# Production of Charmed Mesons in Z Decays 

The ALEPH Collaboration*


#### Abstract

The production of charmed mesons $\stackrel{(-)}{\mathrm{D}}^{0}, \mathrm{D}^{ \pm}$, and $\mathrm{D}^{* \pm}$ is studied in a sample of 478,000 hadronic Z decays. The production rates are measured to be $$
\begin{aligned} & \frac{\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{* \pm} \mathrm{X}\right)}{\Gamma_{\text {had }}}=0.187 \pm 0.015(\exp .) \pm 0.013(\mathrm{BR}), \\ & \frac{\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{ \pm} \mathrm{X}\right)}{\Gamma_{\text {had }}}=0.251 \pm 0.026(\exp .) \pm 0.025(\mathrm{BR}), \\ & \frac{\Gamma(\mathrm{Z} \rightarrow \stackrel{(-)}{\mathrm{D}} \mathrm{X})}{\Gamma_{\text {had }}}=0.518 \pm 0.052(\exp .) \pm 0.035(\mathrm{BR}), \end{aligned}
$$


where the errors from this analysis are separated from those coming from the D branching ratios ( BR ). The $\mathrm{D}^{* \pm}$ momentum distribution is extracted separately for $\mathrm{Z} \rightarrow \mathrm{c} \overline{\mathrm{c}}$ and $\mathrm{Z} \rightarrow$ $\mathrm{b} \overline{\mathrm{b}}$ events with the help of event shape variables. It is consistent with the prediction of the JETSET Monte Carlo program after adjustment of the charm fragmentation function. Constraining the shape of the $Z \rightarrow b \bar{b}$ contribution, the average fraction of the beam energy taken by a $\mathrm{D}^{*}$ meson produced in the fragmentation of a charm quark is extracted by a parametric fit to be $\left\langle X_{E}\right\rangle_{c}=0.495 \pm 0.011 \pm 0.007$. Evidence for $\mathrm{D}^{* * 0}\left(\mathrm{D}_{1}(2420)^{0} \mathrm{and} / \mathrm{or}\right.$ $\mathrm{D}_{2}^{*}(2460)^{0}$ ) production is found in the $\mathrm{D}^{* \pm} \pi^{\mp}$ channel, accounting for a fraction ( $18 \pm 5 \pm$ $2) \%$ of all $\mathrm{D}^{* \pm}$ production. The relative production of vector and pseudoscalar mesons is discussed, together with the possible effects of $\mathrm{D}^{* *}$ production. The c-quark forwardbackward Z-pole asymmetry is determined from that of high momentum $\mathrm{D}^{* \pm}$ to be

$$
A_{F B}^{0, c}=(7.7 \pm 4.4) \% .
$$

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## 1 Introduction

In hadronic $Z$ decays charmed mesons are expected to be produced either directly from the hadronization of charm quarks in the process $Z \rightarrow c \bar{c}$ or from the decay of $b$ hadrons produced in $Z \rightarrow b \bar{b}$ events, with an approximately equal rate. Charmed mesons originating from the first process enable measurements of the charm quark fragmentation function and forwardbackward asymmetry. Understanding the relative abundance of vector and pseudoscalar $\mathrm{L}=0$ meson production and the amount of heavy charmed mesons ( $\mathrm{L}=1$ or radial excitations) is important for several measurements in the field of heavy flavour physics, such as the separate measurements of charged and neutral B meson lifetimes[1] or the interpretation of inclusive lepton spectra[2].

This paper is an update of Ref. [3], with statistics increased to half a million Z's. The data recorded in 1991 benefitted from an improved charged track momentum resolution with the introduction of a vertex detector. Six exclusive decay channels have been studied (charge-conjugate modes are implied throughout):
(i) $\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+}$;
(ii) $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi^{+}$, followed by (i);
(iii) $\mathrm{D}^{+} \rightarrow \mathrm{K}^{-} \pi^{+} \pi^{+}$;
(iv) $\mathrm{D}^{* * 0} \rightarrow \mathrm{D}^{*+} \pi^{-}$where the $\mathrm{D}^{*+}$ undergoes decay chain (ii);
(v) $\quad \mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi^{+}$, followed by $\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+} \pi^{+} \pi^{-}$;
(vi) $\quad \mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi^{+}$, followed by $\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+} \pi^{0}$.

Using these channels a number of measurements have been achieved. As in Ref. [3], the cleaner decay process (ii) was used to extract the $X_{E} \equiv E_{\text {meson }} / E_{\text {beam }}$ spectrum of $\mathrm{D}^{*}$ mesons, but with the relative contributions from $\mathrm{Z} \rightarrow \mathrm{c} \overline{\mathrm{c}}$ and $\mathrm{Z} \rightarrow \mathrm{b} \overline{\mathrm{b}}$ processes now disentangled using global event shape variables. A parametric fit to these distributions leads to an estimate of the average $X_{E}$ of $\mathrm{D}^{*}$ mesons produced in $\mathrm{Z} \rightarrow \mathrm{c} \overline{\mathrm{c}}$ events. The dependence of the results on the choice of the parametrization of the fragmentation function was studied in detail. The comparison of the rates for $(i),(i i)$ and (iii) leads to an estimate of the vector to pseudoscalar production ratio. A search was performed for higher mass states via their decays (iv) into a charged $\mathrm{D}^{*}$ and a charged pion. The decay modes (ii), (v) and (vi) were used in the measurement of the forward-backward asymmetry.

## 2 ALEPH Detector and Data Selection

This study uses data recorded from 1989 to 1991 by the ALEPH detector at the LEP $\mathrm{e}^{+} \mathrm{e}^{-}$ storage ring. A detailed description of ALEPH can be found in Reference [4]. The features most relevant to this study are given here. The tracking uses a set of three concentric detectors: a large Time Projection Chamber (TPC) surrounding a drift chamber 57 cm in diameter, called the "Inner Tracking Chamber" (ITC), and, closest to the interaction region,
a silicon vertex detector (VDET) [5]. The installation of the VDET was completed at the beginning of the 1991 period of data taking. This whole tracking system is inside a 1.5 T magnetic field produced by a superconducting solenoid. A global fit to the ITC, TPC (and VDET for 1991 data) coordinates allows the track momentum to be measured with an accuracy $[4,6,7]$ of $\delta p / p=a \cdot p_{\mathrm{T}}$, where $a=8 \cdot 10^{-4}(\mathrm{GeV} / c)^{-1}$ in 1990 and $6 \cdot 10^{-4}(\mathrm{GeV} / c)^{-1}$ in 1991, with $60 \%$ of the data taken in 1991. Multiple scattering contributes an additional term $\delta p / p=0.003$.

The data sample consists of 477,918 hadronic events. These events are selected by the requirement that they contain at least five charged tracks of momentum above $0.2 \mathrm{GeV} / c$ and polar angle $\theta$, with respect to the beam axis, satisfying $|\cos \theta| \leq 0.95$, which implies that they cross at least six rows of cathode pads of the TPC. They must include at least 4 measured coordinates from the TPC and their distance of closest approach to the interaction vertex must be less than 10 cm along the beam axis and less than 2 cm in the transverse plane. The sum of the momenta of all tracks meeting these conditions must be greater than $10 \%$ of the centre-of-mass energy. This selects hadronic Z decays with an efficiency of $97.4 \pm 0.3 \%$, leaving a contribution of $0.7 \pm 0.1 \%$ from $\tau$ pairs and two-photon scattering [8]. The efficiency is flavour-independent except for b̄ events ( $98.0 \%$ ).

Most of the data were taken at the Z peak energy; the remainder was at 1,2 , or 3 GeV above or below the peak. The use of reduced quantities (energies divided by the beam energy) allows all the data to be combined for the measurement of quantities which are not expected to depend strongly on the beam energy, e.g. fragmentation parameters.

## 3 The Monte Carlo Model

A Monte Carlo model including initial state radiation is used to estimate the selection efficiencies, to parametrize the heavy-quark fragmentation and to give guidance on the background composition and shape. The jets are simulated according to the JETSET parton shower evolution and fragmentation [9]. The light-quark fragmentation and QCD parameters were optimized to fit ALEPH data [10]. For heavy quarks $Q=b, c$, the Peterson et al. fragmentation function [11] is used

$$
\begin{equation*}
f(z) \propto z^{-1}\left(1-\frac{1}{z}-\frac{\varepsilon_{Q}}{(1-z)}\right)^{-2} \tag{1}
\end{equation*}
$$

where $z$ is the fraction of $\left(E+p_{\|}\right)$of the meson relative to the parent quark and $\varepsilon_{Q}$ is a parameter giving the 'hardness' of the energy spectrum. The value of $\varepsilon_{b}=(4.9 \pm 0.6) \times 10^{-3}$, has been obtained from a fit to the momentum spectrum of leptons in hadronic events [12]. The determination of $\varepsilon_{c}$ is one aim of this study. The exact value of $z$ used in the generation of the heavy hadron momenta is kept on each simulated event record in order to obtain the spectra for any value of the $\varepsilon_{Q}$ parameters by event weighting. Other parametrizations such as the function of Kartvelishvili et al.[13] and the model of Collins and Spiller [14] have been implemented in order to assess the stability of the results under changes of the fragmentation function. The fragmentation parameters are correlated with the QCD parameters modelling the gluon emission. The $\varepsilon_{Q}$ values quoted in this paper correspond to the QCD parameters of Ref. [10].

The decay parameters of $c$ - and b-hadrons were tuned to reproduce the latest measurements. Of particular importance is the momentum distribution of D mesons produced in b-hadron decays. The model used reproduces recent data[15] on the $X_{E}$ distribution of $D^{0}$,s produced in $B$ decays with a precision of better than $2 \%$ on the average momentum.

Charmed meson production is simulated as follows. In $\mathrm{Z} \rightarrow \mathrm{c} \overline{\mathrm{c}}$ decays, $12 \%$ of the charm quarks fragment into a $\mathrm{D}_{\mathrm{s}}^{ \pm}, 8 \%$ into a baryon and, apart from a small production of charmonium states at the $1 \%$ level, the remainder into vector and pseudoscalar $L=0$ states ( $\mathrm{D}^{*}$ 's and D 's) with relative probabilities of 3 to 1 according to the number of spin degrees of freedom. No other kind of charmed mesons are produced by this generator. A fraction of $2 \%$ of the charmed mesons originate from charm quarks produced through the gluon splitting process $g \rightarrow c \bar{c}$. They are at low momentum. The breakdown for $\mathrm{Z} \rightarrow \mathrm{b} \overline{\mathrm{b}}$ is similar, with a $\mathrm{D}_{\mathrm{s}}^{ \pm}$contribution enhanced to $15 \%$ by the process where the virtual W from the weak decay of the b produces a $c \bar{s}$ pair. For the search for $\mathrm{L}=1$ mesons $\left(\mathrm{D}_{1}^{0}\right.$ and $\mathrm{D}_{2}^{* 0}$ ) a specific generation of events containing these states has been performed.

A detailed simulation of the ALEPH detector was carried out on all the generated events. They were then processed using the standard ALEPH reconstruction program.

## 4 Charmed Meson Production Rates and c-quark Hadronization

### 4.1 Search for $\mathrm{D}^{0}, \mathrm{D}^{*+}$, and $\mathrm{D}^{+}$Decays

In this section, the selection of charmed-meson samples is described. The results will be summarized in Table 1.

### 4.1.1 $\quad \mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \boldsymbol{\pi}^{+}$

All pairs of oppositely charged tracks meeting the requirements of Section 2 are used to form both a $\mathrm{D}^{0}$ and a $\overline{\mathrm{D}}^{0}$ candidate by assigning the kaon mass to one of the particles and the pion mass to the other. A combination is retained if the angle $\theta_{\mathrm{K}}^{*}$ between the K and the line of flight of the $\mathrm{K} \pi$ system, evaluated in the $\mathrm{K} \pi$ centre of mass, satisfies $\left|\cos \theta_{\mathrm{K}}^{*}\right| \leq 0.8$. This rejects most of the combinatorial background, which peaks at $\left|\cos \theta_{\mathrm{K}}^{*}\right|=1$, while it accepts $80 \%$ of the signal since the decay of the $\mathrm{D}^{0}$ meson is isotropic. The $\mathrm{K} \pi$ mass distribution for candidates with $X_{E}>0.25$ is shown in Fig. 1, where a clear enhancement in the $\mathrm{D}^{0}$ mass region is visible. The mass range from 1.835 to $1.895 \mathrm{GeV} / c^{2}$ is used to define the $\mathrm{D}^{0}$ sample.

Particle identification is not used for rejecting combinations. The fact that both mass assignments $\mathrm{K}^{+} \pi^{-}$and $\mathrm{K}^{-} \pi^{+}$can satisfy all the criteria leads to a certain amount of double counting. This is taken into account and was estimated by the Monte Carlo simulation to increase the signal by $20 \%$. The background is found to contain both a 'charm' and a 'combinatorial' contribution. Monte Carlo studies show that the 'charm' contribution is due to charmed mesons ( $\mathrm{D}^{0}, \mathrm{D}^{ \pm}$, and $\mathrm{D}_{\mathrm{s}}$ ) decaying into two charged particles plus others, neutral or charged. The 'combinatorial' background is fitted with a third-degree polynomial, which agrees with the Monte Carlo prediction for the light quark contribution. These two contributions are also shown in Fig. 1. Subtracting the background in the signal region and correcting for double-counting leads to a signal of $3719 \pm 138$ (stat.) $\pm 152$ (syst.) $\mathrm{D}^{0}$ 's
with $X_{E}>0.25$. The systematic error comes from the background subtraction. It has been estimated by varying the fit range and the shape of the combinatorial background.

### 4.1.2 $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \boldsymbol{\pi}_{s}^{+}$, followed by $\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \boldsymbol{\pi}^{+}$

For the $\mathrm{D}^{* \pm}$ search one more track, the soft pion $\left(\pi_{s}\right)$, is added to a $\mathrm{D}^{0}$ candidate selected as described previously. The momentum of the soft pion must lie within the kinematically allowed range for a $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}$decay with $0.25 \leq X_{E}\left(\mathrm{D}^{*}\right) \leq 1$, i.e. 0.55 to $4.2 \mathrm{GeV} / c$. Here the mass assignment is unambiguous as the charge of the K must be opposite to that of the soft pion. All the cuts are the same as for process (i) except that here $X_{E}$ refers to the $\mathrm{D}^{*}$ instead of the $\mathrm{D}^{0}$. The distribution of the mass difference $\Delta M=M\left(\mathrm{~K} \pi \pi_{s}\right)-M(\mathrm{~K} \pi)$ is a clear signature of $\mathrm{D}^{*}$ production (Fig. 2). The simultaneous $K \pi$ mass and mass-difference ( $0.1435 \mathrm{GeV} / c^{2}<\Delta M<0.1475 \mathrm{GeV} / c^{2}$ ) cuts select 1090 candidates. An event at high $X_{E}$ is shown in Fig. 3.

To estimate the background size and its $X_{E}$ dependence an event mixing technique is used. The pions are taken from one event and the kaon is taken from another. The jet containing the kaon is then rotated so as to make its axis coincide with that of the jet of the pions. The number of background events within the cuts is estimated from the massdifference spectrum. The shape of the background is taken from the event mixing and normalized to the data in the upper side band defined by $\Delta M \geq 0.152 \mathrm{GeV} / c^{2}$. Its integral in the peak region is then taken as the number of expected background combinations. This leads to an estimate of $198 \pm 5$ background events. The quoted uncertainty comes from the statistical fluctuations on the background normalization. From the spread between the various fits the systematic uncertainty on the expected number of background events is estimated to be also $\pm 5$.

The selection efficiency has been evaluated with the Monte Carlo to be $58 \%$ for $X_{E}>0.25$. The uncertainty on the efficiency of the mass cuts has been evaluated by using a parametrization (the sum of two gaussians) fitted to the data and varying the width and average between two extreme values compatible within $\pm 1 \sigma$ with the data. As a result, the global relative error on the efficiency is found to be $\delta \epsilon / \epsilon= \pm 0.036$ for 1990 data, of which $\pm 0.025$ comes from each of the two mass cuts, and $\pm 0.016$ for 1991 data, of which $\pm 0.009$ is for the mass-difference cut and $\pm 0.013$ for the 2 -body mass cut. This improvement in accuracy is a consequence of the better mass resolution from the introduction of the vertex detector.

### 4.1.3 $\mathrm{D}^{+} \rightarrow \mathrm{K}^{-} \boldsymbol{\pi}^{+} \pi^{+}$

A signal of $\mathrm{D}^{+}$to $\mathrm{K}^{-} \pi^{+} \pi^{+}$is seen in the invariant mass distribution of three tracks, two of them of the same sign and given a pion mass, and the third one of the opposite sign and given a kaon mass. Cuts are applied to reduce the very high combinatorial background: the $\mathrm{D}^{+}$reduced energy is required to be $X_{E}>0.3$, and the angle $\alpha$ between the sphericity axis of the three tracks and the line of flight of the $\mathrm{D}^{+}$in its rest frame, has to satisfy $|\cos \alpha|<0.8$. The distribution of $\cos \alpha$ is uniform for the signal, even if the decay proceeds through $\mathrm{K}^{* 0} \pi$, and strongly peaked near $\pm 1$ for the background. The signal (Fig. 4) is sought in the range from 1.84 to $1.9 \mathrm{GeV} / c^{2}$. It was checked on Monte Carlo events that no reflection of other
charmed hadron decays is expected to contribute significantly in the considered region of the mass distribution. The background is estimated from a polynomial fit. The number of decays after background subtraction is $3187 \pm 306$.

| Channel | Candidates | Estimated <br> Background | Signal | $X_{E}$ <br> cut |
| :---: | :---: | :---: | :---: | :---: |
| $\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+}$ | 27499 | $23780 \pm 150$ | $3719 \pm 205$ | 0.25 |
| $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}, \mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+}$ | 1090 | $198 \pm 5$ | $892 \pm 33$ | 0.25 |
| $\mathrm{D}^{+} \rightarrow \mathrm{K}^{-} \pi^{+} \pi^{+}$ | 93716 | $90529 \pm 306$ | $3187 \pm 306$ | 0.30 |

Table 1: Summary table for studied charmed meson channels.

The selection efficiency was estimated by a Monte Carlo simulation to be ( $53 \pm 2$ ) \%, almost independent of $X_{E}$, for $X_{E}>0.2$. The efficiency of the $X_{E}$ cut ( $X_{E}>0.3$ ) was estimated to be $(65.1 \pm 1.4) \%$, assuming that the directly produced $\mathrm{D}^{+}$'s follow the same $X_{E}$ distribution as the $\mathrm{D}^{*}$ 's and assuming that $50 \%$ of the $\mathrm{D}^{+}$'s are directly produced, with the other $50 \%$ coming from a $\mathrm{D}^{*}$ decay. The fit of the $\mathrm{D}^{* \pm}$ spectrum obtained in the next section is used. The main uncertainty on this extrapolation comes from the relative normalization of the $b$ and $c$ contributions. The error on this ratio has been taken to be $\pm 17 \%$ as a result of the $\mathrm{D}^{* \pm}$ analysis described in the next section. The overall efficiency attached to this $\mathrm{D}^{+}$ search is thus ( $34.5 \pm 1.7$ )\%.

### 4.2 The D* Energy Distribution

In this section, the energy distribution of the $\mathrm{D}^{*}$ 's is used to gain insight on the production mechanism. Firstly, the two expected contributions from $b \bar{b}$ and $c \bar{c}$ events are separated using global event shape variables. Then, a fit to the fully inclusive $\mathrm{D}^{*}$ distribution with a modelling of the two spectra was carried out to obtain the charm fragmentation parameter, the production rate, and the relative magnitude of the $b \bar{b}$ and $c \bar{c}$ contributions.

The $X_{E}\left(\mathrm{D}^{*}\right)$ spectrum was extracted from the data by subtracting the background from the observed distribution and correcting the resulting distribution for the efficiency. The differential production rate $\left(1 / \mathrm{N}_{\text {had }}\right) d N / d X_{E}$ per hadronic Z event is given in Table 2.

A partial separation of the two heavy-flavour contributions is achieved using a topological discriminator of b from $\mathrm{u}, \mathrm{d}, \mathrm{s}, \mathrm{c}$ events. This discriminator, hereafter called $V_{N N}$, is the output of an artificial neural network [16] which combines nine variables characterizing the event topology [17]. These variables are calculated for each hemisphere of the event as defined by the thrust axis. In order to avoid correlation between $X_{E}\left(\mathrm{D}^{*}\right)$ and $V_{N N}$, only variables related to the hemisphere which does not contain the $\mathrm{D}^{*}$ candidate are selected to calculate the b discriminator variable. The efficiency to select a b event for a given cut on $V_{N N}$ $\left(\epsilon^{\text {data }}\left(V_{N N}\right)\right)$ has been obtained from $q \bar{q}$ data, using a double-tag method [16], and compared to the Monte Carlo prediction. The maximal difference between data and Monte Carlo was found to be $(0.3 \pm 0.1) \%$.

| $X_{E}$ range | $\left(1 / N_{\text {had }}\right) d N / d X_{E}$ |
| :---: | :---: |
| $0.25-0.30$ | $(994 \pm 122) \times 10^{-5}$ |
| $0.30-0.35$ | $(886 \pm 107) \times 10^{-5}$ |
| $0.35-0.40$ | $(949 \pm 104) \times 10^{-5}$ |
| $0.40-0.45$ | $(706 \pm 86) \times 10^{-5}$ |
| $0.45-0.50$ | $(582 \pm 77) \times 10^{-5}$ |
| $0.50-0.55$ | $(717 \pm 83) \times 10^{-5}$ |
| $0.55-0.60$ | $(530 \pm 71) \times 10^{-5}$ |
| $0.60-0.65$ | $(495 \pm 71) \times 10^{-5}$ |
| $0.65-0.70$ | $(506 \pm 71) \times 10^{-5}$ |
| $0.70-0.75$ | $(355 \pm 60) \times 10^{-5}$ |
| $0.75-0.80$ | $(181 \pm 43) \times 10^{-5}$ |
| $0.80-0.85$ | $(120 \pm 37) \times 10^{-5}$ |
| $0.85-0.90$ | $(60 \pm 23) \times 10^{-5}$ |
| $0.90-0.95$ | $(29 \pm 18) \times 10^{-5}$ |
| $0.95-1.00$ | $(7 \pm 7) \times 10^{-5}$ |

Table 2: Measured production rate as a function of the $\mathrm{D}^{*}$ reduced energy.

The $X_{E}$ range from 0.25 to 1 is separated into seven bins. In each $X_{E}$ bin, a linear combination of the Monte Carlo $\mathrm{Z} \rightarrow \mathrm{b} \overline{\mathrm{b}}$ and $\mathrm{Z} \rightarrow c \bar{c}$ contributions is fitted to the $V_{N N}$ shape, giving the relative contributions of the two sources. The separation between the two flavours is seen in Fig. 5. The statistical error on the two contributions are anti-correlated to a large extent. The $b \bar{b}$ contribution calculated from the JETSET Monte Carlo program (see Section 3) is shown as a solid line. It is found to be in good agreement with the data. Also, the Peterson et al. fragmentation function reproduces the ce part.

To obtain a more precise estimate of the charm fragmentation function the $b \rightarrow D^{*}$ can be constrained by external input: the b fragmentation parameter is known from the study of high- $p_{T}$ leptons[12], while the $\mathrm{D}^{*}$ momentum spectrum is constrained from $\Upsilon(4 \mathrm{~S})$ experiments [15]. Therefore the $\mathrm{b} \rightarrow \mathrm{D}^{*}$ contribution given by the Monte Carlo simulation can be trusted with moderate extrapolation. This assumption is supported by the agreement of the generated $b \rightarrow D^{*}$ spectrum with that measured (fig. 5). As the $D^{*}$ s from $b$ decays have a lower average momentum than $\mathrm{D}^{*}$ 's produced in $\mathrm{Z} \rightarrow \mathrm{c} \bar{c}$ events, the separation of the two quark flavours achieved with $V_{N N}$ is enhanced when using the $X_{E}$ and $V_{N N}$ variables together.

A maximum-likelihood fit to the $X_{E}$ vs. $V_{N N}$ distribution is performed. The fit parameters are: the Peterson et al. parameter $\varepsilon_{c}$, the fraction of charm quarks hadronizing into $\mathrm{D}^{* \pm}, P_{\mathrm{c} \rightarrow \mathrm{D}^{* \pm}}$, and the ratio $\frac{P_{\mathrm{b}} \rightarrow \mathrm{D}^{*} \pm}{P_{\mathrm{c} \rightarrow \mathrm{D}^{*} \pm}}$. The fitted function is:
$\frac{\mathrm{d}^{2} N}{\mathrm{~d} X_{E} \mathrm{~d} V_{N N}}=2 N_{h a d} \cdot P_{\mathrm{c} \rightarrow \mathrm{D}^{* \pm}} \cdot B_{*} \cdot B_{0} \cdot \epsilon\left(X_{E}, V_{N N}\right) \cdot f_{H F}\left(X_{E}, V_{N N}, \varepsilon_{c}\right)+N_{B G} \cdot f_{B G}\left(X_{E}, V_{N N}\right)$,
with $\epsilon\left(X_{E}, V_{N N}\right)$ being the selection efficiency as a function of $X_{E}$ and $V_{N N}$. The heavy flavour contribution is given by

$$
\begin{equation*}
f_{H F}\left(X_{E}, V_{N N}, \varepsilon_{c}\right)=\frac{\Gamma_{\mathrm{b} \overline{\mathrm{~b}}}}{\Gamma_{h a d}} \cdot \frac{P_{\mathrm{b} \rightarrow \mathrm{D}^{* \pm}}}{P_{\mathrm{c} \rightarrow \mathrm{D}^{* \pm}}} \cdot f_{b}\left(X_{E}, V_{N N}\right)+\frac{\Gamma_{\mathrm{c} \overline{\mathrm{c}}}}{\Gamma_{h a d}} \cdot f_{c}\left(X_{E}, V_{N N}, \varepsilon_{c}\right) \tag{3}
\end{equation*}
$$

Probabilities $f_{b}\left(f_{c}\right)$ for $\mathrm{a} \mathrm{b} \rightarrow \mathrm{D}^{* \pm}\left(\mathrm{c} \rightarrow \mathrm{D}^{* \pm}\right)$ to be in a given bin in the $\left(X_{E}, V_{N N}\right)$ plane are derived from a Monte-Carlo study. The background distribution $f_{B G}$ is derived from data events selected on the upper side of the $\mathrm{K}^{-} \pi^{+}$mass distribution and passing the other analysis cuts. The background normalization is determined using an event-mixing technique, as described in Section 4.1.2. The $c \bar{c}$ and $b \bar{b}$ partial widths are fixed to their Standard Model expectations. The absolute normalization of the $\mathrm{D}^{*}$ signal is contained in the product $P_{\mathrm{c} \rightarrow \mathrm{D}^{* \pm}} B_{*} B_{0}$, where $B_{*}$ and $B_{0}$ are respectively the branching fractions $B\left(\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi^{+}\right)$and $B\left(\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+}\right)$.

The 3-parameter fit performed in the ( $X_{E}, V_{N N}$ ) plane, for $X_{E} \geq 0.25$ with 13 bins in $X_{E}$ and 9 in $V_{N N}$, gives the following results :

$$
\begin{align*}
P_{\mathrm{c} \rightarrow \mathrm{D}^{* \pm}} \cdot B_{*} \cdot B_{0} & =(6.5 \pm 0.5(\text { stat. }) \pm 0.2(\text { syst. })) \times 10^{-3}  \tag{4}\\
\frac{P_{\mathrm{b} \rightarrow \mathrm{D}^{* \pm}}}{P_{\mathrm{c} \rightarrow \mathrm{D}^{* \pm}}} & =0.87_{-0.13}^{+0.15}(\text { stat. })  \tag{5}\\
\varepsilon_{c} & =\left(52_{-11}^{+13}(\text { stat. }) \pm 1(\text { syst. })\right) \times 10^{-3} . \tag{6}
\end{align*}
$$

The $\chi^{2}$ of the fit is 1.3 per degree of freedom. Background-subtracted projection plots are shown in Figure 6. Systematic errors arise from uncertainties in $\varepsilon_{b}$, modelling of b-hadron decays, background normalization and selection efficiency.

The value of $\varepsilon_{c}$ quoted above depends very specifically on the particular Monte Carlo program used to generate the $\mathrm{D}^{*}$ spectrum and its parameters. Therefore it is of more general value to express the result in terms of the average fractional energy carried by a $\mathrm{D}^{*}$ in a cc event $\left\langle X_{E}\left(\mathrm{D}^{*}\right)\right\rangle_{c}$. It yields

$$
\begin{equation*}
\left\langle X_{E}\left(\mathrm{D}^{*}\right)\right\rangle_{c}=0.495_{-0.011}^{+0.010}(\text { stat. }) \pm 0.007 \text { (syst.). } \tag{7}
\end{equation*}
$$

The dependence on the choice of the parametrization of the $c$ fragmentation function is also included in this systematic error. This dependence was studied by repeating the fit with other parametrizations of the c and/or b fragmentation functions [13, 14]. The modeldependence uncertainty was assessed from the spread of values obtained for the different parameters, using all available fragmentation functions. This effect is very small, all the parametrizations resulting in similar $X_{E}$ shapes for $\mathrm{D}^{*}$ 's produced in Z decays.

### 4.3 Charmed-Meson Production Rates

From the results of the previous fit (eq. 4, 5) one can derive the acceptance-corrected $\mathrm{D}^{* \pm}$ production rate ( $\Gamma_{\text {had }}=\Gamma(\mathrm{Z} \rightarrow$ hadrons $)$ ):

$$
\frac{\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{* \pm} \mathrm{X}\right)}{\Gamma_{h a d}} \cdot B\left(\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}\right) \cdot B\left(\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+}\right)
$$

$$
\begin{equation*}
=2\left(\frac{\Gamma_{\mathrm{c} \overline{\mathrm{c}}}}{\Gamma_{\text {had }}}+\frac{P_{\mathrm{b} \rightarrow \mathrm{D}^{* \pm}}}{P_{\mathrm{c} \rightarrow \mathrm{D}^{* \pm}}} \frac{\Gamma_{\mathrm{b} \overline{\mathrm{~b}}}}{\Gamma_{\text {had }}}\right) \cdot P_{\mathrm{c} \rightarrow \mathrm{D}^{* \pm}} \cdot B_{*} \cdot B_{0}=(4.70 \pm 0.36 \pm 0.14) \times 10^{-3} . \tag{8}
\end{equation*}
$$

The $D^{0}$ production rate is obtained by normalization to the $\mathrm{D}^{*}$ rate by first measuring the fraction of the $\mathrm{D}^{0}$ signal where the $\mathrm{D}^{0}$ comes from a charged $\mathrm{D}^{*}$ :

$$
\begin{equation*}
R_{*}=\frac{N\left(\mathrm{D}^{0} \text { from } \mathrm{D}^{* \pm}\right)}{N\left(\mathrm{D}^{0}\right)}=0.248 \pm 0.013 \pm 0.014 \tag{9}
\end{equation*}
$$

for $X_{E}\left(\mathrm{D}^{0}\right)>0.25$. This number is corrected for the efficiencies of soft pion detection and for the mass cut. The $\mathrm{D}^{+}$rate is obtained after correction for the efficiency given in Section 4.1.3. The $\mathrm{D}^{0}$ and $\mathrm{D}^{+}$energy distributions are extrapolated down to $X_{E}=0$, assuming that they are given by the same parameters as determined for the $\mathrm{D}^{*}$ 's. The following rates are obtained:

$$
\begin{equation*}
\frac{\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{ \pm} \mathrm{X}\right)}{\Gamma_{\text {had }}} \cdot B\left(\mathrm{D}^{+} \rightarrow \mathrm{K}^{-} \pi^{+} \pi^{+}\right)=(2.01 \pm 0.21) \cdot 10^{-2} \tag{10}
\end{equation*}
$$

and

$$
\begin{equation*}
\left.\frac{\Gamma(\mathrm{Z} \rightarrow \stackrel{(-)}{\mathrm{D}} 0}{\Gamma_{\text {had }}} \mathrm{X}\right), B\left(\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+}\right)=(1.92 \pm 0.19) \times 10^{-2} \tag{11}
\end{equation*}
$$

Dividing these rates by the branching ratios $\left(B\left(\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}\right)=(68.1 \pm 1.0 \pm 1.3) \%\right.$ [21], $\left.B\left(\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+}\right)=(3.71 \pm 0.25) \%[22], B\left(\mathrm{D}^{+} \rightarrow \mathrm{K}^{-} \pi^{+} \pi^{+}\right)=8.0_{-0.7}^{+0.8} \%[22]\right)$ one obtains:

$$
\begin{align*}
& \frac{\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{\star \pm} \mathrm{X}\right)}{\Gamma_{\text {had }}}=0.187 \pm 0.015 \pm 0.013(\mathrm{BR})  \tag{12}\\
& \frac{\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{ \pm} \mathrm{X}\right)}{\Gamma_{\text {had }}}=0.251 \pm 0.026 \pm 0.025(\mathrm{BR})  \tag{13}\\
& \frac{\Gamma\left(\mathrm{Z} \rightarrow \stackrel{\rightharpoonup}{\mathrm{D}}^{0} \mathrm{X}\right)}{\Gamma_{\text {had }}}=0.518 \pm 0.052 \pm 0.035(\mathrm{BR}) . \tag{14}
\end{align*}
$$

The first uncertainty is internal to this analysis and is mainly statistical and the second comes from the branching ratios. These are simply the number of $D^{* \pm}, D^{ \pm}$and ${\stackrel{(-)}{D^{0}}}^{0}$ produced per hadronic Z decay. These numbers are largely uncorrelated except by the common use of the branching ratio of the $\mathrm{D}^{0}$ into $\mathrm{K}^{-} \pi^{+}$for the $\mathrm{D}^{*}$ and $\mathrm{D}^{0}$ rates.

Two consistency checks among these results are possible. Firstly, as any charmed meson (c $\overline{\mathrm{u}}$ or $c \bar{d}$ ) of any spin state will end up, after one or several decays, in a neutral or charged ( $\mathrm{L}=0, \mathrm{~S}=0$ ) meson, one expects the total number of non-strange mesons produced per hadronic Z decay

$$
\begin{equation*}
\frac{\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{ \pm} \mathrm{X}\right)+\Gamma\left(\mathrm{Z} \rightarrow \stackrel{(-)}{\mathrm{D}}^{0} \mathrm{X}\right)}{\Gamma_{\text {had }}}=0.77 \pm 0.07 \tag{15}
\end{equation*}
$$

to be consistent with, or slightly lower than, the number of c-quarks produced per hadronic event $2 \cdot\left(1.14 \frac{\Gamma_{b \bar{b}}}{\Gamma_{h a d}}+\frac{\Gamma_{c \bar{c}}}{\Gamma_{\text {had }}}\right) \approx 0.84$. The factor of 1.14 in the b decay stems from the virtual W decay contribution to charm quark production. The difference between the expected number
of c-quarks and the measured number of non-strange D mesons leaves room for $(8 \pm 8) \%$ of charm quarks fragmenting into a $\mathrm{D}_{\mathrm{s}}$ or a charmed baryon.

Assuming that the difference between the observed $\mathrm{D}^{0}$ and $\mathrm{D}^{+}$rates originates from $\mathrm{D}^{*}$ decays, and with the extra assumption that among the direct $D$ and $D^{*}$ mesons, the number of charged and neutrals are equal, the following relation must hold:

$$
\begin{equation*}
\Gamma\left(\mathrm{Z} \rightarrow \stackrel{(-)_{0}}{\mathrm{D}} \mathrm{X}\right)-\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{ \pm} \mathrm{X}\right)=2 \Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{* \pm}\right) \times B_{*} \tag{16}
\end{equation*}
$$

where $B_{*}=B\left(\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}\right)$. This equality is indeed verified: the ratio of left-hand side to the right-hand side is $0.86 \pm 0.16$.

These two relations still hold if the mesons originate in the decay of higher mass states.

### 4.4 The Vector to Pseudoscalar Ratio

The ratio $R_{*}$ of the number of $\mathrm{D}^{0}$ 's from $\mathrm{D}^{*+}$ decays to the total number of $\mathrm{D}^{0}$ 's can be used to estimate the probability $P_{V}$ of producing a charmed vector meson rather than a pseudoscalar: $P_{V}=V /(V+P)$. Indeed, a $\mathrm{D}^{0}$ can either be directly produced or be the decay product of a $\mathrm{D}^{*+}$ (with a branching fraction $B_{*}=68.1 \pm 1.0 \pm 1.3 \%$ [21]) or of a $\mathrm{D}^{* 0}$ ( $100 \%$ branching fraction). Assuming as above that among the direct D and $\mathrm{D}^{*}$ mesons, the number of charged and neutrals are equal, the ratio $R_{*}$ can be written as

$$
\begin{equation*}
R_{*}=\frac{P_{V} B_{*}}{P_{V} B_{*}+1} . \tag{17}
\end{equation*}
$$

The value of $R_{*}=0.248 \pm 0.013 \pm 0.014$ measured as described in Section 4.3 corresponds to $P_{V}=0.484 \pm 0.050 \pm 0.012$ (the first error is internal to this analysis and the second comes from the uncertainty on the branching fraction $B_{*}$ ). Also, from the comparison of the $\mathrm{D}^{+}$ and $\mathrm{D}^{*+}$ production rates one obtains another measurement of $P_{V}: 0.56 \pm 0.07$. These two measurements are averaged to

$$
\begin{equation*}
P_{V}=0.51 \pm 0.04 \tag{18}
\end{equation*}
$$

in agreement with the result published in Ref. [3]. Simple spin counting would give $P_{V}=0.75$. It should be emphasized, however, that the interpretation of $P_{V}$ in terms of spin-counting arguments is meaningful solely in the case where D and $\mathrm{D}^{*}$ are the only charmed mesons produced. The competing production of higher mass states [23], hereafter called $\mathrm{D}^{* *}$ 's, can affect this interpretation, as discussed in sections 4.5 and 4.6.

The sample in which $P_{V}$ is measured is a mixture of $50 \% \mathrm{~b} \overline{\mathrm{~b}}$ and $50 \% \mathrm{c} \overline{\mathrm{c}}$. In principle, there is no reason for $P_{V}$ to be the same for the two contributions. However, repeating the analysis for $X_{E}<0.5$ (b-purity of $65 \%$ ) and $X_{E}>0.5$ (c-purity of $76 \%$ ), the following $P_{V}$ values are found: $0.469 \pm 0.061 \pm 0.011$ in the b-enriched sample and $0.505 \pm 0.090 \pm 0.012$ in the c-enriched sample. This leads to values of $P_{V}$ for pure $b \bar{b}$ and $c \bar{c}$ samples:

$$
\begin{align*}
& P_{V}(\mathrm{~b} \overline{\mathrm{~b}})=0.44 \pm 0.12,  \tag{19}\\
& P_{V}(\mathrm{c} \overline{\mathrm{c}})=0.53 \pm 0.16 . \tag{20}
\end{align*}
$$

These results are consistent with those reported by the DELPHI collaboration [24] and the VENUS collaboration [25].

### 4.5 Evidence for $\mathrm{D}^{* * 0} \rightarrow \mathrm{D}^{*+} \boldsymbol{\pi}^{-}$Production

Several experiments have reported evidence for heavier charmed mesons with a mass near $2.4 \mathrm{GeV} / \mathrm{c}^{2}$ [23]. One, called $\mathrm{D}_{1}^{0}$, is observed in its $\mathrm{D}^{*+} \pi^{-}$decay at a mass of $2.424 \pm 0.006$ $\mathrm{GeV} / \mathrm{c}^{2}$ with a width of $20_{-6}^{+9} \mathrm{MeV}$. Another, called $\mathrm{D}_{2}^{* 0}$, has a mass of $2.4594 \pm 0.0022$ $\mathrm{GeV} / \mathrm{c}^{2}$ and a width of $19 \pm 7 \mathrm{MeV}$ and has been observed both in the $\mathrm{D} \pi$ and $\mathrm{D}^{*} \pi$ channels. The index 1 and 2 stands for the most favoured spin assignment, and the * indicates natural parity $(-1)^{J}$. They are interpreted as $L=1$ states, expected from the quark model. In this model, there are four charmed mesons with $L=1$ and $S=0,1$. The four states come from the different possible combinations of spin and orbital angular momentum, yielding spin-parities $J^{P}=0^{+}, 1^{+}, 1^{+}$and $2^{+}$. One of the $1^{+}$states comes from the spin triplet and the other from the singlet. One of the two $1^{+}$states can be the observed $D_{1}^{0}$, the other one being too wide to be observed as a resonance. Kinematically, the more probable final states for the decay of these resonances are $\mathrm{D}^{*} \pi$ and $\mathrm{D} \pi$. The $2^{+}$state $\mathrm{D}_{2}^{* 0}$ is allowed to decay into $\mathrm{D}^{*+} \pi^{-}$or $\mathrm{D}^{+} \pi^{-}$. In both cases, the decay products must be in a D -wave ( $L=2$ ) due to spin-parity conservation. Spin-parity conservation forbids the decay of the $1^{+}$states into $\mathrm{D}^{+} \pi^{-}$, and of the $0^{+}$state into $\mathrm{D}^{*+} \pi^{-}$. The decay products $\mathrm{D}^{+} \pi^{-}$of the $0^{+}$state must be in an $S$-wave.

A search is performed in the data for decays of the $D_{1}^{0}$ and $D_{2}^{* 0}$ into $D^{*+} \pi^{-}$. In this search $\mathrm{D}^{* \pm}$ candidates are selected as described above and paired with another charged track assumed to be a pion. The reduced energy $X_{E}\left(\mathrm{D}^{* *}\right)$ of the $\mathrm{D}^{* *}$ candidate is required to be larger than 0.25 . To further reduce the combinatorial background, a kinematic fit of the track momenta to the $\mathrm{D}^{*}$ mass is performed and the $\chi^{2}$ of this fit is required to be smaller than 7 . For a combination to be kept, all the tracks are required to have the $\mathrm{dE} / \mathrm{dx}$ measurement available and within two standard deviations from the mass hypothesis. The invariant mass distribution is shown in Fig. 7. The experimental resolution on the effective mass is estimated to be 6 MeV and the natural widths of the resonances have been measured to be about 20 MeV . Thus, an unbinned maximum likelihood fit to this distribution is performed using the sum of two Breit-Wigners (corresponding to $\mathrm{D}_{2}^{* 0}$ and $D_{1}^{0}$ ) for the signal and a polynomial is used to parametrize the background. The masses and widths are constrained to the world average [22]. The ratio of the two Breit-Wigner distributions is: $\mathrm{N}\left(\mathrm{D}_{1}^{0}\right) /\left(\mathrm{N}\left(\mathrm{D}_{1}^{0}\right)+\mathrm{N}\left(\mathrm{D}_{2}^{* 0}\right)\right)=0.30_{-0.19-0.04}^{+0.18+0.07}$. The total area is measured to be $63 \pm 16$ events, with a systematic uncertainty on this number of ${ }_{-5}^{+3}$ obtained by varying the masses within errors and trying various parametrizations of the background.

Correcting this number for an overall efficiency of $(13.2 \pm 1.5) \%$ leads to the result:

$$
\begin{array}{r}
\frac{\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{* * 0} \mathrm{X}\right)}{\Gamma_{\text {had }}} \cdot \mathrm{B}\left(\mathrm{D}^{* * 0} \rightarrow \mathrm{D}^{*+} \pi^{-}\right) \cdot \mathrm{B}\left(\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}\right) \cdot \mathrm{B}\left(\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+}\right)  \tag{21}\\
=(1.02 \pm 0.26 \pm 0.14) \times 10^{-3}
\end{array}
$$

The fraction of $\mathrm{D}^{*+}$ originating from a $\mathrm{D}^{* * 0}$ is measured to be:

$$
\frac{\left(\mathrm{D}^{*+} \text { from } \mathrm{D}^{* * 0} \rightarrow \mathrm{D}^{*+} \pi^{-}\right)}{\left(\text {all } \mathrm{D}^{*+}\right)}=(18 \pm 5 \pm 2) \%,
$$

for $\mathrm{D}^{*+}$ with $X_{E}>0.25$. The error is dominated by the uncertainty on the number of $\mathrm{D}^{* * 0}$ from the fit.

| Channel | Candidates | Estimated <br> Background | Signal |
| :---: | :---: | :---: | :---: |
| $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}, \mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+}$ | 312 | $16 \pm 1$ | $296 \pm 17.7$ |
| $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}, \mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+} \pi^{+} \pi^{-}$ | 1065 | $400 \pm 14$ | $665 \pm 36$ |
| $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}, \mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+} \pi^{0}$ | 366 | $111 \pm 5$ | $255 \pm 20$ |

Table 3: Summary table for channels used in the asymmetry measurement. $X_{E}$ is required to be greater than 0.5.

### 4.6 Implication for the Measurement of the Vector to Pseudoscalar Ratio

This observation of $\mathrm{D}^{* * 0}$ production modifies the interpretation of $P_{V}$. Depending on the exact $\mathrm{D}^{* *}$ state produced, the ratio $B\left(\mathrm{D}^{* *} \rightarrow \mathrm{D}\right): B\left(\mathrm{D}^{* *} \rightarrow \mathrm{D}^{*}\right)$ can vary within a large range: $2.4 \pm 0.7: 1$ for the spin 2 state $D_{2}^{* 0}[23], 0: 1$ for the spin 1 states $D_{1}^{0}$, or $1: 0$ for the spin 0 state. Clearly, the value of $P_{V}$ which is measured in Section 4.4 can be reconciled with a $\mathrm{V} /(\mathrm{V}+\mathrm{P})$ of 0.75 for the directly produced D 's and $\mathrm{D}^{*}$ 's, if a substantial fraction of the D's originates from these higher mass states. This rules out the hypothesis that the $\mathrm{D}_{1}^{0}$ is the only one produced, but can be arranged by a combination including the other $\mathrm{D}^{* * 0}$ states, and/or contributions from a non-resonant continuum. Note however that a value of $\mathrm{V} /(\mathrm{V}+\mathrm{P})$ of 0.75 is not mandatory for charmed mesons coming from B decays.

## 5 Measurement of the cē Forward-Backward Asymmetry

In the Standard Model the production of charmed mesons in Z decays is expected to exhibit a forward-backward asymmetry. Since $D^{*}$ s originating from c̄events are produced at larger $\left\langle X_{E}\right\rangle$ than $\mathrm{D}^{*}$ 's coming from $\mathrm{b} \overline{\mathrm{b}}$, a high purity in charm events is obtained by selecting high momentum $\mathrm{D}^{*}$ s (see Fig. 6a). The requirement $X_{E} \geq 0.5$ realizes a good balance between statistics and purity : $78 \%$ of the $\mathrm{D}^{*}$ 's come from charm. The statistics are enhanced by using altogether the channels $(i i),(v)$ and (vi) listed in Section 1. Though channels $(v)$ and (vi) suffer from more background than mode (ii), they are endowed with a higher branching ratio: these 3 modes add up to about $23 \%$ of the $\mathrm{D}^{0}$ 's. The number of events and the estimated background in the three channels are summarized in Table 3.

## $5.1 \quad \mathrm{D}^{*+} \rightarrow \mathbf{D}^{0} \boldsymbol{\pi}_{s}^{+}$, Followed by $\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \boldsymbol{\pi}^{+} \boldsymbol{\pi}^{+} \boldsymbol{\pi}^{-}$

Here, the $\mathrm{D}^{0}$ is formed using the combinations of four tracks with zero net charge. The scalar nature of the $\mathrm{D}^{0}$ is taken advantage of in the same way as in Section 4.1.3, by cutting on the angle between the sphericity axis of the four-track system in its rest frame and the line of flight of this system. The $\mathrm{D}^{0}$ candidate mass and the mass difference are taken in the same window as in Sections 4.1.1 and 4.1.2. It is important to keep only one of the two possible assignments of the K mass. The choice between the two assignments is not critical, as they give the same charge and direction for the quark. In case two candidates share tracks, only the one with the mass closest to the $\mathrm{D}^{0}$ mass is kept. The mass difference distribution is shown in Fig. 8, exhibiting a clear $\mathrm{D}^{*}$ signal.

| channel | $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}$ <br> $\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+}$ | $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}$ <br> $\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+} \pi^{+} \pi^{-}$ | $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}$ <br> $\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+} \pi^{0}$ |
| :---: | :---: | :---: | :---: |
| source of systematic errors \% $\%$ |  |  |  |
| Asymmetry \% | $-0.2 \pm 7.4$ | $9.8 \pm 6.1$ | $10.5 \pm 8.9$ |
| Background normalization | - | 0.10 | 0.05 |
| Background shape | 0.14 | 1.30 | 0.41 |
| b,c relative contribution | 0.40 | 0.14 | 0.24 |
| b asymmetry | 0.40 | 0.40 | 0.35 |
| $\chi_{\text {mix }}$ | 0.30 | 0.30 | 0.35 |
| $\chi_{D^{*}}$ | 0.20 | 0.20 | 0.20 |
| $\sum_{\text {syst }}$ | 0.7 | 1.4 | 0.7 |

Table 4: Asymmetries in the three channels with contributions to the systematic error.

A sample of 1065 candidates is selected by these cuts. A background of $400 \pm 14$ events is estimated by normalizing the $\Delta M$ distribution of the events with $M(\mathrm{~K} \pi \pi \pi)$ between 1.965 and $2.265 \mathrm{GeV} / c^{2}$ to the number of events with $0.160 \mathrm{GeV} / c^{2} \leq \Delta M \leq 0.200 \mathrm{GeV} / c^{2}$.

## $5.2 \quad \mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \boldsymbol{\pi}_{s}^{+}$, Followed by $\mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \boldsymbol{\pi}^{+} \boldsymbol{\pi}^{0}$

In this channel, the fine segmentation of the electromagnetic calorimeter is used to reconstruct the $\pi^{0} \rightarrow \gamma \gamma$ decay. The energy of the photons is required to be greater than 0.5 GeV . A kinematical fit of the energies of the two photons and the angle between them to the $\pi^{0}$ mass is performed. Monte-Carlo simulation shows that this improves the resolution on the $\pi^{0}$ momentum by a factor of 2 . The $\chi^{2}$ probability of this fit is required to be greater than $5 \%$. The $\mathrm{K} \pi \pi^{0}$ mass distribution is shown in Fig. 9. This effective mass is required to be between 1.815 and $1.915 \mathrm{GeV} / c^{2}$. This selection results in a sample of 366 candidates, with a background of $111 \pm 5$ events estimated by the same method as in the previous section.

### 5.3 Fit to the Signed Angular Distribution

The signed angular distribution of the $\mathrm{D}^{*}$ mesons provides an estimate of the quark angular distribution, parametrized as:

$$
\begin{equation*}
\frac{1}{N^{q}} \frac{d N^{q}}{\cos \theta_{q}}=\frac{3}{8}\left(1+\cos ^{2} \theta_{q}\right)+A_{F B}^{q} \cos \theta_{q}, \tag{22}
\end{equation*}
$$

with $\cos \theta_{q}=Q \times \cos \theta_{\mathrm{D}^{*}}$, where $Q$ and $\cos \theta_{\mathrm{D}^{*}}$ are the charge and direction of the $\mathrm{D}^{*}$ meson.
The c quark forward-backward asymmetry is measured by a maximum-likelihood fit in the $\left(X_{E}, \cos \theta_{D^{*}}\right)$ plane for the three channels. To extract the Z peak asymmetry, a restricted centre-of-mass energy window of 1 GeV around the Z peak is used.

The observed $\cos \theta_{D^{*}}$ distribution, for each channel, can be written as the sum of three contributions :

- a background contribution, whose abundance and shape is taken from data upper side band candidates.
- a beauty contribution. The ALEPH measurement: $A_{F B}^{\mathrm{b}}=(8.3 \pm 1.9) \%$ [12] was used to obtain the b quark asymmetry in our sample. This asymmetry is diluted, on one hand by the $\mathrm{B}^{0}-\overline{\mathrm{B}}^{0}$ mixing, and by b decays producing a $\mathrm{D}^{*-}$ through the W on the other hand. The effective $b$ asymmetry can be expressed as the true $b$ asymmetry corrected by two dilution factors depending on parameters $\chi_{m i x}$ and $\chi_{D^{*}}$ :

$$
\begin{equation*}
A_{F B}^{b, e f f}=A_{F B}^{b} \times\left(1-2 \chi_{m i x}\right) \times\left(1-2 \chi_{\mathrm{D}^{*}-}\right) \tag{23}
\end{equation*}
$$

The value of $\chi_{m i x}$ is deduced from the $\mathrm{D}^{*}$ production rates through $\overline{\mathrm{B}}_{\mathrm{d}}^{0}$ and $\overline{\mathrm{B}}_{\mathrm{s}}^{0}$ mesons, together with their associated mixing parameter :

$$
\begin{equation*}
\chi_{m i x}=f_{d} \chi_{d}+f_{s} \chi_{s}, \tag{24}
\end{equation*}
$$

where the fractions $f_{d}$ and $f_{s}$ are taken from the Monte Carlo estimation; CLEO and ARGUS measurements of $\chi_{d}$ [26] are used together with ALEPH b mixing value [27] to estimate $\chi_{s}{ }^{1}$. The Monte Carlo prediction $\chi_{\mathrm{D}^{*}}=8 \%$ is assumed with a relative uncertainty of $100 \%$.

- a charm contribution.

The relative abundance of charm and beauty in the $\mathrm{D}^{*}$ signal is taken from the Monte Carlo together with previously fitted values of $\varepsilon_{c}$ and $\frac{P_{\mathrm{b}} \rightarrow \mathrm{D}^{*}}{P_{\mathrm{c} \rightarrow \mathrm{D}^{* \pm}}}$. Table 4 summarizes the results obtained on $A_{F B}^{c}$ with statistical and systematic errors, from a fit of each of the three channels $(i i),(v)$ and $(v i)$. The main contributions to systematics arise from asymmetries of $\mathrm{b}\left(A_{F B}^{b, e f f}\right)$ and combinatorial background, and the relative abundance of the three contributions in the samples.

A simultaneous fit yields:

$$
\begin{equation*}
A_{F B}^{c}=(6.8 \pm 4.2(\text { stat. }) \pm 0.9(\text { syst. })) \% \tag{25}
\end{equation*}
$$

Figure 10 shows the combined angular distribution after background subtraction and efficiency correction, with the result of the fit.

The MIZA program [28] is used to calculate all the corrections needed to extract the pole asymmetry and the effective electroweak mixing angle $\sin ^{2} \theta_{W}^{e f f}$ from our measurement. The QCD correction includes the second order calculation of Ref. [29]. Table 5 summarizes the various corrections derived using MIZA, giving

$$
A_{F B}^{0, c}=(7.7 \pm 4.4) \%
$$

and $\sin ^{2} \theta_{W}^{e f f}=0.231 \pm 0.010$. The asymmetry result is consistent with a recent measurement[30].

[^2]|  | correction |
| :---: | :---: |
| $\sqrt{( }(s) \neq M_{Z}$ | -0.0030 |
| QED initial state | +0.0090 |
| QED final state | +0.0001 |
| QCD final state | +0.0019 |
| $\gamma$ <br> exchange <br> and interference | -0.0007 |

Table 5: corrections to the measured c quark asymmetry.

## 6 Conclusions

The production of charm quarks at the Z resonance has been studied through charmed meson production. The production rates are measured to be

$$
\begin{aligned}
& \frac{\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{* \pm} \mathrm{X}\right)}{\Gamma_{\text {had }}}=0.187 \pm 0.015(\exp .) \pm 0.013(\mathrm{BR}), \\
& \frac{\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{ \pm} \mathrm{X}\right)}{\Gamma_{\text {had }}}=0.251 \pm 0.026(\exp .) \pm 0.025(\mathrm{BR}), \\
& \frac{\Gamma\left(\mathrm{Z} \rightarrow \mathrm{D}^{0} \mathrm{X}\right)}{\Gamma_{\text {had }}}=0.518 \pm 0.052(\exp .) \pm 0.035(\mathrm{BR}),
\end{aligned}
$$

where the first error is from this analysis and the second comes from the D branching ratios.
The average fraction of the beam energy carried by a $D^{*}$ meson in a $Z \rightarrow c \bar{c}$ event was found to be :

$$
\left\langle X_{E}\left(\mathrm{D}^{*}\right)\right\rangle_{c}=0.495 \pm 0.011 \text { (stat.) } \pm 0.007 \text { (syst.) }
$$

where the systematic uncertainty takes into account the fragmentation model dependence.
Decays of $D_{1}(2420)^{0}$ and/or $D_{2}^{*}(2460)^{0}$ into $D^{*} \pi$ have been seen. A fraction $(18 \pm 5 \pm 2) \%$ of the $\mathrm{D}^{* \pm}$ 's is found to come from a $\mathrm{D}^{* * 0}$ decay. Indirect evidence for the production of such heavier states is also found from the apparent low value of the $\mathrm{V} / \mathrm{V}+\mathrm{P}$ ratio $0.51 \pm 0.04$ obtained from comparing the $\mathrm{D}^{*}, \mathrm{D}^{0}$ and $\mathrm{D}^{+}$rates.

A measurement of the $c$ quark forward-backward pole asymmetry was performed on a subsample enriched with $\mathrm{D}^{*}$ candidates originating from a primary c quark, giving :

$$
A_{F B}^{0, c}=7.7 \pm 4.4 \%
$$

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- variable 2 is the momentum of the leading particle of the most energetic jet with respect to the jet axis in the hemisphere [19].
- variable 3 is the boosted sphericity ( $\beta_{b o o s t}=0.965$ ) of the most energetic jet in the hemisphere.
- variable 4 is the product of the sum of the transverse momenta by the sum of the longitudinal momenta normalized to $P_{\text {total }}^{2}$.
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## Figure Captions

Fig. 1. $\mathrm{K} \pi$ invariant mass distribution, for $X_{E}(\mathrm{~K} \pi)>0.25$. for 1991 data (points with error bars) compared with the fit described in the text. Dotted line: combinatorial background; dashed line: charmed background; solid line: total background and signal including the double counting. The arrows indicate the selection cuts.

Fig. 2. Mass-difference distribution, for $X_{E}(\mathrm{~K} \pi \pi)>0.25$. The $\mathrm{K} \pi$ mass is required to be between 1.835 and $1.895 \mathrm{GeV} / c^{2}$. The background, calculated by the event-mixing technique, is shown. The solid line shows the sum of the background obtained by event mixing and the signal shape predicted by Monte Carlo normalized to the total number of signal events.

Fig. 3. Fish-eye view of an event containing a high energy $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}$candidate, with the $\mathrm{D}^{0}$ decaying into $\mathrm{K} \pi$. The decay tracks are marked.

Fig. 4. $\mathrm{K} \pi \pi$ invariant mass distribution, showing the $D^{ \pm}$signal. The solid line shows the sum of the background (dotted line) and the expected signal shape predicted by Monte Carlo normalized to the total number of signal events.

Fig. 5. $X_{E}\left(\mathrm{D}^{*}\right)$ distribution, as measured separately for $b \bar{b}$ and $c \bar{c}$ events. The solid lines are the Monte Carlo expectations with the parameters extracted from the fit described in Section 4.2.

Fig. 6. a) $X_{E}\left(\mathrm{D}^{*}\right)$ distribution, b) $V_{N N}\left(\mathrm{D}^{*}\right)$ distribution, corrected for efficiency and background subtracted. The data are represented by dots with error bars; the best fit is shown (solid line), together with $\mathrm{b} \overline{\mathrm{b}}$ and $\mathrm{c} \bar{c}$ contributions.

Fig. 7. $\mathrm{D}^{* \pm} \pi^{\mp}$ effective mass distribution, together with the fitted signal of $\mathrm{D}^{* *}$.
Fig. 8. Mass-difference distribution, for the process $\mathrm{D}^{*+} \rightarrow \mathrm{D}^{0} \pi_{s}^{+}, \mathrm{D}^{0} \rightarrow \mathrm{~K}^{-} \pi^{+} \pi^{+} \pi^{-}$. The $\mathrm{K} \pi \pi \pi$ mass is required to be between 1.835 and $1.895 \mathrm{GeV} / c^{2}$ and $X_{E}\left(\mathrm{D}^{*}\right)>0.5$. The background (dash-dotted line) is obtained from the upper side band of the $\mathrm{K} \pi \pi \pi$ mass distribution. The solid line shows the Monte Carlo simulation.

Fig. 9. $\mathrm{K} \pi \pi^{0}$ invariant mass distribution, for $\mathrm{D}^{* \pm}$ candidates with $\Delta M$ between 0.1435 and 0.1475 MeV and $X_{E}>0.5$. The solid line is a fit of a polynomial for the background plus a gaussian for the signal, to guide the eye.

Fig. 10. Distribution of the signed cosine angle of the $\mathrm{D}^{* \pm}$ corrected for efficiency and background subtracted, compared with the angular distribution of charmed quark corresponding to the measured asymmetry.


Figure 1:


Figure 2:


Figure 3:


Figure 4:


Figure 5:


Figure 6a:


Figure 6b:


Figure 7:


Figure 8:


Figure 9:


Figure 10:


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[^2]:    ${ }^{1} f_{d}=0.9_{-0.2}^{+0.1}, f_{s}=0.02 \pm 0.015$ and $\chi_{s}=0.3$ to 0.5 leads to $\chi_{\text {mix }}=0.16 \pm 0.08$. Uncertainties on $\chi_{\text {mix }}$ arise mainly from the knowledge of $\chi_{d}$.

