1	Pseudomonas aeruginosa is capable of natural transformation in biofilms
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3	Laura M. Nolan <sup>1, 2</sup> , Lynne Turnbull <sup>1</sup> , Marilyn Katrib <sup>1</sup> , Sarah R. Osvath <sup>1</sup> , Davide Losa <sup>1</sup> and Cynthia
4	B. Whitchurch <sup>1,3</sup> *
5	
6	Author affiliations:
7	<sup>1</sup> The ithree institute, University of Technology Sydney, Ultimo, New South Wales, 2007, Australia.
8	<sup>2</sup> National Heart and Lung Institute, Imperial College London, London, SW3 6LR, UK.
9	<sup>3</sup> Microbes in the Food Chain Programme, Quadram Institute Bioscience, Norwich Research Park,
10	Norwich, NR4 7UQ, UK
11	
12	* Corresponding author:
13	Email: Cynthia.Whitchurch@quadram.edu.au
14	
15	Current Addresses:
16	CBW: Microbes in the Food Chain Programme, Quadram Institute Bioscience, Norwich Research
17	Park, Norwich, NR4 7UQ, UK
18	LMN: National Heart and Lung Institute, Imperial College London, London, SW3 6LR, UK.
19	DL: Department of Cellular, Computational and Integrative Biology (CIBIO), University of Trento,
20	Trento, TN 38123, Italy
21	
22	Abbreviations:
23	extracellular DNA; eDNA, type IV pili; T4P
24	

## 25 Abstract

Natural transformation is a mechanism that enables competent bacteria to acquire naked, exogenous 26 27 DNA from the environment. It is a key process that facilitates the dissemination of antibiotic resistance and virulence determinants throughout bacterial populations. *Pseudomonas aeruginosa* is 28 an opportunistic Gram-negative pathogen that produces large quantities of extracellular DNA 29 30 (eDNA) that is required for biofilm formation. P. aeruginosa has a remarkable level of genome 31 plasticity and diversity that suggests a high degree of horizontal gene transfer and recombination but is thought to be incapable of natural transformation. Here we show that *P. aeruginosa* possesses 32 33 homologs of all proteins known to be involved in natural transformation in other bacterial species. We found that *P. aeruginosa* in biofilms is competent for natural transformation of both genomic and 34 plasmid DNA. Furthermore, we demonstrate that type IV pili (T4P) facilitate but are not absolutely 35 36 essential for natural transformation in *P. aeruginosa*.

## 38 Introduction

39 The continued increase in antimicrobial resistance (AMR) levels is considered to be a significant global threat<sup>1</sup>. Horizontal gene transfer (HGT) is a key source of bacterial genome variation and 40 evolution and is largely responsible for the acquisition of antibiotic resistance genes by bacterial 41 pathogens<sup>2</sup>. Bacteria can acquire and heritably incorporate new genetic information via three HGT 42 43 mechanisms: conjugation, transduction and natural transformation. Conjugation is a cell-contact 44 dependent mechanism that transfers DNA directly from the cytoplasm of one bacterial cell into another. Transduction involves encapsidation of DNA into a bacteriophage which then injects the 45 46 DNA into the recipient cell. The third HGT mechanism is natural transformation which involves the import of naked DNA from the environment through a specialised DNA transport apparatus<sup>3,4</sup>. 47

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In many naturally competent bacterial species Type IV pili (T4P) are required for natural 49 transformation<sup>4</sup>. While the exact role of T4P in natural transformation is unclear, the generally 50 51 accepted model is that DNA binds to the pilus structure, which retracts and pulls the DNA to the cell surface. It is unclear whether or not DNA is translocated across the outer membrane through the PilO 52 secretin pore. The incoming DNA can then be accessed by the ComEA DNA translocation machinery 53 54 in the periplasm, which mediates DNA uptake possibly by a ratchet mechanism<sup>4,5</sup>. In Gram-positive bacterial species that do not produce T4P, natural transformation involves a number of proteins with 55 homology to T4P proteins which are thought to form a pseudopilus structure that spans the cell wall 56 and is coupled to the DNA translocation complex at the cytoplasmic membrane<sup>4,6</sup>. Once exogenous 57 DNA has been taken up by the cell it can be stably incorporated into the genome via recombination 58 59 or transposition, or be maintained as a plasmid if plasmid DNA is taken up by an appropriate host<sup>6</sup>. Extracellular DNA (eDNA) is present in significant quantities in both clinical and environmental 60 settings, and provides a vast reservoir of genetic material that can be sampled by bacteria that are 61

62 competent for natural transformation<sup>7</sup>.

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64 Pseudomonas aeruginosa is a highly antibiotic resistant Gram-negative bacterium which is a part of 65 the 'ESKAPE' group of pathogens that pose a serious health risk worldwide. P. aeruginosa readily acquires antibiotic resistance determinants, and demonstrates a high degree of genomic diversity and 66 malleability similar to that seen in naturally transformable bacteria<sup>8,9</sup>. Despite this, *P. aeruginosa* has 67 long been thought to be incapable of natural transformation<sup>10</sup>. P. aeruginosa is a model organism for 68 studying T4P<sup>11</sup>. Interestingly, *P. aeruginosa* produces copious quantities of eDNA under conditions 69 that promote T4P production such as in static broth cultures<sup>12</sup>, biofilms<sup>13</sup> and during twitching 70 71 motility-mediated biofilm expansion<sup>14,15</sup>. We therefore hypothesized that *P. aeruginosa* may be 72 competent for natural transformation under conditions that promote both T4P expression and eDNA 73 production. Here we show that some strains of P. aeruginosa are in fact capable of natural 74 transformation under these conditions.

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## 76 Results

77 Bioinformatic analyses of the sequenced *P. aeruginosa* strains PAO1, PA14 and PAK show that each 78 of these strains encode homologs of all genes known to be involved in natural transformation in other 79 bacterial species (Table 1). To determine if *P. aeruginosa* might be capable of natural transformation in biofilms, we established biofilms with a 1:1 mixture of PAO1<sub>GFP</sub> (Gm<sup>R</sup>) and PAO1 [pUCPSK] 80 81 (Carb<sup>R</sup>) biofilms in 10 cm Tygon tubing under continuous flow conditions. Biofilm effluent was 82 collected each day for 4 days and bacteria tested for their ability to grow on LB agar plates containing both gentamicin and carbenicillin. An average of 50-100 Gm<sup>R</sup>/Carb<sup>R</sup> colonies (resistant to both 83 84 gentamicin and carbenicillin) were obtained from the effluent of mixed PAO1<sub>GFP</sub> and PAO1 [pUCPSK] biofilms on day 1 and confluent lawns of Gm<sup>R</sup>/Carb<sup>R</sup> colonies obtained from day 2 85 onwards. The presence of mini-Tn7-Gm<sup>R</sup>-P<sub>A1/04/03</sub>-egfp at the chromosomal attTn7 site in these 86 87 colonies was confirmed by PCR amplification. To confirm that these colonies also possessed

pUCPSK, plasmid DNA was extracted, transformed into *E. coli* and confirmed by sequencing.
Neither the PAO1<sub>GFP</sub> or PAO1 [pUCPSK] strains used to establish these mixed biofilms, or effluent
from control single strain biofilms were able to grow on the dual antibiotic selection plates. As PAO1
lacks a prophage capable of transduction and pUCPSK is a non-conjugative plasmid, these results
suggest that HGT of extracellular plasmid DNA and/or chromosomal DNA might occur via natural
transformation in *P. aeruginosa* biofilms.

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95 To determine if HGT of chromosomal DNA could occur via natural transformation, we established 96 biofilms with a 1:1 mixture of PAO1<sub>GFP</sub> (Gm<sup>R</sup>) and PAO1<sub>CTX</sub> (Tc<sup>R</sup>) in 10 cm Tygon tubing under 97 continuous flow conditions. Biofilm effluent was collected each day for 8 days and bacteria tested 98 for their ability to grow on LB agar plates containing both gentamicin and tetracycline. Whilst no 99 Gm<sup>R</sup>/Tc<sup>R</sup> colonies were obtained from days 1-4, from days 5-8 an average of 3 Gm<sup>R</sup>/Tc<sup>R</sup> colonies that 100 were resistant to both antibiotics and expressed GFP were recovered per day. The presence of both 101 mini-Tn7-Gm<sup>R</sup>-P<sub>A1/04/03</sub>-egfp and mini-CTX2 in these colonies was confirmed by PCR, and GFP expression observed with epifluorescence imaging. Importantly, neither the PAO1<sub>GFP</sub> or PAO1<sub>CTX</sub> 102 103 strains used to inoculate the mixed biofilms or effluent from control single-strain biofilms were able to grow on the dual antibiotic selection plates. As neither conjugation or transduction is likely to 104 105 account for these HGT events in PAO1 biofilms, these results suggest that PAO1 is able to acquire 106 and incorporate chromosomal DNA and plasmids encoding antibiotic resistance genes via natural 107 transformation in biofilms.

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To confirm that *P. aeruginosa* is indeed capable of natural transformation and to rule out any possibility of HGT through transduction or conjugation, we performed a series of experiments to follow the uptake of purified, sterile exogenous DNA. We first grew wildtype strains PAK and PAO1 on LB agar overnight to form a colony biofilm on the surface of the agar. We then added 5 μg of

113 sterile DNA (or the equivalent volume of sterile water) of the plasmid pUCPSK, onto the surface of 114 the colony biofilm. After 2 hr incubation at 37°C the colony was resuspended and cells plated onto 115 media containing carbenicillin to select for transformants that had acquired the plasmid. Only colony 116 patches that had been exposed to plasmid DNA yielded Carb<sup>R</sup> colonies (Figure 1A), whereas colony patches exposed to sterile water yielded none. The transformation efficiency for PAK and PAO1 was 117 118  $24.7 \pm 10.1$  and  $5.8 \pm 1.9$  transformants/µg plasmid DNA, respectively. To confirm that the carbenicillin resistant colonies had acquired pUCPSK, plasmid DNA was extracted, re-transformed 119 120 into *E. coli* and confirmed by sequencing. These observations indicate that a proportion of cells within 121 colony biofilms of *P. aeruginosa* are competent for natural transformation and are able to take up and 122 maintain plasmid DNA.

123

Given that both *P. aeruginosa* strains PAK and PAO1 appeared to be naturally competent, we wanted 124 125 to determine if this was also the case for other commonly utilised lab strains (PA14 and PA103) and 126 clinical isolates. All P. aeruginosa strains were first confirmed to be carbenicillin sensitive prior to use. Thirteen P. aeruginosa otitis externa and twelve cystic fibrosis (CF) lung sputum isolates were 127 128 assayed for the ability to uptake pUCPSK plasmid DNA in a colony biofilm. Of these, 7/12 otitis 129 externa and 6/10 CF isolates were able to uptake exogenous plasmid DNA (Figure 1B). No Carb<sup>R</sup> colonies were obtained in the no plasmid DNA controls for each strain. Interestingly, a range of 130 131 transformation efficiencies were observed in both clinical and lab strains. Of the lab strains, PA14 132 was the least capable of natural transformation with PAK the most efficient. These results demonstrate that many lab and clinical isolate strains of P. aeruginosa are capable of natural 133 134 transformation within colony biofilms, albeit with different efficiencies.

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136 *P. aeruginosa* also expresses T4P when cultured in static nutrient broth<sup>11</sup>. Under these conditions *P*. aeruginosa forms biofilms and suspended microcolony aggregates that contain eDNA<sup>12</sup>. To 137

determine if natural transformation also occurred in broth cultures, 10 µg of sterile pUCPSK plasmid 138 139 DNA (or the equivalent volume of sterile water) was added to a subculture of *P. aeruginosa* wildtype 140 PAK or PAO1 and incubated statically at 37°C for 24 hrs to allow biofilms and aggregates to form. 141 Cells were then recovered and plated onto media containing carbenicillin to select for transformants. Carb<sup>R</sup> colonies were obtained for both PAO1 and PAK under these conditions, whereas no Carb<sup>R</sup> 142 colonies were identified in the water control. As was observed with colony biofilm transformations 143 144 (Fig 1A), PAK was more efficient for natural transformation of pUCPSK than PAO1 in static broth 145 cultures (Fig 2A).

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To determine if transformation efficiency was dependent on the amount of DNA added, we performed static broth transformation assays with increasing amounts of plasmid DNA. Whilst we observed an increase in the number of transformants with increasing amounts of plasmid DNA added (Figure 2C), the transformation efficiency (transformants/µg DNA) was relatively unchanged over the range of DNA quantities used for transformation (0.1-30 µg) (Figure 2D).

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P. aeruginosa produces more T4P when cultured in static broth cultures than under shaking 153 154 conditions<sup>11</sup>. We investigated the effects on natural transformation efficiency of culturing under static or shaking conditions and found that although some natural transformation was still observed under 155 shaking conditions, more transformants were obtained with static culture conditions (Figure 2B), 156 157 consistent with a role of T4P in natural transformation. To directly examine the role of T4P in natural transformation of *P. aeruginosa*, we added plasmid DNA to static broths of PAK mutants defective 158 159 in the production of the pilin subunit (*pilA*), in T4P assembly (*pilV*, *pilQ*, *fimV*) and in T4P retraction 160 (*pilT*). Interestingly, all T4P mutants were capable of some natural transformation of pUCPSK, 161 however a significant reduction in transformation efficiency compared to wildtype PAK was observed (Figure 2E). No Carb<sup>R</sup> colonies were identified in the no DNA controls. There was no 162

apparent difference in the transformation efficiency of mutants which either didn't have any surfaceassembled T4P (pilA, pilV, pilQ, fimV) or were unable to retract T4P (pilT) (Figure 2E). These suggest that in *P. aeruginosa*, T4P facilitate transport of DNA to the cell surface but are not essential for natural transformation of *P. aeruginosa*. Furthermore, these observations indicate that during natural transformation in *P. aeruginosa* the DNA is not being translocated through the PilQ secretin pore.

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To further examine the hypothesis that *P. aeruginosa* cells within biofilms are competent for natural transformation, we examined plasmid DNA uptake under flow biofilm conditions. PAO1 flow biofilms were cultured in the presence or absence of purified pUCPSK plasmid DNA and the amount of natural transformation within the biofilm biomass and in the effluent assessed at days 3, 4 and 5. Natural transformation was observed in both the biofilm biomass and biofilm effluent (Figure 2F). No Carb<sup>R</sup> colonies were obtained in the no DNA control.

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176 We have shown that *P. aeruginosa* is capable of natural transformation by uptake of exogenous plasmid DNA in colony biofilms, in static and shaking broth cultures and in flow biofilms (Figures 177 1, 2). We were also interested in determining whether P. aeruginosa was also able to uptake 178 179 chromosomal DNA from the environment and integrate this into the chromosome. To examine this, 180 chromosomal DNA from PAO1<sub>GFP</sub> (Gm<sup>R</sup>) was purified from either a whole cell lysate (gDNA) or the total (sterile) eDNA from confluent agar plate culture and applied to static broth cultures of PAK or 181 182 PAO1 for 24 hr. Cells were recovered and cultured on agar containing gentamicin to select for transformants. These assays revealed that natural transformation of gDNA occurred at a low 183 184 frequency for both PAK and PAO1 in static broth cultures (Figure 3A). No natural transformation 185 with sterile eDNA was observed in static broth cultures for either PAK or PAO1 (Figure 3A). This 186 may be due to the integrity of the DNA as we observed via agarose gel electrophoresis that the eDNA 187 used in these experiments was quite degraded compared with the gDNA, presumably through the

188 action of nucleases present in the extracellular milieu. No gentamicin resistant colonies were obtained189 in the no DNA controls.

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191 We also examined if natural transformation by uptake of exogenous chromosomal DNA occurs in biofilms cultured under continuous flow. P. aeruginosa PAO1 flow biofilms were cultured in 10 cm 192 193 Tygon tubing in the presence and absence of sterile gDNA or eDNA obtained from PAO1<sub>GFP</sub> (Gm<sup>R</sup>) 194 in the media influent. After 5 days the number of Gm<sup>R</sup> colonies recovered from the biofilm biomass 195 were counted. This revealed extremely variable rates of natural transformation of gDNA by cells 196 within the biofilm biomass across multiple experiments (Figure 3B). This is not unexpected as the 197 rate is likely to be dependent upon the time at which the natural transformation event occurred. If 198 this event occurred early in the assay, we would expect many transformants recovered due to proliferation of the transformed cells. However, if transformation occurred later we would expect far 199 200 fewer transformants as these did not have as long to proliferate. For the eDNA experiments, while 201 some Gm<sup>R</sup> transformants were obtained (Figure 3C), the rate of natural transformation was overall much lower than for gDNA (Figure 3B). No Gm<sup>R</sup> colonies were obtained for continuous flow biofilms 202 203 in the absence of gDNA or eDNA indicating that the gentamicin resistant cells recovered from these assays was due to the presence of the exogenous chromosomal DNA. To further rule out the 204 possibility of spontaneous resistance, the presence of the mini-Tn7-Gm<sup>R</sup>-P<sub>A1/04/03</sub>-egfp at the 205 chromosomal attTn7 site in the biofilm-derived Gm<sup>R</sup> colonies was confirmed by PCR. The presence 206 207 of the gfp gene in the Gm<sup>R</sup> colonies was also confirmed by visualisation of GFP expression using epifluorescence microscopy (Figure 4B). No GFP expression was observed in the PAO1 inoculum 208 209 strain (Figure 4A). As it was not possible to directly visualise biofilms cultured in Tygon tubing, 210 PAO1 continuous flow biofilms were cultured in transparent flow cells over 5 days in the presence 211 and absence of gDNA obtained from PAO1<sub>GFP</sub>. Epifluorescence microscopy revealed microcolonies 212 of GFP-expressing bacteria within the biofilm (Fig 4C-F). No GFP expression was observed in the 213 no DNA control biofilms.

214

## 215 **Discussion**

216 Here we have demonstrated, in contrast to current dogma, that *P. aeruginosa* is capable of natural 217 transformation of both plasmid and chromosomal DNA under conditions that promote the expression 218 of T4P and eDNA production, such as in biofilms. We found that whilst T4P appear to be involved 219 in facilitating DNA uptake, T4P are not absolutely required for natural transformation in P. 220 aeruginosa. Furthermore, our data suggests that the PilQ secretin pore is not absolutely required for 221 translocation of DNA across the outer membrane in this organism. This is in contrast to the other 222 Gram-negative bacteria in which it appears that T4P and the secretin pore are required for natural 223 transformation.

224

The finding that *P. aeruginosa* is capable of natural transformation is a paradigm shift in our understanding of how this pathogen acquires genetic diversity. Indeed, recombination has recently been identified as a major means of genetic diversity in *P. aeruginosa* cystic fibrosis (CF) lung isolates although the source of DNA and the mechanism of HGT was not determined<sup>16</sup>. Natural transformation may be an important mechanism for the acquisition of antibiotic resistance and virulence genes in this ESKAPE pathogen and a significant contributor to the rapid increase in number of multidrug resistant *P. aeruginosa* strains that are an emerging problem worldwide.

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## 233 Methods

### 234 Strains, plasmids and growth conditions

235 *P. aeruginosa* strains used in this study were PAO1 (ATCC 15692), PAK<sup>17</sup>, PA14<sup>18</sup>, PA103<sup>19</sup>, 236 PAO1<sub>GFP</sub> which contains mini-Tn7-Gm<sup>R</sup>-P<sub>A1/04/03</sub>-*egfp* encoding *gfp* and *aac1* (Gm<sup>R</sup>) inserted 237 downstream of *glmS*<sup>20</sup>, PAO1<sub>CTX</sub> which contains miniCTX2 encoding *tet* (Tc<sup>R</sup>) inserted into the *attB* 

site of the chromosome<sup>21</sup> and T4P mutants PAK*pilA*:: $TcR^{22}$ , and Tn5-B21 mutants of *pilO*<sup>23</sup>, *pilT*<sup>24</sup>, 238 pilV<sup>25</sup>, fimV<sup>26</sup>. The P. aeruginosa CF sputum clinical isolates were obtained from David Armstrong 239 240 at Monash Medical Centre (Melbourne, Australia), and the otitis externa P. aeruginosa clinical 241 isolates were obtained from Di Olden at Gribbles Pathology Melbourne (Australia). The pUCPSK 242 plasmid used is a non-conjugative E. coli-P. aeruginosa shuttle vector encoding bla which confers carbenicillin resistance (Carb<sup>R</sup>) in P. aeruginosa<sup>27</sup>. E. coli Dh5a (recA, endA1, gyrA96, hsdR17, thi-243 244 1, supE44, relA1, \varphi80, dlacZ\DeltaM15) was used as a host strain for pUCPSK and was miniprepped from P. aeruginosa and E. coli strains using a Qiagen miniprep kit according to manufacturer's 245 246 instructions.

247

248 *P. aeruginosa* was cultured on lysogeny broth (LB) solidified with agar at 1.5% (w/v) for routine 249 maintenance and at 1.5% or 1% (w/v) for colony biofilm assays and grown in cation-adjusted Mueller 250 Hinton Broth (CAMHB) at 37°C for all static broth and flow biofilm assays. Antibiotics were used 251 at the following concentrations (w/v) as required: ampicillin 50  $\mu$ g/ml for *E. coli* and carbenicillin 252 250  $\mu$ g/ml, gentamicin 100  $\mu$ g/ml and tetracycline 100  $\mu$ g/ml for *P. aeruginosa*.

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#### 254 Bioinformatics and data and statistical analyses

Homologs of proteins involved in natural transformation were identified in *P. aeruginosa* PAO1 using BLASTp<sup>28</sup>. The Pseudomonas.com resource<sup>29</sup> and the PAK genome<sup>30</sup> were used to identify *P. aeruginosa* orthologs.

Data was graphed and analyzed using Graph Pad Prism version 8.0. The number of replicates andany statistical tests are described in figure legends.

260

#### 261 Colony biofilm assay

262 Overnight cultures of *P. aeruginosa* were grown in 2 ml CAMHB at 37°C, shaking at 250 rpm. A 10 263 µL plastic loop was used to generate a 1 cm patch of the overnight culture on a dry 1% LBA plate. 264 This was then incubated overnight at 37°C. The next day 10 µL of DNA at the indicated concentration 265 was spotted onto the established colony biofilm and allowed to dry into the cells. The plate was then 266 incubated with the agar downwards at 37°C for the indicated time. After incubation the colony biofilm was harvested from the plate into 1 mL LB, vortexed to resuspend and then incubated at 37°C for 30 267 268 min to fully resuspend the cells. The cell suspension was then spread plated between two 150 mm 269 LBA plates with appropriate antibiotic selection and incubated for 24 hr at 37°C.

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#### 271 Static broth assay

Overnight cultures of *P. aeruginosa* were grown in 2 ml CAMHB at 37°C, shaking at 250 rpm. 40μL of overnight culture was subcultured into 2 ml fresh CAMHB with DNA added at the indicated concentration. The media, cells and DNA were then mixed and incubated at 37°C statically for 24 hr. Note for the shaking broth assay the same setup was used however the culture was incubated with shaking at 250 rpm. In both cases after incubation the cell suspension was then spread plated between two 150 mm LBA plates with appropriate antibiotic selection and incubated for 24 hr at 37°C.

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#### 279 Isolation of DNA for use in continuous flow biofilm assays

280 Chromosomal DNA (gDNA) was purified from PAO1Tn7::*gfp-aac1* cells using the Epicentre® 281 Masterpure DNA purification kit. Extracellular DNA (eDNA) was purified from a confluent lawn of 282 PAO1Tn7::*gfp-aac1* cultured overnight on MacConkey agar containing 5% (v/v) glycerol. Bacteria 283 were suspended in sterile phosphate buffered saline (PBS), centrifuged and the supernatant filtered 284 through 0.2  $\mu$ m PES membrane. eDNA present in the supernatant was further purified by removal of 285 proteins and ethanol precipitation as reported previously<sup>31</sup>. Sterility of all DNA samples was 286 confirmed prior to use by plating onto LB agar.

#### 287

#### 288 Continuous flow biofilm assays

289 10 cm lengths of Tygon laboratory tubing (2mm ID) were inoculated with 1/100 dilution of an 290 overnight culture of P. aeruginosa in CAMHB and allowed to attach for 2 hours under static 291 conditions after which continuous flow was commenced at a rate of 80 µL/min at room temperature. 292 Influent media was CAMHB containing either no added DNA or DNA added at a final concentration 293 of 1 µg/mL for pUCPSK plasmid DNA, or 0.5 mg/mL for gDNA or eDNA. At harvest, the attached 294 biofilm was removed by sonication and biofilm-associated bacteria collected by centrifugation. 295 Transformants were selected by plating onto LB agar with appropriate antibiotic selection and 296 incubated for 24 hr at 37°C. To visualise natural transformation by PAO1 continuous flow biofilms (Figure 4) of gDNA an IBIDI® µ-slide I (with flow kit) was inoculated and cultured as described for 297 298 the Tygon tubing biofilms. Biofilms were imaged using an Olympus IX71 inverted research 299 microscope fitted with phase contrast objectives and filtered halogen lamps for fluorescent imaging.

300

#### 301 Confirmation of natural transformation events from continuous flow biofilms

302 The presence of mini-Tn7-Gm<sup>R</sup>- $P_{A1/04/03}$ -egfp at the chromosomal attTn7 site was confirmed by PCR 303 using primers Tn7-up (5'CGTATTCTTCGTCGGCGTGAC3') and Tn7-down 304 (5'CGAAGCCGCCGACAAGGG3'). Expression of GFP was confirmed by epifluorescence 305 microscopy on an Olympus IX71. The presence of mini-CTX2 at the chromosomal attB site was 306 confirmed by PCR using primers Pser-up (5'CGAGTGGTTTAAGGCAACGGTCTTGA3') and Pser-307 down (5'AGTTCGGCCTGGTGGAACAACTCG 3')<sup>21</sup>. To confirm the presence of pUCPSK in P. 308 aeruginosa, plasmid DNA was extracted from P. aeruginosa, transformed into E. coli, extracted and 309 confirmed by sequencing with M13-FUP (5'TGTAAAACGACGGCCAGT3').

310

# 312 Acknowledgements

313	We thank Jacob Bertrand, Elizabeth Tran, Lisa MacAskill, Dervilla McGowan, Heather Smith and						
314	Kate Rainzcuk for technical assistance and helpful discussions. L. M. N was supported by an Imperial						
315	College Research Fellowship. D. L. was supported by the Swiss National Science Foundation (SNSF,						
316	grant n. P2GEP3_161769). C. B. W. was supported by a National Health and Medical Research						
317	Council of Australia (NHMRC) Career Development Award and a Senior Research Fellowship						
318	(571905).						
319							
320	Author information						
321	Contributions						
322	L.M.N, L.T, M.K, S.R.O, D.L and C.B.W performed experiments. L.M.N, L.T and C.B.W analyzed						
323	data. C.B.W. provided project administration and funding. L.M.N. and C.B.W. wrote the manuscript.						
324							
325	Ethics declarations						
326	The authors declare no conflict of interest.						
327							
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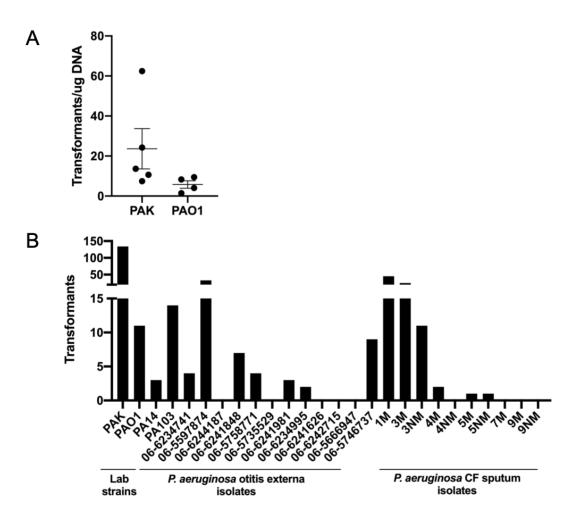
# **396 Tables and Figures**

## **Table 1.** Homologs of proteins involved in natural transformation in a range of bacteria.

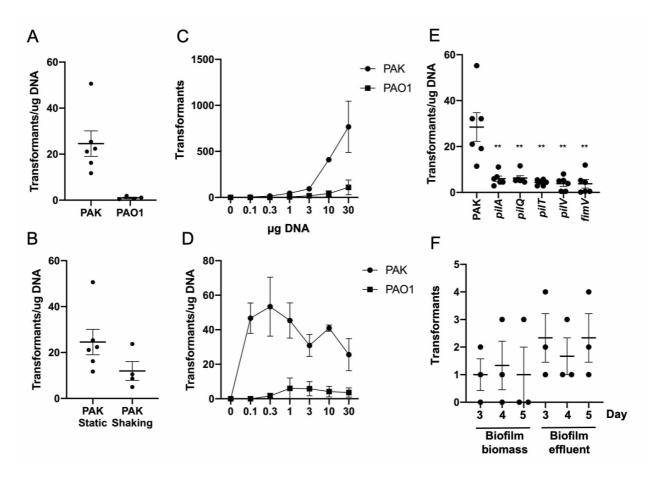
Competence Protein	Bacillus subtilis	Streptococcus pneumoniae	Haemophilus influenzae	Thermus thermophilus	Pseudomonas stutzeri	Neisseria gonorrhoeae	Pseudomonas aeruginosa*
T4P/Competence Pseudopilus							
Traffic NTPase(s)	ComGA	ComGA	PilB	PilF	PiIT, PiIU	PilF, PilT	PilB, PilT, PilU
Polytopic membrane protein	ComGB	ComGB	PilC	PilC	PilC	PilG	PilC
Pilins or pseudopilins	ComGC, -GD, -GE, -GG	CgIC, CgID	PilA	PilA1, -A2, -A3, -A4	PilA1	PilE, ComP	PilA, -V, -W, -X, -E, FimT, FimU
Prepilin peptidase	ComC	CilC	PilD	PilD		PilD	PilD
Secretin/pilot	na	na	ComE	PilQ		PilQ/PilP	PilQ/PilP
DNA translocation machinery							
DNA receptor	ComEA	ComEA		ComEA		ComE	PA3140
Membrane channel	ComEC	ComEC	Rec-2	ComEC	ComA	ComA	PA2984
ATP-binding protein	ComFA	ComFA			ExbB		PA2983
Other							
			DprA (Smf)				PA0021
			TfoX (Sxy)				PA4703
			CRP				Vfr
			CyaA				СуаА, СуаВ
			ComM				PA5290
			ComF				PA0489

398

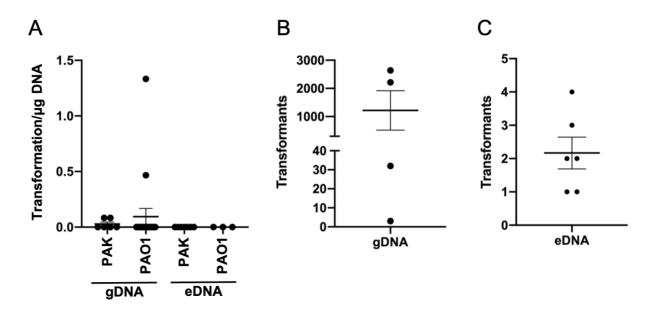
\*Using *P. aeruginosa* PAO1 gene nomenclature



400 Figure 1. Lab and clinical strains of *P. aeruginosa* are capable of natural transformation of plasmid DNA within colony biofilms. pUCPSK DNA was applied to colony biofilms of (A) P. 401 402 aeruginosa PAK or PAO1, or (B) P. aeruginosa lab or clinical strains and incubated for 2 hr. Cells 403 were then harvested and the number of carbenicillin resistant transformants determined by spread 404 plating on selective media. For (A) the mean of each set of technical triplicates was calculated to give an n $\geq$ 4 which is presented as mean  $\pm$  SEM. (P > .05; Mann-Whitney U-test). For (B) the 405 406 values presented are from n=1. For the CF sputum isolates the designation M refers to mucoid 407 phenotype, NM is non-mucoid.



409Figure 2. *P. aeruginosa* is capable of natural transformation of plasmid DNA in broth cultures410and continuous flow biofilms. Carbenicillin resistant transformants obtained from static (A, C-E)411or shaking (B) broth cultures incubated for 24 hr with pUCPSK or (F) from biofilm biomass or412effluent of PAO1 continuous flow biofilms cultured with pUCPSK in the media influent harvested413on the indicated day. The mean of each set of technical triplicates was calculated to give an n $\geq$ 3414which is presented as mean  $\pm$  SEM. For (A) and (E) \*\* *P* < .005; Mann-Whitney *U*-test compared415to PAK. For (B) *P* > .05; Mann-Whitney *U*-test.



416

417 Figure 3. *P. aeruginosa* is capable of natural transformation of chromosomal DNA. Sterile 418 gDNA or eDNA was added to (A) static broth cultures of PAK or PAO1 and incubated for 24 hr or 419 (B) in the media influent of continuous flow biofilms of PAO1 in Tygon tubing and incubated for 5 420 days. The mean of each set of technical triplicates was calculated to give an  $n \ge 3$  which is presented 421 as mean  $\pm$  SEM.

