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#### Pressure-induced stabilization and insulator-superconductor

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#### Abstract

Diborane (B<sub>2</sub>H<sub>6</sub>), a high energy density material, was believed to be stable in a wide *P*,*T*-interval. A systematic investigation of the B-H system using the ab initio variable-composition evolutionary simulations shows that boron monohydride (BH) is thermodynamically stable and can coexist with solid B, H<sub>2</sub>, and B<sub>2</sub>H<sub>6</sub> in a wide pressure range above 50 GPa. B<sub>2</sub>H<sub>6</sub> becomes unstable and decomposes into the *Ibam* phase of BH and H<sub>2</sub> (*C*2/*c*) at 153 GPa. The semiconducting layered *Ibam* structure of BH at 168 GPa transforms into a metallic phase with space group *P6/mmm* and a 3D-topology with strong B-B and B-H covalent bonds. The *Ibam-P6/mmm* transformation pathway suggests the possibility of obtaining the metastable *Pbcm* phase on cold decompression of the *P6/mmm* phase. The electron-phonon coupling calculations indicate that *P6/mmm*-BH is a phonon-mediated superconductor with a critical temperature of superconductivity (*T<sub>c</sub>*) of 14.1-21.4 K at 175 GPa.

Pressure can influence the physical and chemical properties of matter, and high-pressure research has uncovered many novel phenomena and greatly changed our fundamental understanding of organic and inorganic materials [1]. One of the most important aspects is pressure-induced superconductivity: numerous experiments have confirmed that many elements and compounds, which are insulators at ambient pressure, can become superconductors under sufficient compression. In particular, hydrogen-rich compounds, such as silane  $(SiH_4)$  and germane  $(GeH_4)$ , can become high-temperature superconductors at lower pressures than pure hydrogen, owing to "chemical precompression" [2]. Recent experimental discoveries [3,4] and theoretical predictions [5.6] have provided evidence for metallization of these hydrogen-dominant compounds. New hope for exploring superconductivity based on metallic hydrogen and possessing unprecedentedly high  $T_c$  has been sparked again.

 $B_2H_6$ , the simplest stable boron hydride at ambient conditions, is a classical electron-deficient molecule and has been extensively used as a highly reactive and versatile reagent [7]. High-pressure experimental and theoretical studies have shown that compressed diborane undergoes a sequence of phase transitions. With increasing pressure to 50 GPa, several structural transitions have been identified in recent spectroscopic experiments [8,9]. However, no detailed experimental structural data are yet available for these high-pressure phases. More recently, theoretical calculations within density functional theory (DFT) have indicated that molecular diborane first transforms into a molecular crystal made of discrete BH<sub>3</sub> trimers near 4 GPa, and a polymeric crystal containing one-dimensional chains becomes more stable above 36 GPa [10]. However, another detailed DFT investigation [11] shows that  $B_2H_6$  becomes unstable in the pressure range of 40-350 GPa and decomposes into B and H<sub>2</sub>. The discrepancy between these investigations encourages us to further investigate the high-pressure behavior of  $B_2H_6$  in detail in the present work.

To find stable and low-enthalpy metastable structures, we used the evolutionary algorithm USPEX, implemented in the USPEX code [12-14], which has been widely used to predict stable high-pressure crystal structures without requiring any experimental information [6,15-17]. In particular, we used not only the traditional

fixed-composition searches [12,13], but also the newly developed variable-composition technique [18,19], also available in the USPEX code. This powerful technique enables one to find, in a single simulation, all stable compounds and their crystal structures in a multicomponent system (in this case, the B-H system). Evolutionary crystal structure prediction calculations were performed at 10, 20, 50, 100, 200, and 300 GPa. The underlying structural relaxations and electronic structure calculations presented here were performed using the all electron projector augmented wave (PAW) method [20] as implemented in the Vienna ab-initio simulation package (VASP) [21]. The exchange-correlation energy was treated within the generalized gradient approximation, using the functional of Perdew, Burke, and Ernzerhof [22]. A plane-wave cutoff energy of 540 eV and dense Monkhorst-Pack k-point meshes [23] with the reciprocal space resolution of  $2\pi \times 0.03$  Å<sup>-1</sup> were used for all structures to ensure that the enthalpy calculations are converged to better than 1 meV/atom.

Structural transition pathways were explored with the variable-cell nudged elastic band (VC-NEB) method [24], implemented in USPEX. The convergence criteria for the root-mean-square force were 0.03 eV/Å for each image. Lattice dynamics and electron-phonon coupling (EPC) calculations for the *P6/mmm* structure of BH were performed with the QUANTUM-ESPRESSO package [25] using ultrasoft pseudopotentials and plane wave basis sets with a kinetic energy cutoff of 180 Ry. A  $24 \times 24 \times 16$  Monkhorst-Pack *k*-point grid with a Gaussian smearing of 0.05 Ry was used for self-consistent calculations, which ensures that EPC matrix elements are sufficiently accurate. A  $6 \times 6 \times 4$  *q*-point mesh in the first Brillouin zone was used for the calculation of EPC matrix elements.

Fig. 1 shows the enthalpy of formation ( $\Delta H$ ) for B-H compounds at selected pressures. Interestingly, besides reproducing various structures of solid B<sub>2</sub>H<sub>6</sub>[10,11], B [15], and H<sub>2</sub> [26], previously unreported boron monohydride (BH) is found to be stable in a wide pressure range in our evolutionary structure search. It can be found from Fig. 1 that at around 20 GPa the orthorhombic BH with space group *Pbcm* is still metastable and lies above the tie line joining  $\gamma$ -B<sub>28</sub> [15] and the *P*-1 structure of B<sub>2</sub>H<sub>6</sub> [10]. At 50 and 100 GPa, we predict stable phases of B ( $\gamma$ -B<sub>28</sub> and  $\alpha$ -Ga-type), BH (*Ibam*),  $B_2H_6$  ( $P2_1/c$ ), and  $H_2$  ( $P6_3/m$ ). At 200 GPa,  $B_2H_6$  is already unstable and decomposes into BH (P6/mmm) and  $H_2$  (C2/c). More detailed convex hull diagrams for the B-H system at different pressures can be found in the supplementary material [27].

Pressure-composition high-pressure phase diagram of the B-H system is depicted in Fig. 2(a) and shows the stability ranges of solid B, BH,  $B_2H_6$ , and  $H_2$ . It can be seen that  $B_2H_6$  (space group  $P2_1/c$ ) becomes unstable and decomposes into BH (*Ibam*) and  $H_2$  (*C*2/*c*) at 153 GPa, and the *P6/mmm* structure of BH becomes more stable than the *Ibam* structure at 168 GPa. Two out of three competitive BH phases, shown in Fig. 2(b)-(d), have layered structures. The 2D-structures of the *Pbcm* and *Ibam* phases of BH consist of the same puckered BH-layers arranged in different orientations; in each layer, every B atom has six boron neighbors in the puckered close-packed boron layer, and one hydrogen atom above or below the layer. 3D-topology is present in the *P6/mmm* phase – here, we have flat close-packed boron layers, with H atoms located symmetrically between the B-layers and connecting to them by strong B-H bonds. Fig. 2(b)-(d) shows the structural changes that occur in BH under pressure.

Using the VC-NEB method, we have simulated the transition pathway between thermodynamically stable *Ibam* and *P6/mmm* phases. The results (Fig. 3) reveal that the *Ibam*  $\rightarrow$  *P6/mmm* reconstructive phase transition can be considered as a two-stage transformation. First, the *Ibam* structure of BH transforms to the metastable *Pbcm* structure (this transition has a high barrier, 0.32 eV/f.u. at 168 GPa), with BH-layers changing from antiparallel to parallel arrangement making it easier for interlayer bonds to form. In the second stage, the puckered BH-layers gradually flatten, with H atoms gradually occupying positions exactly between two boron layers and forming strong B-H bonds (one with each layer). This stage encounters a much lower energy barrier (0.19 eV/f.u.). This picture implies that the *Pbcm* structure of BH may be synthesized in experiment as a metastable product of cold decompression of the *P6/mmm* phase.

The zero-point energy (ZPE) may play an important role in determining the relative stability of hydrogen-rich phases [6,26]. However, our calculations results show that

ZPE does not change the topology of high-pressure phase diagram of BH [27] and quantitative effects are modest. For example, the ZPE differences (11.5 ~ 32.7 meV/f.u. in the 100-200 GPa pressure range) between the *Pbcm, Ibam*, and *P6/mmm* structures of BH shift the *Ibam*  $\rightarrow$  *P6/mmm* transition pressure from 168 GPa to 159 GPa. A detailed comparison of the relative stability of B<sub>2</sub>H<sub>6</sub>, B+H<sub>2</sub>, and BH+H<sub>2</sub> shows that BH+H<sub>2</sub> is more stable than B+H<sub>2</sub> in the pressure range 20-300 GPa [27]. The destabilization of B<sub>2</sub>H<sub>6</sub> can be traced to the well-known tendency for electronic delocalization under pressure – here, it is manifested in the formation of a larger number of relatively weak multicenter B-B bonds, satisfying a larger share of valence needs of boron atoms and liberating hydrogen atoms. This tendency has two consequences: (1) the enthalpies of formation of B-H compounds from B and H<sub>2</sub> become quite small under pressure (~15 meV/atom for BH at 200 GPa), (2) greater number of B-B bonds and greater degree of electronic delocalization eventually lead to metallization. While the *Pbcm* and *Ibam* phases are semiconducting, the *P6/mmm* phase is a metal.

Fig. 4 shows the electronic band structure and projected density of states (DOS) of B- $2p_{x,y}$ , B- $2p_z$ , and H-1*s* for the *P6/mmm* structure of BH at 175 GPa. The DOS of B-2*s* is not presented here, since its contribution to the total DOS in the vicinity of Fermi level ( $E_F$ ) is very small. Two bands, marked as "band1" and "band2" in Fig. 4(a), cross the  $E_F$  and have widths of 17.9 and 25.9 eV, respectively, which plays a crucial role in determining the superconducting behavior of the metallic *P6/mmm* phase. As shown in Fig. 4(a), band1 makes two small hole pockets along the *K-I<sup>-</sup>M* path, which is mainly composed of hybridized B- $2p_z$  and H-1*s* states, while electron pockets around *H* and *K* points in band2 are made of B- $2p_{x,y}$  states. The total DOS at  $E_F$ ,  $N(E_F)$ , is 0.11 states/eV/f.u. for the *P6/mmm* structure at 175 GPa, where the contributions from B and H are about 57% and 20%, respectively. The calculated electron localization function (ELF) shown in Fig. 4(b) is helpful for clearly understanding the bonding nature of the *P6/mmm* structure. The maximum ELF value for the B-B bonds is 0.76, indicating delocalized covalent bonding in the boron sheets, while the ELF value close to 1.0 between B and H suggests strong polar covalent

bonding. The Fermi surface (FS) of the *P6/mmm* phase shown in Fig. 4(c) consists of two sheets owing to the two bands (band1 and band2) crossing the  $E_F$ . The FS sheet from band1 located at the  $k_z = 0$  plane is a toroidal pocket with a 2D shape and hole character. The cylinder-like FS sheet from band2 is electron-like, centered along the *K*-*H* line and parallel to the  $k_z$  direction.

The calculated phonon dispersion curves and projected phonon DOS for the P6/mmm structure of BH at 175 GPa are presented in Fig. 5. The absence of any imaginary frequencies indicates dynamical stability. The low-frequency bands below 1040 cm<sup>-1</sup> come mainly from the strongly coupled vibrations between B and H, while higher-frequency modes (in the ranges 1040-1682 cm<sup>-1</sup> and 2403-2864 cm<sup>-1</sup>) are mostly related to the H atoms. To explore the possible superconductivity of BH, electron-phonon coupling (EPC) calculations for the P6/mmm structure at 175 GPa were performed. The corresponding Eliashberg spectral function  $\alpha^2 F(\omega)$  and the EPC parameter  $\lambda$  as a function of frequency are shown in Fig. 5. The resulting integral  $\lambda$  is 0.55, indicating a strong EPC in the *P6/mmm* structure. Coupled B-H vibrations in the frequency region below 1040 cm-1 contribute about 61.6% of the total  $\lambda$ , while H vibrations in the regions from 1040 to 1682 cm<sup>-1</sup> and above 2403 cm<sup>-1</sup> contribute 13.6% and 24.8% of  $\lambda$ , respectively. Using the calculated logarithmic average frequency  $\omega_{\log}$  (1261.8 K) and commonly accepted values of the Coulomb pseudopotential  $\mu^*$  (0.1 and 0.13), from the Allen-Dynes modified McMillan equation [28] we obtain the critical temperature of superconductivity  $(T_c)$  in the range 14.1-21.4 K at 175 GPa. The pressure dependence of  $\lambda$ ,  $\omega_{log}$ , and  $T_c$  for the P6/mmm structure is given in Supplementary Materials [27]. The calculated  $T_c$  decreases monotonically with pressure at an approximate rate of -0.21 K/GPa (30.8-39.2 K at 100 GPa and 11.7-18.8 K at 200 GPa). There are similarities in the structures and superconducting behavior of the P6/mmm structure of BH and the extensively studied P6/mmm MgB<sub>2</sub> [29-31]. However, superconductivity in MgB<sub>2</sub> is exclusively due to the B sheets, while in BH it is due to both B and H sublattices.

In summary, the energetically favorable high-pressure phases of solid B-H system have been investigated in detail using ab initio evolutionary structure prediction. Our results indicate that BH, for the first time reported in the present work, is a thermodynamically stable compound that can coexist stably with solid B, H<sub>2</sub>, and B<sub>2</sub>H<sub>6</sub> in a wide pressure range from 50 to 153 GPa, and above 153 GPa is the only stable boron hydride. At 168 GPa, BH undergoes a phase transition from the semiconducting *Ibam* phase to the metallic *P6/mmm* phase. The predicted phase transition mechanism involves an intermediate metastable *Pbcm* phase, which may be synthesized by cold decompression of the *P6/mmm* phase. Electron-phonon coupling calculations show that the *P6/mmm* phase of BH is a phonon-mediated superconductor with a *T<sub>c</sub>* of 14.1-21.4 K at 175 GPa, its *T<sub>c</sub>* decreases with pressure at an approximate rate of -0.21 K/GPa, which is comparable to that of MgB<sub>2</sub>. However, unlike in MgB<sub>2</sub>, superconductivity of the *P6/mmm* BH arises not only from the close-packed B-layer, but also from the H atoms.

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FIG. 1 (color online). Enthalpies of formation ( $\Delta$ H, in meV/atom) with respect to B and H for the B-H systems at 20, 50, 100, and 200 GPa.



FIG. 2 (color online). Phase stability in the B-H system: (a) schematic representation of the phase diagram in the pressure range from 10 to 300 GPa and structures of BH phases with space groups (b) *Pbcm* at 20 GPa, (c) *Ibam* at 150 GPa, and (d) *P6/mmm* at 175 GPa. Large green and small blue spheres are B and H, respectively.



FIG. 3 (color online). Calculated transformation barrier for the *Ibam*  $\rightarrow$  *P6/mmm* transition of BH at 168 GPa from the VC-NEB method. The intermediate *Pbcm* phase is detected at the image 21 along the pathway.



FIG. 4 (color online). Electronic structure of the *P6/mmm* phase of BH at 175 GPa. (a) band structure along the selected high-symmetry lines and atom-projected DOS, where the dotted lines at zero indicate the Fermi level. (b) ELF for the (0001) plane passing through B atoms and (1010) plane through B and H atoms. (c) hole-like FS with purple (or green) fragments of hexagonal toroidal pockets originating from the hybridized H-1s and B-2 $p_z$  bonding  $\sigma$  band and electron-like FS with blue cylinders from the B-2 $p_{x,y}$  bonding  $\sigma$  band, which corresponds to the band1 and band2 that cross the Fermi level as marked in (a).



FIG. 5 (color online). Phonon dispersion curves, phonon density of states (PDOS) projected on B and H atoms, and Eliashberg phonon spectral function  $\alpha^2 F(\omega)$  together with the electron-phonon integral  $\lambda(\omega)$  for the *P6/mmm* structure of BH at 175 GPa.