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CORRESPONDING TO THE TRANSITION BETWEEN
MOLECULAR AND ISENTROPIC FLOW

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ABSTRACT

A model of compressible flow through an orifice, in the region of transition from free molecular to isentropic expansion flow, has been developed and tested for accuracy. The transitional or slip regime is defined as the conditions where molecular interactions are too many for free molecular flow modeling, yet not great enough for isentropic expansion flow modeling. Due to a lack of literature establishing a well-accepted model for predicting transitional flow, it was felt such work would be beneficial. The model is nonlinear and cannot be satisfactorily linearized for a linear regression analysis. Consequently, a computer routine was developed which minimized the sum of the squares of the residual flow for the nonlinear model. The results indicate an average accuracy within 15% of the measured flow throughout the range of test conditions. Furthermore, the results of the regression analysis indicate that the transitional regime lies between Knudsen numbers of approximately 2 and 45.

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1. INTRODUCTION

A model of compressible flow through an orifice, in the region of transition from free molecular to isentropic expansion flow, has been developed and tested. The model is based loosely upon theoretical considerations for combining the free molecular and isentropic expansion models, but it is largely empirical. It was felt that such work would be important because there was no well-accepted model for predicting flow under these conditions.

The proposed model is of a nonlinear form which cannot be satisfactorily linearized for a linear regression analysis. Consequently, a computer routine was developed for a nonlinear regression analysis which minimized the sum of the squared residuals of the flow rates. The resulting model predicted flow within 15% of the measured flow throughout the transitional region. Additionally, the results of the regression analysis indicate that the transitional region for orifice flow lies between Knudsen numbers of approximately 2 and 45 for the range of test conditions. The proposed model was compared with the best alternate model selected from the literature and was found to be more accurate over the range of conditions tested. This accuracy was obtained with the use of only a single regression coefficient, whereas the best alternate model from the literature required two regression coefficients.

2. THEORETICAL

2.1 Prior Models

Previous models have had limited success predicting transitional flow in orifices. Two documented models of particular interest were

proposed by Parker and Santeler,¹ and by Borisov et al.² These models are described by Eqs. (1) and (2) respectively.

$$Q_t = aQ_m + C_1(1 - a)Q_i, \quad (1)$$

where

a = function of transitional boundary pressures,

$$C_1 = A'(1 - B'/P^x),$$

A', B' = regression coefficients,

$$x = 0.05.$$

$$Q_t = (1 + CK')Q_m, \quad (2)$$

where

K' = function of system pressure,

C = regression coefficient.

The variables Q_m and Q_i are the free molecular orifice flow and isentropic expansion models defined in most texts addressing vacuum technology [see Eqs. (3.71) and (3.50) of ref. 3].

The Parker-Santeler model was originally validated by tests using UF_6 in the high pressure region of transitional flow. The coefficient "a" in Eq. (1) is defined to be a function of the estimated transitional boundary pressures, and the coefficient C_1 is a type of orifice coefficient dependent on the system pressure.

Equation (2), which was developed by Borisov et al., applies primarily to the low pressure region of transitional flow. This is readily obvious due to the incorporation in the model of only the molecular flow contribution. The coefficient C is determined empirically, and K' is a type of modified inverse Knudsen number.

2.2 Proposed Model

If the free molecular and isentropic expansion models are plotted at a constant pressure ratio as shown in Fig. 1, the logarithm of the flow rate is linearly dependent on the logarithm of the upstream pressure. The goal of a transitional flow model should be to bridge the free molecular and isentropic models in the region of transitional flow. Therefore, some type of curve spans this region as depicted by Q_t in Fig. 1. The model proposed in this paper made use of an asymptotic transition from the free molecular to isentropic model as the Knudsen number or system pressure increased. A single regression coefficient was used to define this transition as defined by Eq. (3):

$$Q_t = Q_m + (Q_i - Q_m)(1 - C_a^{-K}) . \quad (3)$$

The regression coefficient, C_a , physically represents the reciprocal of the probability that a molecule, after colliding with another molecule, will not be swept through the orifice. Since the Knudsen number is proportional to the number of collisions expected when a molecule traverses a distance equivalent to the size of the orifice, C_a^{-K} is an approximation for the probability that a molecule would avoid being diverted through the orifice when traveling a distance equivalent to the orifice diameter.

Using the coefficient C_a in Eq. (3) allows one to define the boundaries over which the transition from free molecular to isentropic expansion occurs. If the molecular to transitional boundary is defined to occur at 90% free molecular flow and 10% isentropic expansion flow, then the Knudsen number equivalent to this boundary can be expressed by

$$0.9Q_m + 0.1Q_i = Q_m + (Q_i - Q_m)(1 - C_a^{-K_m}), \text{ or}$$
$$K_m = [\ln(10/9)]/\ln(C_a) . \quad (4)$$

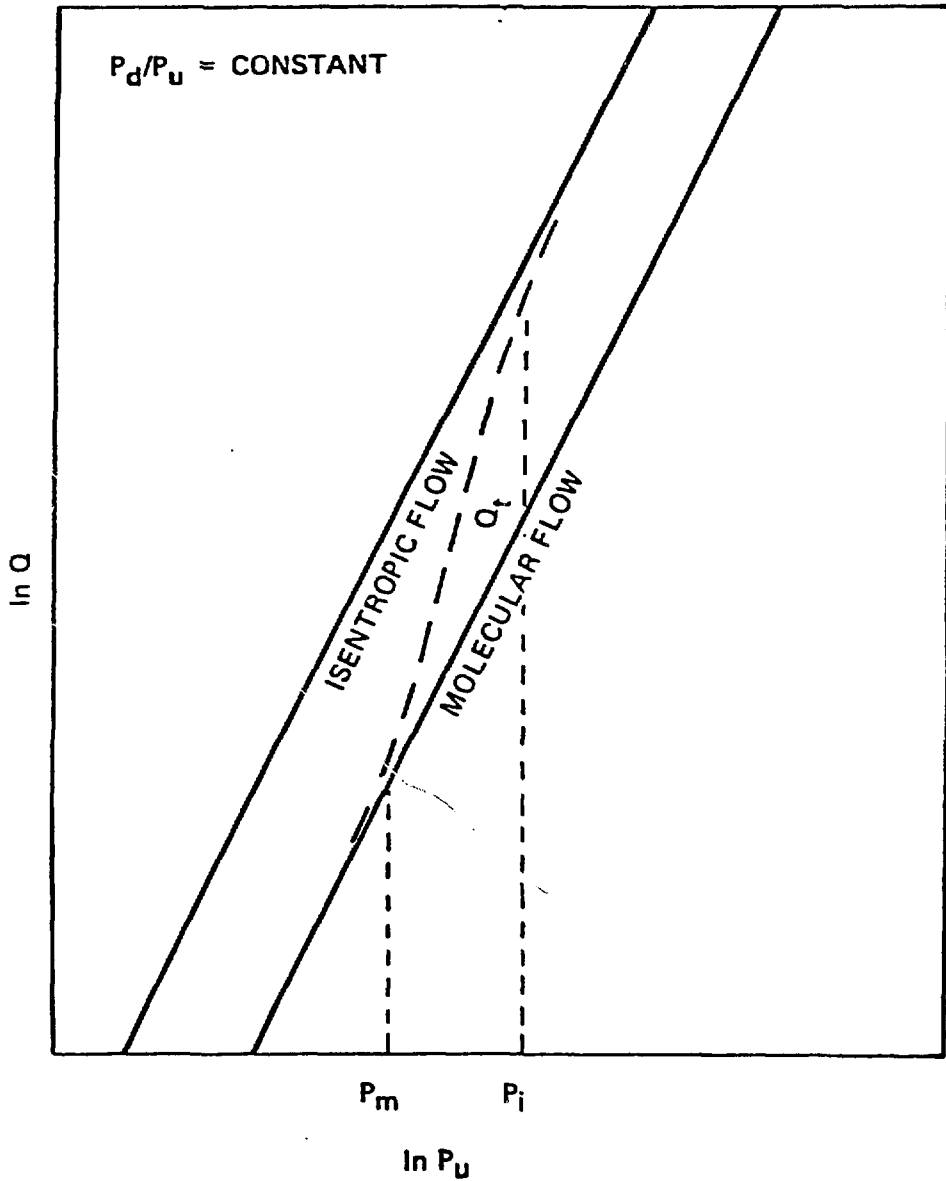


Figure 1. Molecular and isentropic model at a constant pressure ratio.

Similarly, the isentropic boundary can be defined as 10% free molecular flow and 90% isentropic flow as shown by Eq. (5).

$$K_i = [\ln(81)]/[2\ln(C_a)] \quad (5)$$

For the case of the 10 to 90% boundary, $K_i/K_m = 20.85$, and this ratio is independent of C_a .

2.3 Regression Analysis

The basis for any linear regression is a normal distribution of the dependent variable about the independent variable. Since Q_i is related to the pressure (independent variable) in a nonlinear manner, any linearization of Eqs. (1) or (3) would disrupt the normal distribution of the dependent variable (flow rate) about the independent variable. For this reason, the models were evaluated using a nonlinear regression analysis which minimized the sum of the squared flow residuals.

The conventional techniques for determining the confidence intervals of the regression coefficients were not applicable to this nonlinear analysis. Therefore, the functional dependency of the regression coefficient upon the error in the residuals was determined by Eq. (6) for the proposed model.

$$W_{Ca} = [(\partial R_s / \partial C_a)^2 W_{RS}^2]^{1/2} \quad (6)$$

where

W = uncertainty,

$$R_s = 100 \left\{ [Q_t(\text{calculated}) - Q_t(\text{measured})]^2 / [Q_t(\text{measured})]^2 \right\}^{1/2} .$$

The confidence intervals for the residuals were estimated by combining three properly weighted normal distributions, each representing an individual data set.

3. EXPERIMENTAL

The test setup pictured in Fig. 2 was used to collect the experimental data for the flow of ambient air. The flow meter consisted of a liquid-filled buret for direct volumetric measurements of the accumulated volume of gas at barometric pressure. A 2-mL and a 10-mL maximum displacement buret were used to cover the entire range of flow conditions. Capacitance manometer pressure sensors of 10^{-5} and 10^{-3} torr minimum sensitivity were used to measure the pressure. The vacuum pumping system consisted of a 230-L/s diffusion pump backed by a 17-cfm rotary vane mechanical pump. The diffusion pump was not operated with an inlet pressure above 0.2 torr. The throttle valves consisted of a fine controlling needle valve upstream of the orifice (minimum adjustable flow was approximately 10^{-10} torr-L/s as specified by the vendor) and a coarse plug valve downstream of the orifice. Both valves required adjustment for each data point in order to obtain both the desirable upstream pressure and the differential pressure. Each orifice was made of a polymeric film of 0.20 mm thickness, mounted on a brass ring support.

The data were divided into three sets which investigated the effect of the orifice diameter and the pressure ratio upon the regression coefficients. The first data set consisted of data collected with a 1.27-mm orifice diameter and a pressure ratio of 0.9. The second data set also contained data based on the 1.27-mm orifice but with a pressure ratio of 0.7. The third data set contained data collected with a 2.44-mm orifice and a pressure ratio of 0.9.

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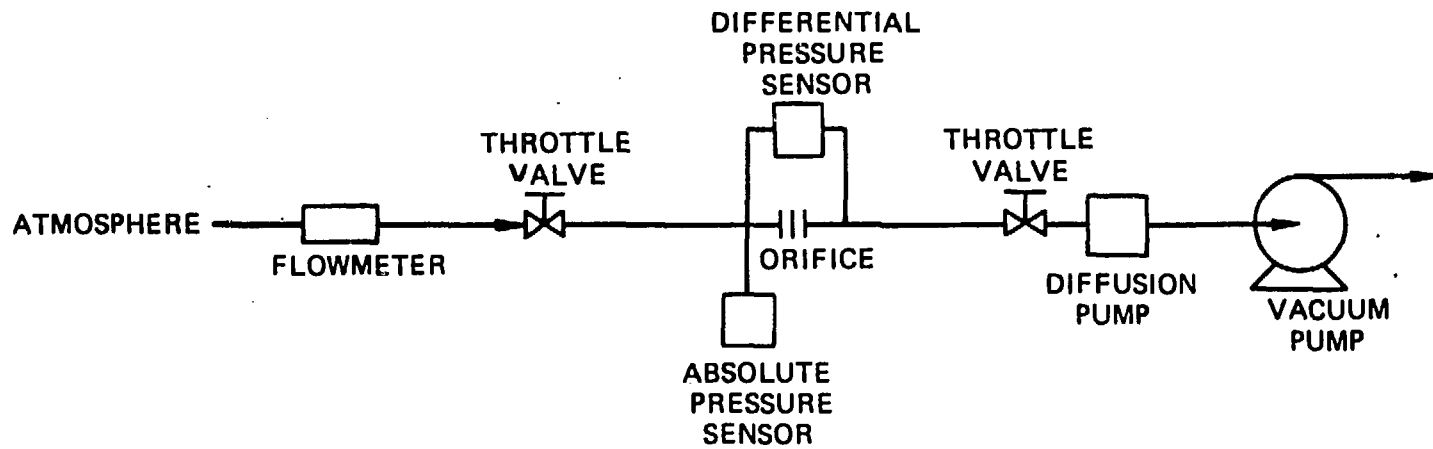


Figure 2. Test setup

Estimates of the experimental error in the data were found to average $\pm 2\%$ according to the uncertainty analysis expression, Eq. (7).

$$w_Q = \left[\left(\frac{\partial Q}{\partial p_b} \right)^2 w_{p_b}^2 + \left(\frac{\partial Q}{\partial v_b} \right)^2 w_{v_b}^2 + \left(\frac{\partial Q}{\partial t} \right)^2 w_t^2 \right]^{1/2} . \quad (7)$$

4. RESULTS AND DISCUSSION

The proposed model regression curve is shown with the data collected in Fig. 3. The regression coefficient, C_a , was found to be 1.05, and thus the best equation describing the data was

$$Q_t = Q_m + (Q_i - Q_m)(1 - 1.05^{-K}) .$$

A visual examination of Fig. 3 shows that the proposed model fits the data well.

The Parker-Santeler model was curve fit to the same data used to establish the regression coefficient of the proposed model. The high pressure range of the Parker-Santeler data made comparisons with the current model difficult. Comparisons of the new proposed model and the results of a linear regression of the Parker-Santeler model using all three data sets from this study are shown in Table 1. The accuracy is reported as the average percent residual flow; this has the advantage over the sum of the squared residuals of more evenly weighting the flow over several orders of magnitude.

The confidence intervals of the proposed model flow residuals were estimated by combining the properly weighted normal distributions of each data set. The combined distribution, which was similar to a Poisson distribution, was then numerically integrated to estimate the confidence levels. Once a value for the confidence levels of the

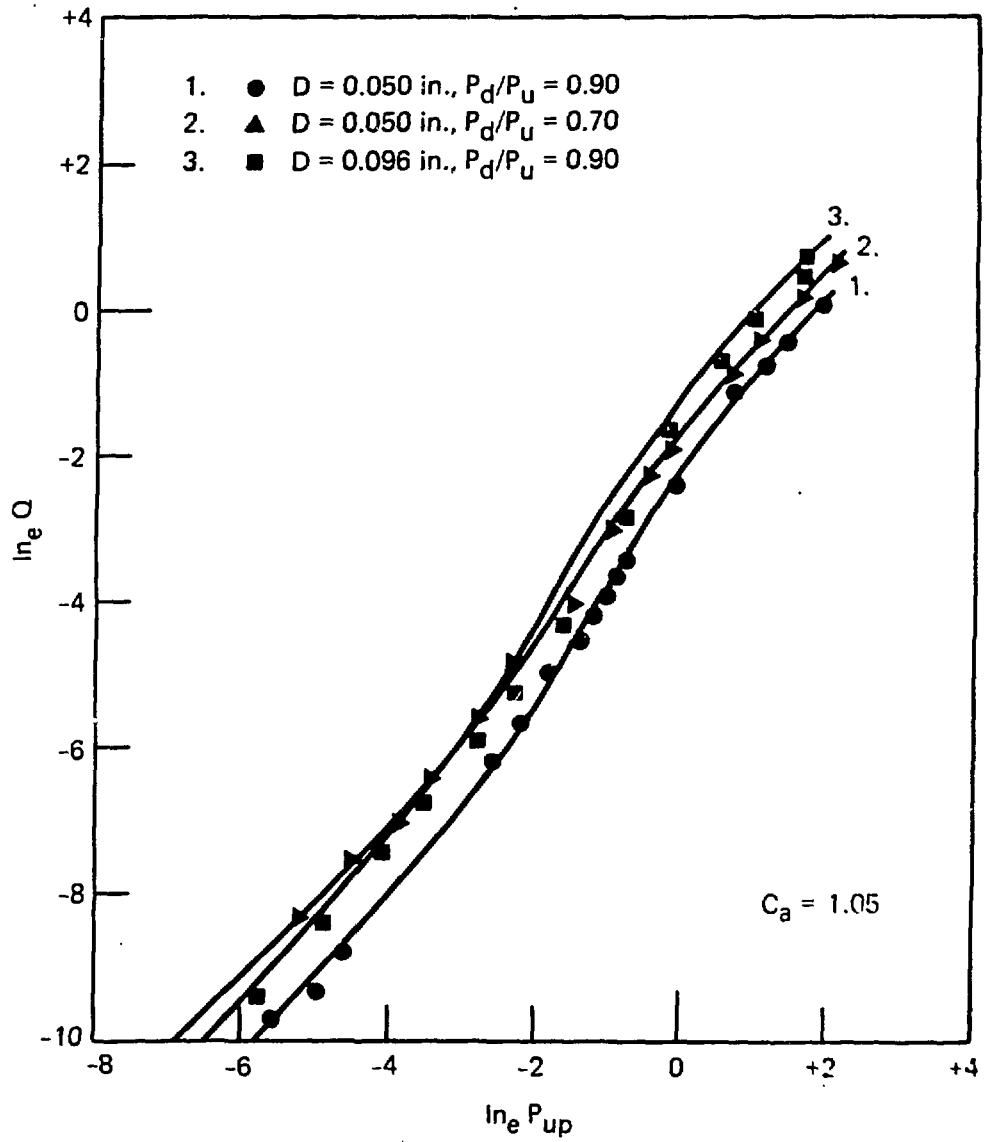


Figure 3. Proposed probability model.

Table 1. Regression analysis results

Data set	Proposed model Eq. (3)			Alternate model Eq. (1)	
	C _a	r*	A'	B'	r
Collective	1.05	14.8	0.998	-0.106	21.1
One	1.061	15.2	0.996	-0.136	22.7
Two	1.079	7.6	0.996	-0.189	8.3
Three	1.021	11.7	1.004	-0.045	30.4

Note: See ref. 4 for the particulars of the regression analysis.

$$*r = (1/n) \sum_{j=1}^n R s_j .$$

residual error was determined, the error was translated to the regression coefficient by Eq. (6).

As shown in Table 1, the average percent residual flow of the proposed model for the collective data set is 15% as compared to 21% for the Parker-Santeler model. This indicates that when using the proposed model, Eq. (3), the average accuracy can be expected to be 15% of the true flow. There is 53% confidence that the accuracy of the proposed model is within 15% (15% is the average residual error) of the measured flow; there is 82% confidence that the accuracy is within twice the average residual error.

A comparison of the individual data sets shows that regression coefficients do vary, 1.021 to 1.079 for C_a in the proposed model, and -0.045 to -0.189 for B' of the Parker-Santeler model. In particular, the regression coefficients of data set three vary most from those of data sets one and two. Data set three differed from one and two by an orifice area four times greater.

A similar comparison, by way of a regression analysis, was not made with the Borisov et al. model because its primary applicability is limited to the low pressure region of transitional flow.

When evaluating the success of the proposed model in comparison with other documented models, the model simplicity as well as accuracy must be considered. The proposed model has the advantage over the Borisov et al. model of application throughout the entire transitional region due to its dependency on both the free molecular and isentropic expansion models. The evidence indicates that the proposed model has improved accuracy over the Parker-Santeler model while making use of only a single regression coefficient. A particularly attractive aspect

of the proposed model is its simplicity. The single regression coefficient has the advantage of producing a model whose semi-theoretical origins are easily understood.

NOMENCLATURE

C_a	capture coefficient
K	Knudsen number
n	number of data points
P	pressure
Q	mass flow rate
r	average percent residual error
Rs	present residual error
V_b	buret volume
W	uncertainty
W_{P_b}	uncertainty in barometric pressure measurement
W_{V_b}	uncertainty in buret volume displacement measurement
W_t	uncertainty in time measurement

Subscripts

b	barometric
d	downstream
i	isentropic
m	free molecular
t	transitional
u	upstream

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