

# Punching Tests of Slabs with Low Reinforcement Ratios

by Stefano Guandalini, Olivier L. Burdet, and Aurelio Muttoni

The results of a test series on the punching behavior of slabs with varying flexural reinforcement ratios and without transverse reinforcement are presented. The aim of the tests was to investigate the behavior of slabs failing in punching shear with low reinforcement ratios. The size of the specimens and of the aggregate was also varied to investigate its effect on punching shear. Measurements at the concrete surface as well as through the thickness of the specimens allowed the observation of phenomena related to the development of the internal critical shear crack prior to punching. The results are compared with design codes and to the critical shear crack theory. From that comparison, it is shown that the formulation of ACI 318-08 can lead to less conservative estimates of the punching strength for thick slabs and for lower reinforcement ratios than in the test results. Satisfactory results are, on the other hand, obtained using Eurocode 2 and the critical shear crack theory.

**Keywords:** aggregate size; critical shear crack theory; punching shear; reinforcement ratio; size effect.

## INTRODUCTION

Punching shear is usually the governing failure mode for flat slabs supported on columns, with or without capitals. This subject has been thoroughly investigated in the past by various researchers dealing with the theoretical and/or experimental aspects of the phenomenon.<sup>1-6</sup>

Current design code provisions for checking punching shear follow a format similar to that of ACI 318-08<sup>7</sup> (Eq. (1)), which relates the punching shear strength  $V_R$  to the effective flexural depth of the slab  $d$  and the control perimeter  $b_0$  of a critical section (at a distance  $d/2$  from the face of the column for ACI 318-08<sup>7</sup>) and the concrete compressive strength  $f_c$ . According to ACI 318-08,<sup>7</sup> the strength is proportional to the square root of the specified concrete compressive strength  $f'_c$ . For approximately square columns where the ratio  $b_0/d$  is sufficiently small, the punching shear strength is

$$V_{ACI} = \frac{1}{3} b_0 d \lambda \sqrt{f'_c} \quad (\text{in SI units; MPa, mm}) \quad (1)$$

$$V_{ACI} = 4 b_0 d \lambda \sqrt{f'_c} \quad (\text{in U.S. customary units; psi, in.})$$

where  $\lambda$  is the modification factor for lightweight concrete, taken as unity for normalweight concrete in the present paper.

Other codes, such as Eurocode 2,<sup>8</sup> include additional parameters such as the flexural reinforcement ratio  $\rho$  or the thickness  $d$  of the slab

$$V_{EC2} = 0.18 b_{0,EC2} d \xi (100 \rho f'_c)^{1/3} \quad (\text{in SI units; MPa, mm}) \quad (2)$$

$$V_{EC2} = 5 b_{0,EC2} d \xi (100 \rho f'_c)^{1/3} \quad (\text{in U.S. customary units; psi, in.})$$

where  $b_{0,EC2}$  is the control perimeter located at a distance  $2d$  from the face of the column,  $\rho$  is the flexural reinforcement

ratio, and  $\xi$  is a factor accounting for size effect (decreasing nominal shear strength with increasing size of the member), whose value can be obtained as

$$\xi = 1 + \sqrt{\frac{200 \text{ mm}}{d}} \quad \left( \xi = 1 + \sqrt{\frac{7.87 \text{ in.}}{d}} \right) \leq 2.0 \quad (3)$$

Figure 1 shows the design strength of flat slabs predicted by ACI 318-08<sup>7</sup> and Eurocode 2<sup>8</sup> as a function of the longitudinal reinforcement ratio. It can be noted that the punching shear strength according to ACI 318-08<sup>7</sup> (Eq. (1)) does not include the amount of flexural reinforcement, and thus yields a constant strength (horizontal plateau in Fig. 1) for members where punching shear is governing. For cases where the flexural reinforcement ratio is very small, the flexural capacity of the slab governs the strength (shown by the almost linear increase in the strength with increasing flexural reinforcement ratio in Fig. 1). The flexural capacity is not directly specified in the Code, but it was determined herein on the basis of the direct design method of ACI 318-08.<sup>7</sup> According to Fig. 1, slabs with a reinforcement ratio over the column larger than approximately 0.3% should be able to fully develop the punching shear strength given by ACI 318-08.<sup>7</sup>

The approach of Eurocode 2<sup>8</sup> also limits the strength of a slab with small reinforcement ratios. Because Eurocode 2<sup>8</sup>

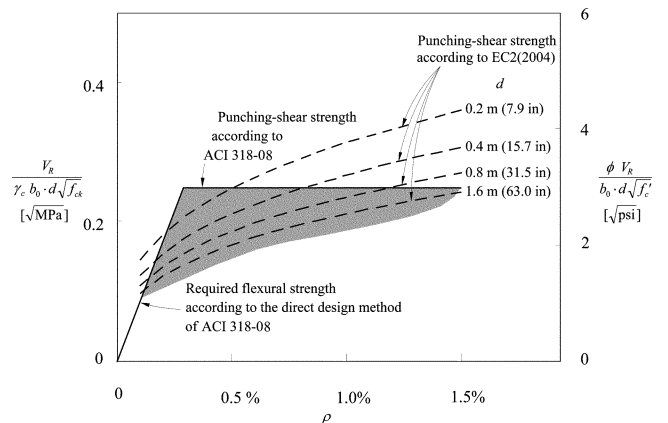


Fig. 1—Influence of flexural reinforcement ratio on punching shear strength according to ACI 318-08<sup>7</sup> and Eurocode 2<sup>8</sup> ( $f_{ck} = 30 \text{ MPa}$  (4350 psi),  $f_{yk} = 414 \text{ MPa}$  (60 ksi), interior column  $c/d = 1$ ,  $l/d = 25$ ,  $l_1 = l_2$ ).

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**Stefano Guandalini** is a Structural Engineer at Lurati Muttoni Partner, Mendrisio, Switzerland. He received his diploma in civil engineering from the Swiss Federal Institute of Technology, Zurich, Switzerland, in 1996, and his PhD from the École Polytechnique Fédérale de Lausanne (EPFL), Lausanne, Switzerland, in 2005.

**Olivier L. Burdet** is a Senior Researcher and Lecturer at EPFL. He received his diploma in civil engineering from EPFL in 1983 and his PhD from The University of Texas at Austin, Austin, TX, in 1990. His research interests include the serviceability behavior of structures and the modeling of the behavior of concrete structures using stress fields.

ACI member **Aurelio Muttoni** is a Professor and Head of the Structural Concrete Laboratory at EPFL. He received his diploma and PhD in civil engineering from the Swiss Federal Institute of Technology, Zurich, in 1982 and 1989, respectively. His research interests include the theoretical basis of the design of reinforced concrete structures, shear and punching shear, fiber-reinforced high-strength concrete, soil-structure interaction, and the conceptual design of bridges.

includes the amount of flexural reinforcement and the depth of the slab in its punching shear formulation (Eq. (2)), however, a family of design curves for punching shear (dashed lines in Fig. 1) are obtained with an increasing load capacity as the amount of flexural reinforcement increases.

Neglecting the effect of the amount of reinforcement, as in the ACI Code,<sup>7</sup> is conservative for large reinforcement ratios, as shown in Fig. 1. For slabs with low reinforcement ratios where the punching shear strength is governing, however, the ACI design equation<sup>7</sup> predicts strengths that are clearly larger and less conservative than those given by Eurocode 2<sup>8,9</sup> (shaded area in Fig. 1). This overestimation increases with the slab thickness. Investigating the punching strength of slabs with low reinforcement ratios (indicated by the shaded area in Fig. 1) is thus of great interest, because it covers designs according to the direct design method (or similar approaches) for which ACI 318-08<sup>7</sup> may overestimate the punching strength.

Experimental testing in punching of slabs with low reinforcement ratios has been scarce in the past, as researchers usually try to avoid any flexural failure during their tests, and thus a majority of tests have been performed on specimens with fairly large amounts of flexural reinforcement. While this

can be meaningful for testing purposes,<sup>10</sup> it should be accompanied by corresponding requirements in design codes, specifying a similar amount of flexural reinforcement in actual designs. As Fig. 1 shows, however, practical designs typically use lower amounts of flexural reinforcement over the columns of flat slabs.

This paper presents the results of a test campaign on the behavior of slabs without punching shear reinforcement failing in punching shear with low reinforcement ratios (shaded area in Fig. 1) and compares these results with those of slabs with larger reinforcement ratios. In addition to the effect of the reinforcement ratio, the series also studies the influence of size effect on punching shear by including tests with three specimen sizes with the slab thicknesses varying from 0.125 to 0.5 m (4.9 to 19.7 in.). The influence of the aggregate size and of the ductility of the reinforcement are also investigated in this test series. The results are compared with the results of design codes and with the critical shear crack theory<sup>9,11,12</sup> (whose fundamentals are given in the Appendix).

## RESEARCH SIGNIFICANCE

This paper presents the results of an experimental test campaign on the punching shear strength of slabs in which the reinforcement ratio, the thickness of the slab, and the maximum aggregate size were varied. The tests focus mainly on slabs with low reinforcement ratios (but whose values are usually found in practice), where scanty experimental data are currently available. The results show that design codes that do not account for the amount of the flexural reinforcement ratio and size effect may lead to significantly unsafe estimates of the punching shear strength for such cases.

## EXPERIMENTAL PROGRAM

The test series was mainly conceived to investigate the shaded area of Fig. 1, with some tests exploring the domain of larger reinforcement ratios and others with very low reinforcement ratios, investigating the mode of failure in presence of yielding of the flexural reinforcement.

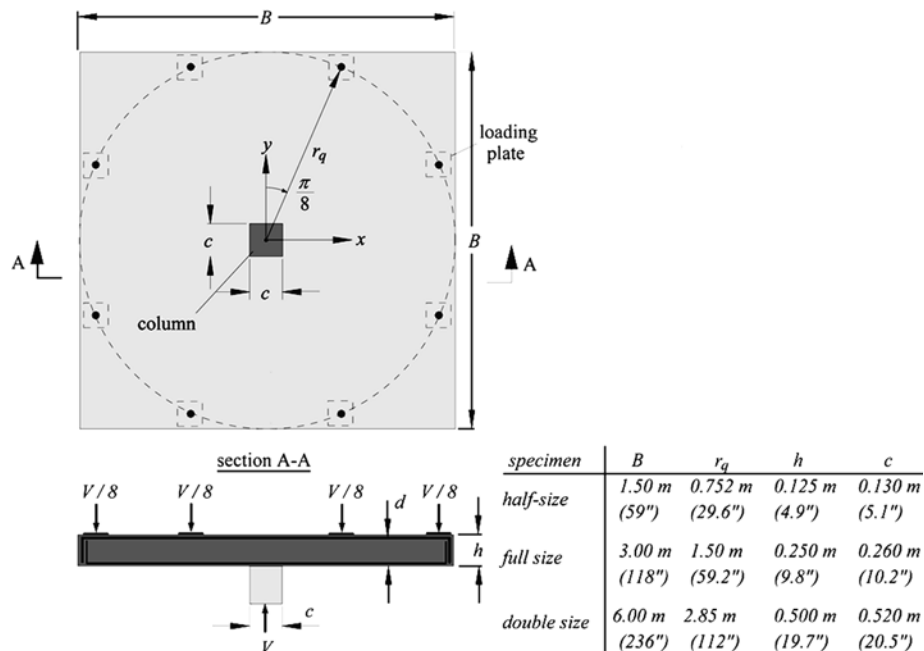


Fig. 2—Geometry of tested specimens.

## Test specimens

The test series consisted of 11 reinforced concrete square slabs representing internal slab-column connections without transverse reinforcement. The proportions of the flat slab represented by the specimens (Fig. 2), with a radial moment being zero at the perimeter (located at approximately  $0.22\ell$  from the column axis), correspond to a flat slab with a span-to-depth ratio  $\ell/h$  of approximately 27. The columns were square, with a side dimension  $c$  slightly larger than the thickness  $h$  of the slabs.

Table 1 shows the main parameters and characteristics of each specimen. Three main parameters were varied:

- The dimensions of the tested specimens: six full size specimens ( $h = 0.25$  m [9.8 in.]), one double size specimen ( $h = 0.50$  m [19.7 in.]), and four half-size specimens ( $h = 0.125$  m [4.9 in.]). The variation in the scale of the specimens was made for all geometrical dimensions (Fig. 2). The double-size specimen (Fig. 3) is likely one of the largest (45 tons [100 kips]) ever tested in a laboratory;
- The amount of flexural reinforcement between 0.22 and 1.5% (refer to reinforcement layout in Fig. 4). Reinforcement was provided on the compression face in the amount of approximately 0.2% for all slabs except for the half-size specimens; and
- The maximum aggregate size: 16 mm (0.63 in.) for all slabs regardless of their thickness, except for Specimen PG-4, for which it was 4 mm (0.16 in.).

## Materials

The mechanical properties of concrete were kept as constant as possible. Ordinary concrete with a mean cylinder compressive strength of 33 MPa (4890 psi) at the age of testing was used (Table 1).

In all specimens except one, hot-rolled steel bars (with a pronounced yield plateau) were used. For Specimen PG-5, on the contrary, cold-worked steel bars (without a well defined yielding plateau) were used to investigate the influence of this parameter.

## Test setup and instrumentation

The full-size specimens were loaded through eight concentrated forces acting on the perimeter of the specimen; the load was introduced using four hydraulic jacks placed

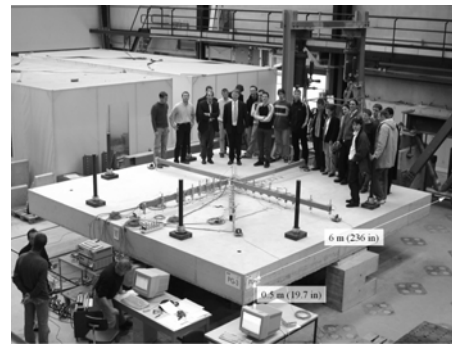


Fig. 3—Double-size specimen after testing.

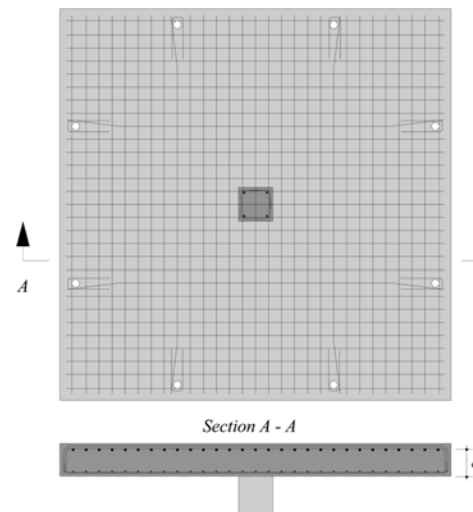


Fig. 4—Typical layout of flexural reinforcement (details given in Table 1).

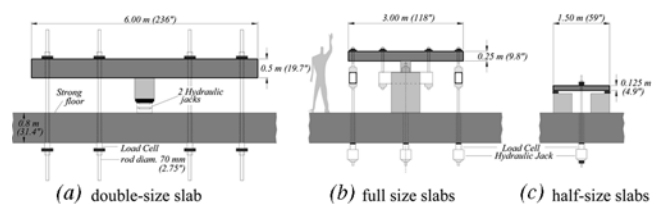


Fig. 5—Test setups for various types of specimens.

Table 1—Main parameters of test series

Specimen dimensions, m (in.)	Concrete				Reinforcing steel				
	$d$ , m (in.)	$f_c$ , MPa (psi)	Age at testing, days	$d_g$ , mm (in.)	Layout, mm (in.)	$\rho$ , %	$f_y$ , MPa (ksi)	$f_u$ , MPa (ksi)	
Full-size specimens 3.00 x 3.00 x 0.25 (118 x 118 x 9.8)	PG-1	0.21 (8.3)	27.6 (4000)	33	16 (0.63)	$\emptyset 20@100$ (No. 3.9@3.9)	1.50%	573 (83.1)	656 (95.1)
	PG-2b		40.5 (5870)	240		$\emptyset 10@150$ (No. 5.9@5.9)	0.25%	552 (80.1)	612 (88.8)
	PG-4		32.2 (4670)	28	4 (0.157)	$\emptyset 10@150$ (No. 5.9@5.9)	0.25%	541 (78.5)	603 (87.5)
	PG-5		29.3 (4250)	28		$\emptyset 10@115$ (No. 4.5@4.5)	0.33%	555 (80.5)	659 (95.6)
	PG-10		28.5 (4130)	21		$\emptyset 10@115$ (No. 4.5@4.5)	0.33%	577 (83.7)	648 (94.0)
	PG-11		31.5 (4570)	34		$\emptyset 16/18@145$ (No. 5.3/5.7@5.7)	0.75%	570 (82.7)	684 (99.2)
Double-size specimen 6.00 x 6.00 x 0.5 (236 x 236 x 19.7)	PG-3	0.456 (17.9)	32.4 (4700)	41	16 (0.63)	$\emptyset 16@135$ (No. 5.3@5.3)	0.33%	520 (75.4)	607 (88.0)
Half-size specimens 1.50 x 1.50 x 0.125 (59 x 59 x 4.9)	PG-6	0.096 (3.8)	34.7 (5030)	99	16 (0.63)	$\emptyset 14@110$ (No. 4.3@4.3)	1.50%	526 (76.3)	607 (88.0)
	PG-7	0.1 (3.9)	34.7 (5030)	100		$\emptyset 10@105$ (No. 4.1@4.1)	0.75%	550 (79.8)	623 (90.4)
	PG-8*	0.117 (4.6)	34.7 (5030)	100		$\emptyset 8@155$ (No. 6.1@6.1)	0.28%	525 (76.1)	586 (85.0)
	PG-9*	0.117 (4.6)	34.7 (5030)	101		$\emptyset 8@196$ (No. 7.7@7.7)	0.22%	525 (76.1)	586 (85.0)

\*Effective thickness of slab is 0.130 m (5.1 in.).



which is then significantly influenced by the flexural reinforcement ratio. For specimens with low reinforcement ratios and small slab depths, a plastic plateau can be observed for large deflections.

Figure 9(a) shows the deflected shape of Specimen PG-3 in radial direction for varying load levels. As previously

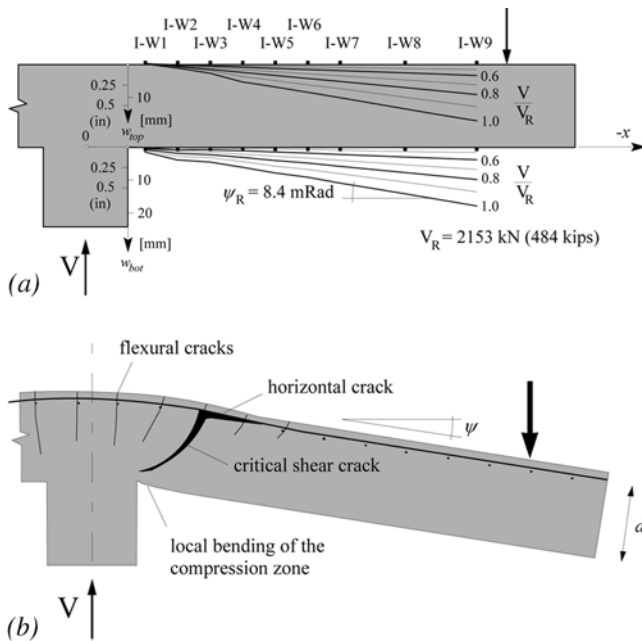


Fig. 9—Slab deflection during punching test: (a) measured values of  $w$  at top and bottom face of Slab PG-3 at various loading stages; and (b) interpretation of measurements according to critical shear crack theory (Appendix).

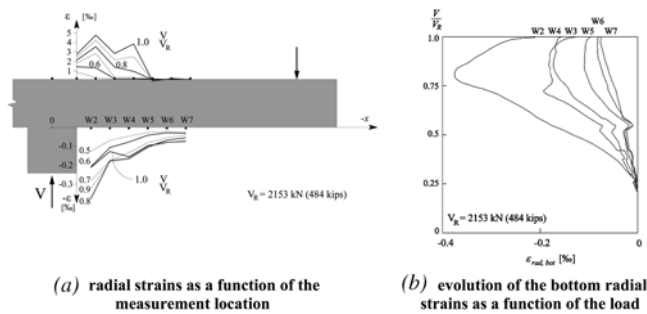


Fig. 10—Radial strains at surface of Specimen PG-3 (location of measurements [refer to Fig. 7]).

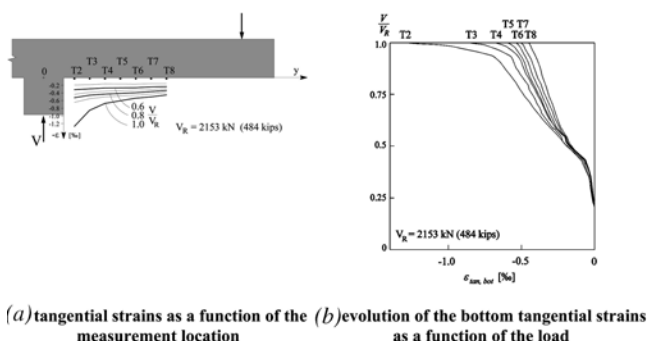


Fig. 11—Tangential strains at surface, Specimen PG-3 (location of measurements [refer to Fig. 7]).

observed by other researchers, the deflected shape is essentially conical in the part of the slab that lays outside the critical shear crack (Fig. 9(b)). The rotation  $\psi$  of the slab can thus be used to characterize the deformation of the slab during the test.

Other interesting features of the deformed slab are shown in Fig. 9: the transition between the regions separated by the critical shear crack is different at the top and bottom faces of the specimen. At the top face, where the critical shear crack opens together with a horizontal crack along the reinforcement (Fig. 9(b)), the transition is marked by a local change of curvature (approximately between I-W2 and I-W4). At the bottom face, a local positive curvature develops close to the column due to local bending of the compression zone (at a location corresponding approximately to I-W1 to I-W3). As a result of this local curvature, the concrete at the surface decompresses near the column. This is confirmed by measurements of radial strains at the bottom surface of the specimen, shown in Fig. 10. This phenomenon has also been reported by other researchers.<sup>2</sup>

Tangential strains at the concrete bottom surface are shown in Fig. 11. They approximately follow a hyperbolic distribution along the  $y$ -axis (Fig. 11(a)). A strong increase in concrete strains in tangential direction is observed at the bottom surface close to the ultimate load (Fig. 11(b)).

## Cracking

Cracking was observed at the top surface of the specimen, and cracks were marked without stopping the loading process. Figure 12 shows the observed final crack pattern at the top surface of Specimen PG-3.

The development of the inner critical shear crack is not visible. Its opening was consequently followed by recording changes of thickness of the plate using local measurements, as shown in Fig. 7(b). The results plotted in Fig. 13 for six representative cases indicate that the development of the inner crack does not start before 50 to 70% of the ultimate load, opening thereafter up to values of 1.0 to 1.5 mm (0.04 to 0.06 in.). This is consistent with observations of deflections and strains at the concrete surface (for instance, the decompression at the concrete surface near the column<sup>9,11</sup> due to the local bending of the compression zone [Fig. 9(b)]). After failure, each slab was sawed, allowing the observation of the final crack pattern shown in Fig. 14. It can

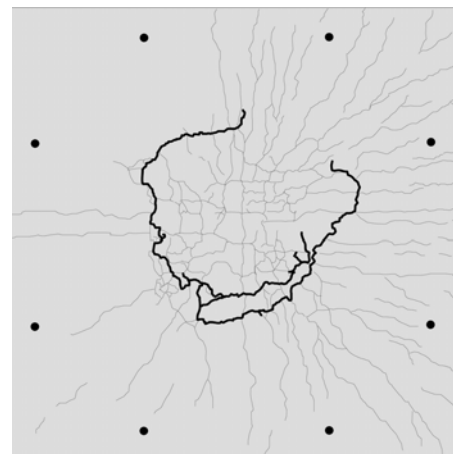


Fig. 12—Crack pattern after failure at top surface of Specimen PG 3, punching shear crack emphasized (cracks were not fully recorded in left part of slab).

be observed that the large reinforcement ratio of Specimen PG-1 (1.5%) led to a much flatter inclination of the critical shear crack in comparison with Specimens PG-2b and PG-5 (0.25 and 0.33%).

### Failure modes

For all specimens, the final failure mode was punching shear, with a clearly delimited punching cone (Fig. 12 and 14). Two situations can, however, be distinguished: for tests with larger reinforcement ratios (Specimens PG-1, PG-6,

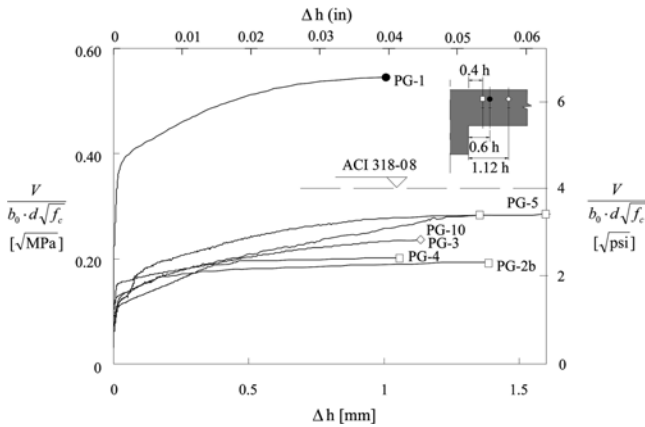


Fig. 13—Change of thickness of slab, indicative of development of critical shear crack, as a function of applied load.

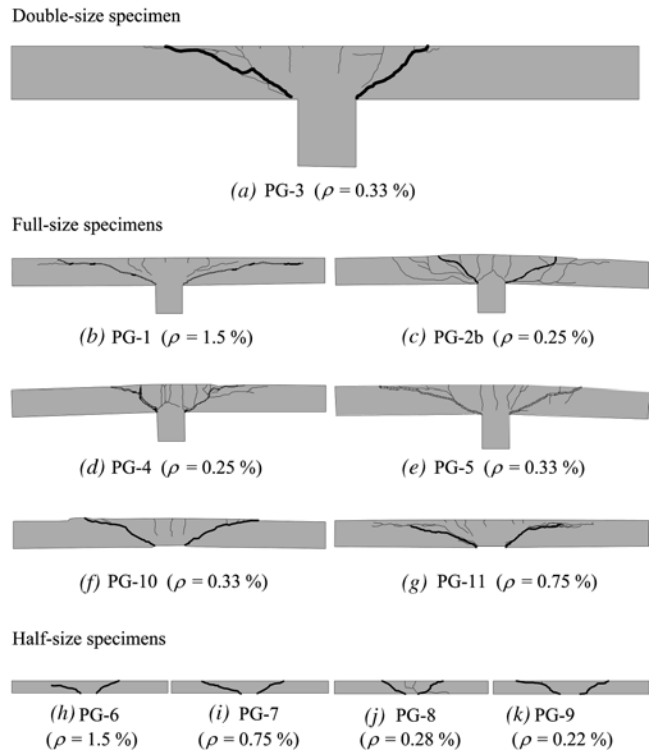


Fig. 14—Cross section of specimens after failure with critical shear crack emphasized.

**Table 2—Test results (for specimens failing before reaching flexural capacity, estimated according to Eq. (7) and comparison to ACI 318-08<sup>7</sup> (considering control perimeters with rounded corners and with straight corners) and Eurocode 2<sup>8</sup>**

Specimen	$V_R$ , kN (kips)	$V_{flex}$ , kN (kips)	$V_{test}/V_{flex}$	$V_{ACI}$ (rounded), kN (kips)	$V_{ACI}$ (straight), kN (kips)	$V_{EC2}$ , kN (kips)	$V_{test}/V_{ACI}$ (rounded)	$V_{test}/V_{ACI}$ (straight)	$V_{test}/V_{EC2}$
PG-1	1023 (230)	2241 (504)	0.46	625 (140)	691 (155)	950 (213)	1.64	1.48	1.08
PG-11	763 (172)	1226 (275)	0.63	668 (149)	739 (166)	788 (177)	1.14	1.03	0.97
PG-3	2153 (484)	2576 (579)	0.84	3039 (683)	3378 (757)	2340 (526)	0.71	0.64	0.92
PG-6	238 (54)	441 (99)	0.54	155 (35)	170 (38)	221 (50)	1.54	1.40	1.08
PG-7	241 (54)	272 (61)	0.89	164 (37)	181 (41)	189 (43)	1.47	1.33	1.27
						Average	1.30	1.18	1.06
						Coefficient of variation	0.29	0.29	0.13
						Minimum	0.71	0.64	0.92

**Table 3—Comparison of test results to strength and rotation capacities predicted by critical shear crack theory**

Specimen	$V_R$ , kN (kips)	$\psi_R$ , mRad	$V_{flex}$ , kN (kips)	$V_{test}/V_{flex}$	$V_{CSCT}$ , kN (kips)	$\psi_{CSCT}$ , mRad	$V_{test}/V_{CSCT}$	$\psi_R/\psi_{CSCT}$
PG-1	1023 (230)	8.9	2241 (504)	0.46	841 (188)	6.8	1.22	1.30
PG-2b	440 (99)	30.1	419 (94)	1.05	420 (94)	31.0	1.05	0.97
PG-4	408 (92)	24.4	409 (92)	1.00	344 (77)	21.7	1.19	1.12
PG-5	550 (124)	24.7	541 (122)	1.04	455 (102)	22.2	1.21	1.11
PG-10	540 (121)	22.3	562 (126)	0.96	454 (101)	21.8	1.19	1.02
PG-11	763 (172)	10	1226 (275)	0.63	682 (153)	12.2	1.12	0.82
PG-3	2153 (484)	8.4	2576 (579)	0.84	1730 (388)	13.8	1.24	0.61
PG-6	238 (54)	11.7	441 (99)	0.54	231 (52)	11.4	1.03	1.03
PG-7	241 (54)	22.3	272 (61)	0.89	197 (44)	18.6	1.22	1.20
PG-8	140 (31)	31.8	137 (31)	1.02	137 (30)	42.8	1.02	0.74
PG-9	115 (26)	42.1	109 (24)	1.06	109 (24)	58.7	1.06	0.72
						Average	1.14	0.97
						Coefficient of variation	0.08	0.23

PG-7, and PG-11), failure occurred while some of the reinforcement had yielded over the column and the remaining part was still elastic. In the load-deflection curve of Fig. 8, these specimens failed without having reached their plastic plateau. On the contrary, for tests with lower reinforcement ratios (Specimens PG2b, PG-4, PG-5, PG-8, PG-9, and PG-10), the specimens reached their plastic plateau and punching failure occurred with large plastic deformations at the onset of the yield-line mechanism.

The double-size Specimen PG-3 constituted an interesting exception: whereas it had a low amount of reinforcement ( $\rho = 0.33\%$ ), it clearly did not reach its plastic plateau and failed in punching at a load lower than the slab's flexural capacity.

### Effect of type of reinforcement

Specimens PG-10 and PG-5 were identical, except that the latter used cold-worked steel bars without a well-defined yield plateau. The former, like all the other specimens, used a steel with a well-defined plateau. No significant difference in the behavior (strength and deformation at failure) was observed, however (Fig. 8).

### COMPARISON WITH DESIGN CODES

Table 2 summarizes the results of the tests where the flexural capacity  $V_{flex}$  was not reached. These results are compared with the values predicted by the punching shear formulations of ACI 318-08<sup>7</sup> (calculated with rounded and straight corners for the perimeter of the critical section) and of Eurocode 2.<sup>8</sup>

For slabs with large reinforcement ratios (failing in punching before reaching the yield plateau in the load-rotation curve), the predictions given by ACI 318-08<sup>7</sup> are, in general, conservative. For the double-size Specimen PG-3 (also failing before reaching the yield plateau), however, the punching shear strength according to ACI 318-08<sup>7</sup> is overestimated by almost 30% if a perimeter with rounded corners is considered, and by almost 36% if a simplified perimeter with straight corners is considered. These significant differences are due to the fact that the ACI punching shear formulation accounts neither for the role of the reinforcement ratio (although the nominal punching shear strength decreases for decreasing ratios of the flexural reinforcement as clearly shown by test results presented in this paper) nor for the size of the member (although the nominal punching shear strength decreases for increasing sizes of the members, as clearly shown by Specimen PG-3 compared with Specimens PG-10 or PG-5).

The predictions of Eurocode 2<sup>8</sup> are much closer to the measured values than those of ACI 318-08,<sup>7</sup> with a smaller coefficient of variation (0.13). For the large Specimen PG-3, however, the strength was also overestimated.

### VALIDATION OF FAILURE CRITERION OF CRITICAL SHEAR CRACK THEORY

The results of the test series, together with 88 other tests taken from the scientific literature<sup>1-3,16-21</sup> are compared in Fig. 15 to the failure criterion of the critical shear crack theory<sup>9,12</sup> (refer to the Appendix). The abscissa is proportional to the opening of the critical shear crack, accounting for the thickness  $d$  of the slab and its rotation at failure  $\psi$ , and corrected for the maximum aggregate size  $d_g$ . This figure shows a very good agreement between the measured loads and rotations at failure and the failure criterion of the critical shear crack theory, for the results of the current test series (Fig. 15(a)) as well as for previously published results (Fig. 15(b) and (c)), which include tests from the literature as

well as the present test series). It is interesting to note that most test results available in the scientific literature correspond to specimens failing in punching shear for small values on

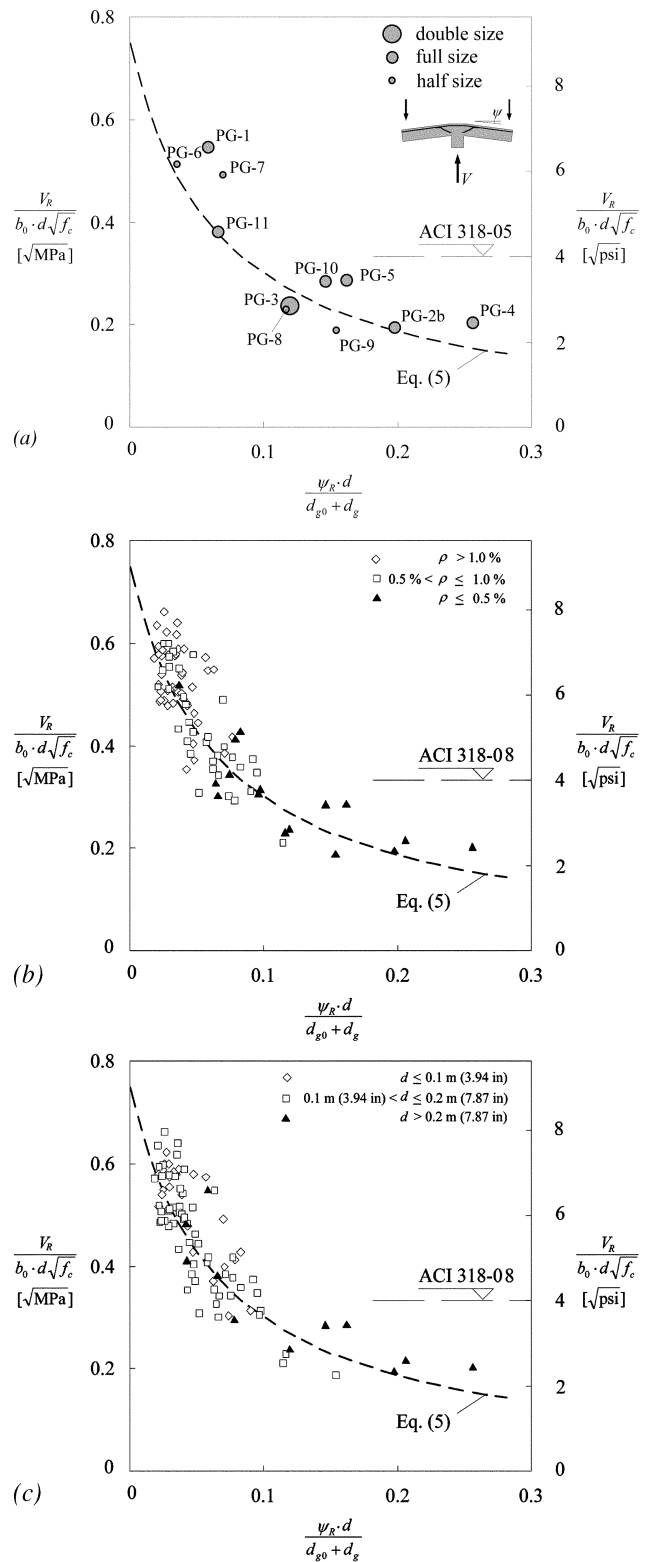


Fig. 15—Results of 99 punching tests: 11 from present test series and 88 tests taken from scientific literature<sup>1-3,16-21</sup> compared with failure criterion of critical shear crack theory: (a) results of present test series (11 tests); (b) 99 tests with identification of reinforcement ratio; and (c) 99 tests with identification of effective depth.

the abscissa, typically with large reinforcement ratios (Fig. 15(b)) and small sizes (Fig. 15(c)), whereas scarce data are found for large values on this abscissa (corresponding to low reinforcement ratios or large thicknesses). The results of the present test campaign (mostly with large values on the abscissa) cover this zone and thus validate the failure criterion for such cases.

Table 3 compares the strength and rotation capacity predictions of the critical shear crack theory (using the formulas detailed in the Appendix) to the 11 tests presented in this paper. A very good agreement is obtained in terms of strength (with safe predictions for Specimen PG-3 and with a rather small value of the coefficient of variation) and a satisfactory prediction in terms of the rotation capacity. The results of Table 3 and Fig. 15 confirm that the failure criterion is also valid for slabs undergoing significant plastic strains in the flexural reinforcement prior to punching. This is justified by the fact that the critical shear crack theory accounts for a decrease in the nominal shear strength for increasing openings of the critical shear crack (which in turn can be expressed as a function of the rotation of the slab [refer to the Appendix]). Thus, although a slab may have a sufficient shear strength to reach its flexural strength, as the rotations of the slab increase in the plastic plateau, its punching shear strength diminishes and this eventually leads to a punching shear failure, which reduces its deformation capacity (refer to, for instance, the punching shear cones of Fig. 14 for Specimens PG-8, PG-9, PG-2b, and PG-4 with low reinforcement ratios).

In addition, it can be noted that the failure criterion correctly accounts for the effect of aggregate size. This is verified against the 88 tests taken from the scientific literature performed with different aggregate sizes<sup>1-3,16-21</sup> and by Specimens PG-2b and PG-4 presented herein. These two tests have the same amount of reinforcement and the same size, but different maximum aggregate size of 16 mm (0.63 in.) for Specimen PG-2b and 4 mm (0.157 in.) for Specimen PG-4. The deformation at failure of the slab with smaller aggregate is smaller than that of the slab with coarser aggregate. Additional research on this topic (focusing also on specimens with large flexural reinforcement ratios) is needed, however, before consistent conclusions can be drawn.

## SUMMARY AND CONCLUSIONS

A series of 11 punching tests on flat slabs were performed. The tests are useful to complement available punching test series performed in the past, as the tests presented in this paper systematically explore the domain of slabs with low flexural reinforcement ratios.

1. The tests have confirmed that, due to size effect, the punching strength decreases with increasing slab thickness. At the same time, the deformation at failure decreases;

2. Detailed measurements at the top and bottom faces of the specimens have allowed the description of the development of the critical shear crack leading to punching failure and of the slab kinematics. On that basis, the hypotheses the critical shear crack theory is based on<sup>9,12</sup> are confirmed;

3. For thick slabs with low reinforcement ratios, ACI 318-08<sup>7</sup> is less conservative than shown by Specimen PG-3 of this campaign (slab thickness equal to 0.5 m [19.7 in.]). The coefficient of variation of the tests is fairly large as well (0.29). The values given by Eurocode 2<sup>8</sup> are in better correlation with the experimental results. The failure load predicted for Specimen PG-3 is unsafe as well; and

4. The test series demonstrate that the failure criterion of the critical shear crack theory<sup>9,12</sup> is applicable both for slabs with and without significant plastic deformations in the flexural reinforcement (that is, with low or large reinforcement ratios), correctly describing both the strength and the deformation at failure.

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## NOTATION

$B$	= side dimension of test specimen
$V$	= shear force
$V_{ACI}$	= nominal punching shear strength according to ACI 318-08 <sup>7</sup>
$V_{CSCT}$	= nominal punching shear strength according to critical shear crack theory
$V_{EC2}$	= nominal punching shear strength according to Eurocode 2 <sup>8</sup>
$V_{flex}$	= shear force associated with flexural capacity of slab
$V_R$	= nominal punching shear strength
$V_{test}$	= experimental punching shear strength
$b_0$	= perimeter of critical section for punching shear measured at distance $d/2$ from column face, circular at corners of rectangular columns
$b_{0,EC2}$	= perimeter of critical section for punching shear according to Eurocode 2, measured at distance $2d$ from column face, circular at corners of rectangular columns
$c$	= column size
$d$	= distance from extreme compression fiber to centroid of longitudinal tensile reinforcement
$d_g$	= maximum diameter of aggregate
$d_{g0}$	= reference aggregate size (16 mm [0.63 in.])
$E_s$	= modulus of elasticity of reinforcement
$f_c$	= compressive strength of concrete (cylinder)
$f'_c$	= specified compressive strength of concrete (cylinder)
$f_{ck}$	= characteristic compressive strength of concrete (cylinder)
$f_y$	= yield strength of reinforcement
$f_u$	= tensile strength of reinforcement
$h$	= slab thickness
$\ell$	= span of the slab ( $\ell_1$ and $\ell_2$ : spans in orthogonal directions)
$m_R$	= nominal moment capacity per unit width
$r_q$	= radius of load introduction at perimeter
$r_s$	= plastic radius around column
$w$	= deflection of test slab, measured between center of column and reaction points at perimeter
$w_c$	= opening of critical shear crack
$w_{bot}$	= deflection of bottom surface of slab
$w_{top}$	= deflection of top surface of slab
$x, y$	= coordinates
$\gamma_c$	= partial safety factor for concrete (according to European practice, $\gamma_c = 1.5$ )
$\Delta h$	= local change of thickness of slab
$\varepsilon$	= strain
$\varepsilon_{rad,bot}$	= radial strain at bottom face of specimen
$\varepsilon_{tan,bot}$	= tangential strain at bottom face of specimen
$\phi$	= strength reduction factor (according to North-American practice, $\phi = 0.75$ for shear)
$\lambda$	= modification factor for lightweight concrete, taken as unity for normalweight concrete
$\rho$	= flexural reinforcement ratio
$\xi$	= size effect factor according to Eurocode 2 <sup>8</sup>
$\psi$	= rotation of slab outside column region
$\Psi_{CSCT}$	= rotation of slab at failure according to critical shear crack theory
$\Psi_R$	= rotation of slab at failure

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## APPENDIX: FUNDAMENTALS OF CRITICAL SHEAR CRACK THEORY

As the interpretation of the test results relies on the critical shear crack theory, a short introduction to its fundamentals is presented herein. The critical shear crack theory<sup>9,12</sup> is based on the assumption that the shear strength of members without transverse reinforcement is governed by the width and by the roughness of an inclined shear crack that develops through the inclined compression strut carrying shear. In two-way slabs, the width  $w_c$  of the critical shear crack is assumed proportional to the slab rotation  $\psi$  times the effective depth  $d$  of the member (Fig. 9(b))

$$w_c \propto \psi \cdot d \quad (\text{A-1})$$

Based on these assumptions, the following failure criterion<sup>12</sup> has been derived for the punching shear strength of flat slabs without stirrups

$$\frac{V_R}{b_0 d \sqrt{f_c}} = \frac{3/4}{1 + 15 \frac{\psi \cdot d}{d_{g0} + d_g}} \quad (\text{in SI units; MPa, mm}) \quad (\text{A-2})$$

$$\frac{V_R}{b_0 d \sqrt{f_c}} = \frac{9}{1 + 15 \frac{\psi \cdot d}{d_{g0} + d_g}} \quad (\text{in U.S. customary units; psi, in.})$$

where  $V_R$  is the shear strength,  $b_0$  is a control perimeter at  $d/2$  from the edge of the column,  $d$  is the effective depth of the member,  $f_c$  is the compressive strength of the concrete,  $d_g$  is the maximum size of the aggregate (accounting for the roughness of the lips of the cracks), and  $d_{g0}$  is a reference aggregate size equal to 16 mm (0.63 in.). This criterion provides a suitable estimate of both the strength  $V_R$  and deformation capacity  $\psi_R$  of a slab compared with a wide range of test results as shown by Muttoni.<sup>9</sup>

The failure load is obtained at the intersection of the failure criterion of Eq. (A-2) with the load-deflection curve of the slab. The load-rotation relationship of the slab can be obtained using both analytical<sup>9</sup> or numerical<sup>14</sup> approaches. For practical purposes, it can be approximated by the following expression<sup>9</sup>

$$\psi = 1.5 \frac{r_s}{d} \cdot \frac{f_y}{E_s} \left( \frac{V}{V_{flex}} \right)^{3/2} \quad (\text{A-3})$$

where  $r_s$  is the radius of the slab. For the tests presented in this paper (corresponding to the yield line pattern of Fig. A),  $r_s$  can be approximated as  $r_s \approx B/2$ .

The flexural strength  $V_{flex}$  of the slab specimen can be estimated by the yield-line method for a uniformly reinforced slab loaded as shown in Fig. A

$$V_{flex} = \frac{4m_R}{r_q \left( \cos \frac{\pi}{8} + \sin \frac{\pi}{8} \right) - c} \cdot \frac{B^2 - Bc - c^2/4}{B - c} \quad (\text{A-4})$$

where  $m_R$  is the nominal moment capacity.

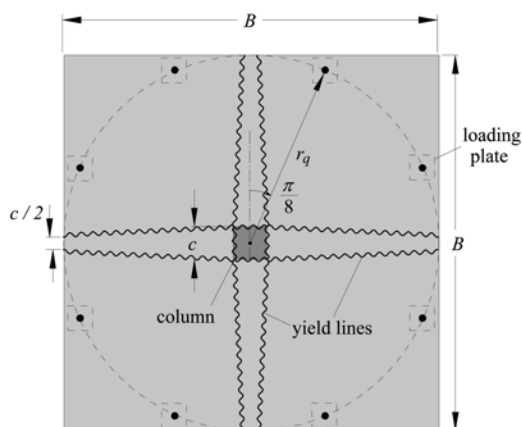


Fig. A—Yield-line pattern considered for tested slabs.