

**Predicting the conservation status of Data Deficient species**

Journal:	<i>Conservation Biology</i>
Manuscript ID:	Draft
Wiley - Manuscript type:	Contributed Paper
Date Submitted by the Author:	n/a
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Keywords:	Mammals < Animals, Indicators, Predictive modeling, Red lists, Threatened species, Statistics
Abstract:	We have no appreciation of the level of extinction risk faced by a sixth of the 65,000+ species currently on the IUCN Red List. Determining the status of these Data Deficient (DD) species is essential to developing an accurate picture of global biodiversity and protecting potentially threatened DD species. Using terrestrial mammals as our focal taxon, we compared the outcomes of seven Machine Learning (ML) tools in predicting threat for species of known conservation status using taxonomic, life-history, geographical and threat information. ML tools showed very high species classification accuracy (up to 92%) and ability to correctly identify centres of threatened species richness. Applying the best model to DD species, we predict 313 of 493 DD species (64%) to be at risk, increasing the estimated proportion of threatened terrestrial mammals from 22% to 27%. Regions predicted to contain large numbers of threatened DD species are already conservation priorities, but show considerably higher levels of risk than previously recognized. We conclude that unless directly targeted for monitoring, species classified as DD are likely to slide towards extinction unnoticed. Taking into account information on DD species may therefore help tackle data gaps in biodiversity indicators and conserve the earth's poorly-known biodiversity.

1 **Predicting the conservation status of Data Deficient species**

2 **Running head:** Predicting extinction risk

3 **Keywords:** IUCN Red List, Data Deficient, Extinction risk, Machine Learning

4 **Word count:** 5,950 words

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## 12 **Abstract**

13 We have no appreciation of the level of extinction risk faced by a sixth of the 65,000+  
14 species assessed by the IUCN Red List. Determining the status of these Data Deficient (DD)  
15 species is essential to developing an accurate picture of global biodiversity and identifying  
16 potentially threatened DD species. To address this gap in our knowledge, we used  
17 predictive models incorporating species' life-history, geography and threat information to  
18 predict the conservation status of DD species within terrestrial mammals. We constructed  
19 the models using seven Machine Learning (ML) tools trained on species of known status.  
20 The resultant models showed very high species classification accuracy (up to 92%) and  
21 ability to correctly identify centres of threatened species richness. Applying the best model  
22 to DD species, we predict 313 of 493 DD species (64%) to be at risk, increasing the estimated  
23 proportion of threatened terrestrial mammals from 22% to 27%. Regions predicted to  
24 contain large numbers of threatened DD species are already conservation priorities, but  
25 show considerably higher levels of risk than previously recognized. We conclude that unless  
26 directly targeted for monitoring, species classified as DD are likely to slide towards  
27 extinction unnoticed. Taking into account information on DD species may therefore help  
28 tackle data gaps in biodiversity indicators and conserve the earth's poorly-known  
29 biodiversity.

## 30 Introduction

31 In light of global biodiversity change, the 12<sup>th</sup> target of the Strategic Plan of the Convention  
32 on Biological Diversity (CBD) states that by “2020 the extinction of known threatened  
33 species has been prevented” (Convention on Biological Diversity 2010). Understanding the  
34 level of extinction risk faced by different species, and why interspecific differences in risk  
35 arise are therefore some of the greatest challenges facing conservation biology. Assessment  
36 frameworks for threatened species are crucial to identifying risk and monitoring progress  
37 towards CBD targets (Jones et al. 2011), and one of the most widely used is the International  
38 Union for Conservation of Nature (IUCN) Red List (IUCN 2001; Butchart et al. 2010).

39  
40 There has been much improvement in the taxonomic coverage of the Red List over recent  
41 years, resulting in a more comprehensive understanding of species’ extinction risk (Collen &  
42 Bailie 2010; Böhm et al. 2013). However, a sixth of the 65,000+ species assessed by the IUCN  
43 are classified as Data Deficient (DD) due to a lack of information on taxonomy, geographic  
44 distribution, population status or threats (IUCN 2010). To date 15% of mammals (Schipper et  
45 al. 2008), 25% of amphibians (Stuart et al. 2004), 19% of reptiles (Böhm et al. 2013) and 49%  
46 of freshwater crabs (Cumberlidge et al. 2009) are classified as DD. Uncertainty within many  
47 groups about the true level of extinction risk of DD species considerably influences our  
48 understanding of patterns of threat and risk (Butchart & Bird 2010; Bland et al. 2012), as the  
49 distribution of DD species is often taxonomically and spatially biased (Bielby et al. 2006;  
50 Bland et al. 2012). For example, 25% of data-sufficient mammals are threatened with  
51 extinction, but estimates range from 21% if all DD species were non-threatened to 36% if all  
52 DD species were threatened (Hilton-Taylor et al. 2009). In addition, genuinely threatened

53 DD species may be neglected by conservation programmes due to their uncertain extinction  
54 risk status.

55

56 Determining the true conservation status of DD species is essential in developing an  
57 accurate picture of global biodiversity and enabling the protection of threatened species.

58 Re-assessment of the 10,673 species currently classified as DD to a data-sufficient category  
59 could be achieved through focused field surveys, but the prospect of this occurring is  
60 unlikely given the monetary and time costs of biodiversity surveys (Balmford & Gaston  
61 1999) and current levels of investment in IUCN Red List assessments (Stuart et al. 2010).

62 However, large amounts of life-history, ecological and phylogenetic information are  
63 available for DD species. The distribution of many DD species is known, allowing inference of  
64 species' geographical range size, environmental niche and exposure to anthropogenic  
65 threats. These data alone are insufficient for making a decision on formal Red List status,  
66 but could be used to help inform global estimates of risk. Comparative studies of extinction  
67 risk based on species trait data have previously yielded insight into the determinants of risk  
68 across taxa (Purvis 2008; Cardillo & Meijaard 2012), and could enable the preliminary re-  
69 assessment of DD species.

70

71 Comparative datasets frequently contain many variables, with non-linearities, complex  
72 interactions and missing values (Cutler et al. 2007), and as such traditional statistical  
73 methods may lack predictive ability. Machine Learning (ML) methods, derived from the  
74 artificial intelligence literature, are flexible and powerful tools for finding patterns in  
75 datasets (Webb 2002; Hastie et al. 2009). They rely on few assumptions and can utilize large  
76 amounts of data, which has made them increasingly popular with ecologists (Prasad et al.

77 2006; Ozesmi et al. 2006; Cutler et al. 2007; Olden et al. 2008). A wide range of ML  
78 algorithms are available, and their relative predictive performance depends on the study  
79 objectives and available data (No Free Lunch Theorem: see Webb 2002 and Hastie et al.  
80 2009). A series of comparisons have been made to identify the strengths and weaknesses of  
81 different ML algorithms for ecological applications (Elith & Graham 2009; Kampichler et al.  
82 2010; Keller et al. 2011), but only tree-based ML methods have been applied to threatened  
83 species classification (Jones et al. 2006; Boyer 2008; Davidson et al. 2009, 2012). The  
84 outputs of ML algorithms are probability estimates of a given outcome, which allow easy  
85 interpretation of levels of certainty in predicting complex processes such as extinction risk.  
86 As a result of these properties, ML algorithms represent a robust approach to identifying the  
87 complex pathways leading to observed patterns of extinction risk, and deriving rules-of-  
88 thumb to predict the true level of risk of DD species.

89

90 Here we investigate the performance of ML algorithms in predicting extinction risk and in  
91 estimating the prevalence of risk in DD terrestrial mammals. Terrestrial mammals are a well-  
92 suited model taxon for the purposes of our study: they contain a high proportion of species  
93 of known conservation status (85%) and previous studies (Purvis et al. 2000; Cardillo et al.  
94 2005, 2008; Davidson et al. 2009) provide a benchmark against which to measure  
95 improvement in predictive accuracy. There is also a high amount of data available on the  
96 biology of the clade, even for Data Deficient species. We predict extinction risk from data on  
97 a range of intrinsic factors, including species' life history and ecology, and extrinsic factors,  
98 including environmental data and measures of threat intensity. Specifically, we address the  
99 following questions:

- 100 1) What are the relative powers of seven different ML methods (classification trees,  
101 random forests, boosted trees, k-nearest neighbours, support vector machines, neural  
102 networks and decision stumps) to predict extinction risk in terrestrial mammals?
- 103 2) How accurately can those methods predict current geographical patterns of extinction  
104 risk?
- 105 3) Using the models obtained, what is the predicted level of extinction risk faced by DD  
106 species?
- 107 4) How do our findings change current geographical patterns of extinction risk for  
108 terrestrial mammals?

109

## 110 **Methods**

### 111 **Dataset**

112 We collated a database for 4,461 terrestrial mammal species with threat status classified as  
113 non-threatened (LC, NT), threatened (VU, EN, CR) and Data Deficient (DD) (IUCN 2008). We  
114 treated species as threatened or non-threatened, as highly imbalanced categories (2,826 LC  
115 species versus 157 CR species) are difficult to discriminate using predictive models (Webb  
116 2002) and uncertainty around classifications with multiple categories is difficult to interpret  
117 and communicate. In contrast, machine learning predictions from our binary classification  
118 provide a simple quantification of both the likely probability of threatened status for each  
119 species and the level of uncertainty around that prediction.

120

121 For each species, we collated the following life-history traits (IUCN 2008; Jones et al. 2009):  
122 body mass, litter size, habitat breadth, trophic level and number of IUCN-listed habitats.

123 Each trait was available for at least 60% of species. Since some ML methods require

124 complete data, missing data was either phylogenetically imputed (Fritz et al. 2009;  
125 Bruggeman et al. 2009), or assigned the genus or family median for species missing from the  
126 phylogeny. We used species' range maps to determine geographical range size (IUCN 2010),  
127 the latitude of range centroid (IUCN 2010), and extract summary statistics within ranges for  
128 a range of global variables: annual mean and seasonality of temperature and precipitation  
129 (Hijmans et al. 2005); minimum and range of elevation (Hijmans et al. 2005); mean and  
130 minimum human population density for the year 2000 (CIESIN 2005a); and averages for  
131 each of Net Primary Productivity (NPP) (Imhoff et al. 2004), Human Footprint (CIESIN  
132 2005b), GDP for the year 1990 (CIESIN 2002) and human appropriation of NPP (Imhoff et al.  
133 2004). Finally, we recorded biogeographical distribution (IUCN 2010), External Threat Index  
134 (Cardillo et al. 2004) and habitat suitability (Rondinini et al. 2011) for each species. See  
135 Appendix S1 for details. Previous studies have reached inconsistent conclusions about the  
136 primary traits explaining variation in extinction risk across species (Cardillo & Meijaard  
137 2012). In addition, uninformative explanatory variables are unlikely to affect predictive  
138 performance in problems with fewer variables than species (Webb 2002; Kuhn 2008). We  
139 therefore do not undertake variable selection, but instead focus on using all available traits  
140 implicated in determining extinction risk to make the best predictions.

141

#### 142 **Training of Machine Learning tools**

143 Six ML tools were used to model risk status across all variables: classification trees, random  
144 forests, boosted trees, k-nearest neighbours, support vector machines and neural networks.  
145 We also computed decision stumps using geographical range size alone to assess the  
146 predictive power of that variable. We developed models for all mammals and separately for  
147 rodents, bats, primates and carnivores to explore the taxonomic transferability of ML



148 predictive accuracy. ML tools cannot currently take into account phylogenetic relatedness  
149 between species, so we included taxonomic order, family and genus in all models to  
150 partially account for shared evolutionary history. For each taxonomic dataset, we removed  
151 highly correlated ( $r=0.9$ ) and low variance variables, which can lead to colinearity and zero  
152 variance in cross-validation partitions. All numeric predictors were centred and scaled to a  
153 standard normal distribution before analysis (Kuhn 2008).

154

155 We set aside DD species and, within each taxonomic group, divided the remaining species  
156 into a 25% validation set and 75% training set. For each ML method, we used ten-fold cross-  
157 validation on the 75% training set to optimize model tuning parameters by maximizing the  
158 Area Under the Receiver Operating Characteristic Curve (AUROC), which is insensitive to  
159 class imbalance and does not require the specification of misclassification costs (Fawcett  
160 2006). The best ML tool for each dataset for predicting threatened and non-threatened  
161 status was then found by comparing AUROC values of various tuned models on the 25%  
162 validation set.

163

164 In all models, we used Youden's index (Youden 1950) to identify a probability threshold  
165 above which species are identified as threatened. This lends equal weight to detecting  
166 threatened and non-threatened species, which does not reflect the true prevalence of  
167 threat but is reasonable given the importance of identifying threatened species (Vié et al.  
168 2009). All analyses were conducted in R version 2.14.1, using the *caret* package (Kuhn 2008)  
169 to optimize model parameters. For further details see Appendix S1.

170

171 **Spatial analysis of predictions**

172 Using species' range maps (IUCN 2010), we then computed the observed and predicted  
173 proportion of threatened species from the 991 species in the 25% validation set across a  
174 global grid of 4,505 equal-area hexagons. We fitted a linear regression across cells of  
175 observed threat as a function of predicted threat, cell species richness and average range  
176 size of species, excluding cells with fewer than 10 species. We also fitted simultaneous  
177 autoregressive models to account for spatial autocorrelation (Appendix S1). We produced  
178 maps in ArcGIS 9.3 and conducted all analyses in R version 2.14.1.

179

### 180 **Predictions for Data Deficient species**

181 We predicted the status of 493 DD species from the best performing global model, using the  
182 same threshold as for the validation dataset (Appendix S2) and tabulated the number of DD  
183 species predicted to be threatened and non-threatened in 6,593 hexagons. We then  
184 compared the proportion of threatened species in cells with and without incorporating our  
185 predictions for DD species. Finally, we used linear regression and spatial autoregressive  
186 models of observed threat as a function of predicted threat to test for a regression slope  
187 different from one.

188

## 189 **Results**

### 190 **Comparison of Machine Learning tools and taxonomic levels**

191 Area Under Receiver Operator Characteristic Curve (AUROC) for best models ranged  
192 between 0.873 and 0.961 (Table 1), indicating that ML tools calibrated on species-specific  
193 information can accurately predict species threat. The best model for the global dataset  
194 identified correctly 93.5% of threatened species and 88.7% of non-threatened species

195 (Appendix S1). There were significant differences in performance across tools (Friedman  
196 test,  $\chi^2=18.3$ ,  $p=0.005$ ,  $df=6$ ). *Post hoc* symmetry tests showed that this difference was  
197 caused by the lack of power of decision stumps based on geographical range size alone,  
198 compared to boosted trees ( $p=0.05$ ,  $df=1$ ), neural networks ( $p=0.05$ ,  $df=1$ ) and support  
199 vector machines ( $p=0.05$ ,  $df=1$ ). Predictions from the global model for individual orders  
200 achieved higher AUROC than predictions from the order-specific models (Appendix S1),  
201 indicating that predictions are more reliable when information from all mammals is taken  
202 into account.

203

#### 204 **Spatial predictions**

205 Observed and predicted proportions of threatened species in assemblages of the validation  
206 set were broadly consistent (Fig. 1), indicating that ML tools can correctly predict  
207 macroecological patterns of extinction risk. In both ordinary least squares (OLS) and spatial  
208 regression (SAR) models, we found a strong positive association between predicted  
209 assemblage threat on observed assemblage threat (OLS: slope=0.592,  $p<0.0001$ ,  $t_{1,4501} =$   
210 79.03, AIC= -18182; SAR: slope= 0.596,  $p<0.0001$ ,  $t_{1,4499}=5.457$ , AIC= -19050). The  
211 relationship is mediated by a significant interaction with assemblage species richness in  
212 both OLS and SAR models (OLS: slope=0.066,  $p\text{-value}<0.001$ ,  $t_{1,4501} = 3.865$ ; SAR:  
213 slope=0.096,  $p\text{-value}<0.0001$ ,  $t_{1,4499} = 5.448$ ), with model fit improving with larger  
214 assemblage size (Appendix S1). Mean assemblage risk was globally over-predicted  
215 (observed: 36.8%, predicted: 46.7%), mirroring over-predictions at the species level  
216 (observed: 22.1%, predicted: 26.7%).

217

#### 218 **Predictions for Data Deficient species**

219 Our model outputs predict 313 of 493 DD species to be threatened with extinction, implying  
220 that underlying risk levels are much greater in DD species (63.5%) than data-sufficient  
221 species (22.1%) (Appendix S2). The spatial congruence between threat hotspots identified  
222 using only data-sufficient species and hotspots incorporating our DD species predictions was  
223 very high (Spearman rank correlation= 0.987,  $p < 0.001$ ; Fig. 2 and 3). Additionally, the levels  
224 of threat in centres of threatened species richness may previously have been  
225 underestimated according to our regression model of observed vs. predicted threat (testing  
226 for slope $\neq$ 1: OLS: slope=1.036,  $p < 0.0001$ ,  $F_{1,6591}=242.96$ ; SAR: slope= 1.043,  $p < 0.0001$ ,  
227  $\chi^2_{1,6589}=214.15$ ).

228

## 229 Discussion

230 We have no appreciation of the true level of extinction risk faced by one in six species on  
231 the IUCN Red List. These Data Deficient species are of great conservation concern, as they  
232 contribute to considerable uncertainty in estimates of risk (Butchart & Bird 2010; Bland et  
233 al. 2012) and are neglected by conservation programmes due to their uncertain status.  
234 Accurate predictive models of risk based on species traits could therefore enhance our  
235 understanding of risk patterns, and enable the proactive conservation of threatened Data  
236 Deficient species.

### 237 Predictions for Data Deficient species

238 We predict 313 of 493 (63.5%) DD species are threatened with extinction (Appendix S2). A  
239 previous random forests model (Davidson et al. 2009) predicted only 28 of 341 (8.2%) DD  
240 terrestrial mammals to be at risk, perhaps reflecting the low sensitivity of the model to  
241 detection of threatened species (sensitivity of 47.7% compared to 93.5% in our best model).

242 A recently published prediction of species extinction risk using eigenvector methods  
243 predicted 35% of 481 DD species to be at risk (Jones & Safi 2011), but the ability of the  
244 method to integrate phylogenetic signal has been questioned (Freckleton et al. 2011). Our  
245 estimates are considerably larger, increasing the estimated proportion of threatened  
246 terrestrial mammals from 22% to 27% globally.

247

248 Despite this apparent increase in risk, spatial distribution of predicted risk suggests that  
249 global spatial prioritization based on current knowledge is robust to uncertainty. Large  
250 model residuals (Fig. 2) were caused by the predicted threatened status of a few wide-  
251 ranging DD species, such as the northwestern Australian marsupial mole *Notoryctes*  
252 *caurinus*. Our findings echo those of Joppa *et al.* (Joppa et al. 2011), who found that regions  
253 predicted to contain large numbers of undiscovered plant species are already conservation  
254 priorities, but show considerably higher levels of species risk than previously acknowledged.  
255 Additionally, areas containing DD species have been shown to contain more recently  
256 described amphibian species than expected by chance (Brito 2010), suggesting that these  
257 sites might hold many undescribed species (Bini et al. 2006). A better understanding of the  
258 likely status of DD species may therefore provide an efficient method for targeting surveys,  
259 as well as incorporating the world's poorly-known and undescribed species in conservation  
260 planning.

261

262 Our results suggest that DD species are of great conservation concern. DD species have  
263 smaller ranges (median=9,891 km<sup>2</sup>) than their data-sufficient counterparts (median=  
264 1,666,107 km<sup>2</sup>), which contributes to their high extinction risk. Maps of DD species ranges  
265 may be uncertain and underestimated when collection effort is low. Nonetheless, the data

266 suggest that many DD species are likely to be range-restricted and that geographical  
267 measures derived from the species' range maps are broadly representative of the species'  
268 environment. We make the best use of the information available for each species, and note  
269 that risk predictions for individual DD species should be interpreted in the context of their  
270 IUCN Red List documentation. Since 2008, two DD mammal species (pale fox *Vulpes pallida*  
271 and long-nosed mosaic-tailed rat *Paramelomys levipes*) have been re-assigned as least  
272 concern; both were predicted not to be at risk by our model. These cases, along with the  
273 high consistency between predicted probability of threat and Red List category in our  
274 validation set (Appendix S1), indicate that DD species that are assigned a high probability of  
275 threat are likely to be at imminent risk of extinction.

276

277 Many Data Deficient mammals are nocturnal, and most are bats and rodents (75%), which  
278 are difficult to observe and identify in the field without expert knowledge. Worryingly,  
279 nearly 40% of DD species are only known from few specimens, old records or from unknown  
280 provenance (Appendix S1), indicating a severe lack of knowledge of mammalian diversity.  
281 Predicted threat levels in those very-poorly known species are particularly high (79.6%),  
282 compared to species classified as DD due to unknown population trends and threats (51.2%)  
283 or uncertain taxonomic status and new discoveries (61.7%). High rate of species  
284 rediscoveries indicate that many species missing for long periods of time remain extant  
285 (particularly those that are only known from type specimens (Scheffers et al. 2011)), but  
286 show considerably higher levels of threat than other species (Scheffers et al. 2011). We may  
287 therefore expect very poorly-known DD species to be extant, but on the brink of extinction.

288

289 Ninety-one species listed as DD in the 1996 IUCN Red List assessment were assigned to a  
290 data-sufficient category in 2008 (Collen et al. 2011), including 31 (34%) as threatened. We  
291 predict 53 out of 90 species (59%) listed as DD in both the 1996 and 2008 IUCN Red Lists to  
292 be at risk of extinction. This suggests that species already re-assigned to a data-sufficient  
293 category are more abundant and widespread than species still listed as DD on the 2008 Red  
294 List. Hence, we expect threatened DD species to be the last species to be assigned their true  
295 conservation status in future iterations of the Red List. This finding highlights the  
296 importance of prioritizing potentially threatened DD species for monitoring and re-  
297 assessment. Collection of life-history and distribution information is especially urgent for  
298 the 174 DD species excluded from our analysis due to insufficient data.

299

### 300 **Comparison of Machine Learning tools and taxonomic levels**

301 For all mammals and within the orders analysed, ML tools achieved very clear discrimination  
302 between threatened and non-threatened species in the independent validation sets.  
303 Classification trees and k-nearest neighbours are conceptually simpler and computationally less  
304 intensive than other tools, and never achieved highest classification performance. Random forests,  
305 boosted trees, support vector machines and neural networks performed particularly well,  
306 and we recommend them as powerful methods for predicting species extinction risk. Why  
307 tools differ in predictive performance depends on the link between the algorithm, fitted  
308 functions and data distribution, which can be investigated by simulating data (see Elith &  
309 Graham (2009) for an example in species distribution modelling). In addition, studies  
310 focusing on explaining the role of underlying risk drivers rather than risk prediction could  
311 undertake variable selection and model simplification.

312

313 Whether one or all of the recommended methods should be applied to a given situation of  
314 extinction risk prediction depends on available computational resources. We believe that  
315 even small increases in performance achieved by using multiple techniques justify their  
316 combined use, given the importance of accurately predicting species conservation status.  
317 Geographical range size alone provided reasonable discriminatory power in decision  
318 stumps, as expected from its role in categorising species under IUCN criterion B (Purvis et al.  
319 2000). However, the high AUROC observed in models with all explanatory variables  
320 included indicates that these extra data are necessary to identify species not listed under  
321 criterion B, and to achieve suitable performance for use in conservation decision-making.

322

323 Although comparative studies of extinction risk have been criticized for not providing  
324 findings that are applicable across taxa (Cardillo & Meijaard 2012), our results suggest that,  
325 at least in mammals, information obtained from a wider range of species improves  
326 extinction risk prediction. The additional power provided by including all terrestrial mammal  
327 species has important implications for the development of predictive systems for  
328 conservation. Transferability of predictive power across taxa, and the trade-off between  
329 amount of contextual information and predictive ability should be the focus of future  
330 research.

331

### 332 **Limitations**

333 Although our models achieved high discrimination between threatened and non-threatened  
334 species, a number of factors may have negatively affected predictive performance.

335 Discarding species due to the absence of a range map and setting aside 25% of the data as  
336 validation reduced the sample size. Our study also lacked a phylogenetic framework, though



337 we took into account taxonomy in our models by including taxonomic levels (order, family  
338 and genus) and building four order-level models. However, order-level models achieved  
339 lower predictive performance than order-level predictions from the global model (Appendix  
340 S1), indicating a modest role of order-specific processes in determining extinction risk.

341

342 Missing and inexact explanatory variables and incomplete characterization of the  
343 threatening processes may also have caused misclassifications. For example, Purvis et al.  
344 (2000) identified population density as a significant predictor of elevated extinction risk in  
345 primates, but were unable to use this variable due to its poor coverage across terrestrial  
346 mammals. Analyses based on species' geographic range maps have been criticized as  
347 species are not evenly distributed across their range, and because some habitats may be  
348 unsuitable or inaccessible for species (Rondinini et al. 2006). Making use of more refined  
349 maps of species range, such as those derived from habitat suitability modelling (Rondinini et  
350 al. 2011), may shed light on how higher resolution range data inform extinction risk  
351 prediction. Anthropogenic threat impacts included in the model were mainly based on  
352 properties of the human population in the area, e.g. human population density and gross  
353 domestic product. Due to the limited characterization of threatening processes, our models  
354 are less likely to identify species threatened by over-exploitation and invasive species than  
355 those affected by habitat loss.

356

357 Finally, model misclassifications may indicate latent potential for recovery or threat and may  
358 be used to inform future species assessments. Three of the 15 species incorrectly classified  
359 as non-threatened by our models (*Proechimys roberti*, *Reithrodontomys microdon* and  
360 *Scotoonycteris ophiodon*) were down-listed to a non-threatened category in 2010.

361

362 **Conclusions**

363 Data Deficient species should be of high conservation interest: they bias our understanding  
364 of patterns of extinction risk (Butchart & Bird 2010; Bland et al. 2012) and are neglected by  
365 conservation programmes due to their uncertain status. Resolution of taxonomic  
366 uncertainty and extensive field surveys are unlikely prospects for all 10,673 species currently  
367 listed as DD on the IUCN Red List, given monetary and time costs of surveys (Balmford &  
368 Gaston 1999) and risk assessments (Stuart et al. 2010). Predicting species extinction risk  
369 from contextual information could be a rapid and inexpensive approach for prioritizing taxa  
370 and geographical regions under limited knowledge. ML methods are extremely powerful  
371 tools for statistical pattern recognition, which can readily incorporate decision-makers' risk  
372 attitudes and quantify prediction uncertainty. As such, they show great potential for  
373 predictive conservation science under increasing availability of biodiversity data. The seven  
374 ML tools used across two taxonomic levels of terrestrial mammals accurately predicted  
375 species extinction risk and centres of threatened species richness. Data Deficient mammal  
376 species are likely to be disproportionately at risk, and unless directly targeted for  
377 conservation action may slide towards extinction unnoticed. Although our study leaves  
378 global mammalian conservation priorities generally unaffected, we conclude risk levels in  
379 terrestrial mammals are likely to have been considerably underestimated. Predicting the  
380 conservation status of DD species can reduce uncertainty in global patterns of threat, and  
381 enable the transparent prioritization for field surveys of potentially threatened DD species.  
382 Such an approach could be particularly cost-effective for taxa containing large numbers of  
383 DD species, such as invertebrates (Samways & Böhm 2010). Finally, DD species may be  
384 indicative of spatial knowledge deficiency and could inform species inventories. Taking into

385 account information on DD species may therefore help tackle data gaps in biodiversity  
386 indicators, as well as conserve the earth's poorly-known biodiversity.

## 387 **Acknowledgements**

388 We thank J. Bruggeman for his assistance with the PhyloPARS programme. We thank E.J.  
389 Milner-Gulland, R. Ewers, G. Mace, E. Nicholson, M. McCarthy, and S. Canessa for thoughtful  
390 comments on the manuscript. B.C. is partly supported by a grant from the Rufford  
391 Foundation.

## 392 **Supporting Information**

393 Supplementary methods, tables and figures (Appendix S1) and predicted conservation status of  
394 Data Deficient terrestrial mammals (Appendix S2) are available online. The authors are solely  
395 responsible for the content and functionality of these materials. Queries (other than absence of  
396 the material) should be directed to the corresponding author.

397

## 398 **Literature cited**

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548

For review only



549 **Tables**

550 Table 1. Number of data-sufficient species, proportion of threatened species, number of  
 551 Data Deficient species and number of explanatory variables used in the models across  
 552 datasets.

Dataset	Number of data-sufficient species	Proportion of threatened species	Number of Data Deficient species	Number of explanatory variables
Global	3967	22.1%	493	35
Bats	828	17%	108	36
Carnivores	188	23.2%	14	36
Primates	304	56.7%	12	32
Rodents	1666	17%	263	29

553

554 Table 2. Area Under the Receiver Operator Characteristic Curve (AUROC) for each  
 555 combination of tool and dataset on the validation sets.

	CT	RF	BT	KNN	SVM	NNET	DS
Global	0.895	0.944	0.935	0.906	0.932	0.922	0.75
Bats	0.872	0.894	0.897	0.858	0.871	0.891	0.727
Carnivores	0.896	0.901	0.919	0.849	0.922	0.961	0.736
Primates	0.803	0.854	0.866	0.788	0.873	0.857	0.738
Rodents	0.871	0.951	0.933	0.925	0.949	0.935	0.792

556 \* CT: Classification Tree, RF: Random Forests, BT: Boosted Trees, KNN: K-Nearest  
 557 Neighbours, SVM: Support Vector Machine, NNET: Neural Networks, DS: Decision Stump.

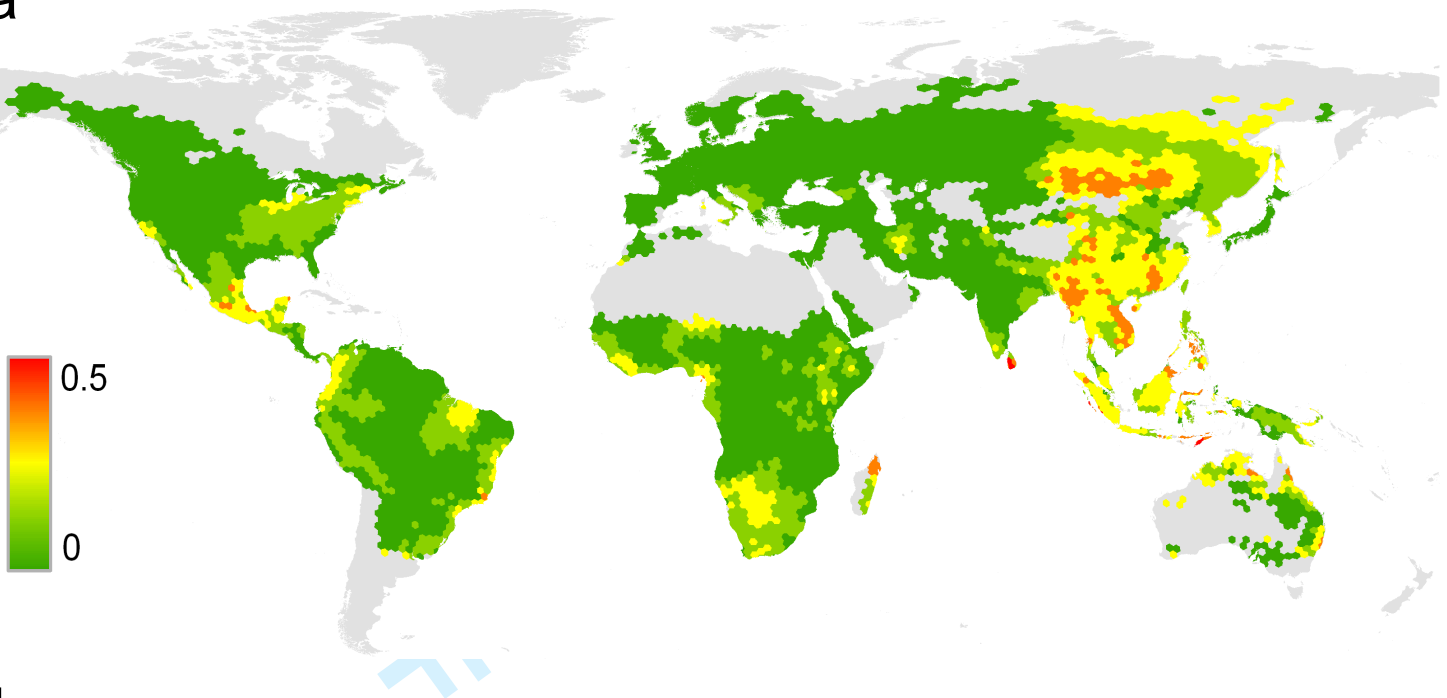
## 558 **Figure Legends**

559 Figure 1. Global geographic distribution of terrestrial mammal extinction risk in the  
560 validation set. Observed (a) and predicted (b) proportion of threatened species and  
561 standardized model residuals (c).

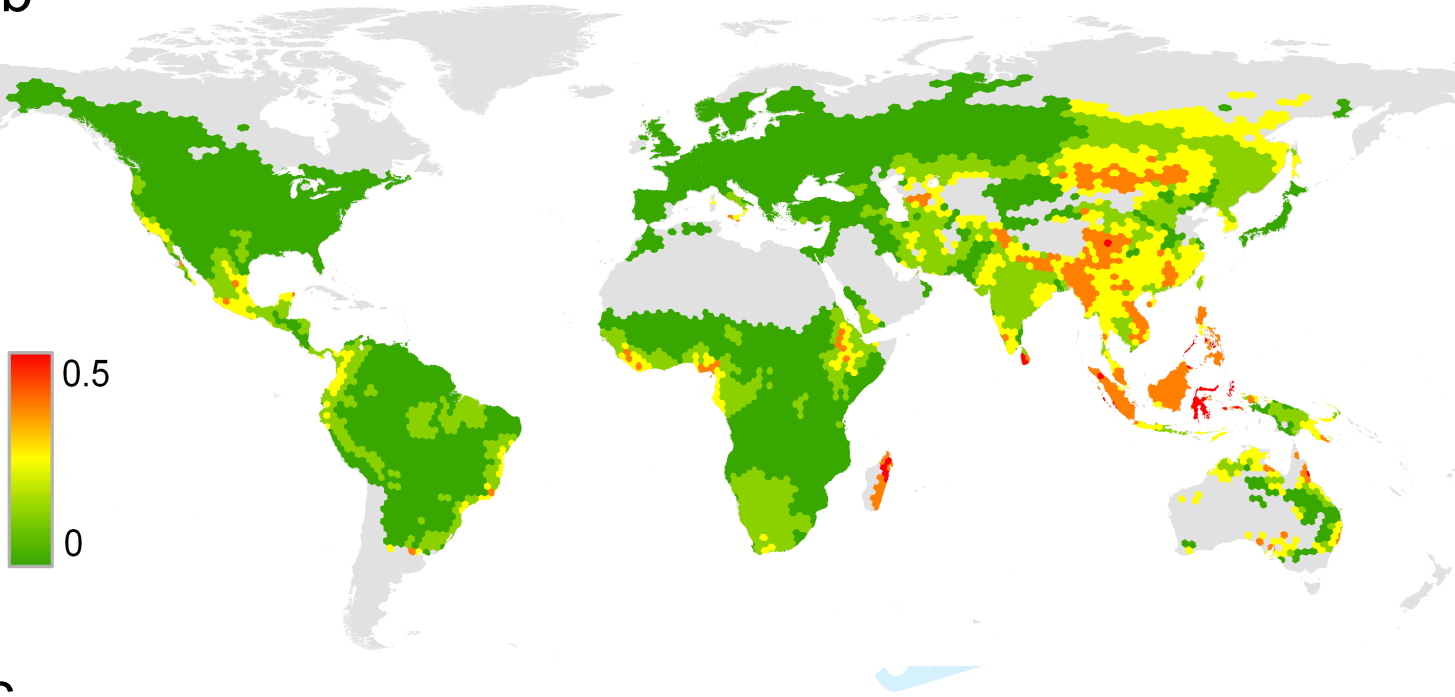
562 Figure 2. Global geographic distribution of terrestrial mammal extinction risk. Proportion of  
563 threatened species when Data Deficient species are excluded (a), when Data Deficient  
564 species model predictions are included (b) and standardized model residuals (c).

565 Figure 3. Extent of congruence between hotspots of proportion of threatened species under  
566 two scenarios, shown across a range of hotspot definitions. The two scenarios are: 1)  
567 exclusion of Data Deficient species and 2) inclusion of Data Deficient species model  
568 predictions. Horizontal line shows expectation under full congruence; vertical arrow shows  
569 2.5% hotspot definition.

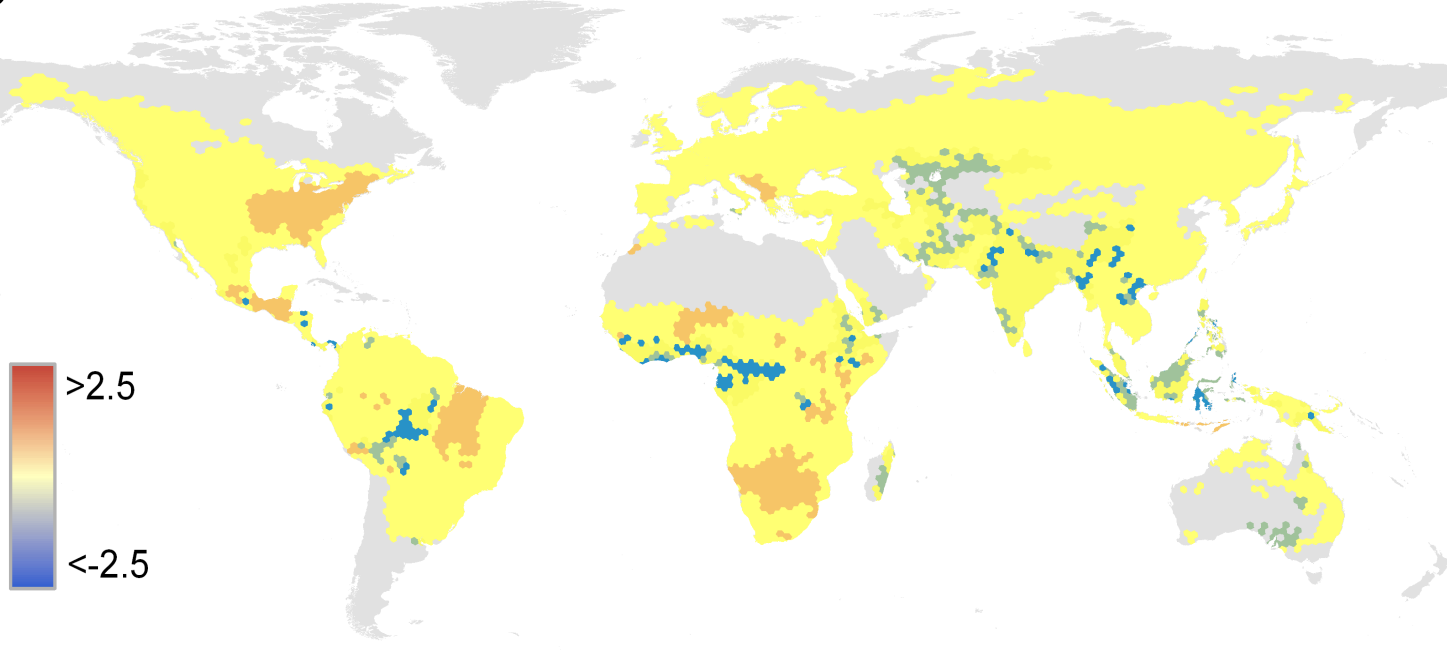
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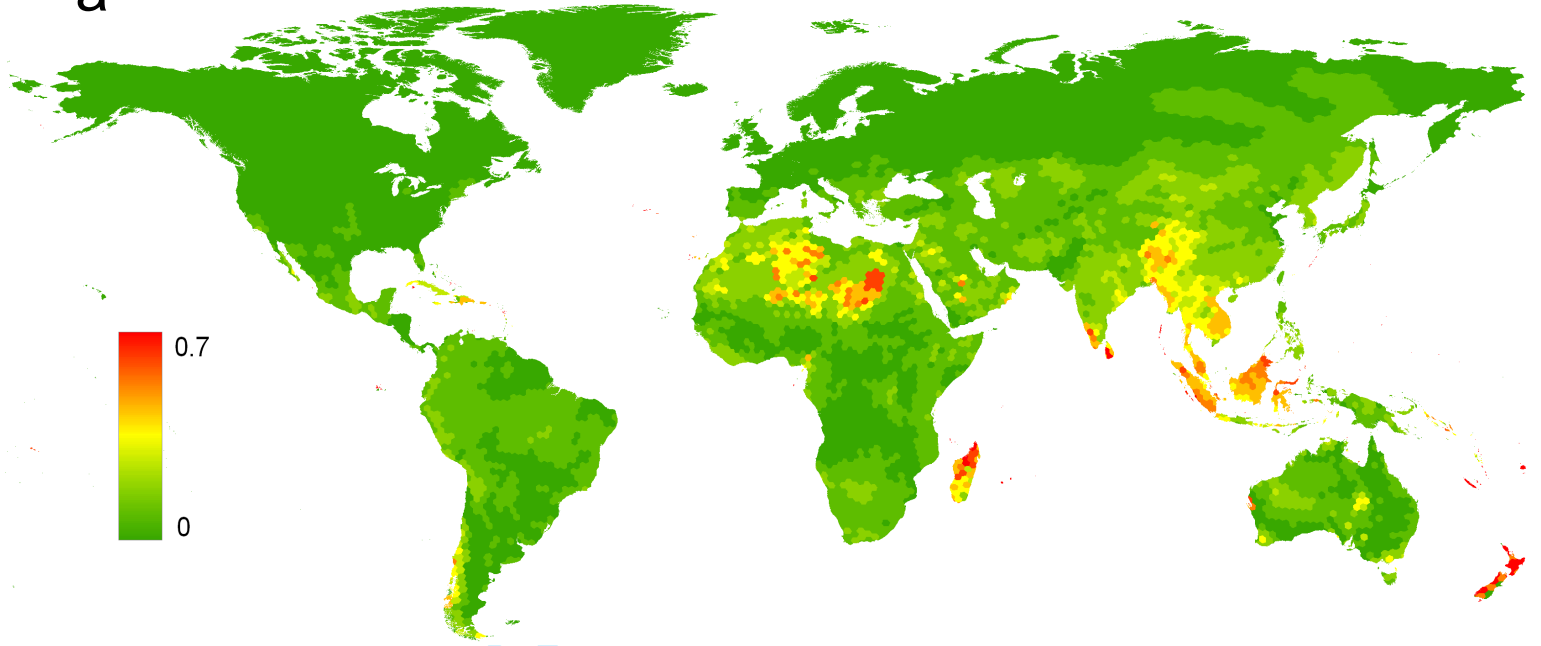
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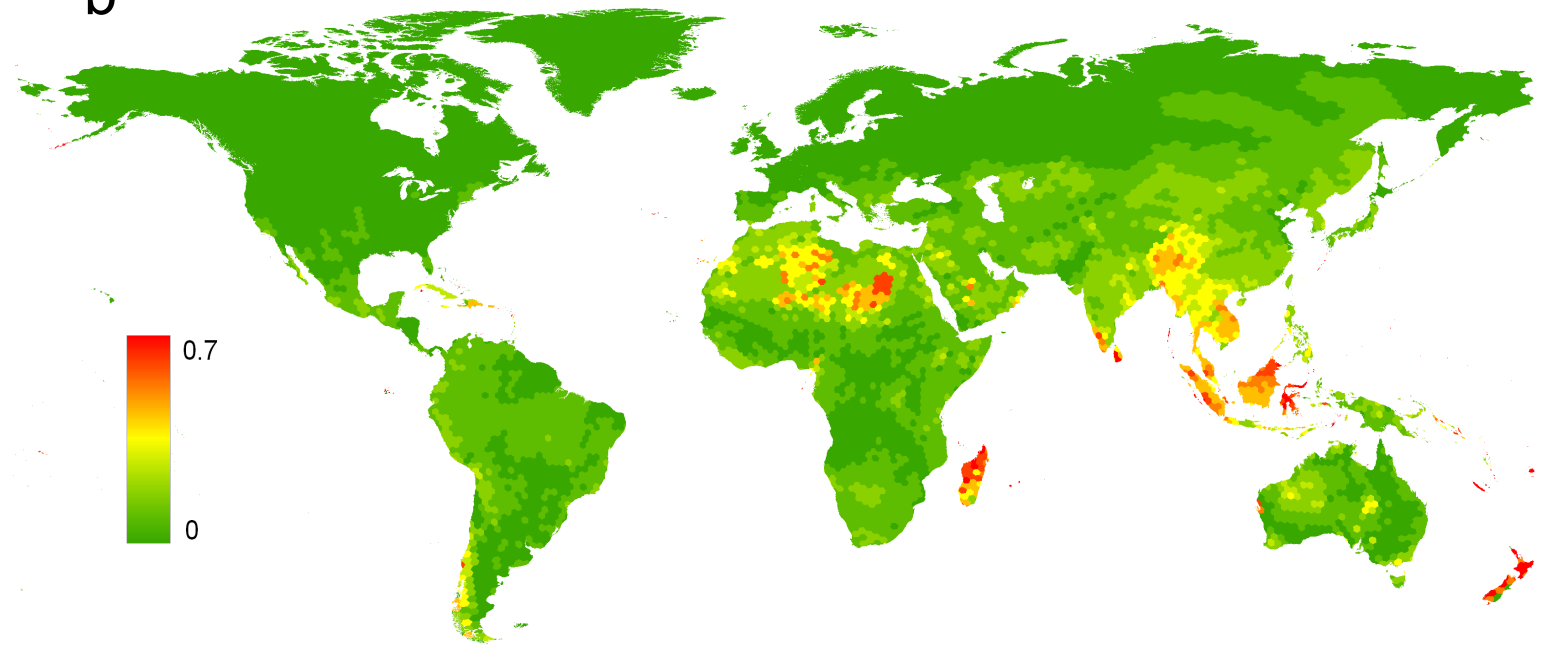
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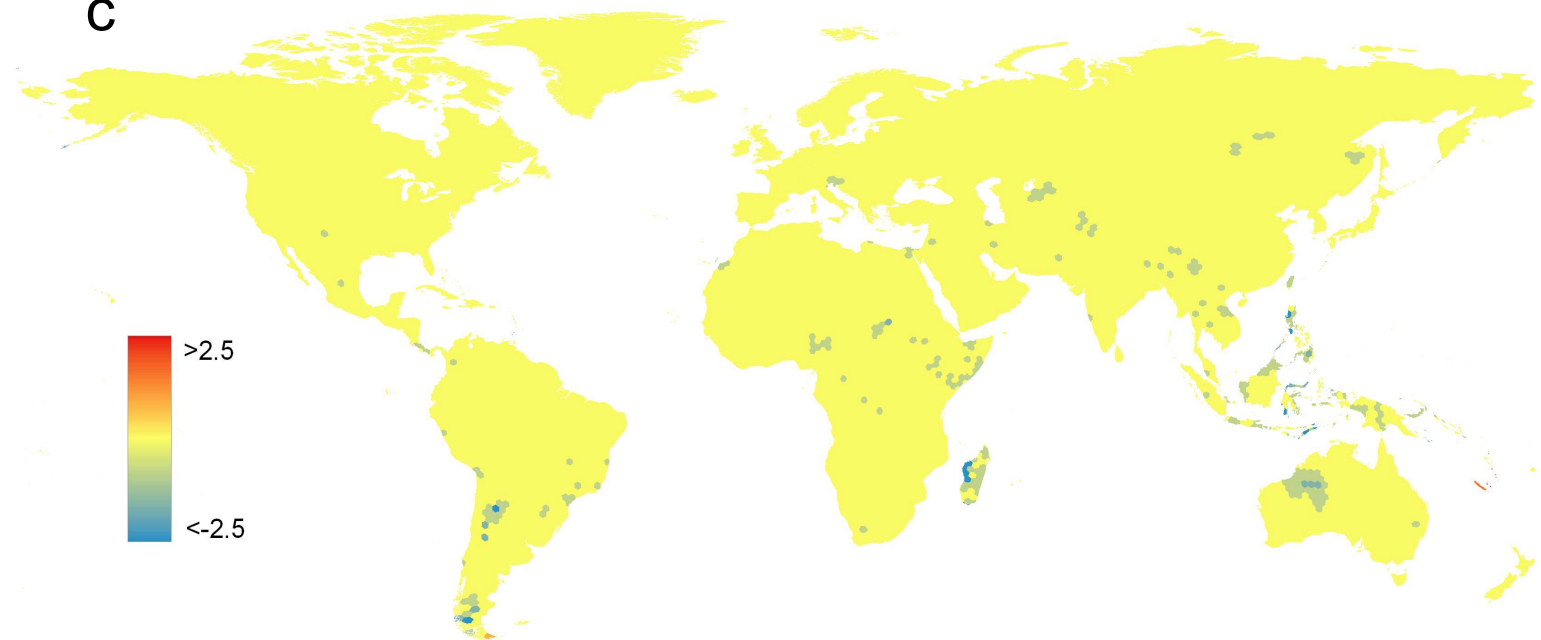
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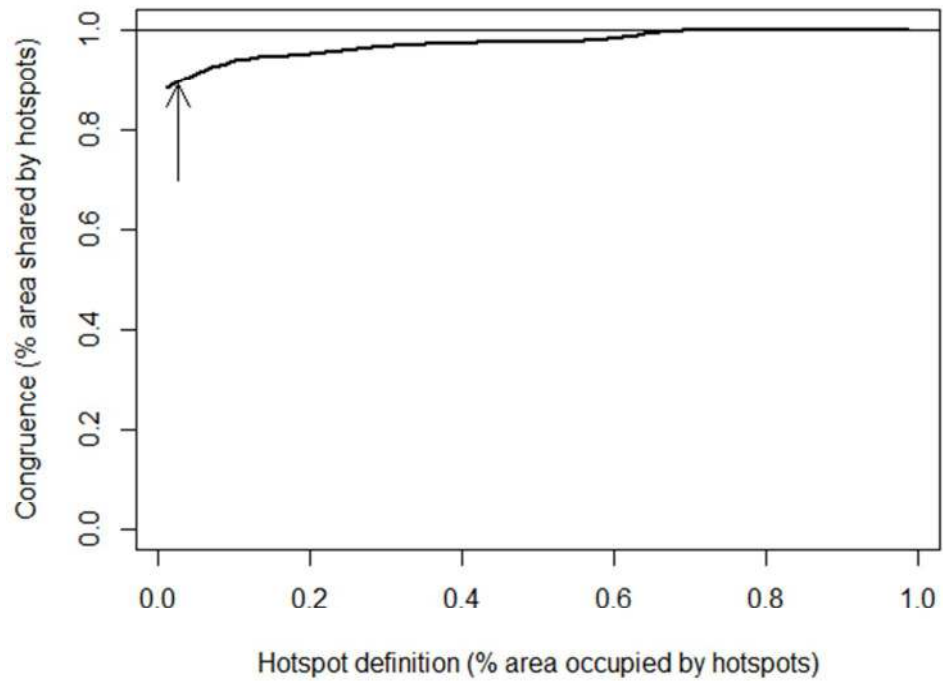


Figure 3. Extent of congruence between hotspots of proportion of threatened species under two scenarios, shown across a range of hotspot definitions. The two scenarios are: 1) exclusion of Data Deficient species and 2) inclusion of Data Deficient species model predictions. Horizontal line shows expectation under full congruence; vertical arrow shows 2.5% hotspot definition.

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