>sc</sub>	DC side voltage of super capacitor
v <sub>dc</sub>	DC side voltage of PWM converter
V <sub>def</sub>	Given DC side voltage of PWM converter
$\Delta V$	Driving signal of PWM_boost
$\Delta I$	PI adjustment of DC side voltage of PWM
	converter
γ	Daily load factor
в	Minimum load rate

## 1 Introduction

Inter-city travel demand is significantly growing [1]. Intensive railway traffic provokes concerns about energy efficiency and CO<sub>2</sub> emissions. To build dynamic interaction between railway system and other traffic systems, following the development of Energy Internet, Traffic Energy Internet (TEI) [2] is put forward for the integration and centralization of transportation energy management. As the central component of the Traffic Energy Internet, the electrified railway system (ERS) has the great potential of energy recovery [2]. For the maximum utilization of regenerative energy, the concept of "generation-grid-loadstorage" system [2] was proposed to coordinate the dispatching system and energy management system. Up to now, extensive research has been carried out to improve the efficiency and speed of electrified railways. Regenerative braking (RB) can be generally regarded as a process of transforming braking kinetic energy of a train during the deceleration into electrical energy to supply traction power [3]. Energy storage utilization and energy feedback

utilization of regenerative braking energy have been widely applied in urban rail transit systems. González-Gil et al. [4] reported that RB can reduce energy-consuming by 10% to 45%. In terms of the AC power supply, high load power, load volatility, complex working conditions and characteristic of bidirectional energy flow, energy storage and energy recycling for electrified railways were explored [5–7]. Li et al. [5] discussed using flywheel as an energy storage device and verified the feasibility of integrating flywheel and ERS. Interestingly, Hernandez and Sutil [6] demonstrated the viability of providing renewable power (RB energy and solar energy) charging services for electric vehicles parking at railway station. Reference [7] focused on MMC (modular multi-level converter)-based RB energy recovery device for ERS. However, it is known that regenerative braking energy will be wasted if no train starts or accelerates in the same power supply zone. As the allowable amount of regenerative power feeding back to utility grid is limited, it is usually dissipated by onboard braking resistors. Therefore, RB is environmental friendly because it reduces energy supply from grid and waste heat generation. To maximize the use of RB, timetables optimization [8-10] is studied with the best energy saving up to 30%.

In reality, other economic factors should be considered. In China, the electricity charging standard includes the basic tariff and electricity tariff, of which the basic tariff in the two-part tariff system can be calculated by the transformer capacity or maximum demand depending on users' choice. The peak power of traction load directly influences both transformer capacity and maximum demand. Therefore, the economic benefits of RB can be considered as a long-term reduction in the ongoing cost of renewing railway infrastructure and a solution to the peak power. Hence, in order to utilize more regenerative energy and achieve smaller grid capacity, an energy storage system can play a key role as a transfer station. For power grid, introducing energy storage devices can mitigate the impacts caused by the volatility of load power when smoothing drastic fluctuation of load power profile.

Currently, there are many ways of integrating an energy storage device into ERS, such as onboard system, RPC (railway static power conditioner) system and hybrid PVbased (photovoltaic-based) system. In [11], the traction converter is connected to the super capacitor in parallel via the bidirectional DC–DC converter to store and release the RB energy. This work integrates the energy storage system with ERS, but arouses safety concerns about the placement and weight of the energy storage system. Chen et al. [12] developed a RPC with a super capacitor storage system, which can enhance the regenerative braking energy utilization, but they failed to solve the three-phase unbalance problem when super capacitors were discharging. Some research [13, 14] explored the application of photovoltaic generation technology in electrified railways, while other studies pay attention to power quality after a PV system accesses to ERS [15, 16].

When an energy storage system accesses to ERS, it is important to minimize its interference to the system. In contrast to DC locomotives, AC–DC–AC locomotives avoid low power factor and large harmonic content. However, the negative sequence that may influence system stability is one of the most pressing concerns in AC–DC– AC locomotives. One possible solution is to equip a cophase traction power supply system with a suitable energy storage device on its DC side [17, 18]. Thus, the power quality can be considered and there is no need to use the neutral section device at the exit of the traction substation. However, expensive power electronic converters are employed in the aforementioned solutions [17, 18].

In this work, a modified co-phase power supply system with super capacitor energy storage (CSS\_SC) is developed and its control strategy is proposed. It aims at optimizing power utilization and more importantly maintaining good power quality. The control strategy results in optimal



Fig. 1 Structure of standard ERS

charging/discharging profiles for storage components that guarantee highly efficient energy utilization. Moreover, it takes into account the current state of charge of energy storage. Energy management is suggested to guide the operation of the system to run in all kinds of working conditions.

The rest of the paper is arranged as follows. The next section introduces the system structure and advantages, as well as its working principle. In Sect. 3, the control strategy of CSS\_SC is illustrated. Section 4 moves on to present the simulation results in MATLAB/Simulink, and a case study is provided. Finally, in Sect. 5, conclusions are summarized.

## 2 System structure

### 2.1 Standard ERS structure

The ERS has a mature structure as shown in Fig. 1. Newbuilt lines generally employ YNd11, Scott or Vv connecting transformers. The merits of traditional ERS structure are simplicity, robustness and low cost. However, in order to accommodate short-term high-power load, the design capacity of transformer is often surplus. Under normal circumstances, the load rate just reaches 20%–30%, which means that the transformer capacity is wasted [19, 20]. In the long term, as ERS absorbs nearby renewable energy source by ERSs, the power quality is hardly warranted when introducing the energy [21, 22], which is also a valuable research proposition. Hence, a comprehensive plan is needed.

## 2.2 System schematic

The shortcomings of RPC system have been briefly discussed in Sect. 1. In order to make the integration of ERS and ESS (energy storage system) more efficient, the



Fig. 2 Topology of CSS\_SC and RPC system

connecting mode between transformer and AC–DC converter is slightly changed. As shown in Fig. 2, the proposed CSS\_SC is relatively simple compared to RPC system. With this connecting mode, the negative sequence caused by high-power single-phase load can be compensated by the three-phase converter, and the energy can mutually transit between AC–DC converter and DC–DC converter.

In CSS\_SC, network voltage (220 kV, nominal voltage) is stepped down to 27.5 kV through the three-phase transformer of YNd11; then, catenary is powered by line voltage. The three-phase AC–DC converter is connected to the secondary winding of a three-phase transformer. Energy storage system can be accessed to the system via DC–DC converter which is connected to AC–DC converter on DC side. In this work, the AC–DC converter adopts three-phase bridge circuit and the DC–DC converter uses boost/buck DC–DC converter (see Fig. 3).

With the proposed solution, the neutral section devices can be removed at the exit of the traction substation. The distance of power supply is extended, and the speed of trains is increased. Moreover, introducing renewable energy can simplify the system structure.

## 2.3 Analysis of working conditions

#### 2.3.1 Load power diagram

Figure 4 shows an actual traction load power profile of a feeder, which indicates the real time fluctuation of the traction load during 11:00 and 12:00. From Fig. 4, the inherent characteristics are revealed, i.e., the diurnal train operation exhibits periodicity and the power sharply fluctuates. The part of the curve above zero represents traction condition, while that below zero RB condition.

The electric energy is the integral of the traction power within the time interval  $t_1$  to  $t_2$ :



Fig. 3 Boost/buck converter



Fig. 4 An actual load power profile of a feeder

$$w = \int_{t_1}^{t_2} p(t) \mathrm{d}t.$$
 (1)

With the help of ESS, the electric energy can be redistributed in time dimension. The objective of energy management in this work is to smooth grid-side power and re-utilize RB energy. As an example, in Fig. 4 we can preset power upper and lower limits denoted as  $p_{\rm H}$  and  $p_{\rm L}$  and assume that  $p_{\rm load} > p_{\rm H}$  denotes a peak, and accordingly,  $p_{\rm load} < p_{\rm L}$  a valley. Hence, the energy storage devices should charge and store energy during valley and RB, and discharge and release energy during peak time. If the gross electric quantity in peak time is smaller than that in valley time, the peak part can be shaved and the valley part can be filled.

#### 2.3.2 Energy management

The energy storage system uses the super capacitor for its rapid charging and high-power discharging in all working conditions. To ensure the safe operation of a super capacitor, when the state of charge ( $S_c$ ) is under  $S_L$ , which is set to avoid out-of-control of discharge, the super capacitor stops discharging. Similarly, when  $S_c$  is beyond  $S_H$ , which is set to avoid out-of-control of charge, the super capacitor stops charging. Commonly, there are only two conditions in the process of operation: traction and RB. In order to cooperate with ESS, the system operation is reclassified into four modes.

• Traction mode

When  $p_{\rm L} < p_{\rm load} < p_{\rm H}$  or  $S_{\rm c}$  is out of the boundary, the AC–DC converter only transfers reactive power; meanwhile, the super capacitor affords the voltage stabilization on the DC side as the DC–DC converter operates as a boost circuit.

• RB mode



Fig. 5 Upper level control of CSS\_SC

When  $p_{\text{load}} < 0$  and  $S_c < S_H$ , RB energy is absorbed partially or even fully by the super capacitor according to the given power  $p_{\text{req}}$ . When  $p_{\text{load}} < 0$  and  $S_c \ge S_H$ , RB energy is directly returned to the grid.

## • Peak shaving mode

When  $p_{\text{load}} > p_{\text{H}}$  and  $S_{\text{c}} > S_{\text{L}}$ , super capacitor begins to discharge to shave the peak of the load power with the help of boost circuit.

• Valley filling mode

When  $0 < p_{\text{load}} < p_{\text{L}}$  and  $S_{\text{L}} < S_{\text{c}} < S_{\text{H}}$ , the super capacitor begins to charge from the grid and fill the valley of the load power with the help of buck circuit.

In summary, after presetting  $S_{\rm L}$ ,  $S_{\rm H}$  and detecting  $p_{\rm load}$ ,  $S_{\rm c}$  in real time, the working mode can be obtained by the algorithm in Fig. 5.

#### 2.3.3 Power flow and compensation direction

With regard to the system operation, the power flow and compensation direction of four working modes are illustrated in Fig. 6, where the red arrow represents power flow and the yellow arrow compensation direction. In the following equations,  $p_s$  is grid output power, and  $p_{sc}$  is power absorbed by super capacitor.

In traction mode,

$$p_{\rm s} = p_{\rm load}.\tag{2}$$

In regenerative braking mode,

$$p_{\text{load}} = p - p_{\text{sc}}.\tag{3}$$

In peak shaving mode,

$$p_{\text{load}} = p_{\text{s}} - p_{\text{sc}}.\tag{4}$$

In valley filling mode,

$$p_{\rm s} = p_{\rm load} + p_{\rm sc}.\tag{5}$$

#### 2.4 Analysis of negative sequence compensation

Based on KVL (Kirchhoff's voltage law) and KCL (Kirchhoff's current law), the compensation value is analyzed.

Assume that the three-phase voltages on the grid-side balance and negative sequence are completely



Fig. 6 Power flow and compensation direction

compensated. The voltages on the secondary side of threephase transformer are defined as

$$\begin{cases} v_{a} = \sqrt{2}V_{a}\cos(\omega t + \varphi), \\ v_{b} = \sqrt{2}V_{b}\cos\left(\omega t + \varphi - \frac{2}{3}\pi\right), \\ v_{c} = \sqrt{2}V_{c}\cos\left(\omega t + \varphi + \frac{2}{3}\pi\right), \end{cases}$$
(6)

where  $V_a = V_b = V_c$ , and they are the RMS (root-mean-square values) of the three-phase voltages.

With the output power  $p_s$ , the RMS value of secondary winding current  $I_a$  can be expressed as

$$I_{\rm a} = \frac{p_{\rm s}}{3V_{\rm a}\cos\varphi_0},\tag{7}$$

where  $\cos \varphi_0$  is the system power factor near to 1 because of the utilization of AC locomotives.

According to the phase relation, the phase angle of  $I_a$  can be expressed as

$$\varphi_{a1} = \varphi - \varphi_0. \tag{8}$$

When the negative sequence current is perfectly compensated, i.e., the output currents manifest threephase symmetry, the output currents of secondary winding can be expressed as

$$\begin{cases}
i_{a} = \sqrt{2}I_{a}\cos(\omega t + \varphi_{a1}), \\
i_{b} = \sqrt{2}I_{b}\cos\left(\omega t + \varphi_{a1} - \frac{2}{3}\pi\right), \\
i_{c} = \sqrt{2}I_{c}\cos\left(\omega t + \varphi_{a1} + \frac{2}{3}\pi\right).
\end{cases}$$
(9)

Finally, the compensation currents can be obtained with load current  $i_{load}$ :



Fig. 7 Phasor diagram corresponding to traction, peak shaving and valley filling conditions

$$\begin{cases}
i_{ca} = i_a - i_{load}, \\
i_{cb} = i_b + i_{load}, \\
i_{cc} = i_c.
\end{cases}$$
(10)

When  $v_a$  is a reference, the phasor diagram of the compensation currents in the conditions of traction and peak shaving and valley filling is plotted in Fig. 7, and the phasor diagram of the compensation currents under RB is depicted in Fig. 8.



Fig. 8 Phasor diagram under RB

## **3** Control strategies

In this section, we focus on the control of converters. The general control scheme as shown in Fig. 9 can be divided into four parts. The instantaneous reactive power theory is employed to calculate the reactive power and harmonics values. The voltage loop keeps DC bus stable. Besides, the

feedforward control and power loop regulate the behavior of the super capacitor.

#### 3.1 Control strategy of AC-DC converter

In order to adapt to the proposed connecting mode, a coordinated control strategy of the AC–DC converter is designed and presented in Fig. 10, which enables the three-phase AC system to accurately extract the fundamental wave component from single-phase load with use of the instantaneous reactive power theory. The proposed control strategy can meet the requirements of four conditions and realize active, reactive power compensation and harmonic suppression.

Calculating instantaneous symmetrical component is to convert the single-phase load current into the three-phase fundamental wave component, i.e., to formulate positive sequence component with three-phase instantaneous values:

$$i_{a1} = \frac{1}{3} \left[ i_{a}(t) - \frac{1}{2} i_{b}(t) - \frac{1}{2} i_{c}(t) \right] + \frac{\sqrt{3}}{6\omega} \times \frac{d[i_{b}(t) - i_{c}(t)]}{dt},$$
(11)



Fig. 9 General control scheme



Fig. 10 Coordinated control strategy of AC-DC converter

$$i_{b1} = \frac{1}{3} \left[ i_{b}(t) - \frac{1}{2} i_{c}(t) - \frac{1}{2} i_{a}(t) \right] + \frac{\sqrt{3}}{6\omega} \times \frac{d[i_{c}(t) - i_{a}(t)]}{dt},$$
(12)

$$i_{c1} = \frac{1}{3} \left[ i_c(t) - \frac{1}{2} i_a(t) - \frac{1}{2} i_b(t) \right] + \frac{\sqrt{3}}{6\omega} \times \frac{d[i_a(t) - i_b(t)]}{dt},$$
(13)

where  $i_{a1}$ ,  $i_{b1}$  and  $i_{c1}$  are positive sequence components;  $i_a(t)$ ,  $i_b(t)$  and  $i_c(t)$  are instantaneous load currents.

Then, the instantaneous active current can be obtained through Clark's transformation and Park's transformation. The corresponding transformation matrix  $C_{32}$  and C is

$$C_{32} = \sqrt{\frac{2}{3}} \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \end{bmatrix},$$
(14)

$$\boldsymbol{C} = \begin{bmatrix} \sin \omega t & -\cos \omega t \\ -\cos \omega t & -\sin \omega t \end{bmatrix}.$$
 (15)

And the DC component of  $i_d$  can be acquired by LPF (low-pass filter).

It is clear that the voltage loop in Fig. 10 is designed to make DC bus voltage stable. The master control strategy is based on the traditional instantaneous reactive power theory, which is widely used in APF (active power filter) control strategy.

#### 3.2 Control strategy of DC–DC converter

The control strategy of the DC–DC converter under different working conditions is based on two methods.

When the system only runs in traction, a load current feedforward control [23] can realize the stability of DC bus voltage and the fast tracking of instructions. The block



Fig. 11 Load current feedforward control



Fig. 12 Power loop control

diagram is shown in Fig. 11. The key point of this method is to keep the energy into/out the super capacitor while maintaining the stability of DC bus voltage.

When the system works under RB, peak shaving and valley filling conditions, the control strategy of the DC–DC converter as shown in Fig. 12 is based on deviation value between the output current of super capacitor  $i_{sc}$  and expected current  $i_{req}$  that is calculated by  $P_{req}$  and  $V_{sc}$ .

 $P_{\rm req}$ , a key factor to control the amount of power transferred, is obtained by

$$\begin{cases}
P_{\rm req} = p_{\rm load} < 0, & \text{for RB} \\
P_{\rm req} = p_{\rm load} - p_{\rm H}, & \text{for peak shaving} . \\
P_{\rm req} = p_{\rm L} - p_{\rm load}, & \text{for valley filling}
\end{cases}$$
(16)

## 4 Simulation analysis

#### 4.1 Simulation design

To verify the feasibility of proposed system and its control strategy, a train is simulated by a controlled current source block whose specifications refer to mass measurement data. Load current  $i_{\text{load}}$  is given as

$$i_{\text{load}}(t) = \begin{cases} i_1(t), & \text{for traction and peak shaving} \\ i_2(t), & \text{for RB} \\ 0.5i_1(t), & \text{for valley filling} \end{cases}$$
(17)

$$i_{1}(t) = 384\sin(\omega t) + 8\sin(3\omega t - 30^{\circ}) + 5\sin(5\omega t + 15^{\circ}) + 4\sin(7\omega t + 60^{\circ}) + 4\sin(45\omega t - 60^{\circ}) + 8\sin(47\omega t + 50^{\circ}) + 6\sin(49\omega t)(A),$$

$$i_2(t) = -0.5i_1(t). \tag{19}$$

For AC–DC–AC locomotives, the power factor is near  $\pm 1$ . Similarly, the simulation can be simplified with

 Table 1
 Simulation parameters

Parameters	Values	Unit
Rated source voltage	27.5	kV
Rated grid frequency	50	Hz
Grid impedance	1	Ω
Transformer	10:1	-
Output inductor at AC side	0.07	mH
Output impendence at AC side	1	Ω
DC bus voltage	6	kV
DC bus capacitor	50	μF
Boost/buck circuit inductor	1	mH
Capacitance of super capacitor	3000	F
Rated voltage of super capacitor	2700	V
рн	6	MW
PL	5	MW

Table 2 Simulation cases

Time (s)	Mode	$p_{\text{load}}$ (MW)	Detail
0–1	Traction	5.45	Avoid uncontrollable discharging
1–2	RB	- 3.23	Fully absorbed by ESS
2–3	Peak shaving	6.45	Fully shave
3–4	Peak shaving	7.70	Partially shave
4–5	Valley filling	3.45	Fill to the set value

27.5 kV three-phase voltage as the sources. Other simulation parameters are listed in Table 1.

In order to exhibit the dynamic performance of the proposed system, there are five working cases for the time horizon of 5 s, with each case of 1 s (see Table 2). Peak shaving has two different settings of  $P_{\rm req}$ , which are 6.45 MW for fully shaving and 2.2 MW for partially shaving.

On all conditions, it is important for the power quality to meet the standards in terms of the three-phase voltage unbalance, harmonics and power factor, as well as the stability of DC side voltage.

#### 4.2 Simulation results

Figure 13 shows three-phase voltage and current at the primary side in simulation and the a-phase amplification diagrams. Figure 14 depicts the three-phase voltage unbalance, DC bus voltage, power and SOC of super

capacitor, respectively. In Fig. 13, the three-phase voltage and current are symmetrical sine waves, respectively. In Fig. 14b, DC bus voltage is settled around 6 kV. As for harmonics, THD (total harmonic distortion) of the system is given in Table 3.

## A. Simulation of traction condition

As Fig. 14a indicates, the unbalance fluctuation of the three-phase voltage is around 0.005%, far lower than the standard maximum allowable value of 1.3%. Moreover, in Fig. 14c, d, the DC bus voltage reaches and remains to be steady state, 6 kV, while the SOC of the super capacitor is nearly unchanged. It is apparent that CSS\_SC only performs power quality maintenance in this case.

## B. Simulation of RB condition

The active power of the equivalent load  $p_{\text{load}}$  is roughly – 3.23 MW, which is also RB power in 1–2 s. In Fig. 14c, the active power of the super capacitor  $p_{\text{sc}}$  is 2.8 MW and the active power of 0.43 MW is feed back to the grid. Meanwhile, the three-phase voltage is fluctuating around 0.0035%, which is within the standard range. Note that the super capacitor is charging with SOC rising from 70.265% to 70.42% in one second. In this cases, the power factor reaches to – 1. As a result, the RB energy is almost fully absorbed by the super capacitor.

## C. Simulation of peak shaving

To demonstrate that the system is flexible to deal with both high-power and low-power loads, peak shaving condition is simulated twice (2-3 s and 4-5 s). During 2-3 s, the traction power, 6.45 MW, is almost fully supplied by



Fig. 13 Three-phase voltage and current



Fig. 14 Simulation results in traction, RB, peak shaving and valley filling conditions

Table 3 THD of the system

Time (s)	Mode	THD (%)	
0-1	Traction	2.42	
3–4	Peak shaving	2.69	
4–5	Valley filling	2.28	

the super capacitor, while the three-phase voltage unbalance is approximately between 0.007% and 0.008% and the SOC is from 70.42% to 70.015%. During 3–4 s, the required energy to propel the vehicle,  $p_{\text{load}}$ , is roughly equal to the sum of the super capacitor discharge power,  $p_{\rm sc}$ , and grid output power,  $p_{\rm s}$ , as shown in Fig. 14c, where  $p_{\rm load}$ ,  $p_{\rm sc}$  and  $p_{\rm s}$  are about 5.5, 2.2 and 7.7 MW, respectively. The initial value of SOC with 70.015% falls to 69.89%. The result shows that the control strategy of CSS\_SC is flexible to manage power flow.

## D. Simulation of valley filling

On the premise that three-phase voltage unbalance is within standard, during 4–5 s, the system is effective in filling valley, which can be seen in Fig. 14a–d. In Fig. 14c, the grid power  $p_s = 5.45$  MW, equals to the sum of the absorbing power of super capacitor,  $p_{sc} = -2$  MW, and the total load power,  $p_{load} = 3.45$  MW. In the meantime,



Fig. 15 Power fluctuation optimization at Danyang traction station

the super capacitor is charging with the SOC from 69.89% to 70.032%.

In conclusion, the simulations demonstrate that the CSS\_SC can handle the fundamental functions of adjusting power quality and energy transferring. In addition, the performance of smoothing grid power fluctuation during 3-5 s is shown in Fig. 14c, where the blue line ( $p_{load}$ ) is adjusted into the horizontal red line( $p_s$ ) with the help of super capacitor.

## 5 Case study

In this section, the performance of smoothing power fluctuation on the grid side is tested. The raw data are collected from Danyang traction substation in Jiangsu, China. The specifications of ESS are set as 20 MW in power and 3200 kW h in capacity. The triggered value of peak shaving and valley filling is set as 22.4 MW. The simulation results of power diagram before and after optimization are shown in Fig. 15a, and the action of charging/discharging is shown in Fig. 15b.

The characteristic of power diagram has been illustrated in Sect. 2. In Fig. 15a, before optimization, the trains intensively run across this power supply zone from 7:05 to 23:14. By applying proposed CSS\_SC, the optimized power profile (the red line in Fig. 15a) is smoother. It is interesting to note that after optimization, the daily load factor  $\gamma$  increases by 17.9%, with the minimum load rate  $\beta$  also increasing by 20.3%. The gap of valley to peak is shrunk by 40 MW, while the rate of valley to peak is reduced by 20.3%.

$$\gamma = \frac{p_{\rm av_per}}{p_{\rm max_per}},\tag{20}$$

$$\beta = \frac{p_{\min\_per}}{p_{\max\_per}},\tag{21}$$

where  $p_{av_per}$  is daily average load power,  $p_{max_per}$  is daily maximum load power and  $p_{min_per}$  is daily minimum load power.

In Fig. 15b, the width of the red/blue bars represents the charging/discharging time of the super capacitor, and the height of the red/blue bars represents the charging/discharging energy of the super capacitor. It is counted that the total number of discharging cycles is 524 and the maximum discharge volume is 3141.7 kWh. The peak can be fully shaved only if the charging part is larger than discharging part. The statistics show that the utilized RB energy is 8040.8 kWh, while daily consumption is 318000 kWh. It is found out that the total energy of peak shaving is 122658.3 kWh, which contributes to the stability of the grid power.

## 6 Conclusions

In this work, we focus on improving energy efficiency and address power quality problems for an optimized energy management with a proposed new topology of ERSs. In a purpose to realize economic energy saving and smooth power fluctuation, CSS\_SC is proved to be flexible to work in four conditions by using the coordinated control strategy. In summary, the advantages of CSS\_SC are listed as follows:

- The distance of power supply is extended, and the speed of trains is increased due to the removal of the neutral section for the traction substation.
- The RB energy of trains can be temporarily stored for reusing in traction. Therefore, the drastic fluctuation of load power on the power grid is weakened and the load rate of transformers is promoted.
- The regulated power quality can be guaranteed even in RB condition. The AC–DC converter keeps managing the power quality in terms of voltage, current and power. Power compensation is realized by generating required active and reactive power with assistance from the energy storage device.
- The system with energy storage device is suitable to unstable renewable energy. Bidirectional DC–DC converter can play a role of energy management and

coordination between the renewable energy and energy storage devices.

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