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DR. LUKE T BARRETT (Orcid ID : 0000-0002-2820-0421)

DR. TIM DEMPSTER (Orcid ID : 0000-0001-8041-426X)

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Prevention not cure: a review of methods to avoid sea lice infestations in salmon aquaculture

Luke T Barrett ^{1*}, Frode Oppedal ², Nick Robinson ^{1,3}, Tim Dempster ¹

¹ Sustainable Aquaculture Laboratory – Temperate and Tropical (SALTT), School of BioSciences, University of Melbourne, Australia

² Animal Welfare Group, Institute of Marine Research, Matre Research Station, Matredal, Norway

³ Breeding and Genetics, Nofima, Ås, Norway

*Corresponding author: luke.barrett@unimelb.edu.au

ORCID:

LB: 0000-0002-2820-0421

NR: 0000-0003-1724-2551

TD: 0000-0001-8041-426X

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27 **Key words:** sea louse; *Lepeophtheirus salmonis*; *Caligus* spp.; *Salmo salar*; control

28 **ABSTRACT**

29 The Atlantic salmon aquaculture industry still struggles with ectoparasitic sea lice despite
30 decades of research and development invested into louse removal methods. In contrast,
31 methods to prevent infestations before they occur have received relatively little research
32 effort, yet may offer key benefits over treatment-focused methods. Here, we summarise the
33 range of potential and existing preventative methods, conduct a meta-analysis of studies
34 trialling the efficacy of existing preventative methods, and discuss the rationale for a shift to
35 the prevention-focused louse management paradigm. Barrier technologies that minimise host-
36 parasite encounter rates provide the greatest protection against lice, with a weighted median
37 76% reduction in infestation density in cages with plankton mesh ‘snorkels’ or ‘skirts’, and
38 up to a 100% reduction for fully enclosed cages. Other methods such as geographic
39 spatiotemporal management, manipulation of swimming depth, functional feeds, repellents,
40 and host cue masking can drive smaller reductions that may be additive when used in
41 combination with barrier technologies. Finally, ongoing development of louse-resistant
42 salmon lineages may lead to long term improvements if genetic gain is maintained, while the
43 development of an effective vaccine remains a key target. Preventative methods emphasise
44 host resistance traits while simultaneously reducing host-parasite encounters. Effective
45 implementation has the potential to dramatically reduce the need for delousing and thus
46 improve fish welfare, productivity and sustainability in louse-prone salmon farming regions.

47

48 **INTRODUCTION**

49 The global expansion of sea cage fish farming has driven considerable shifts in the population
50 dynamics of marine pathogens. For 40 years, ectoparasitic lice have been an intractable
51 problem for Atlantic salmon (*Salmo salar*) farming industries in Europe and the Americas
52 (Torrissen *et al.* 2013; Iversen *et al.* 2015). Louse infestations are almost ubiquitous on
53 salmon farms in these regions – primarily the salmon louse *Lepeophtheirus salmonis* but also
54 *Caligus elongatus* in the northern hemisphere, and *Caligus rogercresseyi* in South America
55 (Hemmingsen *et al.* 2020). Lice are natural parasites of fish, but intensive salmon farming
56 amplifies louse densities, resulting in unnaturally high infestation pressure for both farmed
57 and wild salmonids. Lice feed on the skin, blood and mucus of host fish, and severe

58 infestations can cause ulceration leading to stress, osmotic imbalance, anaemia and bacterial
59 infection (Grimnes and Jakobsen 1996; Øverli *et al.* 2014; González *et al.* 2016).
60 Accordingly, management of louse infestations on farmed fish is crucial to maintain
61 acceptable stock welfare, limit production losses and reduce impacts on adjacent wild
62 salmonid populations (Krkošek *et al.* 2013; Thorstad *et al.* 2015).

63 In most jurisdictions, the primary management approach is to monitor louse densities on
64 farmed fish, with mandatory delousing or other sanctions implemented when louse levels
65 exceed allowable limits. Regulations also cap the number of active sites or total biomass in
66 each management zone according to estimated infestation pressure on wild salmonids, and
67 may mandate coordinated fallowing or other measures (e.g. Norway: Ministry of Trade and
68 Fisheries, 2012). The introduction of chemotherapeutants in the 1970s allowed farms to treat
69 sea louse infestations without substantially reducing production (Aaen *et al.* 2015). However,
70 most chemotherapeutants are not environmentally benign, leading to concerns about
71 bioaccumulation and effects on non-target invertebrate species (BurrIDGE *et al.* 2010). More
72 recently, treatment-resistant lice have emerged on farms in Europe and the Americas (Aaen
73 *et al.* 2015) rendering many chemotherapeutants less effective.

74 The discovery of treatment-resistance has prompted a rapid and recent shift to mechanical
75 and thermal delousing methods in the Norwegian salmon farming industry (Overton *et al.*
76 2018), with these methods also gaining traction elsewhere (e.g. Canada, Chile, Scotland).
77 Mechanical and thermal delousing are highly effective at removing mobile lice and have little
78 or no impact on non-target species. However, they are stressful for host fish and can lead to
79 elevated post-treatment mortality rates compared to the use of chemotherapeutants (Overton
80 *et al.* 2018). Low salinity or hydrogen peroxide baths are also effective in the right conditions
81 and do not accumulate, although the long-term prospects for these methods are uncertain
82 given the possibility of increasingly resistant lice (Treasurer *et al.* 2000, Helgesen *et al.* 2018,
83 Groner *et al.* 2019). Alternatively, around 50 million cleaner fish (lumpfish *Cyclopterus*
84 *lumpus* and several wrasse species) are deployed annually at Norwegian salmon farms to eat
85 lice directly off salmon (Norwegian Directorate of Fisheries 2018), with >1.5 million cleaner
86 fish also used in Scotland (Marine Scotland Directorate, 2017). However, it is unclear
87 whether their efficacy (Overton *et al.* 2020; Barrett *et al.* 2020a) is sufficient to justify their
88 poor welfare in commercial sea cages (Nilsen *et al.* 2014; Hvas *et al.* 2018; Mo and Poppe
89 2018; Yuen *et al.* 2019; Stien *et al.* 2020).

90 Decades of innovation in louse control have allowed the salmon farming industry to continue
91 functioning in louse-prone regions, but not without significant environmental and ethical
92 concerns. Most research and development efforts so far have focused on treating at the post-
93 infestation stage. This likely reflects the relatively rapid return on investment into new
94 delousing methods but may be a sub-optimal strategy if opportunities to invest in long term
95 solutions are missed (Brakstad *et al.* 2019). An alternative approach is to focus louse
96 management efforts on preventing infestation via proactive interventions ('preventative
97 methods' herein) that may significantly reduce the need for farms to delouse. Here, we
98 summarise the range of potential or existing preventative methods and conduct a meta-
99 analysis of empirical estimates of sea louse removal efficacy for each method. Finally, we
100 discuss the rationale for a paradigm shift from reactive louse control to a proactive approach
101 that focuses on predicting and preventing infestations, and outline some possible strategies to
102 promote long term efficacy of preventative methods.

103

104 **WHAT PREVENTATIVE METHODS ARE AVAILABLE?**

105 Preventative methods are deployed pre-emptively to reduce the rate of new infestations.
106 Within this classification, we include approaches that either: (1) reduce encounter rates
107 between salmon and infective copepodid stage lice; or (2) reduce the attachment success
108 and/or early post-settlement survival of copepodids via interventions that begin to act at the
109 moment of attachment or first feeding (Fig. 1). These approaches are distinct from control via
110 delousing treatments, which are generally implemented as a reaction to an existing infestation
111 (i.e. 'immediate' control), or via cleaner fish, which may be deployed prior to infestation and
112 function on an ongoing basis (i.e. 'continuous' control) but are not typically effective against
113 newly attached lice (e.g. Imsland *et al.* 2015).

114 **1. Reducing encounters**

115 ***1.1 Barrier technologies***

116 A growing understanding of louse physiology and host-finding behaviour has led to several
117 important advances in louse prevention, and by using data on preferred swimming depths of
118 infective copepodids in relation to environmental parameters (Heuch 1995; Heuch *et al.*
119 1995; Crosbie *et al.* 2019), farmers can now separate hosts from parasites using depth-
120 specific louse barriers.

121 Barriers made from fluid-permeable plankton mesh or impermeable membranes can
122 dramatically reduce infestation rates by preventing infective copepodids from entering the
123 cage environment. ‘Skirt’ or ‘snorkel’ barriers prevent particles in the surface layers—where
124 most copepodids reside—from entering the cage while still allowing full water exchange
125 below the level of the barrier (Oppedal *et al.* 2017; Wright *et al.* 2017; Stien *et al.* 2018).
126 Salmon often choose to reside below the level of the skirt or snorkel, meaning that the barrier
127 functions by simultaneously (i) encouraging salmon to swim below the depth at which
128 infestation risk is highest, and (ii) protecting any individuals that use the surface layers, for
129 example, while feeding or refilling the swim bladder. In the most complete use of barrier
130 technologies, fully-enclosed cages are supplied with louse-free water either filtered or
131 pumped from depths below the typical depth range of copepodids (e.g. 25 m: Nilsen *et al.*
132 2017).

133 Barrier technologies (particularly skirts) are already widely used by the industry, but specific
134 designs should be matched to local environmental conditions to avoid problems with low
135 dissolved oxygen or net deformation (Stien *et al.* 2012; Frank *et al.* 2015; Nilsen *et al.* 2017).
136 For example, Nilsen *et al.* (2017) prevented deformation of impermeable tarpaulin barriers at
137 relatively sheltered sites by creating slight positive pressure within the cage (i.e. inside water
138 level 2-3 cm above sea level). At more exposed sites, it is preferable to use fluid-permeable
139 plankton mesh barriers (e.g. Grøntvedt *et al.* 2018). Brackish surface water can also reduce
140 the efficacy of skirts and snorkels by causing both lice and salmon to reside below the level
141 of the barrier (Oppedal *et al.* 2019), while there is evidence that barrier technology may
142 reduce the performance of cleaner fish when used in combination (Gentry *et al.* 2020).

143 ***1.2 Manipulation of swimming depth***

144 Salmon behaviour, primarily swimming depth, can also be manipulated in the absence of
145 barrier technology to reduce spatial overlap (and therefore encounter rates) between hosts and
146 parasites, especially salmon lice. Typically, the aim is to reduce encounter rates by causing
147 salmon to swim below the depths at which lice are most abundant. Deep swimming
148 behaviour can be promoted through the use of deep feeding and/or lighting (Hevrøy *et al.*
149 2003; Frenzl *et al.* 2014; Bui *et al.* 2020). Where surface feeding is conducted, reducing the
150 frequency or regularity of feeding (e.g. twice daily at varying times) can reduce the amount
151 of time spent in the surface layers (Lyndon and Toovey 2000). Deep swimming can also be
152 forced by submerging cages to the desired depth (Dempster *et al.* 2008; Dempster *et al.*
153 2009), and there is evidence for reduced louse levels on salmon in submerged cages (Osland

154 *et al.* 2001; Hevrøy *et al.* 2003; Sievers *et al.* 2018; Glaropoulos *et al.* 2019). Long term
155 submergence can affect fish welfare as salmon lose buoyancy over time (Korsøen *et al.* 2009;
156 Macaulay *et al.* 2020), however recent research indicates most welfare concerns can be
157 addressed by allowing periodic surface access or fitting a submerged air-filled dome for swim
158 bladder refilling (Korsøen *et al.* 2012; Glaropoulos *et al.* 2019; Oppedal *et al.* In Press).

159 **1.3 Geographic spatiotemporal management**

160 A range of spatiotemporal management approaches are applied at the landscape scale to
161 reduce infestation risk by controlling where and when salmon are farmed. Some farm sites
162 have consistently low louse abundances and rarely require delousing (www.barentswatch.no).
163 Locating farms to take advantage of beneficial oceanographic conditions and minimise
164 connectivity with adjacent sites may reduce the number of host-parasite encounters over a
165 grow-out cycle (Bron *et al.* 1993; Samsing *et al.* 2017; Samsing *et al.* 2019). Following
166 during periods of high propagule pressure may also delay first infestation after sea transfer of
167 smolts (Bron *et al.* 1993).

168 **1.4 Filtering and trapping**

169 Filters and traps may be deployed in or around cages to remove infective copepodids from
170 the water column before they encounter salmon. Filter-feeding shellfish racks hung around
171 sea cages may reduce louse abundance if deployed at sufficient scale (Byrne *et al.* 2018;
172 Montory *et al.* 2020), while powered filters are effective in the context of preventing lice and
173 eggs from entering the environment during delousing (O'Donohoe and Mcdermott 2014). In
174 other fish farming systems, cleaner shrimp have been used to remove parasites or parasite
175 eggs from fish and nets and reduce infestation or reinfestation risk (Vaughan *et al.* 2018a;
176 Vaughan *et al.* 2018b). However, this method may have limited application against sea lice
177 because of the planktonic mode of dispersal and infestation (i.e. larvae do not develop within
178 the cage structure). Light traps have been tested in the field with mixed results (Pahl *et al.*
179 1999; Novales Flamarique *et al.* 2009), and increasing knowledge of host-locating behaviour
180 in lice may present new possibilities for baiting traps with attractive chemosensory cues
181 (Devine *et al.* 2000; Ingvarsdóttir *et al.* 2002; Bailey *et al.* 2006; Mordue and Birkett 2009;
182 Fields *et al.* 2018). No preventative filtering or trapping methods have been widely deployed
183 in the industry, but some systems have recently become commercially available (e.g.
184 'Strømmen-rør', Fjord Miljø; 'NS Collector', Vard Aqua).

185 **1.5 Repellents and host cue masking**

186 Interventions may be used to repel lice or mask host cues, potentially reducing host-parasite
187 encounters even when parasites enter the sea cage. Repellents or masking compounds can
188 either be released into the water column or included in feed to alter the host's semiochemical
189 profile (Hastie *et al.* 2013; O'Shea *et al.* 2017). Indeed, some existing commercially available
190 functional feeds are claimed to reduce attraction of lice toward fish (e.g. Shield, Skretting;
191 Robust, EWOS/Cargill). Visual cues may also be important, and the effect of modified light
192 conditions on infestation rates have been trialled with mixed results. Browman *et al.* (2004)
193 concluded that ultraviolet-A and polarisation were not important for host detection at small
194 spatial scales. Light intensity interacted with salinity and host velocity to influence
195 distribution of louse attachment in another study (Genna *et al.* 2005), while Hamoutene *et al.*
196 (2016) reported that 24-hour darkness affected the attachment location but not abundance of
197 salmon lice.

198 ***1.6 Incapacitation***

199 Several methods have been proposed for disabling or killing lice—from egg to adult stages—
200 in or around sea cages. These include ultrasonic cavitation (Alevy 2017; Skjelvareid *et al.*
201 2018; Svendsen *et al.* 2018), direct current electricity (Bredahl 2014) and irradiation with
202 short wavelength light (Barrett *et al.* 2020b, Barrett *et al.* 2020c). Some have demonstrated
203 efficacy at close range (Skjelvareid *et al.* 2018, Barrett *et al.* 2020b, Barrett *et al.* 2020c), but
204 it is currently unclear whether any such methods can be effective at commercial scale.

205 ***1.7 Louse population control***

206 Interventions to suppress louse populations outside the cage environment would require
207 careful consideration before deployment and must be specific to targeted louse species. Very
208 little work has been done in this area, but possible avenues may include the release of
209 parasites and pathogens that are specific to sea lice (Økland *et al.* 2014; Økland *et al.* 2018;
210 Øvergård *et al.* 2018), or CRISPR-based 'gene drives' (McFarlane *et al.* 2018; Noble *et al.*
211 2019).

212 **2. Reducing post-encounter infestation success**

213 ***2.1 Functional feeds***

214 Feeds that provide physiological benefits beyond basic nutritional requirements are termed
215 functional feeds and are increasingly prevalent in industrial fish farming (Tacchi *et al.* 2011).
216 Feed ingredients that modify the mucus layer or modulate skin immune responses may
217 reduce initial attachment success or facilitate effective immune responses against newly-

218 attached lice (Martin and Krol 2017). Functional feeds may also include ingredients that are
219 toxic or repellent to attached lice – these are not necessarily distinct from in-feed
220 chemotherapeutants, except that they tend to be derived from ‘natural’ sources (e.g. plant-
221 derived essential oils: Jensen *et al.* 2015). Functional feeds aimed at improving salmon louse
222 resistance are already commercially available (e.g. Shield, Skretting; Robust, EWOS/Cargill).
223 It will be important to test for any adverse effects of new functional feeds. For instance,
224 glucosinolates and beta-glucans have been shown to be effective for reducing louse
225 infestation (Refstie *et al.* 2010; Holm *et al.* 2016), but glucosinolates also have a range of
226 effects on liver, muscle and kidney function that would need to be investigated (Skugor *et al.*
227 2016). Hormonal treatments may also be effective at reducing louse infestation (Krasnov *et*
228 *al.* 2015), but preventative hormone treatments are likely to be perceived negatively by
229 consumers.

230 **2.2 Vaccines**

231 Vaccines against bacteria and viruses are increasingly widespread in fish farming. In Norway,
232 antibiotics have been almost entirely replaced by injectable multi-component oil-based
233 vaccines (Brudeseth *et al.* 2013), and there is increasing use of injected or orally administered
234 vaccines in North America and Chile (Brudeseth *et al.* 2013). However, to our knowledge
235 there is currently only one (partially effective) vaccine available for sea lice (*C.*
236 *rogercresseyi*: Providean Aquatec Sea Lice, Tecnovax). While there are no in-principle
237 barriers, the development of vaccines for ectoparasites is technically challenging; despite the
238 identification of numerous vaccine targets in a range of ectoparasites, the cattle tick
239 (*Rhipicephalus microplus*) remains the only ectoparasite with a highly effective vaccine
240 (Stutzer *et al.* 2018).

241 Successful development of a recombinant or DNA vaccine would allow cost-effective
242 production and delivery (Raynard *et al.* 2002; Sommerset *et al.* 2005; Brudeseth *et al.* 2013).
243 Potential vaccines exist at various stages of development, from localisation of candidate
244 antigens in lice (Roper *et al.* 1995), demonstration of antibody production in response to
245 inoculation with louse extracts (Reilly and Mulcahy 1993), and use of recombinant proteins
246 to vaccinate salmon in tank trials (Carpio *et al.* 2011; Carpio *et al.* 2013; Basabe *et al.* 2014;
247 Contreras *et al.* 2020). Recently, RNA interference has been used to knock down candidate
248 vaccine targets and assess potential efficacy through challenge experiments (Eichner *et al.*
249 2014; Eichner *et al.* 2015; Komisarczuk *et al.* 2017).

250

2.3 *Breeding for louse resistance*

251 Variation in louse resistance is considerable among Atlantic salmon and has a heritable
252 component (Glover *et al.* 2005; Kolstad *et al.* 2005; Gjerde *et al.* 2011; Tsai *et al.* 2016;
253 Holborn *et al.* 2019), indicating that there is sufficient additive genetic variation for selective
254 breeding. Observed variation in louse resistance is probably due to differences in expression
255 of both host cues and immune responses (Holm *et al.* 2015). Decades of selective breeding
256 has resulted in much higher growth rates for farmed salmonid strains (Gjedrem *et al.* 2012)
257 and increased resistance to some diseases (Leeds *et al.* 2010; Ødegård *et al.* 2018; Storset *et*
258 *al.* 2007; reviewed by Robinson *et al.* 2017). More recently, the development of high-
259 throughput single nucleotide polymorphism (SNP) genotyping technology has enabled
260 relatively rapid and affordable genomic selection and fine mapping of quantitative trait loci
261 associated with disease resistance.

262 Quantitative trait loci explaining between 6-13% of the genetic variation in sea louse
263 resistance (louse density on fish) have been detected in North American and Chilean
264 populations of Atlantic salmon (Rochus *et al.* 2018; Robledo *et al.* 2019). Salmon families
265 with greater resistance to sea lice show upregulation of several immune pathway and pattern
266 recognition genes compared to more susceptible families (Robledo *et al.* 2018), and the two
267 major breeding companies in Norway (AquaGen and SalmoBreed) offer salmon lines that
268 have been selected using marker assisted selection or genomic selection for sea louse
269 resistance. Use of genomic selection has been shown to increase the accuracy of selection for
270 sea louse resistance by up to 22% (Tsai *et al.* 2016; Correa *et al.* 2017), and two generations
271 of genomic selection focused on just sea louse resistance led to a 40-45% reduced sea louse
272 infestation compared to unselected fish (Ødegård *et al.* 2018).

273 Other possible approaches for improving sea louse resistance in Atlantic salmon include
274 hybridisation of Atlantic salmon with more louse-resistant salmonid species (Fleming *et al.*
275 2014), genetic modification of Atlantic salmon with immune genes from other salmonids, or
276 use of gene editing to modify protein function or regulate the expression of genes affecting
277 resistance. In the case of hybridisation or any genetic modification, the effect on other
278 production traits would need to be assessed before hybrids or edited fish are used by the
279 industry. Gene editing approaches have high potential (Gratacap *et al.* 2019), but successful
280 implementation depends on knowing which genes to modify to have the desired effect, on
281 developing effective methods for implementing and spreading the gene edits through the

282 breeding population, and on the acceptability of the use of the technology by the general
283 public and government.

284

285 **EFFICACY OF PREVENTATIVE METHODS**

286 To assess the state of knowledge on the efficacy of preventative methods, we conducted a
287 systematic review and meta-analysis of published studies pertaining to preventative methods.
288 To find relevant studies, we searched ISI Web of Science, Scopus and Google Scholar in
289 February 2020 using the following search string: *(aquacult* OR farm*) AND (salmon* or*
290 *Salmo) AND (lice OR louse OR salmonis OR Caligus)*. We also discovered additional studies
291 referenced within articles returned by the search string. Together, our searches returned
292 >1200 peer-reviewed articles, technical reports and patents relevant to lice and salmon
293 aquaculture, of which 141 provided evidence on the efficacy of preventative methods and
294 were included in the review.

295 Studies that provided relevant response variables were included in a meta-analysis, allowing
296 the comparison of effect sizes across the range of preventative approaches. For inclusion,
297 studies were required to provide empirical measures of relative louse infestation densities for
298 treatment groups (preventative methods used) and control groups (no preventative methods
299 used). Studies that applied treatments to lice but did not directly test for effects on infestation
300 were not included. Effect sizes were standardised using the natural log of the response ratio:
301 $\ln RR = \ln(\mu_T/\mu_C)$, where μ_T is the treatment group response and μ_C is the control group
302 response. In most cases, response variables were either mean or median attached lice per fish.
303 Where a study tested multiple qualitatively different treatments, each treatment was
304 considered a replicate comparison in the meta-analysis. Where there were several
305 qualitatively similar treatments (e.g. a range of doses of the same substance) the strongest
306 treatment was included in the meta-analysis. Epidemiological studies typically did not have
307 clear control or treatment groups; in such cases, the area or condition with the highest louse
308 density was designated as the control group for the purposes of calculating a response ratio;
309 this practice may inflate average effect sizes.

310 A total of 41 articles provided 98 comparisons that met the criteria for inclusion in the meta-
311 analysis. For each preventative approach, we calculated a median effect size. When
312 calculating a median effect, weighting studies according to their sample size can reduce bias.
313 However, this was difficult in practice due to inconsistent definition of units of replication

314 and therefore sample size across studies. Given this, we applied weightings to studies within
315 each preventative approach (except vaccination, breeding and functional feed approaches,
316 which are usually challenge tested in tanks) according to the scale or level of evidence of the
317 experiment (in descending order of relative weights, level A: multiple farm experiment – 1.0;
318 level B: experiment in full size sea cages at a single site – 0.8; level C: experiment in small
319 sea cages at a single site – 0.6, level D: observational/epidemiology – 0.4; level E:
320 experiment in tanks – 0.2).

321 To allow a visual assessment of potential publication bias, we produced a ‘funnel plot’ in
322 which study effect sizes are fitted against the precision (1/SE) of the effect. This is based on
323 sample size as defined by the study authors, or else the best available approximation.
324 Precision is typically increased by sample size and/or experimental power, and typically, in a
325 field without publication bias, the average direction and size of effect should not vary
326 systematically with study precision (Hedges *et al.* 1999; Nakagawa *et al.* 2017).

327 **Which preventative methods are most effective against sea lice?**

328 Comparison of response ratios revealed high variability in effect sizes among trials of
329 preventative methods (Fig. 2), but evidence from sea cage trials indicates that barrier
330 technologies can drive the largest and most consistent reductions in louse infestation levels
331 (weighted median 78% reduction, range 8% increase to 99% reduction, n = 13 ; Fig. 2).
332 Efficacy of specific barrier technologies appeared to be related to the extent of coverage:
333 skirts were moderately effective (median 55% reduction, range 30-81%, n = 2), snorkels were
334 highly effective (median 76% reduction, range 8% increase to 95% reduction, n = 9), and in
335 the sole closed containment study (Nilsen *et al.* 2017), infestations were almost entirely
336 avoided (98–99.7% reduction).

337 Approaches utilising manipulation of salmon swimming depth offered variable outcomes, but
338 with strong effects in certain situations (weighted median 26% reduction, range 72% increase
339 to 93% reduction, n = 11; Fig. 2). Geographic spatiotemporal management of farming effort
340 (or related variables such as simulated current speed: Samsing *et al.* 2015) had similarly
341 variable effects (weighted median 13% reduction, range 81% increase to 73% reduction, n =
342 14; Fig. 2). Functional feeds tended to have small but beneficial effects on sea louse
343 infestations (median 24% reduction, range 108% increase to 67% reduction, n = 32: Fig. 2),
344 as do published vaccine trial results (median 4% reduction, range 20% increase to 57%
345 reduction). Notably, deployment of multiple preventative methods in combination with

346 cleaner fish had highly variable effects in three published studies using replicated modern
347 commercial sea cages (weighted median 9% reduction, range 143% increase to 49%
348 reduction, n = 5: Bui *et al.* 2019b; Bui *et al.* 2020; Gentry *et al.* 2020).

349 Several potential preventative approaches have seen little effort to test their effects on
350 infestation rates. The use of repelling non-host cues was effective in one small-scale cage
351 study (53-74% reduction, n = 3: Hastie *et al.* 2013), as was filtering of copepodids using
352 oyster racks ((32% reduction: Byrne *et al.* 2018) or light traps (12% reduction: Pahl *et al.*
353 1999), and the incapacitation of lice using electric fences (78% reduction: Bredahl 2014) and
354 ultrasonic cavitation (37% increase to 39% decrease: Skjelvareid *et al.* 2018).

355 Efficacy of selective breeding for louse resistance should be interpreted with a long-term
356 view. Iterative improvements tend to be small-moderate but can lead to large genetic gain
357 over generations (Yanez *et al.* 2014; Gjedrem 2015), especially if genomic or marker assisted
358 selection for sea louse resistance is given a high weighting in the overall breeding index
359 (Ødegård *et al.* 2018). Estimates of heritability in louse resistance are moderate to high
360 depending on the method used (range 0.07-0.35: e.g. Gjerde *et al.* 2011; Glover *et al.* 2005;
361 Houston *et al.* 2014; Holborn *et al.* 2019), indicating that there is sufficient heritable variation
362 available for genetic improvement.

363 **Is the evidence base representative and robust?**

364 Most preventative approaches have only been assessed a few times. Among the 41 articles
365 that met the criteria for inclusion in the meta-analysis, 7 provided data on efficacy of barrier
366 technologies, 6 on manipulation of swimming depth, 1 on breeding, 13 on functional feeds, 2
367 on incapacitation, 2 on repellents or cue-masking, 5 on geographic spatiotemporal
368 management, 2 on trapping and filtering, and 3 on candidate vaccines. Most articles (n = 38)
369 were primarily concerned with salmon lice *L. salmonis* (i.e. those in Europe and North
370 America), while the remaining 3 articles targeted prevention of sea lice *C. rogercresseyi* (i.e.
371 those in Central or South America). All tested efficacy using Atlantic salmon.

372 Levels of evidence ranged widely: Barrier technologies had the most rigorous evidence base,
373 with multiple studies with evidence levels from A-C (Fig. 2). Evidence levels should be
374 considered when interpreting estimated efficacy, as preventative approaches may vary in their
375 scalability to commercial sea cages (e.g. viability of methods to filter or trap copepodids are
376 likely to be highly dependent on water volume).

377 Units of replication also varied widely between studies, from individual fish to tanks, sea
378 cages or farms. 51 out of 98 comparisons treated individual fish as replicates, in most cases
379 resulting in a pseudoreplicated design as individuals were kept within a comparatively small
380 number of tanks or cages (often <3 tanks or cages per group). We recommend that where
381 fish are treated as replicates, the number of tanks or cages should also be reported, and mixed
382 effects statistical methods employed to account for non-independence between fish held
383 within the same tank or cage (Harrison *et al.* 2018).

384 Finally, the meta-analysis revealed possible evidence for publication bias, with fewer studies
385 than expected present in the area of the plot corresponding to low precision and negative
386 findings (Fig. 3). In other words, the funnel plot indicates that among studies with small
387 sample sizes and/or highly variable data, those with positive results regarding efficacy of a
388 preventative method were more likely to be published. Not publishing negative findings can
389 (a) artificially inflate estimates of efficacy when averaging across studies, and (b) lead
390 researchers to waste resources testing methods that have already been found to be ineffective,
391 perhaps multiple times. Accordingly, it is important that researchers and managers are aware
392 of the potential for publication bias when considering the evidence for novel louse
393 management strategies (whether preventative or otherwise). The prevalence of publication
394 bias is likely to be influenced by the type of study and preventative method. For example,
395 tests of barrier technologies and swimming depth manipulation are generally conducted in sea
396 cages, and given the effort and cost involved, results are perhaps more likely to be published
397 in full. Other approaches may be inherently more susceptible to publication bias, for example
398 when a large range of substances or doses are tested in the early stages of a study and only
399 those that are reasonably successful are reported.

400

401 **THE NEW PARADIGM: A FOCUS ON PREVENTATIVE METHODS AGAINST** 402 **SEA LICE**

403 The evidence base demonstrates that effective implementation of preventative methods can
404 reduce infestation pressure within sea cages and therefore reduce the need for louse control.
405 A prevention-focused louse management paradigm may lead to several key benefits:

406 (1) Most preventative methods have small if any impacts on non-target organisms (like
407 mechanical and thermal delousing methods, but unlike some common chemotherapeutants:
408 BurrIDGE *et al.* 2010; Taranger *et al.* 2015).

409 (2) Delousing treatments cause stress and injury to stock, leading to welfare concerns and
410 production losses from reduced growth, higher mortality and a lower quality product
411 (Overton *et al.* 2018). By focusing on avoiding encounters and reducing initial infestation
412 success, preventative methods may be targeted at infective louse stages without also
413 impacting host fish (Fig. 4). Conversely, some preventative methods can selectively target
414 host traits to improve innate resistance (Fig. 4), such as promoting parasite avoidance
415 behaviour via behavioural manipulation or immune function via functional feeds and
416 selective breeding.

417 (3) Multiple preventative methods can be deployed together and on a continuous basis,
418 although specific combinations should be trialled first (Bui *et al.* 2020; Gentry *et al.* 2020).
419 This contrasts with current louse control methods, which are less amenable to being used in
420 combination (for example, cleaner fish should not be subjected to mechanical delousing
421 along with the salmon). The technical ability already exists to place farms strategically to
422 minimise connectivity (Samsing *et al.* 2019), and salmon with higher louse resistance are
423 already being stocked by some farms in combination with barrier technologies (primarily
424 skirts) and/or functional feeds for louse resistance. Effective use of multiple preventative
425 methods in combination could reduce louse densities by orders of magnitude without
426 negative effects on fish welfare, although as with any control strategy, potential welfare
427 concerns (e.g. those arising from holding salmon at depth) should be tested and mitigated
428 prior to widespread deployment. Vaccines may eventually result in even greater additive
429 reductions in louse densities.

430

431 **MAINTAINING LONG-TERM EFFICACY**

432 Host-parasite interactions are subject to a coevolutionary arms race in which organisms must
433 constantly evolve to keep up with the coevolution occurring in opposing organisms (i.e. the
434 Red Queen hypothesis: Hamilton *et al.* 1990). Most lice never encounter a potential host, and
435 those that do will likely only have one opportunity to attach. This could precipitate strong
436 selective pressures, and because farmed salmon represent the majority of available hosts for
437 lice in some regions (especially in the north-east Atlantic), louse control interventions on
438 farms are likely to exert directional selection pressure on louse populations wherever certain
439 genotypes are favoured over others. Evolution of resistance occurred relatively quickly in
440 response to chemical delousing (global reviews: Aen *et al.* 2015; Gallardo-Escárate *et al.*

441 2019) and presently remains high (Helgesen *et al.* 2018), although in areas where wild
442 salmonids are abundant, flow of susceptible genes from lice on wild hosts may help to
443 maintain treatment efficacy (Kreitzman *et al.* 2017).

444 It is currently unclear whether preventative methods will be similarly vulnerable to the
445 evolution of resistance in lice, but some methods will likely create suitable conditions. For
446 example, barrier technologies that span the surface layers (e.g. 0-10 m) may select for lice
447 that preferentially swim deeper. Potential for evolution will depend on many factors
448 including the heritability of the resistance to the preventative treatment in lice, the levels of
449 genetic variation existing in the louse population, the intensity of selection, treatment season,
450 frequency and geographic locations, prevailing currents and tides (louse dispersal) and the
451 biological complexity of the preventative mechanism. Nonetheless, the preventative
452 paradigm does have the advantage of a diversity of methods that may disrupt directional
453 selection for resistance to a given method. Research is needed to outline the best way
454 forward, but management strategies to slow the evolution of resistance to preventative
455 methods should heed lessons from other systems (e.g. antibiotic resistance in human
456 medicine: Raymond 2019). Potential strategies to slow the evolution of resistance to
457 preventative methods may include:

458 (1) Continuing to delouse when necessary. Effective use of preventative methods will greatly
459 reduce the required frequency of delousing, but periodic delousing will hamper the genetic
460 proliferation of any lice that successfully infest stock.

461 (2) Deployment of multiple methods in combination to counteract directional selection. For
462 example, combining skirts or snorkels with non-depth-specific methods such as functional
463 feeds or spatial management may reduce directional selection for louse swimming depth.

464 (3) Planning of spatial ‘firebreaks’ whereby farms are removed or fallowed at strategic areas
465 to minimise louse population connectivity, thus reducing reinfestation rates and potentially
466 slowing the spread of resistant genotypes between farming areas (Besnier *et al.* 2014;
467 Samsing *et al.* 2017; Samsing *et al.* 2019).

468 (4) Ongoing selective breeding for louse-resistant salmon lineages to ensure that genetic
469 gains are not lost through random genetic drift. Using current cohorts of wild sea lice when
470 calibrating breeding value predictions for each generation will help to ensure that genetic
471 gains continue to be relevant and account for any evolutionary developments in the louse
472 population. Like other vertebrates, salmon have a complex immune system and biology,

473 which should provide a range of potential defence options against parasites. Genomic
474 selection probably affects a number of biological processes in the fish, and sea lice would
475 therefore need to have sufficient genetic variability to be able to successfully adapt and
476 counter the genomic selection. Development of multiple louse-resistant salmon strains may
477 dampen directional selection for corresponding adaptation in louse populations.

478 Conversely, preventative methods could be utilised in a way that promotes evolution of
479 certain resistant traits (such as deeper swimming) in order to increase specificity of louse
480 populations to salmon in farming environments, and therefore reduce infestation pressure on
481 wild salmon. Modelling is needed to determine whether such an approach could prove
482 beneficial in decoupling encounters between farm-derived lice and wild salmonids.

483

484 **CONCLUSIONS**

485 Effective use of barrier technologies such as skirts, snorkels, or closed containment, coupled
486 with supplementary preventative methods may make delousing treatments unnecessary at
487 many sites, while high-risk locations may require additional management and regulation.
488 Breeding of louse-resistant salmon has begun; heritable variation exists, and cumulative
489 improvements are reducing susceptibility to lice in some salmon lineages. The successful
490 development of an effective vaccine would also be an important advance. In general,
491 preventative methods are preferable to reactive delousing, and moving towards a prevention-
492 focused paradigm on Atlantic salmon farms may yield significant improvements in fish
493 welfare and productivity, while avoiding significant environmental impacts.

494

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500

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TABLES

Table 1. Studies that assessed efficacy of preventative methods against louse infestation in Atlantic salmon. Effect sizes given are raw response ratios (treatment/control group) for louse infestation densities. Smaller values indicate more effective prevention. Where a study includes multiple treatment levels, the effect size range is given.

<i>METHOD</i>	<i>EFFECT SIZE (T/C)</i>	<i>STUDY TYPE</i>	<i>STUDY ENVIRONMENT</i>	<i>STUDY LOCATION</i>	<i>FOCAL LOUSE</i>	<i>NOTES</i>	<i>REFERENCE</i>
1.1 Barrier technologies							
<i>Snorkel cages</i>	0.57	Sea cage trial	Small cage	Norway	<i>L. salmonis</i>		Stien <i>et al.</i> 2016
	0.05–0.37	Sea cage trial	Small cage	Norway	<i>L. salmonis</i>		Oppedal <i>et al.</i> 2017
	0.17	Sea cage trial	Large cage	Norway	<i>L. salmonis</i>		Wright <i>et al.</i> 2017
	0.24	Sea cage trial	Large cage	Norway	<i>L. salmonis</i>		Geitung <i>et al.</i> 2019
	0.36–1.08	Sea cage trial	Small cage	Norway	<i>L. salmonis</i>		Oppedal <i>et al.</i> 2019
<i>Skirts</i>	0.70	Sea cage trial	Multi farm	Norway	<i>L. salmonis</i>		Grøntvedt <i>et al.</i> 2018
	0.19	Sea cage trial	Large cage	Norway	<i>L. salmonis</i>		Stien <i>et al.</i> 2018
<i>Closed containment</i>	0.00–0.02	Sea cage trial	Multi farm	Norway	<i>L. salmonis</i>		Nilsen <i>et al.</i> 2017
1.2 Manipulation of swimming depth							
<i>Forced submergence</i>	0.08–1.72	Sea cage trial	Small cage	Norway	<i>L. salmonis</i>		Hevrøy <i>et al.</i> 2003
	0.31–0.45	Sea cage trial	Large cage	UK	<i>L. salmonis</i>		Frenzl <i>et al.</i> 2014
	1.09	Sea cage trial	Large cage	Norway	<i>L. salmonis</i>		Nilsson <i>et al.</i> 2017
	0.28	Sea cage trial	Small cage	Norway	<i>L. salmonis</i>		Sievers <i>et al.</i> 2018
	0.70	Sea cage trial	Small cage	Norway	<i>L. salmonis</i>		Glaropoulos <i>et al.</i> 2019
<i>Deep lights/feeding</i>	0.74	Sea cage trial	Large cage	UK	<i>L. salmonis</i>		Lyndon and Toovey 2000

1.3 Geographic spatiotemporal management

<i>Location</i>	NA	Challenge trial	Tank	UK	<i>L. salmonis</i>	Salinity	Genna <i>et al.</i> 2005)
	0.45–0.93	Epidemiology	Multi farm	Chile	<i>C. rogercresseyi</i>	Various risk factors	Zagmutt-Vergara <i>et al.</i> 2005
	0.27–0.88	Epidemiology	Multi farm	Canada	<i>L. salmonis</i>	Spatial risk factors	Saksida <i>et al.</i> 2007
	0.48–0.58	Epidemiology	Multi farm	Chile	<i>C. rogercresseyi</i>	Spatial risk factors	Kristoffersen <i>et al.</i> 2013
<i>Current speed</i>	NA	Challenge trial	Tank	UK	<i>L. salmonis</i>		Genna <i>et al.</i> 2005
	0.40–1.00	Challenge trial	Tank	Norway	<i>L. salmonis</i>		Samsing <i>et al.</i> 2015
<i>Following</i>	NA	Epidemiology	Multi farm	UK	<i>L. salmonis</i>	Louse accumulation	Bron <i>et al.</i> 1993
	1.05–1.81	Epidemiology	Multi farm	Norway	<i>L. salmonis</i>	Louse accumulation	Guarracino <i>et al.</i> 2018
<i>Firebreaks</i>	NA	Modelling	Multi farm	Norway	<i>L. salmonis</i>	Dispersal modelling	Samsing <i>et al.</i> 2019

1.4 Filtering and trapping

<i>Light traps</i>	0.88	Sea cage trial	Small cage	USA	<i>L. salmonis</i>		Pahl <i>et al.</i> 1999
<i>Filtering</i>	0.68	Sea cage trial	Large cage	Canada	<i>L. salmonis</i>	Oyster racks	Byrne <i>et al.</i> 2018

1.5 Repellents and host cue masking

<i>In-water compounds</i>	0.26–0.47	Sea cage trial	Small cage	UK	<i>L. salmonis</i>		Hastie <i>et al.</i> 2013
<i>In-feed compounds</i>	None	-	-	-	-		No published studies
<i>Light modification</i>	0.93–1.08	Challenge trial	Tank	Norway	<i>L. salmonis</i>		Browman <i>et al.</i> 2004
	NA	Challenge trial	Tank	UK	<i>L. salmonis</i>		Genna <i>et al.</i> 2005
	NA	Challenge trial	Tank	Canada	<i>L. salmonis</i>		Hamoutene <i>et al.</i> 2016

1.6 Incapacitation							
<i>Electricity</i>	0.22	Sea cage trial	Small cage	Norway	<i>L. salmonis</i>	DC electric fence	Bredahl 2014
<i>Ultrasound</i>	0.61–1.37	Challenge trial	Tank	Norway	<i>L. salmonis</i>		Skjelvareid <i>et al.</i> 2018
<i>Irradiation</i>	None	-	-	-	-		No published studies
1.7 Louse population control							
<i>Pathogens</i>	None	-	-	-	-		No published studies
<i>Gene drives</i>	None	-	-	-	-		No published studies
2.1 Functional feeds							
<i>Immunomodulation</i>	0.56	Challenge trial	Tank	UK	<i>L. salmonis</i>	Nucleotides	Burrells <i>et al.</i> 2001
	0.61–1.09	Challenge trial	Tank	Canada	<i>L. salmonis</i>	Various additives	Covello <i>et al.</i> 2012
	0.48–1.31	Challenge trial	Small cage	Norway	<i>L. salmonis</i>	Various additives	Refstie <i>et al.</i> 2010
	0.70–0.81	Challenge trial	Tank	Canada	<i>L. salmonis</i>	Aquate, CpG	Poley <i>et al.</i> 2013
	0.73–0.85	Challenge trial	Tank	Norway	<i>L. salmonis</i>	Various additives	Provan <i>et al.</i> 2013
	0.84	Challenge trial	Tank	Canada	<i>L. salmonis</i>	CpG	Purcell <i>et al.</i> 2013
	0.80	Challenge trial	Tank	UK	<i>L. salmonis</i>	Various additives	Jensen <i>et al.</i> 2015
	0.48–0.67	Cage trial	Small cage	Norway	<i>L. salmonis</i>	Sex hormones	Krasnov <i>et al.</i> 2015
	0.78	Challenge trial	Tank	Chile	<i>C. rogercresseyi</i>	Various additives	Nunez-Acuna <i>et al.</i> 2015
	0.33–0.67	Challenge trial	Tank	Canada	<i>L. salmonis</i>	Peptidoglycan extract	Sutherland <i>et al.</i> 2017
	1.22	Sea cage trial	Large cage	Norway	<i>L. salmonis</i>	Skretting Shield (all cages had cleaner fish)	Bui <i>et al.</i> 2020
	2.08	Sea cage trial	Large cage	Norway	<i>L. salmonis</i>	Skretting Shield (all cages had cleaner fish)	Gentry <i>et al.</i> 2020
<i>Repellents/toxins</i>	0.83	Challenge trial	Tank	Norway	<i>L. salmonis</i>	Phytochemicals	Holm <i>et al.</i> 2016
2.2 Vaccination							

<i>Recombinant protein</i>	0.43	Challenge trial	Tank	Chile	<i>C. rogercresseyi</i>	my32 protein	Carpio <i>et al.</i> 2011
	0.45–0.47	Challenge trial	Tank	Norway	<i>L. salmonis</i>	my32 protein	Kumari Swain <i>et al.</i> 2018
	0.65–1	Challenge trial	Tank	Norway	<i>L. salmonis</i>	P33 protein offered strongest effect	Contreras <i>et al.</i> 2020
2.3 Breeding for louse resistance							
<i>Various</i>	0.65	Sea cage trial	Small cages	Norway	<i>L. salmonis</i>	Comparison of most resistant and susceptible families	Holm <i>et al.</i> 2015
Multiple methods	0.91	Sea cage trial	Multi farm	Norway	<i>L. salmonis</i>	All cages had cleaner fish	Bui <i>et al.</i> 2019b
	0.51	Sea cage trial	Large cage	Norway	<i>L. salmonis</i>	Functional feed + deep feeding and lighting (all cages had cleaner fish)	Bui <i>et al.</i> 2020
	0.79	Sea cage trial	Large cage	Norway	<i>L. salmonis</i>	Functional feed + deep feeding and lighting + skirt (all cages had cleaner fish)	Bui <i>et al.</i> 2020
	1.91	Sea cage trial	Large cage	Norway	<i>L. salmonis</i>	Functional feed + deep feeding and lighting (all cages had cleaner fish)	Gentry <i>et al.</i> 2020
	2.43	Sea cage trial	Large cage	Norway	<i>L. salmonis</i>	Functional feed + deep feeding and lighting + skirt (all cages had cleaner fish)	Gentry <i>et al.</i> 2020

FIGURE CAPTIONS

Figure 1. Sea louse infestation timepoints targeted by preventative methods and delousing treatments. Colours denote on-demand delousing (**red**), continuous delousing (**orange**) and preventative methods (**green**). Line drawings indicate the stage of louse predominantly affected by each method, L-R: larvae (nauplii and copepodids), sessile stages (chalimus I and II), and mobile stages (pre-adults and adults).

Figure 2. Distribution of effect sizes (natural log of the response ratio: $\ln RR$) across studies testing preventive methods. Studies are grouped by the type of preventative method tested (Approach). Points denote the effect size of each study, coloured by the level of evidence provided. Negative values for $\ln RR$ indicate an effective approach. $\ln RR = 0$ corresponds to no difference between control and treatment groups. Boxes indicate the median and 25-75% interquartile range of effect sizes from studies testing each approach.

Figure 3. Funnel plot of published effect sizes (natural log of the response ratio) of preventative methods against sea louse infestations on Atlantic salmon. Effect sizes are plotted against the precision of the experiment (inverse of the standard error). The absence of studies on the right side of the plot is suggestive of publication bias against negative findings. **Red** line indicates zero effect ($\ln RR = 0$), **orange** line indicates median effect size.

Figure 4. Conceptual diagram outlining: (A) the current delousing treatment-dominated paradigm for parasite control; (B) the new paradigm with a focus on prevention rather than treatment. **Red** arrows indicate management actions and how they are targeted (i.e. specificity, mediation). **Blue** arrows indicate supply of infective larvae (line thickness scales with number entering cages). **Black** arrows indicate host and parasite traits (line thickness scales with relative importance).

PRE-ENCOUNTER

SESSILE STAGES

MOBILE STAGES

Barriers

Behavioural manipulation

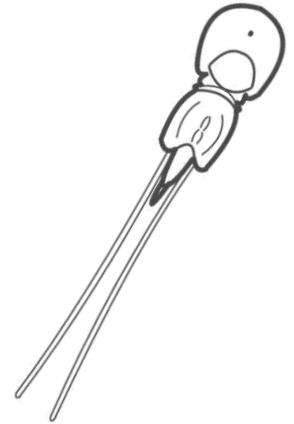
Spatiotemporal management

Repellents/masking

Filtering and trapping

Incapacitation

Gene drives



Breeding

Lice pathogens

Vaccines

Functional feeds (immunomodulants)

Chemical delousing (in-feed or bath)

Fresh or brackish water delousing

Mechanical delousing

Thermal delousing

Cleaner fish



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Approach

Multiple methods

Vaccination

Trapping and filtering

Incapacitation

Swimming depth

Geographic management

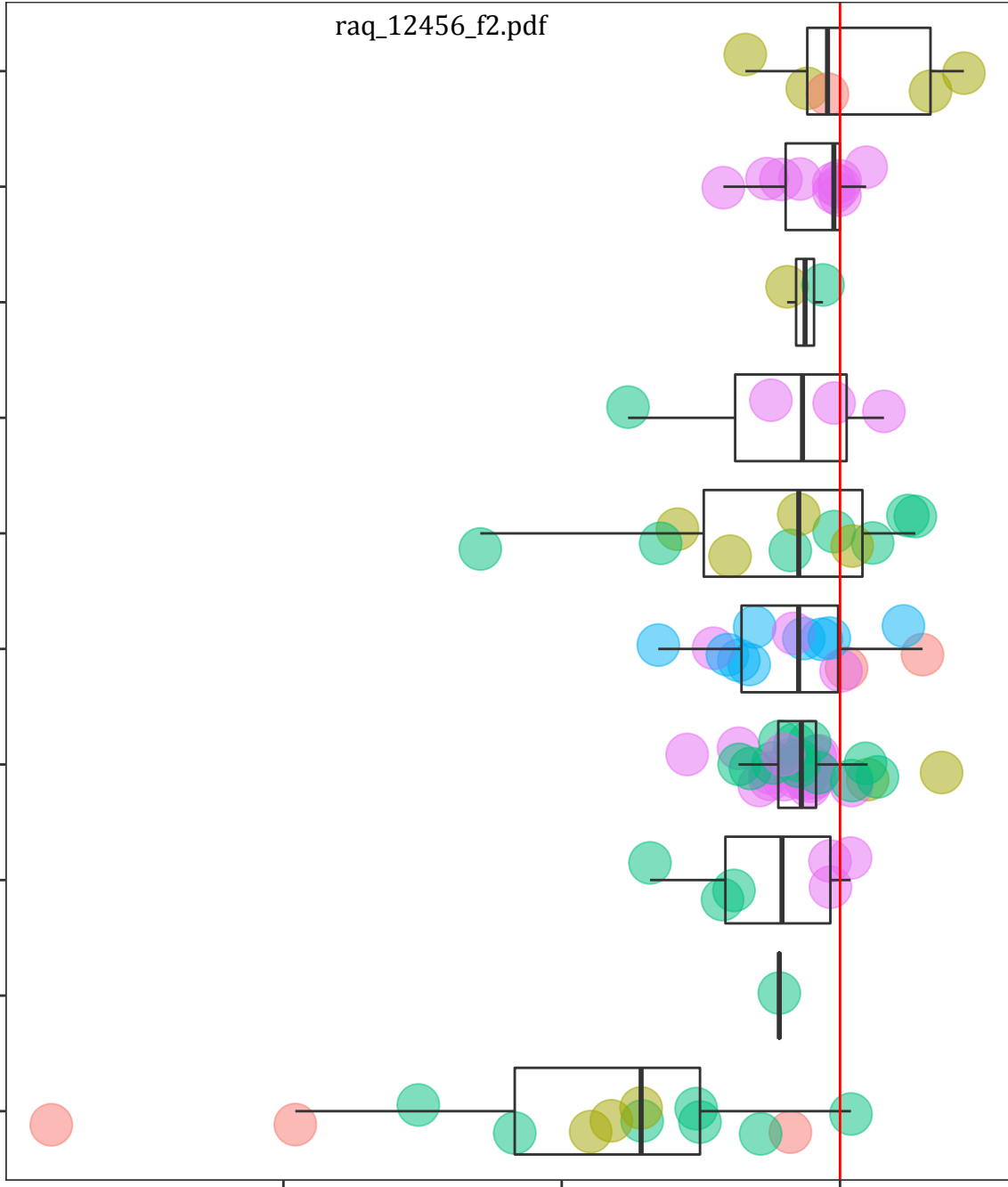
Functional feed

Repellents / masking

Breeding

Barrier technologies

- A: Multiple farm exp
- B: Large cage exp
- C: Small cage exp
- D: Epidemiology
- E: Tank exp



-4 -2 0

Effect size (lnRR)

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Precision (1/SE)

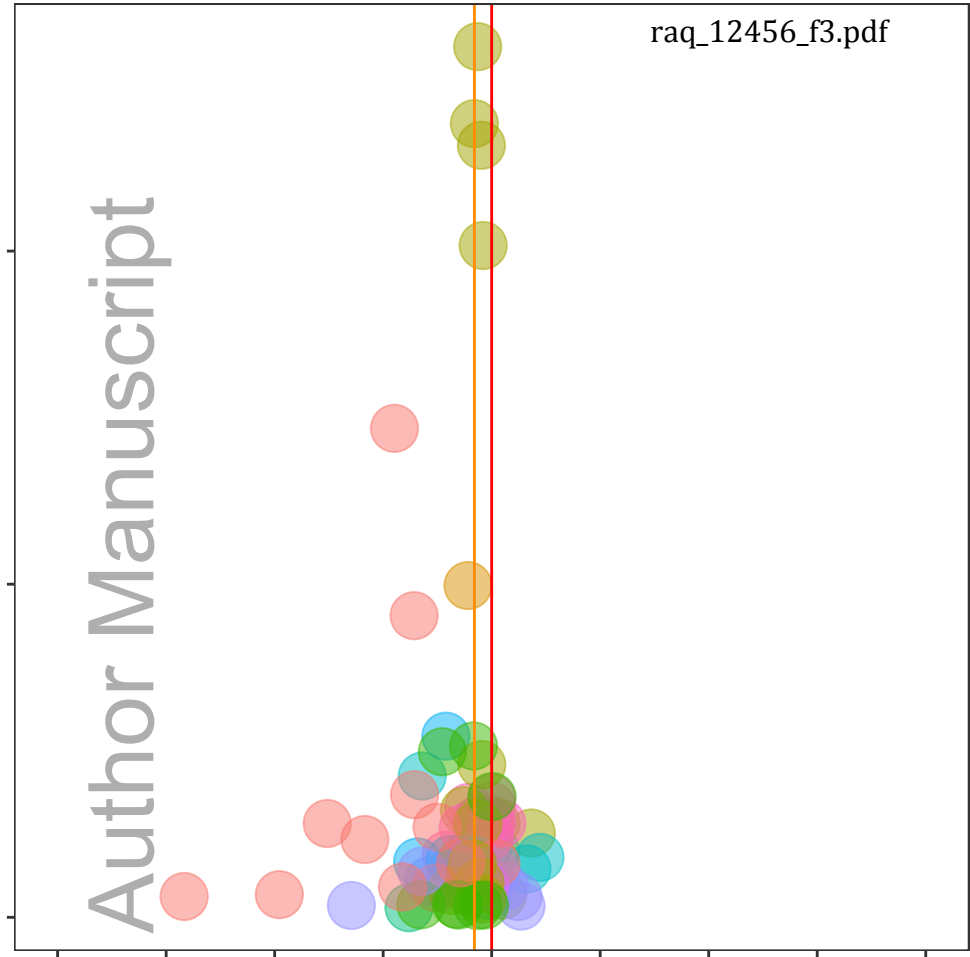
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-8 -6 -4 -2 0 2 4 6 8

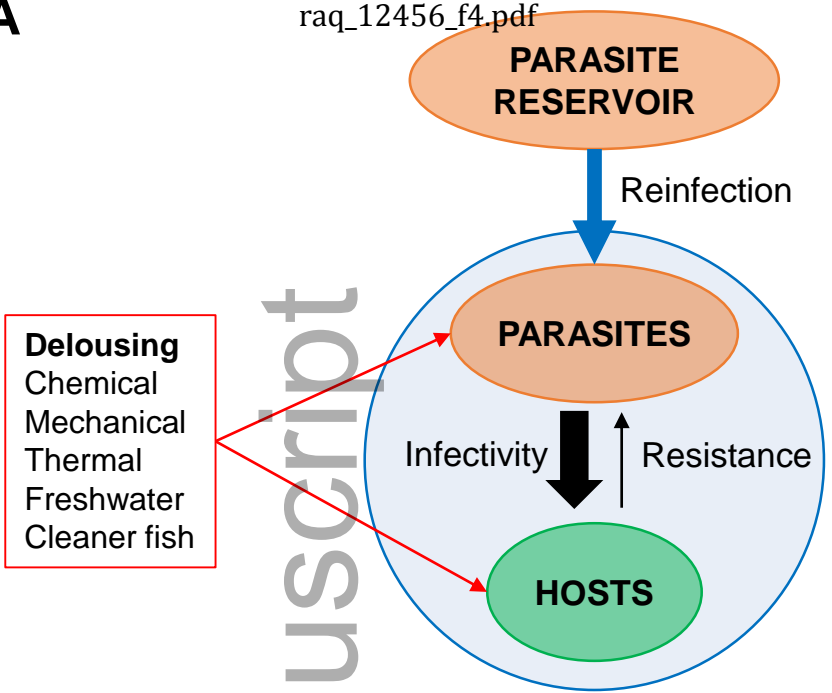
Effect size (lnRR)

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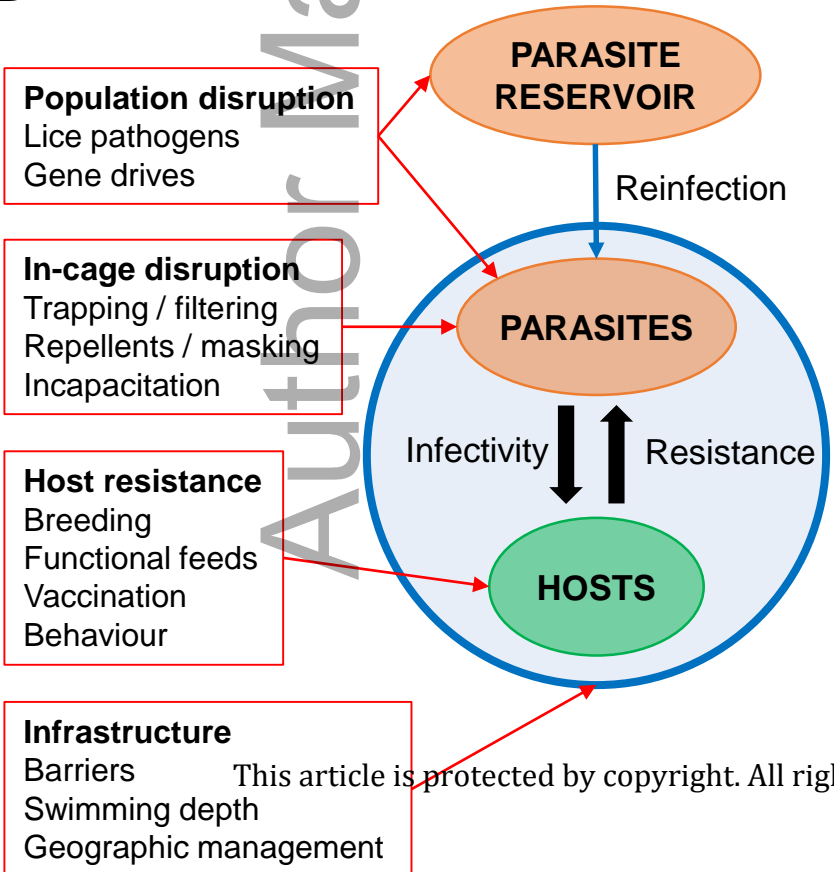
- Barrier technologies
- Breeding
- Functional feed
- Geographic management
- Incapacitation
- Multiple methods
- Repellents / masking
- Swimming depth
- Trapping and filtering
- Vaccination



A



B





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Author/s:

Barrett, LT;Oppedal, F;Robinson, N;Dempster, T

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