

Preclinical Models for Translating Regenerative Medicine Therapies for Rotator Cuff Repair

Kathleen A. Derwin, Ph.D.,^{1,2} Andrew Ryan Baker, M.S.,¹
Joseph P. Iannotti, M.D., Ph.D.,² and Jesse A. McCarron, M.D.²

Despite improvements in the understanding of rotator cuff pathology and advances in surgical treatment options, repairs of chronic rotator cuff tears often re-tear or fail to heal after surgery. Hence, there is a critical need for new regenerative repair strategies that provide effective mechanical reinforcement of rotator cuff repair as well as stimulate and enhance the patient's intrinsic healing potential. This article will discuss and identify appropriate models for translating regenerative medicine therapies for rotator cuff repair. Animal models are an essential part of the research and development pathway; however, no one animal model reproduces all of the features of the human injury condition. The rat shoulder is considered the most appropriate model to investigate the initial safety, mechanism, and efficacy of biologic treatments aimed to enhance tendon-to-bone repair. Whereas large animal models are considered more appropriate to investigate the surgical methods, safety and efficacy of the mechanical—or combination biologic/mechanical—strategies are ultimately needed for treating human patients. The human cadaver shoulder model, performed using standard-of-care repair techniques, is considered the best for establishing the surgical techniques and mechanical efficacy of various repair strategies at time zero. While preclinical models provide a critical aspect of the translational pathway for engineered tissues, controlled clinical trials and postmarketing surveillance are also needed to define the efficacy, proper indications, and the method of application for each new regenerative medicine strategy.

Introduction

Unmet clinical needs

Rotator cuff tendon tears are a common cause of debilitating pain, reduced shoulder function, and weakness in the adult human population. It has been estimated that as much as 30% of the patient population seen by subspecialty shoulder surgeons may be related to rotator cuff pathology. In the absence of surgical repair, full-thickness cuff tears result in a persistent tendon defect, which may have detrimental effects on cells and tissues in both the extra- and intraarticular joint space. Chronic, full-thickness rotator cuff tendon tears demonstrate evidence of degeneration and edema that becomes more pronounced as the tear size increases.¹ Further, large tears have markedly less reparative vascular and cellular components than small tears, which compromised their ability to heal.¹ In many cases, particularly with large tears, the unloaded muscle progresses to severe and irreversible atrophy, fatty infiltration, and fibrosis,² and the affected muscle-tendon unit stiffens and becomes clinically difficult to mobilize and repair.³

Surgical repair of chronic tears is indicated when conservative treatment fails to improve the patients' symptoms.⁴

Despite improvements in the understanding of rotator cuff pathology and advances in surgical treatment options, repairs of large, chronic cuff tears fail to heal in 20–95% of cases.^{5–7} Several factors have been suggested to be responsible for the high failure rate of chronic tears. These include patient age,^{8,9} smoking,¹⁰ size of tear,^{8,9,11} time from injury to repair,¹² tendon quality,¹³ muscle quality,¹⁴ biologic healing response,¹⁵ and surgical technique.^{16,17} Further, many recurrent and chronic rotator cuff tears are considered not repairable at all. Treatment of symptomatic irreparable tears is extremely challenging and limited to nonsurgical management, debridement with partial repair,^{18–21} or major reconstructive procedures such as muscle transfers²² or shoulder arthroplasty.²³

The high failure rate and morbidity associated with chronic tears form the basis for recommending early surgical repair for acute, full-thickness rotator cuff tears. Yet, there remains a critical need for new tissue engineering and regenerative repair strategies that target the clinically challenging, large, and chronic injury condition. These strategies should provide both effective mechanical reinforcement of a rotator cuff repair as well as stimulate and enhance the patient's intrinsic healing potential.^{24,25} Discriminating

¹Department of Biomedical Engineering, Orthopaedic Research Center, Cleveland Clinic, Cleveland, Ohio.

²Department of Orthopaedic Surgery, Orthopaedic and Rheumatologic Institute, Cleveland Clinic, Cleveland, Ohio.

preclinical models are also needed to evaluate these new approaches, to predict their safety and efficacy in the human population. It is the objective of this article to discuss and identify appropriate models for translating new regenerative medicine therapies for rotator cuff repair

Animal Models

Animal models are the primary translational pathway for investigating the efficacy, safety, and mechanisms of action of engineered tissues and regenerative medicine therapies. Over the past two decades, animal shoulder models have been widely used to investigate rotator cuff repair strategies. Each model has advantages and disadvantages that must be considered in the context of the specific research questions being asked. Features of the human injury condition that would be ideal to achieve in an animal shoulder model include (1) similar soft tissue and bony anatomy as human, (2) similar shoulder function as human, (3) an intrasynovial injury environment, (4) a chronic injury condition, (5) a tendon size that allows for standard-of-care repair techniques used in humans, (6) the incidence of tendon re-tear in a percentage of subjects, (7) an absence of spontaneous tendon healing or scar formation without treatment, (8) the ability to control postoperative mechanical loading on the repair, and (9) the ability to evaluate clinically relevant outcome measures such as functional assessment, pain, and imaging; if investigation of the associated muscle pathology is the objective, then the animal model should also demonstrate (10) muscle atrophy, stiffening, and fatty infiltration after the creation of a tendon tear that is irreversible without successful surgical repair.

Rat Model

The rat model developed by Soslowsky *et al.* is considered to have the greatest similarity to human with respect to bony anatomy and activity (overhead reaching).²⁶ Similar to the human shoulder (Fig. 1A), the rat acromion projects anteriorly over the humeral head to the clavicle, creating an enclosed arch over the supraspinatus tendon (Fig. 1B). However, the rat rotator cuff tendons are dissimilar from human (Fig. 2A) in that they are aligned and not interdigitated (Fig. 2B). They appear to be confluent with the underlying joint capsule only at their insertions. In general, the supraspinatus tendon has been the target of most investigations in the rat model. When the rat locomotes, burrows, and reaches overhead (such as for food), excursion of the supraspinatus tendon occurs immediately below the acromial arch, similar to the human shoulder.²⁶ Hence, the rat model has been particularly useful to study the mechanisms of supraspinatus tendon injury involved in the pathogenesis of rotator cuff disease, especially those processes related to extrinsic tendon damage caused by repetitive motion injuries (treadmill running) or impingement.²⁶⁻²⁸

The rat model has also been used to study the mechanisms,²⁹⁻³¹ healing,³²⁻³⁵ and regenerative strategies³⁶⁻³⁸ for acute tendon-to-bone repair. It is an appealing model for molecular, histologic, and immunohistochemical investigations because of the large number of specimens that can be readily obtained and its utility as an extensively bred and genetically defined tool for these types of assays.³¹ Further, re-tear of rotator cuff repairs performed with a Mason-Allon-like stitch has not been observed postoperatively in

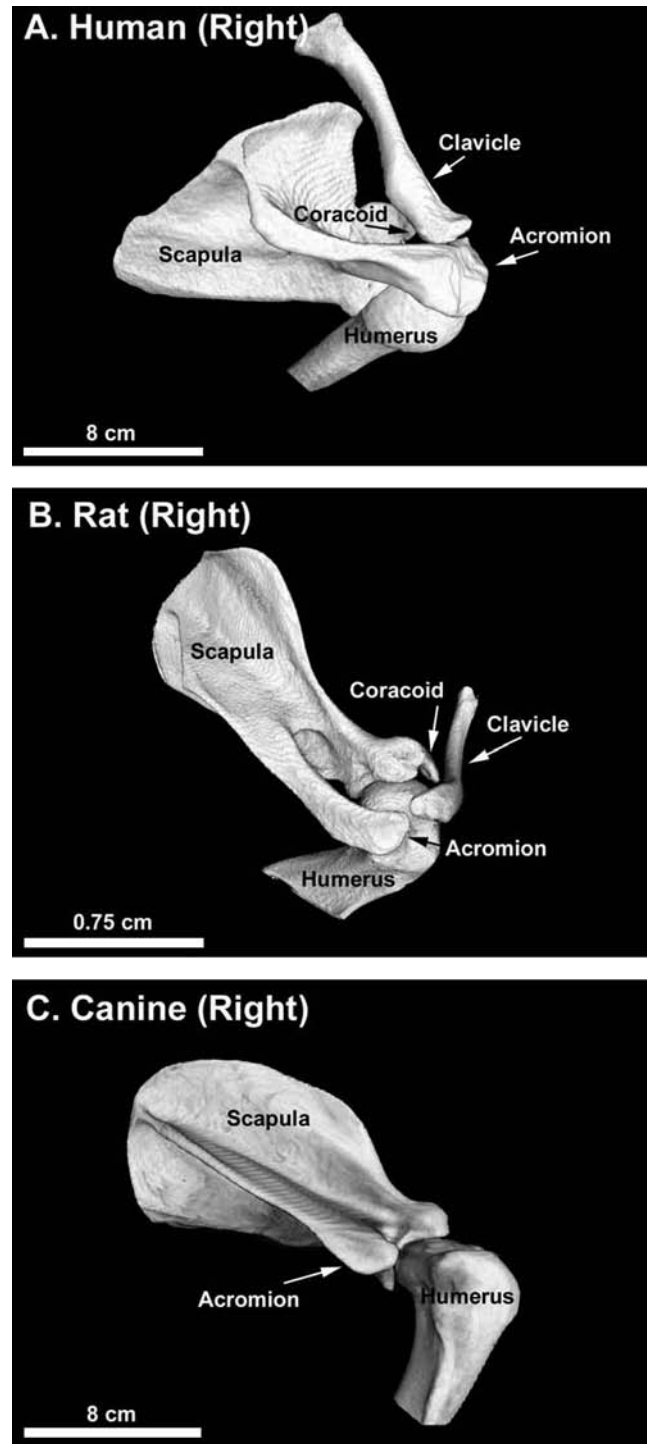


FIG. 1. Postero-lateral-superior view of the right shoulder bony anatomy in (A) human, (B) rat, and (C) canine. Similar to the human shoulder (A), the rat acromion projects anteriorly over the humeral head to the clavicle, creating an enclosed arch over the supraspinatus tendon (B). The bony anatomy of large animals such as the canine (C) diverges from human in that the acromion, clavicle, and the coracoid process are generally minimal or nonexistent and do not cover the rotator cuff.

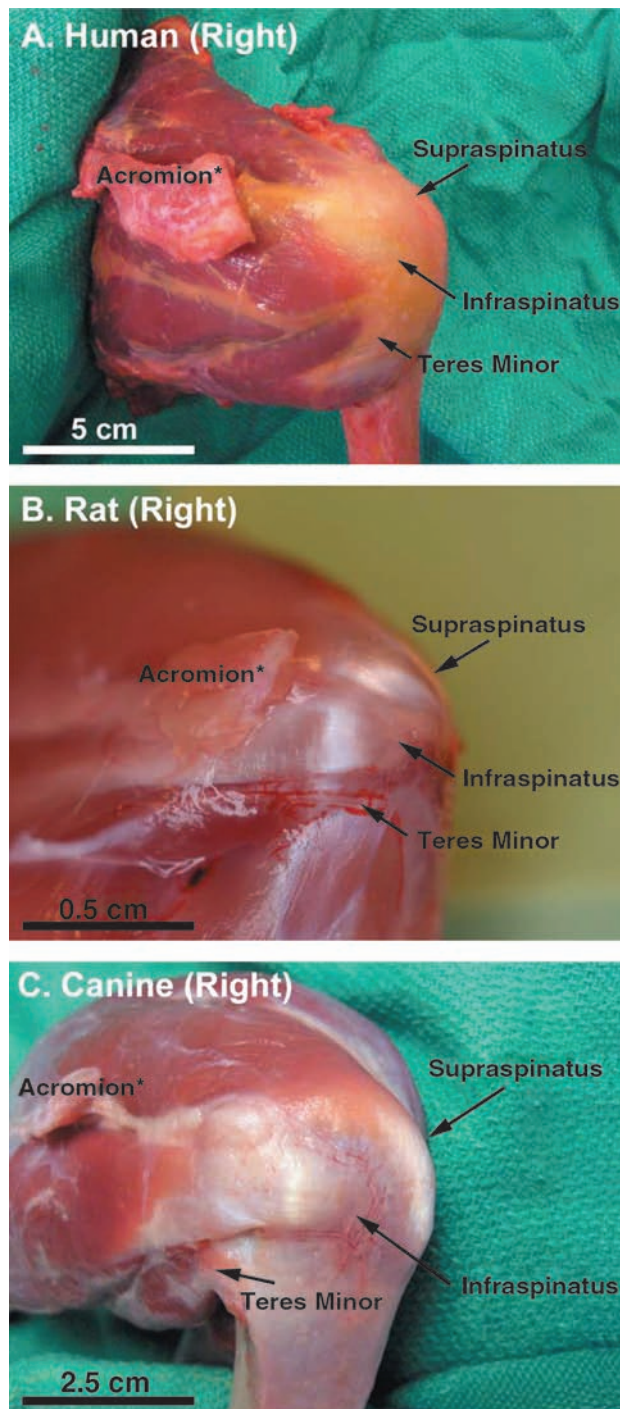


FIG. 2. Posterior-lateral view of the right rotator cuff in (A) human, (B) rat, and (C) canine. The rotator cuff tendons in the rat shoulder (B) are dissimilar from human (A) in that they are aligned and not interdigitated. They appear to be confluent with the underlying joint capsule only at their insertions. In general, the supraspinatus tendon has been the target of most investigations in the rat model. The rotator cuff tendons of large animals such as the canine (C) are also dissimilar from human (A) in that they are extraarticular, highly aligned, and not interdigitated. The infraspinatus tendon has been the target of most investigations in large animal models, and this tendon is not integrated with the underlying joint capsule. *The acromion has been partially resected in the photographs of human and rat rotator cuff to fully observe the supraspinatus tendon.

the rat model.³⁹ Hence, the rat model lends itself particularly well to studying regenerative strategies for tendon-to-bone repair that are biologically based as the effectiveness of these types of approaches, for example, growth factor therapy, depend to a large extent on maintaining an intact tendon-to-bone repair interface. Recently, the rat has also been used to study the use of scaffold devices for rotator cuff repair augmentation⁴⁰ or interposition grafting across a large rotator cuff defect,^{41–43} which is a regenerative strategy that fundamentally targets joint closure and not anatomic (mechanical) repair.

Further, the rat allows for measures to control postoperative loading on the tendon-to-bone repair. Hence, the rat has been successfully used to study the effect of postoperative activity levels (casting, muscle paralysis, free cage activity, and exercise) on the acute tendon-to-bone healing.^{34,35,39} The rat tolerates bilateral shoulder surgery (e.g., Refs.^{31,40,43}), which offers the experimental advantage of having a paired control. As well, the rat model has been used to investigate the pervasive clinical problems of chronic rotator cuff repair^{44–49} and two tendon tears.^{50,51} Because chronic tendon tears in the rat are repairable through at least 16 weeks,⁴⁸ the rat allows for studies of tendon-to-bone repair in the context of a clinically relevant chronic tendon injury, although in the absence of persistent degenerative muscle changes.⁴⁷ Finally, the rat model has the advantages of low cost, ease of management, allowance for large sample size, and availability of biologic agents.

Limitations of the rat model include the absence of irreversible muscle fat accumulation with a chronic tear,⁴⁷ making the rat less suited for studying the mechanism and treatment of associated muscle pathology. Further, the absence of postoperative re-tears in the rat is a significant departure from the human condition and makes the rat a less suitable model for evaluating repair strategies that are engineered to target the critical need for mechanical efficacy in human rotator cuff repair. As well, the small size of the rat shoulder tendons makes the study of standard-of-care repair techniques utilized in human rotator cuff repair impossible. Like other animals, the rat is quadrupedal and its forelimb is weight bearing and used for gait (as well as overhead reaching). Finally, also like other animal models, the rat undergoes scar tissue formation and healing of the rotator cuff injury in the absence of treatment,^{42,43} which limits the ability to discriminate nonefficacious treatments for the human condition, where spontaneous healing does not occur.

Large Animal Models

Rotator cuff injury and repair has been investigated in large animal models, including rabbit,^{52–60} goat,^{61,62} sheep,^{63–68} and dog.^{69–76} (Although the rabbit may be considered by some to be a small animal, it is grouped with the large animals because it is in many ways more similar to the large animals than to the rat with respect to the points of this discussion.) The bony anatomy of these larger animals diverges from human (Fig. 1A) in that the acromion, clavicle, and the coracoid process are generally minimal or nonexistent and do not cover the rotator cuff^{77,78} (Fig. 1C). (In the rabbit, the bony anatomy differs from the goat, sheep, and dog in that the acromion is directed inferiorly and partially covers the infraspinatus and teres minor tendons, and the

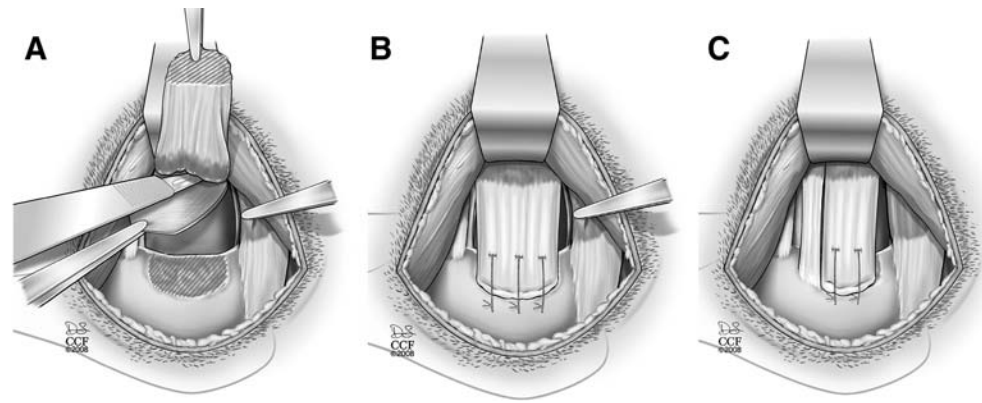


FIG. 3. Rotator cuff tendon injury and repair in the large animal (canine) model. (A) To model an intraarticular injury of infraspinatus tendon injury and repair, a portion of the joint capsule must be deliberately resected. (B) A full-width infraspinatus tendon injury and repair model is demonstrated. (C) A partial-width infraspinatus tendon injury and repair model is demonstrated; in this instance, the superior two-thirds of the infraspinatus tendon was released and repaired.

subscapularis tendon travels through a bony tunnel on the anterior aspect of the scapula.⁷⁹) The soft tissue anatomy of the large animal shoulder is also significantly different from human (Fig. 2A) in that the rotator cuff tendons in large animals are extraarticular, highly aligned, and not interdigitated (Fig. 2C). The infraspinatus tendon has been the target of most investigations in large animal models because these animals become lame if the supraspinatus tendon is injured, though recently the subscapularis tendon has been investigated in the rabbit model.⁷⁹ The infraspinatus tendon in large animals is not integrated with the underlying joint capsule.⁷⁷ Hence, a portion of the joint capsule must be deliberately resected in the infraspinatus injury model, to model the intraarticular nature of the injury that occurs in the human condition⁷⁶ (Fig. 3A).

Large animal shoulder models facilitate accuracy and reproducibility of injury and repair manipulations.⁸⁰ Because their size allows for many standard-of-care surgical techniques to be reproduced, large animal models have been used to study various surgical repair techniques at time zero^{81–86} and after healing.^{87,88} It should be appreciated, however, that animal tendons in these models are generally acutely severed and healthy, in contrast to chronically injured and perhaps diseased human rotator cuff tendons. On the other hand, the highly aligned structure of the animal tendons makes them less effective at retaining sutures than healthy, human cuff tissue. To some extent, both of these issues in large animals confound our conclusion on the mechanical effectiveness of various repair strategies for the human condition. As well, large animals have been used to study regenerative strategies for acute tendon-to-bone healing using growth factors,^{25,89} scaffold interposition,^{42,59,75,90–92} and scaffold augmentation.^{65,76,93}

Large animal models have been used to a lesser extent to study chronic rotator cuff repair^{54,63,64,67,68} as the tendons become irreparable to their anatomic footprint after approximately 6 weeks, resulting from excessive tendon retraction and muscle atrophy and stiffening.⁶⁸ When tendons are chronically released in large animal models, robust scar tissue forms in the gap between the tendon edge and the bone.⁷⁷ To identify the released tendon edge for subsequent, chronic repair procedures, a nonresorbable membrane such

as Gore-Tex must be used to cover the tendon stump at the time it is released.⁶⁸ As a consequence of chronic tendon release, significant muscle atrophy and fatty infiltration develop and persist in large animal models,^{54,64,66,67,94,95} making them well suited to study the mechanism and treatment of associated rotator cuff muscle pathology.

Several studies have reported that rotator cuff repairs in large animals undergo high re-tear rates postoperatively. Using tantalum bead markers in the canine model, it has been reported that acute, full-width tendon repairs (Fig. 3B) re-tear within the first days after surgery, regardless of suture type, suture configuration, or modulation of postoperative activity using slinging or low-ceiling housing.⁷⁷ These observations are supported by similar re-tear rates for full-width primary repairs in the sheep model,^{25,63,96} even when postoperative activity is limited using small pens or a softball affixed to the operatively treated leg. Clearly, the activity and postoperative management of large animals is challenging to control, and likely plays a role in the high re-tear rates observed. However, it should be noted that the canine shoulder in particular allows for clinically relevant rehabilitation modalities, including slinging, hobbles, casting, swimming, walking through obstacles, jumping down from graduated heights, exercise bands, and treadmill walking or running (in air or underwater).⁹⁷ Researchers using the canine shoulder model might consider and adopt aspects of the well-established veterinary expertise related to canine shoulder rehabilitation.⁹⁸

A partial width tendon injury and repair model has recently been used in the canine (Fig. 3C), based on the reasoning that a partial width injury might moderate the incidence of repair failures and mimic the mechanical environment of many single tendon tears in the human injury condition.⁷⁶ However, a 100% incidence of re-tear was also reported with this partial-width model, though tendon retraction distance was somewhat reduced compared to full-width injury and repairs. We conclude that either a full- or partial-width injury and repair model in a large animal will provide a rigorous test of the extent to which a new repair strategy or postoperative protocol can maintain the structural integrity of a repair in a high-load environment. However, large animal models may be a more rigorous test for a mechanical repair strategy than the human condition,

given the highly aligned structure of the animal tendons (less effective suture retention than human cuff tissue) and the relative difficulty in controlling the postoperative load environment of the animals.

Limitations of the large animal models include quadrupedal gait with limited overhead reaching. Further, like the rat, large animal models undergo robust scar tissue formation between the released tendon stump and bone in the absence of treatment. This gap scar tissue can be visually, mechanically, and histologically misconstrued as tendon.^{63,77} Further, the high incidence of tendon re-tear makes large animal models less suited to study the mechanism or efficacy of biologic treatments aimed at tendon-to-bone healing because of the difficulty keeping the tendon and bone in proximity after repair. When studied in large animal models where re-tear occurs, biologic treatments become difficult to maintain at the repair site and at best serve to influence scar tissue formation in the gap between the retracted tendon stump and the bone. As well there is an increased cost and complexity of management associated with large animals, which reduces the practical sample size for experimental studies. Finally, the activity of large animals can be challenging to control, though to varying degrees they do accommodate casting,^{99,100} slinging,¹⁰¹ and treadmill running.^{102–104}

Human Cadaveric Models

As previously discussed, the mechanical effectiveness of various repair strategies for the human condition may be difficult to fully appreciate in a large animal model. Human cadaver models have been used historically to investigate the mechanical strength of various suture repair techniques.^{105–113} Human cadaver models offer the advantage of testing repair strategies in human rotator cuff tissue, where the suture retention properties and mechanical load environment of the human condition can be reasonably well reproduced. Recently, a human cadaver model was used to study scaffold strategies aimed at improving the mechanical properties of a rotator cuff repair at time zero (i.e., at the time of surgery).¹¹⁴ While this model did not reproduce all of the elements of a standard-of-care repair technique for augmentation with scaffolds, it provides the basis for future refinements of the human cadaver model for evaluating the appropriate surgical methods and mechanical efficacy of scaffold-based strategies. Further, it will be important to evaluate scaffold repair techniques under cyclic—not just load to failure—conditions, as cyclic loading models the physiologic environment of the rotator cuff repair during the postoperative period.^{105,111–113} Human cadaver models are limited by high variability in bone and tendon properties among donors, requiring paired studies to be performed.¹¹³ They are also limited by only providing information on the mechanical performance of a repair strategy at time zero. Nonetheless, together with large animal models, human cadaver models performed using standard-of-care repair techniques provide an important part of the translational pathway for evaluating the mechanical effectiveness of regenerative strategies for rotator cuff repair.

Preclinical Models: Which One to Choose?

One can appreciate that various animal models offer distinct advantages and disadvantages for studying rotator cuff

tissue engineering and regenerative repair strategies. While a nonhuman primate shoulder may offer more anatomic, biomechanical, and immunologic similarity to humans than other animals, cost and management issues make use of this model impractical. A comparison of the animal models discussed in this article is summarized in Table 1. It is readily apparent that no one animal model reproduces all of the features of the human injury condition. All animals differ from human in terms of the biomechanical use of their shoulder. As well, because no animal is immunologically identical to the human, a possible adverse immunologic response to a regenerative medicine therapy in human patients may not be predicted from animal studies.^{115,116} Finally, all animals exhibit some degree of scar tissue formation in the absence of repair or treatment, which reduces the ability to discriminate nonefficacious treatments for the human condition using any animal model.

Based on its anatomy, the rat is most appropriate to study the mechanism, pathogenesis, and/or management of rotator cuff disease. Further, because the rat rotator cuff can be repaired in a mechanically stable manner such that re-tears do not occur, the rat lends itself to studying healing mechanisms or the effect of biologic treatments at the tendon–bone interface. However, studies investigating mechanical repair strategies aimed to reduce re-tears and enhance gap tissue formation are better studied in large animal models where standard-of-care surgical techniques can be reproduced and the mechanical loads are demanding. A human cadaver model using standard-of-care repair techniques and cyclic loading may be the most appropriate way to test the mechanical effectiveness of a particular repair strategy at time zero.

Scaffolds for tendon interposition and augmentation are a common regenerative approach being investigated currently. Many important research questions can be studied in either the rat or large animal models, except to the extent the research question is related to mechanical efficacy. In these cases the reproducibility of a standard-of-care surgical technique and robust mechanical load environment in the large animal model would be preferable. As previously mentioned, however, the effectiveness of a mechanical repair strategy for human patients may be underappreciated in the large animal model where re-tears of even acute repairs currently do not appear to be preventable. Again, a human cadaver model may be the most appropriate way to test the efficacy of mechanically based scaffold strategies at time zero.

Finally, while a chronic tendon injury can be created in both the rat and the large animals, the time-frame at which the tendon becomes irreparable in the large animal is limited. As well, if the incidence of re-tear is high for acute repairs in large animals, one can assume that it is as high or higher for chronic tears. Hence, the rat is the preferable model to study chronic tendon-to-bone healing if maintenance of repair integrity is essential to the research question. However, the persistence of chronic muscle changes (atrophy and fatty infiltration) makes the large animal model preferable for studies of the mechanism and treatment of muscle pathology and the mechanical environment associated with chronic rotator cuff injury.

While no one model may be appropriate for assessing every rotator cuff regenerative medicine strategy, it is clear

TABLE 1. COMPARISON OF ANIMAL MODELS FOR ROTATOR CUFF TISSUE ENGINEERING AND REGENERATIVE REPAIR

<i>Feature</i>	<i>Rat shoulder model</i>	<i>Large animal shoulder models (rabbit, dog, goat, and sheep)</i>
Bony anatomy ¹	Human-like (Fig. 1)	Diverges from human (Fig. 1)
Rotator cuff (soft tissue) anatomy ¹	Diverges from human (Fig. 2)	Diverges from human (Fig. 2)
Shoulder function ²	Quadruped, but some overhead range of motion such that excursion of the supraspinatus tendon occurs immediately below the acromial arch, similar to human	Quadruped, limited range of motion
Postoperative environment ²	Low absolute loads	Modest to high absolute loads
Intrasynovial injury environment ³	Readily achieved with (supraspinatus) tendon release	Deliberate joint capsule resection required with (infraspinatus) tendon release
Chronic tendon/joint capsule injury ⁴	Partially persists	Partially persists
Full-width chronic tendon injuries ⁴	Reparable through at least 16 weeks	Irreparable after approximately 6 weeks
Chronic muscle changes ¹⁰	Do not persist	Persist
Tendon size ⁵	Limits standard-of-care repair techniques	Permits standard-of-care repair techniques
Re-tear incidence ⁶	None/low	High
Healing response without treatment ⁷	Spontaneous, robust scar tissue	Spontaneous, robust scar tissue
Control of activity ⁸	Casting, muscle paralysis, treadmill	Casting, muscle paralysis, slinging, treadmill
Clinical outcome measures ⁹	Gait and pain analysis	Gait and pain analysis; MRI, ultrasound to assess tendon healing
Cost	Inexpensive	Expensive
Management	Easy	Challenging
Sample size	Large	Small
Antibodies	Readily available	Limited availability

Superscript numbers refer to the features of the human injury condition that are listed in the Animal Models section. MRI, magnetic resonance imaging.

that our interpretation and comparison of various approaches would be greatly aided by the adoption of some commonalities in our animal studies with respect to study design, outcome measures, and spectrum of controls. We suggest that using a paired study design and including time zero and normal controls will facilitate interpretation of the efficacy of an approach within and across studies. Further, use of a common species, injury, and repair technique for large animal studies would aid in comparison of various approaches. Forums that foster critical discussion among the orthopedic research community should be directed at both identifying and defining best model systems for rotator cuff regenerative medicine strategies.

Summary

Animal models are a critical part of the preclinical pathway for identifying engineered tissues and regenerative medicine therapies that will successfully lead to improved outcomes and quality of life for patients suffering with chronic, debilitating rotator cuff injuries. The rat shoulder is arguably the most appropriate and cost-effective model to investigate the initial safety, mechanism, and efficacy of biologic treatments aimed to enhance acute or chronic tendon-to-bone repair. Due to high re-tear rates in the human population, however, an effective biologic treatment may be rendered useless for human use if it cannot be delivered and

maintained at the rotator cuff repair site via a mechanically robust vehicle. Hence, regenerative medicine therapies for human rotator cuff repair must ultimately include a mechanical—and perhaps a combination biologic/mechanical—approach, the safety and efficacy of which are better investigated in human cadaver and large animal models using standard-of-care surgical techniques. While animal models may allow us to assess the extent to which a particular regenerative strategy induces an unfavorable host response, limits re-tear or gap formation during the postoperative period, or improves the biomechanical properties of a healed repair, we must recognize that no animal model entirely reproduces the biologic or mechanical environment of the human injury condition. Ultimately, the onus lies on the orthopedic profession, the regulatory bodies, and industry to perform controlled clinical trials and postmarketing surveillance to define the efficacy, proper indications, and the method of application for each new regenerative medicine strategy.

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Address correspondence to:

Kathleen A. Derwin, Ph.D.

Department of Biomedical Engineering, ND2-20

Orthopaedic Research Center

Cleveland Clinic

9500 Euclid Ave.

Cleveland, OH 44122

E-mail: derwink@ccf.org

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