

# Prevalence and Predictors of Anxiety and Depressive Symptoms among Patients Diagnosed with Oral Cancer in China: A cross-sectional study

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## Research article

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# Prevalence and Predictors of Anxiety and Depressive Symptoms among Patients Diagnosed with Oral Cancer in China: A cross-sectional study

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## Abstract

### Background

Anxiety and depression are common mental health problems among patients with cancer. While many psychological variables have been proven to influence anxiety and depressive symptoms, the variables are not mutually exclusive and their integrated effects on patients with oral cancer are yet unknown. The present study aims to explore the prevalence of anxiety and depressive symptoms among patients with oral cancer, to find out key potentially predictive factors associated with anxiety and depressive symptoms.

### Method

A cross-sectional study was carried out for Chinese patients with oral cancer between May 2016 and October 2017 in two Grade-A Tertiary Hospitals in Shenyang, China. 230 patients with oral cancer were interviewed with questionnaires on demographic variables, Zung Self-Rating Anxiety Scale (SAS), Center for Epidemiologic Studies Depression Scale (CES-D), Herth Hope Index (HHI), Social Impact Scale, Multidimensional Scale of Perceived Social Support (MSPSS), Revised Life Orientation Test (LOT-R), Perceived Stress Scale-10 (PSS-10), and General Perceived Self-efficacy Scale(GSE). Chi-square test, nonparametric test, t-test and logistic regression analyses were conducted where appropriate to explore predictive factors of anxiety symptoms and depressive symptoms.

### Results

The prevalence of anxiety symptoms and depressive symptoms in the sample population was 36.96% (85/230) and 65.21% (150/230), respectively. Social isolation dimension of stigma ( $\beta=0.409$ , OR=1.505, CI:1.193~1.900), optimism ( $\beta=-0.253$ , OR=0.777, CI:0.644~0.937), and perceived stress ( $\beta=0.209$ , OR=1.232, CI:1.084~1.400) were predictors of anxiety symptoms. Marriage ( $\beta=1.653$ ,

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OR=5.224, CI:1.483~18.399), positive readiness and expectancy dimension of hope ( $\beta$ =-0.455, OR=0.634, CI:0.424~0.948), social isolation dimension of stigma ( $\beta$ =0.302, OR=1.352, CI:1.046~1.748) and perceived stress ( $\beta$ =0.252, OR=1.286, CI:1.118~1.479) were predictors of depressive symptoms among oral cancer patients.

## Conclusion

The prevalence of anxiety symptoms and depressive symptoms was high among oral cancer patients in China. The communal predictors of anxiety and depressive symptoms in patients with oral cancer were levels of perceived stress and social isolation of stigma. In addition, optimism was a predictor of anxiety symptoms and hope was a predictor of depressive symptoms.

**Key words:** oral cancer, anxiety symptoms, depressive symptoms

*Trial registration:2015-16, registered 20 Dec 2015*

## Introduction

Oral cancer is a broad term of the oral cavity and oropharyngeal cancers such as floor of mouth, palate, cheek, lip and parotid gland carcinomas. Global data shows that over 350,000 cases of oral cavity cancer are diagnosed worldwide and roughly about 180,000 die from it every year [1]. It is universally acknowledged that the diagnosis of cancer is a huge stress for both individuals and families, which can exert substantial effects on the development of anxiety and depression [2]. Anxiety and depression can interfere with the ability to adapt to the stress of life-threatening illnesses. For instance, the length of hospitalization, treatment compliance, quality of life and survival time are all compromised as a result of such problems for patients with cancer [3-5]. Previous studies have shown that there is a high prevalence of anxiety and depressive symptoms among different types of patients with cancer in China [6-8]. However, Hong and Tian reported that the prevalence of depressive symptoms among patients with head and neck cancer was as high as 60.62%, while that of anxiety was 1.33% in mainland China, which was rather confusing [9].

Several factors that have been reported related to the occurrence of anxiety and depression among patients with cancer. Studies have shown that factors such as age, gender, education level and others have significant associations with the negative moods among patients [10-13]. In addition, stigma, “an attribute that is deeply discrediting”, is regarded as a mark that reduces the sufferer “from a whole and

1 usual person to a trained, discounted one” [14]. Stigma in cancer patients has been found to be strongly  
2 and consistently associated with poor mental health, including depressive symptoms [15], anxiety [16],  
3 and demoralization [17]. Furthermore, studies conducted in the field of health psychology have started  
4 to explore the effects of positive psychological resources such as hope, self-efficacy, optimism, and  
5 social support in order to explain differences in anxiety and depressive symptoms among cancer  
6 patients. Hope is “a multidimensional dynamic life force characterized by a confident yet uncertain  
7 expectation of achieving a good future which, to the hoping person, is realistically possible and  
8 personally significant” [18]. General self-efficacy (GSE) [19] is the individual’s subjective perception  
9 in his capacity to deal with various stressful situations, like coping with cancer, its treatments, and  
10 numerous side- or late- effects. Individuals with high GSE believe in themselves with the competence  
11 to mobilize the behavioral, cognitive and motivational resources required to cope with the situation  
12 [19]. Optimism is a personality trait characterized by a general tendency to hold positive expectations  
13 about the future that functions as a psychological resource conferring health benefit [20]. Social  
14 support is defined as the material and moral support provided to the individual under stress or in a  
15 difficult condition by the people around him/her [21]. The aforementioned psychological resources  
16 have been shown to have positive effects on anxiety and depression in patients with most chronic  
17 illnesses, including cancer [22-25].

18 As researchers have increasingly recognized the value of mental health of individuals with cancer,  
19 alleviating symptoms of anxiety and depression has been an important challenge, and exploring the  
20 relevant psychosocial factors of anxiety and depressive symptoms so as to provide essential  
21 psychological support is of vital necessity. While these negative and positive psychological variables  
22 mentioned above have effects on emotional issues of cancer individuals, they are not mutually  
23 exclusive and their integrated effects on oral cancer patients are yet unknown, especially in patients  
24 with oral cancer. We propose the hypotheses that anxiety and depressive symptoms are negatively  
25 associated with perceived stress and stigma and positively associated with perceived social support,  
26 self-efficacy, optimism, and hope. The aim of the current study is to explore the prevalence of anxiety  
27 and depressive symptoms in oral cancer patients and to find out key factors that have potential  
28 predictive value for anxiety and depressive symptoms.

## 30 **Methods**

## 1        **Settings of the study**

2        This cross-sectional study was conducted in two Grade-A Tertiary Hospitals in Shenyang, located in  
3        northeast China. Both are provincial public hospitals affiliated to medical universities. The first is a  
4        stomatological hospital, and the second is a general hospital. Data were collected from inpatients in  
5        oral and maxillofacial surgery ward between May 2016 and October 2017. The current research was  
6        approved by the Ethical Committee of China Medical University (NO. 2015-16).

## 7        **Subjects**

8        The inclusion criteria were: patients (1) aged 18 or above; (2) had been diagnosed with oral cancer  
9        for the first time; (3) had finished the surgeries; (4) were aware of their own diagnosis; (5) the  
10       condition was good enough to understand and complete the questionnaires. The exclusion criteria were  
11       that patients (1) with any history of mental or cognitive disorders; (2) were comorbid with other oral  
12       diseases or other cancers. Each participant was limited to completing the survey only once. The study

$$n = \frac{Z_{\alpha}^2 \sigma^2}{\delta^2}$$

13       size was arrived at by using the following formula: . The parameters were:  
14        $\alpha=0.05$ ,  $Z_{\alpha}=1.96$ ,  $\sigma=14.52$ ,  $\delta=2$ .  $n=1.96^2*14.52^2/2^2=202.48$ . Considering that there were  
15       invalid questionnaires or lost follow-up, the sample size was increased by 10%~20%, and the final  
16       sample size was 224~243.6.

17

## 18        **Procedure**

19        The whole process of the study was anonymous and voluntary for respondents. Investigators  
20        consisted of four nurses, whom were trained uniformly by the researcher. Before filling in the  
21        questionnaire, participants signed the consent inform. The investigators were responsible to read and  
22        provide explanations for questionnaire items without any inducement. Another trained investigator  
23        conducted quality control on the spot and then collected the questionnaires. Epidata software (version  
24        3.1) was used for data entry and double check.

## 25        **Tools**

26        Demographic and clinical characteristics composed of a general questionnaire. Demographic  
27        characteristics consisted of age, gender, body mass index (BMI), marital status, education level,  
28        monthly income, occupation, residence area, smoking, and alcohol consumption. Clinical variables

1 were made up of patients' type of treatment, family history and whether they had distant metastasis.

## 2 **Measurement of anxiety symptoms**

3 Zung Self-Rating Anxiety Scale (SAS) [26] was used to assess the anxiety symptoms of the patients.  
4 The SAS included 20 items, and each item was rated on a 4-point scale, with a total score ranging from  
5 20 to 80, the standardized score = int (1.25\*raw score). A higher score means more severe anxiety  
6 symptoms. SAS has been reported with good reliability and validity in China [27], and a standardized  
7 score of 50 was the upper limit for the normative populations [28]. The Cronbach's  $\alpha$  was 0.908 in the  
8 current study.

## 9 **Measurement of depressive symptoms**

10 Depressive symptoms were assessed with the Center for Epidemiologic Studies Depression Scale  
11 (CES-D) [29]. The CES-D is a 20-item tool rating on 4-point scoring system, with a total score ranging  
12 from 0 to 60. A total score of 16 or above was considered with depressive tendencies [30]. The Chinese  
13 version has been shown with good reliability and validity [30]. The Cronbach's  $\alpha$  was 0.924 in the  
14 current study.

## 15 **Measurement of hope**

16 Hope was assessed by the Herth Hope Index (HHI) [31], which contained 3 subscales: temporality  
17 and future, positive readiness and expectancy, and interconnectedness. The HHI consisted of 12 items,  
18 and each item was scored on a 4-point scale. Total score of HHI ranged from 12 to 48, and a higher  
19 total score reflected higher level of hope. The Chinese version of HHI had been found with good  
20 reliability and validity [32]. In the current study, the Cronbach's  $\alpha$  found to be 0.841.

## 21 **Measurement of stigma**

22 The Social Impact Scale (SIS) was developed to assess the level of stigmatization for individuals  
23 with cancer or HIV/AIDS [33]. The SIS is a 24-item scale, with 4 domains: social rejection, financial  
24 insecurity, internalized shame, and social isolation. Each item rated on 4-point scoring system, with a  
25 total score ranging from 24 to 96. The scale has been reported available in different populations [34]. In  
26 the current research, the Cronbach  $\alpha$  of the SIS was 0.948.

## 27 **Measurement of social support**

28 The level of perceived social support was assessed by the Chinese version of the Multidimensional  
29 Scale of Perceived Social Support (MSPSS) [35] which measured perceived support from three social  
30 relationships: family, friends and significant others (such as relatives and colleagues). It included 12

items rated on 7-point scale. Total score ranged from 12 to 84, with a higher score indicating higher social support. The scale had good reliability and validity among various Chinese patients [36-37]. In this study, the Cronbach's  $\alpha$  of the MSPSS was 0.928.

#### **Measurement of optimism**

Optimism was assessed by the a 10-item Revised Life Orientation Test (LOT-R), which was designed by Dr. Scheier et al [38]. It consisted of ten items using 5-point rating system, three of which were for optimism; three of which were for pessimism; the other four items served as fillers. The Cronbach's  $\alpha$  was 0.646 in the current research.

#### **Measurement of perceived stress**

Perceived stress was assessed by the 10-item version of Perceived Stress Scale (PSS-10) [39]. Each item was scored using a 5-point scale, with a total score ranging from 0 to 40. Higher scores indicated higher level of perceived stress. The Chinese version has demonstrated good reliability and validity [40]. The Cronbach's  $\alpha$  was 0.833 in this study.

#### **Measurement of self-efficacy**

General Self-efficacy Scale (GSE) was used to assess the self-efficacy of the respondents [41]. The GSE was a 10-item scale rated on a 4-point scale, with a total score ranging from 10 to 40. Higher scores indicated a higher level of self-efficacy. The scale has been widely used among Chinese population [42]. The Cronbach's  $\alpha$  was 0.913 in the current study.

#### **Operational definition**

The cut-off points of SAS and CES-D were set to be the criteria to differentiate whether patients had symptoms of anxiety/depression. According to the previous studies[28,30], patients with a 50 or above SAS standardized score were classified into the anxiety symptoms group, and patients with a CES-D score over 16 or above were defined as the depressive symptoms group.

#### **Statistical analyses**

Statistical Package for Social Sciences (SPSS 22.0 for Windows) was used to conduct data analyses. Significance for all statistical tests was set to be the level of 0.05 (2-tailed). Normality and homogeneity of variances were first tested for each continuous variable. Chi-square test was operated to describe distributions of anxiety symptoms and depressive symptoms in categorical demographic and clinical variables. Independent sample T-test and nonparametric-test were used to explore the

relationship between anxiety/depressive symptoms and the grouping variables (hope, social support, optimism, stigma, and perceived stress). Logistic regression analyses were conducted to find the predictors. Demographics variables with statistical significance in the Chi-square test were entered into regression analysis as control variables. And then the independent variables (hope, perceived social support, optimism, stigma, and perceived stress) were entered into the regression. Variables were entered in the regression analysis at  $P < 0.05$  and removed from the model at  $P > 0.10$ . Data provided in the regression models included regression coefficient ( $\beta$ ), OR, 95%CI.

## Results

### Descriptive statistics

In the current study, 275 questionnaires were distributed. Among them, 230 were considered valid, yielding an effective response rate of 83.64%. Altogether 134 male and 96 female patients participated.

All in all, 85 respondents reported anxiety symptoms, 150 reported depressive symptoms, and the prevalence was 36.96% and 65.21%, respectively. Furthermore, 84 patients reported both anxiety symptoms and depression symptoms.

The demographic and medical information of the participants were described in Table 1. The mean age of the respondents was 55.47 years ( $SD=13.78$ , ranging from 18 to 92). Notably, most patients (204, 88.7%) were in a married or cohabited status, whose depressive symptoms were found statistically higher than those single/ divorced/ widowed ( $X^2=9.251$ ,  $p=0.002$ ). In terms of the clinical variables, over 90 percent of the patients (215) reported a family history of cancer. Patients without metastasis (216, 94.0%) reported lower prevalence of anxiety symptoms than those with metastasis ( $X^2=4.779$ ,  $p=0.029$ ).

*Table 1 should appear at this location*

### Distributions of anxiety and depressive symptoms in continuous variables

The distributions of anxiety symptoms and depressive symptoms in continuous variables including hope, stigma, self-efficacy, perceived social support, optimism, perceived stress were presented in Table 2. Results showed that the distribution of anxiety symptoms and depressive



1 symptoms were significantly different in all the variables and its subscales ( $p < 0.01$ ). Both anxiety and  
 2 depressive symptoms were negatively associated with hope and its subscales, perceived social support  
 3 and its subscales, self-efficacy, optimism, but positively associated with stigma and its subscales, and  
 4 the perceived stress ( $p < 0.01$ )

**Table 2. Distributions of anxiety and depressive symptoms in continuous variables (n=230, Median (IQR)/ (M $\pm$ SD))**

	<i>Anxiety symptoms</i>				<i>Depressive symptoms</i>			
	Yes	No	Z/t	p	Yes	No	Z/t	p
	N=85	N=145			N=150	N=80		
<b>Hope</b>	<b>35.00 (5.50)</b>	<b>37.00 (6.00)</b>	<b>-6.498</b>	<b>0.000</b>	<b>35.00 (5.00)</b>	<b>40(5.75)</b>	<b>-7.883</b>	<b>0.000</b>
Temporality and future	11.00 (2.00)	12.00 (2.00)	-5.543	0.000	11.00 (2.00)	13.00 (2)	-7.144	0.000
Positive readiness and expectancy	12.00 (2.00)	12.00 (2.00)	-4.886	0.000	12.00 (2.00)	13.00 (2.75)	-5.835	0.000
Interconnectedness	12.00 (2.00)	13.00 (2.00)	-6.794	0.000	12.00 (2.00)	14.00 (2.00)	-7.557	0.000
<b>Social support</b>	58.00 (17.75)	65.00 (13.00)	-4.513	0.000	59.00 (17.00)	67.00 (10.75)	-4.847	0.000
Family support	21.00 (7.00)	24.00 (3.00)	-4.149	0.000	22.00 (6.00)	24.00 (2.00)	-3.579	0.000
Friend support	17.00 (6.00)	20.00 (7.00)	-3.511	0.000	17.00 (6.00)	20.00 (7.75)	-4.485	0.000
Other support	18.00 (7.00)	22.00 (5.00)	-4.646	0.000	19.00 (6.25)	23.00 (4.00)	-4.909	0.000
<b>Stigma</b>	54.50(10.00)	42.00 (19.00)	7.376	0.000	53.00 (12.00)	37.00 (18.00)	8.842	0.000
Social rejection	21.00 (4.00)	16.00 (8.00)	6.726	0.000	20.00 (5.00)	14.00 (7.00)	6.973	0.000
Financial insecurity	6.00 (2.00)	5.00 (3.00)	5.253	0.000	6.00 (2.00)	4.00 (2.75)	6.120	0.000
Internalized shame	12.00 (3.00)	9.00 (5.00)	5.596	0.000	12.00 (3.00)	8.00 (4.00)	7.027	0.000
Social isolation	16.00 (3.00)	12.00 (6.00)	8.330	0.000	15.00 (4.00)	11.00 (7.00)	8.145	0.000
<b>Self-efficacy</b>	22.14 $\pm$ 4.71	25.50 $\pm$ 5.19	-4.894	0.000	22.73 $\pm$ 4.99	27.13 $\pm$ 4.53	-6.567	0.000
<b>Optimism</b>	14.00 (4.00)	17.00 (3.00)	-6.938	0.000	15.00 (4.00)	18.00 (2.00)	-6.199	0.000
<b>Perceived stress</b>	20.00 (4.00)	15.00 (5.50)	8.696	0.000	19.00 (5.00)	14.00 (4.75)	9.244	0.000

5

## 6 **Predictors of anxiety symptoms and depressive symptoms**

7 Logistic regression analysis was conducted to identify the predictors of anxiety symptoms and  
 8 depressive symptoms. Variables that were significantly associated with anxiety symptoms were

included in the logistic regression analysis, including clinical variables (distant metastasis), hope, stigma, self-efficacy, perceived social support, optimism and perceived stress. As was shown in Table 3, social isolation dimension of stigma, optimism, and perceived stress were found to be the predictors of anxiety symptoms among patients with oral cancer.

**Table 3. Logistic regression analysis on results of anxiety symptoms(n=230)**

	$\beta$	S.E	Wals	<i>P</i>	OR(95%CI)
Social isolation	0.409	0.119	11.846	0.001	1.505(1.193,1.900)
Optimism	-0.253	0.096	6.944	0.008	0.777(0.644,0.937)
Perceived stress	0.209	0.065	10.242	0.001	1.232(1.084,1.400)
Constant	-2.602	3.422	0.578	0.447	0.074

Variables that were significantly associated with depressive symptoms were included in the logistic regression analysis, including demographic variables (marriage), hope, stigma, self-efficacy, perceived social support, optimism and perceived stress. As was shown in Table 4, marriage, positive readiness and expectancy dimension of hope, social isolation dimension of stigma, and perceived stress were found to be predictors of depressive symptoms among patients with oral cancer.

**Table 4. Logistic regression analysis on results of depressive symptoms (n=230)**

	$\beta$	S.E,	Wals	<i>P</i>	OR(95%CI)
Marriage					
(Single/divorced/widow VS Married/ cohabitation	1.653	0.642	6.624	0.010	5.224(1.483,18.399)
Positive readiness and expectancy	-0.455	0.205	4.928	0.026	0.634(0.424,0.948)
Social isolation	0.302	0.131	5.292	0.021	1.352(1.046,1.748)
Perceived stress	0.252	0.071	12.431	0.000	1.286(1.118,1.479)
Constant	-4.154	4.197	0.980	0.322	0.016

## Discussion

The current study explored the prevalence and predictors of anxiety symptoms and depressive symptoms in patients with oral cancer. The prevalence of anxiety symptoms in the current study was

36.96%, which was higher than previous researches [9]. The prevalence of depressive symptoms in the study was 65.21%, which was similar with the results in previous studies among cancer patients [9], and higher than a meta-analysis on the prevalence of depression in Chinese adults with cancer patients (54.9%) [8]. A recent research among patients with oral cancer [43] also confirmed the similar findings at different time points (at diagnosis, one month, and three months after treatment). This phenomenon is particularly obvious in patients with oral cancer due to facial deformity and dysfunction, and can be explained as the assumption that anxiety is likely to be caused by the on-the-spot sense of uncertainty, while depression by losing hope for the future and meaning of life.

As to the socio-demographic variables, it was surprising to find that married/cohabitation patients had a much higher risk of suffering from depressive symptoms than the unmarried group, which was different from previous studies [44-45]. We speculate that this result maybe was due to the difference in sample size between the two groups. As shown in this study, while the sample size of the married/cohabitation group was 204, the sample size of the unmarried group was only 26.

According to the results of logistic regression analysis, perceived stress was associated with both anxiety and depressive symptoms. Other researches [6,46] suggested that the perceived stress impacted the depressive and anxiety symptoms of cancer patients through their mental adjustment. It could be explained by the fact that a cancer diagnosis is a stressful event for most individuals, and patients experience mental stress such as worries about prognosis and treatments, disruption of daily functions and survival time [47]. Hence, reducing stress may be considered a specific strategy to alleviate negative mood of patients with oral cancer for cancer specialized nurses and clinicians.

Stigma, especially the dimension of social isolation, was associated with both anxiety and depressive symptoms, which is consistent with previous studies [48-50]. Consequences of disease-related stigma were considered serious because it can not only arise psychological distress to patients, but also lead to poor health outcomes [51]. In this study, social isolation dimension was positively and significantly associated with depressive symptoms. Social isolation signifies a feeling of anomie in the traditional sociological sense, incorporating feelings of loneliness, inequality with others, and uselessness [33]. Patients with oral cancer are at an elevated risk of stigma because the cancer and its treatment often result in significant changes to physical appearance and functions. These changes occur in a highly visible and socially significant part of body and are associated with psychosocial impairment. As such, there is a vital need to address their perceived stigma when care to patients with

1 oral cancer is delivered.

2 Hope is one of the positive coping resources for people experiencing difficult situations [18]. It has  
3 been found in this study that hope was a relative important protective factor for depressive symptoms  
4 among oral cancer patients; especially the positive readiness and expectancy dimension, which was set  
5 to measure affective-behavioral dimension of hope [52]. This finding suggested that patients with high  
6 level of hope were likely to manifest fewer depressive symptoms, which is consistent with other studies.  
7 A retrospective cohort study [53] showed that patients' subjective hope for improvement can predict  
8 depression remission. Meisam Rahimpour [54] found that a high level of hope can protect those  
9 individuals' renal failure from occurrence and the relapse of depression. Thus, possibly, enhancing the  
10 level of hope, especially "positive readiness and expectancy", was one of the important ways to  
11 decrease the depressive symptoms of oral cancer patients in China.

12 Another positive coping resource, optimism, was found to be a relative important protective factor  
13 for anxiety symptoms among oral cancer patients. Optimism moderated the relationship between social  
14 support and anxiety, and there was a strong negative association between social support and anxiety for  
15 participants with low optimism [55]. Sanda Dolcos [56] provided biological structural evidence that  
16 increased gray matter volume (GMV) in left brain region protects against symptoms of anxiety through  
17 increased optimism. Higher levels of optimism were significantly associated with fewer anxiety and  
18 depressive symptoms, less hopelessness and better QOL [55]. Although optimism was a stable  
19 personality trait of a person, we can still do something to convert pessimism to optimism through some  
20 activities. Aussie optimism program (AOP) was a proven program that could improve the level of  
21 optimism effectively [57-58].

22 Notably, optimism, but not hope, was associated with anxiety symptoms; hope, but not optimism,  
23 was associated with depressive symptoms. This result was similar with a study targeting patients with  
24 advanced cancer, including gastrointestinal cancer, colorectal cancer, lung cancer, or melanoma [22].  
25 Although hope has been confirmed related to almost all health outcomes [59], it can be considered as  
26 the expectations for the future life after diagnosis. Additionally, optimism is more about cognition of  
27 the current life. Hence, results suggested that the greater hope, the less depressive symptoms; the more  
28 optimistic, the less anxiety symptoms. Thus, hope- or optimism-focused interventions can be taken into  
29 account to help alleviate specific aspects of psychological distress among patients with oral cancer in  
30 the future.

1        However, the current study results were not consistent with our hypothesis in that perceived social  
2        support and self-efficacy showed neither significant relations with anxiety symptoms nor with  
3        depressive symptoms. Therefore, further research is still needed to explore the exact mechanism of the  
4        two variables.

### 6        **Significance**

7        The current study aims at identifying the possible influencing factors associated with anxiety and  
8        depressive symptoms in patients with oral cancer. The hypothetical socio-demographic and  
9        psychological variables were analyzed, resulting in significant results. This suggests that clinicians and  
10       nurses should make a complete assessment of patients' information, especially their psychological  
11       status, at the time of pre-, peri, and post-discharge. In addition, it is now generally accepted that  
12       patients' social, spiritual and psychological well-being are important parts of the multidisciplinary  
13       approach to the treatment of oral cancers. Results of our study suggest that intervention strategies to  
14       reduce perceived stress, stigma, especially social isolation, rebuild and enhance the level of optimism  
15       and hope, especially strategies to promote positive action, could be considered for health care  
16       organizations. Health education, psychotherapy, cognitive behavioral therapy, and supportive and group  
17       interventions have been reported effective in many studies. In this sense, our study further suggests the  
18       possibility that hope and optimism intervention may be especially worthy of use in oral cancer patients.

### 19       **Limitations**

20       Due to the cross-sectional design, the causal relationship couldn't be confirmed. Future research by  
21       means of longitudinal studies should be done to should assess whether positive resources or other  
22       positive behaviors have unintended effects on anxiety and depression by means of longitudinal studies.  
23       Besides, we only focused on the associations of anxiety/depressive symptoms with hope, stigma,  
24       self-efficacy, optimism, perceived stress and perceived social support; other factors which may be  
25       important to consider for depressive symptoms were not included. Moreover, the size of the sample is  
26       relatively small and a larger and multicenter sample is needed to improve the representativeness. In  
27       addition, the difference in sample size between the married/cohabitation group and the unmarried group  
28       was too huge to draw reliable conclusions. Despite some limitations, our study provided some  
29       theoretical and clinical implications and suggested potentially better ways to reduce depressive  
30       symptoms through modifying both the negative and positive factors.

## Conclusions

After adjusting for demographic factors, perceived stress and social isolation of stigma were positively and significantly associated with both anxiety and depressive symptoms. Optimism was negatively and significantly associated with anxiety symptoms, and positive readiness and expectancy dimension of hope was negatively and significantly associated with depressive symptoms. However, perceived social support and self-efficacy had no significant relations with depressive symptoms. The communal predictors of anxiety and depressive symptoms in patients with oral cancer were levels of perceived stress and social isolation of stigma. In addition, optimism was a predictor of anxiety symptoms and hope was a predictor of depressive symptoms.

## List of abbreviations

SAS: Zung Self-Rating Anxiety Scale; CES-D: the Center for Epidemiologic Studies Depression Scale; SIS: Social Impact Scale; HHI: Herth Hope Index; MSPSS: Multi- dimensional Scale of Perceived Social Support; LOT-R: Revised Life Orientation Test; PSS-10: Perceived Stress Scale-10; GSE: General Self-efficacy Scale; ANOVA: Analysis of Variance; BMI: Body Mass Index; SD: Standard Deviation; CI: Confidence Interval

## Declarations

## Ethics approval and consent to participate

All study materials were approved by Committee on Human Experimentation of China Medical University (2015-16). Patients provided their written informed consent prior to responding to the survey questions.

## Consent to publish

Not applicable. No individual-level data are presented within this publication.

1     **Availability of data and materials**

2     The datasets supporting the conclusion of this article are included within the article. The underlying  
3     datasets are available from the corresponding author on reasonable request.

4

5     **Competing interests**

6     The authors declare that they have no competing interests.

7

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10    The funding body played no role in the design of study, collection, analysis and interpretation of data,  
11    or in writing the manuscript.

12

13    **Authors' contributions**

14    LLY and YQG were responsible for conception and design of the study. LW gave directions to the  
15    study. XXH and XJZ performed data extraction. YLL did the data analysis and wrote the manuscript.  
16    BCP and WRW contributed to the revision of the manuscript. All authors have reviewed the manuscript  
17    and given final approval of the version to be published.

18

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1

**Table 1. Distributions of anxiety symptoms and depressive symptoms  
in categorical demographic and clinical variables (n=230)**

	<i>N(%)</i>	<i>Anxiety symptoms</i>			<i>Depressive symptoms</i>		
		<i>No. (%)</i>	<i>X<sup>2</sup></i>	<i>p</i>	<i>No. (%)</i>	<i>X<sup>2</sup></i>	<i>p</i>
<b>Age</b>							
<60	156(67.8)	57(36.5)	0.036	0.849	105(67.3)	0.934	0.334
≥60	74(32.2)	28(37.8)			45(60.8)		
<b>Gender</b>							
male	134(58.3)	49(36.6)	0.021	0.885	93(69.4)	2.479	0.115
female	96(41.7)	36(37.5)			57(59.4)		
<b>Marriage</b>							
Single/divorced /widow	26(11.3)	7(26.9)	1.267	0.260	10(38.5)	9.251	0.002
Married/cohabitation	204(88.7)	78(38.2)			140(68.6)		
<b>BMI</b>							
<18.5	8(3.5)	5(62.5)	2.803	0.246	6(75.0)	0.371	0.831
18.5-23.9	118(51.3)	40(33.9)			76(64.4)		
≥24	104(45.2)	40(38.5)			68(65.4)		
<b>Education</b>							
Middle school or lower	100(43.5)	33(33.0)	1.184	0.553	66(66.0)	0.253	0.881
High or secondary school	60(26.1)	24(40.0)			40(66.7)		
College or university	70(30.4)	28(40.0)			44(62.9)		
<b>Job state</b>							
Regular employee	133(57.8)	54(40.6)	2.039	0.361	89(66.9)	0.429	0.807
Retirement	34(14.8)	12(35.3)			21(61.8)		
Unemployed /temporary workers	63(27.4)	19(30.2)			40(63.5)		
<b>Income</b>							
<3000	141(61.3)	56(39.7)	1.191	0.275	94(66.7)	0.337	0.561
≥3000	89(38.7)	29(32.6)			56(62.9)		
<b>Residence</b>							
Urban	145(63.0)	52(35.9)	0.267	0.605	92(63.4)	0.738	0.390
Rural	85(37.0)	33(38.8)			58(68.2)		
<b>Smoking</b>							
No	118(51.3)	43(36.4)	0.028	0.868	71(60.2)	2.722	0.099
Yes	112(48.7)	42(37.5)			79(70.5)		
<b>Drinking alcohol</b>							
No	135(58.7)	51(37.8)	0.095	0.752	86(63.7)	0.330	0.566
Yes	95(41.30)	34(35.8)			64(67.4)		
<b>Family history</b>							
No	215(93.5)	80(37.5)	0.090	0.764	138(64.2)	1.546	0.214
Yes	15(6.5)	5(33.3)			12(80.0)		
<b>Distant metastasis</b>							
No	216(94.0)	76(35.2)	4.779	0.029	138(63.9)	1.883	0.170
Yes	14(6.0)	9(64.3)			12(85.7)		

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