

## Progress in the surface passivation of silicon solar cells

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# Progress in the surface passivation of silicon solar cells

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#### PROGRESS IN THE SURFACE PASSIVATION OF SILICON SOLAR CELLS

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ABSTRACT: In order to increase the efficiency of silicon-wafer-based solar cells in production well above 20%, it is indispensable to improve the currently applied level of surface passivation at the front as well as at the rear of the cells. This paper focuses on two main challenges: (i) the low-temperature passivation of lowly doped p-type silicon surfaces at the cell rear and (ii) the passivation of highly boron-doped  $p^+$  emitter surfaces as used at the front of solar cells on high-lifetime *n*-type silicon wafers. In the past, low surface recombination velocities (< 20 cm/s) have been achieved on low-resistivity (~1 Ωcm) p-type silicon using plasma-enhanced chemical-vapour-deposited (PECVD) silicon nitride (SiN<sub>x</sub>) as well as amorphous silicon (a-Si). However, the high density of fixed positive charges within the PECVD-SiN<sub>x</sub> layer induces an inversion layer at the rear of p-type Si cells, producing a detrimental parasitic shunting, which reduces the short-circuit current density by up to 3 mA/cm<sup>2</sup>. The passivation quality of a-Si on the other hand is very temperature sensitive. More recently it has been shown that atomic-layer-deposited (ALD) aluminium oxide (Al<sub>2</sub>O<sub>3</sub>) provides an outstanding level of surface passivation, which can be attributed to its extremely high negative fixed charge density in combination with the very gentle deposition technique ALD, leading to low interface state densities. The application of these ALD-Al<sub>2</sub>O<sub>3</sub> layers to the rear of p-type solar cells shows that this new passivation scheme is indeed suitable for high efficiencies and that due to the large negative fixed charge density no parasitic shunting occurs. We also demonstrate that ALD-Al<sub>2</sub>O<sub>3</sub> seems to be the ideal passivation layer for borondoped  $p^+$  emitter surfaces. In a direct comparison with other passivation schemes, it is found that Al<sub>2</sub>O<sub>3</sub> even outperforms optimized thermally grown SiO<sub>2</sub> and opens the possibility of achieving very large open-circuit voltages up to  $V_{\rm oc} = 740 \text{ mV}.$ 

Keywords: Silicon, Surface Passivation, Al<sub>2</sub>O<sub>3</sub>

#### **1 INTRODUCTION**

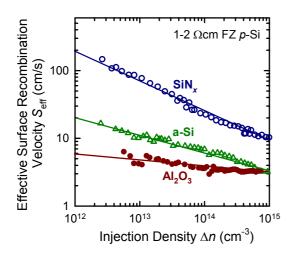
In high-efficiency laboratory silicon solar cells, surface recombination is very effectively suppressed by means of silicon dioxide (SiO2) grown in a hightemperature (≥ 900 °C) oxidation process [1]. Very low surface recombination velocities (SRVs) are in particular realized at the lightly doped rear surface, where the combination of a thermally grown  $SiO_2$  layer with an evaporated film of Al give - after an additional annealing treatment at ~400°C (the so-called 'alneal') - SRVs below 20 cm/s on un-metallized low-resistivity (~1  $\Omega$ cm) *p*-type silicon wafers [2]. In addition, the  $SiO_2/Al$  stack at the cell rear acts as an excellent reflector for nearbandgap photons, significantly improving the light trapping properties and hence the short-circuit current of the cell. One of the main reasons why high-temperature oxidation has not been implemented into the majority of industrial cell processes up to now is the high sensitivity of the silicon bulk lifetime to high-temperature processes. In particular in the case of multi-crystalline silicon wafers, thermal processes above 900°C typically lead to a significant degradation of the bulk lifetime [3]. Hence, lowtemperature surface passivation alternatives are required for future industrial high-efficiency silicon solar cells, which should have comparable properties as the alnealed SiO<sub>2</sub>.

One intensively investigated low-temperature surface passivation alternative to thermal oxide is silicon nitride  $(SiN_x)$  grown by plasma-enhanced chemical vapor deposition (PECVD) at ~400°C, which has proven to give comparably low SRVs as thermal SiO<sub>2</sub> on low-resistivity

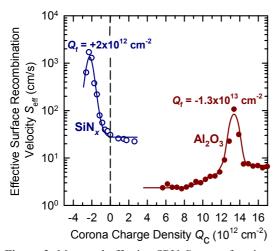
*p*-type silicon [4,5]. However, when applied to the rear of PERC (Passivated Emitter and Rear Cell)-type solar cells the short-circuit current density is strongly reduced compared to the SiO<sub>2</sub>-passivated cell rear [6]. This effect has been attributed to the large density of fixed positive charges within the SiN<sub>x</sub> layer, inducing an inversion layer in the crystalline silicon underneath the SiN<sub>r</sub>. The coupling of this inversion layer to the base contact leads to a significant loss in the short-circuit current density. This detrimental effect is known as 'parasitic shunting' [7]. Another alternative low-temperature passivation scheme resulting in comparable SRVs as alnealed SiO<sub>2</sub> is intrinsic hydrogenated amorphous silicon (a-Si) deposited by PECVD in the temperature range between 200 and 250°C [8]. Despite the fact that no parasitic shunting occurs in the case of an a-Si passivated cell rear, new problems arise from the high sensitivity of the a-Si passivation to thermal processes. More recently it was shown that thin films of aluminium oxide (Al<sub>2</sub>O<sub>3</sub>) grown by atomic layer deposition (ALD) provide an excellent level of surface passivation on lowly doped (~1  $\Omega$ cm) p- and n-type silicon wafers [9,10,11] as well as on highly boron-doped  $p^+$ emitters [12].

In the first part of this contribution, we compare the passivation properties of  $SiN_{x_2}$  a-Si and ALD-Al<sub>2</sub>O<sub>3</sub> regarding the application to the rear of high-efficiency *p*-type silicon solar cells. The second part of the paper addresses the issue of passivating highly boron-doped  $p^+$ -emitters, which has been a main obstacle in the past for fabricating high-efficiency cells on high-lifetime *n*-type silicon wafers.





**Figure 1.** Comparison of the injection-dependent effective SRVs  $S_{\text{eff}}(\Delta n)$  measured on 1-2  $\Omega$ cm *p*-type flotatzone silicon wafers passivated by (i) SiN<sub>x</sub> deposited by remote-PECVD [13], (ii) intrinsic a-Si deposited in a parallel-plate PECVD reactor [8] and (iii) Al<sub>2</sub>O<sub>3</sub> deposited by means of plasma-assisted ALD [14]. The lines are guides to the eye.

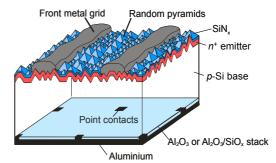


**Figure 2.** Measured effective SRV  $S_{\text{eff}}$  as a function of the Corona charge density  $Q_{\text{C}}$  deposited onto dielectric passivation layers of SiN<sub>x</sub> and Al<sub>2</sub>O<sub>3</sub>. The lines are guides to the eye.

Figure 1 shows a comparison of the injectiondependent effective SRVs measured on 1-2  $\Omega$ cm p-type silicon wafers passivated by (i) SiN<sub>r</sub> deposited by remote-PECVD [13], (ii) intrinsic a-Si deposited in a parallel-plate PECVD reactor [8], and (iii) Al<sub>2</sub>O<sub>3</sub> deposited by means of plasma-assisted ALD [14]. The measurements are shown in the injection range between  $10^{12}$  and  $10^{15}$ cm<sup>-3</sup>, which is the most relevant range for the rear of onesun silicon solar cells. Within this injection range it becomes obvious from Fig. 1 that the Al<sub>2</sub>O<sub>3</sub> passivation outperforms the well-optimized SiN<sub>x</sub> as well as the a-Si passivation. Importantly, the Al<sub>2</sub>O<sub>3</sub> passivation results in a very weak injection level dependence in the injection range between 10<sup>12</sup> and 10<sup>15</sup> cm<sup>-3</sup>, and the effective SRV stays well below 10 cm/s even at low injection densities  $< 10^{13}$  cm<sup>-3</sup>. The strong injection level dependence of the effective SRV measured on SiN<sub>x</sub>-passivated *p*-Si surfaces can be attributed to recombination within the space charge region induced by the high fixed positive charge density within the SiN<sub>x</sub> layer [5]. Note that in the past surface recombination velocities down to ~200 cm/s had already been achieved by Al<sub>2</sub>O<sub>3</sub> films on 1-2  $\Omega$ cm *p*-type silicon deposited by atmospheric-pressure chemical vapour deposition [15].

Figure 2 shows the measured effective SRV as a function of the Corona charge density deposited onto dielectric passivation layers of SiN<sub>x</sub> and Al<sub>2</sub>O<sub>3</sub>. In the flatband case when the deposited Corona charge density equals the fixed charge density within the dielectric layer, the recombination rate shows a maximum. From this maximum the charge density within the layer can be deduced. As can be seen from Fig. 2, the fixed charge density within the  $SiN_x$  layer is positive and amounts to  $Q_{\rm f} = +2 \times 10^{12}$  elementary charges/cm<sup>2</sup>, whereas the fixed charge density within the  $\mathrm{Al}_2\mathrm{O}_3$  layer is negative and amounts to  $Q_f = -1.3 \times 10^{13}$  cm<sup>-2</sup>. The fixed negative charge density within the Al2O3 layer induces an accumulation layer at the *p*-type silicon surface in contrast to the SiN<sub>r</sub> layer inducing and inversion layer. As a consequence, the Al<sub>2</sub>O<sub>3</sub> provides a very effective field-effect passivation without the additional contribution of recombination in the space charge region, which is the dominant recombination channel in the case of the SiN<sub>x</sub> passivation of *p*-type silicon surfaces at low injection densities [5]. In addition, due to the formation of an accumulation layer instead of an inversion layer at the *p*-type silicon surface, the above-mentioned parasitic shunting effect at the solar cell rear is not expected for an Al<sub>2</sub>O<sub>3</sub>rear-passivated cell. In combination with its very high transparency for near-bandgap photons, ALD-deposited Al<sub>2</sub>O<sub>3</sub> should hence be an optimal choice for a dielectric layer at the silicon solar cell rear.

Note that on *n*-type silicon with a resisitivy of  $\sim 2$   $\Omega$ cm also very low SRVs of 4 cm/s have been measured over the entire relevant injection range [10,14]. Hence ALD-Al<sub>2</sub>O<sub>3</sub> is also excellently suited for passivating the rear of *n*-type silicon solar cells. In Section 4 the potential of *all-Al<sub>2</sub>O<sub>3</sub>* passivated *n*-type cells will be analysed in detail.



**Figure 3.** PERC-type solar cell structure used to demonstrate the applicability of an  $Al_2O_3$  rear surface passivation to high-efficiency solar cells.

Rear side	Cell ID	$V_{\rm oc}$ [mV]	$J_{\rm sc} [{\rm mA/cm^2}]$	FF [%]	η [%]
Thermal SiO <sub>2</sub> (220 nm)	7_1	656	38.9	80.3	20.5
	Average of 4	655±1	38.4±0.5	80.3±1.3	20.2±0.3
ALD-Al <sub>2</sub> O <sub>3</sub> (130 nm)	3_3	655	38.7	78.9	20.0*
	Average of 4	656±2	38.6±0.1	79.4±1.4	20.0±0.4
ALD-Al <sub>2</sub> O <sub>3</sub> (30 nm)/	2_4	660	39.0	80.1	20.6*
PECVD-SiO <sub>x</sub> (200 nm)	Average of 8	657±2	38.6±0.3	80.4±1.1	20.4±0.4

**Table 1.** One-sun parameters measured under standard testing conditions of 290  $\mu$ m thick PERC-type silicon solar cells with three different rear surface passivations: (i) thermal SiO<sub>2</sub> (220 nm), (ii) ALD-Al<sub>2</sub>O<sub>3</sub> (130 nm) and (iii) ALD-Al<sub>2</sub>O<sub>3</sub>(30 nm)/PECVD-SiO<sub>x</sub>(200 nm). All cells were fabricated on 0.5- $\Omega$ cm FZ *p*-Si wafers [11].

\*Calibrated measurement at Fraunhofer ISE CalLab.

Figure 3 shows the PERC-type solar cell structure used to demonstrate the applicability of Al<sub>2</sub>O<sub>3</sub> rear surface passivation to high-efficiency silicon solar cells. The starting material is (100)-oriented boron-doped float-zone (FZ) silicon with a thickness of 310 µm and a resistivity of 0.5  $\Omega cm.$  After damage etching and wet chemical cleaning, an SiO<sub>2</sub> layer is grown on both wafer surfaces in a wet oxidation process at 1000°C. Subsequently, 2×2 cm<sup>2</sup> diffusion windows are photolithographically opened on one wafer side and the silicon surface within the windows is textured with random pyramids in a KOH/isopropanol solution. A single-step phosphorus emitter is diffused from a POCl<sub>3</sub> source, resulting in an  $n^+$ -emitter with a sheet resistance of 100  $\Omega$ /square, and the phosphorus glass is removed by a short HF dip. At this point of the process, the cell batch is split up into three batches, of which each one receives a different rear surface passivation: (i) one batch of cells keeps the thermally grown  $SiO_2$ , (ii) the second one is coated by a 130 nm Al<sub>2</sub>O<sub>3</sub> film and (iii) the third batch is passivated by a stack consisting of a 30 nm Al<sub>2</sub>O<sub>3</sub> layer and a 200 nm thick PECVD-SiO<sub>x</sub> layer. The Al<sub>2</sub>O<sub>3</sub> films are deposited by plasma-assisted ALD at a deposition temperature of 200°C. The plasma-assisted ALD Al<sub>2</sub>O<sub>3</sub> process is split up into two self-limiting reactions consisting of a trimethyl-aluminum [Al(CH<sub>3</sub>)<sub>3</sub>] exposure and an O<sub>2</sub> plasma. The subsequent annealing step as applied in the study of Hoex et al. [10] is omitted in this case as adequate post-deposition annealing steps are already present in the cell process (tunnel oxidation at 500°C). The  $SiO_x$ layer is deposited in a continuous PECVD process using silane (SiH<sub>4</sub>) and nitrous oxide ( $N_2O$ ) as process gases. The remaining process steps are identical for all three cell batches. Using photolithography point contact openings are etched into the dielectric layers at the rear. A photolithography mask resulting in a point contact pitch of 2 mm and a metallization fraction of 4% is used. 20 µm of Aluminium is evaporated on the entire cell rear using electron-beam evaporation. A tunnel oxidation of the  $n^+$ -emitter is performed at 500°C for 10 min, resulting in an ~1.5 nm thick oxide layer [15]. The 20 µm thick Al front metal grid is then evaporated through a shadow mask onto the tunnel oxide. Finally, a surface-passivating  $SiN_x$  antireflection coating is deposited onto the front of the PERC solar cell by remote-PECVD at 300°C. The

aperture area of all solar cells is  $4 \text{ cm}^2$  and the entire front metallization, including the busbar, is within the active cell area.

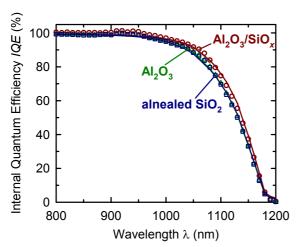
Table 1 summarizes the one-sun parameters of the processed PERC-type solar cells featuring different rear surface passivation schemes, as measured under standard testing conditions (25°C, 100 mW/cm<sup>2</sup>, AM 1.5 G). The results marked with an asterisk were independently confirmed at Fraunhofer ISE CalLab. The best reference solar cell with alnealed SiO<sub>2</sub> rear surface passivation is characterized by an efficiency of  $\eta = 20.5\%$ , an opencircuit voltage of  $V_{oc} = 656$  mV and a short-circuit current density of  $J_{sc} = 38.9 \text{ mA/cm}^2$ . The analysis of the internal quantum efficiency (IQE) shows that the  $V_{\rm oc}$  is limited by the front emitter. The average values of all 4 cells with SiO<sub>2</sub> rear passivation show only a very small scatter, demonstrating the high reproducibility of the process. The average parameters of the cells with Al<sub>2</sub>O<sub>3</sub>,  $Al_2O_3/SiO_x$  and  $SiO_2$  rear passivation agree within the scatter ranges. In particular it is noticeable that the  $J_{sc}$  of the cells with Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>/SiO<sub>x</sub> rear surface passivation is not reduced compared to the SiO<sub>2</sub>-passivated cells. In the case of high-positive-charge dielectrics, such as  $SiN_x$  with fixed positive charge densities >10<sup>12</sup> cm<sup>-2</sup>, it was reported that  $J_{sc}$  is reduced by 1-2 mA/cm<sup>2</sup> compared to the thermal SiO<sub>2</sub> reference, due to the above-described parasitic shunting effect [6,7]. This effect is not expected in the case of Al<sub>2</sub>O<sub>3</sub> as it is a negative-charge-dielectric inducing an accumulation layer. The cell results summarized in Table 1 confirm the expected non-existence of the parasitic shunting for Al<sub>2</sub>O<sub>3</sub>-passivated as well as for Al<sub>2</sub>O<sub>3</sub>/SiO<sub>x</sub>-passivated rear surfaces. The best cell of the entire batch is obtained for the Al<sub>2</sub>O<sub>3</sub>/SiO<sub>x</sub>-passivated cell, resulting in an independently confirmed efficiency of  $\eta = 20.6\%$ , a  $V_{oc}$  of 660 mV and a  $J_{sc}$  of 39.0 mA/cm<sup>2</sup>.

It is not possible to quantify the exact rear surface passivation quality from comparison of the cell parameters given in Table 1, as these solar cells are largely limited by recombination losses in the front emitter. Hence, we analyze the *IQE* in the wavelength range 800-1200 nm to determine the rear SRVs of the different rear surface passivation schemes. The symbols in Fig. 4 show the *IQE* as a function of wavelength  $\lambda$  of PERC cells

**Table 2.** Effective rear surface recombination velocity  $S_r$  and internal rear reflectance  $R_r$  extracted from the *IQE* measurements shown in Fig. 5.

Rear side	Rear surface recombination velocity <i>S</i> <sub>r</sub> [cm/s]	Internal rear reflectance $R_r$ [%]
Thermal SiO <sub>2</sub> (220 nm)	90 ± 20	$91 \pm 1$
Al <sub>2</sub> O <sub>3</sub> (130 nm)	$90 \pm 20$	$90 \pm 1$
Al <sub>2</sub> O <sub>3</sub> (30 nm)/SiO <sub>x</sub> (200 nm)	$70 \pm 20$	91 ± 1

with the three different rear passivation schemes, measured at a fixed bias light intensity of 0.3 suns. The solid lines in Fig. 4 show the fits to the measured data. To model the  $IQE(\lambda)$  dependence we use the software LAS-SIE [16,17], which combines the extended IQE evaluation by Basore [18] with the improved optical model developed by Brendel [19]. The bulk lifetime is assumed to be limited by Auger recombination, resulting in a bulk diffusion length of  $L_b = 1500 \ \mu m$  for the 0.5  $\Omega cm \ p$ -type silicon material used in this work [20]. As we assume the intrinsic upper limit for the bulk lifetime, the SRVs determined from the IQE analysis are upper limits as well. Table 2 summarizes the rear SRVs  $S_r$  and the internal rear reflectances  $R_r$  extracted from the *IQE* analysis. All three rear structures are equally effective reflectors for near-bandgap photons ( $R_r = 91\%$ ). The rear SRV (including recombination at the metal contacts) of the reference cell with alnealed SiO<sub>2</sub> amounts to  $S_r = (90 \pm 20)$  cm/s. The extracted  $S_r$  for the cell with single-layer Al<sub>2</sub>O<sub>3</sub> rear passivation is the same as for the SiO2-passivated reference cell, showing that ALD-deposited Al<sub>2</sub>O<sub>3</sub> performs as good as aluminium-annealed high-temperature-grown  $SiO_2$ . A further reduction in the  $S_r$  is obtained for the  $Al_2O_3/SiO_x$  stack, resulting in an  $S_r$  of only (70 ± 20) cm/s, which we attribute to the hydrogenation of interface states at the Al<sub>2</sub>O<sub>3</sub>/Si interface during deposition of the hydrogen-rich  $SiO_x$  layer.



**Figure 4.** Measured internal quantum efficiency *IQE* as a function of wavelength  $\lambda$  (symbols) for solar cells with three different rear surface passivations: (i) thermal SiO<sub>2</sub> (220 nm), (ii) ALD-Al<sub>2</sub>O<sub>3</sub> (130 nm) and (iii) ALD-Al<sub>2</sub>O<sub>3</sub>(30 nm)/PECVD-SiO<sub>x</sub>(200 nm). The lines show the fitted *IQE*( $\lambda$ ) curves. All measurements were taken with a white bias light intensity of ~0.3 suns [11].

The effective SRV of a point-contacted rear is given by Fischer's equation [17]

$$S_{\rm r} = \frac{D_n}{W} \left[ \frac{p}{2W\sqrt{\pi f}} \arctan\left(\frac{2W}{p}\sqrt{\frac{\pi}{f}}\right) \right]^{-1} + \frac{S_{\rm pass}}{1 - f}, \quad (1)$$
$$- \exp\left(-\frac{W}{p}\right) + \frac{D_n}{fWS_{\rm met}} \right]^{-1}$$

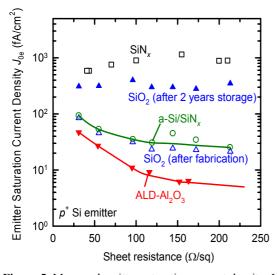
where  $D_n$  is the electron diffusion coefficient, W the wafer thickness, p the contact pitch, f the metallization fraction and S<sub>met</sub> and S<sub>pass</sub> are the SRVs on the metallized and on the passivated areas of the rear. According to Eqn. (1) the minimum SRV S<sub>r.min</sub> for a point-contact rear with perfect passivation in the non-metallized area (i.e.,  $S_{pass} = 0$ ) is given by the first summand on the right-hand side of Eqn. (1). For our cell structure we determine  $S_{\rm r min} = 73$ cm/s ( $D_n = 23 \text{ cm}^2$ /s,  $W = 290 \text{ }\mu\text{m}$ ,  $p = 2000 \text{ }\mu\text{m}$ , f = 4%,  $S_{\text{met}} \ge 10^5$  cm/s). As the  $S_{\text{r}}$  of (70 ± 20) cm/s extracted from the *IQE* analysis is practically identical to the  $S_{r,min}$ calculated using Eq. (1), we conclude that recombination in the passivated area of the cell rear can be completely neglected. Note that, although a slightly better passivation is obtained in the case of the  $Al_2O_3/SiO_x$  stacks, the rear SRV of the single-layer Al2O3-passivated cells is also mainly determined by recombination at the metal contacts. The IQE results clearly prove that atomic-layerdeposited Al<sub>2</sub>O<sub>3</sub> is a very effective new dielectric passivation layer for high-efficiency silicon solar cells.

#### **3** PASSIVATION OF B-DOPED $p^+$ EMITTERS

Emitter saturation current densities  $J_{0e}$  of borondoped  $p^+$  emitters were extracted from QSSPC measurements of the effective lifetime as a function of the injection density using the method of Kane and Swanson [21,12]. High-resistivity (20-90  $\Omega$ cm) shiny-etched *n*type silicon wafers with a thickness of ~260  $\mu$ m were used as base material. BBr<sub>3</sub> diffusions were performed on both sides of the wafers in the temperature range 895-1010°C [22]. After etching off the boron glass, the drivein was performed by a thermal oxidation at 1050°C [22]. All oxide-passivated samples received a 40-min forming gas anneal at 400°C before the QSSPC measurements. The sheet resistance of the  $p^+$  emitters was determined from four-point probe measurements [23].

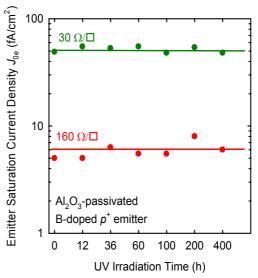
Figure 5 shows the measured emitter saturation current densities  $J_{0e}$  of the boron-diffused  $p^+$  emitters as a function of their sheet resistance. After boron diffusion all samples were thermally oxidized and forming gas annealed. The open blue triangles in Fig. 5 show the resulting  $J_{0e}$  values ranging from 85 fA/cm<sup>2</sup> at a sheet resistance of 30  $\Omega$ /sq down to only 30 fA/cm<sup>2</sup> at 100  $\Omega$ /sq. However, as has been shown in a recent study [24], this very good passivation quality is highly unstable and after approximately two years of storage in the dark the  $J_{0e}$  of the oxide-passivated  $p^+$  emitters degraded to ~300 fA/cm<sup>2</sup> (filled blue triangles in Fig. 5). The fact that SiO<sub>2</sub>-passivated boron-doped  $p^+$  emitters are unstable has also been observed on high-efficiency *n*-type PERL silicon solar cells with  $p^+$  front emitter [25].

A very elegant method to improve the poor passivation quality of thermally grown SiO<sub>2</sub> on  $p^+$  emitters has recently been proposed [26,27]. This method makes use of the excellent passivation quality of SiO<sub>2</sub> on  $n^+$  surfaces by implementing an additional phosphorus diffusion at the surface of the  $p^+$  emitter. The resulting  $n^+/p^+$  junction at the surface can be well passivated as the excellent passivation properties of oxide on the  $n^+$  surface are exploited. The described new passivation method has already been applied in the "buried emitter solar cell" [26,28] currently under development at ISFH.



**Figure 5.** Measured emitter saturation current density  $J_{0e}$  of B-diffused  $p^+$  emitters as a function of sheet resistance. Surfaces are passivated by (i) forming-gasannealed thermal SiO<sub>2</sub> (open blue triangles), (ii) thermal SiO<sub>2</sub> after 2 years of storage in the dark (closed blue triangles), (iii) PECVD-SiN<sub>x</sub> (open squares), (iv) a-Si/SiN<sub>x</sub> stacks deposited by PECVD (open circles) [24] and (v) ALD-Al<sub>2</sub>O<sub>3</sub> [12].

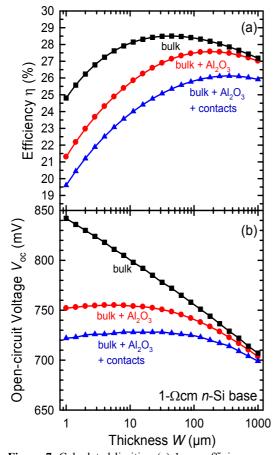
The black open squares in Fig. 5 show the  $J_{0e}$  values measured after stripping off the SiO<sub>2</sub> and depositing PECVD-SiN<sub>x</sub> optimized for surface passivation on undiffused *p*-type silicon [29]. SiN<sub>x</sub> gives by far the worst passivation quality of all investigated passivation schemes on  $p^+$  emitters, which can partly be attributed to the large positive fixed charge density within the SiN<sub>x</sub> films. However, it should also be mentioned here that a firing has recently been shown to improve the SiN<sub>x</sub> passivation quality on boron-doped  $p^+$  emitters [30]. The abovementioned surface inversion method [26,27] of the  $p^+$ emitter can also be applied in combination with SiN<sub>x</sub> instead of thermal SiO<sub>2</sub> to improve the passivation quality of the SiN<sub>x</sub> on  $p^+$  emitters. After etching off the SiN<sub>x</sub> films the samples shown in Fig. 5 were passivated by double layers consisting of a 10 nm thick a-Si film deposited at 230°C and a 60 nm thick SiN<sub>x</sub> layer deposited at the same temperature. The a-Si/SiN<sub>x</sub> stacks were subsequently annealed at 350°C for 5 min to improve their passivation quality [24]. Despite the remarkably low deposition temperature, the a-Si/SiN<sub>x</sub> stack passivates as effectively as the best high-temperture SiO<sub>2</sub> and is also perfectly stable, as has been shown in a recent study [24]. The main disadvantage of the a-Si/SiN<sub>x</sub> stack regarding the application to the front  $p^+$  emitter is the increased absorption within the a-Si layer, reducing the short-circuit density of the solar cell.



**Figure 6.** Perfect stability of  $Al_2O_3$ -passivated B-doped  $p^+$  emitters under UV illumination. The experiments mimic 2 years outdoor conditions.

The filled red triangles in Fig. 5 show the measured  $J_{0e}$  values after stripping off the a-Si/SiN<sub>x</sub> stacks, depositing Al<sub>2</sub>O<sub>3</sub> by plasma-assisted ALD at 200°C and annealing the samples for 30 min at 425°C [12]. The  $J_{0e}$  values of the Al<sub>2</sub>O<sub>3</sub>-passivated  $p^+$  emitters range from ~50 fA/cm<sup>2</sup> measured at a sheet resistance of 30  $\Omega$ /sq down to only 6 fA/cm<sup>2</sup> at 150  $\Omega$ /sq [12]. To our knowledge this is the best passivation realized so far on boron-doped  $p^+$ emitters. A very high open-circuit voltage of 704 mV and an efficiency of 23.2% have in fact recently been realized using the above ALD-Al<sub>2</sub>O<sub>3</sub> passivation scheme on the front boron-doped  $p^+$  emitter of an *n*-type cell, whereas the rear was thermally oxidised [31]. As an additional advantage, Al<sub>2</sub>O<sub>3</sub> as a large-bandgap material (~9 eV) is perfectly transparent for solar radiation. Hence, double layers of a thin (up to ~10 nm)  $Al_2O_3$  film and a  $SiN_x$  antireflection layer on top are excellently suited for the application to high-efficiency cells on n-type silicon with a boron-doped  $p^+$  front emitter.

We have also studied the stability of the  $Al_2O_3$  passivation under UV illumination. The samples are kept under the same conditions as PV modules, i.e., they are positioned under a glass and an EVA sheet. 400 hours of UV exposure mimics the integrated amount of UV light the cells are exposed to during 2 years under average outdoor conditions. The results of this experiment are shown in Fig. 6. The  $Al_2O_3$  passivation is found to be perfectly stable and shows no degradation at all during UV exposure.



#### 4 ALL-Al<sub>2</sub>O<sub>3</sub> PASSIVATED CELLS

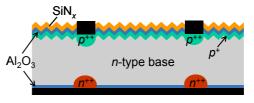
**Figure 7.** Calculated limiting (a) 1-sun efficiency  $\eta$  and (b) open-circuit voltage  $V_{oc}$  as a function of cell thickness W for a 1- $\Omega$ cm *n*-type silicon base assuming Lambertian light trapping. Black squares: only bulk recombination via Coulomb-enhanced Auger; red circles: additional surface recombination at the Al<sub>2</sub>O<sub>3</sub>-passivated  $p^+$  emitter and Al<sub>2</sub>O<sub>3</sub>-passivated *n*-Si base; blue triangles: additional contact recombination and front-grid shading.

Using the measured recombination parameters of Al<sub>2</sub>O<sub>3</sub>-passivated  $p^+$  emitters and on undiffused Al<sub>2</sub>O<sub>3</sub>-passivated *n*-type Si surfaces we calculate the 1-sun efficiency and  $V_{oc}$  limits for *all-Al<sub>2</sub>O<sub>3</sub>* passivated *n*-type silicon solar cells. For these calculations we assume an *n*-type silicon wafer with a base resistivity of 1  $\Omega$ cm and Lambertian light trapping [32]. The *I-V* characteristics is calculated using the expression

$$J = J_{\rm L} - qW \frac{\Delta n}{\tau_{\rm b}} - J_{0e} \left[ \exp\left(\frac{qV}{kT}\right) - 1 \right] - qS_{\rm r}\Delta n , \quad (2)$$

where  $J_{\rm L}$  is the light-generated current density, W the cell thickness,  $\tau_{\rm b}$  the bulk lifetime,  $J_{0\rm e}$  the emitter saturation current density and  $S_{\rm r}$  the rear surface recombination velocity. In order to estimate the ultimate efficiency limit

for a 1-sun silicon solar cell we assume a perfectly passivated emitter and rear surface, i.e.  $J_{0e} = 0$  and  $S_r = 0$  in Eq. (2). The cell thickness W is varied between 1 and 1000 µm. The bulk recombination lifetime  $\tau_b$  is assumed to be limited by Coulomb-enhanced Auger recombination, and we use a widely accepted parameterization as a function of the carrier densities [33].



**Figure 8.** The all- $Al_2O_3$  passivated *n*-type silicon solar cell has an efficiency limit of 26.1% including bulk, surface and contact recombination as well as shading losses.

**Table 3.** Calculated limiting 1-sun efficiency  $\eta$  and open-circuit voltage  $V_{oc}$  as a function of cell thickness W for a 1- $\Omega$ cm *n*-type silicon base assuming Lambertian light trapping.

Losses	$\eta_{max}$ (%)	V <sub>oc</sub> @ η <sub>max</sub> (mV)
bulk	28.5	770
<b>bulk</b> + $Al_2O_3$	27.5	740
bulk + Al <sub>2</sub> O <sub>3</sub> + contacts	26.1	720

Figure 7 and Table 3 show the calculated efficiency limit and the corresponding  $V_{oc}$  for three different cases. The uppermost curve (black squares) shows that Coulomb-enhanced Auger recombination limits the efficiency of a silicon solar cell to an ultimate efficiency of 28.5% for a cell thickness of ~50  $\mu$ m. The red curve (circles) includes recombination in the Al<sub>2</sub>O<sub>3</sub>-passivated boron-diffused  $p^+$  emitter as well as recombination at the undiffused Al2O3-passivated cell rear. For Al2O3passivated  $p^+$  emitters we have measured a  $J_{0e}$  value of 6  $fA/cm^2$  and for undiffused *n*-type silicon a SRV of  $S_r = 4$ cm/s. These additional recombination channels reduce the efficiency limit to 27.5% for a cell thickness between 100 and 300  $\mu$ m. Moreover, the *all-Al<sub>2</sub>O<sub>3</sub>* passivated cell permits open-circuit voltages of up to 755 mV for a cell thickness between 3 and 10  $\mu$ m. The V<sub>oc</sub> limit drops to 740 mV at a cell thickness of 100 µm, where the efficiency peaks. Adding contact recombination and contact shading in a realistic way reduces the efficiency limit further to 26.1% and the  $V_{\rm oc}$  limit to 728 mV (blue triangles in Fig. 7). At a cell thickness of 200 µm our calculation gives a maximum efficiency of 26.1% at a  $V_{\rm oc}$  of 720 mV. The latter case corresponds to the cell structure shown in Fig. 8. Under the contacts we assume that heavy diffusions reduce the contact recombination. The front  $p^{++}$  diffusion beneath the metal contacts has a sheet resistance of 5  $\Omega$ /sq, resulting in an emitter saturation current density of  $J_{0e.met} = 420$  fA/cm<sup>2</sup> [34]. The  $n^{++}$  diffusion at the rear has a sheet resistance of 30  $\Omega$ /sq and a

 $J_{0r.met}$  of 350 fA/cm<sup>2</sup> [35], which corresponds to an  $S_{r.met}$  of 150 cm/s. We use Eqn. (1) to determine the total rear SRV  $S_r$  as a function of cell thickness. For the metallization fraction at the front and the rear we assume a value of f = 3%. We also account for the optical shading losses by reducing  $J_L$  by 3%. Our calculations clearly show that due to the excellent level of surface passivation, a reduction of the contact recombination remains the major challenge in order to reach highest efficiencies.

#### 5 CONCLUSIONS

Atomic layer deposition (ALD) of Al<sub>2</sub>O<sub>3</sub> has been identified as an ideal new passivation scheme for passivating undiffused *p*- and *n*-type silicon surfaces as well as for the passivation of boron-doped  $p^+$  regions. Our calculations of efficiency and  $V_{\rm oc}$  limits of *n*-type Si solar cells show that *all*-*Al*<sub>2</sub>O<sub>3</sub> passivated cells have an efficiency limit of 27.5% at a  $V_{\rm oc}$  of 740 mV. Including contact recombination and optical shading with realistic assumptions the efficiency limit drops to 26.1% at a  $V_{\rm oc}$  of 720 mV.

In addition to the outstanding surface passivation provided by ALD-deposited Al<sub>2</sub>O<sub>3</sub>, the deposition process itself is also beneficial from an application point of view. In contrast to the conventionally applied PECVD, ALD consists of two self-limiting half-reactions, which implies several important advantages: (i) ALD gives highly conformal coatings, which allows to deposit and passivate e.g. deep trenches or even pores in silicon, (ii) pin-hole and particle-free deposition is achieved, (iii) as ALD is a self-limiting process uniform films can be deposited over large areas with mono-layer growth control and (iv) very low impurity concentrations of deposited films and hence very high film quality is achieved. The main disadvantage of ALD for photovoltaic applications is its relatively low deposition rate. However, this disadvantage can be overcome by depositing ultrathin (2-30 nm) ALD-Al<sub>2</sub>O<sub>3</sub> films and capping them with a thicker film of e.g.  $PECVD-SiO_x$ ,  $SiN_x$  or  $SiC_x$  [36]. Apart of the advantageous optical properties of these stacks, we have demonstrated that the passivation quality of such ALD/PECVD stacks can even be superior to that of single layers of Al<sub>2</sub>O<sub>3</sub> [11], which we attribute to the hydrogenation of interface states at the Al2O3/Si interface during deposition of the hydrogen-rich PECVD layer. Combination of ALD and PECVD might hence be a key technology for future industrial high-efficiency solar cells.

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