

# Predicting the relative humidities of liquid-liquid phase separation, efflorescence, and deliquescence of mixed particles of ammonium sulfate, organic material, and water using the organic-to-sulfate mass ratio of the particle and the oxygen-to-carbon elemental ratio of the organic component

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**Abstract.** Individual particles that on a mass basis consist dominantly of the components ammonium sulfate, oxygenated organic material, and water are a common class of submicron particles found in today's atmosphere. Here we use (1) the organic-to-sulfate (org:sulf) mass ratio of the overall particle and (2) the oxygen-to-carbon (O:C) elemental ratio of the organic component as input variables in parameterisations that predict the critical relative humidity of several different types of particle phase transitions. Specifically these variables were used to predict the critical relative humidity of liquid-liquid phase separation (SRH), efflorescence (ERH), and deliquescence (DRH). Experiments were conducted by optical microscopy for 11 different oxygenated organic-ammonium sulfate systems covering the range  $0.1 < \text{org:sulf} < 12.8$  and  $0.29 < \text{O:C} < 1.33$ . These new data, in conjunction with other data already available in the literature, were used to develop the parameterisations SRH(org:sulf, O:C), ERH(org:sulf, O:C), and DRH(org:sulf, O:C). The parameterisations correctly predicted SRH within 15 % RH for 88 % of the measurements, ERH within 5 % for 84 % of the measurements, and DRH within 5 % for 94 % of the measurements. The applicability of the derived parameterisations beyond the training data set was tested against observations for organic-sulfate particles produced in an environmental chamber. The organic component consisted of

secondary organic material produced by the oxidation of isoprene,  $\alpha$ -pinene, and  $\beta$ -caryophyllene. The predictions of the parameterisations were also tested against data from the Southern Great Plains, Oklahoma, USA. The observed ERH and DRH values for both the chamber and field data agreed within 5 % RH with the values predicted by the parameterisations using the measured org:sulf and O:C ratios as the input variables.

## 1 Introduction

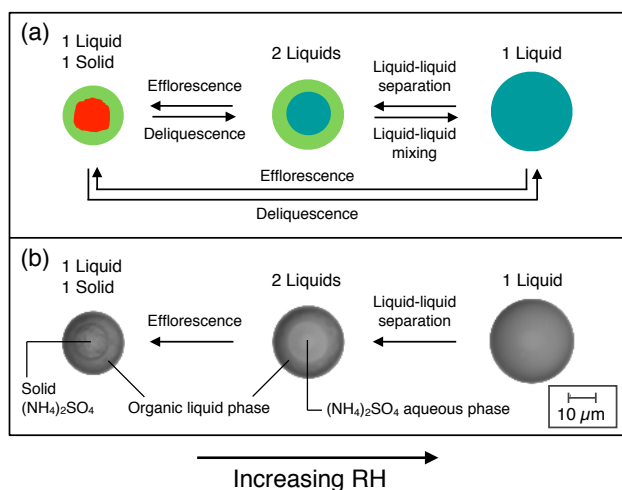
Atmospheric aerosol particles have many important roles in the Earth system. Examples include their feedback mechanisms to climate change, their links to the biogeochemical cycles of many elements, and their effects on regional visibility and human health (Finlayson-Pitts and Pitts, 1997; Ravishankara, 1997; Martin et al., 2004; Pope and Dockery, 2006; Seinfeld and Pandis, 2006; Forster et al., 2007). In the atmosphere, single particles having both organic and sulfate species are abundant (Murphy et al., 1998; Buzorius et al., 2002; Murphy et al., 2006; Pratt and Prather, 2010). Since the sulfate fraction is often partially or fully neutralised by ammonium (Dibb et al., 1996; Huebert et al., 1998; Talbot et al., 1998; Dibb et al., 2000; Lee et al., 2003), mixed organic-ammonium sulfate particles constitute an important class of atmospheric aerosol particles.



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**Fig. 1.** (a) Some possible phase transitions of mixed organic-ammonium sulfate particles. These transitions can occur as atmospheric relative humidity fluctuates between high and low values. Aqua, green, and red respectively represent an aqueous phase, a liquid phase of organic material, and a solid phase of crystalline ammonium sulfate ( $(\text{NH}_4)_2\text{SO}_4$ ). (b) Optical microscope images of a single particle consisting of 1,2,6-trihydroxyhexane and ammonium sulfate (org:sulf = 2.1) showing the sequence from right-to-left of an internally mixed liquid phase, two phase-separated liquids, and a core-shell arrangement of a solid-liquid particle. Images were recorded using  $500\times$  magnification as the relative humidity was decreased while the temperature was held constant at  $291 \pm 1$  K.

Individual organic-ammonium sulfate particles can undergo liquid-liquid phase separation, efflorescence, and deliquescence as the relative humidity (RH) cycles between low and high values during air parcel motion and temperature oscillations in the atmosphere (e.g., Martin, 2000; Clegg et al., 2001; Brooks et al., 2002; Pankow, 2003; Parsons et al., 2004; Erdakos et al., 2006; Marcolli and Krieger, 2006; Anttila et al., 2007; Ciobanu et al., 2009; Prisle et al., 2010; Zuend et al., 2010; Smith et al., 2011). These phase transitions are illustrated in Fig. 1a. In the past, researchers trying to determine the phase transitions of organic-ammonium sulfate particles focused mainly on the individual organic molecules that have been identified in the atmosphere (e.g., Brooks et al., 2002; Wise et al., 2003; Braban and Abbatt, 2004; Parsons et al., 2006). In the atmosphere, however, the organic fraction in these mixed particles consists of 1000s of different molecules, with only about 10 % molecularly identified (Hallquist et al., 2009). Because only a small fraction of the organic material of atmospheric particles have been identified, liquid-liquid phase separation, efflorescence, and deliquescence of mixed organic-ammonium sulfate particles have been difficult to anticipate. Herein, given the intractability of characterising and studying 1000s of individual organic molecules, we explore a different approach by focusing on the organic-to-sulfate (org:sulf) mass ratio of

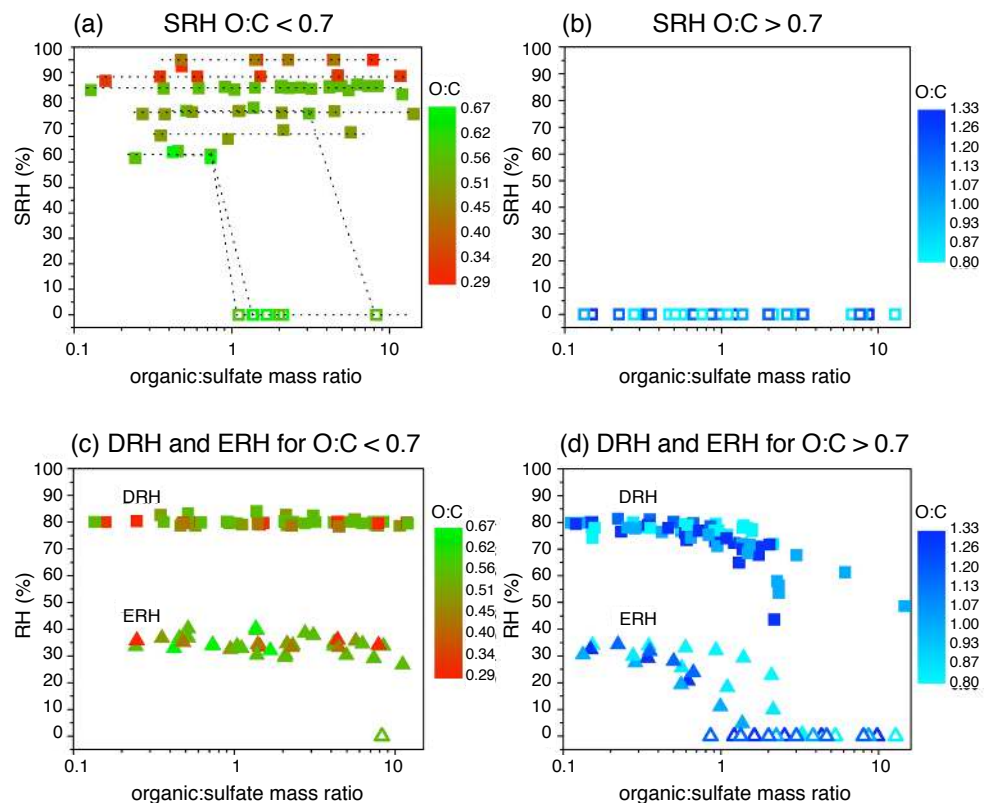
the mixed particles and the oxygen-to-carbon (O:C) elemental ratio of the organic component as possible predictors of phase transitions. The practical advantage of using these ratios is that they are measured by instrumentation that has already been deployed at measurement sites worldwide (Jayne et al., 2000; Zhang et al., 2007; Aiken et al., 2008; Jimenez et al., 2009; Ng et al., 2010).

This presentation of the current study is structured, as follows. In Sect. 2, laboratory measurements of deliquescence, efflorescence, and liquid-liquid phase separation are described for particles containing one oxygenated organic molecule plus ammonium sulfate and water (i.e., three-component particles). Oxygenated organic molecules are a major fraction of the total organic particle mass concentration in the atmosphere, and they dominate relative to non-oxygenated organic molecules on a global-average mass basis (Kanakidou et al., 2005; Zhang et al., 2007; Hallquist et al., 2009). Each studied system consisted of one type of oxygenated organic molecule, plus ammonium sulfate and water (Tables S1–S3). Functional groups included esters, alcohols, carboxylic acids, ethers, and aromatics. This selection covers many of the functional groups found in the atmosphere (Finlayson-Pitts and Pitts, 1997; Seinfeld and Pandis, 2006; Day et al., 2009; Liu et al., 2009; Russell et al., 2011). In Sect. 3, the new laboratory results as well as other laboratory results reported in the literature are summarised and discussed, and the parameterisations of the laboratory results are presented. In Sect. 4, we apply the parameterisations to two case studies: an environmental chamber study and a field study. Section 5 presents conclusions and outlook.

## 2 Experimental

The relative humidity at which liquid-liquid phase separation (SRH), efflorescence (ERH), and deliquescence (DRH) occurred in 11 different oxygenated organic-ammonium sulfate systems was studied with an optical microscope coupled to a temperature controlled flow-cell (Pant et al., 2006; Ciobanu et al., 2009; Bodsworth et al., 2010). The bottom surface of the flow cell was a hydrophobic glass slide upon which the particles were deposited and observed. A solution of ammonium sulfate and an organic molecule was prepared in high-purity water or, in the case of low water-solubility organic molecules, in a mixture of water and methanol. The solution was then passed through a nebulizer to produce submicron droplets. These droplets were directed toward the hydrophobic glass slide, upon which they deposited and coagulated into supermicron droplets. The water or the water/methanol mixture was then evaporated to generate organic-ammonium sulfate particles with lateral dimensions ranging from 10 to 30  $\mu\text{m}$ .

At the beginning of an experiment the RH in the flow cell was first set to nearly 100 %. Relative humidity was controlled by a continuous flow of a mixture of humid and dry

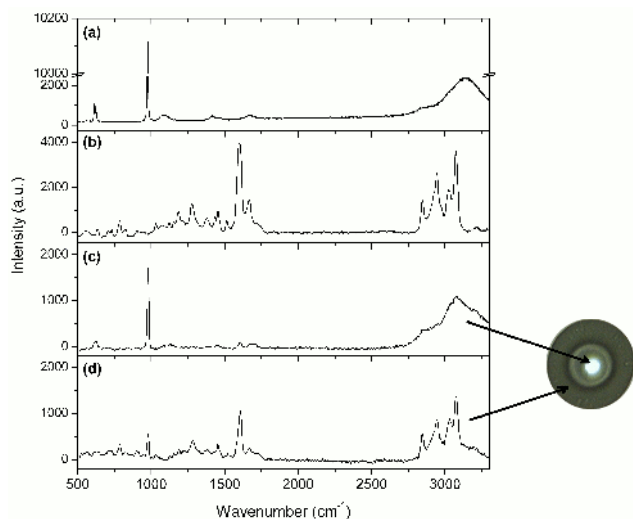


**Fig. 2.** Measurements of separation relative humidity (SRH), efflorescence relative humidity (ERH), and deliquescence relative humidity (DRH). Axes denote the observed relative humidity (RH) of a transition and the organic-to-sulfate mass ratio of a studied mixed system. Data are segregated between the left and right sets of panels for low (<0.7) and high (>0.7) oxygen-to-carbon (O:C) elemental ratios of the organic material. Open symbols indicate that no phase transition was observed. In some cases SRH was not probed below 35–40 % RH because ammonium sulfate or the organic material crystallised. Phase transitions for temperatures ranging from 290 to 298 K.

$N_2$ . The relative humidity of the gas was determined using a chilled mirror sensor (General Eastern). The uncertainty in measuring the relative humidity of the carrier gas was 0.3 %, and the uncertainty in the reported SRH, ERH and DRH-values were 2.5 %, 2.8 %, and 2.5 %, respectively, based on the reproducibility of the data. Typical flow rates were approximately  $1.5 \text{ L min}^{-1}$ . After initialisation at nearly 100 % RH, the RH over the particles was then ramped down at a rate of 0.4–0.6 % per minute, and images of the particles were captured every approximately 20 s. The RH was decreased to approximately 25 % if efflorescence was observed and to as low as 2 % if no efflorescence was observed. After efflorescence was observed or the particles had been held at <2 % RH for some time, the RH was increased at the same rate to observe deliquescence of the particles. Calibration of the absolute RH readings was done using the DRH values for pure ammonium sulfate particles. All experiments were carried out at a temperature of  $290 \pm 1 \text{ K}$ , except for experiments with 1,2,6-trihydroxyhexane. The results reported for 1,2,6-trihydroxyhexane were carried out at  $273 \pm 1 \text{ K}$  though several experiments were also conducted at  $290 \pm 1 \text{ K}$  and con-

firmed that within experimental uncertainty the DRH, ERH, and SRH were the same at both temperatures.

The spatial distribution of the organic and sulfate material after liquid-liquid phase separation was probed with Raman microscopy at approximately 293 K. Raman spectra of a pure ammonium sulfate particle, a pure 4-dihydroxy-3-methoxybenzeneacetic acid particle, and a mixed 4-dihydroxy-3-methoxybenzeneacetic acid-ammonium sulfate particle (org:sulf of 3.1) were collected. Spectra were acquired on particles deposited on a hydrophobic glass slide in the same manner as for the optical microscope experiments. The spectra were collected using a Renishaw inVia Raman microscope with excitation using an argon ion laser at 514 nm and a power of 200 mW. All Raman spectra were collected at room temperature. For the Raman experiments the particles were exposed to room air, and hence, the RH was not controlled.



**Fig. 3.** Raman spectra of (a) a pure ammonium sulfate particle, (b) a pure 4-dihydroxy-3-methoxybenzeneacetic acid particle, (c) the core of a mixed 4-dihydroxy-3-methoxybenzeneacetic acid-ammonium sulfate particle after phase separation, and (d) the shell of a mixed 4-dihydroxy-3-methoxybenzeneacetic acid-ammonium sulfate particle after phase separation. The mixed particle used for Raman analysis (organic-to-sulfate = 1.44) is shown to the right. All Raman spectra were collected at approximately 293 K. In addition, during Raman measurements the particles were exposed to room air, and hence, the RH was not controlled.

### 3 Laboratory results and discussion

Shown in Fig. 1b are examples of images recorded during a typical experiment in which liquid-liquid phase separation and efflorescence were observed (cf. Movie S1). The new data are plotted in Fig. 2 as a function of org:sulf and O:C, together with previous measurements of oxygenated organic-ammonium sulfate systems (Table 1 and references therein). Figure 2, as well as Figs. S1–S2, reveal that a dividing line emerges from the data, specifically for O:C < 0.7 compared to O:C > 0.7. For O:C < 0.7, the particles regularly undergo liquid-liquid phase separation, followed by efflorescence of the core with decreasing RH.

The spatial distribution of the organic and sulfate materials after liquid-liquid phase separation in a particle is shown in Fig. 3. The morphology is an organic coating surrounding an aqueous ammonium sulfate core, with small amounts of each in the other phase. For some systems we also observed several sulfate rich inclusions with diameters on the order of a few micrometers within an organic rich phase. These results are consistent with the Raman spectra and optical images of poly(ethylene glycol)-ammonium sulfate particles after liquid-liquid phase separation (Ciobanu et al., 2009). In our studies, as well as the previous studies with poly(ethylene glycol)-ammonium sulfate particles, the hydrophobic glass slide may influence the morphology. Studies with levitated

particles have reported an organic lens on aqueous droplets and several small aqueous ammonium sulfate inclusions suspended in an organic particle after liquid-liquid phase separation (Buajarern et al., 2007; Kwamena et al., 2010). Regardless of the morphology, the Raman spectra show that the nucleation-disrupting organic molecules are largely excluded from the aqueous salt solution after phase separation. This separation allows efflorescence to occur at an RH close to that of pure aqueous ammonium sulfate (Ciobanu et al., 2009; Smith et al., 2011).

The SRH value is independent of org:sulf in most cases, as illustrated in Fig. 2a and b, but correlates to first degree with O:C ( $R^2 = 0.87$  for  $O:C \leq 0.8$ ; Fig. S2), at least for the parameter space explored here (i.e.,  $0.1 < \text{org:sulf} < 15$  and  $0.2 < O:C < 1.4$ ). For  $O:C < 0.7$ , Fig. 2c demonstrates that as a first-order approximation ERH and DRH do not depend on org:sulf. Within experimental uncertainty, they are the same as those of pure ammonium sulfate. Conversely, for  $O:C > 0.7$  Fig. 2d shows that ERH and DRH decrease with increasing values of org:sulf.

The correlation between SRH and O:C shown in Fig. 2 and Fig. S2 can be rationalised by the salting out effect and the molar polarisation of the organic molecule. The decrease in solubility of an organic molecule in an aqueous solution due to the addition of a salt is known as the salting out effect. Salting out may be described by the Setchenov equation (Lee, 1997):

$$\ln \frac{S}{S_0} = k_s C_s \quad (1)$$

where  $S$  is the solubility of the organic molecule in water in the presence of the salt,  $S_0$  is the solubility in water without the salt,  $C_s$  is the concentration of the salt, and  $k_s$  is the Setchenov constant. Increasingly negative values of  $k_s$  result in a greater tendency of an aqueous organic-inorganic particle to liquid-liquid phase separate. According to electrostatic theories,  $k_s$  is related to the molar polarization ( $P_m$ ) of the organic molecule (Desnoyers and Ichhaporia, 1969). Since  $P_m$  is related to the polarisability and the dipole moment and, furthermore, since polarisability and dipole moment should be roughly related to O:C, one would expect a correlation between  $k_s$  and O:C and also a correlation between SRH and O:C. This line of thinking is also consistent with a recent modeling study using alcohols and salts that showed that hydrophilicity, which was reflected in O:C, is a key feature in defining the region of a miscibility gap (Zuend et al., 2010). The gap between 0.87 and unity for the correlation factor of SRH with O:C (see Fig. S2) is plausibly explained by the combination of several different classes of organic molecules in our data set. A better correlation would be expected if a homologous series of organic molecules were studied.

In regard to the trends in DRH and ERH shown in Fig. 2, if a liquid-liquid phase separation occurs in a three-component system, the DRH and ERH values are expected to be closer to the binary system (inorganic and water) because in many

**Table 1.** List of the data sets used for the parameterisations of SRH, ERH, and DRH in three-component systems (i.e. one organic plus ammonium sulfate and water). Phase transitions were measured for temperatures ranging from 290 to 298 K. Over this temperature range ERH and DRH does not strongly depend on temperature (Colberg et al., 2003; Bodsworth et al., 2010). Initial work with trihydroxyhexane and ammonium sulfate mixtures also suggest that SRH does not strongly depend on temperature over this narrow temperature range. The DRH values reported here corresponds to the total DRH, which indicates where ammonium sulfate completely dissolves. For DRH and SRH we only included results from either bulk measurements or optical microscopy measurements because other techniques typically used for exploring the hygroscopic properties of aerosol particles can miss total deliquescence. For ERH we focused exclusively on optical microscopy measurements to be consistent with the new results reported in the current manuscript. Optical microscopy has been shown to be in good agreement in most cases with other techniques used to measure ERH properties of particles (Parsons et al., 2004). We excluded ERH results if the ERH data increased with an increase in the org:sulf ratio. In this case, efflorescence most likely was due to crystallization of the organic material (a process that likely does not occur under most atmospheric conditions) (Marcolli et al., 2004). The complete data set is plotted in Fig. S1 as colour maps to illustrate that the data covers a wide range of org:sulf and O:C values without any large gaps in this phase space.

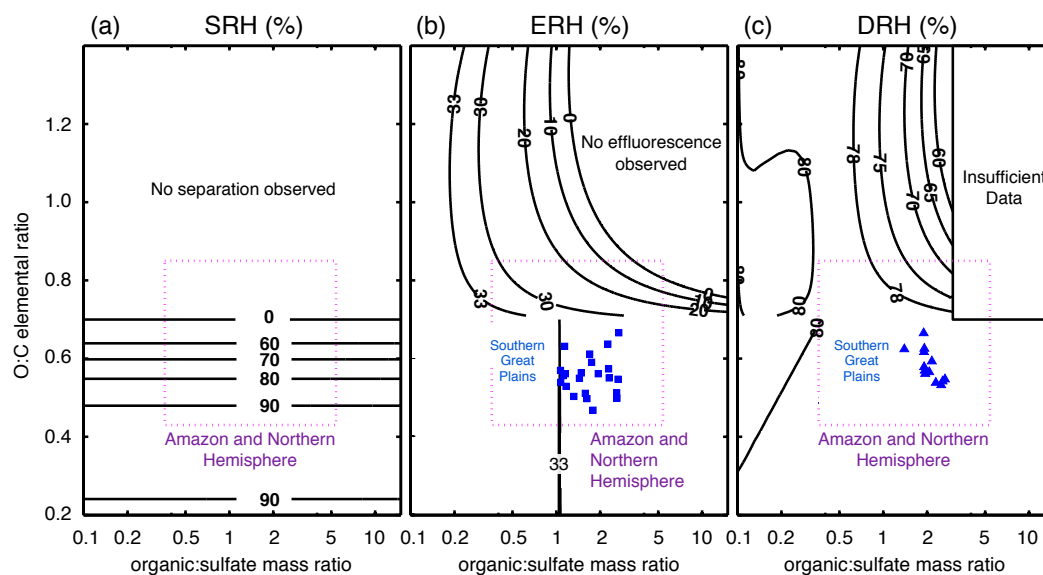
Compound	Formula	Functional Groups	O:C	Data Available
diethyl decanedioate	$C_{14}H_{26}O_4$	esters	0.29	ERH*, DRH*, SRH*
1,2-hexanediol	$C_6H_{14}O_2$	alcohols	0.33	DRH (Marcolli and Krieger, 2006), SRH (Marcolli and Krieger, 2006)
monomethyl octane-1,8-dioate	$C_9H_{16}O_4$	carboxylic acid-ester	0.44	ERH*, SRH*
1,4 butanediol	$C_4H_{10}O_2$	alcohols	0.50	DRH (Marcolli and Krieger, 2006), SRH (Marcolli and Krieger, 2006)
1,2,6-hexane-triol	$C_6H_{14}O_3$	alcohols	0.50	ERH*, DRH*, SRH*
4-dihydroxy-3-methoxybenzeneacetic acid	$C_9H_{10}O_5$	carboxylic acid-alcohols-ether-aromatic	0.56	ERH*, DRH*, SRH*
polyethylene glycol-400	$C_{2n}H_{4n+2}O_{n+1}$ , $n = 8.2$ to $9.1$	alcohols-ethers	~0.56	ERH (Ciobanu et al., 2009, 2010), DRH (Marcolli and Krieger, 2006), SRH (Marcolli and Krieger, 2006; Ciobanu et al., 2009)
2,5-dihydroxybenzoic acid	$C_7H_6O_4$	carboxylic acid-alcohols	0.57	ERH*, SRH*
hexanedioic acid	$C_6H_{10}O_4$	carboxylic acids	0.67	DRH (Brooks et al., 2002; Wise et al., 2003)
2,2-dimethylbutanedioic acid	$C_6H_{10}O_4$	carboxylic acids	0.67	ERH*, SRH*
pentanedioic acid	$C_5H_8O_4$	carboxylic acids	0.8	ERH (Pant et al., 2004), DRH (Brooks et al., 2002; Wise et al., 2003; Pant et al., 2004; Treuel et al., 2008, 2009), SRH*
6,8-dioxabicyclo[3.2.1]octane-2,3,4-triol	$C_6H_{10}O_5$	ethers-alcohols	0.83	ERH (Parsons et al., 2004), DRH (Parsons et al., 2004), SRH*
propane-1,2,3-triol	$C_3H_8O_3$	alcohols	1.00	ERH (Parsons et al., 2004), DRH (Parsons et al., 2004; Marcolli and Krieger, 2006), SRH*
L-hydroxybutanedioic acid	$C_4H_6O_5$	carboxylic acids	1.00	DRH (Brooks et al., 2002; Wise et al., 2003)
butanedioic acid	$C_4H_6O_4$	carboxylic acids	1.00	DRH (Brooks et al., 2002; Wise et al., 2003)
(Z)-butenedioic acid	$C_4H_4O_4$	carboxylic acids	1.00	DRH (Brooks et al., 2002; Wise et al., 2003; Treuel et al., 2009)
2-hydroxypropane-1,2,3-tricarboxylic acid	$C_6H_8O_7$	carboxylic acids-alcohol	1.17	ERH (Bodsworth et al., 2010), DRH (Bodsworth et al., 2010), SRH*
propanedioic acid	$C_3H_4O_4$	carboxylic acids	1.33	ERH (Parsons et al., 2004), DRH (Brooks et al., 2002; Wise et al., 2003; Parsons et al., 2004; Salcedo, 2006; Treuel et al., 2008, 2009), SRH*

\* This study's data.

**Table 2.** Parameterisations of the SRH, ERH, and DRH data. In the parameterisations, terms include  $x = \log_{10}(\text{org:sulf mass ratio})$  and  $y = \text{O:C atomic ratio}$ . Data used to develop the parameterizations were recorded at temperatures ranging from 290 to 298 K. Over this temperature range ERH and DRH do not strongly depend on temperature. Initial work with trihydroxyhexane and ammonium sulfate mixtures also suggest that SRH does not strongly depend on temperature over this narrow range.

Predictions	Parameterisation	Valid Range	Reduced $\chi^2$
SRH( $x, y$ )	$= 0$	$0.7 < (\text{O:C}) < 1.4$ and $0.1 < (\text{org:sulf}) < 15$	43.8
	$= 35.50 + 339.9y - 471.8y^2$	$0.2 < (\text{O:C}) < 0.7$ and $0.1 < (\text{org:sulf}) < 15$	
ERH( $x, y$ )*	$= 130.3 + 196.3x - 189.9y + 123.7x^2 - 370.7xy + 73.03y^2 + 23.18x^3 - 214.4x^2y + 125.0xy^2 + 0.6104x^4 - 33.19x^3y + 52.19x^2y^2$	$0.7 < (\text{O:C}) < 1.4$ and $0.1 < (\text{org:sulf}) < 15$	2.77
	$= 33.06 - 1.974x - 0.0252y$	$0.2 < (\text{O:C}) < 0.7$ and $0.1 < (\text{org:sulf}) < 15$	
DRH( $x, y$ )	$= 107.0 + 102.7x - 54.53y + 98.79x^2 - 202.8xy + 22.79y^2 + 21.82x^3 - 182.2x^2y + 80.75xy^2 - 1.328x^4 - 33.99x^3y + 57.97x^2y^2$	$0.7 < (\text{O:C}) < 1.4$ and $0.1 < (\text{org:sulf}) < 3$	0.92
	$= 79.91 - 0.0618x + 0.0910y$	$0.2 < (\text{O:C}) < 0.7$ and $0.1 < (\text{org:sulf}) < 15$	

\* Negative ERH values correspond to the absence of efflorescence (i.e., no efflorescence is predicted even at 0 % RH).



**Fig. 4.** Contour plots of SRH, ERH, and DRH based on the presented parameterisations of the experimental data. The magenta boxes enclose org:sulf and O:C values (campaign averages) measured in the pristine Amazon Basin (Chen et al., 2009) and many locations in the Northern Hemisphere (Jimenez et al., 2009; Ng et al., 2010). The blue symbols in (b) and (c) correspond to field measurements of ERH and DRH that were carried out at the Southern Great Plains (SGP), Oklahoma, USA (Martin et al., 2008). Since instruments commonly used to measure phase transitions in the field are not capable of directly measuring liquid-liquid phase separations, there are no field observations included in (a). Contours apply to temperatures ranging from 290 to 298 K.

cases after phase separation the organic molecules are expected to partition mostly to the organic phase. This expectation is supported by thermodynamic calculations and measurements (Clegg et al., 2001; Chang and Pankow, 2006; Ciobanu et al., 2009; Zuend et al., 2010). If liquid-liquid phase separation of a three-component system does not occur, a decrease in the DRH and ERH values of ammonium sulfate is expected with an increase in the org:sulf ratio, as implied by the Gibbs-Duhem relation. The Gibbs-Duhem relation states that an increase in the org:sulf ratio must correspond to an increase in the chemical potential of the organic material and a decrease in the chemical potential of ammonium sulphate for the assumption that activity coefficients do not behave atypically (Denbigh, 1981). The implication based on this relation for an increase in the org:sulf ratio are the following: a decrease in the solution saturation with respect to ammonium sulfate for a constant RH; a decrease in the DRH to maintain unity saturation; and a decrease in the ERH to maintain critical supersaturation.

The laboratory data listed in Table 1 and presented in Fig. 2 were parameterized as function of org:sulf and O:C. For  $O:C < 0.7$ , we fit the ERH and DRH values to a first-order polynomial in terms of both org:sulf and O:C. For  $O:C > 0.7$ , higher-order polynomials were required because ERH and DRH are stronger functions of these parameters over this range. At an org:sulf value of 0.1, the DRH was constrained to 80 % RH to be consistent with the thermodynamic calculations for pure ammonium sulfate (Clegg et al., 1998), and ERH was constrained to 35 % RH to be consistent with ERH values for pure ammonium sulfate determined with the optical microscope technique (Parsons et al., 2004). For SRH, the data were parameterized using a second-order polynomial in O:C only. Figure 2a–b show that SRH is relatively insensitive to org:sulf. The parameterisations are included in Table 2 and are plotted in Fig. 4.

To evaluate the goodness-of-fit of the parameterisations, we calculated the reduced  $\chi^2$  values (see Table 2) as well as the residuals (Fig. 5). For ERH and DRH, the reduced  $\chi^2$  values were 2.77 and 0.92, respectively. Values close to 1 are considered good fits. The residuals appeared randomly distributed, as expected for good fits. For SRH, the reduced  $\chi^2$  values were larger (43.8), and the residuals were concentrated mainly for  $0.5 < O:C < 0.7$  and  $org:sulf > 1$ . In the region where the residuals were larger ( $O:C < 0.7$ ), the reduced  $\chi^2$  value was 62.2. The parameterisations correctly predict ERH and DRH within 5 % RH for 84 % and 94 % of the ERH and DRH measurements, respectively. We conclude that, to good approximation, trends in the ERH and DRH of three-component particles (i.e., particles containing one organic plus ammonium sulfate and water) can be predicted with the presented parameterisations. The SRH parameterisation is less accurate: this parameterisation correctly predicts SRH within 15 % RH for 88 % of the measurements (Fig. 5). Nevertheless, the parameterisation does predict with reasonable accuracy the org:sulf and O:C parameter space

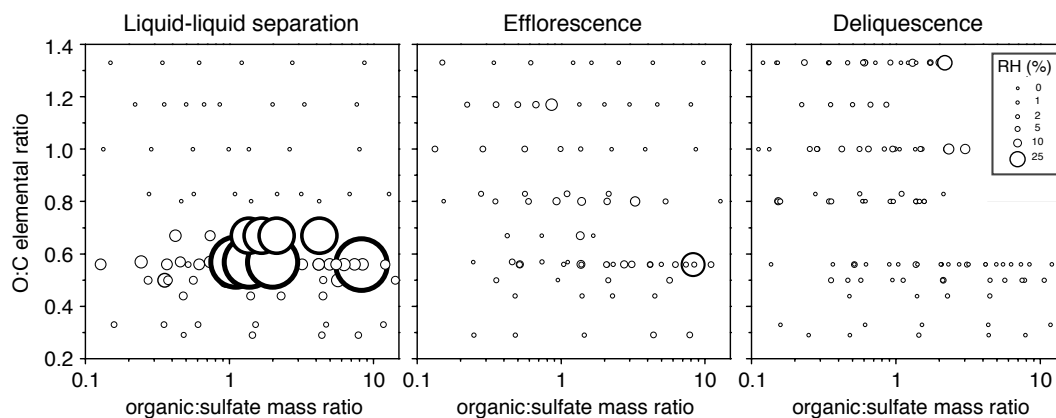
where liquid-liquid phase separation is expected to occur. For more accurate predictions of SRH, a need for additional information (i.e., in addition to O:C) is indicated. Useful information would include organic functional groups and the organic molecular weight. However, any additional accuracy would come at the expense of added complexity in the parameterisation and may require chemical information that is currently not routinely measured (unlike org:sulf and O:C).

Measurements of average org:sulf and O:C by advanced on-line particle mass spectrometers have become available in the past few years for the central Amazon Basin (Chen et al., 2009) and for many locations in the Northern Hemisphere (Jimenez et al., 2009; Ng et al., 2010). Factor analysis has been used to separate the organic mass spectrum into hydrocarbon-like organic (HOA) and oxygenated organic (OOA) statistical components (Zhang et al., 2005, 2007; Jimenez et al., 2009). Since our parameterisations are applicable to the oxygenated component, we filtered the measurements (Chen et al., 2009; Jimenez et al., 2009; Ng et al., 2010) to include only those regions and times that had high OOA statistical scores compared to HOA scores. The constraint applied was  $OOA/(OOA+HOA) \geq 0.85$ . The HOA component is believed to represent oily materials that have limited chemical interaction with oxygenated organic-sulfate-water components that are the focus of the present study. The sampling locations after filtering were: Riverside, Zurich (summer), Zurich (winter), off New England coast, Fukue, Okinawa, Cheju, Duke Forest, Pinnacle Park, Cheboque Point, Jungfrauoch, Hyttiala, and central Amazon Basin. For these locations, org:sulf and O:C campaign averages ranged from 0.36 to 5.39 and 0.43 to 0.85 respectively (Chen et al., 2009; Jimenez et al., 2009; Ng et al., 2010). Magenta boxes in Fig. 4 enclose the org:sulf and O:C range covered by these ambient measurements. Coincidence is apparent between the locations of the magenta boxes representing ambient measurements and the org:sulf and O:C range covered by the introduced SRH, ERH, and DRH parameterisations.

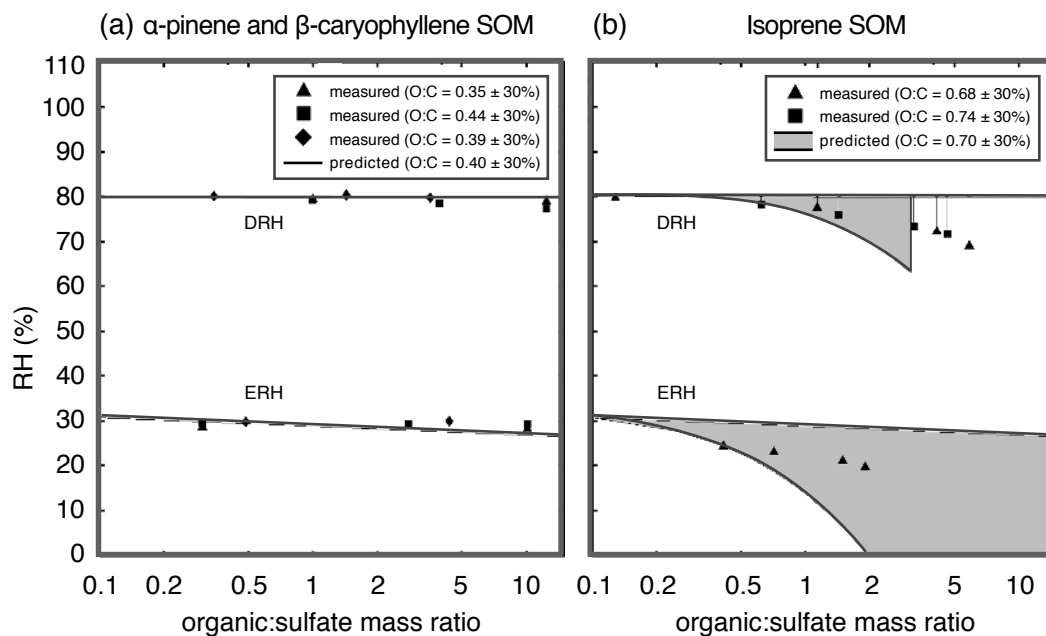
## 4 Case studies

### 4.1 Environmental chamber studies

The applicability of the derived parameterisations for predicting the phase transitions of multi-component oxygenated organic-sulfate mixtures was tested using secondary organic material (SOM) that was mixed with sulfate and water in individual particles. The SOM was produced by the oxidation of volatile organic compounds (VOCs) in an environmental chamber in the presence of ammonium sulfate seed particles (Shilling et al., 2008; King et al., 2010; Li et al., 2011; Smith et al., 2011). Some of the oxidation products had low vapor pressures and consequently condensed onto the seed particles. Particle-phase SOM produced in an environmental



**Fig. 5.** The residuals (defined as the difference between parameterisations and measurements) for SRH, ERH, and DRH. The diameter of the circles correspond to the difference between the parameterisations and the measurements in % RH.



**Fig. 6.** Comparison between measured and predicted ERH and DRH for organic-sulfate particles. Panel (a) shows observations for organic material produced by the ozonolysis of  $\alpha$ -pinene and  $\beta$ -caryophyllene. Panel (b) shows observations for organic material produced by isoprene photooxidation. The predictions shown in each panel represent the ERH and DRH parameterisations with input parameters of the measured org:sulf and O:C, including a maximum 30% uncertainty in the O:C measurements (Aiken et al., 2007). This uncertainty is relatively less important for (a) (thus narrower bands) than (b) (wider bands) because of the different O:C values. The unsymmetrical error bars for the deliquescence measurements in (b) are discussed in the supplementary material. The ERH parameterisations have been adjusted by 4.2% RH to take into account differences in particle size and observation time.

chamber contains 10s to 100s of oxygenated compounds and as such represents a surrogate for atmospheric oxygenated organic material. Up to 90% of the particle-phase submicron organic material in the atmosphere is SOM (Hallquist et al., 2009). The ERH and DRH of the mixed SOM organic-ammonium sulfate particles were measured using a tandem differential mobility analyzer (cf. supplementary material and references Rosenoern et al., 2009; Smith et al., 2011).

The org:sulf and O:C ratios were measured using on-line mass spectrometry (DeCarlo et al., 2006; Aiken et al., 2008; Shilling et al., 2009), including recent updates for SOM water peaks (Chen et al., 2011).

Particles probed by the  $1 \times 3$  TDMA were approximately  $0.150 \mu\text{m}$  and the observation time was on the order of 1 s. The ERH parameterisation was based on microscope measurements of particles with diameters ranging from 10 to



30  $\mu\text{m}$  and observation times of 60 s at each RH. Since ERH depends on the particle size and observation time (Martin, 2000; Gao et al., 2006), an adjustment was made when comparing the ERH parameterizations with the  $1 \times 3$  TDMA ERH results. The ERH parameterization was adjusted by 4.2 % RH so that the  $1 \times 3$  TDMA ERH results for pure ammonium sulfate was in agreement with microscope results for the same particle composition.

Figure 6 shows both the ERH and DRH measurements (points) as well as the predictions (lines) obtained using the ERH and DRH parameterisations, with input parameters of the measured org:sulf and O:C. The ERH parameterisation has been adjusted by 4.2 % RH as discussed above. The derived parameterisation tested against the independent ERH and DRH measurements of the chamber particles was accurate within 4.4 % RH for all observations.

## 4.2 Field studies

The parameterisations were also tested against measurements that took place during June 2007 in the Southern Great Plains (SGP), Oklahoma, USA (cf. supplementary material and references (Sheridan et al., 2001; Martin et al., 2008)). For the current analysis, we considered measurements for which the cation mole fraction arising from  $\text{NH}_4^+$  was greater than 0.75 and the anion mole fraction arising from  $\text{SO}_4^{2-}$  was greater than 0.90. The use of this subset ensured that the inorganic composition was close to ammonium sulfate and that any deviations in the ERH and DRH due to incomplete neutralisation or the presence of the nitrate anion were relatively small. Both of these factors have been demonstrated in laboratory studies to influence ERH and DRH (Martin et al., 2003). The org:sulf and O:C ratios at which efflorescence and deliquescence occurred at SGP are plotted in Fig. 4b and c, alongside the RH contours of the parameterisations. The parameterisations predict that the DRH should lie within the ranges of 78–80 %, in reasonable agreement with the DRH observations at SGP, which ranged from 77–79 %. The parameterisations predict that the ERH should lie within the range of 26–31 % (after correcting for the difference in particle size and observation time), in agreement with the ERH observations at SGP, which ranged from 26–29 %.

## 5 Conclusions and outlook

The relative humidity at which liquid-liquid phase separation, efflorescence, and deliquescence occurred in 11 different oxygenated organic-ammonium sulfate systems was studied with an optical microscope. The new laboratory data as well as data reported in the literature was used to develop parameterisations in terms of O:C and org:sulf. The parameterisations correctly predict ERH and DRH for three component laboratory particles within 5 % RH for 84 % and 94 % of the measurements, respectively. The parameterisations correctly predict SRH within 15 % RH for 88 % of

the measurements. Improvements in the predictions of SRH will require additional chemical information which may not be routinely measured. The applicability of the derived parameterisations for predicting the phase transitions of multi-component organic-ammonium sulfate mixtures were tested using environmental chamber data and field data from the Southern Great Plains, Oklahoma. The environmental chamber ERH and DRH data and field ERH and DRH data agreed with the parameterisations within 4.4 % RH.

The parameterisations presented herein represent a conceptual framework for the liquid-liquid phase separation, efflorescence, and deliquescence of a common class of atmospheric particles, specifically ammonium sulfate-organic-water particles. In outlook, this framework can be generalised to other particle types and conditions in the case that additional data can be obtained. Priorities should include: (1) environmental chamber and field studies with higher O:C values than available in the present study; (2) environmental chamber studies and field studies where predictions of DRH and ERH are sensitive to specific O:C and org:sulf combinations (i.e.,  $0.7 < \text{O:C} < 1.4$  and  $0.5 < \text{org:sulf} < 5$ ); (3) direct measurements of liquid-liquid phase separation in environmental chamber studies and in the atmosphere; (4) laboratory studies of organic-ammonium sulfate particles that contain functional groups other than the ones used to develop the parameterisations presented here; and (5) studies using a broader range of composition, such as acidic particles or particles enriched in nitrate which are known to decrease ERH and DRH (Martin et al., 2003). Finally, the presented parameterisations were developed for oxygenated organics, non-oxygenated organic molecules (i.e., oily hydrocarbons), which on a global basis are less important than SOM, can still be important locally near or in urban centers (Kanakidou et al., 2005; Zhang et al., 2007; Hallquist et al., 2009). Studies of particles that have large concentrations of both oxygenated organic material and oily hydrocarbons are needed. The interesting story might develop further in such cases in that an additional phase separation might occur (i.e., three-phase liquid particles may form) (Knickerbocker et al., 1982). As a practical matter, the computational burden of the framework presented herein is low. Therefore, the parameterisations are well suited for incorporation in comprehensive chemical transport models (CTMs) (Wang et al., 2008). CTMs are used in large-scale predictions of atmospheric chemistry and are coupled in advanced treatments to global climate models. Future CTMs that incorporate predictions of O:C ratios alongside existing capabilities for organic and sulfate burdens may make use of these types of parameterisations for SRH, ERH, and DRH.

**Supplementary material related to this article is available online at:**

<http://www.atmos-chem-phys.net/11/10995/2011/acp-11-10995-2011-supplement.zip>

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