



Probabilistic Methods in Combinatorics Lecture 4

Linyuan Lu

University of South Carolina

Mathematical Sciences Center at Tsinghua University
November 16, 2011 – December 30, 2011



Hamiltonian Paths

Let $P(n)$ be the maximum possible number of Hamiltonian paths in a tournament on n vertices.



Hamiltonian Paths

Let $P(n)$ be the maximum possible number of Hamiltonian paths in a tournament on n vertices.

Szele [1943] proved

$$\frac{1}{2} \leq \lim_{n \rightarrow \infty} \left(\frac{P(n)}{n!} \right)^{1/n} \leq \frac{1}{2^{3/4}}.$$

He conjecture that $\lim_{n \rightarrow \infty} \left(\frac{P(n)}{n!} \right)^{1/n} = \frac{1}{2}$.



Hamiltonian Paths

Let $P(n)$ be the maximum possible number of Hamiltonian paths in a tournament on n vertices.

Szele [1943] proved

$$\frac{1}{2} \leq \lim_{n \rightarrow \infty} \left(\frac{P(n)}{n!} \right)^{1/n} \leq \frac{1}{2^{3/4}}.$$

He conjecture that $\lim_{n \rightarrow \infty} \left(\frac{P(n)}{n!} \right)^{1/n} = \frac{1}{2}$.

This conjecture was proved by Alon in 1990.



Hamiltonian Paths

Let $P(n)$ be the maximum possible number of Hamiltonian paths in a tournament on n vertices.

Szele [1943] proved

$$\frac{1}{2} \leq \lim_{n \rightarrow \infty} \left(\frac{P(n)}{n!} \right)^{1/n} \leq \frac{1}{2^{3/4}}.$$

He conjecture that $\lim_{n \rightarrow \infty} \left(\frac{P(n)}{n!} \right)^{1/n} = \frac{1}{2}$.

This conjecture was proved by Alon in 1990.

Theorem [Alon, 1990]: $P(n) \leq cn^{3/2} \frac{n!}{2^{n-1}}$.



Alon's proof

- $C(T)$: the number of directed Hamiltonian cycles of T .



Alon's proof

- $C(T)$: the number of directed Hamiltonian cycles of T .
- $F(T)$: the number of spanning graph (of T), whose indegree and outdegree are both 1 at each vertex.



Alon's proof

- $C(T)$: the number of directed Hamiltonian cycles of T .
- $F(T)$: the number of spanning graph (of T), whose indegree and outdegree are both 1 at each vertex.
- $A_T = (a_{ij})$: the adjacency matrix of T , where $a_{ij} = 1$ if $i \rightarrow j$ and 0 otherwise.



Alon's proof

- $C(T)$: the number of directed Hamiltonian cycles of T .
- $F(T)$: the number of spanning graph (of T), whose indegree and outdegree are both 1 at each vertex.
- $A_T = (a_{ij})$: the adjacency matrix of T , where $a_{ij} = 1$ if $i \rightarrow j$ and 0 otherwise.

$$F(T) = \text{per}(A_T) \leq \prod_{i=1}^n (r_i!)^{1/r_i}.$$

Here r_i is i -th row sum of A_T ; $\sum_{i=1}^n r_i = \binom{n}{2}$.



A convex inequality

Lemma: For every two integers a, b satisfying $b \geq a + 2 > a \geq 1$, we have

$$(a!)^{1/a} (b!)^{1/b} < ((a + 1)!)^{1/(a+1)} ((b - 1)!)^{1/(b-1)}.$$



A convex inequality

Lemma: For every two integers a, b satisfying $b \geq a + 2 > a \geq 1$, we have

$$(a!)^{1/a} (b!)^{1/b} < ((a + 1)!)^{1/(a+1)} ((b - 1)!)^{1/(b-1)}.$$

Proof: Let $f(x) = \frac{(x!)^{1/x}}{((x+1)!)^{1/(1+x)}}$. We need to show $f(a) < f(b - 1)$. It suffices to show $f(x - 1) < f(x)$.

$$((x - 1)!)^{1/(x-1)} ((x + 1)!)^{1/(1+x)} < (x!)^{2/x}.$$



A convex inequality

Lemma: For every two integers a, b satisfying $b \geq a + 2 > a \geq 1$, we have

$$(a!)^{1/a} (b!)^{1/b} < ((a + 1)!)^{1/(a+1)} ((b - 1)!)^{1/(b-1)}.$$

Proof: Let $f(x) = \frac{(x!)^{1/x}}{((x+1)!)^{1/(1+x)}}$. We need to show $f(a) < f(b - 1)$. It suffices to show $f(x - 1) < f(x)$.

$$((x - 1)!)^{1/(x-1)} ((x + 1)!)^{1/(1+x)} < (x!)^{2/x}.$$

Simplifying it, we get $\left(\frac{x^x}{x!}\right)^2 > \left(1 + \frac{1}{x}\right)^{x(x-1)}$.



A convex inequality

Lemma: For every two integers a, b satisfying $b \geq a + 2 > a \geq 1$, we have

$$(a!)^{1/a} (b!)^{1/b} < ((a + 1)!)^{1/(a+1)} ((b - 1)!)^{1/(b-1)}.$$

Proof: Let $f(x) = \frac{(x!)^{1/x}}{((x+1)!)^{1/(1+x)}}$. We need to show $f(a) < f(b - 1)$. It suffices to show $f(x - 1) < f(x)$.

$$((x - 1)!)^{1/(x-1)} ((x + 1)!)^{1/(1+x)} < (x!)^{2/x}.$$

Simplifying it, we get $\left(\frac{x^x}{x!}\right)^2 > \left(1 + \frac{1}{x}\right)^{x(x-1)}$.

It can be proved using $x! > \left(\frac{x+1}{2}\right)^x$ for $x \geq 2$. □



Proof of theorem

Observe that $\sum_{i=1}^n (r_i!)^{1/r_i}$ achieves the maximum when all r_i 's are almost equal. We get

$$F(T) \leq (1 + o(1)) \frac{\sqrt{\pi}}{\sqrt{2}e} n^{3/2} \frac{(n-1)!}{2^n}.$$



Proof of theorem

Observe that $\sum_{i=1}^n (r_i!)^{1/r_i}$ achieves the maximum when all r_i 's are almost equal. We get

$$F(T) \leq (1 + o(1)) \frac{\sqrt{\pi}}{\sqrt{2}e} n^{3/2} \frac{(n-1)!}{2^n}.$$

Construct a new tournament T' for T by adding a new vertex v , where the edges from v to T are oriented randomly and independently. Every Hamiltonian path in T can be extended to a Hamiltonian cycle in T' with probability $\frac{1}{4}$. We have

$$P(T) \leq \frac{1}{4} C(T') = O\left(n^{3/2} \frac{n!}{2^{n-1}}\right). \quad \square$$



Independence number

$\alpha(G)$: the maximal size of an independent set of a graph G .



Independence number

$\alpha(G)$: the maximal size of an independent set of a graph G .

Theorem [Caro (1979), Wei(1981)] $\alpha(G) \geq \sum_{v \in V} \frac{1}{d_v + 1}$.



Independence number

$\alpha(G)$: the maximal size of an independent set of a graph G .

Theorem [Caro (1979), Wei(1981)] $\alpha(G) \geq \sum_{v \in V} \frac{1}{d_v + 1}$.

Proof: Pick a random permutation σ on V . Define

$$I = \{v \in V : vw \in E \Rightarrow \sigma(v) < \sigma(w)\}.$$

Then I is an independent set.



Independence number

$\alpha(G)$: the maximal size of an independent set of a graph G .

Theorem [Caro (1979), Wei(1981)] $\alpha(G) \geq \sum_{v \in V} \frac{1}{d_v + 1}$.

Proof: Pick a random permutation σ on V . Define

$$I = \{v \in V : vw \in E \Rightarrow \sigma(v) < \sigma(w)\}.$$

Then I is an independent set.

Let X_v be the indicator random variable for $v \in I$.

$$\mathbb{E}(X_v) = \Pr(v \in I) = \frac{1}{d_v + 1}.$$

$$\alpha(G) \geq \mathbb{E}(|I|) = \sum_v \frac{1}{d_v + 1}.$$



Turán Theorem

Turán number $t(n, H)$: the maximum integer m such that there is a graph on n vertices containing no subgraph H .



Turán Theorem

Turán number $t(n, H)$: the maximum integer m such that there is a graph on n vertices containing no subgraph H .

Turán Theorem: For $n = km + r$ ($0 \leq r < k$),

$$t(n, K_{k+1}) = m^2 \binom{k}{2} + rm(k-1) + \binom{r}{2}.$$

The equality holds if and only if G is the complete k -partite graph with equitable partitions, denoted by $G_{n,k}$.



Dual version

For any $k \leq n$, let q, r satisfy $n = kq + r$, $0 \leq r < k$. Let $e = r \binom{q+1}{e} + (m - r) \binom{q}{2}$.



Dual version

For any $k \leq n$, let q, r satisfy $n = kq + r$, $0 \leq r < k$. Let $e = r \binom{q+1}{e} + (m - r) \binom{q}{2}$.

Dual version of Turán Theorem: If G has n vertices and e edges. Then $\alpha(G) \geq k$ and the equality holds if and only if $G = \bar{G}_{n,k}$.



Dual version

For any $k \leq n$, let q, r satisfy $n = kq + r$, $0 \leq r < k$. Let $e = r \binom{q+1}{e} + (m - r) \binom{q}{2}$.

Dual version of Turán Theorem: If G has n vertices and e edges. Then $\alpha(G) \geq k$ and the equality holds if and only if $G = \bar{G}_{n,k}$.

Proof: By Caro-Wei's theorem, $\alpha(G) \geq \sum_v \frac{1}{d_v+1}$.



Dual version

For any $k \leq n$, let q, r satisfy $n = kq + r$, $0 \leq r < k$. Let $e = r \binom{q+1}{e} + (m - r) \binom{q}{2}$.

Dual version of Turán Theorem: If G has n vertices and e edges. Then $\alpha(G) \geq k$ and the equality holds if and only if $G = \bar{G}_{n,k}$.

Proof: By Caro-Wei's theorem, $\alpha(G) \geq \sum_v \frac{1}{d_v + 1}$.

The minimum of $\sum_v \frac{1}{d_v + 1}$ is reached as the d_v as close together as possible.



Dual version

For any $k \leq n$, let q, r satisfy $n = kq + r$, $0 \leq r < k$. Let $e = r \binom{q+1}{e} + (n - r) \binom{q}{2}$.

Dual version of Turán Theorem: If G has n vertices and e edges. Then $\alpha(G) \geq k$ and the equality holds if and only if $G = \bar{G}_{n,k}$.

Proof: By Caro-Wei's theorem, $\alpha(G) \geq \sum_v \frac{1}{d_v + 1}$.

The minimum of $\sum_v \frac{1}{d_v + 1}$ is reached as the d_v as close together as possible. Since each clique contributes one, we have

$$\sum_v \frac{1}{d_v + 1} \geq k.$$



Dual version

For any $k \leq n$, let q, r satisfy $n = kq + r$, $0 \leq r < k$. Let $e = r \binom{q+1}{e} + (m - r) \binom{q}{2}$.

Dual version of Turán Theorem: If G has n vertices and e edges. Then $\alpha(G) \geq k$ and the equality holds if and only if $G = \bar{G}_{n,k}$.

Proof: By Caro-Wei's theorem, $\alpha(G) \geq \sum_v \frac{1}{d_v + 1}$.

The minimum of $\sum_v \frac{1}{d_v + 1}$ is reached as the d_v as close together as possible. Since each clique contributes one, we have

$$\sum_v \frac{1}{d_v + 1} \geq k.$$

When the equality holds, I is a constant. G can not contain an induced P_2 . Therefore $G = \bar{G}_{n,k}$.



History

- Mantel (1907): $t(n, K_3) = \lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil$.



History

■ **Mantel (1907):** $t(n, K_3) = \lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil$.

■ **Turán (1941):**

$$t(n, K_k) = |E(G_{n,k-1})| = \left(1 - \frac{1}{k-1} + o(1)\right) \binom{n}{2}.$$



History

- **Mantel (1907):** $t(n, K_3) = \lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil$.
- **Turán (1941):**
 $t(n, K_k) = |E(G_{n,k-1})| = (1 - \frac{1}{k-1} + o(1)) \binom{n}{2}$.
- **Erdős-Simonovits-Stone (1966):** If $\chi(H) > 2$, then
 $t(n, H) = (1 - \frac{1}{\chi(H)-1} + o(1)) \binom{n}{2}$.



History

- **Mantel (1907):** $t(n, K_3) = \lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil$.
- **Turán (1941):**
 $t(n, K_k) = |E(G_{n,k-1})| = (1 - \frac{1}{k-1} + o(1)) \binom{n}{2}$.
- **Erdős-Simonovits-Stone (1966):** If $\chi(H) > 2$, then
 $t(n, H) = (1 - \frac{1}{\chi(H)-1} + o(1)) \binom{n}{2}$.
- **Kővári-Sós-Turán (1954):** For $2 \leq r \leq s$,
 $t(n, K_{r,s}) < cs^{1/r} n^{2-1/r} + O(n)$.



History

- **Mantel (1907):** $t(n, K_3) = \lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil$.
- **Turán (1941):**
 $t(n, K_k) = |E(G_{n,k-1})| = (1 - \frac{1}{k-1} + o(1)) \binom{n}{2}$.
- **Erdős-Simonovits-Stone (1966):** If $\chi(H) > 2$, then
 $t(n, H) = (1 - \frac{1}{\chi(H)-1} + o(1)) \binom{n}{2}$.
- **Kővári-Sós-Turán (1954):** For $2 \leq r \leq s$,
 $t(n, K_{r,s}) < cs^{1/r} n^{2-1/r} + O(n)$.
- **Erdős-Bondy-Simonovits (1963,1974):**
 $t(n, C_{2k}) \leq ckn^{1+1/k}$.



Open conjectures

- **Conjecture:** for $r \geq 4$, $t(K_{r,r}) > cn^{2-1/r}$.



Open conjectures

- **Conjecture:** for $r \geq 4$, $t(K_{r,r}) > cn^{2-1/r}$.
- **Conjecture (\$100):** If H is a bipartite graph such that every induced subgraph has a vertex of degree $\leq r$, then $t(n, H) = O(n^{2-1/r})$.



Open conjectures

- **Conjecture:** for $r \geq 4$, $t(K_{r,r}) > cn^{2-1/r}$.
- **Conjecture (\$100):** If H is a bipartite graph such that every induced subgraph has a vertex of degree $\leq r$, then $t(n, H) = O(n^{2-1/r})$.
- **Conjecture:** $t(n, C_{2k}) \geq cn^{1+1/k}$ for $k = 4$ and $k \geq 6$.



Open conjectures

- **Conjecture:** for $r \geq 4$, $t(K_{r,r}) > cn^{2-1/r}$.
- **Conjecture (\$100):** If H is a bipartite graph such that every induced subgraph has a vertex of degree $\leq r$, then $t(n, H) = O(n^{2-1/r})$.
- **Conjecture:** $t(n, C_{2k}) \geq cn^{1+1/k}$ for $k = 4$ and $k \geq 6$.
- **Conjecture (\$250 for proof and \$100 for disproof:)**
Suppose H is a bipartite graph. Prove or disprove that $t(n, H) = O(n^{3/2})$ if and only if H does not contain a subgraph each vertex of which has degree > 2 .



Alteration method

Suppose that the “random” structure does not have all desired properties but many have a few “blemishes”. With a small alteration we remove the blemishes, giving the desired structures.



Ramsey number $R(r, r)$

Theorem: $R(r, r) > (1 + o(1)) \frac{1}{e} r 2^{r/2}$.



Ramsey number $R(r, r)$

Theorem: $R(r, r) > (1 + o(1)) \frac{1}{e} r 2^{r/2}$.

Proof: Color the edges of K_n in two colors with equal probability randomly and independently. Let X be the number of monochromatic K_r . Then

$$E(X) = \binom{n}{r} 2^{1 - \binom{r}{2}}.$$



Ramsey number $R(r, r)$

Theorem: $R(r, r) > (1 + o(1)) \frac{1}{e} r 2^{r/2}$.

Proof: Color the edges of K_n in two colors with equal probability randomly and independently. Let X be the number of monochromatic K_r . Then

$$E(X) = \binom{n}{r} 2^{1 - \binom{r}{2}}.$$

If $X < \frac{n}{2}$, then we can delete at most $\frac{n}{2}$ to destroy all monochromatic K_r . Thus, $R(r, r) > \frac{n}{2}$.



Ramsey number $R(r, r)$

Theorem: $R(r, r) > (1 + o(1)) \frac{1}{e} r 2^{r/2}$.

Proof: Color the edges of K_n in two colors with equal probability randomly and independently. Let X be the number of monochromatic K_r . Then

$$E(X) = \binom{n}{r} 2^{1 - \binom{r}{2}}.$$

If $X < \frac{n}{2}$, then we can delete at most $\frac{n}{2}$ to destroy all monochromatic K_r . Thus, $R(r, r) > \frac{n}{2}$.

This gives $R(r, r) > (1 + o(1)) \frac{1}{e} r 2^{r/2}$. □



Combinatorial geometry

- S : a set of n points in the unit square $[0, 1]^2$.
- $T(S)$: the minimum area of a triangle whose vertices are three distinct points of S .

Koml'os, Pintz, Szemer'edi (1982): There exists a set S of n points in the unit square such that $T(S) = \Omega\left(\frac{\log n}{n^2}\right)$.



Combinatorial geometry

- S : a set of n points in the unit square $[0, 1]^2$.
- $T(S)$: the minimum area of a triangle whose vertices are three distinct points of S .

Koml'os, Pintz, Szemer'edi (1982): There exists a set S of n points in the unit square such that $T(S) = \Omega\left(\frac{\log n}{n^2}\right)$.

Here we prove a weak result: $\exists S$ such that $T(S) \geq \frac{1}{100n^2}$.



Combinatorial geometry

- S : a set of n points in the unit square $[0, 1]^2$.
- $T(S)$: the minimum area of a triangle whose vertices are three distinct points of S .

Koml'os, Pintz, Szemer'edi (1982): There exists a set S of n points in the unit square such that $T(S) = \Omega\left(\frac{\log n}{n^2}\right)$.

Here we prove a weak result: $\exists S$ such that $T(S) \geq \frac{1}{100n^2}$.

Proof: Select $2n$ random points uniformly and independently from $[0, 1]^2$.

- P, Q, R : three random points.
- $\mu := \Delta PQR$: the area of PQR .



Proof

$$\Pr(x \leq |PQ| \leq x + \Delta x) \leq \pi(x + \Delta x)^2 - \pi x^2 \approx 2\pi x \Delta x.$$

If $\mu \leq \epsilon$, then R is in the region of a rectangle of width $\frac{4\epsilon}{x}$ and length at most $\sqrt{2}$.



Proof

$$\Pr(x \leq |PQ| \leq x + \Delta x) \leq \pi(x + \Delta x)^2 - \pi x^2 \approx 2\pi x \Delta x.$$

If $\mu \leq \epsilon$, then R is in the region of a rectangle of width $\frac{4\epsilon}{x}$ and length at most $\sqrt{2}$.

$$\Pr(\mu \leq \epsilon) \leq \int_0^{\sqrt{2}} (2\pi x) \left(\frac{4\sqrt{2}\epsilon}{x} \right) dx = 16\pi\epsilon.$$



Proof

$$\Pr(x \leq |PQ| \leq x + \Delta x) \leq \pi(x + \Delta x)^2 - \pi x^2 \approx 2\pi x \Delta x.$$

If $\mu \leq \epsilon$, then R is in the region of a rectangle of width $\frac{4\epsilon}{x}$ and length at most $\sqrt{2}$.

$$\Pr(\mu \leq \epsilon) \leq \int_0^{\sqrt{2}} (2\pi x) \left(\frac{4\sqrt{2}\epsilon}{x}\right) dx = 16\pi\epsilon.$$

Let X be the number of triangles with areas $< \frac{1}{100n^2}$.

$$\mathbb{E}(X) \leq \binom{2n}{3} \frac{16\pi}{100n^2} < n.$$



Proof

$$\Pr(x \leq |PQ| \leq x + \Delta x) \leq \pi(x + \Delta x)^2 - \pi x^2 \approx 2\pi x \Delta x.$$

If $\mu \leq \epsilon$, then R is in the region of a rectangle of width $\frac{4\epsilon}{x}$ and length at most $\sqrt{2}$.

$$\Pr(\mu \leq \epsilon) \leq \int_0^{\sqrt{2}} (2\pi x) \left(\frac{4\sqrt{2}\epsilon}{x}\right) dx = 16\pi\epsilon.$$

Let X be the number of triangles with areas $< \frac{1}{100n^2}$.

$$\mathbb{E}(X) \leq \binom{2n}{3} \frac{16\pi}{100n^2} < n.$$

Delete one vertex from each small triangle and leave at least n vertices. Now no triangle has area less than $\frac{1}{100n^2}$. \square



Ramsey number $R(k, t)$

Theorem: For any $0 < p < 1$, we have

$$R(k, t) > n - \binom{n}{k} p^{\binom{k}{2}} - \binom{n}{t} (1 - p)^{\binom{t}{2}}.$$



Ramsey number $R(k, t)$

Theorem: For any $0 < p < 1$, we have

$$R(k, t) > n - \binom{n}{k} p^{\binom{k}{2}} - \binom{n}{t} (1 - p)^{\binom{t}{2}}.$$

Proof: Color each edge independently in red or blue; the probability of being red is p while the probability of being blue is $1 - p$. Let X be the number of red K_k and Y be the number of blue K_t .

$$E(X) = \binom{n}{k} p^{\binom{k}{2}}$$

$$E(Y) = \binom{n}{t} (1 - p)^{\binom{t}{2}}.$$





Ramsey number $R(3, t)$



For $k = 3$, this alteration method gives $R(3, t) \geq \left(\frac{t}{\ln t}\right)^{3/2}$.



Ramsey number $R(3, t)$

For $k = 3$, this alteration method gives $R(3, t) \geq \left(\frac{t}{\ln t}\right)^{3/2}$.

The Lovasz Local Lemma gives $R(3, t) \geq \left(\frac{t}{\ln t}\right)^2$.



Ramsey number $R(3, t)$

For $k = 3$, this alteration method gives $R(3, t) \geq \left(\frac{t}{\ln t}\right)^{3/2}$.

The Lovasz Local Lemma gives $R(3, t) \geq \left(\frac{t}{\ln t}\right)^2$.

Best lower bound: **Kim (1995)** and best upper bound:
Shearer (1983).

$$\frac{ct^2}{\ln t} \leq R(3, t) \leq (1 + o(1)) \frac{t^2}{\ln t}.$$



Ramsey number $R(3, t)$

For $k = 3$, this alteration method gives $R(3, t) \geq \left(\frac{t}{\ln t}\right)^{3/2}$.

The Lovasz Local Lemma gives $R(3, t) \geq \left(\frac{t}{\ln t}\right)^2$.

Best lower bound: **Kim (1995)** and best upper bound: **Shearer (1983)**.

$$\frac{ct^2}{\ln t} \leq R(3, t) \leq (1 + o(1)) \frac{t^2}{\ln t}.$$

Before Shearer's result, **Ajtai-Komlós and Szemerédi (1980)** proved $R(3, t) \leq \frac{c't^2}{\ln t}$.

