



## Proficiency of WHO Global Foodborne Infections Network External Quality Assurance System participants in the identification and susceptibility testing of thermo-tolerant *Campylobacter* spp. from 2003-2012

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2

3 Proficiency of WHO Global Foodborne Infections Network External Quality Assurance System  
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6

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42

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46

47

48

49 **Abstract**

50 *Campylobacter* spp. are food- and water borne pathogens. While rather accurate estimates for these  
51 pathogens are available in industrialized countries, a lack of diagnostic capacity in developing  
52 countries limits accurate assessments of prevalence in many regions. Proficiency in the  
53 identification and susceptibility testing of these organisms is critical for surveillance and control  
54 efforts. The aim of the study was to assess performance for identification and susceptibility testing  
55 of thermo-tolerant *Campylobacter* among laboratories participating in the World Health  
56 Organization (WHO) Global Foodborne Infections Network (GFN) External Quality Assurance  
57 System (EQAS) over a nine year period.

58 Participants (primarily national level laboratories) were encouraged to self-evaluate performance as  
59 part of continuous quality improvement.

60 The ability to correctly identify *Campylobacter* spp. varied by year and ranged from 61.9 % (2008)  
61 to 90.7 % (2012), and the ability to correctly perform antimicrobial susceptibility testing (AST) for  
62 *Campylobacter* spp. appeared to steadily increase from 91.4 % to 93.6 % in the test period (2009-  
63 2012).

64 Poorest performance (60.0 % correct identification and 86.8 % correct AST results) was observed in  
65 African laboratories.

66 Overall, approximately 10 % of laboratories reported either an incorrect identification or  
67 antibiogramme. As most participants were (supra)-national reference laboratories, these data raise  
68 significant concerns regarding capacity and proficiency at the local, clinical level. Addressing these  
69 diagnostic challenges is critical for both patient level management and broader surveillance and  
70 control efforts.

71

72

73 **Introduction**

74 Campylobacteriosis in humans typically presents as acute diarrhea with fever. However, more  
75 significant sequelae such as Guillain-Barré syndrome (GBS), reactive arthritis (ReA) and irritable  
76 bowel syndrome (IBS) have been reported following *Campylobacter* gastroenteritis (1).  
77 Most human cases are caused by thermo-tolerant *Campylobacter* spp. which are zoonotic bacteria  
78 found in animals such as poultry, cattle and pigs as well as contaminants of various foodstuffs and  
79 water (2, 3).

80

81 *Campylobacter jejuni* or *Campylobacter coli* are the most commonly implicated species and  
82 campylobacteriosis is the most frequently reported bacterial foodborne illness in most developed  
83 countries. However, data from developing countries data is often limited by a lack of diagnostic  
84 capacity (1, 2, 4).

85 Antimicrobials are typically not indicated for mild/moderate enteritis in otherwise healthy  
86 individuals. However, antimicrobial therapy may be warranted for severe or bloody diarrhea.  
87 Antimicrobials are also used in the management of extra-intestinal (invasive) infections. The  
88 macrolides (e.g. erythromycin or azithromycin) are commonly used for empiric treatment of  
89 campylobacteriosis and fluoroquinolones (e.g. ciprofloxacin) may be a second line therapy for  
90 adults. Accurate antimicrobial susceptibility testing is critical for developing empiric therapy  
91 guidelines and monitoring emerging resistance. Increasing antimicrobial resistance (AMR),  
92 especially multidrug resistance to fluoroquinolones and azithromycin significantly limits treatment  
93 options for severe/invasive disease. Access to last line drugs such as carbapenems is often beyond  
94 the reach of many in the developing world (5).

95

96 Since 2000, the World Health Organization (WHO) Global Foodborne Infections Network (GFN)  
97 (formerly WHO Global Salm-Surv (WHO GSS)) has functioned as an international platform to  
98 enhance the capacity of countries to detect, control and prevent foodborne and other enteric  
99 infections. Part of this capacity building work has focused on identification and susceptibility  
100 testing of *Campylobacter*. Since 2000, WHO GFN has offered members the opportunity to  
101 participate at no cost in an annual External Quality Assurance System (EQAS). Although the  
102 primary focus of the EQAS is serotyping and antimicrobial susceptibility testing (AST) of  
103 *Salmonella*; identification and AST of *Campylobacter* is included as a separate module.  
104 Laboratories may choose to participate in all or some components. Approximately 200 laboratories  
105 participate in one or more components of the EQAS. Of these, approximately 50 % will participate  
106 in the *Campylobacter* module. The WHO GFN program focuses activities mainly at reference level  
107 facilities (supranational, national, or subnational). While some of these facilities may perform  
108 clinical testing; clinical diagnostic laboratories typically do not participate in EQAS (6,7).  
109 Participants report results electronically and receive their results immediately. Participants are  
110 encouraged to utilize their results as part of continuous quality improvement.

111

112 The aim of this paper is to summarise and describe temporal and geographic trends in the  
113 performance of the *Campylobacter* component of the EQAS (identification and AST) observed  
114 between 2003-2012.

115

## 116 **Materials and Methods**

117 The *Campylobacter* identification component has included *C. jejuni* and *C. coli* since 2003 and  
118 *Campylobacter lari* (from 2003-2008). AST of *C. jejuni* and *C. coli* have been included since 2009.  
119 Due to the limited availability of epidemiological cut off values (ECOFFs) for other *Campylobacter*

120 spp., the AST component, only includes *C. jejuni* and *C. coli*. Since 2003, the Technical University  
121 of Denmark, National Food Institute (DTU Food) in collaboration with members of the WHO GFN  
122 steering committee have organized this proficiency test annually (except 2005). DTU Food  
123 coordinated the selection of test strains and verified the identification and AST of test strains.  
124 Results obtained by DTU-Food were reconfirmed in a blinded manner by a referee laboratory  
125 (United States' Centers for Disease Control and Prevention (CDC)). Further details on the  
126 preparatory work and the EQAS-setup are described in the annual EQAS reports available on the  
127 Internet (<http://www.who.int/gfn/activities/eqas/en/>).

128

129 While the target audience for the EQAS is national public health, food and veterinary reference  
130 laboratories, in special instances (particularly in countries without a designated referral laboratory)  
131 the organizers occasionally permit a select number of clinical and/or research laboratories to  
132 participate in the EQAS. EQAS participants have the option to participate in all or some of the  
133 components. Participants in the WHO GFN proficiency test for identification and/or AST of  
134 *Campylobacter* receive two vials each containing a lyophilized *Campylobacter* isolate (challenge  
135 strains). In addition, all laboratories participating in the *Campylobacter* AST component were  
136 provided an isolate of *C. jejuni* ATCC 33560 upon request. Protocols available on the Internet  
137 (<http://www.antimicrobialresistance.dk/233-169-215-eqas.htm>) described how to revive and test the  
138 isolates and referred to a manual on sub-culturing and maintenance of quality control (QC) strains.

139

140 For the identification component, the protocol specified that the laboratory's routine methods  
141 should be applied. Laboratories were free to utilize conventional phenotypic identification,  
142 molecular identification, or a combination of methods for identification. Laboratories who

143 participated only in the AST component (did not perform identification), were provided upon  
144 request the identification of the coded *Campylobacter* strains.

145 While multiple methods were used for identification; during this period, validated methods for  
146 susceptibility testing of *Campylobacter* by disk diffusion or E-test were not internationally  
147 available. As such only broth or agar dilution methods (MIC) were accepted for the AST  
148 component. Epidemiological cut-off values (ECOFFs) for the interpretation of disk diffusion zones  
149 for ciprofloxacin, erythromycin and tetracycline against *C. coli* as well as ciprofloxacin,  
150 erythromycin, tetracycline, and gentamicin for *C. jejuni* are now incorporated into EUCAST  
151 guidelines. Participants could test and submit results for chloramphenicol (CHL), ciprofloxacin  
152 (CIP), erythromycin (ERY), gentamicin (GEN), nalidixic acid (NAL), streptomycin (STR), and  
153 tetracycline (TET). The protocol listed the interpretative criteria applied for this EQAS, i.e.  
154 ECOFFs according to EUCAST (<http://www.eucast.org>) which allowed two categories of  
155 characterization (resistant [non-wildtype], R or susceptible [wildtype], S) for the *C. jejuni* and *C.*  
156 *coli* test strains.

157

158 The WHO GFN EQAS was set-up as a self-evaluating system in which participants directly upon  
159 submission of results received a report comparing their obtained results to quality assured and  
160 verified expected results and itemizing the laboratory's eventual deviations. Deviations for the  
161 identification component were reported as incorrect results and no attempt was made to quantify  
162 their severity. For the AST component, the acceptance limit was set at 5 % deviations, i.e. one  
163 deviation would categorize the laboratory's results as unacceptable. The analysis was based on  
164 assigning all results the same level of influence, i.e. disregarding the impact of the variation in the  
165 selection of test strains from year to year (the susceptibility testing of some strains could be more



166 difficult than others) and the varying participation levels (some years, more laboratories participated  
167 compared to other years).

168

169 For each of the world regions, the annual proportion of correctly identified species were analyzed  
170 for i) significant variation between the years 2003 to 2012 using the function `Fisher.test` in R and ii)  
171 a time-trend from 2003 to 2012 by performing a chi-square test for trend in proportions using the  
172 function `prop.trend.test` from the R-package `stats`. Next, for each region, the data was aggregated to  
173 the overall proportion of correctly identified *Campylobacter* species over the whole period from  
174 2003 to 2012. These data were used to test if the proportion of correctly identified species was  
175 different between the regions using the `prop.test` function in the R-package `stats`.

176

177 To assess potential differences between regions in the AST performance, the proportion of correct  
178 AST result for each antibiotic (CHL, CIP, ERY, GEN, NAL, STR and TET) was analyzed for  
179 significant differences between regions using the `prop.test` function in the `stat` R-package `stats`.

180

181 All presented 95 % confidence intervals for the proportions were obtained using the function  
182 `binconf` in the R-package `Hmisc`.

183

## 184 **Results**

185 In total, laboratories from 96 countries (Figure 1) participated in the *Campylobacter* identification  
186 and/or AST component of the EQAS in one or more iterations from 2003 to 2012 and included  
187 national and other reference laboratories from the veterinary, food and public health sector.

188

189 For the identification component of the EQAS, the number of countries participating each year  
190 were: 53 (2003), 62 (2004), 59 (2006), 59 (2007), 63 (2008), 54 (2009), 62 (2010), 57 (2011) and  
191 69 (2012). In some cases multiple laboratories from a country participated in the EQAS (e.g. MoH  
192 and MoA laboratories). The cumulative number of laboratories participating in the *Campylobacter*  
193 module was: 97, 111, 100, 104, 112, 92, 100, 82 and 112 participants each of the years,  
194 respectively.

195

196 For the AST of *Campylobacter* in the years 2009, 2010, 2011 and 2012, the numbers of  
197 participating countries from each region were: Africa (2, 2, 7, 4); Asia & Middle East (1, 1, 1, 3);  
198 Caribbean (0, 0, 0, 1); Europe (7, 9, 7, 11); Latin America (4, 7, 6, 6); North America (1, 2, 2, 2);  
199 Oceania (0, 0, 1, 0); Russian region (0, 1, 1, 0); Southeast Asia (4, 5, 4, 6). The cumulative number  
200 or laboratories participating from each country was: 25, 37, 38 and 47 participants in total each of  
201 the years, respectively. In all, 18 laboratories participated twice, 14 participated three times and 11  
202 participants took part in all four *Campylobacter* AST iterations from 2009 to 2012.

203

204 The overall ability to correctly identify *Campylobacter* spp. fluctuated over the years 2003 to 2012  
205 (Figure 2), and when focusing at *C. coli* and *C. jejuni* between the regions, a significant difference  
206 could be identified in Europe and Latin America in the proportion of correctly identified  
207 *Campylobacter* species between years (data not shown). No time-related trend was identified in  
208 Europe nor Latin America, and other factors (such as new laboratories joining or previous  
209 participants leaving the program) likely contributed to this variation. Significant variation between  
210 the years was not found in any other regions.

211

212 There was a significant difference between the regions as to the proportion of correctly identified  
213 *Campylobacter* species, with Oceania and North America exhibiting the highest, and Africa and the  
214 Caribbean the lowest proportions of correctly identified *Campylobacter* species (Figure 3). It is  
215 important to consider that in some regions (e.g. Oceania), participation was limited (n=1) and may  
216 fail to truly reflect regional capacity.

217

218 In the iterations from 2003 to 2012, identifications were correctly performed between 59.3 % (2011;  
219 *C. coli*; N = 81) and 96.4 % (2012; *C. jejuni*; N = 112) with an average over the years of 79.3 %  
220 (based on the result of two isolates per year, i.e. 18 isolates in total, of *C. jejuni*, *C. coli* and *C. lari*;  
221 total number of observations, N = 1,736). Comparing between the species, it appears that  
222 participants are more able to correctly identify *C. jejuni*, (90.4 % correct) than *C. coli* and *C. lari*  
223 (74.1 % and 68.8 % correct identifications, respectively). This result is not unexpected as typical *C.*  
224 *jejuni* is readily identified by hippurate hydrolysis whereas other species require additional, more  
225 complex tests.

226

227 Subsequent to the validation of the submitted data, 1,565 AST results could be included for analysis  
228 for the eight *Campylobacter* isolates included in the four iterations from 2009 to 2012. Of these, 7.3  
229 % deviated from the expected. For all antimicrobials included in the AST performance test, there  
230 was a significant difference between the performance of the regions, where Europe and North  
231 America exhibited the highest correspondence with the expected results and Southeast Asia the  
232 lowest (Figure 4). Additionally, results from Oceania present a high percentage (100 %), and results  
233 from the Caribbean region present a low percentage (75 %), however, these results should be  
234 interpreted with care as they represent results from a limited number of laboratories per region  
235 (N=1).

236

237 Deviations appeared to be in particular caused by streptomycin with a deviation level at 11.5 %, but  
238 also for erythromycin, tetracycline and ciprofloxacin, fairly high deviation levels were seen (8.5 %,  
239 8.1 %, and 6.4 % respectively). In particular, one bacterial strain caused a high level of deviations,  
240 i.e. WHO 2009 C-9.2 (resistant [non-wildtype] to CIP, NAL, and TET) for which 11.3 % of the  
241 submitted results deviated from the expected. A low deviation level (4.2 %) was obtained for  
242 chloramphenicol, towards which all the test strains were susceptible [wildtype]. A comparison of  
243 the obtained results from all laboratories which participated in the AST component in one or more  
244 of the four iterations, indicated a slight increase in performance (Figure 5). Disregarding results  
245 from the Caribbean and Oceania due to the limited number of submitted results, the summary of the  
246 four years' results per region provided an indication of a generally low performance of the  
247 participants in the Southeast Asian region and the African region, with levels at 17.0 % and 13.2 %  
248 deviations, respectively. In 2012, however, all regions except Southeast Asia (deviation level at  
249 14.2 %) exhibited deviation levels lower than 10 % (the Russian region did not participate in the  
250 2012 iteration).

251

252 In the four iterations, 51 (75 %) laboratories uploaded one or more values for the QC reference  
253 strain, *C. jejuni* ATCC 33560 suggesting that 25 % of the labs did not test QC strain for reference.  
254 Of the submitted values for the QC reference strain, an average of 17.2 % were out of the QC range  
255 when evaluated towards one of the validated methods described by CLSI (e.g. VET01-A4) (8).  
256 Analysis of regional differences in this context reveals Africa as the region with the highest level of  
257 laboratories submitting AST results for the *Campylobacter* test strains without submitting results  
258 for the *C. jejuni* ATCC 33560 reference strain.

259

260 **Discussion**

261 The proficiency test results are intended to be utilized for continuous quality improvement.  
262 However, some participating laboratories did not demonstrate improvement in identification or AST  
263 over time. Information about corrective actions implemented in the individual laboratories based on  
264 the deviations in their evaluation report could have added to the analysis but was unfortunately not  
265 available. This proficiency test shows a worrisome tendency where even national reference  
266 laboratories in regions, normally anticipated to perform flawless, have approximately 10 %  
267 incorrect results in both tests. Given the complex microbiology of *Campylobacteriaceae* and the  
268 fastidious nature of these organisms, when these results are extrapolated to first line facilities such  
269 as clinical laboratories, the ability to correctly identify and antimicrobial susceptibility test  
270 *Campylobacter* is likely substantially higher than the 10 % error observed among participating  
271 laboratories. In addition, *Campylobacter* results were only received from ~50 % of the total number  
272 of participating laboratories in the WHO GFN EQAS. This suggests that nearly 50 % of participants  
273 lack basic capacity for testing *Campylobacter*. These findings are worrying in light of the  
274 importance of *Campylobacter* as a foodborne pathogen. Thus, actions are still required to improve  
275 the performance of laboratories and report correct data on *Campylobacter* worldwide.  
276  
277 Performance (pass/fail) criteria were not specified for the *Campylobacter* identification module,  
278 though the average of 79.3 % correctly identified strains indicates that some laboratories would  
279 need to assess their routine to improve their performance. Assessing the general performance of the  
280 AST component of all participating laboratories over the four years, the average deviation level at  
281 7.3 % exceeds the defined acceptance limit of 5 %. The development in the annual deviation levels;  
282 however, could not indicate a trend with statistical significance. For the AST, the high level of

283 incorrectly reported results is critical, especially for macrolides (8.5 %) and fluoroquinolones (6.4  
284 %), since these two antimicrobials classes are the preferred choice for treatment.

285

286 The frequent incidence of *Campylobacter* diarrhea, increasing drug resistance, and the potential for  
287 long term sequelae, highlight the importance of accurately understanding the socio-economic  
288 burden of campylobacteriosis (1). Increased competence of reference laboratories for identification  
289 and AST *C. jejuni* and *C. coli* therefore support disease surveillance and control programs.

290 However, the ability of a referral center to impact surveillance is contingent upon an  
291 isolate/specimen flow from first line laboratories. On average, only 50 % of EQAS participants  
292 reported results for *Campylobacter*, suggesting widespread gaps in capacity. The advancement in  
293 whole genome sequencing and *in silico* bioinformatics tools combined with lower prices, is a  
294 promising development in enhancing the ability of national reference laboratories to correctly  
295 identify and susceptibility testing *Campylobacter* in the future. Similarly, molecular assays and  
296 other culture independent tests may increase surveillance capacity at the clinical level. While these  
297 technologies currently are not a substitute for culture, they may provide estimates of burden and  
298 help determine which specimens should be subjected to conventional culture.

299

300 The self-evaluation design of this proficiency test was intended to challenge the participating  
301 laboratories to assess their current identification and AST methods for *Campylobacter* also allowing  
302 them to include the proficiency test outcome as an external quality assessment of the relevant  
303 methods. Self-evaluation is a concept well-known to laboratories following a quality assurance  
304 standard requiring quality control procedures e.g. ISO/IEC 17025 (9) and might include monitoring  
305 the validity of test results by regular use of internal quality control using reference materials or  
306 participation in proficiency-testing programmes. Apart from the obvious connection to the WHO

307 GFN EQAS as a proficiency test, laboratories participating in the programme are offered material  
308 for internal control in the form of both the certified ATCC reference strain, *C. jejuni* ATCC 33560,  
309 and the test strains which can be regarded as internal control strains and consequently should be  
310 stored and maintained.

311

312 In addition to self-evaluation, the possibility of introducing approaches like mentoring of  
313 participants, training courses, and E-learning could be explored and suggested to the participants.  
314 The question of resources must, however, be considered, for example, mentoring of participants  
315 appears to be a rewarding but also is a resource demanding approach of capacity building. Regional  
316 follow-up is likely to be a rewarding approach and should be based on evaluation of regional needs  
317 and challenges. Especially for the African region and Southeast Asia, it appears that specific follow-  
318 up is required. For example, the submitted results for the AST component indicate that many  
319 laboratories did not perform adequate internal quality control (17.2 % of submitted results for the  
320 ATCC reference strain were out of range), which is why WHO GFN capacity building efforts focus  
321 at encouraging the maintenance of relevant quality assurance as part of the laboratory routines.  
322 Internal laboratory QA ensures minimization of variable factors influencing the obtained result for a  
323 test strain. These factors include the media content, the activity of the antimicrobial, and the testing  
324 of a QC reference strain according to an internationally recognized standard (e.g. CLSI).  
325 Laboratories that have introduced relevant quality assurance of the variable factors facilitate a good  
326 performance. For all laboratories performing AST of *Campylobacter* species, testing of the *C. jejuni*  
327 reference strain (ATCC33560) should be a routine QA measure providing quality control for both  
328 the method and the reagents. Moreover, results outside the quality control ranges should always  
329 induce appropriate follow-up.

330

331 In conclusion, this annually provided proficiency test supports the identification and AST of  
332 *Campylobacter* and allows national reference laboratories free of charge to evaluate their obtained  
333 results by comparison to quality assured and verified expected results. Overall, we found that global  
334 ability to correctly identify *Campylobacter* spp. fluctuated over the years up to 90.7 % and the  
335 ability to correctly perform AST appeared to steadily increase to 93.6 %. African laboratories  
336 followed by Southeast Asian had the lowest performance in both identifying the *Campylobacter*  
337 spp. conducting AST. Our results reveal a worrisome tendency where approximately 10 % of  
338 laboratories report either an incorrect diagnosis or antimicrobial susceptibility profile for treatment.  
339 This will compromise the ability to correctly diagnose illness, effectively treat patients and will  
340 provide unreliable data for pathogen and AST surveillance systems if not attended.

341

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348

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381 laboratories.
- 382
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384 **Figure Legends**

385 Figure 1: Map indicating the participating countries colored with respect to the region they belong  
386 to (Africa, Asia/Middle East, Caribbean, Europe, Latin America, North America, Oceania, Russia  
387 or Southeast Asia). Performance with regard to the species identification and antimicrobial  
388 susceptibility testing is indicated as average of correct results (%).

389 The 96 participating countries included the following: Africa (Algeria, Botswana, Cameroon,  
390 Central African Republic, Congo Rep. of, Egypt, Ethiopia, Gambia, Gabon, Ivory Coast, Kenya,  
391 Madagascar, Malawi, Mauritius, Morocco, Senegal, South Africa, Sudan, Tunisia); Asia & Middle  
392 East (China, Iran Islamic Rep. of, Israel, Kuwait, Oman, Saudi Arabia); Caribbean (Barbados,  
393 Grenada, Jamaica, Trinidad and Tobago); Europe (Bosnia and Herzegovina, Bulgaria, Croatia,  
394 Cyprus, Czech Republic, Denmark, Estonia, Finland, Germany, Greece, Hungary, Iceland, Italy,  
395 Latvia, Lithuania, Luxembourg, Macedonia, Malta, Rep. of Moldova, Netherlands, Norway,  
396 Poland, Romania, Serbia, Slovakia, Slovenia, Spain, Turkey); Latin America (Argentina, Bolivia,  
397 Brazil, Chile, Colombia, Costa Rica, Cuba, Ecuador, El Salvador, Guatemala, Mexico, Panama,  
398 Paraguay, Peru, Suriname, Uruguay, Venezuela); North America (Canada, United States of  
399 America); Oceania (Australia, New Caledonia, New Zealand); Russian region (Belarus, Georgia,  
400 Russian Federation, Ukraine); Southeast Asia (Brunei Darussalam, Cambodia, India, Japan, Korea  
401 Rep. of, Lao Dem. Rep. of, Malaysia, Philippines, Singapore, Sri Lanka, Taiwan, Thailand, Viet  
402 Nam).

403 Figure 2: Species identification of *Campylobacter*; summary of the performance per year of results  
404 covering all nine participating regions (Africa, Asia/Middle East, Caribbean, Europe, Latin  
405 America, North America, Oceania, Russia, Southeast Asia).

406

407 Figure 3: Species identification of *Campylobacter coli* and *C. jejuni*; summary of the performance  
408 per region of results over the years 2003-2012 (excl. 2005).

409

410 Figure 4: Antimicrobial susceptibility testing of *Campylobacter*; the performance per year and  
411 region.

412

413 Figure 5: Antimicrobial susceptibility testing of *Campylobacter*; summary of the performance per  
414 year of results covering all nine participating regions (Africa, Asia/Middle East, Caribbean, Europe,  
415 Latin America, North America, Oceania, Russia, Southeast Asia).











