

## ORIGINAL ARTICLE

# Quantifying community assembly processes and identifying features that impose them

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**Spatial turnover in the composition of biological communities is governed by (ecological) Drift, Selection and Dispersal. Commonly applied statistical tools cannot quantitatively estimate these processes, nor identify abiotic features that impose these processes. For interrogation of subsurface microbial communities distributed across two geologically distinct formations of the unconfined aquifer underlying the Hanford Site in southeastern Washington State, we developed an analytical framework that advances ecological understanding in two primary ways. First, we quantitatively estimate influences of Drift, Selection and Dispersal. Second, ecological patterns are used to characterize measured and unmeasured abiotic variables that impose Selection or that result in low levels of Dispersal. We find that (i) Drift alone consistently governs ~25% of spatial turnover in community composition; (ii) in deeper, finer-grained sediments, Selection is strong (governing ~60% of turnover), being imposed by an unmeasured but spatially structured environmental variable; (iii) in shallower, coarser-grained sediments, Selection is weaker (governing ~30% of turnover), being imposed by vertically and horizontally structured hydrological factors; (iv) low levels of Dispersal can govern nearly 30% of turnover and be caused primarily by spatial isolation resulting from limited exchange between finer and coarser-grain sediments; and (v) highly permeable sediments are associated with high levels of Dispersal that homogenize community composition and govern over 20% of turnover. We further show that our framework provides inferences that cannot be achieved using preexisting approaches, and suggest that their broad application will facilitate a unified understanding of microbial communities.**

*The ISME Journal* (2013) 7, 2069–2079; doi:10.1038/ismej.2013.93; published online 6 June 2013

**Subject Category:** Microbial population and community ecology

**Keywords:** metacommunity assembly; Hanford Site; neutral theory; niche theory; null models; phylogenetic beta diversity

## Introduction

‘Actual ecological communities are undoubtedly governed by both niche-assembly and dispersal-assembly rules, along with ecological drift, but the important question is: what is their relative quantitative importance?’ (Hubbell, 2001)

Across microbial community ecology, there are many examples of niche-based processes strongly influencing community composition (for example, Gilbert *et al.*, 2012), whereas other studies support neutral or stochastic community assembly (for example, Ofiteru *et al.*, 2010). Clearly, knowledge gained from these and many conceptually similar

studies is vital for understanding each interrogated system. Less clear is how to build from this body of work to achieve a more unified understanding of processes that govern the composition of microbial communities.

We suggest one path forward is to work towards realizing Hubbell’s (2001) vision, as summarized above, such that relative process influences can be quantified and compared across microbial systems. To do so, we work within Vellend’s (2010) conceptual framework, which is focused on the influences of Selection, Dispersal, Drift and Speciation. Selection is the result of biotic and abiotic pressures causing variation in reproductive success across individuals and species; Dispersal governs the degree to which individuals move among communities; Drift results from population sizes fluctuating due to chance events; and Speciation can cause differences in species richness among sets of communities that do not exchange individuals

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Received 26 September 2012; revised 1 February 2013; accepted 11 February 2013; published online 6 June 2013

through dispersal. On the other hand, Speciation should have little influence within a set of communities where individuals disperse among local communities, known as a ‘metacommunity’ (Leibold *et al.*, 2004). Turnover in community composition within a metacommunity is therefore governed by a combination of Selection, Dispersal and Drift.

Within a metacommunity, the magnitude of Dispersal can range from very limited to very high levels of exchange between communities. Low levels of Dispersal constrain the exchange of organisms among local communities, which can lead to spatial turnover in composition; we refer to this scenario as ‘Dispersal Limitation.’ Dispersal Limitation alone, however, is not enough to cause spatial turnover in composition. Limited exchange of organisms among local communities allows the composition of ecological communities to diverge through stochastic changes in local population sizes. That is, Dispersal Limitation allows Drift to cause much greater spatial turnover in community composition than when Drift acts alone (Hubbell, 2001). On the other hand, high levels of Dispersal can homogenize community composition, thereby causing little turnover in composition (Mouquet and Loreau, 2003; Leibold *et al.*, 2004); we refer to this scenario as ‘Homogenizing Dispersal.’ We note that our concept of Homogenizing Dispersal is similar to ‘mass effects’ and ‘source-sink dynamics’, but we avoid these terms as they invoke additional assumptions and processes (see Leibold *et al.*, 2004); Homogenizing Dispersal simply indicates that dispersal is high enough to cause low turnover by overwhelming other processes.

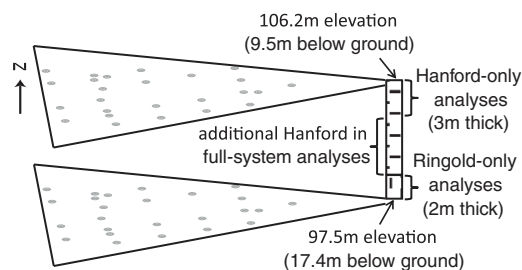
Quantitatively estimating the influences of Selection, Dispersal Limitation acting in concert with Drift, Drift acting alone and Homogenizing Dispersal is fundamental to our understanding of ecological systems. Such estimates have not, however, been achieved. Instead, recent studies have made progress towards characterizing gradients in the influence of Selection (Chase, 2010; Kraft *et al.*, 2011) and testing ecological neutral theory (Ofiteru *et al.*, 2010; Ricklefs and Renner, 2012). These studies provide important insights, but continued progress requires that we characterize how multiple processes simultaneously govern ecological systems (Gravel *et al.*, 2006; Adler *et al.*, 2007; Vellend, 2010; Stegen and Hurlbert, 2011).

Previous work attempts to characterize the simultaneous influences of ecological processes by partitioning variation in community composition into a fraction explained by environmental variables and a fraction explained by spatial variables (Tuomisto *et al.*, 2003; Cottenie, 2005; Legendre *et al.*, 2009). However, this technique cannot be used to infer the influences of Selection, Dispersal or Drift (Legendre *et al.*, 2009; Gilbert and Bennett, 2010; Jacobson and Peres-Neto, 2010; Smith and Lundholm, 2010; Anderson *et al.*, 2011; Stegen and Hurlbert, 2011).

One limitation of standard analyses that relate ecological community composition to environmental and/or spatial variables is that one must decide *a priori* which variables are potentially associated with Selection and which potentially result in Dispersal Limitation. For example, we may assume that environmental changes associated with increasing subsurface depth impose Selection on microbial communities. However, there may be unknown hydrological barriers that strongly influence composition by spatially isolating communities. The framework developed here distinguishes between such scenarios by reversing the standard direction of inference. Instead of making *a priori* decisions, we use ecological patterns to identify which environmental and spatial aspects of our study system impose Selection and which impose Dispersal Limitation.

Our framework relies in part on null models (that is, randomizations) (for example, Chase *et al.*, 2011; Stegen *et al.*, 2012) to identify features that impose Selection or Dispersal Limitation and to quantitatively estimate the influences of Selection, Dispersal Limitation acting alongside Drift, Drift acting alone and Homogenizing Dispersal. Our framework characterizes the spatial structure of both measured and unmeasured environmental variables that impose Selection. In turn, abiotic features that impose Selection can be rigorously distinguished from those that impose Dispersal Limitation. This is true even if key features have not been measured in the field and even if measured environmental variables are related to unknown dispersal barriers, as in the example above.

We apply our analytical framework to subsurface sediments collected from both the Hanford and Ringold geological formations within an unconfined aquifer in southeastern Washington State. These two formations have distinct physical structure, mineralogical composition and geological history (Figure 1; Bjornstad *et al.*, 2009). By comparing



**Figure 1** Sampling sites within the Hanford Integrated Field-Scale Research Challenge field site, located ~250 m from the Columbia River in the Hanford Site 300 area. Gray circles show two-dimensional distribution of sampling locations; the maximum horizontal distance between any two communities is ~53 m. The two geological formations (Hanford and Ringold) are shown with horizontal and vertical dashes, respectively. Our formation-specific analyses examine communities across the specific vertical ranges shown, whereas the ‘full-system’ analyses include additional communities in the middle section of the Hanford.

inferences across formations and spatial scales, we link ecological processes to geological processes that govern the structure of physical environments.

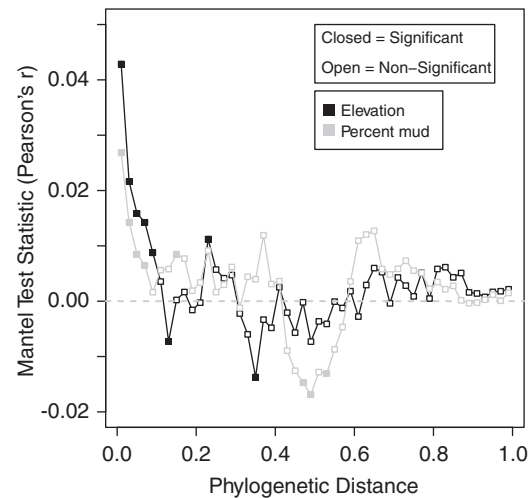
## Materials and methods

We study a bacterial metacommunity associated with subsurface sediments within the unconfined aquifer ~250 m from the Columbia River in the 300 Area of the Hanford Site in Richland, WA (Figure 1). Our system is characterized by two geological formations; the saturated zone of the coarse-grained Hanford formation ranges from approximately 10 m to 17 m below ground surface and below is the Ringold with finer-grained sediments (Bjornstad *et al.*, 2009). DNA was extracted from sediments as in Lin *et al.* (2012a), and the V1–V2 region of the 16S rRNA gene was PCR amplified with primers 27F and 338R before pyrosequencing as in Lin *et al.* (2012b), and processed using QIIME (Caporaso *et al.*, 2010) whereby sequences were clustered as operational taxonomic units (OTUs) defined by 97% sequence similarity (see Supplementary Material). All statistical analyses were carried out in R (R-Core-Team, 2012). Environmental data included a sample's elevation, horizontal distance from the Columbia River, the elevation of the top of the Ringold formation at its geographic location (Bjornstad *et al.*, 2009) and its percent mud (see Supplementary Material).

### Analytical framework development

**Turnover in phylogenetic community composition.** To infer ecological processes, our analytical framework relies, in part, on phylogenetic turnover, which is the evolutionary distance separating OTUs found in one community from OTUs found in a second community (Graham and Fine, 2008; Stegen *et al.*, 2012). Using phylogenetic turnover to infer ecological processes requires 'phylogenetic signal' in OTUs' optimal habitat conditions (Kraft *et al.*, 2007; Cavender-Bares *et al.*, 2009; Fine and Kembel, 2011), whereby habitat preferences of closely related taxa are more similar to each other than to the habitat preferences of distant relatives (Losos, 2008). We tested for phylogenetic signal to determine whether we could use phylogenetic turnover to make ecological inferences in our system, and to determine the most appropriate metric of phylogenetic turnover.

We found significant phylogenetic signal, but only across relatively short phylogenetic distances (Figure 2), consistent with previous work (Andersson *et al.*, 2010; Diniz-Filho *et al.*, 2010; Hardy *et al.*, 2012; Stegen *et al.*, 2012). It is therefore most appropriate to quantify phylogenetic turnover among closest relatives (Stegen *et al.*, 2012). For this reason, we use the between-community version of



**Figure 2** Phylogenetic Mantel correlogram showing significant phylogenetic signal across short phylogenetic distances. Solid and open symbols denote significant and nonsignificant correlations, respectively, relating between-OTU niche differences to between-OTU phylogenetic distances across a given phylogenetic distance. An optimal elevation and an optimal percent mud were estimated for each species; these values were taken to be estimates of OTU environmental niches across both abiotic axes. Significantly positive correlations indicate that ecological niche distance between OTUs increases with their phylogenetic distance, but only across the phylogenetic distance class being evaluated (that is, there is phylogenetic signal in OTU environmental niches).

the (abundance-weighted)  $\beta$ -mean-nearest taxon distance ( $\beta$ MNTD) (Fine and Kembel, 2011; Webb *et al.*, 2011).  $\beta$ MNTD quantifies the phylogenetic distance between each OTU in one community ( $k$ ) and its closest relative in a second community ( $m$ ):

$$\beta\text{MNTD} = 0.5 \left[ \sum_{i_k=1}^{n_k} f_{i_k} \min(\Delta_{i_k j_m}) + \sum_{i_m=1}^{n_m} f_{i_m} \min(\Delta_{i_m j_k}) \right],$$

where  $f_{i_k}$  is the relative abundance of OTU  $i$  in community  $k$ ,  $n_k$  is the number of OTUs in  $k$  and  $\min(\Delta_{i_k j_m})$  is the minimum phylogenetic distance between OTU  $i$  in community  $k$  and all OTUs  $j$  in community  $m$ .  $\beta$ MNTD was calculated using R function 'comdistnt' (abundance.weighted = TRUE; package 'picante').

$\beta$ MNTD can be less than, greater than or equal to the degree of turnover expected when Selection does not influence turnover in community composition. Lower than expected  $\beta$ MNTD should result from environmental conditions constraining community composition by imposing Selection on OTUs. Greater than expected  $\beta$ MNTD should be due to divergent environmental conditions causing each community to be composed of an ecologically distinct set of OTUs.

These expectations assume at least a minor degree of organismal exchange among local communities through deep evolutionary time so that individual communities do not evolve evolutionarily distinct assemblages *in situ*. This assumption is likely upheld in our system, which is within a single

unconfined aquifer (maximum of 54 m separating any two communities) through which groundwater continuously flows and into which the Columbia River annually intrudes (Peterson *et al.*, 2008; Lin *et al.*, 2012b). The degree to which  $\beta$ MNTD deviates from a null model expectation therefore measures the degree to which community composition is limited by Selection on OTU ecological niches.

To quantify the degree to which  $\beta$ MNTD deviates from a null model expectation, we used a randomization that shuffled species names and abundances across the tips of the phylogeny (see Supplementary Material for phylogeny inference methods). After shuffling,  $\beta$ MNTD was recalculated to provide a null value, and repeating the randomization 999 times provided a null distribution. The difference between observed  $\beta$ MNTD and the mean of the null distribution was measured in units of s.d. (of the null distribution) and is referred to as the  $\beta$ -nearest taxon index ( $\beta$ NTI).  $\beta$ NTI values  $< -2$  or  $> +2$  indicate significantly less than or greater than expected phylogenetic turnover, respectively (see also Stegen *et al.*, 2012).

*Turnover in OTU composition.* Most metrics of turnover in OTU composition provide no information on whether the observed degree of turnover deviates from that expected if community assembly was governed primarily by Drift. One exception is Raup–Crick (Chase *et al.*, 2011). Raup–Crick does not account for OTU relative abundances, however, which carry information useful for understanding ecological processes (Anderson *et al.*, 2011). Here we extend Raup–Crick to consider OTU relative abundances by modifying the procedure of Chase *et al.* (2011). In short, local communities were assembled probabilistically, where the probability of observing an individual of a given OTU was related to the number of communities occupied by the OTU and the OTU's relative abundance across all sampled communities. Observed OTU richness and number of individuals were maintained for each community (see Supplementary Material). For a given pair of communities, each was probabilistically assembled 999 times. For each iteration Bray–Curtis dissimilarity was used to quantify compositional turnover, thereby generating a null distribution of Bray–Curtis values. Similar to Chase *et al.* (2011), we standardize the deviation between empirically observed Bray–Curtis and the null distribution to vary between  $-1$  and  $+1$ , and refer to the resulting metric as  $RC_{\text{bray}}$ .

We interpret  $RC_{\text{bray}}$  values  $> +0.95$  or  $< -0.95$  as significant departures from the degree of turnover expected when Drift acts alone (Chase *et al.*, 2011). In turn,  $|RC_{\text{bray}}| > 0.95$  indicates that turnover in community composition is governed primarily by Selection, Dispersal Limitation acting in concert with Drift or Homogenizing Dispersal;  $RC_{\text{bray}}$  values between  $-0.95$  and  $+0.95$  are consistent with Drift acting alone (Chase *et al.*, 2011).

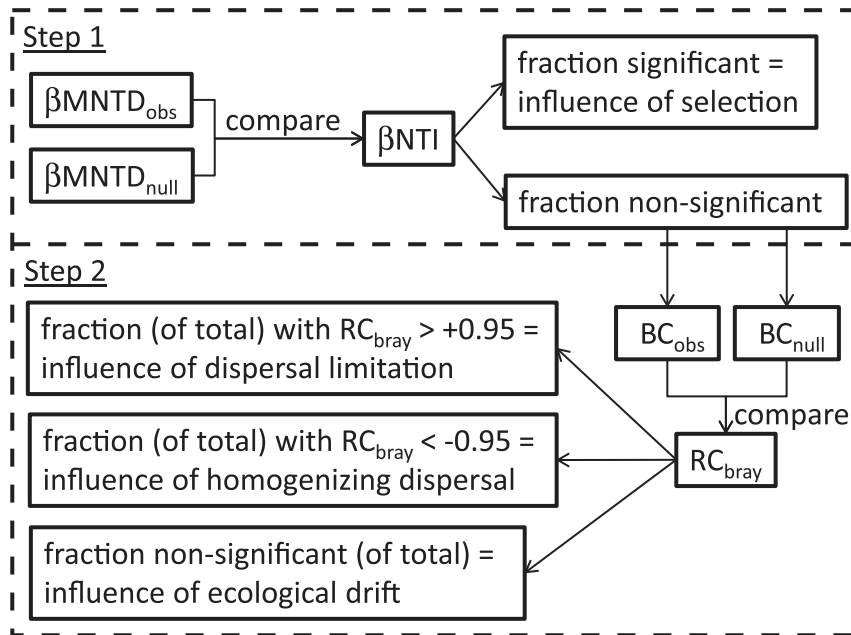
We suggest that Dispersal Limitation acting alone should not lead to a significant  $RC_{\text{bray}}$  value. For example, consider one homogenous community that is split into two communities with no dispersal between them. For compositional differences to emerge, OTU-specific birth and death rates must differ between the communities such that OTU population sizes differ between the communities. In this case, it is unclear how Dispersal Limitation alone could cause between-community differences in OTU birth and death rates. Drift, however, results from stochastic differences in birth and death rates. If one allows for Drift to occur alongside Dispersal Limitation, pairwise difference in community composition should grow through time and eventually lead to  $RC_{\text{bray}} > +0.95$ .

On the other hand, Homogenizing Dispersal may cause less than expected turnover in OTU composition. The expected degree of turnover results from stochastic assembly of local communities by drawing individuals from the regional pool of OTUs (see above). When dispersal between a pair of communities is very high, however, local community assembly is not governed by the composition of the regional pool. For example, take a community that continuously sends large numbers of individuals to a second community. If Selection is relatively weak, the composition of the second community will be determined by the composition of the first community, instead of being determined by the regional pool. Such a scenario should lead to less turnover than when both communities are assembled from the regional pool; that is,  $RC_{\text{bray}} < -0.95$ .

*Estimating influences of ecological processes.* We aim to quantitatively estimate the degree to which spatial turnover in community composition is influenced by Selection, Drift acting alone, Dispersal Limitation acting in concert with Drift and Homogenizing Dispersal. To do so, we take advantage of (i) assuming some dispersal among communities across evolutionary time, non-random phylogenetic turnover arises from Selection (Hardy, 2008) and (ii) non-random turnover in OTU composition can result from Selection or Dispersal Limitation (Chase *et al.*, 2011), or as discussed above, from Homogenizing Dispersal. We note that our framework assumes that all sources of error have a roughly equivalent influence over the quantitative estimates of each process, whereby our estimates should be reasonably close to the true values.

To estimate process influences, we follow a two-step procedure (Figure 3). First, we quantified  $\beta$ NTI for all pairwise community comparisons. As discussed above, a value of  $|\beta\text{NTI}| > 2$  indicates that observed turnover between a pair of communities is governed primarily by Selection. In turn, the influence of Selection across a set of local communities was estimated as the fraction of pairwise





**Figure 3** Flowchart summarizing procedure for estimating influences of ecological processes, broken into two major steps discussed in the section ‘Estimating influences of ecological processes.’ First, the observed degree of phylogenetic turnover for each pairwise community comparison was quantified ( $\beta\text{MNTD}_{\text{obs}}$ ). A randomization was then used to generate a null distribution of phylogenetic turnover ( $\beta\text{MNTD}_{\text{null}}$ ). The value of  $\beta\text{NTI}$  characterizes the magnitude of deviation between  $\beta\text{MNTD}_{\text{obs}}$  and  $\beta\text{MNTD}_{\text{null}}$ . The fraction of pairwise comparisons with significant  $\beta\text{NTI}$  values ( $|\beta\text{NTI}| > 2$ ) is the estimated influence of Selection. As part of the second major step in our procedure, pairwise comparisons with nonsignificant  $\beta\text{NTI}$  values were further evaluated by comparing observed Bray–Curtis ( $\text{BC}_{\text{obs}}$ ) to Bray–Curtis expected under the randomization ( $\text{BC}_{\text{null}}$ ). The value of Bray–Curtis-based Raup–Crick ( $\text{RC}_{\text{bray}}$ ) characterizes the magnitude of deviation between  $\text{BC}_{\text{obs}}$  and  $\text{BC}_{\text{null}}$ ; a value of  $|\text{RC}_{\text{bray}}| > 0.95$  was considered significant. The number of pairwise comparisons with  $\text{RC}_{\text{bray}} > +0.95$ , the number with  $\text{RC}_{\text{bray}} < -0.95$  and the number with  $|\text{RC}_{\text{bray}}| < 0.95$  were each divided by the total number of all pairwise comparisons; the resulting fractions estimate the influence of Dispersal Limitation combined with Drift, Homogenizing Dispersal and Drift acting alone, respectively.

community comparisons with  $|\beta\text{NTI}| > 2$ . As a corollary, pairwise comparisons with  $|\beta\text{NTI}| < 2$  should be governed by Drift acting alone, Dispersal Limitation acting alongside Drift or Homogenizing Dispersal.

The second step in our procedure quantified  $\text{RC}_{\text{bray}}$  for pairwise community comparisons that were not governed by Selection (that is, those with  $|\beta\text{NTI}| < 2$ ). Within this set, Dispersal Limitation coupled with Drift should lead to greater than expected turnover ( $\text{RC}_{\text{bray}} > +0.95$ ), whereas Homogenizing Dispersal should lead to less than expected turnover ( $\text{RC}_{\text{bray}} < -0.95$ ). As such, we divided the number of pairwise comparisons with  $|\beta\text{NTI}| < 2$  and  $\text{RC}_{\text{bray}} > +0.95$  by the total number of all pairwise comparisons. The resulting fraction estimates the influence of Dispersal Limitation acting in concert with Drift. The fraction of all pairwise comparisons with  $|\beta\text{NTI}| < 2$  and  $\text{RC}_{\text{bray}} < -0.95$  was taken as an estimate for the influence of Homogenizing Dispersal. The fraction of all pairwise comparisons with  $|\beta\text{NTI}| < 2$  and  $|\text{RC}_{\text{bray}}| < 0.95$  estimates the influence of Drift acting alone.

*Combining spatial eigenvectors and measured abiotic variables with model selection.* In addition to estimating influences of ecological processes, we

aim to characterize system features that impose Selection and Dispersal Limitation. To this end, we described spatial and environmental relationships among local communities by combining spatial eigenvector analysis with measured abiotic variables. Spatial eigenvectors describe spatial relationships among communities across a range of spatial scales; the first eigenvector breaks sampling locations into broadly distributed clusters, and subsequent eigenvectors characterize spatial relationships at increasingly fine scales (Borcard and Legendre, 2002; Borcard *et al.*, 2011; Heino *et al.*, 2011).

For spatial eigenvector analyses, we used the R function ‘pcnm’ within package ‘vegan’. The ‘pcnm’ function takes a spatial distance matrix as input. For analyses within the Ringold and Hanford formations, we used geographical locations (Eastings and Northings, Supplementary Table S1) of each well to build the distance matrix, thereby describing spatial relationships in two dimensions. For analyses across both formations (the ‘full system’), we described spatial distances in three dimensions due to increased vertical distances among communities. These three-dimensional Euclidean distances were used to define spatial eigenvectors. Note that spatial eigenvector analysis is robust in one, two or three dimensions (Borcard and Legendre, 2002).

Spatial eigenvectors only describe spatial relationships among sampling locations. As such, some eigenvectors may describe the spatial scales at which dispersal operates, whereas others may be related to the spatial structure of environmental variables (Legendre *et al.*, 2009). In addition to spatial relationships we measured four abiotic variables. However, these measured variables may also simply describe spatial relationships among communities. For example, horizontal distance from the Columbia River may reflect spatial relationships or may reflect different environmental conditions related to spatially structured river water intrusion (Lin *et al.*, 2012b; Stegen *et al.*, 2012). In addition, measured abiotic variables may co-vary with each other and/or with spatial eigenvectors.

To combine all variables and minimize co-variation, we combined measured abiotic variables with spatial eigenvectors using principal components analysis (PCA). The resulting PCA axes (Supplementary Tables S2–S4) were used as independent variables in a model-selection procedure with either  $\beta$ NTI or  $RC_{\text{bray}}$  as the dependent variable. Note that three separate sets of PCA axes were characterized: one for the Hanford formation, one for the Ringold formation and one for the full system (Hanford and Ringold formations combined). Labels associated with Hanford formation PCA axes have no relationship to, for example, labels of Ringold formation axes.

To identify features of the system that impose Selection or Dispersal Limitation, we fit statistical models to  $\beta$ NTI and  $RC_{\text{bray}}$  using distance-based redundancy analysis (Legendre and Anderson, 1999) (R function ‘capscale’ within package ‘vegan’) combined with a model-selection procedure. Distance-based redundancy analysis takes positive, pairwise community distances as input such that  $\beta$ NTI and  $RC_{\text{bray}}$  were each normalized to vary between 0 and 1 before model selection; for each, the absolute magnitude of the minimum (negative) value was added to all values (making all  $\geq 0$ ), and the resulting values were then divided by their maximum (making all  $\geq 0$  and  $\leq 1$ ). We used forward model selection (Blanchet *et al.*, 2008) where independent variable significance ( $\alpha = 0.05$ ) was evaluated stepwise and the order of variable evaluation was based on improvement in the model’s adjusted  $R^2$ . Model selection proceeded until the next independent variable was nonsignificant as determined by 1000 permutations (R function ‘ordiR2step’ within package ‘vegan’). Separate model-selection procedures were carried out for the Hanford, the Ringold and the full system, and  $\beta$ NTI and  $RC_{\text{bray}}$  were evaluated separately.

The magnitude of  $\beta$ NTI is governed by the influence of Selection relative to the influences of Dispersal Limitation and Drift. Any PCA axes that explain a significant fraction of variation in  $\beta$ NTI should therefore reflect one or more environmental variables that impose Selection. This is true even if a

significant PCA axis is unrelated to measured abiotic variables.

If a given PCA axis is significant for  $\beta$ NTI but measured abiotic variables do not load onto it, we consider this PCA axis to be an unmeasured, spatially structured environmental variable that imposes Selection. If measured abiotic variables load heavily onto a significant PCA axis, we consider the axis to be a measured environmental variable that imposes Selection. Furthermore, all PCA axes nonsignificant for  $\beta$ NTI were considered to primarily characterize spatial relationships among communities. This is true even if measured abiotic variables load heavily; measuring a given abiotic variable does not indicate that the variable imposes Selection.

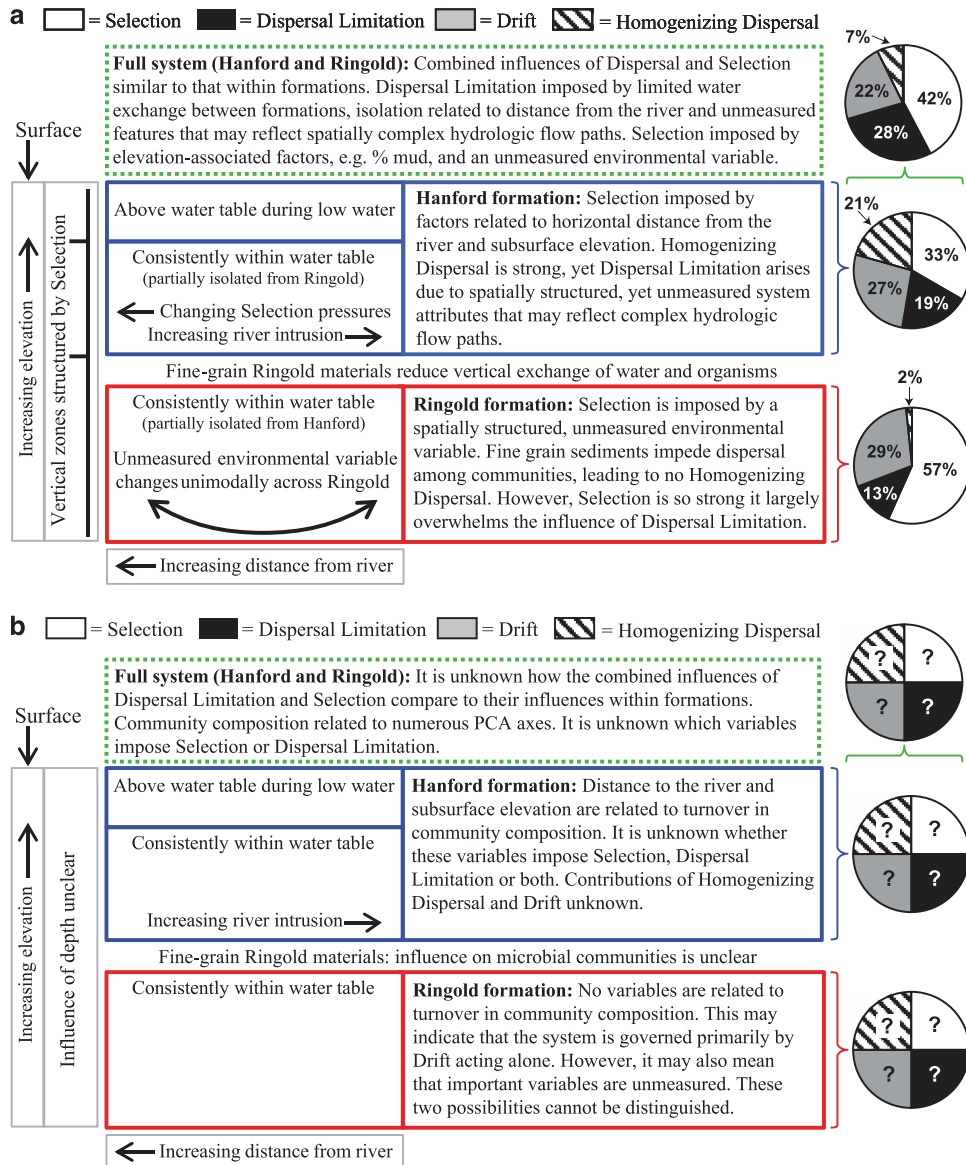
Before  $RC_{\text{bray}}$  model selection, we used the  $\beta$ NTI model-selection results to characterize each PCA axis as an unmeasured environmental variable, a measured environmental variable or a spatial variable. Following  $RC_{\text{bray}}$  model selection, these variable designations were used (in conjunction with PCA loadings) to interpret the factors imposing Selection or Dispersal Limitation. For example, if a given variable (that is, PCA axis) was not related to  $\beta$ NTI, it was concluded that this variable characterized spatial relationships among local communities. If this same variable was significantly related to  $RC_{\text{bray}}$  values, it was identified as characterizing features of the system that impose Dispersal Limitation. To determine if any measured features impose Dispersal Limitation, the PCA loadings on the selected variable were examined.

*Comparison of inferences with those from preexisting approaches.* We compared insights derived from our analytical framework with those derived from a preexisting approach (similar to, for example, Legendre *et al.*, 2009; Heino *et al.*, 2011). To achieve a direct comparison with our approach, we used the same PCA axes with the same model-selection procedure described above, but with Bray–Curtis dissimilarity as the dependent variable.

## Results and Discussion

### *Quantitative process estimates*

Here we provide the first quantitative parsing of ecological processes that influence community assembly (Figure 4a). Across formations and spatial scales, we find that  $\sim 33$ – $57\%$  of turnover in community composition is primarily due to Selection,  $\sim 13$ – $28\%$  of turnover is primarily due to Dispersal Limitation acting in concert with Drift,  $\sim 0$ – $21\%$  of turnover is primarily due to Homogenizing Dispersal and  $\sim 22$ – $29\%$  of turnover is primarily due to Drift acting alone (see Figure 4a for specifics). Preexisting approaches provide no process estimates (Figure 4b).



**Figure 4** Summary of key insights and results for the three systems analyzed: Hanford (blue) and Ringold (red) formations and across the full system (green dashed box). For comparison, panels provide inferences based on (a) the framework developed here and (b) a preexisting framework. Pie charts give the percent of turnover in community composition governed primarily by Selection acting alone (white fill), Dispersal Limitation acting in concert with Drift (black fill), Drift acting alone (gray fill) and Homogenizing Dispersal (line fill).

Our quantitative results are consistent with qualitative conclusions from previous work showing that Selection often has some detectable influence over microbial communities (Andersson *et al.*, 2010; Ofiteru *et al.*, 2010; Stegen *et al.*, 2012). However, we also find that Dispersal Limitation acting in concert with Drift can have a substantial influence over community composition, in contrast to the classic paradigm that ‘all microbes are everywhere’ (see de Wit and Bouvier, 2006; Martiny *et al.*, 2006). This result adds to a growing literature showing an important influence of Dispersal Limitation in microbial systems (for example, Dumbrell *et al.*, 2010; Martiny *et al.*, 2011).

At the other end of the dispersal continuum, Homogenizing Dispersal has a strong influence on community structure in the Hanford formation, but effectively no influence in the Ringold. These contrasting influences of Homogenizing Dispersal make conceptual sense, given the hydrological characteristics of the two formations; in the highly permeable Hanford formation, between-community Dispersal appears to be so high that community composition is often determined primarily by immigration; Dispersal is sufficiently low in the Ringold formation, such that community composition is not strongly influenced by immigration.

We expected to observe a decreased influence of Drift acting alone when considering both formations simultaneously; the larger spatial extent of the system as a whole may increase Dispersal Limitation, and the greater range in environmental conditions may increase the influence of Selection. In contrast to this expectation, Drift alone consistently accounted for ~25% of turnover in community composition (Figure 4a). It is difficult to compare this result to prior work; to the best of our knowledge, the influence of Drift has never been quantitatively estimated, although Drift is known to have some detectable influence over community assembly in microbial (for example, Ofiteru *et al.*, 2010) and macroorganism (for example, Chase, 2010) systems.

### Factors that impose Selection

In addition to quantifying ecological processes, it has long been a goal in ecology to characterize factors that impose Selection (for example, Whittaker, 1967). Previous work, however, has been plagued by the impossibility of measuring all influential environmental variables (Anderson *et al.*, 2011). We overcame this obstacle by running model selection on  $\beta$ NTI, which showed that unmeasured and measured environmental variables impose Selection and that the identity of influential variables changes across formations and spatial scales (Table 1).

In the Ringold formation,  $\beta$ NTI model selection identified one significant PCA axis (PCA7). No measured abiotic variables loaded onto PCA7

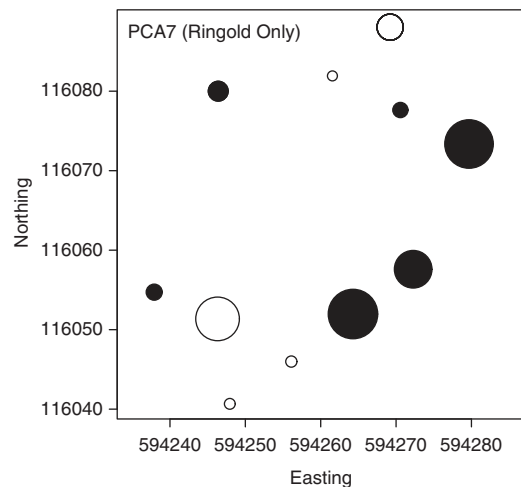
**Table 1** Summary of model selection results across formations using  $\beta$ NTI and  $RC_{\text{bray}}$

Formation	Turnover metric	Environmental variables	Spatial variables
Ringold	$\beta$ NTI	7	NA
Ringold	$RC_{\text{bray}}$	None	None
Ringold	$BC_{\text{obs}}$	None	None
Hanford	$\beta$ NTI	1, 3	NA
Hanford	$RC_{\text{bray}}$	1, 3	7
Hanford	$BC_{\text{obs}}$	1, 3	None
Full	$\beta$ NTI	1, 19, 32	NA
Full	$RC_{\text{bray}}$	1, 32	2, 4, 5, 6, 14, 16, 18, 20, 27, 26, 33
Full	$BC_{\text{obs}}$	1, 2, 4, 32, 34	5, 6, 12, 14, 16, 20, 26

Abbreviations:  $\beta$ NTI,  $\beta$ -nearest taxon index;  $BC_{\text{obs}}$ , observed Bray–Curtis; NA, not applicable;  $RC_{\text{bray}}$ , Bray–Curtis-based Raup–Crick. We identified spatially structured, influential environmental variables as those PCA axes significant for  $\beta$ NTI. PCA axes significant for  $\beta$ NTI are never considered spatial variables (hence, 'NA'). For  $RC_{\text{bray}}$ , significant PCA axes are identified as environmental or spatial based on the results of  $\beta$ NTI model selection. Numerical values indicate PCA axis numbers and map to PCA loadings in Supplementary Tables S2–S4. Note that PCA axis numbers are formation-specific and that each axis is a combination of measured abiotic variables and spatial eigenvectors. For comparison, results from a preexisting approach using empirically  $BC_{\text{obs}}$  are provided, where PCA axes are characterized as environmental or spatial based only on PCA loadings of measured abiotic variables (see Supplementary Tables S2–S4).

(Supplementary Table S2). PCA7 is therefore an unmeasured, spatially structured environmental variable that imposes Selection. The spatial structure of this unmeasured variable is shown in Figure 5. Importantly, model selection for Bray–Curtis identified no significant PCA axes. Relying on preexisting approaches would have therefore provided essentially no information on ecological processes even though the system is heavily governed by Selection (Figure 4).

In the shallower Hanford formation,  $\beta$ NTI model selection identified PCA1 and PCA3 as significant axes. The strongest loadings on PCA1 and PCA3 were distance-from-the-river and subsurface elevation, respectively (Supplementary Table S3). The hydrology of the Hanford formation is strongly influenced by elevation fluctuations of the Columbia River, and the strong loadings of distance-from-the-river and subsurface elevation on PCA1 and PCA3 suggest an important influence of river elevation fluctuations. In particular, the spring runoff-associated river-elevation increase causes water-table rise and intrusion of river water into the subsurface at our site (Peterson *et al.*, 2008; Lin *et al.*, 2012b). Microbial communities near the top of the aquifer may therefore experience saturated or unsaturated hydrological conditions depending on the time of year, and communities further from the river likely experience decreased and less-frequent river intrusion (Lin *et al.*, 2012b). In turn, we hypothesize that in the Hanford formation, Selection causes turnover in community composition due to (i) vertically structured differences in the temporal dynamics of saturation states and (ii) horizontally structured differences in river intrusion. We note that a preexisting approach also selected PCA1



**Figure 5** Spatial variation in PCA axis 7 within the Ringold formation. PCA7 was identified as an influential, yet unmeasured, environmental variable using model selection for  $\beta$ NTI. The spatial configuration of PCA7 suggests that a key environmental variable changes unimodally along an axis running northwest to southeast. Axis (absolute) magnitude increases with circle diameter, with negative and positive values represented as open and closed circles, respectively.



and PCA3 as significant variables, but given the strong spatial structure of these variables, such approaches cannot evaluate whether they impose Selection or Dispersal Limitation.

From analysis of the two formations together ('full system'), model selection identified three PCA axes associated with  $\beta$ NTI. Examining the loadings of measured abiotic variables on these PCA axes (Supplementary Table S4) suggests that Selection is imposed by factors associated with elevation, such as the percent mud within sediments (Table 1, Supplementary Table S4) and (potentially) between-formation differences in mineralogical composition, age, cementation and vertical layering. In particular, the Ringold is far older ( $\sim 8.5$ – $3.4$  million years old) than the Hanford formation ( $\sim 0.015$  million years old) with greater cementation and vertical layering (Bjornstad *et al.*, 2009). In addition, measured abiotic variables did not load onto one selected PCA axis, PCA19, suggesting that this axis represents an unmeasured environmental variable that imposes Selection across the full system.

Coupling quantitative process estimates with the  $\beta$ NTI model selection contributes to a system-level conceptual model that contrasts sharply with that derived using a preexisting approach (Figure 4). Our framework suggests that (i) low-energy deposition of fine-grained sediments (as in the Ringold (Bjornstad *et al.*, 2009)) leads to very strong Selection (governing  $\sim 60\%$  of turnover) imposed by an unmeasured, spatially structured environmental variable (Table 1, Supplementary Table S2, Figure 5); (ii) high-energy deposition of coarse-grained sediments (as in the Hanford (Bjornstad *et al.*, 2009)) partially homogenizes abiotic conditions leading to weaker Selection (governing  $\sim 30\%$  of turnover) imposed by spatially structured, hydrology-related environmental factors (Table 1, Supplementary Table S3); and (iii) differences in the physical energy of geological depositional processes can result in between-formation environmental differences that cause turnover due to Selection (governing  $\sim 40\%$  of turnover) (Table 1, Supplementary Table S4). In the case of our particular system, the primary between-habitat environmental differences are related to sediment composition and the degree of vertical layering (Bjornstad *et al.*, 2009); Ringold and Hanford sediments are  $\sim 90\%$  and  $\sim 4\%$  mud, respectively (Supplementary Table S1), and the Ringold has more vertical layering (Bjornstad *et al.*, 2009).

#### *Factors imposing Dispersal Limitation*

Fundamental to our understanding of ecological communities is knowledge of the factors that impose Dispersal Limitation. In non-microbial systems, Dispersal Limitation is common, but further inferences are usually limited to the spatial scales across which Dispersal Limitation operates (for example, Legendre *et al.*, 2009). In contrast, we couple

model selection for  $RC_{\text{bray}}$  with  $\beta$ NTI-based characterization of PCA axes to enable characterization of abiotic features that impose Dispersal Limitation. Key to our approach is that variation in  $RC_{\text{bray}}$  can be driven by variation in the strength of Selection or by variation in the magnitude of Dispersal (Chase *et al.*, 2011). PCA axes retained in  $RC_{\text{bray}}$  model selection that were not retained in  $\beta$ NTI model selection therefore represent among-community spatial relationships across which dispersal varies (that is, across which Dispersal Limitation is imposed). Inferences drawn from this approach contribute critical elements to our conceptual model (Figure 4a).

At the 'full-system' scale, model selection for  $RC_{\text{bray}}$  suggests that Dispersal Limitation is imposed, in part, by vertical separation among communities (Table 1, Supplementary Table S4). This is consistent with previous hydrological characterization, suggesting that the fine-grained composition of the Ringold restricts vertical exchange of water between the Ringold and upper Hanford formations (Bjornstad *et al.*, 2009). The disparate geological history of the two formations is therefore indirectly responsible for strong Dispersal Limitation and Selection at the 'full-system' scale. Model-selection results further suggest that Dispersal Limitation is also related to horizontal distance from the Columbia River (Table 1, Supplementary Table S4); decreased and less-frequent river intrusion into communities further from the river (Lin *et al.*, 2012b) may therefore cause additional isolation. There also appears to be a number of important unmeasured factors (Table 1, Supplementary Table S4), suggesting that spatially complex hydrological flow paths may strongly influence patterns of organismal exchange among local communities across the 'full system.'

Within the Hanford formation,  $RC_{\text{bray}}$  model selection identified one PCA axis that was not related to  $\beta$ NTI and onto which no measured abiotic variables loaded (Table 1, Supplementary Table S3). This suggests that an unmeasured feature of the Hanford formation imposes Dispersal Limitation. From the available data, it is impossible to know the identity of this unmeasured feature, but as for the 'full system,' we hypothesize that spatially structured hydrological flow paths influence the degree to which local communities exchange organisms.

## Conclusions

Inferences drawn across our analytical framework provide a unique conceptual model (Figure 4a) linking quantitative estimates of Selection, Dispersal Limitation and Drift to the measured and unmeasured abiotic factors that impose these processes. Our analyses provide a fundamentally deeper understanding of ecological communities

and provide inferences that are qualitatively distinct from those derived through traditional analyses (Figure 4).

For a direct comparison with our analyses, we employed an approach similar to that used in previous work. This approach identified PCA axes that are significantly related to Bray–Curtis, but provides no means to determine the processes imposed by significant variables. Previous studies that use approaches similar to this ‘preexisting approach’ appear to assign processes to significant variables (for example, Tuomisto *et al.*, 2003; Cottenie, 2005; Legendre *et al.*, 2009; Heino *et al.*, 2011). Doing so requires one to decide *a priori* which variables are associated with which ecological process. Identifying the features of a system that impose Selection and those that impose Dispersal Limitation is an empirical question, however, that requires an answer informed by ecological patterns of a given system. Further, preexisting approaches cannot estimate the relative influences of ecological processes or identify unmeasured environmental variables. All these limitations would remain if other preexisting approaches were used, such as using redundancy analysis on raw community composition data (for example, Legendre *et al.*, 2009).

Although we suggest that our framework provides novel insights, it is important to recognize that there are limitations and, as with any new approach, these limitations can be vetted through additional use and simulation-based studies. One limitation, for example, is that the current framework does not parse out sub-classes of Selection, such as competition and trophic interactions. In addition, the framework could be sensitive to factors such as phylogenetic uncertainty and alpha diversity underestimation. These particular factors are partially controlled by confirming phylogenetic signal upfront and using null models that hold observed alpha diversity constant, respectively. Simulation studies are nonetheless needed for a full evaluation.

More generally, the knowledge we seek builds from a revolution in ecological thought that has largely taken place across the last decade. Although often rebuked and rejected (for example, Ricklefs and Renner, 2012), Hubbell’s (2001) neutral theory encouraged broader recognition of Drift and Dispersal. As a consequence, it is now broadly recognized that Selection works alongside Drift and Dispersal (Cottenie, 2005; Gravel *et al.*, 2006; Adler *et al.*, 2007; Legendre *et al.*, 2009; Dumbrell *et al.*, 2010; Chase and Myers, 2011). This is the conceptual foundation from which we work and out of which a unification of community ecology can emerge (Vellend, 2010).

## Conflict of Interest

The authors declare no conflict of interest.

## Acknowledgements

JCS was supported by a Linus Pauling Distinguished Postdoctoral Fellowship at Pacific Northwest National Laboratory. We thank AH Hurlbert, NJB Kraft and M Vellend for their helpful discussions related to this work. This research was supported by the US Department of Energy (DOE), Office of Biological and Environmental Research (BER), as part of Subsurface Biogeochemistry Research Program’s Scientific Focus Area (SFA) and Integrated Field-Scale Research Challenge (IFRC) at the Pacific Northwest National Laboratory (PNNL). PNNL is operated for DOE by Battelle under contract DE-AC06-76RLO 1830.

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