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## Pressurized liquids as an alternative process to antioxidant carotenoids' extraction from *Haematococcus pluvialis* microalgae — Source link

Laura Jaime, Irene Rodríguez-Meizoso, Alejandro Cifuentes, Susana Santoyo ...+3 more authors

**Institutions:** Autonomous University of Madrid, Spanish National Research Council, University of Las Palmas de Gran Canaria

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1 **PRESSURIZED LIQUIDS AS AN ALTERNATIVE PROCESS TO**  
2 **ANTIOXIDANT CAROTENOIDS EXTRACTION FROM HAEMATOCOCCUS**  
3 ***PLUVIALIS* MICROALGAE**

4  
5 Laura Jaime<sup>1\*</sup>, Irene Rodríguez-Meizoso<sup>2</sup>, Alejandro Cifuentes<sup>2</sup>, Susana Santoyo<sup>1</sup>,  
6 Sonia Suarez<sup>3</sup>, Elena Ibáñez<sup>2</sup>, Francisco Javier Señorans<sup>1</sup>

7 <sup>1</sup>Sección Departamental Ciencias de la Alimentación, Universidad Autónoma de  
8 Madrid, Ciudad Universitaria de Cantoblanco, 28049 Madrid, Spain, <sup>2</sup>Instituto de  
9 Fermentaciones Industriales, CSIC, Juan de la Cierva 3, 28006 Madrid, Spain,  
10 <sup>3</sup>Instituto de Algología Aplicada, Universidad de las Palmas de Gran Canaria, Las  
11 Palmas, Spain

12  
13 \*Corresponding author:

14 Laura Jaime

15 Sección Departamental Ciencias de la Alimentación, Universidad Autónoma de  
16 Madrid, Despacho C-XVI-505. Ciudad Universitaria de Cantoblanco, 28049 Madrid,  
17 Spain

18 E-mail: laura.jaime@uam.es

19 Tel: 34 914973777

20 Fax: 34 914978255

21

22 **Keywords:** *Haematococcus pluvialis*, Pressurized liquid extraction, Antioxidant  
23 activity, Chemical characterization, Carotenoids

24

1 **ABSTRACT**

2 In this work, extraction of antioxidant carotenoids from *Haematococcus pluvialis*  
3 microalga, has been studied combining pressurized liquid extraction (PLE), using  
4 hexane and ethanol as extracting solvents, and analytical techniques such as TLC and  
5 HPLC with DAD. The effect of the extraction temperature (50, 100, 150 and 200°C)  
6 and the polarity of the solvent has been studied in terms of *in vitro* antioxidant activity  
7 and chemical composition considering two different morphological cells (green  
8 vegetative cells and red cysts). Results demonstrate that the extraction temperature had  
9 a positive influence in the extraction yield while its effect in the antioxidant activity  
10 was negative, lowering the activity of the extracts with an increase of the extraction  
11 temperature. The best yields were obtained with ethanol at the higher extraction  
12 temperature while the best antioxidant activity was also achieved using ethanol but at  
13 lower temperatures. Chemical composition was determined by TLC and HPLC with  
14 DAD. Several compounds were identified in the samples and concentration of  
15 astaxanthin was obtained. Results pointed out that the extracts contained different  
16 carotenoids in both, the green and the red phase, and that depending on its contribution  
17 a stronger antioxidant activity would be expected.

18

## 1 **1 Introduction**

2 *Haematococcus pluvialis* is a green motile unicellular algae. When subjected to  
3 favourable conditions, it grows as motile biflagellated green cells but under stress  
4 conditions, such as, nutrient deficiency, high light intensity or salt stress, the cells lose  
5 their motility, increase in size and form red cysts or aplanospores with thick cell walls.  
6 In this form, the alga can survive for long periods, even under harsh conditions (Hagen,  
7 Siegmund & Braune, 2002).

8 In green cells, chlorophylls along with the carotenoids lutein and  $\beta$ -carotene dominate  
9 the total pigment content; thus cells appear green (Lu, Vonshak, Gabbay, Hirschberg &  
10 Boussiba, 1995). As encystment is induced, photosynthetic activity decreases and the  
11 amount of astaxanthin increases dramatically from a few picograms per cell to a few  
12 hundreds at the end of the process. At this stage, astaxanthin esters (mono and di)  
13 constitute up to 98% of the total carotenoids profile and reach up to 4% of total cellular  
14 dry weight (Boussiba, Bing, Zarka, Yuan, & Chen, 1999).

15 *Haematococcus pluvialis* has received considerable scientific and biotechnological  
16 attention in recent years as a natural source of the carotenoid astaxanthin, since it is  
17 used as food coloring agent in aquaculture and poultry (Lorenz & Cysewski, 2000.) and  
18 it possesses a potent antioxidant capacity (Miki, 1991; Kobayashi & Sakamoto, 1999;  
19 Naguib, 2000). Moreover, many biological activities including anti-inflammatory, anti-  
20 cancer, anti-aging and age-related diseases, promotion of immune response are believed  
21 to be related to its antioxidant activity (Guerin, Huntley & Olaizola, 2003).

22 Different techniques have been widely applied to extract carotenoids from *H. pluvialis*,  
23 mainly organic solvent extraction after cell wall disruption (Mendes-Pinto, Raposo,  
24 Bowen, Young & Morais, 2001; Sarada, Vidhyavathi, Usha, & Ravishankar, 2006).  
25 However, these traditional methods are difficult to apply on a large scale, because they

1 require large amount of solvents and multiple extraction steps (Lim, Lee, Lee, Haam,  
2 Kim, 2002). Opposite to that, supercritical fluid extraction and pressurized liquid  
3 extraction have been proposed as useful green extraction techniques.

4 Supercritical carbon dioxide (SC-CO<sub>2</sub>), in particular, has been widely used for  
5 industrial applications due to its many processing advantages, which play key roles in  
6 enabling the solvent to readily penetrate the solid biomass matrix as well as in  
7 extraction solutes (Youn, Roh, Weber, Wilkinson & Chung, 2007). Furthermore, low  
8 critical temperature of carbon dioxide means that SC-CO<sub>2</sub> system could be operated at  
9 moderate temperature, preventing the degradation of the substances due heat induction  
10 (Machmudah, Shotipruk, Goto, Sasaki & Hirose, 2006).

11 Nevertheless, although astaxanthin molecule is considered containing no strong polar  
12 moieties, its large molecule inhibited its solubility in pure SC-CO<sub>2</sub> due to its low  
13 volatility (Dandge, Heller & Wilson, 1985), being necessary the use of co-solvents such  
14 as ethanol or vegetable oils to increase the yield extraction (Machmudah et al, 2006;  
15 Krichnavaruk, Shotipruk, Goto & Pavasant, 2008).

16 PLE is a more recent extraction technique proposed to obtain bioactive compounds. It  
17 uses less solvent, in a shorter period of time, is automated, and involves retaining the  
18 sample in an oxygen and light-free environment than traditional organic solvent  
19 extraction. PLE is based on the use of conventional solvents at controlled temperatures  
20 and pressures and has been well established for extraction of valuable compounds from  
21 natural sources (Benthin, Danz & Hamburger, 1999).

22 Moreover, in previous studies PLE turned out a faster and easier to use extraction  
23 technique with higher yield extraction than SC-CO<sub>2</sub>. Nevertheless, the extract obtained  
24 from pure SC-CO<sub>2</sub> contains fewer polar impurities than PLE (Herrero, Jaime, Martín-  
25 Álvarez, Cifuentes, Ibáñez, 2006; Jaime et al, 2007). Therefore, although PLE has been

1 proposed as an efficient extraction technique of carotenoids from *H. pluvialis* (Denery,  
2 Dragull, Tang & Li, 2004), no studies have focused on simultaneous optimization of  
3 PLE procedure and functional-chemical characterization of the extracts.

4 Therefore, the aim of this study was to evaluate the carotenoid composition and  
5 antioxidant capacity of different pressurized fluid extracts from green and red phase  
6 cells of *Haematococcus pluvialis*.

7

## 8 **2 Materials and methods**

### 9 **2.1 Samples and chemicals**

10 *Haematococcus pluvialis* (BNA 10/024, National Bank of Algae, Canary Islands,  
11 Spain), were grown in modified Bold's Basal Medium (Nichols & Bold, 1965) enriched  
12 with NaNO<sub>3</sub> (0.75 g/l). Cells (green phase) were cultured photoautotrophically in 20 L  
13 Carboys bubbled with air, at 25°C, in light:dark cycles (16:8) with white fluorescent  
14 lamps providing 80 μmol m<sup>-2</sup> s<sup>-1</sup>. To induce astaxanthin biosynthesis (red phase)  
15 exponentially grown cultures were transferred to nitrogen starvation medium and  
16 continuously illuminated with 200 μmol m<sup>-2</sup> s<sup>-1</sup> during 6 days. Cells were collected by  
17 centrifugation, freeze dried and stored at inert atmosphere before extraction.

18 Ethanol was obtained from Scharlau Chemie S.A. (Barcelona, Spain). Hexane was  
19 purchased to Panreac Quimica S.A. (Barcelona, Spain). Water was purified using a  
20 Milli-Q system (Millipore Corporation, Billerica, MA, USA). The sea sand used was  
21 supplied by Panreac Quimica (Barcelona, Spain). β-carotene standard, 2, 2-azinobis (3-  
22 ethyl-benzothiazoline-6-sulfonic acid) diammonium salt (ABTS) and potassium  
23 persulfate were obtained from Sigma (Madrid, Spain), whereas Trolox (6-hydroxy-2, 5,  
24 7, 8-tetramethylchromane-2-carboxylic acid) standard were purchased to Fluka Chemie  
25 AG (Buchs, Switzerland). Authentic astaxanthin, lutein and neoxanthin standard

1 isolated from phytoplankton were purchased to DHI Water & Environment (Hørsholm,  
2 Denmark).

3

## 4 **2.2 Pressurized fluid extraction**

5 Dry cells were pretreated by freezing and mashing the microalgae with liquid nitrogen  
6 in a ceramic mortar. The process was repeated three times in order to induce cell-wall  
7 lysis.

8 Extractions of *Haematococcus pluvialis* were performed using an Accelerated Solvent  
9 Extractor (ASE 200, Dionex Corporation, Sunnyvale, CA, USA) equipped with a  
10 solvent controller unit. Table 1 shows the experimental conditions used; two different  
11 solvents (i.e. hexane and ethanol) were employed to achieve extracts with different  
12 composition. Extractions were carried out in duplicate. Moreover, extractions were  
13 performed at four different extraction temperatures (50, 100, 150 and 200°C) and 20  
14 minutes as extraction time. Previously, an extraction cell heat-up was used for a given  
15 time, that changed according to extraction temperature (the heat-up time is  
16 automatically fixed by the equipment, i.e., 5 min when the extraction temperature was  
17 50 and 100°C, 7 min at 150 °C and 9 min at 200 °C). All extractions were performed in  
18 11 mL extraction cells, containing 2.0 g of sample.

19 The extraction procedure was as follows: (i) sample is loaded in the cell; (ii) cell is  
20 filled with solvent up to a pressure of 10.34 MPa, (iii) initial heat-up time is applied;  
21 (iv) a static extraction with all system valves closed is performed; (v) the cell is rinsed  
22 (with 60% cell volume using extraction solvent); (vi) solvent is purged from the cell  
23 with N<sub>2</sub> gas and (vii) depressurization takes place. Between extractions, a rinse of the  
24 complete system was made in order to overcome any extract carry over. To minimize  
25 the loss of volatiles and to avoid sample degradation, the extracts were quickly cooled

1 down to freezing temperatures by placing the vials in a water-ice bath. This was done  
2 for all the extracts at the different temperatures tested. Once cold, the extracts were  
3 dried using a Rotavapor R-200 (from Büchi Labortechnik AG, Flawil, Switzerland).

4

### 5 **2.3 TLC analysis**

6 300 µg of PLE extracts were spotted on a 20 × 20 cm silica gel 60 F<sub>254</sub> TLC plate  
7 (Merck, Germany). Plates were put in a closed chamber and eluted by 100 mL of  
8 petroleum ether: acetone mixture in the proportion 75:25 (v/v) as mobile phase. Lutein,  
9 β-carotene and astaxanthin were used as standard.

10

### 11 **2.4 HPLC analysis.**

12 Analysis were performed with an HPLC Agilent HP 1100 Series (Agilent, Palo Alto,  
13 CA, USA) equipped with a diode array detector and an automatic Agilent 1200 Series  
14 injector.

15 Carotenoid compounds were analysed with a YMC C30 (YMC, Schermbeck,  
16 Germany) C30 analytical column (5 µm, 250 x 4.6 mm I.D.). The mobile phase was a  
17 mixture of acetone and water as an isocratic mixture of 84% acetone and 16% water for  
18 the first 21 min, followed by a 4 min linear gradient to 97% acetone and 3% water for  
19 the remainder of the 50 min run. The flow rate was kept at 1 mL/min. Detection was  
20 accomplished by using a diode array system at a wavelength of 480 nm (scan from 190  
21 to 700 nm) (Denery et al, 2004).

22 The major carotenoids were identified by comparison of retention times and spectra  
23 against authentic standard (astaxanthin, lutein, neoxanthin, β-carotene). Astaxanthin  
24 esters were identified considering their spectra and their different retention time and  
25 also through comparison with data appearing in the literature (Denery et al, 2004).



1 Concentrations of astaxanthin and astaxanthin esters were obtained from a standard  
2 calibration curve prepared from authentic astaxanthin and considering for all of them  
3 the same extinction coefficient.

4

## 5 **2.5 Antioxidant Activity Determination. TEAC Assay.**

6 The TEAC (Trolox Equivalent Antioxidant Capacity) assay described by Re, Pellegrini,  
7 Proteggente, Pannala, Yang and Rice-Evans (1999) was used to measure the  
8 antioxidant activity of the PLE extracts from *Haematococcus pluvialis*. Briefly, ABTS<sup>•+</sup>  
9 radical cation was generated by reacting 7 mmol/L ABTS with 2.45 mmol/L potassium  
10 persulfate after incubation at room temperature for 16 h in the dark. The ABTS<sup>•+</sup> radical  
11 solution was diluted with ethanol to an absorbance of  $0.70 \pm 0.20$  at 734 nm. 10  $\mu$ L of  
12 *H. pluvialis* at five different concentrations extract was added to 0.990  $\mu$ L of diluted  
13 ABTS<sup>•+</sup> radical solution. The reaction was measured until the absorbance reached a  
14 plateau. Trolox was used as reference standard, and results were expressed as TEAC  
15 values (mmol Trolox/ g extract). All analyses were done at least in triplicate.

16

## 17 **2.6 Astaxanthin hydrolysis**

18 Hydrolysis of astaxanthin esters in crude extract was carried out with cholesterol  
19 esterase according to Jacobs, LeBoeuf, McCommas & Tauber (1982) procedure. Total  
20 hydrolysis of astaxanthin esters was verified by TLC analysis.

21

## 22 **3 Results and discussion**

### 23 **3.1 PLE from *H. pluvialis*.**

24 Several authors reported the need for *H. pluvialis* pre-treatment (in the red phase)  
25 previous to extraction as the way to optimise carotenoids extraction. For *H. pluvialis* in

1 the red phase, this pretreatment implies cell wall cysts destruction. Several disruption  
2 processes, comprising treatments with extracellular enzymes, DMSO, HCl, NaOH,  
3 autoclave, sonication and mechanical disruption (Mendes-Pinto et al., 2001; Sarada et  
4 al., 2006; Yuan and Chen, 1998; Bublick, 1991), have been described, followed by  
5 solvent extraction procedures. Among all of them, mechanical disruption  
6 (homogenization) at cryogenic temperature has been proposed as one of the best  
7 treatment to minimize the loss of carotenoids (Bublick, 1991). Even though the only  
8 reference of *H. pluvialis* extraction with PLE (Denery et al., 2004) did not use any  
9 processing of cyst cells previous to extraction, based on the above mentioned references  
10 and on initial observations done in our laboratory (data not show), mechanical  
11 disruption at freezing temperatures was selected previous to PLE extraction since it also  
12 provided higher extraction yields of biological active compounds from the disrupted  
13 cells.

14 To know the influence of temperature and solvent polarity in the extraction of  
15 antioxidant compounds from *H. pluvialis* using PLE, two different extracting solvents  
16 (hexane and ethanol) and four different conditions were tested (50, 100, 150 and 200  
17 °C), also considering both, green and red phases. Table 1 shows the results in terms of  
18 extraction yields. As can be observed, the extraction yield increased with the  
19 temperature. This effect could be due to an increase of the mass transfer from the  
20 sample to the pressurized solvent with the temperature. Moreover, the extraction yield  
21 enhanced as polarity of the solvent increased (ethanol).

22 Opposite to the expected behaviour the extraction yields obtained from the red phase of  
23 the microalgae were generally higher than those obtained from the green one.  
24 Nevertheless, as all the cells were pretreated to induce cell lysis, it seems reasonable to  
25 consider that both types of cells have the same broken structure when pressurized

1 solvent extraction is applied. Thus, higher extraction yields of red cells than green cells  
2 can be explained by the higher solubility of cellular material in the solvents employed,  
3 at the conditions used in the extraction.

4

### 5 **3.2 Analysis of PLE extracts**

6 Analytical TLC of green cells (Figure 1) showed chlorophylls as the main components,  
7 especially in ethanol extracts. Moreover, it is well known extraction at high  
8 temperatures with organic solvents causes the appearance of pheophytins,  
9 pyropheophytins, chlorophyllides, etc., as degradation products of chlorophylls (Jaime  
10 et al., 2005). Grey-greenish and maroon spots in the middle of the analytical TLC  
11 correspond to pheophytine-like compounds, whereas blue-greenish spots of ethanol  
12 extracts at  $R_f$  0.2-0.25 might be due to the presence of chlorophyllide-like compounds  
13 (Mínguez-Mosquera, 1997). As can be seen in Figure 1, hexane caused a higher  
14 formation of chlorophyll derivatives than ethanol. Similar results were achieved in the  
15 pigment composition of PLE extracts from *Spirulina platensis* (Jaime et al., 2005).  
16 Chlorophyll a and b, lutein and  $\beta$ -carotene have been reported as the main pigments in  
17 green cells, together with little amounts of violaxanthin and neoxanthin (Lu et al., 1995;  
18 Orosa, Franqueira, Cid & Abalde, 2005). The presence of  $\beta$ -carotene on the top of the  
19 plate and lutein ( $R_f = 0.17$ ) in green cells extracts were confirmed with standard.  
20 Chlorophyll a ( $R_f = 0.1-0.16$ ) and b ( $R_f = 0.03-0.08$ ) were tentatively identify as green-  
21 bluish and green-yellowish spots, whereas violaxanthin and neoxanthin might fit with  
22 yellow spots at  $R_f = 0.09$  and  $R_f = 0.03$ , respectively, according to Cerón et al., (2006)  
23 and Mínguez-Mosquera (1997). This preliminary identification was further confirmed  
24 by HPLC with DAD detection, as discussed later.

1 Encystment of *Haematococcus pluvialis* cells by nitrogen starvation and high light  
2 intensity exposure cause important changes in their pigment composition. Under these  
3 stress environmental growing conditions, reactive oxygen species, especially singlet  
4 oxygen, are produced and cell induces ketocarotenoid astaxanthin accumulation to  
5 protect itself against oxidative damage. The accumulation of astaxanthin is associated  
6 with the decline in photosynthetic activity in red cysts, so initial green cells turn red  
7 (Lu, Vonshak, Zarka & Boussiba, 1998).

8 In *H. pluvialis*, astaxanthin is synthesized from  $\beta$ -carotene by the addition of two keto  
9 groups to carbons C4 and C4' by  $\beta$ -carotene ketolase (Huang, Chen, Sandmann, 2006)  
10 followed by addition of two hydroxyl groups to C3 and C3' by  $\beta$ -carotene hydroxylase  
11 (Linden, 1999). Therefore, the pathway for astaxanthin biosynthesis in *H. pluvialis* can  
12 be summarized as follows:  $\beta$ -carotene  $\rightarrow$  echinenone  $\rightarrow$  canthaxanthin  $\rightarrow$  adonirubin  
13  $\rightarrow$  astaxanthin (Boussiba, 2000). The identification of small amounts of echinenone,  
14 canthaxanthin and adonirubin in encystment process of *H. pluvialis* is in agreement  
15 with the proposed pathway (Renstrøm, Borch, Skulberg & Liaaen-Jensen, 1981).

16 Analytical TLC of cyst cells extracts (Figure 2) showed important differences in  
17 pigment composition compared to vegetative cells. As expected, small amounts of  
18 chlorophylls can be observed in red cells, while some degradation products can be seen  
19 in PLE extracts obtained at high temperatures. Astaxanthin monoesters ( $R_f = 0.37-0.50$ )  
20 and diesters ( $R_f = 0.79-0.91$ ) seem to be the main components of these extracts.  $\beta$ -  
21 carotene ( $R_f = 1.0$ ), lutein ( $R_f = 0.17$ ) and free astaxanthin ( $R_f = 0.20$ ) presence was  
22 confirmed with standard. According to Cerón et al., (2006), bands at  $R_f = 0.64$  and  $R_f =$   
23  $0.30$  might suggest the existence of canthaxanthin and echinenone in the extracts.

24 A general behaviour with the extraction temperature can be observed for both, green  
25 and red phases and considering the two solvents. By observing Figures 1 and 2, it can

1 be seen that pigment extraction slightly decreases as temperature increase from 50 to  
2 150°C, being more pronounced at 200°C. To obtain further information of the extracts  
3 and to be able to compare among them, extracts obtained at 100°C using ethanol and  
4 hexane with red and green cells were analysed by HPLC, as described above; results  
5 are shown in Tables 1 and 2 for, respectively, green and red cells and in Figures 3 and  
6 4, where a comparison between green and red cells extracted using PLE with ethanol at  
7 100°C is given.

8 Regarding to green phase cells (Table 2, Figure 3), lutein was shown as their main  
9 pigment. Other identified carotenoids were neoxanthin and  $\beta$ -carotene. These results  
10 are in agreement with Orosa et al., (2005) and Lu et al., (1995) reports and with our  
11 previous analysis by TLC. The existence of violaxanthin in green cells has been  
12 reported for some authors (Linden, 1999; Renstrøm et al., 1981), nevertheless its  
13 presence in these samples could not be confirmed by HPLC comparing with an  
14 authentic standard.

15 Other compounds found in large amount in the green cells of *H. pluvialis* were  
16 chlorophylls; as can be seen in Table 2, several chromatographic peaks were identified  
17 as chlorophyll or chlorophyll-like compounds.

18 In general, ethanol extracts showed a higher lutein and a lower  $\beta$ -carotene content  
19 while chlorophyll-like compounds and other minor carotenoids can be found in both,  
20 hexane and ethanol extracts, in similar amounts.

21 During encystment, an increase in lipid content which correlates with astaxanthin  
22 accumulation was observed (Boussiba, 2000). *Haematococcus* primarily contains  
23 monoesters of astaxanthin linked to 16:0, 18:1 and 18:2 fatty acids. Fatty acids are  
24 esterified onto the 3, 3' hydroxyl group(s) of astaxanthin after biosynthesis of the

1 carotenoids, thereby increasing its solubility and stability in the cytoplasm (Lorenz &  
2 Cysewski, 2000).

3 As expected, astaxanthin-derived compounds constituted up to 90% of total pigments in  
4 encysted cells. Astaxanthin esters (mono and di) were the main components of red cells  
5 extracts (> 80% of normalized area) (Table 3, Figure 4) in both ethanol and hexane  
6 extracts whereas free astaxanthin constituted up to 3% of normalized area. Meanwhile,  
7 lutein was shown as the main free carotenoid in these extracts. Hexane extract showed  
8 higher astaxanthin content (35.1 mg/g dry weight) and a lower percentage of lutein than  
9 ethanol extract (20.7 mg/g dry weight). The presence of canthaxanthin and echinenone  
10 could not be confirmed by HPLC using pure standard.

11

### 12 **3.3 Antioxidant activity of the PLE extracts.**

13 TEAC values (mmol trolox/g extract) of PLE extracts from *Haematococcus pluvialis*  
14 are shown in Table 4. In general, ethanol extracts presented better antioxidant activity  
15 than hexane extracts at all temperatures tested. The behaviour of the antioxidant activity  
16 as a function of the extraction temperature is also clear since it can be inferred from the  
17 data that the higher extraction temperature, the lower the TEAC value of the extracts  
18 and therefore, the lower the antioxidant activity. This effect was more pronounced at  
19 200°C. This decrease on the antioxidant capacity seems to be related with the lower  
20 carotenoid content of the extracts, as shown in TLCs (Figures 1 and 2).

21 Surprisingly, green phase extracts showed more antioxidant activity than the red ones.

22 Many studies have reported the high antioxidant capacity of astaxanthin, compared to  
23 other carotenoids, due to the presence of keto groups at the 4 and 4' position and  
24 hydroxyl groups at the 3 and 3' position in the  $\beta$ -ionone ring (Naguib, 2000).

25 Moreover, astaxanthin seems to be a safer antioxidant even at high oxygen

1 concentrations, opposite to  $\beta$ -carotene behaviour that acts as pro-oxidant under  
2 conditions of high  $pO_2$  (Hix, Lockwood & Bertram, 2004).

3 However, even if our results seemed to be contradictory to the previously reported, it  
4 has to be considered that the most part of the studies performed with astaxanthin have  
5 been done employing pure synthetic astaxanthin, and only few of them used natural  
6 astaxanthin, like the one obtained from *H. pluvialis*. Synthetic astaxanthin is available  
7 as the free form of astaxanthin whereas *H. pluvialis* astaxanthin is accumulated in the  
8 form of di- or monoesters of astaxanthin. Of course, free or esterified carotenoids  
9 should have a different antioxidant activity. For example, Miki (1991) found a strong  
10 activity of free natural astaxanthin as an inhibitor of lipid peroxidation, better than  
11 others carotenoids, whereas astaxanthin diester showed a very low activity. Moreover,  
12 Kobayashi and Sakamoto (1999) reported a higher  $^1O_2$  quenching activity of free  
13 astaxanthin, followed by astaxanthin monoester and astaxanthin diester in an ethanol  
14 medium when  $IC_{50}$  was expressed as  $\mu g$  of carotenoids/mL. On the other hand, Cerón et  
15 al., (2006) concluded that astaxanthin diesters exhibits the highest antioxidant capacity  
16 against  $DPPH^\bullet$  free radical, followed by astaxanthin monoesters and free astaxanthin.  
17 Moreover, they reported that fatty acids could contribute, in addition to astaxanthin, to  
18 the antioxidant activity exhibited by the extracts. However,  $DPPH^\bullet$  show a maximum  
19 of absorption at 482 nm and 517 nm, respectively, and therefore a clear interference can  
20 be expected when measuring the antioxidant activities using this methodology; this  
21 could explain the results obtained by Cerón et al., (2006) which are clearly in  
22 disagreement with the previously reported and with those shown in the present study.

23 In order to prove if fatty acids change the antioxidant activity of astaxanthin, a basic  
24 hydrolysis of the extract obtained from red cysts at 150°C with ethanol as extraction  
25 solvent was carried out. The TEAC value of the hydrolyzed extract increased from

1 0.168 up to 0.434 mmol trolox/g extract. Therefore, with this assay we demonstrate that  
2 di and monoesters of astaxanthin had a lower antioxidant activity than free astaxanthin.  
3 Moreover, synthetic astaxanthin showed, as expected, the highest TEAC value,  $2.433 \pm$   
4  $0.015$  mmol trolox/g.

5 Although some authors have suggested that hydroxyl groups of zeaxanthin does not  
6 participate in antioxidant mechanisms (Woodall, Lee, Weesie, Jackson & Britton,  
7 1997), the antioxidant activity of the extract that undergone saponification from  
8 *Haematococcus pluvialis* was higher than the original extract, suggesting a high  
9 contribution of hydroxyl groups to delocalization of electron in the astaxanthin  
10 molecule. This different behaviour could be due to the contribution of ketone groups of  
11 astaxanthin, and thus, astaxanthin exists in an equilibrium, with the enol form of the  
12 ketone, thus the resulting dihydroxy conjugated polyene system possesses a hydrogen  
13 atom capable of breaking the free radical reaction in a similar way to that of  $\alpha$ -  
14 tocopherol (Naguib, 2000).

15 Therefore, antioxidant activity of *H. pluvialis* extracts seemed to be related to their free  
16 carotenoids content, mainly lutein, that exists in more concentration in green cells  
17 showing higher TEAC values than red cells.

18 Nevertheless, a further step is needed when considering the use of natural extracts as a  
19 source of functional ingredients. Related to the *in vivo* antioxidant activity of free  
20 and/or esterified carotenoids, Khachik, Beecher, Goli, Lusby & Smith (1992)  
21 demonstrated that only free xanthophylls were detected in plasma and peripheral  
22 tissues, thus suggesting a hydrolytic step before absorption. Moreover, Wingerath,  
23 Stahl & Sies (1995) concluded that di-esterification seems to be a common  
24 phenomenon in xanthophylls during gastrointestinal digestion, as they are not detected  
25 in chylomicrons or serum.



1 With this information it can be concluded that extracts from *H. pluvialis* red cells would  
2 have a higher antioxidant activity *in-vivo* than that of the green ones because of their  
3 high astaxanthin content that, even in form of di- and monoesters, can be hydrolysed to  
4 free astaxanthin in the human body. Furthermore, *H. pluvialis* is a good source of  
5 astaxanthin as synthetic astaxanthin stability and activity are lower than those of natural  
6 product (Lim et al., 2002).

7

#### 8 **4 Concluding remarks**

9 In the present work we have demonstrated the usefulness of PLE as a clean and fast  
10 extraction method to obtain antioxidant extracts from *Haematococcus pluvialis* in both,  
11 green and red growing phases. Extracts obtained using ethanol showed the highest yield  
12 and antioxidant activity being this activity associated to the presence of free carotenoids  
13 in the sample. Results have also demonstrated that the antioxidant activity of red cells  
14 extracts could be greatly improved after hydrolysis of the mono- and diesters of  
15 astaxanthin, reaction that seems to occur after ingestion of these products. Thus, the  
16 results presented in this study showed the interest of the combined use of PLE and  
17 analytical techniques to optimise extraction processes focused to the development of  
18 functional ingredients from natural sources.

19

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