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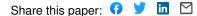
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Precursory slow slip and foreshocks on rough faults

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Key Points:

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7	• Rough fault simulations exhibit simultaneous for eshocks and creep caused by het-
8	erogeneity in normal stress
9	- Stress transfer between for eshocks and creep produces a positive feedback and $1/{\rm t}$
10	acceleration prior to the mainshock
11	• The precursory phase is characterized by migratory seismicity and creep over an
12	extended region

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13 Abstract

Foreshocks are not uncommon prior to large earthquakes, but their physical mechanism 14 remains controversial. Two interpretations have been advanced: 1. foreshocks are driven 15 by aseismic nucleation; 2. foreshocks are cascades, with each event triggered by earlier 16 ones. Here we study seismic cycles on faults with fractal roughness at wavelengths ex-17 ceeding the nucleation length. We perform 2-D quasi-dynamic, elastic simulations of fric-18 tionally uniform rate-state faults. Roughness leads to a range of slip behavior between 19 system-size ruptures, including widespread creep, localized slow slip, and microseismic-20 ity. These processes are explained by spatial variations in normal stress (σ) caused by 21 roughness: regions with low σ tend to creep, while high σ regions remain locked until 22 they break seismically. Foreshocks and mainshocks both initiate from the rupture of locked 23 asperities, but mainshocks preferentially start on stronger asperities. The preseismic phase 24 is characterized by feedback between creep and foreshocks: episodic seismic bursts break 25 groups of nearby asperities, causing creep to accelerate, which in turns loads other as-26 perities leading to further foreshocks. A simple analytical treatment of this mutual stress 27 transfer, confirmed by simulations, predicts slip velocities and seismicity rates increase 28 as 1/t, where t is the time to the mainshock. The model reproduces the observed mi-29 gration of foreshocks towards the mainshock hypocenter, foreshock locations consistent 30 with static stress changes, and the 1/t acceleration in stacked catalogs. Instead of in-31 terpreting foreshocks as either driven by coseismic stress changes or by creep, we pro-32 pose that earthquake nucleation on rough faults is driven by the feedback between the 33 two. 34

35 Plain Language Summary

Understanding premonitory seismicity leading up to large earthquakes has been a 36 central problem in seismology for several decades. In spite of constantly improving ob-37 servational networks and data analysis tools, we are still grappling with the fundamen-38 tal question: what causes foreshocks? Do they represent a chain of isolated events, or 39 are they driven by slow slip over a large fault area, gradually accelerating before the main-40 shock? In this study, we tackle this question with numerical simulations of slip on a fault 41 with a realistic (fractal) geometry. This geometrical complexity causes spatial variations 42 in stress: compression or extension occur as irregularities on opposite sides of the fault 43 are pressed closer together or pulled apart. This spatial heterogeneity modulates slip sta-44 bility across the fault, causing simultaneous occurrence of slow slip and foreshocks. The 45 two processes are linked by a positive feedback, since each increases stresses at the lo-46 cation of the other; under certain conditions, this can culminate in a large earthquake. 47 Our model reproduces a number of observed foreshock characteristics, and offers new in-48 sights on the physical mechanism driving them. 49

50 1 Introduction

Foreshocks have been observed before many moderate and large earthquakes (Abercrombie 51 & Mori, 1996; Jones & Molnar, 1976; Trugman & Ross, 2019; Ende & Ampuero, 2020), 52 and even though modern seismic networks and analysis techniques have imaged foreshock 53 sequences in unprecedented detail (Ellsworth & Bulut, 2018; Tape et al., 2018), the phys-54 ical mechanisms driving them remains debated (Gomberg, 2018; Mignan, 2014). One in-55 terpretation is that foreshocks represent failures of seismic sources (asperities) driven by 56 an otherwise aseismic nucleation process (Tape et al., 2018; Bouchon et al., 2013, 2011; 57 Sugan et al., 2014; McGuire et al., 2005; Abercrombie & Mori, 1996; Ruiz et al., 2014; 58 A. Kato, Fukuda, Nakagawa, & Obara, 2016). Aseismic acceleration prior to instabil-59 ity is predicted by theory (Ruina, 1983; Dieterich, 1992; Rubin & Ampuero, 2005; Am-60 puero & Rubin, 2008) and has been observed in laboratory experiments (Dieterich & Kil-61 gore, 1996; McLaskey & Lockner, 2014; McLaskey, 2019) and numerical simulations (e.g. 62 Dieterich, 1992; Lapusta et al., 2000; Lapusta, 2003). On the other hand, foreshocks have 63 been interpreted as a cascade of events triggered by one another, not mediated by an aseis-64 mic process (Helmstetter & Sornette, 2003; Hardebeck et al., 2008; Schurr et al., 2014). 65 Recent studies have shown that the relative locations of foreshocks are in fact consistent 66

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with static stress triggering (Ellsworth & Bulut, 2018; Yoon et al., 2019), and the lack
of detectable aseismic slip preceding most moderate to large earthquakes supports the
view of a triggering cascade.

The occurrence of foreshocks implies fault heterogeneity: if they are driven aseis-70 mically, heterogeneity leads to simultaneous occurrence of seismic and slow slip; in the 71 cascade model, it is required to explain why foreshocks remain small, while the main-72 shock evolves into a large rupture. Previous modeling studies of foreshocks have consid-73 ered various sources of heterogeneity: velocity weakening asperities in a velocity strength-74 ening fault (Dublanchet, 2018; Yabe & Ide, 2018); spatial variations in nucleation length 75 on a velocity weakening fault caused by heterogeneous state evolution distance (Noda 76 et al., 2013) or effective normal stress (Schaal & Lapusta, 2019). In these studies, aseis-77 mic slip can take place around the asperity due to either velocity strengthening behav-78 ior or frictional properties that lead to large nucleation dimensions; however, the pres-79 ence of asperities with a small nucleation dimension can nevertheless lead to a cascad-80 ing sequence (Noda et al., 2013). 81

Perhaps the most ubiquitous and best characterized source of heterogeneity is geometrical roughness: faults are fractal surfaces (Power et al., 1987, 1988; Power & Tullis, 1991; Sagy et al., 2007; Candela et al., 2009, 2012; Brodsky et al., 2016). Numerical and theoretical studies have shown that fault roughness has a first order effect on rupture nucleation (Tal et al., 2018), as well as propagation and arrest (Fang & Dunham, 2013; Dunham et al., 2011; Heimisson, 2020; Ozawa et al., 2019).

Here we focus on the effect of long wavelength roughness (exceeding the nucleation 88 length) on the nucleation phase and precursory seismicity leading up to a mainshock. 89 We perform quasi-dynamic simulations of rough but otherwise uniform velocity-weakening 90 faults embedded in a linear elastic medium. Numerical simulations show that a rich slip 91 behavior ranging from slow slip to seismic ruptures arises as a consequence of normal 92 stress heterogeneity induced by fault roughness, which causes spatial variations in strength 93 and fault stability. Early in the cycle, low normal stress regions start to creep stably while 94 high normal stress regions (from now on referred to as "asperities") remain locked. The 95 mainshock nucleation phase is characterized by an interplay between accelerating creep 96 and episodic foreshocks: creep loads asperities, until they fail seismically; foreshocks in-97 crease stress on nearby asperities and creeping areas, causing the latter to accelerate in 98

⁹⁹ turn triggering subsequent foreshocks; asperities don't fully relock after failure, gradu-

ally unpinning the fault and increasing the creeping area and velocities. We introduce

a simple analytical model based on these interactions, which predicts acceleration in seis-

micity rate and creep as 1/t, where t is the time to the mainshock. Simulated sequences reproduce a number of observations, such as the relative location of foreshocks, their migration towards the mainshock hypocenter and the power-law acceleration of foreshocks in stacked catalogs.

¹⁰⁶ 2 Numerical model

We run 2-D plane strain simulations with the quasi-dynamic boundary element code *FDRA* (Segall & Bradley, 2012). The following equation of motion governs fault slip:

$$\tau_{el}(\mathbf{x}) - \tau_f(\mathbf{x}) = \frac{\mu}{2c_s} v(\mathbf{x}),\tag{1}$$

where μ is the shear modulus, τ_f the frictional resistance, and τ_{el} the shear stress due to remote loading and stress interactions between elements. The stress from each element is computed from dislocation solutions (e.g., Segall, 2010), accounting for variable element orientation. The right hand side is the radiation damping term, which represents stress change due to radiation of plane S-waves (Rice, 1993), with c_s the shear wave speed.

Frictional resistance evolves according to rate-state friction (Dieterich, 1978):

$$\tau_f(v,\theta) = \sigma \left[f_0 + a \log \frac{v}{v_0} + b \log \frac{\theta v_0}{d_c} \right],\tag{2}$$

where, a, b and are constitutive parameters; d_c is the state evolution distance; σ is the effective normal stress; v^* a reference slip velocity; f_0 the steady-state friction coefficient at $v = v^*$, and θ is a state-variable. Model parameters are listed in table 1. We employ the ageing law (Ruina, 1983) for state evolution:

$$\frac{d\theta}{dt} = 1 - \frac{\theta v}{d_c},\tag{3}$$

such that steady-state friction at sliding velocity v is

$$f_{ss}(v) = f_0 + (a-b)\log\frac{v}{v^*}.$$
 (4)

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We apply remote loading such that the stress-rate tensor is pure shear:

$$\dot{\sigma}_1 - \dot{\sigma}_3 \equiv \dot{\sigma}_D \tag{5}$$

$$\dot{\sigma}_1 + \dot{\sigma}_3 = 0, \tag{6}$$

where $\sigma_{1,3}$ are the principal stresses and σ_D the differential stress. Resolving these on to the fault yields shear and normal stressing rates:

$$\dot{\tau} = \frac{\dot{\sigma}_D}{2} \sin\left(2\Psi + 2\theta\right) \tag{7}$$

$$\dot{\sigma} = \frac{\sigma_D}{2} \cos\left(2\Psi + 2\theta\right),$$
(8)

where Ψ is the average fault angle with respect to σ_1 and $\theta(x)$ the local slope. In gen-124 eral, both shear and normal stress vary in time; here we take $\Psi = 45^{\circ}$, so that the spa-125 tially averaged effective normal stress is constant and equal to a uniform value $\sigma_0 = 10$ MPa. 126 In addition to the remote loading, slip on a rough fault also causes normal stress changes, 127 which in our case dominate the effect of spatially variable loading rate described by equa-128 tions (7) and (8). In Appendix A we show how perturbations in normal stress depend 129 on fault roughness and slip. Normal stresses can locally become tensile and induce open-130 ing if a purely elastic response is assumed. In contrast, tensile stresses are reduced or 131 entirely inhibited in an elasto-plastic medium with a Drucker-Prager yield surface (e.g. 132 Dunham et al., 2011). We approximate this behavior by setting a minimum value σ_{min} 133 for normal stress, $\sigma_{min} = 1$ kPa $\ll \sigma_0$. Earthquakes are defined as times when the slip 134 velocity anywhere on the fault exceeds the threshold velocity $V_{dyn} = 2a\sigma c_s/\mu$ (Rubin 135 & Ampuero, 2005), here ~ 4 cm/s. 136

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The fault profile is fractal, characterized by power spectral density

$$P_h = C_h |k|^{-\rho} \tag{9}$$

with $\beta = 2H + 1$, where *H* is the Hurst exponent. For natural faults this is typically between 0.4-0.8 (Renard & Candela, 2017); here we set H = 0.7. For computational reasons, we only include wavelengths greater than $L_{min} = 100$ m, close to the nominal nucleation length defined below, unless otherwise specified.

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2.1 Model resolution

To correctly describe rupture behavior, both the nucleation length and the cohesive zone Λ_0 need to be well resolved (e.g. Lapusta et al., 2000; Perfettini & Ampuero, 2008). Erickson et al. (2020) found that a suite of planar fault models, including *FDRA*, produced well resolved simulations with $\Lambda_0/\Delta x \ge 3$, with $\Lambda_0 = \mu' d_c/b\sigma$ (Rubin, 2008), in agreement with previous studies (Day et al., 2005). A resolution of $\Lambda_0/\Delta x \approx 1.7$ pro-

Table 1. Model parameters. L_f is the total fault length and $\dot{\tau}$ the spatially averaged stressing rate. Other parameters are described in the text.

Parameter	Value
a	0.015
b	0.02
d_c	$10^{-4}{ m m}$
f_0	0.6
σ_0	10 MPa
$\dot{ au}$	0.004 Pa s^{-1}
μ	30GPa
ν	0.25
L _{min}	100 m
L_f	$5.2 \mathrm{~km}$
C_h	$0.013 \text{ m}^{2(1-H)}$
Н	0.7

duced similar temporal patterns, but slight differences in the frequency-magnitude dis-149 tribution of simulated events. On a rough fault, normal stresses change with time and 150 can locally be higher than the average, requiring a finer resolution. Moreover, we found 151 that rough fault simulations are less forgiving than may be expected from the results above. 152 For instance, a simulation resolving the nominal cohesive zone size with 4 grid points and 153 a small fraction (10 - 15%) of the fault with $\Lambda_0/\Delta x \approx 1 - 2$ produced abundant mi-154 croseismicity and no full ruptures, while doubling the number of grid points generated 155 full ruptures. Since earthquakes tend to arrest where σ is high and the cohesive zone is 156 small, a few under-resolved regions can determine the event size statistics. Here we spec-157 ify a uniform resolution with nominal $\Lambda_0/\Delta x \approx 8$, and for the foreshock sequence dis-158 cussed through most of the paper $\Lambda_0/\Delta x > 2$ everywhere. We tested a few individual 159 foreshocks and verified that their rupture length does not change when doubling the res-160 olution. 161

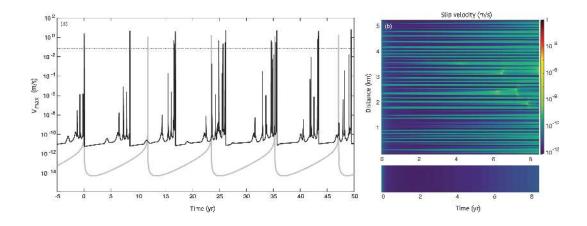


Figure 1. (a) Maximum slip velocity over multiple cycles on a rough (black) and comparable planar (grey) fault. The dotted line is the threshold velocity used to define earthquakes. (b) Slip velocity across the entire fault during one cycle showing alternating creeping and locked patches. The lower panel shows the slip velocity on a planar fault during the same time period (only a small region is shown, since velocity is effectively uniform).

¹⁶² 3 Summary of simulation results

The first order effect of fault roughness during the interseismic phase is a decrease 163 in fault locking: as seen in Fig. 1(a), and previously noted by Tal et al. (2018), the max-164 imum slip velocity on the fault during the interseismic period is several orders of mag-165 nitude larger for a rough fault than for its planar counterpart. Fig. 1(b) shows that this 166 is due to patches of higher velocity between locked patches. For simplicity, in the remain-167 der of the paper we refer to these slowly slipping regions as "creeping", even though their 168 slip velocity (estimated in section 4) can be several orders of magnitude lower than typ-169 ically measurable fault creep. 170

During most of the interseismic phase the average slip velocity slowly increases, as 171 creeping patches widen; this process is entirely aseismic, even though brief slow slip episodes 172 with velocities up to about $10^{-6} - 10^{-5}$ m/s occur as creep fronts coalesce and break 173 asperities (Fig. 1, 6-8 years into the cycle). Only in the final part of the cycle do as-174 perities rupture in seismic events while creep rates increase (Fig. 2). During the accel-175 eration leading up to the mainshock slip velocity on the fault does not increase gradu-176 ally but in abrupt steps, associated with bursts of microseismicity. This pattern repeats 177 at increasingly short temporal scales as the background slip velocity increases. 178

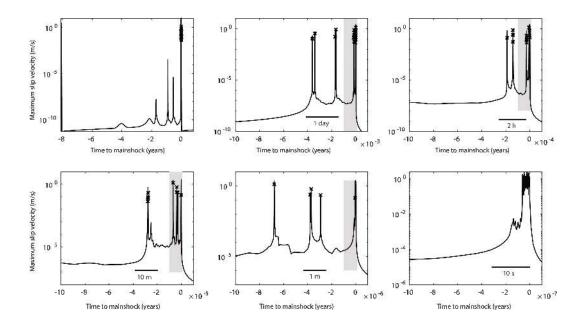


Figure 2. Average slip velocity on the fault leading up to the mainshock, showing a similar pattern across multiple temporal scales. Earthquakes are marked with crosses, and each grey box indicates the extent of the next panel.

Foreshocks only occur once sufficient slip has accumulated on the fault, and the first 179 few sequences consist of events spanning the entire domain (system-size ruptures). This 180 is due to an increase in the amplitude of normal stress perturbations with total slip, quan-181 tified in Appendix A: microseismicity starts when the root-mean-square normal stress 182 perturbation $\Delta \sigma_{rms}$ is of the order of the background normal stress σ_0 . In the rest of 183 the paper we will focus on one of the first sequences with foreshocks $(\Delta \sigma_{rms} / \sigma_0 = 1.1)$, 184 since later sequences, with more net slip, may not be well resolved (as discussed in sec-185 tion 2). Other sequences are qualitatively similar (Supplementary Figure 1). 186

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4 Relationship between fault roughness and interseismic locking

Previous studies have shown that slip on a rough surface leads to perturbations in normal stress (Chester & Chester, 2000; Sagy & Lyakhovsky, 2019; Dunham et al., 2011). In Appendix A we summarize these findings and derive a simple expression for normal stress perturbations as a function of cumulative slip and fault topography. Normal stress perturbations on a fractal fault with uniform slip S have a Gaussian distribution; for a

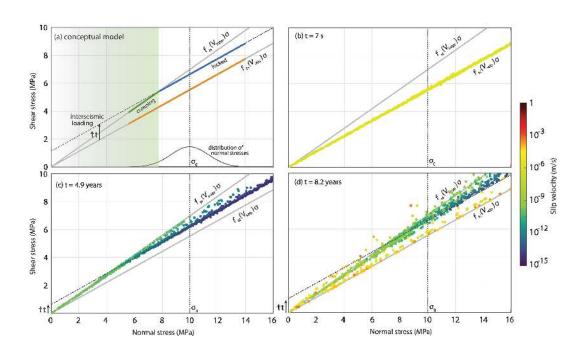


Figure 3. Conceptual model and simulation results for the evolution of stress on the fault. (a) Expected state of stress after the entire fault has ruptured (orange) and later in the cycle: points at low σ reach the end of their cycle first and start creeping (green), while asperities are still locked (blue). σ_0 is the unperturbed normal stress, and a Gaussian distribution of σ due to slip (as derived in Appendix A) is shown in black. The grey lines indicate the static and dynamic strength (i.e. the steady-state strength at interseismic and coseismic slip velocities respectively). (b-d) Shear and normal stresses from the simulation, right after an earthquake (b); during the aseismic phase of the cycle (c); towards the end of the nucleation phase (d).

fractal fault with Hurst exponent H, its standard deviation is given by

$$\Delta \sigma_{rms} = \frac{\mu' \alpha S}{2} \sqrt{\frac{H}{2-H}} (2\pi)^H k_{max}^{2-H}, \qquad (10)$$

where $\mu' = \mu/(1 - \nu)$ and ν is Poisson's ratio and α the roughness, which quantifies the amplitude of topography such that the root-mean-square elevation measured over a length l is given by $y_{rms} = \alpha l^H$ (section A1). These variations in normal stress are responsible for the occurrence of alternating creeping and locked regions, as shown in Fig. 4: creep takes place where roughness decreases the normal stress, while regions with increased σ remain locked.

A simple model illustrating the heterogeneous response of a rough fault loaded at 200 uniform shear stressing rate is shown in Fig. 3. After a system-wide rupture, all points 201 on the fault are at steady-state friction $f_{co} = f_{ss}(V_{co})$, given by eq. 4, with V_{co} the seis-202 mic slip velocity (this applies if fault healing occurs on a much longer timescale than the 203 earthquake itself, as in the case of the ageing rate-state friction). As the fault is loaded 204 at a uniform stressing rate, points with low σ reach "static" strength sooner than those 205 at high σ (Fig. 3(a)). A creeping patch may then become unstable if it exceeds a crit-206 ical elasto-frictional length, or creep at constant stress otherwise. The steady-state ve-207 locity is $V_{cr} = \dot{\tau}/\kappa$, where κ is the stiffness, which for a region of size L is of the or-208 der of μ'/L so that $V_{cr} \approx L\dot{\tau}/\mu'$. The critical length for instability (nucleation length) 209 was first estimated from a spring-slider linear stability analysis (Ruina, 1983); later, Rubin 210 and Ampuero (2005) used energy balance arguments to derive expressions for ageing rate-211 state faults. In general, this critical length has the form 212

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$$L_c = f(a,b) \frac{\mu' d_c}{\sigma} \tag{11}$$

where f(a, b) is a function of rate-state parameters a, b; for rate-state friction with the 214 ageing law and a/b = 0.75 (as in our case), $f(a, b) = b/[\pi (b-a)^2]$ and the nucleation 215 length is denoted by L_{∞} (Rubin & Ampuero, 2005). Expressions for nucleation length 216 derived for a homogeneous fault cannot directly be applied to an heterogeneous one. How-217 ever, linear fracture mechanics can be used to derive alternative expressions for these cases, 218 as done by Tal et al. (2018) for rough faults with small scale (sub- L_c) roughness, and Dublanchet 219 (2018) for heterogeneous friction. With these caveats in mind, here we appeal to the con-220 cept of an heterogeneous nucleation length as an intuitive way to relate spatial variations 221 in normal stress to slip behavior. 222

Due to the inverse proportionality between L_c and σ , the first patches to reach static 223 strength are the most stable ones (large L_c), thus favouring stable creep. During this phase 224 we expect the average slip velocity on the fault to increase for several reasons: 1) the area 225 of creeping patches increases as more points reach static strength, since the time to fail-226 ure is given by $T_f \simeq \Delta \tau / \dot{\tau}$, where $\Delta \tau = [f_{ss}(V_{co}) - f_{ss}(V_{cr})]\sigma$ is the difference be-227 tween the dynamic and static strength (Fig. 3); 2) Creep on low σ patches redistribute 228 stresses onto locked patches, contributing to the acceleration by causing points to be closer 229 to failure than predicted from tectonic loading in Fig. 3(c); 3). The steady state slip ve-230 locity on each patch increases as it widens, since the average slip velocity in the creep-231 ing regions $V_{cr} \sim L_{cr}$ where L_{cr} is the dimension of creeping patches. This leads to the 232 interseismic acceleration seen in Fig. 1. As creep occurring in low σ regions penetrates 233 into asperities, it can cause them to fail in localized slow slip or earthquakes (velocity 234 peaks in Fig. 1). Microseismicity occurs late in the cycle since the most locked patches, 235 where the nucleation length is small enough to allow seismic rupture, are the last to reach 236 failure. 237

²³⁸ 5 Seismicity on strong patches

Foreshocks occur in subclusters at multiple temporal scales: Figs. 2 and 4 show 3 239 events occurring a few days before the mainshock, followed by quiescence and a second 240 cluster about a day later; more clusters occur a few hours and a few minutes before the 241 mainshock. Each burst represents the rupture of a group of nearby asperities (Fig 4 and 242 Supplementary Figure S2), and the relative location of each event is consistent with static 243 stress transfer from previous ones. This gives rise to migration (e.g. events 1-8, 9-14), 244 which can also reverse due to repeated rupture of the same asperity (e.g. events no. 1,13,14245 and 2,12,14 among others). Seismic clusters are bounded by stronger or wider asperi-246 ties, which typically fail in later bursts: the increase in shear stress imparted by earth-247 quakes on surrounding low σ patches leads to a sudden creep acceleration, which in turn 248 loads nearby asperities until they fail (see for example accelerated creep at the edge of 249 previous foreshocks leading up to events 6, 11 and 14 in Fig. 4). Similarly, the mainshock 250 initiates at the edge of the previous events and the creeping region. The asperity on which 251 it nucleates has a higher normal stress than nearby asperities and previous foreshocks. 252

In spite of the elevated normal stress on asperities, foreshocks don't have particularly high stress drops (0.1-2MPa): in agreement with Schaal and Lapusta (2019), who

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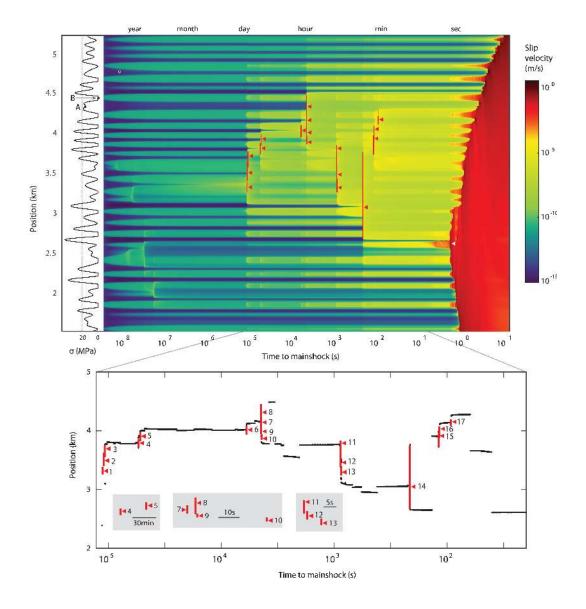


Figure 4. Creep acceleration and seismicity leading up to a mainshock. Top: slip velocity on the fault vs. time to the end of the mainshock, with red bars marking the rupture length and triangles marking the nucleation point (mid-point of the region where $v > V_{dyn}$ during the first earthquake time step). The inset on the left shows normal stress at the beginning of this cycle. Note the sudden acceleration in nearby creeping patches and the widening of the fast slipping region with each successive seismic burst. The instantaneous localized accelerations seen at $\sim 3 - 5$ km, just before the arrival of the mainshock front are a consequence of the model assuming instantaneous stress changes. Bottom: subset of the top panel, with events numbered by occurrence time. Small black dots and lines indicate the location of maximum slip velocity at each time step, showing accelerated creep at the edges of each burst, where the subsequent ones initiate. Grey panels show close ups of a few clustered foreshocks.

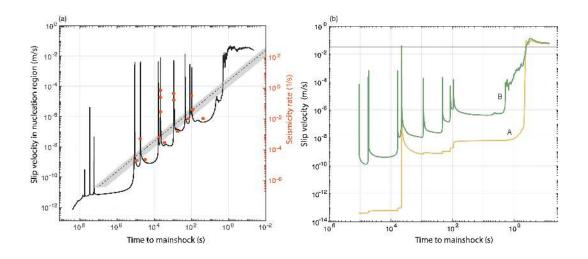


Figure 5. Slip velocity and seismicity rates during the foreshock sequence shown in Fig. 4. (Left) Black solid line: average slip velocity in the mainshock nucleation region (between 2.2km and 4.5km) vs. time to the end of the mainshock. Red circles: seismicity rates estimated by the inverse of intervent times, plotted at the midpoint between each pair of events. The y-axes are scaled with respect to one another according to eq. C1. The theoretical evolution of slip velocity (eq. 14) is indicated by the dotted line (for the median value of foreshock stress drop) and grey band (for the entire range of stress drops). (Right) Slip velocity vs. time for the asperity (A) and a nearby creeping patch (B), which are identified on Fig. 4. The horizontal line indicates the threshold velocity V_{dyn} used to identify earthquakes.

- observed a similar behavior in 3-D simulations, we find that foreshocks are not confined to asperities, but propagate into the surrounding low σ regions, thus lowering the average stress drop. The presence of such low stress-drop regions is also responsible for the partial overlap between consecutive events, even though in some cases asperities themselves rerupture (Fig. 4).
- 260

5.1 Feedback between creep and foreshocks

The average slip velocity during the foreshock sequence increases in sudden steps after failure of one more asperities (Fig. 4, 5a). The acceleration occurs even at large distances from the foreshocks compared to their rupture dimension, so that foreshocks contribute to widening the fast creeping area. Average slip velocities on the fault increase approximately with the inverse of time to mainshock (Fig. 5), similar to studies of velocity weakening asperities embedded in a velocity strengthening (creeping) fault (Dublanchet,

-14-

2018; Yabe & Ide, 2018). However, neither asperities nor creeping patches follow this trend
individually (Fig. 5b).

To understand the effect of a seismic rupture on weak patches, consider the change in velocity caused by an instantaneous shear stress perturbation $\Delta \tau$ through the direct effect:

$$V = V_0 e^{\Delta \tau / a\sigma},\tag{12}$$

where V_0 is the starting velocity. For a given stress change, areas at low normal stress 272 are particularly susceptible to stress increases due to foreshocks, even if they are several 273 rupture lengths away. As an example, Fig. 5(b) shows slip velocities on the asperity which 274 ruptured in a foreshock (event no.8 in Fig. 4) and a nearby creeping patch, marked in 275 Fig. 4. After the earthquake, the asperity does not fully relock, but continues slipping 276 about 4 orders of magnitude faster than it did before. This behavior can be explained 277 by the faster loading rate from the nearby creeping patches, which prevents the asper-278 ity from fully relocking. We can gain some intuition into this by treating the asperity 279 as a spring-slider driven at a constant stressing rate, which in turn depends on the creep 280 rate around it. The solution for velocity evolution derived in Appendix B predicts that 281 the minimum slip speed right after an earthquake grows with stressing rate $\dot{\tau}$: 282

$$V_{lock} = V_{dyn} e^{b/a} \left(\frac{d_c \dot{\tau}}{b\sigma V_{dyn}}\right)^{b/a}.$$
(13)

After a mainshock, $\dot{\tau} \approx \dot{\tau}_0$ (the background loading rate); during the nucleation phase, 283 creep velocities adjacent to the asperities increase (in this case, $V_{cr} \sim 1 \times 10^{-8}$ m/s; 284 see Fig.5), giving a stressing rate on the asperity of the order of $\dot{\tau}_{cr} \approx \mu' V_{cr}/L_{asp} \approx$ 285 $\mu' V_{cr}/L_{min} = 4$ Pa/s, here about 10³ times larger than the background loading rate $\dot{\tau}_0$. 286 Plugging these numbers in the expression above, we expect V_{lock} after the foreshock to 287 be about $\sim 10^4$ times larger than its minimum value early in the cycle, consistent with 288 the simulation (Fig. 5). The creeping patches and asperities subsequently decelerate, 289 but the asperity slip velocity remains several orders of magnitude larger than before rup-290 ture (Fig. 4, 5). 291

The positive feedback between creep rates and seismicity rates leads to an overall acceleration and expansion of the creeping region. In Appendix C we derive a simple analytical expression based on the observations described above. It relies on the following assumptions: 1. seismicity rate is proportional to average creep rate; 2. creep rates increase by a constant factor after each foreshock (derived from eq. 12), and don't change

²⁹⁷ otherwise. This simple model predicts that the average slip velocity evolves as

$$\langle V \rangle = \frac{2L_{min}^2 \Delta \tau}{L\mu' \log\left(\beta\right)} \frac{1}{t_0 - t} \tag{14}$$

where L is the dimension of the nucleation region, $\Delta \tau$ the foreshock stress drop and β

a factor quantifying the increase in creep velocity after each foreshock; t is time since the

first foreshocks and t_0 the time to instability, given by

$$t_0 = \frac{2L_{min}^2 \Delta \tau}{L\mu' \log\left(\beta\right) \langle V_0 \rangle}.$$
(15)

We estimated β by applying eq. 12 to the creep patches in the nucleation region, and treating foreshocks as uniform stress drop cracks of fixed size, and we obtained values between 1.1–1.3 (the range is given by variability in foreshock stress drops). Overall, the average slip velocity in the nucleation region increases approximately as predicted by this expression (Fig. 5a).

306

5.2 Stacked foreshock and aftershock catalogs

The prediction of 1/t acceleration in creep rates and seismicity rates does not ac-307 count for temporal clustering due to elastic interactions between asperities, visible in Fig. 5. 308 Therefore, the 1/t acceleration in seismicity rates may not be readily visible in individ-309 ual catalogs. To better capture temporal patterns, we stack the catalogs from all cycles. 310 All foreshocks-aftershock sequences are shifted so the mainshock occurs at t = 0, and 311 then combined in a single catalog. As shown in Fig. 6(a), the rate of foreshocks increases 312 with the inverse time to the mainshock, as observed for stacked catalogs of natural se-313 quences (Jones & Molnar, 1979; Ogata et al., 1995). 314

315

5.3 Onset of foreshocks and mainshock

The occurrence of foreshocks in the vicinity of the mainshock hypocenter raises the 316 following question: why do some ruptures arrest, while others in the same region grow 317 into large events? Fig. 4 shows that the mainshock, like most foreshocks, nucleates at 318 the edge of a fast creeping region, on an asperity which arrested the previous event. The 319 mainshock nucleation asperity has the highest normal stress on the entire fault. To ver-320 ify whether other mainshocks also nucleate on high σ asperities, we compare normal stresses 321 in the nucleation region of mainshocks and nearby foreshocks. Fig. 7 shows that main-322 shocks tend to nucleate on stronger asperities than most of their foreshocks. This may 323

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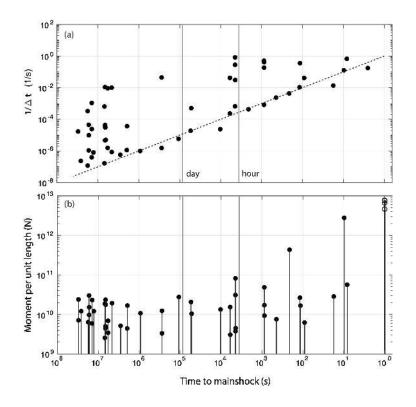


Figure 6. Moment per unit length and interevent times in the stacked catalog. (a) Seismicity rates estimated as the inverse of interevent time showing power-law acceleration. The dotted line is proportional to 1/t. (b) moment per unit length as a function of time to mainshock. Open circles indicate mainshocks.

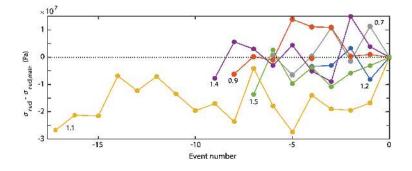


Figure 7. Difference between average normal stress in the nucleation region of foreshocks and their respective mainshocks. Nucleation is defined as the region between points exceeding a velocity threshold at the beginning of an earthquake (see section 2). We consider mainshocks as events with a rupture length exceeding 2km, and only select foreshocks within the mainshock rupture area. Numbers indicate $\Delta \sigma_{rms} / \sigma_0$ for each sequence.

not be surprising in light of the simple model shown in Fig. 3, since patches with higher normal stress take longer to reach static strength. Once a strong asperity breaks, its stress drop is high and leads to a more pronounced stress concentration at its edge, allowing it to grow further than earlier events. This also explains why larger foreshocks tend to occur later in the cycle (Fig. 6(b)).

Rupture arrest is also determined by the strength of asperities ahead of the rup-329 ture tip, which act as barriers. We consider all asperities which are either within or ad-330 jacent to a rupture, and as expected we find that stronger (higher normal stress) asper-331 ities are more likely to arrest ruptures. We also find that a rupture nucleating at nor-332 mal stress σ_{nuc} has a 62% probability of breaking an asperity with normal stress exceed-333 ing σ_{nuc} , and a 77% chance of breaking an asperity with normal stress lower than σ_{nuc} . 334 A selection bias could originate when grouping asperities according to this criterion: on 335 average, asperities with $\sigma_{asp} > \sigma_{nuc}$ for a given earthquake are stronger than those with 336 $\sigma_{asp} < \sigma_{nuc}$. However, we find that a difference remains when comparing asperities with 337 approximately the same normal stress, indicating that σ_{nuc} also affects rupture arrest. 338

6 Discussion

The results presented above show that the preseismic phase on a velocity-weakening 340 fault with fractal roughness is characterized by a complex interplay between slow slip 341 and foreshocks. Most of the period between mainshocks is devoid of seismicity, and char-342 acterized by localized patches of slow slip; late in the cycle, strong asperities start fail-343 ing in short bursts, each of them in turn accelerating creep in its neighbourhood. This 344 process leads to acceleration over an extended region (here about 20 times larger than 345 the nominal nucleation dimension), with migration of seismicity towards the mainshock 346 hypocenter. 347

348

6.1 Model limitations

The central result of this study is the coexistence and interaction of slow slip and foreshocks during nucleation on a rough fault. The primary control on this mixed behavior are normal stress perturbations due to roughness, and their effect on fault stability and slip patterns (section 4). These findings are not specific to rate-state (ageing law) friction, and likely apply for other frictional laws and weakening mechanism. On the other hand, certain simplifications in our study may be more consequential and de-

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serve further investigation. The quasi-dynamic approximation can affect rupture veloc-355 ity, rupture arrest and lengths, even though based on previous planar fault studies (Lapusta 356 et al., 2000; Thomas et al., 2014) we don't expect the qualitative pattern to change dra-357 matically with ageing-law rate-state friction. Considering the 3-dimensional nature of 358 fault surfaces can modify certain aspects of fault dynamics, such as the ability of an as-359 perity to arrest rupture or the migration patterns caused by stress redistribution. In par-360 ticular, static stress changes extend further in 2D than 3D. Another significant assump-361 tion in our study is the purely elastic response: inelastic processes would limit the am-362 plitude of stress perturbations, in particular at the smallest length scales (e.g. Dunham 363 et al., 2011). 364

365

6.2 Conditions for foreshock occurrence

The dimension of asperities relative to characteristic elasto-frictional length scales 366 is expected to affect foreshock behavior. Previous numerical studies of foreshocks on het-367 erogeneous faults found that foreshocks only occur in a particular regime (Schaal & La-368 pusta, 2019; Dublanchet, 2018): asperities must be larger than the local nucleation di-369 mension for seismic slip to occur, but smaller than a critical dimension (such as the nu-370 cleation dimension outside the asperity) to arrest without generating system-size rup-371 tures. Here, the amplitude of spatial variations in σ controls the range of local nucleation 372 lengths L_c . As more slip accrues and normal stress perturbations grow, the nucleation 373 length shrinks on the asperities and grows around them: therefore microseismicity only 374 appears for sufficiently large normal stress perturbations (here $\Delta \sigma_{rms} \approx \sigma_0$). 375

A similar transition from few large ruptures to many smaller ones was found by Heimisson 376 (2020) when increasing k_{max} ; since the amplitude of normal stress perturbations grows 377 with k_{max} (eq. 10), this is consistent with our findings. Similarly, we expect that increas-378 ing fault roughness would have the same effect, since $\Delta \sigma_{rms}$ increases with the product 379 of roughness and accrued slip. In our simulations, we chose $k_{max} \sim 2\pi/L_{\infty}$, for com-380 putational efficiency. To verify the effect of smaller wavelengths, we also ran simulations 381 for a smaller domain and k_{max} up to 4 times higher (Supplementary Figure 2). We find 382 that the presence of sub- L_{∞} asperities leads to more frequent aseismic ruptures (sim-383 ilar to those in Fig. 1). Both seismic and aseismic failures contribute to a gradual un-384 pinning of the fault, as described above. The temporal evolution of slip velocities, with 385

an abrupt increase during bursts and an an overall 1/t trend, is similar to the nominal case.

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6.3 Preslip vs. nucleation on rough faults

In the "preslip" model, aseismic slip is generally understood to occur at the loca-389 tion of the mainshock hypocenter, reflecting the notion that seismic instabilities develop 390 over a region of finite size, as predicted by spring slider and continuum models (e.g. Ru-391 ina, 1983; Rubin & Ampuero, 2005). It is conceivable that heterogeneity within the nu-392 cleation region could lead to foreshocks driven by accelerating slip (e.g. Noda et al., 2013); 393 however, our results favor a different interpretation. Here the large scale precursory ac-394 celerating slip is not mainshock nucleation in the classical sense: since slow slip occurs 395 in stable low σ patches which do not accelerate when subject to slow loading, it does not 396 directly evolve into a seismic rupture. Instead, slow slip triggers smaller scale nucleation 397 on locked asperities, which can remain small or grow into a mainshock. 398

A similar relationship between preslip and mainshock initiation in presence of het-399 erogeneity has been has been inferred in laboratory experiments. McLaskey and Lock-400 ner (2014) observed acoustic emissions (analogous to foreshocks) and slow slip leading 401 up to failure in a centimeter-scale laboratory sample, and noted that system-size rup-402 tures begin as acoustic emissions, with local strength variations perhaps controlling whether 403 they evolve into larger ruptures. Similarly, meter-scale experiments by McLaskey (2019) 404 show evidence of abrupt earthquake initiation caused by creep penetration from weak 405 regions into a locked patches, "igniting" large ruptures. 406

The migratory behavior of microseismicity, and the earthquake hypocenter on the 407 edge of the creeping region, also indicate of a different mechanism than self-nucleation. 408 Recent observations of precursory slip leading up to glacial earthquakes by Barcheck et 409 al. (2021) are similar to our results: slow slip and microseismicity migrate towards the 410 mainshock hypocenter. Similar seismicity migration has also been observed prior to sev-411 eral events (Tohoku, 2011, A. Kato et al. (2012); Iquique, Brodsky and van der Elst (2014); 412 l'Aquila, Sugan et al. (2014)), and it is sometimes interpreted as evidence for aseismic 413 slip. 414

415 On the other hand, migratory behavior can also be interpreted as evidence for di-416 rect triggering between foreshocks: seismicity prior to the 1999 Izmit (Ellsworth & Bu-

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lut, 2018) and 1999 Hector Mine (Yoon et al., 2019) exhibit a cascade behavior similar 417 to that observed here (Fig. 4): successive failure of neighbouring asperities, with each 418 event nucleating at the edge of the previous ones, and in one case a rerupture of the same 419 asperity (as in Fig. 4). Here, we find that the migration is in some cases caused by di-420 rect stress triggering (leading to rapid failure of nearby asperities in a short burst), but 421 it can also be mediated by accelerated creep between asperities. Note that direct stress 422 transfer between asperities would be less effective in 3-D, and aseismic slip is therefore 423 likely to play a more important role in this geometry (see also Lui and Lapusta (2016)). 424

Stacked earthquake catalogs exhibit a gradual power-law acceleration (Jones & Mol-425 nar, 1979; Ogata et al., 1995; Bouchon et al., 2013), analogous to Fig. 6. However, in-426 dividual sequences typically display more irregular patterns: Chen and Shearer (2013) 427 observed burst-like behavior for foreshock sequences in California, and A. Kato, Fukuda, 428 Kumazawa, and Nakagawa (2016) documented abrupt changes in seismicity and aseis-429 mic slip prior to the 2014 Iquique earthquake. A gradual 1/t acceleration is predicted 430 by spring-slider models of nucleation on rate-state faults (Dieterich, 1992; Rubin & Am-431 puero, 2005); on the other hand, Helmstetter and Sornette (2003) derived the same re-432 sults from earthquake triggering with foreshocks producing offspring at a rate given by 433 the Omori-Utsu law, without requiring aseismic slip, and Felzer et al. (2015) invoked the 434 same mechanism to explain the apparent acceleration prior to large interplate earthquakes. 435 Here we suggest that both processes are at play, and demostrate that a 1/t acceleration 436 can arise from the interaction of seismic failure on isolated asperities and the surround-437 ing creeping regions. Unlike seismicity driven by gradually accelerating slow slip, in this 438 case both earthquake rates and slip velocities increase in abrupt steps, so that the power-439 law behavior is visible for stacked catalogs but not for individual sequences. 440

An intriguing observation is the occurrence of earthquakes in the vicinity of a future mainshock hypocenter. The 2004 M_w 6 Parkfield and the M_w 9 Tohoku earthquakes were both preceded by moderate events within a few years of the mainshock, a much shorter timescale than the respective earthquake cycles. Based on our results, which should be further verified with fully dynamic simulations, we suggest that local strength variations between potential nucleation patches within a small region may determine which earthquakes evolve into destructive events.

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448 7 Conclusions

We find that fault roughness can lead to simultaneous occurrence of aseismic slip 449 and foreshocks in the precursory phase of mainshocks, modulated by normal stress vari-450 ations caused by fault geometry. The precursory phase can be described as a gradual un-451 pinning of the fault by episodic asperity failure, mediated by aseismic slip. The creep-452 ing area widens and accelerates through each seismic burst, leading to migration of seis-453 micity towards the eventual mainshock hypocenter. A simple model for the positive feed-454 back between creep and seismicity predicts that slip accelerates as 1/t, as confirmed by 455 the simulations. 456

This process results in precursory slip on a larger scale than, and spatially distinct from, classical rate state nucleation on flat faults. Our results provide a physical interpretation for laboratory and field evidence of migratory preslip and foreshocks in the vicinity of a future mainshock hypocenter.

461 Appendix A Normal stress variations

Here we derive the spatial distribution of normal stresses due to slip on a rough fault with small perturbations in elevation y(x) (i.e., distance from the mean fault position). Fang and Dunham (2013) derived the following expression for normal stress perturbations due to uniform unit slip:

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$$\Delta\sigma(x) = \frac{\mu'}{2\pi} \int_{-\infty}^{\infty} \frac{y''(\xi)}{x-\xi} d\xi \tag{A1}$$

where $\mu' = \mu/(1 - \nu)$ and compressive stresses are positive. The elevation profile can be written as

$$y(\xi) = \int_{k_{min}}^{k_{max}} \hat{y}(k) \ e^{ik\xi} \ dk \tag{A2}$$

⁴⁷⁰ Taking the second derivative and inserting into eq. A1 gives

471
$$\Delta\sigma(x) = \frac{\mu'}{2\pi} \int_{-\infty}^{\infty} \frac{1}{\xi - x} \int_{k_{min}}^{k_{max}} k^2 \hat{y}(k) \ e^{ik\xi} \ dk \ d\xi$$

472
$$= \frac{\mu'}{2\pi} \int_{k_{min}}^{k_{max}} k^2 \hat{y}(k) \ e^{ikx} \int_{-\infty}^{\infty} \frac{1}{u} \ e^{iku} \ du \ dk$$

473 where $u = \xi - x$. We use the following results:

474
$$\int_{-\infty}^{\infty} \frac{\sin(kx)}{x} \, dx = \pi$$

$$\int_{-\infty}^{\infty} \frac{\cos{(kx)}}{x} \, dx = 0 \; .$$

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477 Thus, the inner integral takes the value of $i\pi$ and

$$\Delta\sigma(x) = \frac{\mu'S}{2} \int_{k_{min}}^{k_{max}} k^2 \hat{y}(k) \ e^{i(kx+\pi/2)} \ dk, \tag{A3}$$

where we have scaled the stress by the total slip S. The integral has a form similar to 479 the second derivative of the topography, but with a phase shift of $\pi/2$ in each Fourier 480 component. This result is consistent with the findings of Romanet et al. (2020), who 481 demonstrated that normal stress perturbations on a curved fault are proportional to the 482 local curvature (which to first order is equal to the second derivative of the slope). The 483 phase shift can be intuitively understood by considering a sinusoidal profile: a phase shift 484 of $\pi/2$ places maximum compressive and tensile stresses at the inflection point of restrain-485 ing and releasing bends (see fig. A1). Since stress perturbations depend on the second 486 derivative of the elevation profile, they are dominated by the shortest wavelengths. 487

We emphasize that in the simulations normal stress perturbations are not prescribed or computed by the above expressions. Rather they arise in the boundary element calculations from stress transfer between elements with variable orientation (section 2). Nevertheless, the analytical result may prove useful to approximate the effect of roughness by imposing normal stress perturbations on a planar fault (e.g. Schaal & Lapusta, 2019), even though this method would not account for the increase in perturbations with slip.

Roughness also affects shear stresses on the fault. The two are related by eq. 1, which 494 during most of the cycle can be approximated as $\tau_{el} \approx f\sigma$ (and since with rate-state 495 friction fractional changes in f are small compared to σ , $\tau_{el} \approx f_0 \sigma$). Equilibrium is achieved 496 by a heterogeneous slip distribution modulating stresses. On a fault with small devia-497 tions from planarity, slip gradients efficiently modify shear stresses, but have little ef-498 fect on normal stresses (this can be understood intuitively from the fact that slip on a 499 planar fault has no effect on σ ; for a more general derivation, see Romanet et al. (2020)). 500 Therefore, roughness induced variations in τ are accommodated by slip gradients, while 501

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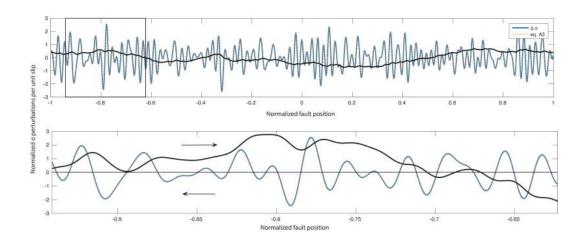


Figure A1. Top: Normal stresses from BEM calculations used in FDRA (blue) and eq. A3 (dotted yellow), as a function of normalized position, with unit slip, normalized by $\mu'/2$. Black: fault profile rescaled by a factor of 500. Bottom: zoomed in (inset in top figure), with fault profile scaled by 4000, showing normal stress perturbations corresponding to releasing and restraining bends.

the normal stress distribution remains virtual unchanged between large earthquakes and determines the shear stress profiles.

504 A1 Self-similar roughness

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 $_{505}$ Consider a fault with a profile y characterized by power spectral density

$$P_h = C_h |k|^{-\beta} \tag{A4}$$

between $k_{min} = 2\pi/L$ and k_{max} , with $\beta = 2H + 1$ and H the Hurst exponent. Using Parseval's theorem it can be shown that the root mean square elevation in the limit $k_{max} \gg k_{min}$ is

$$y_{rms} = \sqrt{\frac{C_h}{\pi(\beta - 1)}} \left(\frac{L}{2\pi}\right)^H = \alpha L^H \tag{A5}$$

where α is the surface roughness. Similarly, by applying Parseval's theorem to the sec-

ond derivative of y we obtain the the root mean square value:

$$y_{rms}'' = \alpha \sqrt{\frac{H}{2-H}} (2\pi)^H k_{max}^{2-H}$$
 (A6)

Here we used fractal surfaces with random phases, resulting in a Gaussian distribution in y(x); y''(x) is also Gaussian with standard deviation y''_{rms} (e.g. Persson et al., 2005). Combining this result with eq. A3, we find that normal stress perturbations are Gaussian distributed with zero mean and standard deviation $\mu' S y''_{rms}/2$, where S is the accrued slip.

519 Appendix B Spring slider

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To obtain the interseismic evolution of slip velocity, we consider a spring-slider with stiffness κ driven at constant rate $\dot{\tau}_L$:

$$\frac{\tau_0 + t\dot{\tau}_L - \kappa\delta}{\sigma} = \left[f_0 + a\ln\left(V/V^*\right) + b\ln\left(\theta V^*/d_c\right)\right] , \qquad (B1)$$

where δ is slip and τ_0 is shear stress at time t = 0 (see also Rubin and Ampuero (2005), eq.A12). Since we are interested in the velocity during the interseismic phase, the radiation damping term is not included. Time is measured since the last earthquake, and τ_0 is the residual stress after rupture. More specifically, we define t = 0 as the moment when the system last crossed steady-state, and

$$\frac{\tau_0}{\sigma} = f_0 + (a-b)\log\left(V_{dyn}/V^*\right) \tag{B2}$$

with V_{dyn} as defined in section 2. Inserting eq. B2 into eq. B1 and solving for V gives

$$V(t) = V_{dyn} \exp\left(\frac{t\dot{\tau}_L - k\delta}{a\sigma}\right) \left(\frac{d_c}{\theta V_{dyn}}\right)^{b/a}.$$
 (B3)

Further assuming that the fault is locked $(k\delta/a\sigma \ll 1)$ and far below steady-state ($\theta \sim$

 $_{532}$ t), velocity evolves as

$$V(t) = V_{dyn} \exp\left(\frac{t\dot{\tau}_L}{a\sigma}\right) \left(\frac{d_c}{tV_{dyn}}\right)^{b/a} .$$
(B4)

The minimum velocity occurs at $t = b\sigma/\dot{\tau}_L$ and is given by

$$V_{lock} = V_{dyn} e^{b/a} \left(\frac{d_c \dot{\tau}_L}{b\sigma V_{dyn}}\right)^{b/a}.$$
 (B5)

⁵³⁵ Appendix C Preseismic acceleration

As discussed in section 5.1, the acceleration leading up to the mainshock is controlled by a feedback between creep in low normal stress patches and foreshocks on asperities. Here we develop a simple model of these interactions and the temporal evolution of acceleration.

Seismicity rate is controlled by the surrounding creep rate, which for simplicity we 540 take as uniform. The interevent time on a single asperity is of the order of $\Delta \tau / \dot{\tau}$, where 541 $\Delta \tau$ is the stress drop. Note that this expression does not apply if some interseismic slip 542 takes place within the rupture area; however, Cattania and Segall (2019) obtained a sim-543 ilar expression, within a factor of order one, allowing for creep to penetrate the asper-544 ity. The overall seismicity rate on the fault is therefore $N\dot{\tau}/\Delta\tau$, where $N \approx L/L_{min}$ 545 is the number of asperities in a nucleation region or length L. During nucleation we can 546 neglect tectonic loading, so $\dot{\tau} \approx \dot{\tau}_{cr} = \kappa V(t)$, with $\kappa \sim \mu'/2L_{min}$ so that the seismic-547 ity rate is 548

$$\frac{dn}{dt} = \frac{L \ \mu'}{2L_{min}^2 \Delta \tau} \langle V \rangle. \tag{C1}$$

where *n* is the cumulative number of foreshocks, and $\langle V \rangle$ denotes average slip velocity. We further assume that each earthquake increases the average creep rate by a constant factor β , derived below, and we neglect self-acceleration of creeping patches. Slip velocities are then given by

$$\langle V(n) \rangle = \langle V_0 \rangle \beta^n \tag{C2}$$

where V_0 is the average slip velocity before the first foreshock. Differentiating eq. C2 and combining with eq. C1 results in

$$\frac{d\langle V\rangle}{dt} = \frac{L\mu' \log\left(\beta\right)}{2L_{min}^2 \Delta \tau} \langle V \rangle^2 \tag{C3}$$

⁵⁵⁵ which has solution

$$\langle V \rangle = \frac{2L_{min}^2 \Delta \tau}{L\mu' \log\left(\beta\right)} \frac{1}{t_0 - t} \tag{C4}$$

where t is time since the first foreshocks and t_0 the time to instability, given by

$$t_0 = \frac{2L_{min}^2 \Delta \tau}{L\mu' \log\left(\beta\right) \langle V_0 \rangle}.$$
(C5)

Note that we assumed that the creep velocity remains high after each foreshock. For a creep patch of fixed dimension (stiffness) subject to a sudden stress increase, we would

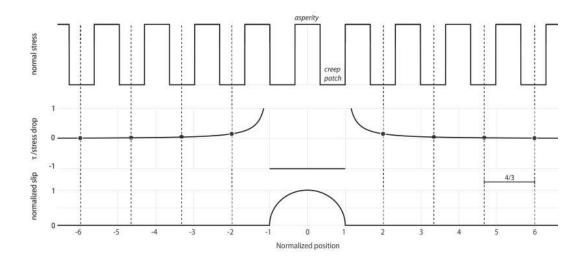


Figure C1. Simple model used to estimate changes in creep rate after a foreshock. Top: schematic spatial distribution of normal stress. Middle: shear stress change caused by a constant stress drop crack normalized by stress drop. Bottom: foreshock slip distribution. Dotted lines and circles indicate the center of creeping patches and locations at which stress changes are calculated.

instead expect velocity to decay to the steady-state value determined by the background
loading rate; however, simulations show that creep velocities remain high after each step
(Fig. 4, 5), possibly due to the reduction in stiffness after each foreshock described in
section 5.1.

The functional form of eq. C1 and C2 is not expected to change in 3D (even though β and the prefactor in eq. C1 will differ). Therefore we expect the main result of this analysis, which is the growth of velocity as the inverse of time to instability, to remain valid.

567

C1 Estimating β

To obtain a rough estimate of β , the fractional change in creep rate due to a foreshock, we consider a simple model of periodic locked asperities alternating creeping patches (Fig. C1). We assume that asperities break in events with uniform stress drop, confined to a single asperity and the creeping patch on each side, with the next asperity acting as barrier. Since the response to stress changes is dominated by regions with low σ , we consider the change in velocity in creeping patches only.

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The stress field outside a constant stress drop crack of length 2l and stress drop

575 $\Delta \tau$ is (Bonafede et al., 1985):

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$$\Delta \tau_{out}(x) = \Delta \tau \frac{|x| - \sqrt{x^2 - l^2}}{\sqrt{x^2 - l^2}} \tag{C6}$$

where x is the distance from the crack center on the crack plane. Since the system is symmetric around x = 0, in what follows we consider x > 0. We approximate the stress change within each creeping patch by the value at its center; as shown in Fig. C1, creeping patches are centered at positions x = 2l, (2+4/3)l, (2+8/3)l, The stress change

at position x = nl is given by

$$\Delta \tau_{out} = \Delta \tau \frac{n - \sqrt{n^2 - 1}}{\sqrt{n^2 - 1}}.$$
(C7)

⁵⁸¹ The local velocity after a stress step given by the direct effect is

$$V = V_{pre} \exp\left(\Delta \tau_{out} / a\sigma\right),\tag{C8}$$

where V_{pre} is the velocity before the stress step and σ the normal stress in creeping patches. Assuming the same initial velocity V_{pre} in all creeping patches, the new average velocity is the sum of the velocity change in each patch divided by the total number of creeping patches N_p

$$\langle V \rangle = \frac{V_{pre}}{N_p} \sum_{i=0}^{N_p - 1} \exp\left[\frac{\Delta \tau}{a\sigma} \left(\frac{n_i - \sqrt{n_i^2 - 1}}{\sqrt{n_i^2 - 1}}\right)\right],\tag{C9}$$

where $n_i = 2 + 4i/3$. The fractional change in slip velocity is simply $\beta = \langle V \rangle / V_{pre}$. 586 At the onset of the foreshock sequence considered in the main text, slip velocities in creep-587 ing patches are of the order of 10^{-11} m/s (as expected from $V_{cr} \sim \dot{\tau}/\mu' L_{cr}$), and their 588 average normal stress is about 5 MPa. For eshocks have stress drops between 0.1-2 MPa, 589 with a median value of 0.5 MPa. Considering the nucleation region between 1.7-4.7 km 590 (Fig. 4), the number of creeping patches is $\approx 3 \text{km}/L_{min} = 30$; and since the analysis 591 above only considers one side of the fault, $N_p = 15$. Plugging these values into eq. C9 592 gives β between 1.1 and 1.3, depending on the stress drop. 593

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