

*PSEUDO-SYMMETRIC CONTACT 3-MANIFOLDS III*

BY

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**Abstract.** A trans-Sasakian 3-manifold is pseudo-symmetric if and only if it is  $\eta$ -Einstein. In particular, a quasi-Sasakian 3-manifold is pseudo-symmetric if and only if it is a coKähler manifold or a homothetic Sasakian manifold. Some examples of non-Sasakian pseudo-symmetric contact 3-manifolds are exhibited.

**Introduction.** A Riemannian 3-manifold  $(M, g)$  is said to be a proper *pseudo-symmetric space* if its Ricci eigenvalues  $\{\varrho_1, \varrho_2, \varrho_3\}$  satisfy the relation  $\varrho_1 = \varrho_2 \neq \varrho_3$  ( $\varrho_3 \neq 0$ ) up to numbering [14]. In particular, a proper pseudo-symmetric 3-space  $(M, g)$  is said to be of *constant type* if  $\varrho_3$  is a nonzero constant.

Such spaces have been studied from different motivations. For instance, in hypersurface geometry of nonflat 4-dimensional Riemannian space forms, it is shown that isometrically deformable hypersurfaces of type number two are pseudo-symmetric spaces of constant type [20].

O. Kowalski explained some other motivations of the study of pseudo-symmetric 3-spaces with *constant* principal Ricci curvatures in [28].

In our previous paper [11], we have investigated pseudo-symmetry of contact Riemannian 3-manifolds. In particular, we have shown that every Sasakian 3-manifold is constant type pseudo-symmetric. Moreover, in [12], we proved that tangent sphere bundles over Riemannian 2-manifolds are pseudo-symmetric if and only if the base manifolds are of constant curvature.

As is well known, odd-dimensional spheres are typical examples of Sasakian manifolds. On the other hand, odd-dimensional hyperbolic spaces cannot admit a Sasakian structure, but have a so-called *Kenmotsu structure*. K. Kenmotsu manifolds are normal (noncontact) almost contact Riemannian manifolds. Kenmotsu [25] investigated fundamental properties and local structure of such manifolds. Kenmotsu manifolds are locally isometric to warped product spaces with 1-dimensional base and Kähler fiber.

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As a generalization of both Sasakian manifolds and Kenmotsu manifolds, J. A. Oubiña [36] introduced the notion of trans-Sasakian manifold. An almost contact Riemannian manifold  $(M; \varphi, \xi, \eta, g)$  is said to be a *trans-Sasakian manifold* if it satisfies

$$(\nabla_X \varphi)Y = \alpha\{g(X, Y)\xi - \eta(Y)X\} + \beta\{g(\varphi X, Y)\xi - \eta(Y)\varphi X\}$$

for some functions  $\alpha$  and  $\beta$ . Here  $\nabla$  denotes the Levi-Civita connection.

J. C. Marrero [30] has proven that there are no proper trans-Sasakian manifolds in higher dimensions. Moreover, Marrero has shown the existence of proper trans-Sasakian 3-manifolds.

N. Hashimoto and M. Sekizawa [21] investigated conformally flat (irreducible) pseudo-symmetric 3-spaces of constant type. Their (local) classification says such spaces are warped products with 1-dimensional base and constant curvature fiber. One can see that every 3-dimensional warped product with 1-dimensional base and 2-dimensional fiber admits a trans-Sasakian structure with  $\alpha = 0$ .

In this paper, motivated by these observations, we study pseudo-symmetry of trans-Sasakian 3-manifolds.

As another generalization of Sasakian manifolds, generalized  $(\kappa, \mu)$ -spaces have been extensively studied ([5], [6], [9], [16], [17], [24], [26], [27]).

A contact Riemannian manifold is said to be a *generalized  $(\kappa, \mu)$ -space* if

$$R(X, Y)\xi = (\kappa I + \mu h)\{\eta(Y)X - \eta(X)Y\}, \quad X, Y \in \mathfrak{X}(M),$$

for some functions  $\kappa$  and  $\mu$ . Here  $h$  is an endomorphism field defined by  $h = \mathcal{L}_\xi \varphi / 2$ . If both  $\kappa$  and  $\mu$  are constants,  $M$  is called a  $(\kappa, \mu)$ -space. One can see that Sasakian manifolds are  $(\kappa, \mu)$ -spaces with  $\kappa = 1$  and  $h = 0$ .

In the final section, we shall study pseudo-symmetry of 3-dimensional generalized  $(\kappa, \mu)$ -spaces.

Throughout this paper we assume that all manifolds are connected.

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**1. Preliminaries.** Let  $(M, g)$  be a Riemannian manifold with its Levi-Civita connection  $\nabla$ . Denote by  $R$  the Riemannian curvature of  $M$ :

$$R(X, Y) = [\nabla_X, \nabla_Y] - \nabla_{[X, Y]}, \quad X, Y \in \mathfrak{X}(M).$$

Here  $\mathfrak{X}(M)$  is the Lie algebra of all vector fields on  $M$ . A tensor field  $F$  of type  $(1, 3)$ ,

$$F : \mathfrak{X}(M) \times \mathfrak{X}(M) \times \mathfrak{X}(M) \rightarrow \mathfrak{X}(M),$$

is said to be *curvature-like* provided that  $F$  has the symmetry properties of  $R$ . For example,

$$(1.1) \quad (X \wedge Y)Z = g(Y, Z)X - g(Z, X)Y, \quad X, Y \in \mathfrak{X}(M),$$

defines a curvature-like tensor field on  $M$ . Note that the curvature  $R$  of a Riemannian manifold  $(M, g)$  of constant curvature  $c$  satisfies the formula  $R(X, Y) = c(X \wedge Y)$ .

As is well known, every curvature-like tensor field  $F$  acts on the algebra  $\mathcal{T}_s^1(M)$  of all tensor fields on  $M$  of type  $(1, s)$  as a derivation [35, p. 44]:

$$\begin{aligned} (F \cdot P)(X_1, \dots, X_s; Y, X) &= F(X, Y)\{P(X_1, \dots, X_s)\} \\ &\quad - \sum_{j=1}^s P(X_1, \dots, F(X, Y)X_j, \dots, X_s), \\ X_1, \dots, X_s &\in \mathfrak{X}(M), P \in \mathcal{T}_s^1(M). \end{aligned}$$

The derivative  $F \cdot P$  of  $P$  with respect to  $F$  is a tensor field of type  $(1, s+2)$ .

For a tensor field  $P$  of type  $(1, s)$ , we denote by  $\mathcal{Q}(g, P)$  the derivative of  $P$  with respect to the curvature-like tensor defined by (1.1):

$$\begin{aligned} \mathcal{Q}(g, P)(X_1, \dots, X_s; Y, X) &= (X \wedge Y)P(X_1, \dots, X_s) \\ &\quad - \sum_{j=1}^s P(X_1, \dots, (X \wedge Y)X_j, \dots, X_s). \end{aligned}$$

A Riemannian manifold  $(M, g)$  is said to be *semi-symmetric* if  $R \cdot R = 0$ . Obviously, locally symmetric spaces ( $\nabla R = 0$ ) are semi-symmetric.

More generally, a Riemannian manifold  $(M, g)$  is said to be *pseudo-symmetric* if

$$R \cdot R = L\mathcal{Q}(g, R)$$

for some function  $L$ . In particular, if  $L$  is constant, then  $M$  is called a *pseudo-symmetric space of constant type* [29]. A pseudo-symmetric space is said to be *proper* if it is not semi-symmetric.

For Riemannian 3-manifolds, the following characterizations of pseudo-symmetry are known (cf. [29]).

PROPOSITION 1.1. *A Riemannian 3-manifold  $(M, g)$  is pseudo-symmetric if and only if it is quasi-Einstein. This means that there exists a one-form  $\omega$  such that the Ricci tensor field  $\varrho$  has the form*

$$\varrho = ag + b\omega \otimes \omega.$$

Here  $a$  and  $b$  are functions.

PROPOSITION 1.2. *Let  $(M, g)$  be a Riemannian 3-manifold. Then  $(M, g)$  is a pseudo-symmetric space of constant type if and only if there exists a one-form  $\omega$  such that the Ricci tensor field  $\varrho$  is expressed as  $\varrho = ag + b\omega \otimes \omega$ , where  $a$  is a function and  $a + b|\omega|^2$  is a constant (provided that  $\omega \neq 0$ ).*

REMARK 1. The preceding proposition can be rephrased as follows (see [29, Proposition 0.1]):

A Riemannian 3-manifold is a pseudo-symmetric space of constant type with  $R \cdot R = LQ(g, R)$  if and only if the principal Ricci curvatures (eigenvalues of the Ricci tensor) locally satisfy the following relations (up to numbering):

$$\varrho_1 = \varrho_2, \quad \varrho_3 = 2L.$$

## 2. Almost contact Riemannian manifolds

**2.1.** Let  $M$  be an odd-dimensional manifold. An *almost contact structure* on  $M$  is a quadruple of tensor fields  $(\varphi, \xi, \eta, g)$ , where  $\varphi$  is an endomorphism field,  $\xi$  is a vector field,  $\eta$  is a one-form and  $g$  is a Riemannian metric such that

$$(2.1) \quad \varphi^2 = -I + \eta \otimes \xi, \quad \eta(\xi) = 1,$$

$$(2.2) \quad g(\varphi X, \varphi Y) = g(X, Y) - \eta(X)\eta(Y), \quad X, Y \in \mathfrak{X}(M).$$

A  $(2n+1)$ -dimensional manifold together with an almost contact structure is called an *almost contact Riemannian manifold* (or *almost contact manifold*). The *fundamental 2-form*  $\Phi$  of  $M$  is defined by

$$\Phi(X, Y) := g(X, \varphi Y), \quad X, Y \in \mathfrak{X}(M).$$

If an almost contact Riemannian manifold  $(M; \varphi, \xi, \eta, g)$  satisfies the condition

$$\varrho = a g + b \eta \otimes \eta$$

for some functions  $a$  and  $b$ , then  $M$  is said to be  $\eta$ -Einstein. Clearly, every  $\eta$ -Einstein almost contact 3-manifold is pseudo-symmetric.

**2.2.** Let  $(M; \varphi, \xi, \eta, g)$  be an almost contact Riemannian manifold. A tangent plane at a point of  $M$  is said to be a *holomorphic plane* if it is invariant under  $\varphi$ . The sectional curvature of a holomorphic plane is called its *holomorphic sectional curvature*. If the sectional curvature function of  $M$  is constant on all holomorphic planes in  $TM$ , then  $M$  is said to be of *constant holomorphic sectional curvature*.

On the other hand, if the sectional curvature function is constant on all planes in  $TM$  which contain  $\xi$ , then  $M$  is said to be of *constant  $\xi$ -sectional curvature*.

**2.3.** An almost contact Riemannian manifold  $(M; \varphi, \xi, \eta, g)$  is called a *contact Riemannian manifold* if

$$(2.3) \quad \Phi = d\eta.$$

The formula (2.3) implies that the one-form  $\eta$  is actually a *contact form*, namely  $\eta$  satisfies  $(d\eta)^n \wedge \eta \neq 0$ . On a contact Riemannian manifold  $M$ , the structure vector field  $\xi$  is traditionally called the *characteristic vector field* (or *Reeb vector field*).

**2.4.** An almost contact Riemannian manifold  $M$  is said to be of *rank*  $r = 2s$  ( $> 0$ ) if  $(d\eta)^s \neq 0$  and  $\eta \wedge (d\eta)^s = 0$ , and of *rank*  $r = 2s + 1$  if  $\eta \wedge (d\eta)^s \neq 0$  and  $(d\eta)^{s+1} = 0$ . Thus contact Riemannian manifolds are of rank  $2n + 1$ .

An almost contact Riemannian manifold  $M$  is said to be *normal* if it satisfies  $[\varphi, \varphi] + 2d\eta \otimes \xi = 0$ , where  $[\varphi, \varphi]$  is the Nijenhuis torsion of  $\varphi$ .

A normal almost contact Riemannian manifold is said to be a *quasi-Sasakian manifold* if its fundamental 2-form  $\Phi$  is closed ( $d\Phi = 0$ ) [1]. In particular, a contact Riemannian manifold is called a *Sasakian manifold* if it is normal. By definition, Sasakian manifolds are quasi-Sasakian manifolds of rank  $2n + 1$ .

**2.5.** According to Oubiña [36], an almost contact manifold  $(M; \varphi, \xi, \eta, g)$  is said to be a *trans-Sasakian manifold* (of type  $(\alpha, \beta)$ ) if

$$(2.4) \quad (\nabla_X \varphi)Y = \alpha\{g(X, Y)\xi - \eta(Y)X\} + \beta\{g(\varphi X, Y)\xi - \eta(Y)\varphi X\}$$

for some functions  $\alpha$  and  $\beta$ .

In particular, a trans-Sasakian manifold is said to be a

- *Sasakian manifold* if  $(\alpha, \beta) = (1, 0)$ ,
- *Kenmotsu manifold* if  $(\alpha, \beta) = (0, 1)$ ,
- *coKähler manifold* if  $(\alpha, \beta) = (0, 0)$ .

More generally a trans-Sasakian manifold of type  $(\alpha, 0)$  with nonzero constant  $\alpha$  is homothetic to a Sasakian manifold and called a *homothetic Sasakian manifold* or  $\alpha$ -Sasakian manifold. Analogously, a *homothetic Kenmotsu manifold* (or  $\beta$ -Kenmotsu manifold) is a trans-Sasakian manifold of type  $(0, \beta)$  with nonzero constant  $\beta$  [23].

REMARK 2. Trans-Sasakian manifolds are normal [36].

There are two typical subclasses of the class of trans-Sasakian manifolds.

A trans-Sasakian manifold of type  $(\alpha, \beta)$  is said to be of *class*  $C_5$  if  $\alpha = 0$ . This class  $C_5$  contains the class of  $\beta$ -Kenmotsu manifolds. On the other hand, a trans-Sasakian manifold is said to be of *class*  $C_6$  if  $\beta = 0$ .  $\alpha$ -Sasakian manifolds and coKähler manifolds are of class  $C_6$ .

Let  $(M; \varphi, \xi, \eta, g)$  be a trans-Sasakian manifold. Then from (2.1) and (2.4), we have

$$(2.5) \quad \nabla_X \xi = -\alpha\varphi X + \beta\{X - \eta(X)\xi\}, \quad X, Y \in \mathfrak{X}(M).$$

In particular, we have  $\nabla_\xi \xi = 0$ . Hence on trans-Sasakian manifolds, integral curves (trajectories) of  $\xi$  are geodesics.

Moreover, trans-Sasakian manifolds satisfy the following formula ([7], [42, (4.9)]):

$$(2.6) \quad 2\alpha\beta + \xi\alpha = 0.$$

The formula (2.6) implies the following characterization of  $\alpha$ -Sasakian manifolds.

LEMMA 2.1 ([7]). *Let  $M$  be a trans-Sasakian manifold of type  $(\alpha, \beta)$ . If  $\alpha$  is a nonzero constant, then  $\beta = 0$  and hence  $M$  is  $\alpha$ -Sasakian.*

Marrero proved the following fundamental result (see also [42, Theorem 4.8]).

PROPOSITION 2.1 ([30]). *Trans-Sasakian manifolds of dimension  $\geq 5$  are either of class  $C_5$  or of class  $C_6$  with constant  $\alpha$ .*

From (2.5)–(2.6), one can deduce the following formulas:

$$\alpha = -(\nabla_X \Phi)(X, \xi), \quad \beta = -\frac{1}{2n} \delta \eta, \quad X \perp \xi, \quad |X| = 1.$$

Here  $\delta$  denotes the codifferential operator. The function  $\delta \eta$  is defined by  $\delta \eta = -\text{trace}(\nabla \eta)$ .

### 3. Pseudo-symmetric trans-Sasakian 3-manifolds

**3.1.** Let  $(M; \varphi, \xi, \eta, g)$  be an almost contact Riemannian 3-manifold. Then the covariant derivative  $\nabla \varphi$  of  $\varphi$  satisfies ([33])

$$(3.1) \quad (\nabla_X \varphi)Y = g(\varphi(\nabla_X \xi), Y)\xi - \eta(Y)\varphi \nabla_X \xi, \quad X, Y \in \mathfrak{X}(M).$$

In dimension 3, there exist *proper* trans-Sasakian manifolds, namely, trans-Sasakian manifolds which are neither of class  $C_5$  or of class  $C_6$  (see Proposition 3.7).

On the other hand, Olszak obtained the following characterization of trans-Sasakian 3-manifolds.

PROPOSITION 3.1. *Let  $M$  be an almost contact Riemannian 3-manifold. Then the following three conditions are equivalent:*

- $\nabla \xi \circ \varphi = \varphi \circ \nabla \xi$ .
- $M$  is normal.
- $M$  is trans-Sasakian.

*In that case,  $M$  is a trans-Sasakian manifold of type  $(\alpha, \beta)$  with*

$$\alpha = \frac{1}{2} \text{trace}(\varphi \nabla \xi), \quad \beta = \frac{1}{2} \text{div } \xi.$$

Moreover, Olszak gave the following characterization of quasi-Sasakian 3-manifolds.

PROPOSITION 3.2 ([33]). *Let  $M$  be an almost contact Riemannian 3-manifold. Then  $M$  is quasi-Sasakian if and only if  $M$  is a trans-Sasakian manifold of type  $(\alpha, 0)$  with  $d\alpha(\xi) = 0$ .*

In particular, every quasi-Sasakian 3-manifold is of class  $C_6$ .

The Ricci operator of a trans-Sasakian 3-manifold is given by the following formula due to Olszak [33].

PROPOSITION 3.3. *Let  $M$  be a trans-Sasakian 3-manifold. Denote by  $Q$  the Ricci operator of  $M$  defined by*

$$\varrho(X, Y) = g(QX, Y), \quad X, Y \in \mathfrak{X}(M).$$

Then  $Q$  is given by

$$QX = \{s/2 + \xi\beta - (\alpha^2 - \beta^2)\}I + \{-s/2 - \xi\beta + 3(\alpha^2 - \beta^2)\}\eta(X)\xi - \eta(X)\{\text{grad } \beta - \varphi \text{ grad } \alpha\} - \{d\alpha(\varphi X) + d\beta(X)\}\xi,$$

where  $s = \text{tr } \varrho$  is the scalar curvature of  $M$ .

Now let  $M$  be a pseudo-symmetric trans-Sasakian 3-manifold. Let us take a local orthonormal frame field  $\{e_1, e_2, e_3\}$  such that  $\eta(e_1) = 0$ ,  $e_2 = \varphi e_1$ ,  $e_3 = \xi$ . Denote by  $\varrho_{ij}$  the components of the Ricci tensor field  $\varrho$  with respect to this frame;

$$\begin{aligned} \varrho_{11} = \varrho_{22} &= s/2 - \alpha^2 + \beta^2 + d\beta(\xi), & \varrho_{33} &= 2\alpha^2 - 2\beta^2 - 2d\beta(\xi), \\ \varrho_{12} &= 0, & \varrho_{13} &= d\alpha(\varphi e_1) + d\beta(e_1), & \varrho_{23} &= d\alpha(\varphi e_2) + d\beta(e_2). \end{aligned}$$

Then the characteristic polynomial  $\Psi(\lambda) = \det(\lambda\delta_{ij} - \varrho_{ij})$  for  $\varrho$  is given by

$$\begin{aligned} \Psi(\lambda) &= (\lambda - \varrho_{11})F(\lambda), \\ F(\lambda) &= \lambda^2 - (\varrho_{11} + \varrho_{33})\lambda + \varrho_{11}\varrho_{33} - 4 \sum_{i=1}^2 \{d\alpha(\varphi e_i) + d\beta(e_i)\}^2. \end{aligned}$$

Hence  $\varrho_0 := \varrho_{11} = \varrho_{22}$  is a Ricci eigenvalue. The solutions  $\varrho_{\pm}$  to  $F(\lambda) = 0$  are given by

$$\varrho_{\pm} := \frac{1}{2} \left[ (\varrho_0 + \varrho_{33}) \pm \sqrt{(\varrho_0 - \varrho_{33})^2 + 4 \left\{ \sum_{i=1}^2 \{d\alpha(\varphi e_i) + d\beta(e_i)\}^2 \right\}} \right].$$

CASE 1:  $\varrho_0$  solves  $F(\lambda) = 0$ . In this case,  $F(\varrho_0) = 0$  is equivalent to

$$d\alpha(\varphi e_i) + d\beta(e_i) = 0, \quad i = 1, 2.$$

In other words,  $F(\varrho_0) = 0$  if and only if

$$(3.2) \quad g(\text{grad } \beta - \varphi \text{ grad } \alpha, X) = 0$$

for all  $X \in \mathfrak{X}(M)$  orthogonal to  $\xi$ . In this case, the Ricci eigenvalues are  $\varrho_0$ ,  $\varrho_0$  and  $\varrho_{33}$ .

CASE 2:  $\varrho_+ = \varrho_-$ . The trans-Sasakian manifold  $M$  satisfies  $\varrho_+ = \varrho_-$  if and only if  $M$  satisfies (3.2) and  $\varrho_{33} = \varrho_0$ . In this case, all the Ricci eigenvalues are the same function. Hence  $M$  is of constant curvature.

Hence we obtain the following result.

LEMMA 3.1. *Every pseudo-symmetric trans-Sasakian 3-manifold satisfies (3.2).*

Here we give an interpretation of the condition (3.2).

LEMMA 3.2. *On a trans-Sasakian 3-manifold  $M$ ,  $\xi$  is an eigenvector field of the Ricci operator  $Q$  if and only if  $M$  satisfies (3.2).*

*Proof.* Direct computations using Proposition 3.3 show that

$$Q\xi = 2(\alpha^2 - \beta^2 - d\beta(\xi))\xi - (\text{grad } \beta - \varphi \text{ grad } \alpha).$$

Hence  $\xi$  is an eigenvector field of  $Q$  if and only if (3.2) holds. In that case, the following formulas hold:

$$\text{grad } \beta - \varphi \text{ grad } \alpha = d\beta(\xi)\xi, \quad Q\xi = (2(\alpha^2 - \beta^2) - 3d\beta(\xi))\xi. \blacksquare$$

LEMMA 3.3. *Let  $M$  be a trans-Sasakian 3-manifold. Then  $M$  is pseudo-symmetric if and only if  $M$  is  $\eta$ -Einstein.*

*Proof.* ( $\Leftarrow$ ) If  $M$  is  $\eta$ -Einstein, then  $M$  is pseudo-symmetric by Proposition 1.1.

( $\Rightarrow$ ) Assume that  $M$  is pseudo-symmetric. Then  $M$  satisfies (3.2). Hence the Ricci tensor field is given by

$$\varrho = \{s/2 + \xi\beta - (\alpha^2 - \beta^2)\}g + \{-s/2 - 3\xi\beta + 3(\alpha^2 - \beta^2)\}\eta \otimes \eta.$$

This formula says  $M$  is  $\eta$ -Einstein.  $\blacksquare$

E. Vergara-Diaz and C. M. Wood gave the following characterization of (3.2).

LEMMA 3.4 ([42]). *A trans-Sasakian 3-manifold  $M$  satisfies (3.2) if and only if  $\xi$  is a harmonic section of the unit tangent sphere bundle  $T_1M$  of  $M$ .*

Hence we obtain the following result.

THEOREM 3.1. *Let  $M$  be a trans-Sasakian 3-manifold. Then the following conditions are equivalent:*

- (1)  $M$  is pseudo-symmetric.
- (2)  $M$  is  $\eta$ -Einstein.
- (3)  $\xi$  is an eigenvector field of  $Q$ .
- (4)  $\xi$  is a harmonic section of the unit tangent sphere bundle  $T_1M$ ,
- (5)  $M$  satisfies (3.2).

In this case, the Ricci tensor field of  $M$  is given by

$$(3.3) \quad \varrho = \{s/2 + \xi\beta - (\alpha^2 - \beta^2)\}g + \{-s/2 - 3\xi\beta + 3(\alpha^2 - \beta^2)\}\eta \otimes \eta.$$

EXAMPLE 3.1 (CoKähler 3-manifolds). *Let  $M$  be a coKähler 3-manifold. Then its Ricci operator is given by*

$$Q = \frac{s}{2}I - \frac{s}{2}\eta \otimes \xi.$$



Thus the principal Ricci curvatures are

$$\varrho_1 = \varrho_2 = s/2, \quad \varrho_3 = 0.$$

Hence  $M$  is semi-symmetric.

EXAMPLE 3.2 (Homothetic Kenmotsu manifolds). Let  $M$  be a 3-dimensional almost contact Riemannian manifold of class  $C_5$ . Then its principal Ricci curvatures are

$$\varrho_1 = \varrho_2 = s/2 + \beta^2 + d\beta(\xi), \quad \varrho_3 = -2\beta^2 - 2d\beta(\xi).$$

Thus  $M$  is pseudo-symmetric if and only if  $d\beta(X) = 0$  for all  $X \perp \xi$ . In particular, every homothetic Kenmotsu 3-manifold is a pseudo-symmetric space of constant type.

EXAMPLE 3.3 (Homothetic Sasakian manifolds). The principal Ricci curvatures of  $\alpha$ -Sasakian manifold  $M$  are

$$\varrho_1 = \varrho_2 = s/2 - \alpha^2, \quad \varrho_3 = 2\alpha^2 > 0.$$

Thus every  $\alpha$ -Sasakian 3-manifold is a pseudo-symmetric space of constant type.

REMARK 3. Let  $(M^3, g)$  be a locally symmetric Riemannian 3-manifold. Then  $M$  is (locally) isometric to one of the following spaces:

- Euclidean 3-space  $\mathbb{E}^3$  (coKähler),
- the 3-sphere  $\mathbb{S}^3(c^2)$  of curvature  $c^2$  (homothetic Sasakian) or hyperbolic 3-space  $\mathbb{H}^3(-c^2)$  of curvature  $-c^2$  (homothetic Kenmotsu),
- Riemannian products  $\mathbb{S}^2(c^2) \times \mathbb{E}^1$  or  $\mathbb{H}^2(-c^2) \times \mathbb{E}^1$  (coKähler).

It is known that semi-symmetric Kenmotsu manifolds are locally symmetric and hence of constant curvature  $-1$  [25]. On the other hand, semi-symmetric Sasakian manifolds are locally symmetric and hence of constant curvature 1. Thus we obtain

COROLLARY 3.1.

- (1)  $\beta$ -Kenmotsu 3-manifolds other than hyperbolic space forms are proper pseudo-symmetric spaces of constant type.
- (2)  $\alpha$ -Sasakian 3-manifolds other than spherical space forms are proper pseudo-symmetric spaces of constant type.

Here we give a classification of pseudo-symmetric quasi-Sasakian 3-manifolds.

COROLLARY 3.2. A quasi-Sasakian 3-manifold is pseudo-symmetric if and only if it is a coKähler manifold or a homothetic Sasakian manifold.

*Proof.* For a quasi-Sasakian 3-manifold  $M$ , (3.2) reduces to

$$g(\varphi \operatorname{grad} \alpha, e_1) = g(\varphi \operatorname{grad} \alpha, e_2) = 0.$$

Since  $e_2 = \varphi e_1$  and  $e_1 = -\varphi e_2$ , (3.2) is equivalent to the equation

$$e_1\alpha = e_2\alpha = 0.$$

Thus  $M$  is pseudo-symmetric if and only if  $\alpha$  is constant, because  $\xi\alpha = 0$  by Proposition 3.2. ■

Every Sasakian 3-manifold satisfies the condition  $Q\varphi = \varphi Q$ . We consider here the commutator  $[Q, \varphi]$ . Direct computation shows that

$$(Q\varphi - \varphi Q)X = g(X, \text{grad } \alpha + \varphi \text{ grad } \beta)\xi - \eta(X)(\text{grad } \alpha + \varphi \text{ grad } \beta).$$

From this formula, we get the following result.

**PROPOSITION 3.4.** *On a trans-Sasakian 3-manifold  $M$ , the following three conditions are equivalent.*

- $\eta(Q\varphi - \varphi Q) = 0$ .
- $Q\varphi = \varphi Q$ .
- $\text{grad } \alpha + \varphi \text{ grad } \beta = 0$ .

*In this case,  $\xi\alpha = -2\alpha\beta = 0$  and  $M$  is  $\eta$ -Einstein with Ricci tensor field (3.3).*

*Proof.* It is clear that  $\eta([Q, \varphi]) = 0$  if and only if  $Z := \text{grad } \alpha + \varphi \text{ grad } \beta = 0$ . By (2.6), we have  $\eta(Z) = \xi\alpha = -2\alpha\beta$ . ■

**EXAMPLE 3.4** (Warped products). Let  $(N, h, J)$  be a Riemannian 2-manifold together with the compatible orthogonal complex structure  $J$ . Take a direct product  $M = \mathbb{E}^1(t) \times N$  and denote by  $\pi$  and  $\sigma$  the natural projections onto the first and second factors, respectively.

Take the warped product  $M = \mathbb{E}^1 \times_f N$  and define  $\xi = \partial/\partial t$ . Then the Levi-Civita connection  $\nabla$  of  $M$  is given by (cf. [35])

$$\begin{aligned}\nabla_{\bar{X}^v} \bar{Y}^v &= (\bar{\nabla}_{\bar{X}} \bar{Y})^v - \frac{1}{f} g(\bar{X}^v, \bar{Y}^v) f' \xi, \\ \nabla_{\xi} \bar{X}^v &= \nabla_{\bar{X}^v} \xi = \frac{f'}{f} \bar{X}^v, \\ \nabla_{\xi} \xi &= 0.\end{aligned}$$

Here the superscript  $v$  means the vertical lift operation of vector fields from  $N$  to  $M$ . Define  $\varphi$  by  $\varphi X = \{J(\sigma_* X)\}^v$ . Then we get

$$\begin{aligned}\nabla_X \xi &= \beta(X - \eta(X)\xi), \\ (\nabla_X \varphi)Y &= \beta\{g(\varphi X, Y) - \eta(Y)\varphi X\}, \quad \beta = f'/f.\end{aligned}$$

Hence  $M = \mathbb{E}^1 \times_f N$  is of class  $C_5$ .

Take a local orthonormal frame field  $\{\bar{e}_1, \bar{e}_2\}$  of  $(N, h)$  such that  $\bar{e}_2 = J\bar{e}_1$ . Then we obtain a local orthonormal frame field  $\{e_1, e_2, e_3\}$  by

$$e_1 = \frac{1}{f} \bar{e}_1^v, \quad e_2 = \frac{1}{f} \bar{e}_2^v = \varphi e_1, \quad e_3 = \xi.$$

Then the holomorphic sectional curvature of  $M$  is given by

$$H = K(e_1 \wedge e_2) = \frac{1}{f^2} \{K_N - (f')^2\}.$$

On the other hand, the sectional curvature of a plane containing  $\xi$  is

$$K(e_1 \wedge e_3) = K(e_2 \wedge e_3) = -\frac{f''}{f}.$$

The Ricci tensor components  $\varrho_{ij} = \varrho(e_i, e_j)$  are given by

$$\varrho_{11} = \varrho_{22} = \frac{K}{f^2} - \frac{f''}{f} - \left(\frac{f'}{f}\right)^2, \quad \varrho_{33} = -\frac{2f''}{f}$$

Hence  $M$  is a pseudo-symmetric space. In particular,  $M$  is of constant type if and only if  $f$  is a solution to  $f'' = -Lf$  for some constant  $L$ .

The local structure of Kenmotsu manifolds is described as follows.

PROPOSITION 3.5 ([25]).

- Kenmotsu manifolds of constant holomorphic sectional curvature are hyperbolic space forms of curvature  $-1$ .
- A Kenmotsu manifold  $M$  is locally isomorphic to a warped product  $I \times_f N$  whose base  $I \subset \mathbb{E}^1(t)$  is an open interval and  $N$  is a Kähler manifold with warping function  $f(t) = e^{ct}$ ,  $c \neq 0$ . The structure vector field is  $\xi = \partial/\partial t$ .

As we saw before, warped products of the form  $M = \mathbb{E}^1 \times_f N$  with 2-dimensional standard fiber are pseudo-symmetric trans-Sasakian 3-manifolds. In particular  $M$  is of constant type if and only if the warping function  $f$  satisfies the ODE  $f'' = -Lf$  for some constant  $L$ . In particular, if we assume that, in addition,  $N$  is of constant Gaussian curvature, the warped product is conformally flat. Conversely, 3-dimensional conformally flat irreducible pseudo-symmetric space of constant type are locally isometric to warped products as above. More precisely, Hashimoto and Sekizawa obtained the following result.

THEOREM 3.2 ([21]). *Let  $(M, g)$  be a 3-dimensional conformally flat irreducible pseudo-symmetric space of constant type. Then  $M$  is locally isometric to the warped product space  $\mathbb{E}^1 \times_f N^2(k)$ , whose base is the real line  $\mathbb{E}^1$  and standard fiber  $N^2(k)$  is a 2-dimensional space form of curvature  $k$ , respectively. The warping function  $f$  is one of the following:*

$$f(t) = \begin{cases} t, & L = 0, \\ \sinh(\lambda t) \text{ or } \cosh(\lambda t), & L = -\lambda^2 < 0, \\ \sin(\lambda t) & L = \lambda^2 > 0. \end{cases}$$

The principal Ricci curvatures are given by

$$\varrho_1 = \varrho_2 = \pm \frac{a^2}{f(t)^2} + 2L, \quad \varrho_3 = 2L,$$

where  $a$  is a positive constant. The curvature constant  $k$  is determined as follows:

- If  $(M, g)$  is semi-symmetric, then  $k = 1 \pm a^2$ .
- If  $L = -\lambda^2 < 0$ , then  $k = \lambda^2 \pm a^2$  when  $f(t) = \sinh(\lambda t)$ , and  $k = -\lambda^2 \pm a^2$  when  $f(t) = \cosh(\lambda t)$ , respectively.
- If  $L = \lambda^2 > 0$ , then  $k = \lambda^2 \pm a^2$ .

REMARK 4. M. S. Goto [15] studied global structures of compact conformally flat semi-symmetric spaces of dimension 3. Olszak [34] gave an example of a conformally flat quasi-Sasakian 3-manifold which is not pseudo-symmetric.

CoKähler manifolds are characterized as follows.

PROPOSITION 3.6 ([8, Lemma 2]). *Let  $(M; \varphi, \xi, \eta, g)$  be an almost contact manifold such that  $\xi$  is Killing and  $d\eta = 0$ . Then  $M$  is locally isometric to a Riemannian product  $N \times I$ , where  $I$  is an open interval and  $N$  is an almost Hermitian manifold.*

*In particular, a coKähler manifold is locally isometric to a Riemannian product  $N \times I$ , where  $I$  is an open interval and  $N$  is a Kähler manifold.*

Marrero [30] showed the nonexistence of proper trans-Sasakian manifolds of dimension greater than 3. On the other hand, he showed the following method of constructing proper trans-Sasakian 3-manifolds (see also [32]).

PROPOSITION 3.7 ([30], [32]). *Let  $M$  be a Sasakian 3-manifold and  $\sigma$  a nonconstant positive function on  $M$ . Then the pseudo-conformal deformation*

$$g \mapsto g^\sigma := \sigma g + (1 - \sigma)\eta \otimes \eta$$

*induces a trans-Sasakian manifold  $(M; \varphi, \xi, \eta, g^\sigma)$  of type  $(\alpha^\sigma, \beta^\sigma)$ , where*

$$\alpha^\sigma = \frac{1}{\sigma}, \quad \beta^\sigma = \frac{1}{2\sigma}d\sigma(\xi).$$

- *If  $d\sigma(\xi) \neq 0$ , then  $(M; \varphi, \xi, \eta, g^\sigma)$  is a proper trans-Sasakian manifold. Moreover,  $(M; \varphi, \xi, \eta, g^\sigma)$  is neither of class  $C_5$  nor of class  $C_6$ .*
- *If  $d\sigma(\xi) = 0$ , then  $M$  is quasi-Sasakian. Conversely, every quasi-Sasakian 3-manifold can be obtained in this way ([32]).*

Let  $\mathbb{R}^3(-3)$  be the Heisenberg group

$$\left\{ \left( \begin{array}{ccc} 1 & y & z \\ 0 & 1 & x \\ 0 & 0 & 1 \end{array} \right) \middle| (x, y, z) \in \mathbb{R}^3 \right\}$$

with the canonical Sasakian structure  $(\varphi, \xi, \eta, g)$  of constant holomorphic sectional curvature  $-3$ :

$$g = \frac{1}{4} (dx^2 + dy^2) + \eta \otimes \eta, \quad \eta = \frac{1}{2} (dz - xdy), \quad \xi = 2 \frac{\partial}{\partial z},$$

$$\varphi = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ x & 0 & 0 \end{pmatrix}.$$

We take a global orthonormal frame field:

$$e_1 = 2 \frac{\partial}{\partial x}, \quad e_2 = 2 \left( \frac{\partial}{\partial y} + x \frac{\partial}{\partial z} \right), \quad e_3 = 2 \frac{\partial}{\partial z} = \xi.$$

Then the endomorphism field  $\varphi$  satisfies  $\varphi e_1 = e_2$ ,  $\varphi e_2 = -e_1$  and  $\varphi \xi = 0$ .

Now let us take a positive function  $\sigma$  on  $\mathbb{R}^3(-3)$  such that  $d\sigma(\xi) \neq 0$  and consider the pseudo-conformal deformation  $g \mapsto \tilde{g} := g^\sigma$ . The resulting proper trans-Sasakian 3-manifold is of type

$$\tilde{\alpha} = \frac{1}{\sigma}, \quad \tilde{\beta} = \frac{\sigma_z}{2\sigma}.$$

We can take a global orthonormal frame field

$$\tilde{e}_1 = \frac{1}{\sqrt{\sigma}} e_1, \quad \tilde{e}_2 = \frac{1}{\sqrt{\sigma}} e_2, \quad \tilde{e}_3 = \xi.$$

Let us consider the pseudo-symmetry condition:

$$\tilde{g}(\tilde{e}_i, \text{grad}_{\tilde{g}} \tilde{\beta} - \varphi \text{grad}_{\tilde{g}} \tilde{\alpha}) = 0, \quad i = 1, 2,$$

for the deformed manifold. Direct computation shows that the deformed manifold is pseudo-symmetric if and only if

$$(3.4) \quad \left( \frac{\sigma_z}{2\sigma} \right)_x + \left( \frac{1}{\sigma} \right)_y + x \left( \frac{1}{\sigma} \right)_z = 0,$$

$$(3.5) \quad - \left( \frac{1}{\sigma} \right)_x + \left( \frac{\sigma_z}{2\sigma} \right)_y + x \left( \frac{\sigma_z}{2\sigma} \right)_z = 0.$$

**PROPOSITION 3.8.** *Let  $\sigma(x, y, z)$  be a positive solution to the system (3.4)–(3.5) such that  $\sigma_z \neq 0$ . Then the pseudo-conformal deformation of  $\mathbb{R}^3(-3)$  by  $\sigma$  is a pseudo-symmetric proper trans-Sasakian 3-manifold.*

For simplicity, we assume that  $\sigma$  depends only on  $z$ . Then the pseudo-symmetry condition reduces to

$$\left(\frac{1}{\sigma}\right)_z = \left(\frac{\sigma_z}{\sigma}\right)_z = 0.$$

Hence  $\sigma$  is a constant. Thus the example due to Marrero (pseudo-conformal deformation of  $\mathbb{R}^3(-3)$  with  $\sigma = e^z$ ) is not pseudo-symmetric.

**4. Pseudo-symmetric homogeneous contact Riemannian 3-manifolds.** A contact Riemannian manifold  $(M; \varphi, \xi, \eta, g)$  is said to be a *homogeneous contact Riemannian manifold* if there exists a connected Lie group  $G$  acting transitively on  $M$  as a group of isometries which leave the contact form  $\eta$  invariant.

Assume that  $M$  is simply connected. Then by a theorem due to Sekigawa [40],  $M$  is a Riemannian symmetric space or a Lie group with a left invariant metric. By using the classification of 3-dimensional Lie groups with left invariant metric due to J. Milnor [31], D. Perrone classified all simply connected homogeneous contact Riemannian 3-manifolds.

PROPOSITION 4.1 ([37]). *Let  $(M; \varphi, \xi, \eta, g)$  be a simply connected homogeneous contact Riemannian 3-manifold. Then  $M$  is a Lie group  $G$  together with a left invariant contact Riemannian structure  $(\eta, g)$  and Webster scalar curvature  $\mathcal{W} = (s - \varrho(\xi, \xi) + 4)/8$  and torsion invariant  $\tau = \mathcal{L}_\xi g$ . Here  $\mathcal{L}_\xi$  denotes the Lie differentiation with respect to  $\xi$ .*

- If  $G$  is unimodular, then  $G$  is one of the following:

- (1) the Heisenberg group  $\mathbb{H}_3$  if  $\mathcal{W} = |\tau| = 0$ ;
- (2)  $SU(2)$  if  $4\sqrt{2}\mathcal{W} > |\tau|$ ;
- (3)  $\tilde{E}(2)$  if  $4\sqrt{2}\mathcal{W} = |\tau| > 0$ ;
- (4)  $\tilde{SL}(2, \mathbb{R})$  if  $-|\tau| \neq 4\sqrt{2}\mathcal{W} < |\tau|$ ;
- (5)  $E(1, 1)$  if  $4\sqrt{2}\mathcal{W} = -|\tau| < 0$ .

The Lie algebra  $\mathfrak{g}$  of  $G$  is generated by an orthonormal basis  $\{e_1, e_2 = \varphi e_1, e_3 = \xi\}$  with commutation relations

$$[e_1, e_2] = 2e_3, \quad [e_2, e_3] = c_2 e_1, \quad [e_3, e_1] = c_3 e_2.$$

- If  $G$  is nonunimodular, then the Lie algebra  $\mathfrak{g}$  of  $G$  satisfies the commutation relations

$$[e_1, e_2] = \alpha e_2 + 2e_3, \quad [e_2, e_3] = 0, \quad [e_3, e_1] = \gamma e_2,$$

where  $e_3 = \xi$ ,  $e_1, e_2 \in \text{Ker } \eta$ ,  $e_2 = \varphi e_1$ ,  $\alpha \neq 0$  and  $4\sqrt{2}\mathcal{W} < |\tau|$ . If  $\gamma = 0$  then the structure is Sasakian ( $\tau = 0$ ) and  $\mathcal{W} = -\alpha^2/4$ .

In our previous work [11], we obtained the following result.

PROPOSITION 4.2. *Every 3-dimensional unimodular Lie groups with special left invariant contact Riemannian structure is a pseudo-symmetric space of constant type.*

On the other hand, unfortunately, our result on nonunimodular groups in [11] is not correct. We take this opportunity to give a correct classification of pseudo-symmetric *nonunimodular* Lie groups with left invariant contact Riemannian structure (cf. [22]).

Let  $G$  be a 3-dimensional nonunimodular Lie group with a left invariant contact Riemannian structure. Then there exists an orthonormal basis  $\{e_1, e_2 = \varphi e_1, e_3 = \xi\}$  of the Lie algebra  $\mathfrak{g}$  such that

$$[e_1, e_2] = \alpha e_2 + 2e_3, \quad [e_2, e_3] = 0, \quad [e_3, e_1] = \gamma e_2,$$

where  $\alpha \neq 0$ . In particular,  $\gamma = 0$  if and only if  $G$  is a Sasakian manifold of constant holomorphic sectional curvature  $-3 - \alpha^2$ . In this case  $G$  is isomorphic to  $\widetilde{\text{SL}}(2, \mathbb{R})$  with left invariant Sasakian structure of some constant holomorphic curvature as a contact Riemannian manifold, but not isomorphic as a homogeneous contact manifold. The Ricci curvatures of  $G$  are given in terms of  $\{e_1, e_2, e_3\}$  as follows:

$$\begin{aligned} \varrho_{11} &= -\alpha^2 - 2 + 2\gamma - \gamma^2/2, \\ \varrho_{22} &= -\alpha^2 - 2 + \gamma^2/2, \\ \varrho_{32} = \varrho_{23} &= \alpha\gamma, \quad \varrho_{33} = 2 - \gamma^2/2. \end{aligned}$$

The characteristic polynomial  $\Psi(\lambda) = \det(\lambda\delta_{ij} - \varrho_{ij})$  for the Ricci tensor field is given by

$$\begin{aligned} \Psi(\lambda) &= (\lambda - \varrho_{11})F(\lambda), \\ F(\lambda) &= \lambda^2 + \alpha^2\lambda - \{\alpha^2(2 + \gamma^2/2) + (2 - \gamma^2/2)^2\}. \end{aligned}$$

The discriminant  $\mathcal{D}$  of  $F(\lambda) = 0$  is

$$\mathcal{D} = \alpha^4 + 4\{\alpha^2(2 + \gamma^2/2) + (2 - \gamma^2/2)^2\} > 0.$$

Thus the equation  $F(\lambda) = 0$  has no double roots. On the other hand, we have  $F(\varrho_{11}) = 2\gamma\{(\gamma + 2)^2 + \alpha^2\}$ . Thus  $F(\varrho_{11}) = 0$  if and only if  $\gamma = 0$ . In this case,

$$\varrho_{11} = \varrho_{22} = -\alpha^2 - 2, \quad \varrho_{33} = 2.$$

Thus we obtain the following result.

THEOREM 4.1. *A 3-dimensional nonunimodular Lie group with a left invariant contact Riemannian structure is pseudo-symmetric if and only if it is a Sasakian space form of constant holomorphic sectional curvature  $-3 - \alpha^2 < -3$ .*

**5. Pseudo-symmetric non-Sasakian contact Riemannian 3-manifolds.** As we saw in the preceding section, there exist many pseudo-symmetric homogeneous Riemannian 3-manifolds. Moreover, the unit tangent sphere bundle of a Riemannian 2-manifold of constant curvature is locally homogeneous and pseudo-symmetric. In fact, in our previous paper [12], we have shown that for every Riemannian 2-manifold of constant curvature  $c$ , its unit tangent sphere bundle  $T_1M$  equipped with the standard contact Riemannian structure is a pseudo-symmetric space of constant type. In particular, if  $c \neq 1$ , the unit tangent sphere bundle is non-Sasakian. It was pointed out by D. E. Blair, Th. Koufogiorgos and B. J. Papantoniou [5] that the unit tangent sphere bundle of a surface with constant curvature  $c$  with standard contact Riemannian structure is a so-called  $(\kappa, \mu)$ -space with  $\kappa = c(2 - c)$  and  $\mu = -2c$ .

Note that non-Sasakian 3-dimensional  $(\kappa, \mu)$ -spaces are locally homogeneous and of constant holomorphic sectional curvature  $H = -(\kappa + \mu)$

On the other hand, O. Kowalski [28] gave examples of nonhomogeneous pseudo-symmetric 3-spaces. Nonhomogeneous Sasakian 3-manifolds provide examples of nonhomogeneous pseudo-symmetric spaces.

In view of the results of our previous papers, one may raise the following question:

*Are there examples of nonhomogeneous, non-Sasakian, pseudo-symmetric contact Riemannian 3-manifolds?*

In this section we exhibit some examples of non-Sasakian pseudo-symmetric contact Riemannian 3-manifolds.

**5.1.** Let  $M$  be a contact Riemannian 3-manifold. Then the formula (3.1) reduces to ([41])

$$(\nabla_X \varphi)Y = g((I + h)X, Y)\xi - \eta(Y)(I + h)X, Y \quad X \in \mathfrak{X}(M),$$

where  $I$  is the identity transformation and the endomorphism field  $h$  is defined by  $h = \mathcal{L}_\xi \varphi / 2$ .

Now let us define an endomorphism field  $\ell$  by

$$\ell(X) = R(\xi, X)\xi, \quad X \in \mathfrak{X}(M).$$

Then  $\ell$  and  $h$  satisfy the following relations:

$$\begin{aligned} h\xi = \ell(\xi) = 0, \quad \eta \circ h = 0, \quad \text{tr } h = \text{tr}(h\varphi) = 0, \quad h\varphi + \varphi h = 0, \\ \nabla_\xi h = \varphi(I - \ell - h^2), \quad \text{tr } \ell = 2 - \text{tr}(h^2). \end{aligned}$$

**LEMMA 5.1** (cf. [10]). *Let  $M$  be a 3-dimensional contact Riemannian manifold. Then there exists a local orthonormal frame field  $\mathcal{E} = \{e_1, e_2, e_3\}$  such that*

$$he_1 = \lambda e_1, \quad e_2 = \varphi e_1, \quad e_3 = \xi.$$



With respect to  $\mathcal{E}$ , the Levi-Civita connection  $\nabla$  is given by

$$\begin{aligned} \nabla_{e_1}e_1 &= be_2, & \nabla_{e_1}e_2 &= -be_1 + (1 + \lambda)\xi, & \nabla_{e_1}\xi &= -(1 + \lambda)e_2, \\ \nabla_{e_2}e_1 &= -ce_2 + (\lambda - 1)e_3, & \nabla_{e_2}e_2 &= ce_1, & \nabla_{e_2}\xi &= (1 - \lambda)e_1, \\ \nabla_{\xi}e_1 &= \alpha e_2, & \nabla_{\xi}e_2 &= -\alpha e_1, & \nabla_{\xi}\xi &= 0. \end{aligned}$$

The Ricci operator  $Q$  is given by

$$\begin{aligned} Qe_1 &= \varrho_{11}e_1 + \xi(\lambda)e_2 + (2b\lambda - e_2(\lambda))\xi, \\ Qe_2 &= \xi(\lambda)e_1 + \varrho_{22}e_2 + (2c\lambda - e_1(\lambda))\xi, \\ Q\xi &= (2b\lambda - e_2(\lambda))e_1 + (2c\lambda - e_1(\lambda))e_2 + 2(1 - \lambda^2)\xi, \end{aligned}$$

where

$$\varrho_{11} = s/2 + \lambda^2 - 2\alpha\lambda - 1, \quad \varrho_{22} = s/2 + \lambda^2 + 2\alpha\lambda - 1.$$

PROPOSITION 5.1 ([16]). *On a contact Riemannian 3-manifold with local orthonormal frame field  $\mathcal{E}$  as in Lemma 5.1,  $Q\varphi = \varphi Q$  if and only if  $b = c = 0$ .*

PROPOSITION 5.2. *Let  $M$  be a contact Riemannian 3-manifold with local orthonormal frame field  $\mathcal{E}$  as in Lemma 5.1. Then  $\varrho_{11} = \varrho_{22}$  if and only if  $\alpha = 0$  or  $M$  is Sasakian.*

COROLLARY 5.1 (cf. [18, Proposition 2]). *If a contact Riemannian 3-manifold  $M$  has constant  $\xi$ -sectional curvature, then  $\alpha = 0$  or  $M$  is Sasakian.*

REMARK 5. A contact Riemannian 3-manifold is said to be a  $(3-\tau)$ -manifold if  $\nabla_{\xi}\tau = 0$  [3], [16]. Every contact Riemannian 3-manifold of constant  $\xi$ -sectional curvature is a  $(3-\tau)$ -manifold with constant  $\text{tr } \ell$  [18, Proposition 2].

Now let  $M$  be a contact Riemannian 3-manifold with constant  $\xi$ -sectional curvature. Then the Ricci operator has the form:

$$\begin{aligned} Qe_1 &= (s/2 + \lambda^2 - 1)e_1 + 2b\lambda\xi, \\ Qe_2 &= (s/2 + \lambda^2 - 1)e_2 + 2c\lambda\xi, \\ Q\xi &= 2b\lambda e_1 + 2c\lambda e_2 + 2(1 - \lambda^2)\xi. \end{aligned}$$

Hence the characteristic polynomial  $\Psi(t) = \det(t\delta_{ij} - \varrho_{ij})$  for the Ricci tensor field  $\varrho$  is

$$\begin{aligned} \Psi(t) &= (t - \varrho_{11})F(t), \\ F(t) &= t^2 - (\varrho_{11} + 2 - 2\lambda^2)t + \{2(1 - \lambda^2)\varrho_{11} - 4\lambda^2(b^2 + c^2)\}. \end{aligned}$$

CASE 1:  $\varrho_{11}$  solves  $F(t) = 0$ . Direct computation shows that  $F(\varrho_{11}) = 0$  if and only if  $\lambda = 0$  (i.e.,  $M$  is Sasakian) or  $b = c = 0$  (i.e.,  $Q\varphi = \varphi Q$ ).

CASE 2:  $F(t) = 0$  has real double solutions. The discriminant  $\mathcal{D}$  of the equation  $F(t) = 0$  is

$$\mathcal{D} = (\varrho_{11} + 2\lambda^2 - 2)^2 + 16(b^2 + c^2).$$

Hence  $F(t) = 0$  has two equal real solutions if and only if  $\varrho_{11} + 2\lambda^2 - 2 = 0$  and  $b = c = 0$ .

## 5.2.

DEFINITION 5.1. A contact Riemannian manifold is said to be a *generalized  $(\kappa, \mu)$ -space* if

$$R(X, Y)\xi = (\kappa I + \mu h)\{\eta(Y)X - \eta(X)Y\}, \quad X, Y \in \mathfrak{X}(M),$$

for some functions  $\kappa$  and  $\mu$ . If both  $\kappa$  and  $\mu$  are constants,  $M$  is called a  $(\kappa, \mu)$ -space. A generalized  $(\kappa, \mu)$ -space is said to be *proper* if  $(d\kappa)^2 + (d\mu)^2 \neq 0$ .

Sasakian manifolds are  $(\kappa, \mu)$ -spaces with  $\kappa = 1$ ,  $\mu = 0$  and  $h = 0$ . Generalized  $(\kappa, \mu)$ -spaces are of particular interest in dimension 3. In fact, the following results are known.

THEOREM 5.1 ([26]). *Let  $M$  be a non-Sasakian generalized  $(\kappa, \mu)$ -space of dimension greater than 3. Then  $M$  is a  $(\kappa, \mu)$ -space.*

PROPOSITION 5.3 ([27, Lemma 1]). *Let  $M$  be a 3-dimensional generalized  $(\kappa, \mu)$ -space. Then there exists a local orthonormal frame field  $\mathcal{E} = \{e_1, e_2, e_3\}$  such that*

$$he_1 = \lambda e_1, \quad e_2 = \varphi e_1, \quad e_3 = \xi,$$

where  $\lambda = \sqrt{1 - \kappa} > 0$ . The Ricci operator  $Q$  is given by

$$QX = aX + b\eta(X)\xi + \mu hX, \quad X \in \mathfrak{X}(M).$$

with

$$a = \frac{1}{2}(s - 2\kappa), \quad b = \frac{1}{2}(6\kappa - s).$$

Hence the principal Ricci curvatures of a 3-dimensional generalized  $(\kappa, \mu)$ -space are given by

$$\begin{aligned} \varrho_1 &= \frac{1}{2}(s - 2\kappa) + \mu\sqrt{1 - \kappa}, \\ \varrho_2 &= \frac{1}{2}(s - 2\kappa) - \mu\sqrt{1 - \kappa}, \\ \varrho_3 &= 2\kappa. \end{aligned}$$

From these we can see that

$$\begin{aligned} \varrho_1 = \varrho_2 &\Leftrightarrow \mu = 0 \text{ or } \kappa = 1, \\ \varrho_1 = \varrho_3 &\Leftrightarrow \mu = \frac{1}{\sqrt{1 - \kappa}}(3\kappa - s/2), \\ \varrho_2 = \varrho_3 &\Leftrightarrow \mu = -\frac{1}{\sqrt{1 - \kappa}}(3\kappa - s/2). \end{aligned}$$

PROPOSITION 5.4. *A 3-dimensional proper generalized  $(\kappa, \mu)$ -space is pseudo-symmetric if and only if  $\mu = 0$  or  $\mu = \pm \frac{1}{\sqrt{1 - \kappa}}(3\kappa - s/2)$ .*

Perrone gave a characterization of “generalized  $(\kappa, \mu)$ -property” as follows:

**THEOREM 5.2** ([39]). *On a contact Riemannian 3-manifold  $M$ , its Reeb vector field  $\xi : M \rightarrow T_1M$  is a harmonic map with respect to the Sasakian lift metric if and only if  $M$  satisfies the generalized  $(\kappa, \mu)$ -condition on an everywhere dense open subset of  $M$ .*

For 3-dimensional  $(\kappa, \mu)$ -spaces, the following characterization is known.

**THEOREM 5.3** ([6]). *Let  $M$  be a contact Riemannian 3-manifold. Then the following three conditions are equivalent:*

- (1)  $M$  is  $\eta$ -Einstein.
- (2)  $Q\varphi = \varphi Q$ .
- (3)  $M$  is a  $(\kappa, 0)$ -space with  $\kappa \leq 1$ .

*In the third case,  $M$  is of constant holomorphic sectional curvature  $-\kappa$ .*

**THEOREM 5.4** ([6]). *Let  $M$  be a contact Riemannian 3-manifold. Then  $M$  satisfies  $Q\varphi = \varphi Q$  if and only if  $M$  is either*

- (1) a Sasakian 3-manifold,
- (2) a flat contact Riemannian 3-manifold, or
- (3) a non-Sasakian contact Riemannian space form of constant holomorphic sectional curvature  $-\kappa$  and constant  $\xi$ -sectional curvature  $\kappa$ .

*In the third case,  $\kappa < 1$ .*

These results imply that every  $(\kappa, 0)$ -space with  $\kappa \leq 1$  is a pseudo-symmetric space.

To close this paper we exhibit two examples.

**EXAMPLE 5.1.** In [38], D. Perrone gave the following example of weakly  $\varphi$ -symmetric 3-space which is neither homogeneous nor strongly  $\varphi$ -symmetric. Let  $M$  be the open submanifold  $\{(x, y, z) \in \mathbb{R}^3(x, y, z) \mid x \neq 0\}$  of Cartesian 3-space  $\mathbb{R}^3$  together with a contact form  $\eta = xydx + dz$ . The Reeb vector field of this contact 3-manifold is  $\xi = \partial/\partial z$ . Take a global frame field

$$e_1 = -\frac{2}{x} \frac{\partial}{\partial y}, \quad e_2 = \frac{\partial}{\partial x} - \frac{4z}{x} \frac{\partial}{\partial y} - xy \frac{\partial}{\partial z}, \quad e_3 = \xi$$

and define a Riemannian metric  $g$  by the condition that  $\{e_1, e_2, e_3\}$  is orthonormal with respect to it. Moreover, define an endomorphism field  $\varphi$  by  $\varphi e_1 = e_2$ ,  $\varphi e_2 = -e_1$  and  $\varphi \xi = 0$ . Then  $(\varphi, \xi, \eta, g)$  is the associated almost contact structure of  $(M, \eta)$ . The endomorphism field  $h$  satisfies  $he_1 = e_1$ ,  $he_2 = -e_2$ . Hence  $M$  is non-Sasakian. Perrone showed that this contact Riemannian 3-manifold is nonhomogeneous. The Ricci operator of  $(M, g)$  is given by  $Q = -8\omega^1 \otimes e_1$ , where  $\omega^1$  is the dual 1-form of  $e_1$ . Hence

$(M, g)$  is pseudo-symmetric. Thus Perrone's example is a nonhomogeneous and non-Sasakian contact Riemannian 3-manifold which is pseudo-symmetric.

Next we recall an example of a generalized  $(\kappa, \mu)$ -space constructed by Koufogiorgos and Ch. Tsihlias [26] (see also [24, Section 4.3]).

EXAMPLE 5.2. Let  $M = \{(x, y, z) \in \mathbb{R}^3 \mid z \neq 0\}$ . Define a frame field  $\mathcal{U} = \{u_1, u_2, u_3\}$  by

$$u_1 = \frac{\partial}{\partial x}, \quad u_2 = -2yz \frac{\partial}{\partial x} + \frac{2x}{z^2} \frac{\partial}{\partial y} - \frac{1}{z^2} \frac{\partial}{\partial z}, \quad u_3 = \frac{1}{z} \frac{\partial}{\partial y}.$$

Then we have

$$[u_1, u_2] = \frac{2}{z^2} u_3, \quad [u_2, u_3] = 2u_1 + \frac{1}{z^3} u_3, \quad [u_3, u_1] = 0.$$

Put  $\xi = u_1$  and define a Riemannian metric  $g$  by  $g(u_i, u_j) = \delta_{ij}$ . Then we have a contact Riemannian manifold  $M = (M; \varphi, \xi, \eta, g)$  with structure  $\eta = g(\xi, \cdot)$  and

$$\varphi u_1 = 0, \quad \varphi u_2 = u_3, \quad \varphi u_3 = -u_2.$$

Then  $\mathcal{E} = \{e_1, e_2, e_3\} = \{u_2, u_3, u_1\}$  satisfies the condition

$$he_1 = \lambda e_1, \quad he_2 = -\lambda e_2, \quad h\xi = 0,$$

where  $\lambda = 1/z^2$ . Moreover this contact Riemannian 3-manifold is a generalized  $(\kappa, \mu)$ -space with

$$\kappa = \frac{z^4 - 1}{z^4}, \quad \mu = 2 \left( 1 - \frac{1}{z^2} \right).$$

The Ricci operator  $Q$  is given by

$$Qe_1 = \varrho_{11}e_1, \quad Qe_2 = \varrho_{22}e_2, \quad Q\xi = 2(1 - \lambda^2)\xi,$$

where

$$\varrho_{11} = s/2 + \lambda^2 - 2\alpha\lambda - 1, \quad \varrho_{22} = s/2 + \lambda^2 + 2\alpha\lambda - 1, \\ \alpha = -1 + 1/z^2, \quad b = 1/z^3, \quad c = 0.$$

The scalar curvature is

$$s = \frac{6}{z^6} - \frac{2}{z^4} - \frac{2}{z^3} + \frac{4}{z^2} - 2.$$

Hence this space is not pseudo-symmetric.

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