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# PTEN loss in Gleason grade 7 prostate tumors exhibits intratumoral heterogeneity and is associated with unfavorable pathological features

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## Abstract

**Background:** PTEN loss is observed in 20–30% of prostate cancers and is associated with a poor outcome, but clinical details of the impact of this biomarker are unclear for intermediate grade tumors.

**Methods:** We investigated 43 radical prostatectomy-derived grade 7 prostate tumors from the Clinics Hospital of Ribeirão Preto. Tissue microarray (TMA) blocks were constructed and *PTEN* copy number status was determined for all patients through fluorescence in situ hybridization (FISH). To determine the presence of PTEN protein loss in our study cohort, we performed immunohistochemistry (IHC) in TMA sections. We then developed an automated algorithm in HALO™ to identify regions of PTEN protein loss in whole prostate scanned sections from ten patients with known *PTEN* deletion status by FISH. Clinical analyses were conducted to determine the associations between PTEN loss and patient outcome. All statistical analyses were conducted in R v3.4.3 with *P*-values below 0.05 being considered statistically significant.

**Results:** In this study of 43 grade 7 tumors, we found *PTEN* deletions by FISH in 18.9% of tumors, and PTEN protein loss by IHC in 16.3% of tumors. Both techniques were highly concordant and complementary. Clinical analysis demonstrated that *PTEN* deletion by FISH was significantly associated with positive margin invasion (*P* = 0.04) and Gleason score upgrade (*P* = 0.001). Digital image analysis of ten representative tumors demonstrated distinct intratumoral heterogeneity for PTEN protein loss in four tumors.

**Conclusions:** This study shows that PTEN loss in Gleason grade 7 tumors can be heterogeneous and that a systematic analysis of this biomarker using a combination of FISH, IHC, and digital imaging may identify patients with a greater risk of poor outcome.

**Keywords:** PTEN, Digital pathology, Prostate cancer, Intratumoral heterogeneity, Fluorescence in situ hybridization, Immunohistochemistry

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## Introduction

Prostate Cancer (PCa) is the most common solid tumor in men and is the third more common cancer type in the world [1]. Phosphatase and tensin homolog (*PTEN*) is located in 10q23.31 and is the most frequent somatically mutated tumor suppressor gene in a variety of human malignancies (reviewed in [2]). Currently, immunohistochemistry and fluorescence in situ hybridization (FISH) assays of *PTEN* loss in PCa [3–5] have shown high specificity to identify tumors that carry the poor prognostic biomarker.

*PTEN* inactivation in prostate tumors is found in 20–30% of patients and is associated with adverse outcome [6]. Most importantly, *PTEN* was found to be an independent prognostic indicator of PCa-specific death in both conservatively treated and surgically treated patients and may be employed in the clinical setting as a biomarker for disease stratification (reviewed in [7]). Although *PTEN* deficiency carries an unfavorable prognosis, the extent of heterogeneity of loss of the *PTEN* protein has not been objectively studied by imaging methods.

This study was designed to characterize *PTEN* deletions and protein loss in Gleason grade 7 (comprising Gleason score 3 + 4 and 4 + 3) to provide more details of the use of loss of *PTEN* as a biomarker for improved stratification of PCa with intermediate risk. Moreover, we performed whole slide digital image analysis to precisely determine how much intratumoral heterogeneity of *PTEN* protein loss was present in this study group of Gleason grade 7 tumors.

## Methods

### Cohort description

We evaluated 43 representative PCa cases collected after radical prostatectomy between 2009 and 2010 for this cohort study. Samples were fixed in formalin, embedded in paraffin and were obtained from the archive of the Pathology Service from the Clinics Hospital of Ribeirão Preto (HCRP). From this cohort, 35 tumors were classified as Gleason score 7 (3 + 4) and eight tumors were classified as Gleason score 7 (4 + 3). Gleason score upgrade refers to patients that presented higher Gleason score from radical prostatectomy specimens when compared to the initial Gleason score from preoperative needle core biopsy. Biochemical recurrence was defined as PSA levels >0.2 ng/mL during the entire patient follow-up of this cohort. Clinical details of the studied cohort are shown in Additional file 1: Table S1.

### TMA construction, immunohistochemistry, and FISH analyzes

For the construction of the TMA, we used the Manual Tissue Arrayer (MTA-1 – Beecher Instruments, Silver Spring, MD, USA) to obtain four 1 mm diameter tumor

cores per patient. An initial analysis of whole prostate H&E sections was performed by a pathologist (F. P. S.) to identify two regions with the most prevalent Gleason pattern, one region of the second most prevalent Gleason pattern, and one benign adjacent region for each patient. Then, 1 mm needle cores were obtained to build a tissue microarray (TMA). The histological preparations were made as described previously [4]. Four-Color *PTEN* FISH probes (*PTEN* del-TECT Four Color FISH probe, Biocare Medical, CA, USA) were used following recently published guidelines [8]. *PTEN* FISH results were analyzed and interpreted as previously reported [4].

For immunohistochemistry, we used the anti-*PTEN* antibody D4.3 XP® (Cell Signaling, MA, USA) diluted 1:50 in the TMA samples. More details in the methods can be found elsewhere [9]. The immunohistochemistry data were analyzed by two independent pathologists (F. P. S. and T. J.) based on a previously validated dichotomous scoring system [10]. Briefly, TMA cores having intermingling of tumor with *PTEN* intact with tumor that has *PTEN* protein loss were classified as *PTEN* loss when more than 10% of the neoplastic glands had *PTEN* negative staining. Benign glands and stromal cells presenting positive *PTEN* staining were used as internal controls. TMA cores showing heterogeneous patterns of *PTEN* protein expression were classified using the 10% cut off mentioned above. Moreover, TMA cores lacking internal positive controls or with weak IHC staining were classified as inconclusive and were removed from further analyses. Patients were classified as having *PTEN* loss when at least one TMA core had *PTEN* protein loss.

### Digital pathological analyses of *PTEN* protein expression

Since *PTEN* protein expression in PCa is often heterogeneous [11], our study was designed to characterize the presence of focal regions of *PTEN* protein loss in whole prostate glands. We selected ten patient tumors in which *PTEN* status had previously been determined by FISH based solely on analysis of TMA tumor cores. Based on the results of *PTEN* deletion status in the sampled TMA cores, we chose a total of ten cases from our cohort, of which three cases had *PTEN* homozygous deletions, three cases had *PTEN* hemizygous deletions, and four samples were *PTEN* intact. We obtained whole tumor sections from all selected ten cases and we performed IHC staining with anti-*PTEN* antibody following the protocol described above. Whole sections were then scanned using the Aperio Biosystems and analyzed with Aperio Scanscope (Leica Biosystems Inc., ON, Canada). The pathologist in our study (TJ) annotated all tumor glands of each section while excluding regions enriched with stromal cells. The annotated tumor regions were then analyzed using an automated algorithm developed in HALO™ (Indica Labs, NM, USA) for *PTEN* staining

of prostate tumors (see Additional file 1: Supplementary Methods for more details).

## Results

### Comparison between FISH and IHC for PTEN loss

The analysis of *PTEN* copy number using FISH was conclusive for 95% (41/43) of the patients. Two cases remained inconclusive due to poor quality probe hybridization. For the IHC, the results were conclusive for 90% (39/43) of the patients. FISH analysis of the TMA showed that *PTEN* deletion was present in 18.9% (8/41), with *PTEN* hemizygous deletions in 11.6% (5/41) and *PTEN* homozygous deletions in 7.3% (3/41) of tumors. Moreover, by IHC, we detected *PTEN* protein loss in 16.3% (7/39) of the patient tumors (Additional file 1: Table S2). Representative images from tumors with *PTEN* protein loss and *PTEN* deletions are shown in Additional file 1: Figure S1.

The comparison between FISH and IHC showed that both assays were highly concordant, with 90% (27/30) showing protein intact and undeleted *PTEN* by FISH (Additional file 1: Table S3).

### Intratumoral heterogeneity of PTEN protein loss

To determine the extent of intratumoral heterogeneity of *PTEN* protein loss we performed image analysis on ten whole sections in which *PTEN* status had been previously characterized by FISH and IHC in tumor cores. We found that tumors with *PTEN* homozygous deletions had regions with complete loss of *PTEN* protein expression that was evident in the regions from which the pathologist had previously removed the core for TMA construction (Fig. 1a-c). We found that the three tumors with *PTEN* homozygous deletions have less positively stained cells and more negatively stained cells when compared with tumors with either *PTEN* hemizygous deletions or with *PTEN* intact ( $P = 0.01$ ) (Table 1). These data suggest that digital imaging is able to detect quantitative difference in protein expression.

It can be seen that two (see Fig. 1b and c) of the three tumors with homozygous deletions demonstrate spatially distinct regions of intratumoral heterogeneity for *PTEN* loss by digital imaging. These likely reflect variation in expression levels of *PTEN* resulting from clonal regions of tumor that retained a functional copy of the gene. In contrast, the homozygously deleted case shown in Fig. 1a has uniform loss of expression throughout the regions of tumor.

From the three whole sections that presented *PTEN* hemizygous deletions (Fig. 1d-f), two cases presented a tumor region that had glands with uniform moderate and strong *PTEN* protein expression in regions containing tumor (Fig. 1d and e). In the third case with a *PTEN* hemizygous deletion, we observed intratumoral heterogeneity by image analysis evident as two distinct regions

of *PTEN* protein loss surrounded by an extensive region that had retained *PTEN* protein expression in the tumor (Fig. 1f).

From the four samples that were classified as *PTEN* intact by FISH (Fig. 1g-j) all tumors had moderate to strong protein expression from regions cored for TMA analysis. However, in one tumor, we observed a complete *PTEN* protein loss (negative staining) in two small distant regions, both > 5 mm away from the sampled core region (arrows in Fig. 1h). Moreover, as illustrated in Fig. 1h the tumor region adjacent to core 1 was primarily characterized as intraductal carcinoma of the prostate. This case also presented a distant region adjacent to core 2 that had glands with *PTEN* loss surrounded by *PTEN* intact glands (moderate and strong staining).

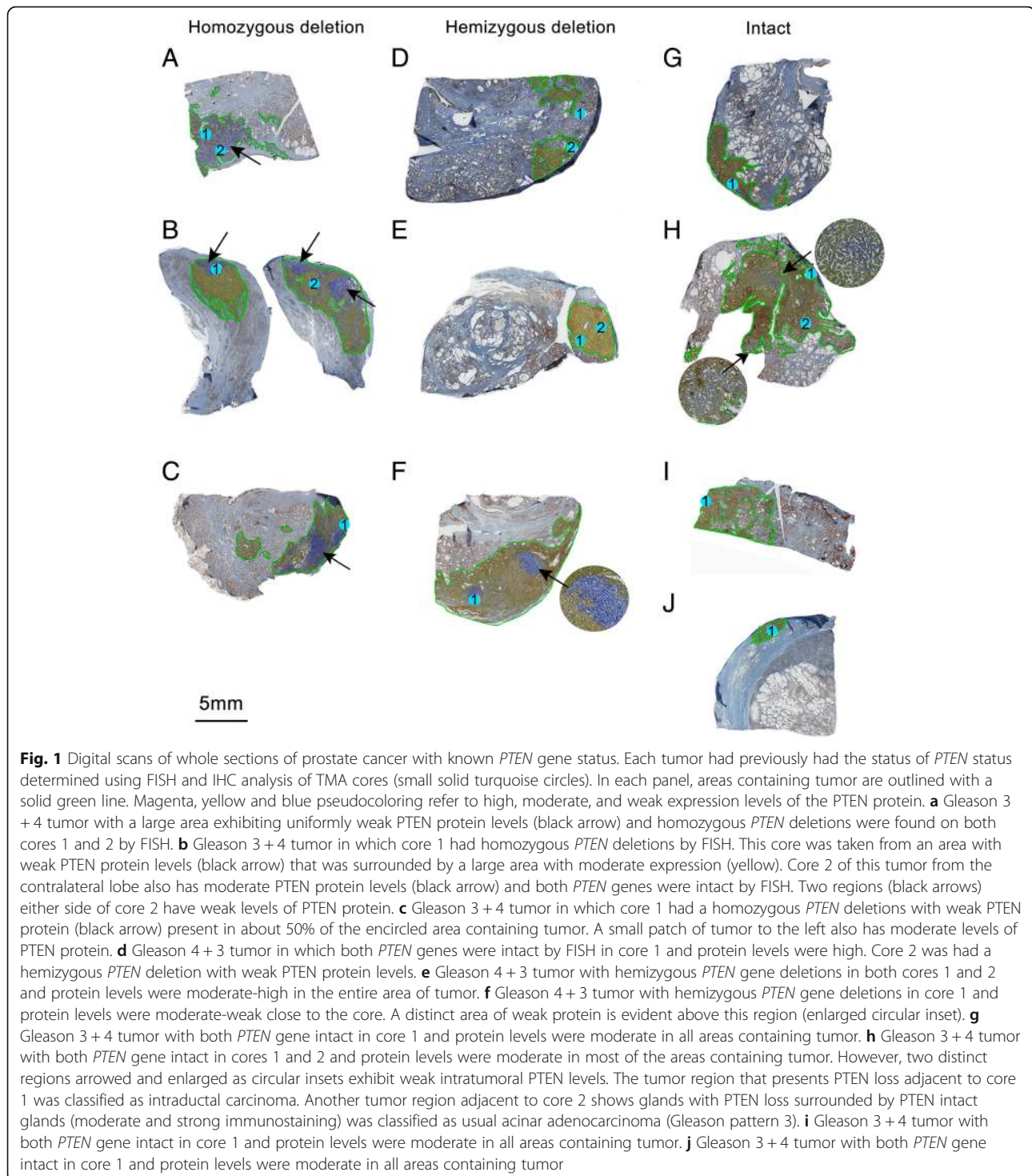
### Prognostic impact of PTEN gene and protein loss

We evaluated the effect of *PTEN* loss by FISH, IHC and both techniques in clinical features of the patients with PCa (Table 2). *PTEN* loss by FISH was associated with aggressive pathological features, such as significant presence of positive surgical margins ( $P = 0.04$ ) and a trend towards extraprostatic extension ( $P = 0.09$ ) (Table 2). We also found that 71% (5/7) of the cases with Gleason score 7(4 + 3) had *PTEN* deletions ( $P = 0.001$ ). Concordantly, 57% (4/7) of patients that had Gleason score upgrade to 4 + 3 had *PTEN* deletions ( $P = 0.02$ ). Furthermore, Log Rank analysis showed no significant association between *PTEN* deletions by FISH and *PTEN* loss by IHC and biochemical recurrence ( $P = 0.65$  and  $P = 0.20$ , respectively) (Additional file 1: Figure S2).

## Discussion

Deciding the best treatment of newly diagnosed grade group 2/3 PCa is still challenging for urologists. In our study, we observed that *PTEN* deletion was detected in 18.9% for the patients of the HCRP cohort. Picanço-Albuquerque et al. (2016) showed that 17.2% of the Gleason 7 patients harbored *PTEN* deletions by FISH. *PTEN* protein analysis by IHC showed that 16.3% of the patients harbored protein loss, which is highly concordant for another Gleason score 7 cohort study by IHC (18.3%) [9].

Our findings demonstrate that *PTEN* deletions in grade group 2/3 PCa are associated with invasive pathological features and with Gleason score upgrade. A study conducted with 260 Gleason score 3 + 4 = 7 biopsies showed *PTEN*-deficient tumors are more likely to have non-organ confined disease at radical prostatectomy [12]. Furthermore, several reports have shown significant associations between *PTEN* deletions found in needle core biopsies and Gleason score upgrade [4, 13] and other invasive features found at the time of surgery [14].



Digital image analysis of *PTEN* protein using ten whole sections identified distinct regions of intratumoral heterogeneity of *PTEN* protein loss in four of the ten tumors studied. Interestingly, we found that the presence of homozygous deletions of *PTEN* in a representative TMA cores was sufficient to predict that non-sampled region of the tumor will also show *PTEN* protein loss. In addition, we found that

25% (1/4) of the cases that were *PTEN* intact by FISH also had regions in the whole gland that presented with complete *PTEN* protein loss. We also found that one tumor with hemizygous *PTEN* gene deletion exhibited distinct regions with complete protein loss, suggesting that a second somatic inactivating mutation or epigenetic loss of function of the remaining intact *PTEN* took place during progression [15].

**Table 1** Statistical analysis of ten whole sections stained for PTEN protein

	Homozygous	Hemizygous	Intact	<i>P</i>
Cell count	300,079	214,232	164,235	0.43
Area ( $\mu\text{m}^2$ )	55,822,500	42,726,067	41,546,478	0.86
Average % +ve cells	57.87	90.20	85.63	0.01*
Average % -ve cells	42.13	9.80	14.38	0.01*

Average values are shown for each group. One-way ANOVA test was employed to determine the associations between *PTEN* copy number by FISH and PTEN protein loss by immunohistochemistry. \**P* < 0.05

## Conclusions

Our study demonstrates that PTEN loss in Gleason grade 7 tumors may be heterogeneous and that a systematic analysis of deletion or protein loss of this tumor suppressor gene using a combination of FISH, IHC, and digital imaging can help identify those patient tumors more likely to have a worse prognosis. Our findings also suggest that defining *PTEN* copy number status by FISH in TMA cores may be useful in predicting when whole pathological sections of PCa specimens have PTEN protein loss. Further investigation using the described approach should be carried out in larger cohorts to precisely determine how widespread PTEN inactivation is in PCa.

**Table 2** Comparison between the PTEN gene and protein evaluation methods for clinical endpoints of the HCRP cohort

	FISH ( <i>n</i> = 41)				IHC ( <i>n</i> = 39)		
	Homo	Hemi	Intact	<i>P</i>	Loss	Intact	<i>P</i>
Age	62	66	62	0.50	62	62.5	0.77
Time to Biochemical Recurrence <sup>a</sup>	45	87	83.5	0.37	82	84	0.52
Preoperative PSA	15.5	12.4	7.08	0.47	10.9	7.57	0.46
Gleason Score							
3 + 4	2	1	30	0.001*	5	28	0.29
4 + 3	1	4	3		2	4	
Pathological Stage							
2	1	5	27	0.09	4	28	0.08
3	2	0	6		3	4	
Positive Surgical Margins							
No	0	4	23	0.04*	3	22	0.66
Yes	3	1	10		4	10	
Perineural Invasion							
No	2	1	12	0.42	5	10	0.08
Yes	1	4	21		2	22	
Extraprostatic Extension							
No	1	5	27	0.09	4	28	0.08
Yes	2	0	6		3	4	
Vesicle Invasion							
No	2	5	30	0.32	6	30	0.45
Yes	1	0	3		1	2	
Biochemical Recurrence <sup>a</sup>							
No	2	4	25	0.76	5	26	0.24
Yes	1	1	5		2	3	
Gleason Score Upgrade							
No	1	2	15	0.02*	2	16	0.40
3 + 4	1	0	15		3	12	
4 + 3	1	3	3		2	4	

Median per group for time to biochemical recurrence is shown in months. Median of preoperative PSA levels are shown in ng/mL. Median of age at surgery is indicated in years. Kruskal-Wallis test was used to compare continuous variables for FISH data and Mann-Whitney test for IHC data. Categorical variables were compared through Fisher's exact test. \**P* < 0.05. <sup>a</sup>Data available for 40 patients. *FISH* fluorescence in situ hybridization, *IHC* immunohistochemistry, *Homo* Homozygous deletion, *Hemi* Hemizygous deletion

## Additional file

**Additional file 1:** Additional file containing supplementary methods, two figures and three tables. (PDF 666 kb)

### Abbreviations

FISH: Fluorescence in situ hybridization; HCRP: Clinics Hospital of Ribeirão Preto; IHC: Immunohistochemistry; PCa: Prostate cancer; PSA: Prostate-specific antigen; PTEN: Phosphatase and tensin homolog; TMA: Tissue microarray

### Acknowledgments

We acknowledge the São Paulo Research Foundation (FAPESP) for funding JAS and TV and the National Council for Scientific and Technological Development (CNPq) for funding CGPA. The authors also would like to thank Lee Boudreau for helping with immunohistochemistry staining and Shakeel Virk for scanning the stained TMAs on the Aperio Scanscope and developing HALO algorithm for protein analysis.

### Funding

This research was supported by São Paulo Research Foundation (FAPESP) grants no. 2015/09111–5 (to JAS) and no. 2015/22785–5 and 2017/08614–9 (to TV). CGPA was funded by CNPq.

### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

### Authors' contributions

CGPA, TV, DB, RBR, and JAS designed the study. CGPA and CSP performed FISH and IHC experiments. TV performed statistical analysis. TJ and TV performed the digital scoring analysis. FPS and TJ performed pathological analyses. CGPA, TV, and JAS wrote the manuscript. All authors read and approved the final manuscript.

### Ethics approval and consent to participate

This study was approved by the Ethical Committee for Research from the Clinics Hospital of Ribeirão Preto. A consent form was signed by all the patients that provided pathological specimens for this study.

### Consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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Received: 20 November 2018 Accepted: 19 December 2018

Published online: 24 January 2019

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