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1 Chapter 9

# 2 **Projecting soil C under future climate and land-use scenarios (modelling)**

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7

# 8 Abstract

9 Soil carbon sequestration can be estimated from field to global scale using numerical 10 soil/ecosystem models. In this chapter we describe the structure and development of models 11 that have been widely used at international level, from simple models that include carbon 12 only to model that include descriptions of the dynamics of a range of nutrients. We also 13 present examples of the application from field to global scale of different models to answer a 14 range of different questions on the impact of land use and climate changes on soil carbon 15 sequestration.

16 A full discussion of the impact of soil carbon modelling on political and socio-economical 17 aspects is included to emphasise the need of a close interaction between model developers, 18 researchers, land owners/users and policy makers to ensure the development of robust 19 approaches to climate change, food security and soil protection.

20 Whatever type of models are used to meet future challenges, it is important that they

21 continue to be tested using appropriate data, and that they are used in regions and for land

22 uses where they have been developed and validated.

#### 24 Key words

25 First-order process; model pools; microbial mechanisms; process-based models; RothC;
26 ECOSSE; DNDC; DAYCENT; field scale; global scale.

27

# 28 9.1. Introduction

29 Soils globally represent the most significant long term organic carbon (C) store in terrestrial 30 ecosystems, containing 4.5 times as much C as all living biomass and 3.1 times as much as 31 the atmosphere (McClean et al., 2015). Therefore, soil organic carbon (SOC) dynamics have 32 become increasingly important in many research and policy areas (Manlay et al., 2007), 33 ranging from small-scale projects to preserve or improve soil health, to large-scale climate 34 change mitigation strategies (Lal 2004, Powlson et al., 2011). The soil system is 35 heterogeneous and complex and direct SOC measurements alone do not easily support these 36 types of efforts. Simulation models, however, provide the capacity for numeric evaluation of 37 SOC after changes in land uses at different time and spatial scales. This has led to an expanding use of soil models specifically to predict SOC dynamics in order to apply policies 38 39 or to make decisions on land use and management (Campbell and Paustian, 2015). 40 Different types of models have been developed in an attempt to quantify C in soil, including 41 empirical and process-based multi-compartment models. These models have varying levels of 42 complexity and their utility will depend on the data sets available to drive them (Dondini et 43 al., 2009). In empirical modelling, there is no attempt to model the processes that result in 44 changes in soil C; the model is a mathematical formula that has been fitted to reproduce the 45 available data and can then be used to predict other values within similar environmental conditions (Lawson and Tabor, 2001). By contrast, process-based models have been 46 47 developed from an understanding of how soil C is affected by soil properties, land

management and weather fluctuations. These models have varying levels of complexity and
the choice of model depends on the data available to drive the simulation as well as the
conditions used to develop and test the model.

51 The objective of this work is to describe the structure and development of models that have 52 been widely used at international level to assess the impact of land-use and climate change on 53 SOC stocks. We also aim to describe the versatility of model applications and their 54 importance to disentangle local and global socio-economic-environmental issues by reporting 55 practical applications of such models from field to global scale.

56

### 57 9.2. Empirical models

58 Empirical models seek to parameterise a hypothesised relationship between variables,

59 typically known as the dependent and independent variables. The structure of the model is

60 determined by the statistical relationships observed within experimental data, where the

61 hypothesis statement is translated into a simple mathematical representation. The goal in this

case is prediction of the value of the dependent variable, not an explanation of the nature ofthe relationship between the variables (Hillier et al., 2016).

64

#### 65 9.2.1 Greenhouse gas emissions calculators

The simplest empirical model is a linear one; this is used, for example, in the emission factor methods of the Intergovernmental Panel on Climate Change (IPCC) Guidelines for National Greenhouse Gas (GHG) Inventories (IPCC, 2006). From this simple approach, several tools have been developed that integrate a number of such empirical equations into a complete model for C assessment; one example of this is the Cool Farm Tool developed by Hillier et al. (2011).

72 The Cool Farm Tool is a GHG emissions calculator which allows users to estimate annual 73 GHG emissions associated with the production of crops or livestock products, following the 74 emissions from production to the farm gate (Hillier et al., 2011). It comprises a generic set of 75 empirical models that are used to estimate full farm-gate product emissions. The model has 76 several sub-models breaking down the overall emission by GHG emitted and farm 77 management practices. The GHG emissions from the production and distribution of a range 78 of fertiliser types was taken from the Ecoinvent database (Ecoinvent Centre, 2007); for 79 nitrous oxide and nitric oxide emissions related to fertiliser application, the multivariate 80 empirical model of Bouwman et al. (2002) – which is based on a global dataset of over 800 81 sites – was used. Soil C stock changes were estimated using the IPCC Tier 1 method (IPCC, 82 2006). After changes in management practice related to tillage or soil C inputs, soil C stocks 83 change by an amount determined in Ogle et al. (2005) for a period of 20 years. The effect of 84 manure and compost addition on soil C stocks are derived from those of Smith et al. (1997), in which relationships were established using medium/long term data from EU15 countries. 85 86 A simplified model was developed from ASABE technical standards (ASABE, 2006a,b) for 87 fuel use as a function of machinery operation for tilling, drilling, seeding and harvest 88 operations for differing soil types and crop yields.

89 The mitigation option tool, developed for the Climate Change, Agriculture and Food Security 90 program of CGIAR, is another example of tool to estimate GHG from baseline management 91 options in agriculture. The mitigation option tool accommodates a wide range of users, 92 experts to non-experts, depending on objectives and issues such as time constraints and 93 information available. It requires little input data and has the unique characteristic of 94 suggesting management options that have the potential to further increase C sequestration in 95 soils without risking crop yields. By providing a quick assessment of the C sequestration 96 from current management practices, and of the practices that can increase the potential for

soil C sequestration, these tools are extremely useful to inform policy-makers in the design of
more effective policies to support the implementation of sustainable agricultural practices.

99

100

# 0 9.2.2 Models of changes in soil carbon

101 An example of an empirical model used to determine soil C stocks is the "C response 102 function" (CRF) concept. The C response functions are representations of the average annual 103 change in soil C following changes in land management, and they can also be used to show 104 the cumulative change in soil C over time. The CRF curves are developed by using published 105 reviews and analytical data, each describing a number of long-term, paired field experiments 106 that quantify changes in soil C in response to changes in land use and management. The 107 development of each CRF curve is based on analysis of one or more data sets, each 108 describing a number of long-term, paired field experiments. The difference in soil C between 109 the control and experimental plot for each field experiment in the data set is averaged across 110 all experiments to estimate the mean change in soil C associated with a specific change in 111 management. The CRF curves are developed by choosing a regression algorithm that best 112 represents the estimated trend in soil C change over time, while ensuring that the sum of 113 annual changes in soil C is equal to the previously estimated cumulative change in soil C 114 (McClean et al., 2015; van der Weerden et al., 2012). In order to provide an estimate of the 115 uncertainty surrounding mean changes in soil C, the 95% confidence intervals are given for 116 each CRF curve. Standard error and sample size are also often given so that other confidence 117 intervals can be calculated.

#### 119 9.3. Process-based models

Process-based models focus on the processes mediating the movement and transformations of matter or energy. Each soil organic matter (SOM) pool within a model is characterized by its position in the model structure and its decay rate. Decay rates are usually expressed by firstorder rate kinetics (Paustian, 1994) with respect to the concentration (Conc) of the pool

124 
$$\frac{\mathrm{dConc}}{\mathrm{d}t} = -k\mathrm{Conc}$$

125 Where *t* is the time and *k* is the decay constant.

126 Here we give a description of the most common models based on the complexity of the

127 process description and the types of nutrients modelled.

128

#### 129 9.3.1 Simple models that include carbon only

130 The simplest approach used to model SOM turnover is to describe the SOC as pools with 131 different turnover rates; these models predict SOC only and require minimal data inputs, 132 including soil properties, meteorological data and land-use type, to initialise the simulations. 133 The advantage of this approach is that the models can predict soil C sequestration under a 134 wide range of ecosystems (e.g. from natural forest to managed arable land) and at different 135 scales (from site to regional). Because of their simplicity and minimal input data 136 requirements, these models are easily understood and used by non-expert users. However, 137 because these models have been developed to describe only SOC in the soil, the impacts of 138 nutrients on SOM turnover are not taken into account. 139 RothC is an example of a simple process-based model that includes C only. It simulates the 140 turnover of organic C in non-waterlogged topsoil (Coleman and Jenkinson, 1996) using a

141 monthly time step to calculate total SOC. The model has been widely tested and used at the

142 plot, field, regional and global scales, using data from long-term field experiments throughout 143 the world. The data required to run the model are: monthly rainfall and evaporation or potential evapotranspiration (mm), monthly air temperature (°C), clay content (%), an 144 145 estimate of the decomposability of the incoming plant material, monthly soil cover (whether the soil is bare or vegetated), monthly input of plant residues (t C ha<sup>-1</sup>) and monthly input of 146 147 farmyard manure (t C ha<sup>-1</sup>) if any. The model performs two types of simulations: "direct" that 148 uses the known input of organic C to the soil to calculate the SOC, and "inverse" that 149 evaluates the input of organic C required to maintain the stock of SOC. 150 RothC uses a pool type approach, describing SOC as pools of inert organic matter, humus, 151 microbial biomass, resistant plant material and decomposable plant material (Fig. 9.1). 152 During the decomposition process, material is exchanged between the SOC pools according 153 to first order rate equations. These equations are characterised by a specific rate constant for 154 each pool, and are modified according to rate modifiers which are dependent on the 155 temperature, moisture, and crop cover of the soil. The decomposition process results in 156 gaseous losses of carbon dioxide (CO<sub>2</sub>). In Figure 1 we report the original RothC structure 157 (Coleman and Jenkinson, 1996) but other RothC model structures can been found in several 158 publications, such as Liu et al., 2009.

159 FIGURE 9.1 HERE

160

# 161 9.3.2 Simple models that include carbon and nitrogen

162 The ECOSSE model (Estimate Carbon in Organic Soils –Sequestration and Emissions) is an 163 example of a simple model that can be used for both C and nitrogen (N) simulation (Smith et 164 al., 2010). It was developed by combining and adapting RothC (Coleman et al., 1996) and a 165 mineral soil model (SUNDIAL, Bradbury et al., 1993) to allow organic soils in Scotland to be

simulated, which were previously not well represented in models (Smith et al., 2007). Since
its inception, it has been modified for use internationally (Bell et al., 2012) and evaluated
using measurements in both organic and mineral soils.

169 ECOSSE uses a pool based approach with C and N transferred between pools. As in RothC, 170 the soil pools used are described as biomass (active), humus (stabilised) and inert organic 171 matter, and plant litter is described as decomposable and resistant plant material. The base 172 rate of exchange between the pools is specific to the pools in question and is then adjusted 173 according to rate modifiers that describe the impact of environmental factors on the 174 processes; these include pH, moisture and temperature. Soil texture is used to determine the 175 efficiency of the decomposition (i.e. the amount of CO<sub>2</sub> lost on decomposition). Under 176 aerobic conditions, the decomposition process results in gaseous losses of CO<sub>2</sub>; under 177 anaerobic conditions losses as methane dominate. Nitrogen released from decomposing SOM 178 as ammonium or added to the soil may be nitrified to nitrate. Carbon and N may be lost from 179 the soil by the processes of leaching, denitrification, volatilisation or plant uptake, or C and N 180 may be returned to the soil by plant inputs, inorganic fertilizers, atmospheric deposition or 181 organic amendments.

182

# 183 9.3.3 Models that include complex descriptions of carbon and nitrogen dynamics

More complex models have been developed using the pool concept described above, with extra complexity to provide scope for the model to be applied at ecosystem level. These models couple descriptions of decomposition and denitrification processes, as influenced by the soil environment, to predict C and N turnover. Often such models are used to examine the impacts of management and climate change in agriculture at site and regional scale. These type of models are highly amenable, allowing the user to describe the effect of various management and climate scenarios on a wide range of ecosystems. The user has full control
of a large number of parameters, which need to be accurately determined to allow a
successful simulation.

193 The DeNitrification DeComposition (DNDC) model is an example of a model that includes 194 detailed descriptions of the processes of C and N dynamics. It was first described by Li et al. 195 (1992). The first versions (1.0–7.0) of DNDC consisted of three main sub models for 196 simulating nitrous oxide and N emissions; (1) soil-climate/thermal-hydraulic flux sub-model, 197 (2) decomposition sub-model, and (3) denitrification sub-model. During the following two 198 decades many additions were made to the early version of DNDC. In 2000, Li (2000) 199 reorganised the model into two components incorporating six sub-models (Fig. 9.2) and this 200 new structure formed the basis of many DNDC-based models. Component 1 links ecological 201 drivers to soil environmental variables and consists of the soil climate, crop growth and 202 decomposition sub-models. Component 2 links soil environmental factors to trace gases and 203 consists of the already known denitrification sub-model and two additional sub-models for 204 nitrification and fermentation.

#### 205 FIGURE 9.2 HERE

206 The DNDC model can be run on a site specific or regional basis. For most input variables, 207 default values are set but many can and should be changed by the user in order to adequately 208 describe the particular situation. Some input variables are mandatory and need to be set with 209 individual values. These are location (latitude), weather data (daily mean air temperature and 210 precipitation as minimum), soil bulk density, pH and SOC at the surface (0-10 cm). The 211 mandatory input variables together with land use and crop type, soil texture and management 212 practices will be sufficient to run the model. Among the most important output values for 213 DNDC are daily reports on weather, soil climate, and soil C to N ratio in the pools, C and N

fluxes, water balance, crop yields and field management for the modelled site for eachsimulated year.

216 Over the last 20 years, many versions of DNDC have been developed and published, both for

217 regional application (e.g. UK-DNDC) and for specific uses (e.g. Crop-DNDC, Wetland-

218 DNDC, Forest-DNDC). In some cases, DNDC has been coupled with market management

219 models to include economic impacts of policy (e.g. DNDC-Europe). Due to the default values

that are provided, DNDC is relatively easy to use and can easily be used by inexperienced

221 modellers. The model is freely available.

222

## 223 9.3.4 Models that include descriptions of the dynamics of a range of nutrients

224 Quantifying nutrient availability is crucial to understanding the interaction between plant and 225 soil processes; these mechanisms relate to litter quantity and quality, and so are important 226 drivers for SOM accumulation. The prediction of nutrient cycling aims to quantify the 227 availability in time and space of nutrient elements in soil and to assess likely effects on plant 228 growth and on nutrient fluxes, which can affect water and air quality. Quantifying nutrient 229 availability requires an understanding of the rates of nutrient input, transformation and loss 230 from the soil. The most appropriate approach to modelling nutrient interactions may vary 231 with the ecosystem and with the data available to run the model.

232 DAYCENT is an example of a C model that includes simulation of the dynamics of a range

of nutrients. It was developed by a team at the Natural Resource Ecology Laboratory at

234 Colorado State University in Fort Collins (Parton et al., 1998). It is the daily time step version

- of the 1994 monthly CENTURY model (Parton, 1996), also developed by the Natural
- 236 Resource Ecology Laboratory at Colorado State University. The DAYCENT model is a
- 237 terrestrial ecosystem model that simulates C and N cycles for forest, arable and grassland

238 ecosystems. There is also an option to consider the phosphorous and sulphur cycles, if 239 needed. Fluxes from the atmosphere to plant and soil are considered in simple approaches as atmospheric CO<sub>2</sub> concentration and N deposition. Sub-models are included that describe 240 241 plant productivity, phenology, decomposition of dead plant material and SOC, soil water and 242 temperature dynamics, and GHG fluxes; these are described in detail by Del Grosso et al. (2001). Required input variables are physical soil properties (e.g. soil texture, field capacity, 243 244 wilting point, bulk density, pH), climate data and management information. The management 245 information provided depends on the land use simulated; for grassland it includes grazing, for 246 forests it includes thinning and fire (forest); for cropland it includes tillage, fertilizer inputs, 247 irrigation and sowing and harvest dates. DAYCENT is a one-dimensional model developed 248 for site simulations, but it can also be applied on a regional scale.

249

# 250 9.3.5. Microbial mechanisms and soil process-based models

251 A key similarity across all of the process-based models discussed above is the representation 252 of organic matter decomposition as a first-order process. First-order models assume that the 253 activity of decomposers only depends on temperature, pH, clay content and moisture. This 254 assumption implies that the microbial biomass and composition are not directly represented 255 in the models, but only indirectly via the outcome of temperature and moisture effects on the 256 rate of decomposition (Pagel et al., 2016). One limitation of this approach is that the effects 257 of the changes in microbial community composition due to new conditions are not directly 258 represented in the models. Recent evidence from empirical studies suggests microbial 259 communities may shift in composition, adapt physiologically, or evolve in response to 260 environmental changes, such as warming, N addition, and altered precipitation (Allison and 261 Martiny, 2008; Hawkes et al., 2011). Furthermore, management techniques, such as

ploughing or no-till, and organic amendments, such as manure or straw incorporation, changethe composition of the soil biota ecosystem and hence the SOM decomposition rate.

264 Van Groenigen et al. (2011) attempted to compare direct measurements of soil C to 265 predictions made by RothC and a cohort model. They reported on soil C sequestration 266 beneath a 9 year old tillage and straw management experiment in an Irish winter wheat field, 267 to estimate the decomposition rate of crop residue under different tillage management practices. Correlation between modelled and observed SOC were achieved by varying the 268 269 size and decay rate of each pool and for each treatment, therefore not developing a 270 mathematical function to describe the effects of different management practices on the soil 271 biota ecosystem and processes. However, insufficient experimental evidence have been 272 provided from various environments to enable robust process-based modelling of these 273 affects. Salinity also effects the soil biota and again SOC and input decomposition rates have 274 to be modified in models such as RothC to implicitly model the effect, although again the 275 actual soil biota processes are not explicitly modelled. Despite the drawbacks in describing 276 soil decomposition by first-order process, all of the models used to assess SOC stocks in the 277 most recent IPCC assessment (IPCC, 2014), use the same first-order assumption. Including 278 models which can represent microbial mechanism in soils would increase the diversity of 279 model predictions. This would help to prevent the biases which can arise from averaging the 280 predictions of an ensemble of models that all make the same first-order assumptions (Knutti 281 et al., 2008).

One of the main challenges in including microbial mechanisms in process-based models is to define which of these mechanisms should be scaled up from plot to regional level. One approach would be to use plot data to inform the models, which could then be modified by new mechanistic equations for including microbial processes before validating the model developed using independent data. However, this approach could lead to at least two sources

287 of error on the simulated values at both the spatial and temporal scales. Many large-scale 288 models operate with a spatial resolution that could potentially include high levels of 289 microbial diversity and heterogeneity. Also, soil models at a large spatial scale are generally 290 used to simulate soil processes over time (decades). It is unclear if plot-scale measurements, 291 which are meant to describe microbial responses on a short-term basis, could be applied to a 292 higher temporal scale without loss of accuracy in the model predictions (Todd-Brown et al., 293 2012). In the future, the increased use of new technologies, such as remote sensing and 294 precision farming, will help in reducing the granularity of our knowledge of the spatial 295 variability of soil, soil water, plant yields and GHG emissions. The application of remote 296 sensing will improve the accuracy and resolution of land use maps to less than 10 m 297 resolution (current land use maps are available at 100 m x 100 m resolution); these new maps 298 could be then used for models parameterization. Precision farming, and the associated sensors 299 that enable 1 m x 1 m resolution detail of field soil and crop condition, will allow maps of 300 crop yield to be made. This information can be used with new informatics technology, which 301 will enable these large spatial data sets to be used to drive high spatial and temporal 302 resolution models.

303 Another approach to better represent soil C cycling processes in current models would be to 304 quantify functional trait in microbial communities and to link these traits to key factors 305 controlling the soil decomposition and degradation processes. There is a body of research, 306 particularly in India investigating the impact of soil biota on fertility and the use of different 307 biological inoculates to increase crop yields (e.g. Pandya and Saraf, 2010a,b), and hence 308 organic input and SOC. This will lead to a better understanding of the function of different 309 taxa of soil biota. Consequently, a few models have been proposed to explore possible 310 microbial roles in SOC dynamics (Wieder et al., 2015) but these models need rigorous

311 evaluation with observations before they can be incorporated into large-scale soil process-312 based models (Luo et al., 2016).

313

#### 314 9.4. Examples of model application for predicting soil organic carbon changes

Soil models are useful tools to estimate the effect of 'disturbance' events on soil C dynamics; 315 316 disturbances such as climate change, land management, land cover and land use change have 317 been widely represented in models, while soil erosion and extreme events have been found 318 difficult to model and are not directly used in soil process-based model (Box 1). Here we 319 present a selection of studies where soil models have been applied from field to global scale 320 to predict SOC changes under different vegetation types.

#### 321

## [[Text Box 1]] Impact of soil erosion and extreme events on SOC

322 This text box shows relevant aspects of SOC modelling, which are not yet well represented in 323 SOC model approaches. Two of these aspects are the impact of soil erosion and the impact of 324 extreme events on SOC. Extreme event is a general term and there are several definitions 325 available to define an event as extreme. Here we refer to extreme events as "an episode or 326 occurrence in which a statistically rare or unusual climatic period alters ecosystem structure 327 and/or functions well outside the bounds of what is considered typical or normal variability" 328 (Reichstein et al., 2013). In the context of soil C, these are mainly extreme climate and 329 weather events.

330 Soil erosion results from extreme precipitation and storm events, and includes both wind and 331 water erosion. Here we focus on the erosion by water, which affects a larger area (751 Mha 332 vs 296 Mha land affected by water and wind erosion, respectively) and erodes more sediment 333 compared to wind erosion (Lal, 2003). The scientific debate about the impact of soil erosion 334 on the SOC is controversial; while some studies come to the conclusion that erosion causes C

335 losses, others show that it enhances soil C accumulation (Doetterl et al., 2016). Despite its 336 high relevance for global C dynamics, the impact of soil erosion on the global C budget is not 337 yet quantified (Lal, 2003; Müller-Nedebock and Chaplot, 2015) and it is rarely considered in 338 biogeochemical models. EPIC (Williams, 1990) and CENTURY (Lugato et al., 2016) are 339 biogeochemical models that contains an erosion routine, the RUSLE model (Renard, 1997), a 340 revised version of the universal soil loss equation (USLE; Wischmeier and Smith, 1978). The 341 USLE model, and its modifications, simulates sediment detachment using empirical 342 approaches based on relative simple factors such as precipitation, soil properties, slope and 343 tillage. The disadvantage of this approach is that sediment deposition is not simulated. 344 Extreme events are not explicitly considered in SOC model approaches. Thresholds in the 345 models consider limitations or impacts affected by soil water content, soil temperature or 346 nutrient concentration in the soil without considering these explicitly as extreme event. 347 Therefore, some direct impacts (e.g. drought might reduce respiration rates) can be simulated, 348 whereas indirect impacts (e.g. a lag effect of respiration as the soil microbial community 349 might be affected by a drought) won't be considered in the model approach (Frank et al., 350 2015). The limitations in modelling extreme events include a lack of observations describing 351 large scale impacts and a lack of standardisation of experimental designs. Moreover, several 352 processes may be too sensitive or too detailed to be implemented within a model -e.g.353 microorganisms are responsible for C sequestration, but the specific communities or activity 354 are not directly considered in the models. 355 As extreme events and soil erosion are hardly considered in SOC model, more experimental

As extreme events and soft erosion are hardry considered in SOC model, more experimental data are needed to understand their impacts on SOC and to calibrate and validate soil processbased models. A standardized experimental and observational framework would be beneficial so that the collection of comparable modelling-friendly data sets may be realised.

360

# 361 9.4.1 Simulation of carbon sequestration at field plot scale

#### 362 9.4.1.1 Impact of land use change from grassland to woodland at Glensaugh

363 The Glensaugh Research Station in rural Aberdeenshire is an experimental site where 364 conversion from grassland to woodland was undertaken almost 30 years ago. The site was set 365 up to investigate the impact of afforestation of pasture on animal output (Sibbald et al., 2001). 366 Three tree species, namely scots pine, hybrid larch and sycamore were planted at a 400 trees ha<sup>-1</sup> silvopastural configuration, which allows for animal grazing between the rows of trees. 367 The same species were also planted at 2500 trees ha<sup>-1</sup> in farm woodland plots that have 368 369 received no thinning since the site was established. Both approaches integrate trees into 370 farmland, either spatially segregated in farm woodland or integrated as silvopasture. The site 371 was sampled for total soil C and labile, stabilized and inert C fractions in 2012 (Beckert, 372 2016). In both silvopasture and farm woodland, SOC was found to be greater compared to the 373 pasture treatment. While woodland and silvopasture plots had similar levels of total SOC, 374 silvopasture showed levels of stabilized C comparable to pasture. 375 The RothC model was used to investigate how C stocks will develop in the different land use 376 systems at the Glensaugh site, assuming that land management remains constant. The RothC 377 model was first run from the year of tree planting (1988) to the year of sampling (2012), 378 assuming equilibrium at each site. Comparison with measured fractions showed that this 379 assumption only holds true for the pasture site, which had seen no change in management. To 380 investigate how C stocks will develop up to the year 2040 taking actual C quality into 381 account, the model was initialized with measured fractions to replace equilibrium pools. 382 Initializations with fractionation data resulted in the prediction of an increase in C stocks at 383 all wooded sites, particularly in the silvopastoral systems, which showed evidence of

combined pasture/forest C stabilization mechanisms. The initialization revealed a slightly
increased accumulation rate after 2020 compared to 2012-2020 before it levels off in ca.
2030, indicating that initial increase in respiration is negated when the systems reach a more
mature age. The results at site level agree with the results of large scale modelling (Section
9.4.3.1), showing that afforestation of grassland soils could have a positive impact on SOC in
the long term.

390

#### 391 9.4.1.2 Impact of climate change on grassland and arable systems in Ireland

392 Grasslands represent an effective option for C sequestration in soils. However, predictions of 393 increase in SOC are associated with a great uncertainty (Freibauer et al., 2004; Vleeshouwers 394 and Verhagen, 2002). Croplands have less SOC than grassland (Cole et al., 1993) as a result 395 of several factors including soil disturbance, less return of plant residues to the soil, less 396 below-ground biomass and no grazing (Franzluebbers et al., 2000). Here we present a study 397 where measured and simulated net ecosystem exchange (NEE) values from a managed 398 grassland and a spring barley field, in Ireland, were compared with simulated NEE to validate 399 the latest version (9.5) of the DNDC (the DeNitrification-DeComposition; 400 www.dndc.sr.unh.edu; Li et al., 1992) model and to estimate present and future NEE and 401 SOC (Abdalla et al., 2013). The averages measured NEE for the grassland during the experimental period (2003-2006) was calculated as -212 g C m<sup>-2</sup>. The DNDC model 402 403 predicted seasonal trends of NEE effectively for 2003 and 2004 but overestimated carbon 404 losses in 2006 (Fig. 9.3a).

405 FIGURE 9.3 HERE

406 The root mean square error (RMSE) values were small and ranged from 0.20 to 0.22 g C m<sup>-2</sup> 407 with an overall RMSE of 0.21 g C m<sup>-2</sup>. The relative deviation (RD) between the measured

408 and simulated NEE values was also small (+30%) except in the year 2006 when it was +45%. 409 The average annual values of NEE, GPP and Reco, over the measurement period (2003-2007) were -189, 906 and 715 g C m<sup>-2</sup>, respectively. The DNDC model effectively predicted 410 411 the seasonal trend of NEE at the spring barley field (Fig. 9.3b). The RMSE values from the 412 comparison between daily simulated and measured NEE are small, ranging from 0.09 to 0.16 g C m<sup>-2</sup> indicating a good fit between the model and simulated values. The RD values 413 414 between the measured and predicted NEE values ranged from -13 to +100%, with the highest 415 RDs in 2004 (+100%) and 2005 (+92%). These poor RD were mainly due to the DNDC 416 overestimation of NEE peaks during the growing seasons. 417 In future simulations to 2060, SOC at the grassland site was predicted to decrease by 2-3% by 418 the year 2060 for all climate scenarios. At the arable site, the SOC was also predicted to 419 decrease, but only by 1-2%. This indicates that the soil C systems for the two ecosystems are 420 not in equilibrium. The cropland was historically under grassland prior to 1990 and, 421 therefore, continues to lose C. The grassland had been tilled and reseeded with perennial 422 ryegrass in 2001 and, therefore, will take time to reach a new equilibrium after the tillage 423 disturbance. In both the arable and grassland case water stress would affect crop yields 424 (Hastings et al., 2010) and thereby, the amount of carbon input. The model effectively 425 predicted seasonal and annual changes in NEE at both sites, and responded appropriately to 426 changes in air temperature, timing of precipitation events and management, which have a 427 strong influence on the seasonal net ecosystem exchange. These results suggest that the 428 DNDC model is a valid tool for predicting the consequences of climate change on net 429 ecosystem exchange and SOC from arable and grassland ecosystem.

430

#### 431 9.4.1.3 Impact of rice management in Bangladesh

In Bangladesh, rice occupied 70% of all agricultural land in 2016, accounting for 7% of the 432 433 world's total harvested area (FAOSTAT, 2016). Due to different physiological 434 characteristics, such as the need of continuous flooding of water to provide the best growth 435 environment, rice can sequester more C relative to upland crops and offers substantial 436 mitigation potential (Smith et al., 2008). The DAYCENT model was used to simulate SOC 437 sequestration potential under different N management and mitigation options applied at two 438 rice sites in Bangladesh. In this study, all model parameters, except for the plant growth, were 439 set to default values based on previous literature (Cheng et al., 2013). Values of the plant 440 growth parameter, were adjusted to 3.50 for rice while for wheat it was set to 2.00, and was 441 fixed for all treatments. Annualized C stock changes were calculated as the difference of the 442 SOC stock of the mitigation scenario and the SOC of the baseline scenario normalized by 443 time period. The management treatments at the sites included application of N as mineral N, 444 organic manure alone and in combination with N applications (Karim et al., 1995; Egashira et 445 al., 2003; Egashira et al., 2005). There was a significant agreement between measured and 446 simulated SOC at both sites under single nutrient management practices (Fig. 9.4a,b). A 447 systematic underestimation of SOC was observed at Site 1 (combination of manure and N 448 treatments), which could be attributed to a reduction of plant inputs and suggesting that less 449 N application through manure was limiting plant production.

Mitigation options considered including reduced tillage (sowing with less disturbance to the topsoil in place of tractor ploughing), a reduction in residue removal, replacement of mineral fertilizer by manure, combined application of fertilizer and manure, and an integrated scenario of inorganic fertilizer, manure addition, less residue removal and reduced tillage. All tested mitigation options increased SOC in comparison to the standard procedures, except for the scenario with lower N application, which shows a slight decrease in SOC contents (Fig.

9.4c). The integrated scenario, which combines mineral N and manure applications with
reduced tillage and increased residue incorporation, appears to be the best management
practice for both sites. Despite the limited availability of long term field data for tropical rice
cropland, the results suggest that the DAYCENT model could be a powerful tool for
exploring mitigation potentials of rice in Bangladesh.

461 FIGURE 9.4 HERE

462

### 463 9.4.2 Simulating carbon sequestration at farm scale

Whole farm modelling attempts to simulate not only C sequestration, but also to determine 464 465 the impact of C sequestration on crop and animal production, water use, fuel availability, 466 labour and finances, so that the feedback of these factors on the potential for C sequestration 467 can be accounted for. Whole farm modelling is particularly important in low input, close-to-468 subsistence farming, where the potential for external inputs to the farm from inorganic 469 fertilisers and organic resources is minimal. Such systems are often also severely limited in 470 organic resources, with important competing uses for the organic resources that are available, 471 such as for household energy provision, animal feeds and building. In such situations, it 472 becomes important to model, not only the impact of the different types of organic amendment 473 on potential C sequestration, but also to estimate the amount of material that is left over and 474 can be added to the soil. Whole farm modelling of C sequestration attempts to account for 475 these competing uses, and works through the impact of using resources in different ways on 476 the quality and quantity of C inputs to the soil (Fig. 9.5). One example of this is seen in 477 Hawassa, Ethiopia, where soils are often highly depleted in SOM, and so C sequestration is 478 important, not only for the environment, but also to improve soil fertility and hence 479 productivity.

#### 480 FIGURE 9.5 HERE

481 Whole farm modelling of C sequestration starts with some form of accounting; what goes 482 where and how is it used? The nature of this depends on the input variables available to the 483 user; when working with data provided by subsistence farmers the number of animals that 484 must be fed is usually known, but the amount of home-produced crop fed to each animal may 485 not be known. In this case, a simple model or look-up table of feed requirements can be used (e.g. Herrero et al., 2013). Similarly, the farmer knows what crops are grown, but the yield 486 487 may not be measured as it is mainly consumed within the household. Therefore, a simple 488 crop model is needed to estimate yield and the impact of different management decisions on 489 crop production (e.g. Leith, 1972; Reid, 2002; Zaks et al., 2007). 490 Having accounted for the different uses of organic resources, a SOM model is then used to 491 determine the impact of adding differently treated organic wastes to the soil. This was 492 simulated by Smith et al. (2014) using a variant of RothC (Coleman and Jenkinson, 1996), 493 showing more rapid C sequestration per unit of starting material if the organic wastes are 494 added as compost or biochar, rather than applying it fresh or as bioslurry (Fig. 9.6). After 495 application of organic materials stops (after 20 years in this example), the C content of the 496 soil returns to the starting position within 100 years for the fresh residue, compost and 497 bioslurry amended soils. However, if the biochar contains a high proportion of inert organic 498 material (currently an area of uncertainty), then the C sequestered by biochar application 499 remains in the soil. Long-term experiments on impact of biochar on SOC dynamics and soil 500 fertility are still limited and there are very few simulation studies on biochar and its effect on 501 agricultural soil. Moreover, only few models have been developed to account for the effects 502 of biochar on SOC, as discussed in Box 2.

503 FIGURE 9.6 HERE

The real value of the whole farm model is to then use these simulations to try out different options. For example, if organic wastes are composted rather than applying them as fresh farmyard manure, how will this affect C sequestration? Identifying these positive feedbacks will provide important information for better management of subsistence farms. Similarly, identifying negative feedbacks will highlight practices that result in a reduction in the overall productivity of the farm, so helping to reduce soil degradation.

# 510

#### [[Text Box 2]] Modelling impact of biochar application on soil organic carbon

511 Biochar is a more stabilized form of C obtained from thermal decomposition of raw biomass. 512 Because of its high recalcitrant nature and slow turnover rate, biochar has been identified as 513 one of the promising option to mitigate climate change. However, modelling biochar is still in 514 its infancy and only few models have been recently developed, or modified, to account for 515 the effects of biochar on SOC. For example, Woolf and Lehmann (2012), and Smith et al., 516 2014, modified the turnover rates of the labile organic C (LOC) pool in the RothC model to 517 simulate impact of biochar on SOC sequestration. Priming effects of biochar on LOC was 518 also included in the model by altering the decomposition rate coefficients of the resistant 519 plant material (RPM) and decomposable plant material (DPM). Positive priming effect – i.e. 520 the increase in mineralization of LOC – was modelled by increasing RPM and DPM 521 decomposition rate coefficients by an amount proportional to the concentration of biochar C 522 in the soil. Negative priming effect – i.e. an increase in the fraction of LOC transferred to the 523 stable organo-soil-mineral fraction - was modelled as an increase in the fraction of DPM and 524 RPM that is transferred to the humus pool (HUM) rather than mineralised to  $CO_2$ . 525 Lychuk et al. (2015) modified the Environmental policy Integrated Climate (EPIC) model by

Lychuk et al. (2015) modified the Environmental policy integrated Climate (EPIC) model by
developing a set of new algorithms to determine the impact of biochar amendment on SOC
sequestration, as well as other soil and crop parameters (e. g. CEC, pH, bulk density and corn
yield). In the EPIC model, SOC is split into three compartments – i.e. microbial biomass,

529	slow humus and passive humus. To account for biochar applications, the total biochar C is
530	allocated to the three pools as follows: 60% to the slow humus pool, 38% to the passive
531	humus pool and only 2% to the metabolic pool. Recently, Archontoulis et al. (2016)
532	developed a biochar sub-model within the Agricultural Production Systems sIMulator
533	(APSIM) model. The APSIM model divided the SOC into three pools – i.e. microbial
534	biomass pool, humic pool and inert pool – but the fresh organic matter is accounted as a
535	separate pool, which is also divided in three sub-pools. Archontoulis et al. (2016) introduced
536	an additional biochar C pool to the model, which represents both labile and recalcitrant
537	components and varies according to the type of biochar; a new double exponential decay
538	function has been also introduced to calculate the biochar decomposition rate. Priming effects
539	of biochar and the impact of biochar on N mineralization, soil CEC, soil pH, ammonium
540	adsorption and desorption, soil water and bulk density have also been included in the biochar
541	sub-model.
542	Despite the late developments in modelling biochar at field scale, more long term field trials

542 Despite the late developments in modelling biochar at field scale, more long-term field trials
543 are required to better understand the relationship between soil C sequestration and biochar
544 applications and to consequently develop, calibrate and validate soil models.

545

546

# 547 9.4.3 Regional scale

# 548 9.4.3.1 Potential for carbon sequestration with land use change

549 Currently the Scottish Government has committed to increase the amount of forest by

approximately 100,000 hectares per year as part of a national strategy of reducing GHG

emissions by 42% by 2020 and 80% by 2050. Several models (e.g. RothC, Century) have

been used to study C sequestration due to land use change. This section describes the

application of the ECOSSE model (Smith et al., 2010) to analyse the long term change in soil
C stocks with afforestation of non-forest soils, aiming to identify regions that would provide
most C benefit if reforested.

556 To achieve this, high resolution (1 ha grid) land use data from the Integrated Administrative 557 and Control System was used to identify the dominant land use; cropland, grassland, forestry 558 and semi-natural land. Masks of productive agricultural land and current forest were applied 559 to the land use database and this was then combined with the Scottish Soils Knowledge and 560 Information Base (SSKIB) and long term climate input data from the UK Metrological 561 Office. Each land use change to forestry was assumed to take place in this decade (2010's). 562 Suitability masks of 12 different forest compositions were applied and soil C was simulated 563 only for areas where land use change was deemed suitable.

564 Figure 9.7 details the change in soil C after land use conversion from crop, grass and semi-565 natural land to native conifer forest, which is the forest type with the greatest extent of 566 suitability in Scotland. Values outline the average annual loss in soil C for the first 20 years 567 after planting. Across Scotland, conversion from arable and grassland to forest typically 568 resulted in an increase in soil C where in some cases, after conversion, C accumulated up to 0.69 t C ha<sup>-1</sup> yr<sup>-1</sup> on mineral soils. By contrast, land use change to semi-natural soils, which 569 typically were defined as occurring on peaty soils, lead to an emission of soil C at a rate of up 570 to 5 t C ha<sup>-1</sup> yr<sup>-1</sup> in the most extreme cases. While changing to forest tends to enhance C 571 572 sequestration in arable and grassland soils, mass conversion may not be economically viable 573 or sustainable as removal of productive land can increase Scotland's reliability on food or 574 cereal imports. While un-managed semi-natural land may be an obvious alternative, in some 575 cases the management involved in converting these soils into a forest may lead to long term 576 losses in soil C, despite any increases in plant C inputs. These results suggest that while, 577 theoretically, conversion to forest maybe a long term approach to enhancing C removals, to

578 implement such a mitigation strategy, especially in Scotland, detailed analysis on the impacts 579 on soil C losses in different areas should be undertaken. A similar approach was used by 580 Pogson et al. (2016) and Richards et al. (2016) to investigate the impact on SOC of land use 581 change across the UK. Pogson et al. (2016) developed the ELUM Software Package, which is 582 based on the ECOSSE model, to spatially predict the net soil GHG balance of land use 583 change to grow energy crops in the UK up to 2050. The results of the model application 584 demonstrated that wood and perennial grass production on arable land sequestered SOC, on 585 grassland it was neutral and on forest it emitted CO<sub>2</sub>.

586 FIGURE 9.7 HERE

# 587 9.4.3.2 Carbon losses from tropical peatlands undergoing land use change to oil palm

588 Tropical peatlands are hugely under-researched compared to their temperate counterparts, 589 with approaches to sampling and interpretation of peat properties still evolving to more 590 "tropically" appropriate methods (Farmer et al., 2011). As such, there are considerable data 591 limitations when it comes to modelling scenarios of climate and land use change on tropical 592 peats. Some process-based models, such as RothC and ECOSSE could potentially be used to 593 model C dynamics in tropical peats (Farmer et al., 2011), and are currently undergoing 594 modification to be made more applicable in scenarios where the soil is accumulating C (i.e. 595 an intact peatland scenario) before undergoing land use change. The HPMTrop (Kurinato et 596 al., 2015) is the first process-based model to simulate long-term (decadal to millennial) C 597 accumulation dynamics in tropical peat ecosystems. It has been applied to simulate peat 598 accumulation in Indonesian peat swamp forests and to study the impact of land use change of 599 these areas to oil palm plantations (Kurinato et al., 2015). The modelled average peat 600 accumulation rates and the mean annual C losses due to conversion to oil palm were 601 comparable to literature values; however the limited published values restricted model 602 evaluation (Dommain et al., 2011).

603 Hooijer et al. (2012) measured and then modelled subsidence rates in oil palm plantations on 604 Sumatran peatlands and an empirical model, the Tropical Peatland Plantation-Carbon 605 Assessment Tool (TROPP-CAT), was developed from this data to provide a user friendly tool 606 to predict soil C and CO<sub>2</sub> emissions from drained tropical peat soils (Farmer et al., 2014). The 607 model uses simple input values to determine the rate of subsidence, of which the oxidising 608 proportion results in CO<sub>2</sub> emissions. Although based on a number of assumptions, evaluation 609 across sites of various ages showed simulations of net CO<sub>2</sub> fluxes from the soil to be within 610 6% of measured CO<sub>2</sub> emissions and within the range of measurement error.

611 In tropical peat soils, positive correlation has been observed between mean water table depth 612 and net C loss, heterotrophic emissions and total emissions (Carlson et al., 2015) which is 613 also observed in Northern peat soils (Abdalla et al., 2016). This relationship can be used to 614 make predictions on emissions under future drainage scenarios. However, several studies 615 have found discrepancies between empirical model outputs and experimental data (e.g. 616 Allison et al., 2010; Davidson et al., 2012; Wieder et al., 2013), likely to be due to the 617 omission of key factors, such as direct microbial control of soil C dynamics and brief soil 618 respiration increase due to warming. To partially remedy these discrepancies, annual rhythm 619 oscillation models have been suggested (Comeau, 2016). The novelty and advantage of a 620 rhythm oscillation method over the traditional empirical approaches is that it automatically 621 provides the annual flux amplitude and the peak emission time. In addition, the oscillation 622 curves are not biased due to possible delay in microbial activity response to temperature 623 change and other environmental variables that affect soil C dynamics. As tropical peatland 624 research continues to develop with more datasets becoming available, an enhanced 625 understanding of the dynamics of tropical peat formation and soil properties and 626 characteristics will make for improved modelling of the impacts of land use change on these soils. 627

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#### 629 9.4.4 Global scale

#### 630 9.4.4.1 The impact of growing bioenergy crops on carbon stocks

631 Quantitative and qualitative global datasets on the environmental effects of land use and land 632 use change are still scarce, making climate mitigation analysis difficult. In addition, there is 633 still a lack of information on where, at what rates, and what type of land cover is affected by 634 land use change. In that respect, highly productive food croplands are unlikely to be used for 635 bioenergy, but in many regions of the world a proportion of cropland is being abandoned, 636 particularly marginal croplands, and some of this land is now being used for bioenergy. 637 Recently, Albanito et al. (2015) used a number of harmonized geographically explicit 638 datasets and process-based biogeochemical models to assess the global climate change 639 mitigation potential of cropland when converted to bioenergy production ( $C_4$  grass, short 640 rotation coppice woody crops as willow and poplar) or reforested. This study, in particular, 641 identified areas where cropland is so productive that it may never be converted, and assess 642 the potential of the remaining cropland to mitigate climate change by identifying which 643 alternative land use provides the best climate benefit: C<sub>4</sub> grass bioenergy crops, coppiced 644 woody energy crops, or allowing forest regrowth to create a C sink.

The average cropland C loss resulting from land use change was calculated as the difference in C between annual bioenergy crop yields and cropland yields aggregated over 20 years. The global forest C stocks scenario was developed using the IPCC 2006 Tier-1 method for estimating vegetation C stocks. The potential distribution and forest vegetation C stocks were obtained using the LPJmL-DGVM v3.1 model simulations. In the comparison with cropland, the C sequestration in forests was calculated by applying the factors representing percentage of final biomass C stock accumulated after 20 years ( $F_{20}$ ).  $F_{20}$  was estimated by integrating, over a 100 year timescale, the IPCC default dry matter biomass annual increments in
aboveground biomass in naturally regenerated forest classified below and above 20 years of
age (IPCC-GPG-LULUCF, 2006). Total SOC change in reforested cropland was assumed to
be equal to 53% of the initial SOC occurring in cropland (Guo and Gifford, 2002) adjusted by
the percentage of biomass stock accumulated after 20 years.

657 Across 1.11 billion hectares of global agricultural land, Albanito et al. (2015) reported that approximately 420.1 Mha would be more suitable for food crop production and therefore 658 659 excluded from conversion to bioenergy crops or reforestation. Over a 20 year rotation 660 horizon, 597.7 Mha of croplands could potentially be converted to bioenergy crops or forest, 661 sequestering approximately 13.8 Pg C in soil (Fig. 9.8). An area of 384.9 Mha has annual 662 extractable C of C<sub>4</sub> bioenergy crops that is equal to or lower than cropland, but nevertheless 663 sequesters approximately 10.3 Pg C in soil. In Asia (continental and insular) the replacements 664 of croplands with C<sub>4</sub> bioenergy crops have the potential to sequester 3.6 Pg C in soil across 665 66.1 Mha of cropland. On approximately 26.3 Mha of cropland, short rotation of woody crops has greater or equal C mitigation potential to C<sub>4</sub> bioenergy crops and forest, giving a 666 667 potential sequestration in soil of 0.8 Pg C (Fig. 9.7). Finally, approximately 186.5 Mha 668 reforestation of cropland would be the best climate mitigation option, saving a total of ~ 8.4 669 Pg C in biomass and  $\sim 2.7$  Pg C in the soil (Fig. 9.7). It is important to note, however, that 670 this study does not present these projections as a scenario of land use change where 671 bioenergy crops or forests should replace cropland, which will depend on many other factors, 672 not least of which is the need to produce food; rather it is to show where there could be a 673 climate benefit if this land were to be converted.

674 FIGURE 9.8 HERE

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#### 676 9.5. Political aspects and concluding remarks

677 In 2015, the world defined and committed itself to striving toward the UN Sustainable

678 Development Goals (UN SDG) (UNDP, 2015), in which the historic Paris Climate

679 Agreement (PCA) was signed under the UN Framework Convention on Climate Change

680 (UNFCCC, 2015), and was also the UN International Year of Soils (UN, 2015).

681 The agreement of the UN SDG and the PCA could not have set up a better legacy for the UN 682 International Year of Soils, since soils are recognised as being critical to the delivery of both. 683 A number of the UN SDG are underpinned by healthy soil C stocks, including the following 684 Sustainable Development Goals (SDGs), among them: SDG 1 – no poverty – in developing 685 countries, a large proportion of the population rely on the land for their livelihoods, and 686 productive land relies on healthy soils (Smith et al., 2013), SDG 2 - zero hunger – soils 687 underpin the production of safe and nutritious food (Keestra et al., 2016), SDG 13 - climate 688 action – soil C sequestration offers climate mitigation (Smith, 2016) and makes ecosystems 689 more resilient to future climate change (Smith et al., 2016a), and SDG 15 - life on land -690 healthy ecosystems are founded on healthy soils (Smith et al., 2015). 691 By linking international, national and local policies, and action frameworks to the PCA,

692 governments can develop more comprehensive and robust approaches to climate change,

693 food security, soil protection, sustainable land management, water management and energy

694 generation (Chan et al., 2015; Casado-Asensio et al., 2016). However, there is often a

695 difference in objectives between practitioners at various levels and policy makers,

696 particularly in the agricultural sector, with respect to priorities for resource and land

697 management (Casado-Asensio et al., 2016; Bodansky et al., 2014). This disconnect requires

698 robust institutional support to encourage inclusivity in decision making, increase the

699 dissemination of policies, offer financial assistance and access to markets and provide

insurance for climate risks. These actions will require collaborative action from both the

public and the private sector. In this context it is crucial to explore the relationship between
farmers' attitudes and their farming practices, as well as informing decision makers regarding
the social impacts of their decisions. This aspect is discussed in more details in Box 3.

# 704 [[Begin Text Box 3]] Translating scientific soil carbon models to the farming community 705 Scientific models predicting the effects of farming practice and land use change on C 706 emissions and sequestration provide a very valuable tool that can guide policy-makers, 707 industry and individual farmers to make changes for a more sustainable agricultural sector. 708 Greenhouse gas calculator tools such as the Cool Farm Tool, C-Plan and CCAFS-Mitigation 709 option tool are currently being used as a platform to translate scientific models to the daily 710 farming practice (Hillier et al., 2011; Whittaker et al., 2013). These tools aim to encourage 711 farmers to change their behaviour by raising awareness of the negative outcomes of their 712 farming practice on GHG emissions and help them to take informed decisions on alternatives. 713 This approach has for a long time been a popular strategy in promoting pro-environmental 714 behaviour in various contexts (Stern, 2011). Although it has been proven to be effective in 715 increasing people's knowledge, it has minimal effects changing actual behaviour (Abrahamse 716 et al., 2005; Gardner and Stern, 2002; Stern, 2011). To effectively motivate farmers to take 717 up mitigation measures, it is recommended that information provision from GHG calculators 718 be combined with other psychological interventions. To effectively create a bespoke 719 intervention aiming at a specific psychological factor, it is recommended to first assess which 720 factors underlie the willingness of farmers to take up mitigation measures. Psychological 721 models, such as the Theory of Planned Behaviour (Ajzen, 1991), can provide a good starting 722 point to assess the significance of a number of factors such as attitude towards pro-723 environmental measures, social pressure, group pressure or self-identity (Van Dijk et al., 724 2015, 2016). For example, if the model indicates that peer pressure is related to the 725 motivation of farmers to take up mitigation measures, benchmarking would be an effective

726 intervention. This can be done by organising plural workshops in which farmers collectively 727 run a GHG calculator for their farms and receive information on how their outcomes compare 728 to their peers. Benchmarking has been proven to be effective at increasing farmers' intentions 729 and uptake of pro-environmental measures (Lokhorst et al., 2010). However, combining 730 different interventions can further increase the uptake of measures. For example, combining benchmarking with public commitment making, in which farmers commit themselves in front 731 732 of fellow participants of the workshop to certain measures, has been demonstrated to even 733 further increase the willingness and uptake of these measures (Lokhorst et al., 2010). In 734 conclusion, GHG calculator tools are very valuable tools to translate scientific carbon models 735 to the farming community by providing information on how to decrease GHG emissions, but 736 to successfully establish a change in the daily practice it is recommended to combine these 737 tools with other psychological interventions and communication strategies.

738

739

740 Given the role of soils, and soil C, in delivering the UN SDGs and the PCA, the accurate 741 modelling of soil C stocks has never been more important. There is a pressing need to 742 develop, test and challenge our soil C models to meet the challenges facing humanity in the 743 21<sup>st</sup> Century. Whatever type of models are used to meet future challenges, it is important that 744 they continue to be tested using appropriate data, and that they are used in regions and for 745 land uses where they have been developed and validated. As new uses of land are developed, 746 models should continue to be validated and modified if necessary, so that they are still 747 appropriate. In addition, in many situations the type of model used, will be dependent on the 748 input data available. Models such as DAYCENT, ECOSSE and the Cool Farm Tool are ideal 749 for assessing soil C sequestration under future climate and land use, but if insufficient data is 750 available, then less data intensive models (e. g. RothC, statistical techniques) should be used.

751	It is also important that the best data available are readily accessible, whether this is
752	decomposition pot experiments, long-term experiments, soil maps, or satellite data. The
753	development of the technologies of remote sensing and precision farming will provide high
754	resolution data and advances in informatics will enable their use in developing higher
755	resolution and more detailed process-based models. It is extremely important that
756	experimentalists/data curators are involved in the modelling process, as modellers need to
757	know if analytical methods have changed over time or between different counties, what
758	quality control has been used on the data, and how missing data has been addressed.
759	With good quality data and timely modifications, soil C models will be able to help meet the
760	challenges of the future.

761	[[Text Box 4]] Take home message
762	• Soil models are essential tools to understand the effects of land and climate change,
763	from field to global scale.
764	• Soil models are crucial tools to up-scale and interpolate point/site/field information to
765	larger scales in a quantitative way.
766	• In order to provide meaningful and useful soil C predictions, uncertainties in model
767	outputs should always be quantified.
768	• Whatever type of models are used to meet future challenges, it is important that they
769	continue to be tested using appropriate data.
770	• As new uses of land are developed, models should continue to be validated and
771	modified if necessary, so that they are still appropriate.
772	• It is extremely important that experimentalists/data curators are involved in the
773	modelling process, as modellers need to know if analytical methods have changed

774		over time, what quality control has been used on the data and how missing data has	
775		been addressed.	
776	•	Calibrated and validated models can be used by experimentalists to provide	
777		information on data acquisition and to develop new research hypothesis.	
778	•	GHG calculator tools are very valuable tools to translate scientific carbon models to	
779		the farming community by providing information on how to decrease GHG emissions,	
780		but to successfully establish a change in the daily practice it is recommended to	
781		combine these tools with other psychological interventions and communication	
782		strategies.	
783	•	By linking international, national and local policies, and action frameworks to the	
784		Paris Climate Agreement, governments can develop more comprehensive and robust	
785		approaches to climate change, food security, soil protection, sustainable land	
786		management, water management and energy generation.	
787	•	There is often a difference in objectives between practitioners at various levels and	
788		policy makers with respect to priorities for resource and land management. This	
789		disconnect requires robust institutional support to encourage inclusivity in decision	
790		making.	
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## 1125 FIGURE CAPTIONS

- 1126 **Figure 1:** Structure of the RothC carbon sequestration model. Key: DPM is Decomposable
- 1127 Plant Material; RPM is Resistant Plant Material; BIO is Microbial Biomass; HUM is
- 1128 Humified Organic Matter; and IOM is Inert Organic Matter, and  $\alpha$ ,  $\beta$  and  $(1-\alpha-\beta)$  are the
- 1129 proportions of BIO, HUM and CO<sub>2</sub> produced on aerobic decomposition. Adapted from
- 1130 Bradbury et al. (1993) and Coleman and Jenkinson (2014).
- 1131 Figure 2: Structure of the two-component DNDC model with six sub-models: soil climate,
- 1132 crop growth, decomposition, denitrification, nitrification and fermentation. Adapted from Li,
- 1133 (2000).

Figure 3: Measured (dark circle) and simulated (light circle) NEE for (a) the grassland and
(b) arable fields during the experimental period (grassland experimental period: 2003-2006;
arable experimental period: 2003-2007). Adapted from Abdalla et al. (2013).

Figure 4: Simulated (line) and measured (points) SOC values at 20 cm depth over 20 years
under different treatment for the period of 1978-2015 and 1988-2008 for site 1 (Fig. 1a) and
site 2 (Fig. 1b) respectively. Fig. 1c indicates modelled annualised SOC stock changes under
different mitigation scenarios of two test sites for the period of 1988-2008. [MN-Mineral N,
FYMN-Farmyard manure + mineral N, CD-Cowdung, CDN-Cowdung+mineral N, RSD20-

1142 20% residue return, RT-Reduced tillage, BMP-Best management practice, RSD20+RT+less
1143 N+CD].

Figure 5: Whole farm modelling, accounting for the feedback between soil organic matter oncrop and animal production, water use, fuel availability, labour and finances.

Figure 6: Rate of carbon sequestration for application continued over 20 years of differently
treated organic residues derived from 1 t ha<sup>-1</sup> y<sup>-1</sup> of carbon in fresh residue. Adapted from
Smith et al. (2014).

1149Figure 7: Change in soil C (t C ha<sup>-1</sup> y<sup>-1</sup>) after conversion from grass, crop or semi – natural1150land to Forestry. Values represent the average annual change in soil C for the first 20 years1151after conversion.

Figure 8: Potential contribution (%) of soil C sequestration to the total C savings occurring from the conversion of rainfed and irrigated high-input croplands to  $C_4$  bioenergy crops, short rotation coppice wood land (SRCW) and forests.

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