## PROGRESSIVE LOSSLESS COMPRESSION OF MEDICAL IMAGES

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### ABSTRACT

This paper describes a lossless compression method for medical images that produces an embedded bit-stream, allowing progressive lossy-to-lossless decoding with L-infinity oriented rate-distortion. The experimental results show that the proposed technique produces better average lossless compression results than several other compression methods, including JPEG2000, JPEG-LS and JBIG, in a publicly available medical image database containing images from several modalities.

*Index Terms*— Medical image compression, lossless image coding, progressive transmission, finite-context models.

### 1. INTRODUCTION

It is well-known that most medical imaging modalities produce huge amounts of data. Moreover, for several reasons, it is frequently needed to store or transmit those images at the highest possible fidelity. The discussion around the question of whether or not all data present in a medical image should be preserved is a long-lasting one (see, for example, [1, 2]). In our opinion, it seems reasonable that for long-term archiving (from medical sources or from some other sources) images should be compressed using reversible algorithms. In fact, whereas an image compressed with a lossless algorithm can be re-compressed more efficiently in the future by a better algorithm without loosing any information, the same is usually not true with lossy-compressed images.

Despite the large number of works regarding embedded image coding, only a few of them address distortion measures that do not rely on error averages and, particularly, on the  $L_2$ -norm. The works of Avcibaş et al. [3, 4], Alecu et al. [5, 6, 7] and Krivoulets [8, 9] are remarkable exceptions, since they address the problem of generating embedded bit-streams that minimize the  $L_{\infty}$ -norm of the reconstruction error. Avcibas et al. proposed an approach that relies on a predictive-based method that successively refines the probability density function (pdf) used to estimate each pixel and by restricting the region of support of the pdf to fixed size intervals, which have to be predefined before encoding [3, 4]. Almost simultaneously, Alecu et al. proposed a wavelet-based scheme that allows full  $L_{\infty}$  scalability [5, 6, 7]. This algorithm was compared with JPEG2000 in terms of  $L_{\infty}$  rate-distortion, showing better results [7]. Krivoulets proposed a lossy plus near-lossless layered compression scheme with embedded quantization of the difference signal, where the initial lossy layer is encoded with JPEG2000 [8, 9].

In this paper, we describe a progressive lossless compression method and we present the results of its use in the medical imaging area. This method is based on binary tree decomposition and finite-context modeling, producing a  $L_{\infty}$ -constrained embedded bitstream [10]. We studied the performance of the method in a medical image test set collected by Starosolski, containing images from Computed Radiography (CR), Computed Tomography (CT), Magnetic Resonance (MR) and Ultrasound (US) modalities of several anatomical regions, bit depths and acquired with devices from several vendors [11]. We compared the results with those attained by the current image coding standards, namely JPEG2000, JBIG and JPEG-LS.

### 2. THE CODING APPROACH

#### 2.1. Hierarchical organization of the intensity levels

The compression technique is based on a hierarchical organization of the intensity levels of the image. This organization is obtained by means of a binary tree. Each node of the binary tree, n, represents a certain subset,  $S^n$ , of the intensities of the image. The root node is associated with the complete set of image intensities,  $\mathcal{I} = \{I_1, I_2, \ldots, I_N\}$ . Therefore,  $S^n \subset \mathcal{I}$  and  $S^1 \equiv \mathcal{I}$ . Each node possesses a representative intensity,  $I^n$ , given by

$$I^n = \left\lfloor \frac{I_m^n + I_M^n}{2} \right\rfloor,\tag{1}$$

where  $I_m^n$  and  $I_M^n$  are, respectively, the smallest and largest intensities in  $S^n$ , and where  $\lfloor x \rfloor$  denotes the largest integer less than or equal to x. Computing the value of  $I^n$  according to (1) leads to the smallest possible  $L_\infty$  reconstruction error when the intensities associated to node n (those in  $S^n$ ) are substituted by  $I^n$ . This error is  $\epsilon_\infty^n = I_M^n - I^n$ .

During encoding (or decoding), a tree is constructed from the root node to the leaves, always choosing to expand the node that implies the highest reduction in the reconstruction error. In case of having several nodes leading to the same error, one is arbitrarily chosen. The only constraint is that the decoder picks the same node.

When node *n* is expanded, two subsets are formed by splitting  $S^n$  into  $S_l^n$  and  $S_r^n$ , such that  $S_l^n = \{I \in S^n : I \leq I^n\}$  and  $S_r^n = \{I \in S^n : I > I^n\}$ . Therefore, all intensities  $I \in S^n$  that are smaller or equal to the representative intensity,  $I^n$ , go to the set of the left node, whereas those that are larger go to the set of the right node. This procedure is repeated until expanding all nodes, i.e., until having a tree with N leaves (the number of image intensities).

At the decoder side, an identical binary tree is constructed. To do this, the decoder needs only to know the set of intensity values that occur in the image. This set can be efficiently communicated by sending the maximum intensity value,  $I_N$ , followed by a string of  $I_N$  bits, such that if the  $n^{\text{th}}$  bit of the string is one, then the intensity n-1 exists in the image (if it is zero, then it does not exist).

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It is interesting to note that two images sharing the same set of intensity values will have exactly the same binary tree and that it will be expanded exactly in the same node order, independently of how many times each intensity value is used and where it occurs in the image.

When node n is expanded, all pixels having intensity  $I^n$  have to change to one of the two new representative intensities,  $I_l^n$  or  $I_r^n$ . This can be seen as a region of arbitrary shape, containing zeros and ones, that needs to be communicated to the decoder. The shape of the region is known by the decoder (it corresponds to the position of the pixels in the image with current reconstruction value equal to  $I^n$ ). However, the zeros and ones (corresponding to the positions of the new  $I_l^n$  and  $I_r^n$  intensities) need to be encoded.

### 2.2. Encoding of the binary masks

The encoding of these binary masks is of key importance to the final performance of the method, which is attained by a carefully chosen context modeling that drives a binary arithmetic encoder. [12]. The contexts are constructed based on a template where the context pixels (sixteen, at most) are numbered according to their distance to the encoding pixel. A particular context is represented using a sequence of bits,  $b_1b_2...b_k$ , where  $b_i = 0$  if  $|I(i) - I_i^n| \le |I(i) - I_r^n|$  and is zero otherwise, and where I(i) denotes the intensity of the pixel in the current reconstructed image corresponding to position *i* of the context template.

The value of k varies as encoding proceeds, because it is expected to have larger mask regions initially and smaller regions when  $n \approx N$ . Therefore, to avoid the problem of context dilution, smaller values of k are typically used when  $n \approx N$ . In this work, we present results based on three approaches regarding this context adaptation issue. One of them, the fastest, is based on a function that was fitted in order to predict the values of k [13]. We call this approach "Pred" and denote the value of the prediction by  $\tilde{k}$ . The second approach uses  $\tilde{k}$  as a starting point, and then picks the value of k given the lowest bitrate, for  $k = {\tilde{k} - 2, ..., \tilde{k} + 2}$ . We call this approach, "Var2". Finally, the third approach performs a full search for the best value of k. We call it "Full" and, as expected, it is the most demanding in terms of computational resources, but it also gives the best possible results for this context modeling setup.

#### 3. EXPERIMENTAL RESULTS AND CONCLUSION

Table 1 presents lossless compression results, in bits per pixel, obtained using JPEG2000 [14, 15], JBIG [16, 17], JPEG-LS [18, 19] and the proposed  $L_{\infty}$  progressive compression algorithm. In this experiment, we used a publicly available medical image database composed of 48 images from CR, CT, MR and US modalities (twelve from each one). These images can be obtained from http://sun.aei.polsl.pl/~rstaros/mednat/index.html.

JPEG2000 lossless compression was obtained using version 5.1 of the JJ2000 codec with default parameters for lossless compression<sup>1</sup>. JBIG compression was obtained using version 1.6 of the JBIG Kit package<sup>2</sup>, with sequential coding (-q flag). Note that this flag disables progressive encoding inside a bit-plane. However, the  $L_{\infty}$  nature is maintained because the encoding is still done bit-plane by bit-plane, from the most significant to the least significant. JPEG-LS coding was obtained using version 2.2 of the SPMG JPEG-LS codec

with default parameters<sup>3</sup>.

The results presented in Table 1 show that the proposed approach attains better lossless compression in the four groups of images. The largest difference occurs in the images from the CT and MR modalities. A considerable part of the gain that is attained is due to the sparse nature of the histogram of intensities of the images from these groups, as can be guessed from the number of levels indicated in the table. It is known that histogram sparseness originates loss of performance in predictive or transform based image compression techniques [20]. One of the simplest approaches for avoiding this loss of performance involves histogram packing (an one-to-one order preserving mapping) before compression [21]. However, histogram packing cannot be applied in lossy compression [22] and, therefore, the simultaneous use of histogram packing and progressive decoding is not possible. Regarding this issue, the proposed approach is clearly advantageous, because it is virtually immune to histogram sparseness and allows progressive decoding.

Figure 1 shows operational rate-distortion curves, in the  $L_{\infty}$ norm sense, for images "cr\_17218", "ct\_135960\_001" and "us\_19773", showing the best behavior in the case of the proposed method. It can also be observed that, generally, JBIG provides better  $L_{\infty}$  ratedistortion than JPEG2000, but loses when the rates approach the lossless point. In fact, from the four images modalities, JBIG was only able to attain a better average lossless rate for US. Recall that the JPEG-LS standard does not allow progressive decoding.

# 4. CONCLUSION

In this work, we investigated the appropriateness of a progressive lossless image coding method based on binary tree decomposition and finite-context arithmetic coding applied to the compression of medical images. The technique produces an embedded bit-stream optimized for  $L_{\infty}$ -constrained decoding. In addition to its good performance, both in terms of lossless compression and  $L_{\infty}$  rate-distortion, it is immune to histogram sparseness, a characteristic not present in most predictive or transform based methods and that might considerably reduce the compression efficiency of those methods [20, 21, 22]. Three different approaches have been tested for context adaptation, to allow choosing between compression performance and compression according to convenience.

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<sup>&</sup>lt;sup>1</sup>http://jj2000.epfl.ch.

<sup>&</sup>lt;sup>2</sup>http://www.cl.cam.ac.uk/~mgk25/jbigkit/.

<sup>&</sup>lt;sup>3</sup>The original web-site of this codec, http://spmg.ece.ubc.ca, is currently unavailable. However, it can be obtained from ftp://www.ieeta.pt/~ap/codecs/jpeg\_ls\_v2.2.tar.gz.

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Rate-distortion for image "cr\_17218"









Fig. 1. Operational  $L_{\infty}$ -norm rate-distortion curves of the "cr\_17218", "ct\_135960\_001" and "us\_19773" images for the proposed method ("Pred" version of context adaptation), for JPEG2000 and for JBIG.

**Table 1**. Lossless compression results, in bits per pixel, obtained with the proposed method, with JPEG2000, JBIG and JPEG-LS. The images have been taken from a publicly available database and cover four medical imaging modalities: Computed Radiography (CR), Computed Tomography (CT), Magnetic Resonance (MR) and Ultrasound (US). The "Prev", "Var2" and "Full" versions of the proposed method refer to three different approaches for context adaptation (additional details in the main text).

Image	$Rows \times Cols$	Depth	Levels	JPEG2000	JBIG	JPEG-LS	Proposed		
-		-					Pred	Var2	Full
cr_17218	$1792 \times 2392$	12	2068	5.228	5.575	5.221	4.765	4.735	4.715
cr_17220	$2048 \times 2500$	12	3186	3.712	4.118	3.785	3.745	3.723	3.704
cr_17222	$2392 \times 1792$	12	2939	4.493	4.878	4.546	4.539	4.509	4.489
cr_4503	$2010 \times 1670$	10	256	4.800	4.164	4.733	2.781	2.771	2.758
cr_4507	$1760 \times 1760$	10	1024	2.158	2.432	2.188	2.191	2.174	2.162
cr_4509	$2140 \times 1760$	10	882	4.194	4.571	4.236	3.924	3.909	3.894
cr_pacem_1	$1910 \times 1716$	16	24180	11.186	11.665	10.903	9.635	9.602	9.586
cr_pacem_2	$1965 \times 1531$	16	28627	10.736	11.305	10.537	9.515	9.470	9.456
cr_rtg_ib	$746 \times 612$	16	3280	11.223	11.698	11.029	6.792	6.767	6.759
cr_siem_01_02	$2128 \times 1744$	10	913	5.317	5.677	5.242	5.083	5.065	5.052
cr_siem_14_02	$2368 \times 1760$	10	638	2.981	3.219	2.916	2.462	2.452	2,445
cr slim 1	$2031 \times 1866$	16	26539	11.046	11.518	10.759	9.664	9.626	9.610
Average	_	_		5.845	6.151	5.782	5.175	5.151	5.136
at 125060.001	E10 × E10	16	2442	7.042	7 704	6766	2.046	2.940	2 026
ct_135960_001	$312 \times 312$ 519 × 519	10	2442	7.045	7.704	6.706	5.940	2.022	2.806
ct_155900_005	$312 \times 312$ 519 × 519	10	2800	1.009	7.070	0.700	4.040	5.922 4.150	5.890
cl_17	$512 \times 512$	12	1200	4.879	2.307	4.399	4.194	4.139	4.135
ct_2/154	$312 \times 312$ $340 \times 340$	12	1500	2.739	5.100	2.000	2.100	2.033	2.025
ct_29513	$340 \times 340$	12	2570	5.259	5.678	4.829	4.031	4.543	4.530
ct_29920	$512 \times 512$	12	1723	4.879	5.438	4.61/	4.008	3.970	3.962
ct_3030	$691 \times 512$	16	//8	11.690	12.227	11.493	5.224	5.200	5.189
ct_3071	$512 \times 512$	16	1696	9.406	9.659	9.033	4.983	4.951	4.927
ct_4006	$512 \times 512$	16	2100	11.444	11.898	11.290	6.437	6.413	6.396
ct_4087	$512 \times 512$	16	1731	11.704	12.273	11.535	6.429	6.406	6.392
ct_4165	$512 \times 512$	16	1735	12.166	12.644	12.010	6.842	6.817	6.798
ct_tk_kl_piers0021	$512 \times 512$	16	2644	8.893	9.101	8.573	5.386	5.343	5.317
Average	-	-	-	8.334	8.822	8.089	4.874	4.825	4.809
mr_2321	$512 \times 512$	16	894	11.287	12.209	11.337	5.532	5.519	5.512
mr_2331	$512 \times 512$	16	893	11.348	12.200	11.438	5.651	5.636	5.628
mr_2337	$512 \times 512$	16	1047	8.398	9.013	8.295	4.165	4.137	4.130
mr_2371	$512 \times 512$	16	1415	8.181	8.589	8.135	4.153	4.122	4.115
mr_2412	$512 \times 512$	16	1300	10.900	11.797	10.888	5.573	5.559	5.557
mr_2807	$256 \times 256$	16	1858	12.555	13.597	12.366	8.555	8.466	8.463
mr_2882	$512 \times 512$	16	501	1.852	1.957	1.725	1.005	0.995	0.990
mr_2896	$512 \times 512$	16	604	9.648	9.964	9.347	4.431	4.410	4.398
mr_6624	$256 \times 256$	16	795	12.027	10.683	12.265	6.706	6.689	6.684
mr_6706	$256 \times 256$	16	1088	12.680	13.470	12.548	7.309	7.284	7.279
mr_6774	$512 \times 512$	16	1799	10.743	11.249	10.645	5.533	5.520	5.518
mr_6837	$256 \times 256$	16	1055	11.300	12.160	11.117	6.370	6.339	6.337
Average	-	-	_	9.389	9.940	9.321	4.809	4.789	4.783
us 19773	$480 \times 640$	8	256	2 552	2 557	2 277	2.220	2 202	2 193
us 27704	$480 \times 640$	8	249	3.493	3.238	3.110	2.838	2.818	2.792
us 27743	$480 \times 640$	8	246	3 663	3 388	3 232	2 928	2 909	2 883
us 28279	$480 \times 640$	8	250	3,090	2 619	2 552	2.920	2.202	2.005
us 28282	$480 \times 640$	8	230	3.070	3 266	2.552	2 784	2.2763	2.200
us_20202	480 × 640	8	254	2 866	2.200	2.705	1 978	1 968	1 944
118 28322	$480 \times 640$	Q 0	234	3 515	3 178	2.559	2 016	2 807	2 881
us_20322	$400 \times 040$ $480 \times 640$	0	213	3.040	3.420	3.203	2.910	2.077	2.001
us_20327	$400 \times 040$ $480 \times 640$	0	213	3.540	3 164	3 117	2 607	2.120	2 601
us_203+0	$400 \times 040$ $476 \times 640$	0	217	2 025	3.104	2 5 8 1	2.027	2.010	2.001
us_3373	410 × 040	0	210	2.920	2.040	2.304	2.303	2.405	2.4/1
us_3405	$404 \times 004$ $476 \vee 640$	0	107	2.702	2.372	1 609	1 440	2.202	2.243
us_J+0J	470 × 040	0	19/	2.102	2.033	1.000	1.44U 2.501	1.42J	1.394
Average		· -		3.130	2.900	2.130	2.301	2.403	2.402