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QUASI-SYMMETRIC INVARIANT PROPERTIES OF CANTOR METRIC SPACES

by Yoshito ISHIKI (*)

ABSTRACT. — For metric spaces, the doubling property, the uniform disconnectedness, and the uniform perfectness are known as quasi-symmetric invariant properties. The David–Semmes uniformization theorem states that if a compact metric space satisfies all the three properties, then it is quasi-symmetrically equivalent to the middle-third Cantor set. We say that a Cantor metric space is standard if it satisfies all the three properties; otherwise, it is exotic. In this paper, we conclude that for each of exotic type the class of all the conformal gauges of Cantor metric spaces exactly has continuum cardinality. As a byproduct of our study, we state that there exists a Cantor metric space with prescribed Hausdorff dimension and Assouad dimension.

RÉSUMÉ. — Pour les espaces métriques, la propriété de doublage, la déconnexion uniforme et la perfection uniforme sont connues comme des propriétés invariantes par les quasi-symétries. Le théorème d'uniformisation de David–Semmes stipule que si un espace métrique compact satisfait toutes ces trois propriétés, il est quasisymétriquement équivalent à l'ensemble triadique de Cantor. Nous disons qu'un espace métrique de Cantor est standard s'il satisfait toutes les trois propriétés, et exotique. Sinon, dans cet article, nous concluons que pour chaque type exotique la classe de tous les jauges conformales des espaces métriques de Cantor a exactement la cardinalité du continuum. En tant que sous-produit de notre étude, nous avons montré qu'il existe un espace métrique de Cantor ayant la dimension de Hausdorff et la dimension d'Assouad prescrites.

1. Introduction

The concept of quasi-symmetric maps between metric spaces provides us various applications, especially from a viewpoint of geometric analysis of metric measure spaces (see e.g., [3, 8]), or a viewpoint of the conformal dimension theory (see e.g., [7]). For a homeomorphism $\eta : [0, \infty) \to [0, \infty)$,

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a homeomorphism $f:X\to Y$ between metric spaces is said to be $\eta\text{-}quasi-symmetric$ if

$$\frac{d_Y(f(x), f(y))}{d_Y(f(x), f(z))} \leqslant \eta \left(\frac{d_X(x, y)}{d_X(x, z)}\right)$$

holds for all distinct $x, y, z \in X$, where d_X is the metric on X and d_Y the metric on Y. A homeomorphism $f : X \to Y$ is quasi-symmetric if it is η -quasi-symmetric for some η . The composition of any two quasisymmetric maps is quasi-symmetric. The inverse of any quasi-symmetric map is also quasi-symmetric. The quasi-symmetry gives us an equivalent relation between metric spaces.

In this paper, we focus on the following quasi-symmetric invariant properties of metric spaces: the doubling property, the uniform disconnectedness, and the uniform perfectness (see Section 2 for the definitions). David and Semmes [2] have proven the so-called uniformization theorem which states that every uniformly disconnected, uniformly perfect, doubling compact metric space is quasi-symmetrically equivalent to the middle-third Cantor set ([2, Proposition 15.11]). The David–Semmes uniformization theorem can be considered as a quasi-symmetric version of the well-known Brouwer characterization of Cantor spaces ([1], see e.g., [12, Theorem 30.3]), where a Cantor space means a topological space homeomorphic to the middlethird Cantor set. We study the three quasi-symmetric invariant properties of Cantor metric spaces. We attempt to complement the David–Semmes uniformization theorem.

Before stating our results, for the sake of simplicity, we introduce the following notations:

DEFINITION 1.1. — If a metric space (X, d) with metric d satisfies a property P, then we write $T_P(X, d) = 1$; otherwise, $T_P(X, d) = 0$. For a triple $(u, v, w) \in \{0, 1\}^3$, we say that a metric space (X, d) has type (u, v, w) if we have

$$T_D(X,d) = u, \quad T_{UD}(X,d) = v, \quad T_{UP}(X,d) = w,$$

where D means the doubling property, UD the uniform disconnectedness, and UP the uniform perfectness.

We say that a Cantor metric space is standard if it has type (1,1,1); otherwise, exotic. For example, the middle-third Cantor set is standard.

We consider the problem of an abundance of the quasi-symmetric equivalent classes of exotic Cantor metric spaces.

For a metric space (X, d), we denote by $\mathcal{G}(X, d)$ the conformal gauge of (X, d) defined as the quasi-symmetric equivalent class of (X, d). The conformal gauge of metric spaces is a basic concept in the conformal dimension theory (see e.g., [7]). For each $(u, v, w) \in \{0, 1\}^3$, we define

 $\mathcal{M}(u, v, w) = \{ \mathcal{G}(X, d) \mid (X, d) \text{ is a Cantor space of type } (u, v, w) \}.$

The David–Semmes uniformization theorem mentioned above states that $\mathcal{M}(1, 1, 1)$ is a singleton. It is intuitively expected that $\mathcal{M}(u, v, w)$ has infinite cardinality for each exotic type (u, v, w). As far as the author knows, the cardinality of the class of the conformal gauges of Cantor metric spaces has not yet been studied.

As the main result of this paper, we conclude that the cardinality of the class of all conformal gauges of exotic Cantor metric spaces is equal to the continuum 2^{\aleph_0} . More precisely, we prove the following:

THEOREM 1.2. — For every
$$(u, v, w) \in \{0, 1\}^3$$
 except $(1, 1, 1)$, we have
 $\operatorname{card}(\mathcal{M}(u, v, w)) = 2^{\aleph_0}$,

where the symbol card denotes the cardinality.

In the proof of Theorem 1.2, the following quasi-symmetric invariant plays an important role.

DEFINITION 1.3. — For a property P of metric spaces, and for a metric space (X, d) we define $S_P(X, d)$ as the set of all points in X of which no neighborhoods satisfy P.

Remark 1.4. — If P is a quasi-symmetric invariant property (e.g., D, UD or UP), then $S_P(X, d)$ is a quasi-symmetric invariant. Namely, if (X, d_X) and (Y, d_Y) are quasi-symmetrically equivalent, then so are $S_P(X, d_X)$ and $S_P(Y, d_Y)$.

To prove Theorem 1.2, we introduce the following notion:

DEFINITION 1.5. — For a property P of metric spaces, we say that a metric space (X, d) is a P-spike space if $S_P(X, d)$ is a singleton.

In order to guarantee the existence of D, UD and UP-spike Cantor metric spaces, we develop a new operation of metric spaces, say the *telescope spaces*. Our telescope space is constructed as a direct sum with contracting factors and the point at infinity determined as the convergent point of the contracting factors (see Section 3).

The outline of the proof of Theorem 1.2 is as follows: We first construct a family $\{\Xi(x)\}_{x\in I}$ of continuum many closed sets in the middle-third Cantor

set whose members are not homeomorphic to each other. By using appropriate D, UD and UP-spike Cantor metric spaces, for each member $\Xi(x)$, for each exotic type (u, v, w) and for each failing property $P \in \{D, UD, UP\}$ of (u, v, w), we can obtain a Cantor metric space (X, d) of type (u, v, w)such that $S_P(X, d)$ is homeomorphic to $\Xi(x)$. Since S_P is a quasi-symmetric invariant for D, UD, and UP, we obtain continuum many Cantor metric spaces in $\mathcal{M}(u, v, w)$.

As a natural question, we consider the problem whether a Cantor metric space (X, d) with $S_P(X, d) = X$ exists, where P means D, UD or UP.

DEFINITION 1.6. — For a triple $(u, v, w) \in \{0, 1\}^3$, we say that a metric space (X, d) has totally exotic type (u, v, w) if (X, d) has exotic type (u, v, w), and if $S_P(X, d) = X$ holds for all $P \in \{D, UD, UP\}$ with $T_P(X, d) = 0$.

As another result, we prove the existence of totally exotic Cantor metric spaces for all the possible types.

THEOREM 1.7. — For every $(u, v, w) \in \{0, 1\}^3$ except (1, 1, 1), there exists a Cantor metric space of totally exotic type (u, v, w).

Theorem 1.7 states an abundance of examples of exotic Cantor metric spaces in a different way from Theorem 1.2.

To prove Theorem 1.7, we introduce the notions of the sequentially metrized Cantor spaces and the kaleidoscope spaces. We first explain the sequentially metrized Cantor spaces. Let $2^{\mathbb{N}}$ denote the set of all maps from \mathbb{N} to $\{0, 1\}$. For each $u \in (0, 1)$, the set $2^{\mathbb{N}}$ equipped with an ultrametric ddefined by $d(x, y) = u^{\min\{n \in \mathbb{N} | x_n \neq y_n\}}$ becomes a Cantor space. In the study of David–Semmes [2], or in preceding studies, the metric space $(2^{\mathbb{N}}, d)$ is often utilized as an abstract Cantor space rather than the middle-third one. The point in the proceeding studies is to use a geometric sequence $\{u^n\}_{n \in \mathbb{N}}$ in the definition of d. We modify such a familiar construction by using more general sequences, say shrinking sequences, that are non-increasing and converging to 0. Our sequentially metrized Cantor space means the metric space $2^{\mathbb{N}}$ equipped with a metric constructed by a shrinking sequence (see Section 6). In the proof of Theorem 1.7, Cantor metric spaces of totally exotic types (1, 1, 0), (0, 1, 1) and (0, 1, 0) are obtained as sequentially metrized Cantor spaces for some suitable shrinking sequences.

We next explain the kaleidoscope spaces. Our kaleidoscope space is defined as the countable product of equally divided points in [0, 1] equipped with a supremum metric distorted by an increasing sequence (see Section 7). In the proof of Theorem 1.7, Cantor metric spaces of totally exotic types (1,0,1), (1,0,0) and (0,0,0) are obtained by applying the construction of the kaleidoscope spaces.

As an application of our construction of Cantor metric spaces, we examine the prescribed Hausdorff and Assouad dimensions problem. For a metric space (X, d), we denote by $\dim_H(X, d)$ the Hausdorff dimension of (X, d), and by $\dim_A(X, d)$ the Assouad dimension. In general, the Hausdorff dimension does not exceed the Assouad dimension (see Subsection 8.1 for the basics of Assouad dimension).

THEOREM 1.8. — For each pair $(a, b) \in [0, \infty]^2$ with $a \leq b$, there exists a Cantor metric space (X, d) with

$$\dim_H(X,d) = a, \quad \dim_A(X,d) = b.$$

Our constructions of Cantor metric spaces mentioned above enable us to prove Theorem 1.8.

The organization of this paper is as follows: In Section 2, we explain the basic facts of metric spaces. In Section 3, we introduce the notion of the telescope spaces, and study their basic properties. In Section 4, we prove the existence of the D, UD and UP-spike Cantor metric spaces. In Section 5, we prove Theorem 1.2. In Section 6, we discuss the basic properties of the sequentially metrized Cantor spaces. In Section 7, we introduce the notion of the kaleidoscope spaces, and prove Theorem 1.7. In Section 8, we prove Theorem 1.8.

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2. Preliminaries

2.1. Metric Spaces

Let (X, d) be a metric space. For a point $x \in X$ and for a positive number $r \in (0, \infty)$, we denote by U(x, r) the open metric ball with center x and radius r, and by B(x, r) the closed one. For a subset A of X, we denote by diam(A) the diameter of A.

For $\delta \in (0, \infty)$, we denote by $\mathcal{F}_{\delta}(X)$ the set of all subsets of X with diameter smaller than δ . For a non-negative number $s \in [0, \infty)$, we denote

by \mathcal{H}^s the *s*-dimensional Hausdorff measure on X defined as $\mathcal{H}^s(A) = \sup_{\delta \in (0,\infty)} \mathcal{H}^s_{\delta}(A)$, where

$$\mathcal{H}^{s}_{\delta}(A) = \inf \left\{ \sum_{i=1}^{\infty} \operatorname{diam}(A_{i})^{s} \mid A \subset \bigcup_{i=1}^{\infty} A_{i}, \ A_{i} \in \mathcal{F}_{\delta}(X) \right\}.$$

For a subset A of X, we denote by $\dim_H(A)$ the Hausdorff dimension of A defined as

$$\dim_H(A) = \sup\{s \in [0,\infty) \mid \mathcal{H}^s(A) = \infty\}$$
$$= \inf\{s \in [0,\infty) \mid \mathcal{H}^s(A) = 0\}.$$

Let (X, d_X) and (Y, d_Y) be metric spaces. For $c \in (0, \infty)$, a map $f : X \to Y$ is said to be *c*-Lipschitz if for all $x, y \in X$ we have $d_Y(f(x), f(y)) \leq cd_X(x, y)$. A map between metric spaces is Lipschitz if it is *c*-Lipschitz for some *c*. A map $f : X \to Y$ is said to be *c*-bi-Lipschitz if for all $x, y \in X$ we have

$$c^{-1}d_X(x,y) \leqslant d_Y(f(x), f(y)) \leqslant cd_X(x,y).$$

A map between metric spaces is *bi-Lipschitz* if it is *c*-bi-Lipschitz for some *c*. Two metric spaces are said to be *bi-Lipschitz equivalent* if there exists a bi-Lipschitz homeomorphism between them. Note that every bi-Lipschitz map is quasi-symmetric.

2.2. Cantor Metric Spaces

A topological space is said to be 0-dimensional if it admits a clopen base. A metric space (X, d) is called an *ultrametric space* if for all $x, y, z \in X$ we have the so-called ultrametric triangle inequality

$$d(x,y) \leqslant \max\{d(x,z), d(z,y)\};$$

in this case, d is called an *ultrametric*. Every ultrametric space is 0-dimensional.

We recall the following characterization of Cantor spaces due to Brouwer ([1], see e.g., [12, Theorem 30.3]):

THEOREM 2.1 ([1]). — Every 0-dimensional, compact metric space possessing no isolated point is a Cantor space.

The following example can be seen in [2]:

Example 2.2. — Let $2^{\mathbb{N}}$ denote the set of all maps from \mathbb{N} to $\{0,1\}$. Let e be a metric on $2^{\mathbb{N}}$ defined by

$$e(x,y) = 3^{-\min\{n \in \mathbb{N} | x_n \neq y_n\}}$$

The metric e is an ultrametric on $2^{\mathbb{N}}$. By the Brouwer Theorem 2.1, the metric space $(2^{\mathbb{N}}, e)$ is a Cantor space.

2.3. Doubling Property

For a positive integer $N \in \mathbb{N}$, a metric space (X, d) is said to be *N*doubling if every closed metric ball with radius r can be covered by at most N closed metric balls with radius r/2. A metric space is doubling if it is *N*-doubling for some N.

The doubling property is hereditary. Namely, every subspace of an N-doubling metric space is N-doubling.

Example 2.3. — The middle-third Cantor set (Γ, d_{Γ}) is doubling since the real line is doubling.

Let (X, d) be a metric space, and let A be a subset of X. For $r \in (0, \infty)$, a subset S of A is said to be r-separated in A if for all distinct points $x, y \in S$ we have $d(x, y) \ge r$.

LEMMA 2.4. — A metric space (X, d) is doubling if and only if there exists $M \in \mathbb{N}$ such that for each $r \in (0, \infty)$ and for each $x \in X$, the cardinality of an arbitrary (r/2)-separated set in B(x, r) is at most M.

2.4. Uniform Disconnectedness

Let (X, d) be a metric space. For $\delta \in (0, 1)$, a finite sequence $x : \{0, 1, \ldots, N\} \to X$ is said to be a δ -chain in (X, d) if $d(x(i-1), x(i)) \leq \delta d(x(0), x(N))$ for all $i \in \{1, \ldots, N\}$. A δ -chain in (X, d) is called *trivial* if it is constant.

For $\delta \in (0, 1)$, a metric space (X, d) is said to be δ -uniformly disconnected if every δ -chain in (X, d) is trivial. A metric space is uniformly disconnected if it is δ -uniformly disconnected for some δ .

The uniformly disconnectedness is hereditary. Namely, every subspace of a δ -uniformly disconnected metric space is δ -uniformly disconnected.

By the definition of the uniform disconnectedness and the ultrametric triangle inequality, we see the following:

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PROPOSITION 2.5. — Let (X, d) be an ultrametric space. Then for every $\delta \in (0, 1)$ the space (X, d) is δ -uniformly disconnected.

We have already known the following characterization of the uniform disconnectedness (see e.g., [2], [7]):

PROPOSITION 2.6. — A metric space is uniformly disconnected if and only if it is bi-Lipschitz equivalent to an ultrametric space.

Remark 2.7. — More precisely, every δ -uniformly disconnected metric space is δ^{-1} -bi-Lipschitz equivalent to an ultrametric space.

Example 2.8. — The middle-third Cantor set (Γ, d_{Γ}) is uniformly disconnected. This claim can be verified as follows: Take the Cantor space $(2^{\mathbb{N}}, e)$ mentioned in Example 2.2. The ternary corresponding map $T : 2^{\mathbb{N}} \to \Gamma$ defined as $T(x) = \sum_{i=1}^{\infty} (2/3^i) x_i$ is a bi-Lipschitz homeomorphism. Since $(2^{\mathbb{N}}, e)$ is an ultrametric space, Proposition 2.6 tells us that (Γ, d_{Γ}) is uniformly disconnected.

Remark 2.9. — More precisely, we see the following:

(1) For all $x, y \in 2^{\mathbb{N}}$, we have

$$\frac{3}{2}e(x,y) \leqslant d_{\Gamma}(T(x),T(y)) \leqslant \frac{5}{2}e(x,y).$$

Indeed, if we put $n = \min\{k \in \mathbb{N} \mid x_k \neq y_k\}$, then

$$d_{\Gamma}(T(x), T(y)) = \left| \sum_{i=1}^{\infty} \frac{2x_i}{3^i} - \sum_{i=1}^{\infty} \frac{2y_i}{3^i} \right| \leq \frac{2}{3^n} + \sum_{i=n+1}^{\infty} \frac{2}{3^i} = \frac{5}{2}e(x, y),$$

$$d_{\Gamma}(T(x), T(y)) = \left| \sum_{i=1}^{\infty} \frac{2x_i}{3^i} - \sum_{i=1}^{\infty} \frac{2y_i}{3^i} \right| \geq \frac{2}{3^n} - \sum_{i=n+1}^{\infty} \frac{2}{3^i} = \frac{3}{2}e(x, y).$$

(2) For every $\delta \in (0, 3/5)$, the space (Γ, d_{Γ}) is δ -uniformly disconnected. Indeed, for each δ -chain x in (Γ, d_{Γ}) , the sequence $T \circ x$ is a $(5\delta/3)$ chain in $(2^{\mathbb{N}}, e)$.

2.5. Uniform Perfectness

For $\rho \in (0, 1]$, a metric space (X, d) is said to be ρ -uniformly perfect if for every $x \in X$, and for every $r \in (0, \operatorname{diam}(X))$, the set $B(x, r) \setminus U(x, \rho r)$ is non-empty. A metric space is uniformly perfect if it is ρ -uniformly perfect for some ρ .

From the definition we derive the following:

LEMMA 2.10. — Let (X, d) be a ρ -uniformly perfect bounded metric space. For $\lambda \in (1, \infty)$, put $\mu = \rho/(2\lambda)$. Then for every $x \in X$ and for every $r \in (0, \lambda \operatorname{diam}(X))$, the set $B(x, r) \setminus U(x, \mu r)$ is non-empty, and $B(x, \mu r)$ is a proper subset of X.

Proof. — Assume first that B(x, r) is a proper subset of X. This implies $r < \operatorname{diam}(X)$. Since (X, d) is ρ -uniformly perfect, it is also μ -uniformly perfect. Hence $B(x, r) \setminus U(x, \mu r)$ is non-empty. Assume second that B(x, r) = X. By the definition of μ , we have $\operatorname{diam}(B(x, \mu r)) < \operatorname{diam}(X)$. Thus $B(x, \mu r)$ is a proper subset of X.

Example 2.11. — The Cantor space $(2^{\mathbb{N}}, e)$ mentioned in Example 2.2 is uniformly perfect (see e.g., [2]). The middle-third Cantor set (Γ, d_{Γ}) is also uniformly perfect. Indeed, $(2^{\mathbb{N}}, e)$ and (Γ, d_{Γ}) are bi-Lipschitz equivalent to each other.

In what follows, we will use the following observation:

LEMMA 2.12. — The middle-third Cantor set (Γ, d_{Γ}) is (1/5)-uniformly perfect.

Proof. — In Example 2.8, we already observe that $(2^{\mathbb{N}}, e)$ and (Γ, d_{Γ}) are bi-Lipschitz equivalent through the ternary corresponding map $T : 2^{\mathbb{N}} \to \Gamma$. For all $x, y \in 2^{\mathbb{N}}$ we have

(2.1)
$$\frac{3}{2}e(x,y) \leqslant d_{\Gamma}(T(x),T(y)) \leqslant \frac{5}{2}e(x,y)$$

(see Remark 2.9). Take $a \in \Gamma$ and $r \in (0, \operatorname{diam}(\Gamma, d_{\Gamma}))$. Choose $n \in \mathbb{N}$ with

$$\frac{5}{2}3^{-n} \leqslant r < \frac{5}{2}3^{-n+1}.$$

Since the map T is homeomorphic, we can find a point $b \in \Gamma$ such that

$$n = \min\{i \in \mathbb{N} \mid (T^{-1}(a))_i \neq (T^{-1}(b))_i\}.$$

By the right hand side of (2.1), we have

$$d_{\Gamma}(a,b) \leqslant \frac{5}{2}e(T^{-1}(a),T^{-1}(b)) = \frac{5}{2}3^{-n} \leqslant r.$$

Hence $b \in B(a, r)$. By the left hand side of (2.1), we have

$$\frac{1}{5}r < \frac{3}{2}3^{-n} = \frac{3}{2}e(T^{-1}(a), T^{-1}(b)) \le d_{\Gamma}(a, b)$$

Hence $b \notin U(a, r/5)$. Thus the set $B(a, r) \setminus U(a, r/5)$ is non-empty.

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 \Box

2.6. Product of Metric Spaces

For two metric spaces (X, d_X) and (Y, d_Y) , we denote by $d_X \times d_Y$ the ℓ^{∞} -product metric on $X \times Y$ defined as $d_X \times d_Y = \max\{d_X, d_Y\}$.

The following seems to be well-known:

LEMMA 2.13. — Let (X, d_X) and (Y, d_Y) be metric spaces. Then (X, d_X) and (Y, d_Y) are doubling if and only if $(X \times Y, d_X \times d_Y)$ is doubling.

On the uniform disconnectedness, we have:

LEMMA 2.14. — Let (X, d_X) and (Y, d_Y) be metric spaces. Then (X, d_X) and (Y, d_Y) are uniformly disconnected if and only if $(X \times Y, d_X \times d_Y)$ is uniformly disconnected.

Proof. — Since the uniform disconnectedness is hereditary, we see that if $(X \times Y, d_X \times d_Y)$ is uniformly disconnected, then so are (X, d_X) and (Y, d_Y) . Note that for any two ultrametric spaces the product is an ultrametric space. Therefore Proposition 2.6 leads to that if (X, d_X) and (Y, d_Y) are uniformly disconnected, then the ℓ^{∞} -product metric space $(X \times Y, d_X \times d_Y)$ is uniformly disconnected. □

On the other hand, on the uniform perfectness, we have:

LEMMA 2.15. — Let (X, d_X) and (Y, d_Y) be bounded metric spaces. Assume that either (X, d_X) or (Y, d_Y) is uniformly perfect. Then $(X \times Y, d_X \times d_Y)$ is uniformly perfect.

Proof. — Without loss of generality, we may assume that (X, d_X) is ρ uniformly perfect. By Lemma 2.10, for each $x \in X$, and for each $r \in$ $(0, \operatorname{diam}(X \times Y))$, the subset $B(x, r) \setminus U(x, \mu r)$ of X is non-empty, where $\mu = (\rho \operatorname{diam}(X))/(2 \operatorname{diam}(X \times Y))$. Take a point $z = (x, y) \in X \times Y$ and a number $r \in (0, \operatorname{diam}(X \times Y))$. Choose a point $x' \in B(x, r) \setminus U(x, \mu r)$, and put z' = (x', y). Then $(d_X \times d_Y)(z, z')$ is equal to $d_X(x, x')$ and hence it belongs to $[\mu r, r]$. This implies that the point z' belongs to the subset $B(z, r) \setminus U(z, \mu r)$ of $X \times Y$.

Remark 2.16. — In Proposition 6.14, we will prove that there exist two Cantor metric spaces that are not uniformly perfect whose product metric space is uniformly perfect.

Remark 2.17. — In Lemmas 2.13, 2.14 and 2.15, the ℓ^{∞} -product metric $d_X \times d_Y$ can be replaced with the ℓ^p -product metric on $X \times Y$ for any $p \in [1, \infty)$. Indeed, the ℓ^{∞} -product metric space $(X \times Y, d_X \times d_Y)$ is bi-Lipschitz equivalent to the ℓ^p -product one.

2.7. Disjoint Sum of Metric Spaces

For two bounded metric spaces (X, d_X) and (Y, d_Y) , we denote by $d_X \sqcup d_Y$ the metric on the disjoint union $X \sqcup Y$ defined as

$$(d_X \sqcup d_Y)(x,y) = \begin{cases} d_X(x,y) & \text{if } x, y \in X \\ d_Y(x,y) & \text{if } x, y \in Y, \\ \max\{\operatorname{diam}(X), \operatorname{diam}(Y)\} & \text{otherwise.} \end{cases}$$

Remark 2.18. — By the Brouwer Theorem 2.1, the disjoint sum of any two Cantor spaces is also a Cantor space.

From the definition of the doubling property, we have:

LEMMA 2.19. — Two bounded metric spaces (X, d_X) and (Y, d_Y) are doubling if and only if $(X \sqcup Y, d_X \sqcup d_Y)$ is doubling.

On the uniform disconnectedness, we also have:

LEMMA 2.20. — Two bounded metric spaces (X, d_X) and (Y, d_Y) are uniformly disconnected if and only if $(X \sqcup Y, d_X \sqcup d_Y)$ is uniformly disconnected.

On the uniform perfectness, by Lemma 2.10, we see the following:

LEMMA 2.21. — Two bounded metric space (X, d_X) and (Y, d_Y) are uniformly perfect if and only if $(X \sqcup Y, d_X \sqcup d_Y)$ is uniformly perfect.

3. Telescope Spaces

In this section, we introduce the notion of telescope spaces.

DEFINITION 3.1. — We say that a triple $\mathcal{B} = (B, d_B, b)$ is a telescope base if (B, d_B) is a metric space homeomorphic to the one-point compactification of \mathbb{N} , and if b is a bijective map $b : \mathbb{N} \cup \{\infty\} \to B$ such that b_{∞} is the unique accumulation point of B. Let $\mathcal{B} = (B, d_B, b)$ be a telescope base. For $n \in \mathbb{N}$ we put

$$R_n(\mathcal{B}) = \sup\{ r \in (0,\infty) \mid U(b_n, r) = \{b_n\} \}.$$

Note that $R_n(\mathcal{B})$ is equal to the distance in (B, d_B) from b_n to $B \setminus \{b_n\}$.

The following example of telescope base will be used later.

DEFINITION 3.2. — Define a function $r : \mathbb{N} \cup \{\infty\} \to \mathbb{R}$ by $r_i = 2^{-i}$, and by $r_{\infty} = 0$. Let

$$R = \{ r_i \mid i \in \mathbb{N} \cup \{\infty\} \},\$$

and let d_R be the metric on R induced from \mathbb{R} . The triple $\mathcal{R} = (R, d_R, r)$ is a telescope base. Note that $R_n(\mathcal{R}) = 2^{-n-1}$ for each $n \in \mathbb{N}$.

We define the telescope spaces.

DEFINITION 3.3. — Let $\mathcal{X} = \{(X_i, d_i)\}_{i \in \mathbb{N}}$ be a countable family of metric spaces. Let $\mathcal{B} = (B, d_B, b)$ be a telescope base. We say that $\mathcal{P} = (\mathcal{X}, \mathcal{B})$ is a compatible pair if for each $n \in \mathbb{N}$ we have diam $(X_n) \leq R_n(\mathcal{B})$. Let $\mathcal{P} = (\mathcal{X}, \mathcal{B})$ be a compatible pair. Put

$$T(\mathcal{P}) = \{\infty\} \sqcup \coprod_{i \in \mathbb{N}} X_i,$$

and define a metric $d_{\mathcal{P}}$ on $T(\mathcal{P})$ by

$$d_{\mathcal{P}}(x,y) = \begin{cases} d_i(x,y) & \text{if } x, y \in X_i \text{ for some } i, \\ d_B(b_i,b_j) & \text{if } x \in X_i, y \in X_j \text{ for some } i \neq j, \\ d_B(b_{\infty},b_i) & \text{if } x = \infty, y \in X_i \text{ for some } i, \\ d_B(b_i,b_{\infty}) & \text{if } x \in X_i, y = \infty \text{ for some } i. \end{cases}$$

We call the metric space $(T(\mathcal{P}), d_{\mathcal{P}})$ the telescope space of \mathcal{P} .

Notice that the compatibility of \mathcal{P} guarantees the triangle inequality of the metric $d_{\mathcal{P}}$ on $T(\mathcal{P})$. By the compatibility, we have:

LEMMA 3.4. — Let $\mathcal{P} = (\mathcal{X}, \mathcal{B})$ be a compatible pair. If \mathcal{X} and \mathcal{B} consist of ultrametric spaces, then the telescope space $(T(\mathcal{P}), d_{\mathcal{P}})$ is an ultrametric space.

By the Brouwer Theorem 2.1, we see the following:

LEMMA 3.5. — Let $\mathcal{P} = (\mathcal{X}, \mathcal{B})$ be a compatible pair. If the family \mathcal{X} consists of Cantor spaces, then $(T(\mathcal{P}), d_{\mathcal{P}})$ is also a Cantor space.

From the definitions we can derive the following, which provides a method of constructing Lipschitz maps between telescope spaces.

PROPOSITION 3.6. — Let $\mathcal{P} = (\mathcal{X}, \mathcal{B})$ and $\mathcal{Q} = (\mathcal{Y}, \mathcal{C})$ be compatible pairs of $\mathcal{X} = \{(X_i, d_i)\}_{i \in \mathbb{N}}$ and $\mathcal{B} = (B, d_B, b)$ and of $\mathcal{Y} = \{(Y_i, e_i)\}_{i \in \mathbb{N}}$ and $\mathcal{C} = (C, d_C, c)$, respectively. Let $\{f_i : X_i \to Y_i\}_{i \in \mathbb{N}}$ be a family of M-Lipschitz maps. Assume that the map $\phi : B \to C$ defined by $\phi = c \circ b^{-1}$ is also M-Lipschitz. Let $F: T(\mathcal{P}) \to T(\mathcal{Q})$ be a map defined by

$$F(x) = \begin{cases} f_i(x) & \text{if } x \in X_i \text{ for some } i, \\ \infty & \text{if } x = \infty. \end{cases}$$

Then F is M-Lipschitz.

Furthermore, we have:

COROLLARY 3.7. — Under the same setting as in Proposition 3.6, if all the maps $f_i : X_i \to Y_i$ and ϕ are *M*-bi-Lipschitz, then the map *F* is *M*-bi-Lipschitz.

On the doubling property, we have:

PROPOSITION 3.8. — Let $\mathcal{P} = (\mathcal{X}, \mathcal{B})$ be a compatible pair of a family $\mathcal{X} = \{(X_i, d_i)\}_{i \in \mathbb{N}}$ and a telescope base $\mathcal{B} = (B, d_B, b)$ such that

- (1) there exists $N \in \mathbb{N}$ for which each (X_i, d_i) is N-doubling;
- (2) (B, d_B) is doubling.

Then the telescope space $(T(\mathcal{P}), d_{\mathcal{P}})$ is doubling.

Proof. — Before proving the proposition, we note that for an arbitrary N-doubling space (X, d), the ball B(x, r) in X can be covered by at most N^2 balls with radius r/2 which centers are in B(x, r).

We may assume that (B, d_B) is N-doubling. We prove that $(T(\mathcal{P}), d_{\mathcal{P}})$ is (N^3) -doubling. Namely, for each $x \in T(\mathcal{P})$ and for each $r \in (0, \infty)$, the ball B(x, r) in $(T(\mathcal{P}), d_{\mathcal{P}})$ can be covered by at most N^3 closed balls with radius r/2.

Take $n \in \mathbb{N} \cup \{\infty\}$ with $x \in X_n$, where we put $X_{\infty} = \{\infty\}$. It suffices to consider the case where B(x, r) is not contained in X_n . By the definition of $d_{\mathcal{P}}$, we have

 $B(b_n, r) = \{b_i \in B \mid X_i \subset B(x, r)\},\$

where $B(b_n, r)$ is the ball in (B, d_B) . By the definition of $d_{\mathcal{P}}$, we obtain

(3.1)
$$B(x,r) = \bigcup_{b_i \in B(b_n,r)} X_i.$$

Since (B, d_B) is N-doubling, there exist N^2 points $b_{n_1}, \ldots, b_{n_{N^2}}$ in $B(b_n, r)$ with

$$B(b_n, r) \subset \bigcup_{i=1}^{N^2} B(b_{n_i}, r/2).$$

For each $i \in \{1, \ldots, N^2\}$, by $b_{n_i} \in B(b_n, r)$, we have $R_{n_i}(\mathcal{B}) \leq r$, and hence diam $(X_{n_i}) \leq r$.

For each $i \in \{1, 2, \dots N^2\}$, take $q_i \in X_{n_i}$. Let

$$S = \{ b_{n_i} \mid B(q_i, r/2) \subset X_{n_i} \}.$$

If $b_{n_i} \notin S$, then $X_{n_i} \subset B(q_i, r/2)$. Hence by the definition of $d_{\mathcal{P}}$, we have

(3.2)
$$B(q_i, r/2) = \bigcup_{b_j \in B(b_{n_i}, r/2)} X_j.$$

If $b_{n_i} \in S$, then $B(b_{n_i}, r/2) = \{b_{n_i}\}$. Thus, by (3.1) and (3.2),

(3.3)
$$B(x,r) \setminus \left(\bigcup_{b_{n_i} \notin S} B(q_i, r/2)\right) \subset \bigcup_{b_{n_i} \in S} X_{n_i}.$$

Since diam $(X_{n_i}) \leq r$, we have $X_{n_i} \subset B(q_i, r)$. By the N-doubling property of X_{n_i} , we can take q_{i1}, \dots, q_{iN} in X_{n_i} with

$$X_{n_i} \subset \bigcup_{j=1}^N B(q_{ij}, r/2)$$

Hence by (3.3) we obtain

$$B(x,r) \subset \bigcup_{i \notin S} B(q_i, r/2) \cup \bigcup_{i \in S} \bigcup_{j=1}^N B(q_{ij}, r/2).$$

Therefore $(T(\mathcal{P}), d_{\mathcal{P}})$ is (N^3) -doubling.

On the uniform disconnectedness, we have:

PROPOSITION 3.9. — Let $\mathcal{P} = (\mathcal{X}, \mathcal{B})$ be a compatible pair of a family $\mathcal{X} = \{(X_i, d_i)\}_{i \in \mathbb{N}}$ and a telescope base $\mathcal{B} = (B, d_B, b)$ such that

- (1) there exists $\delta \in (0, 1)$ for which each (X_i, d_i) is δ -uniformly disconnected;
- (2) (B, d_B) is uniformly disconnected.

Then the telescope space $(T(\mathcal{P}), d_{\mathcal{P}})$ is uniformly disconnected.

Proof. — We may assume that (B, d_B) is δ -uniformly disconnected. By Proposition 2.6, there exists a telescope base $\mathcal{C} = (C, d_C, c)$ such that (C, d_C) is an ultrametric space and the map $\phi = c \circ b^{-1}$ is δ^{-1} -bi-Lipschitz (see Remark 2.7). Note that for each $i \in \mathbb{N}$ we have

$$\delta R_i(\mathcal{C}) \leqslant R_i(\mathcal{B}) \leqslant \delta^{-1} R_i(\mathcal{C}).$$

Similarly, there exist a family $\{(Y_i, e_i)\}_{i \in \mathbb{N}}$ of ultrametric spaces and a family $\{f_i : X_i \to Y_i\}_{i \in \mathbb{N}}$ of δ^{-1} -bi-Lipschitz maps. Note that

$$\delta \operatorname{diam}(X_i) \leq \operatorname{diam}(Y_i) \leq \delta^{-1} \operatorname{diam}(X_i).$$

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Hence diam $(Y_i) \leq \delta^{-2}R_i(\mathcal{C})$. Let $\mathcal{Y} = \{(Y_i, \delta^2 e_i)\}_{i \in \mathbb{N}}$. Then \mathcal{Y} and \mathcal{C} are compatible. Since each f_i is δ^{-3} -bi-Lipschitz between $(X_i.d_i)$ and $(Y_i, \delta^2 e_i)$, Lemma 3.4 and Corollary 3.7 complete the proof.

On the uniform perfectness, we have:

PROPOSITION 3.10. — Assume that a countable family $\mathcal{X} = \{(X_i, d_i)\}_{i \in \mathbb{N}}$ of metric spaces satisfies the following:

- (1) the family \mathcal{X} and the telescope base $\mathcal{R} = (R, d_R, r)$ defined in Definition 3.2 are compatible;
- (2) there exists $\rho \in (0, 1]$ such that for each $i \in \mathbb{N}$ the space (X_i, d_i) is ρ -uniformly perfect;
- (3) there exists $M \in (0, \infty)$ such that for each $i \in \mathbb{N}$

$$M \cdot 2^{-i} \leq \operatorname{diam}(X_i).$$

Then for the compatible pair $\mathcal{P} = (\mathcal{X}, \mathcal{R})$ the telescope space $(T(\mathcal{P}), d_{\mathcal{P}})$ is uniformly perfect.

Proof. — By the assumption, for each $i \in \mathbb{N}$, the space X_i has at least two points. Note that $\operatorname{diam}(T(\mathcal{P})) = 2^{-1}$. We are going to prove that $(T(\mathcal{P}), d_{\mathcal{P}})$ is η -uniformly perfect, where

$$\eta = \min\left\{\frac{1}{4}, \frac{M\rho}{2}\right\}.$$

Namely, we verify that for each $x \in X$ and for each $r \in (0, 2^{-1})$, the set $B(x, r) \setminus U(x, \eta r)$ is non-empty.

CLAIM 1. — If $B(x,r) = T(\mathcal{P})$, then $B(x,r) \setminus U(x,\eta r)$ is non-empty.

Proof. — Since diam $(U(x,\eta r)) < \text{diam}(T(\mathcal{P}))$, the set $U(x,\eta r)$ is a proper subset of B(x,r).

CLAIM 2. — If $B(x,r) \neq T(\mathcal{P})$ and $x = \infty$, then $B(x,r) \setminus U(x,\eta r)$ is non-empty.

Proof. — Take $m \in \mathbb{N}$ with $r \in [2^{-m}, 2^{-m+1})$. Then $X_m \subset B(x, r)$. From $\eta r < 2^{-m}$, it follows that $X_m \subset B(\infty, r) \setminus U(\infty, \eta r)$.

CLAIM 3. — If $B(x,r) \neq T(\mathcal{P})$ and $x \in X_1$, then $B(x,r) \setminus U(x,\eta r)$ is non-empty.

Proof. — By the assumption, we have $r \in (0, M^{-1} \cdot \operatorname{diam}(X_1))$. Thus by Lemma 2.10 and $\eta \leq (M\rho)/2$, the set $B(x,r) \setminus U(x,\eta r)$ is non-empty. \Box

CLAIM 4. — If $B(x,r) \neq T(\mathcal{P})$ and $x \in X_n$ for some $n \geq 2$, then $B(x,r) \setminus U(x,\eta r)$ is non-empty.

Proof. — Note that $d_{\mathcal{P}}(\infty, x) = 2^{-n}$ and $d_{\mathcal{P}}(x, X_1) = 2^{-1} - 2^{-n}$. Then by $B(x, r) \neq T(\mathcal{P})$, we have $2^{-n} + r < 2^{-1}$. Hence there exists a positive integer $k \leq n$ with $2^{-n} + r \in [2^{-k}, 2^{-k+1})$. We divide the present situation into the following two cases.

First assume $k \leq n-1$. Take $y \in X_k$. Then we have

$$d_{\mathcal{P}}(x,y) = 2^{-k} - 2^{-n} \ge 2^{-k} - 2^{-k-1} = 2^{-k-1},$$

and

$$r < 2^{-k+1} - 2^{-n} < 2^{-k+1}.$$

Hence $\eta r \leq r/4 < d_{\mathcal{P}}(x, y)$. Therefore $y \in B(x, r) \setminus U(x, \eta r)$.

Second assume k = n. In this case, we have $r < 2^{-n}$, and hence

$$r \leqslant \frac{1}{M} \operatorname{diam}(X_n).$$

By Lemma 2.10 and $\eta \leq (M\rho)/2$, the set $B(x,r) \setminus U(x,\eta r)$ is nonempty.

 \square

This finishes the proof of Proposition 3.10.

4. Spike Spaces

In this section, we study the existence of the spike spaces defined in Definition 1.5 for the quasi-symmetric invariant properties, D, UD and UP.

First we study the existence of a *D*-spike Cantor metric space. Before doing that, we give a criterion of the doubling property.

DEFINITION 4.1. — For $n \in \mathbb{N}$ and for $l \in (0, \infty)$, we say that a metric space (X, d) is (n, l)-discrete if $\operatorname{card}(X) = n$ and the metric d satisfies

$$d(x,y) = \begin{cases} 0 & \text{if } x = y, \\ l & \text{if } x \neq y \end{cases}$$

for all $x, y \in X$. A metric space (X, d) is n-discrete if it is (n, l)-discrete for some l.

LEMMA 4.2. — If for each $n \in \mathbb{N}$ a metric space (X, d) has an *n*-discrete subspace, then (X, d) is not doubling.

Proof. — Let (D_n, e_n) be an *n*-discrete subspace of (X, d). Choose $l_n \in (0, \infty)$ such that (D_n, e_n) is (n, l_n) -discrete. For every $p \in D_n$, the subspace D_n is contained in $B(p, l_n)$, and D_n is $(l_n/2)$ -separated in $B(p, l_n)$. Since $\operatorname{card}(D_n) = n$, by Lemma 2.4, the space (X, d) is not doubling. □

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We construct a D-spike Cantor metric space.

PROPOSITION 4.3. — There exists a D-spike Cantor metric space of type (0, 1, 1).

Proof. — For each $n \in \mathbb{N}$, take *n* disjoint copies $\Gamma_1, \ldots, \Gamma_n$ of the middlethird Cantor set Γ , and define a set Z_n by

$$Z_n = \coprod_{i=1}^n \Gamma_i,$$

and define a metric e_n on Z_n by

$$e_n(x,y) = \begin{cases} d_{\Gamma_i}(x,y) & \text{if } x, y \in \Gamma_i \text{ for some } i, \\ 1 & \text{otherwise.} \end{cases}$$

Note that for each $n \in \mathbb{N}$, the space (Z_n, e_n) is a Cantor space. The family $\mathcal{Z} = \{(Z_i, 2^{-i-1} \cdot e_i)\}_{i \in \mathbb{N}}$ and the telescope base \mathcal{R} defined in Definition 3.2 form a compatible pair.

Let $\mathcal{P} = (\mathcal{Z}, \mathcal{R})$. By Lemma 3.5, the telescope space $(T(\mathcal{P}), d_{\mathcal{P}})$ is a Cantor space. We first show that $(T(\mathcal{P}), d_{\mathcal{P}})$ is a *D*-spike space. For each neighborhood N of ∞ , there exists $k \in \mathbb{N}$ such that n > k implies $Z_n \subset N$. Then N has an n-discrete subspace for all sufficiently large n. By Lemma 4.2, the subspace N is not doubling. Since for each $i \in \mathbb{N}$ the space (Z_i, e_i) is doubling, $S_D((T(\mathcal{P}), d_{\mathcal{P}})) = \{\infty\}$. Hence $(T(\mathcal{P}), d_{\mathcal{P}})$ is a D-spike space.

We next show that $(T(\mathcal{P}), d_{\mathcal{P}})$ has type (0, 1, 1). Since (Γ, d_{Γ}) is δ uniformly disconnected for $\delta \in (0, 3/5)$ (see Remark 2.9), each (Z_i, e_i) is δ -uniformly disconnected. The space (R, d_R) is uniformly disconnected. Then Proposition 3.9 implies that $(T(\mathcal{P}), d_{\mathcal{P}})$ is uniformly disconnected. By Lemmas 2.10 and 2.12, for each $i \in \mathbb{N}$, the space (Z_i, d_i) is (1/20)-uniformly perfect. Therefore by Lemma 3.10, the space $(T(\mathcal{P}), d_{\mathcal{P}})$ is uniformly perfect.

Second we study the existence of a UD-spike Cantor metric space. To do this, we need the following:

LEMMA 4.4. — For $\rho \in (0, \infty)$, let $\{(X_i, d_i)\}_{i=0}^n$ be a finite family of compact subspaces of $(\mathbb{R}, d_{\mathbb{R}})$ satisfying the following:

- (1) each (X_i, d_i) is ρ -uniformly perfect;
- (2) diam $(X_i) = 1$ for all i;
- (3) $d_{\mathbb{R}}(X_i, X_j) = 1$ for all distint i, j.

Then the subspace $\bigcup_{i=0}^{n} X_i$ of \mathbb{R} is min $\{1/3, \rho/4\}$ -uniformly perfect.

Proof. — We may assume that

$$\{2i, 2i+1\} \subset X_i \subset [2i, 2i+1]$$

for each *i*. Set $\nu = \min\{1/3, \rho/4\}$. Take $x \in X$ and $r \in (0, \operatorname{diam}(X))$. We show that $B(x, r) \setminus U(x, \nu r)$ is non-empty. If B(x, r) = X, then $\operatorname{diam}(U(x, \nu r))$ is smaller than $(2/3)\operatorname{diam}(X)$; in particular, the set $B(x, r) \setminus U(x, \nu r)$ is non-empty. Suppose $B(x, r) \neq X$. Then either 0 < x - r or x + r < 2n + 1 holds. The case of 0 < x - r can be reduced to the case of x + r < 2n + 1 through the map defined by $t \mapsto -t + 2n + 1$. Hence it is enough to consider the case of x + r < 2n + 1. Let m be an integer with $x \in X_m$. Take a positive integer k with $x + r \in [k-1, k)$ so that $k \ge 2m + 1$. If $k - (2m + 1) \ge 2$, then

$$x + \nu r \leqslant x + \frac{1}{3}r < \frac{2}{3}(2m + 1) + \frac{1}{3}k < k - 1,$$

and hence $k - 1 \in B(x,r) \setminus U(x,\nu r)$. If k - (2m + 1) = 1, then $r < 2 \operatorname{diam}(X_m)$, and hence Lemma 2.10 implies that $B(x,r) \setminus U(x,\nu r)$ is nonempty. If k = 2m + 1, then $r < \operatorname{diam}(X_m)$, and hence the ρ -uniformly perfectness of X_m and $\nu \leq \rho$ lead to the desired conclusion. This finishes the proof.

For a subset S of \mathbb{R} , and for real numbers a, b, we denote by aS + b the set $\{ax + b \mid x \in S\}$.

We construct a UD-spike Cantor metric space.

PROPOSITION 4.5. — There exists a UD-spike Cantor metric space of type (1,0,1).

Proof. — For each $n \in \mathbb{N}$, we define a subset F_n of \mathbb{R} by

$$F_n = \frac{2^{-n-1}}{(2n-1)} \left(\bigcup_{i=0}^{n-1} (2i+\Gamma) \right),\,$$

and we denote by e_n the metric on F_n induced from $d_{\mathbb{R}}$, where Γ is the middle-third Cantor set. Note that F_n has a non-trivial (1/(2n-1))-chain. By Lemma 2.12, the middle-third Cantor set (Γ, d_{Γ}) is (1/5)-uniformly perfect. Using Lemma 4.4, we see that the space (F_n, e_n) is (1/20)-uniformly perfect. Note that diam $(F_n) = 2^{-n-1}$. Then the family $\mathcal{F} = \{(F_i, e_i)\}_{i \in \mathbb{N}}$ and the telescope base \mathcal{R} defined in Definition 3.2 is compatible.

Let $\mathcal{P} = (\mathcal{F}, \mathcal{R})$. By Lemma 3.5, the telescope space $(T(\mathcal{P}), d_{\mathcal{P}})$ is a Cantor space. We prove that $(T(\mathcal{P}), d_{\mathcal{P}})$ is a desired space. From the construction of $(T(\mathcal{P}), d_{\mathcal{P}})$, it follows that each neighborhood of ∞ has a non-trivial (1/(2n-1))-chain for every sufficiently large n. Hence $(T(\mathcal{P}), d_{\mathcal{P}})$

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is not uniformly disconnected. A small enough neighborhood of an arbitrary point except ∞ is bi-Lipschitz equivalent to some open set of (Γ, d_{Γ}) . Hence $S_{UD}(T(\mathcal{P}), d_{\mathcal{P}}) = \{\infty\}$. This implies that $(T(\mathcal{P}), d_{\mathcal{P}})$ is a *UP*-spike space. By Propositions 3.8 and 3.10, the space $(T(\mathcal{P}), d_{\mathcal{P}})$ is doubling and uniformly perfect. Therefore $(T(\mathcal{P}), d_{\mathcal{P}})$ has type (1, 0, 1).

Third we study the existence of a UP-spike Cantor metric space.

PROPOSITION 4.6. — There exists a compatible pair \mathcal{P} such that the space $(T(\mathcal{P}), d_{\mathcal{P}})$ is a UP-spike Cantor metric space of type (1, 1, 0) satisfying the following: For each $\rho \in (0, \infty)$ there exists $r \in (0, \operatorname{diam}(T(\mathcal{P})))$ with

$$B(\infty, r) \setminus U(\infty, \rho r) = \emptyset;$$

in particular, $S_{UP}(T(\mathcal{P}), d_{\mathcal{P}}) = \{\infty\}.$

Proof. — Define a function $v : \mathbb{N} \cup \{\infty\} \to \mathbb{R}$ by $v_n = (n!)^{-1}$ if $n \in \mathbb{N}$, and $v_{\infty} = 0$. Put $V = \{0\} \cup \{v_n \mid n \in \mathbb{N}\}$. Let d_V be the metric on Vinduced from $d_{\mathbb{R}}$. Then $\mathcal{V} = (V, d_V, v)$ is a telescope base. For each $i \in \mathbb{N}$, let

$$G_i = \frac{1}{(i+1)!} \Gamma,$$

and let d_i be the metric on G_i induced from $d_{\mathbb{R}}$. Since $R_i(\mathcal{V}) \ge 1/(i+1)!$, the pair of $\mathcal{G} = \{(G_i, d_i)\}_{i \in \mathbb{N}}$ and \mathcal{V} is compatible.

Let $\mathcal{P} = (\mathcal{G}, \mathcal{V})$. By Lemma 3.5, the telescope space $(T(\mathcal{P}), d_{\mathcal{P}})$ is a Cantor space. We prove that $(T(\mathcal{P}), d_{\mathcal{P}})$ is a desired space. For each $\rho \in (0, 1)$, take $n \in \mathbb{N}$ with $\rho > 2/(n+1)$. Then by the definition of $d_{\mathcal{P}}$ we have

$$B\left(\infty, \frac{1}{2n!}\right) = B\left(\infty, \frac{\rho}{2n!}\right).$$

Hence $\infty \in S_{UD}(T(\mathcal{P}), d_{\mathcal{P}})$. If $x \neq \infty$, then x has a neighborhood which is Lipschitz equivalent to the middle-third Cantor set (Γ, d_{Γ}) . This implies that $S_{UD}(T(\mathcal{P}), d_{\mathcal{P}}) = \{\infty\}$. Therefore $(T(\mathcal{P}), d_{\mathcal{P}})$ is a UD-spike space. Since (V, d_V) is doubling and uniformly disconnected, by Propositions 3.8 and 3.9, the space $(T(\mathcal{P}), d_{\mathcal{P}})$ is doubling and uniformly disconnected. Therefore $(T(\mathcal{P}), d_{\mathcal{P}})$ has type (1, 1, 0).

Remark 4.7. — There exists a compatible pair \mathcal{P} such that the space $(T(\mathcal{P}), d_{\mathcal{P}})$ is a UP-spike Cantor metric space of type (1, 1, 0) satisfying:

- (1) $S_{UP}(X,d) = \{\infty\};$
- (2) there exists $\rho \in (0, 1]$ such that for each $r \in (0, \operatorname{diam}(T(\mathcal{P})))$

$$B(\infty, r) \setminus U(\infty, \rho r) \neq \emptyset.$$

Remark 4.8. — Using the constructions of D, UD or UP-spike Cantor metric spaces discussed above, for each exotic type (u, v, w), we can obtain the Cantor metric space $(T(\mathcal{P}), d_{\mathcal{P}})$ of type (u, v, w) such that $S_P(T(\mathcal{P}), d_{\mathcal{P}}) = \{\infty\}$ for all $P \in \{D, UD, UP\}$ with $T_P(T(\mathcal{P}), d_{\mathcal{P}}) = 0$.

5. Abundance of Exotic Cantor Metric Spaces

In this section, we prove Theorem 1.2.

5.1. Leafy Cantor Spaces

Let X be a topological space, and let A be a subset of X. We denote by D(A) the derived set of A consisting of all accumulation points of A in X. For $k \in \mathbb{N} \cup \{0\}$, we denote by $D^k(A)$ the k-th derived set of A inductively defined as $D^k(A) = D(D^{k-1}(A))$, where $D^0(A) = A$. Recall that A is perfect in X if and only if D(A) = A.

DEFINITION 5.1. — We say that $x \in X$ is a perfect point of X if there exists a perfect neighborhood of x in X. We denote by P(X) the set of all perfect points of X, and call P(X) the perfect part of X.

Notice that X is perfect in X if and only if P(X) = X.

DEFINITION 5.2. — We say that X is anti-perfect if P(X) is empty; in other words, each open set of X has an isolated point.

We introduce the following:

DEFINITION 5.3. — For $n \in \mathbb{N}$, we say that a topological space X is an *n*-leafy Cantor space if X satisfies the following:

- (1) X is a 0-dimensional compact metrizable space;
- (2) $D^k(X)$ is anti-perfect for all k < n;
- (3) $D^n(X)$ is a Cantor space.

In order to prove the existence of leafy Cantor spaces, we refer to a construction of the middle-third Cantor set by using the iterating function system.

DEFINITION 5.4. — Let S be a compact subset of \mathbb{R} with $(1/3)S \subset S$ and diam $(S) \leq 2^{-1}$. Let $f_0(x) = (1/3)x$ and $f_1(x) = (1/3)x + (2/3)$. We inductively define a family $\{V_i(S)\}_{i \in \mathbb{N} \cup \{0\}}$ of subsets of \mathbb{R} by

 $V_0(S) = (-S) \cup (1+S), \quad V_{i+1}(S) = f_0(V_i(S)) \cup f_1(V_i(S)).$

Put

$$L(S) = \bigcup_{i \in \mathbb{N}} V_i(S),$$

and $\Lambda(S) = \operatorname{CL}_{\mathbb{R}}(L(S))$, where $\operatorname{CL}_{\mathbb{R}}$ is the closure operator in \mathbb{R} .

Remark 5.5. — The construction in Definition 5.4 contains the middlethird Cantor set. Namely, we have $\Lambda(\{0\}) = \Gamma$.

By definition, we have the following:

LEMMA 5.6. — Let S be a compact subset of \mathbb{R} with $(1/3)S \subset S$ and diam $(S) \leq 2^{-1}$. Then for each $n \in \mathbb{N} \cup \{0\}$ we have

$$D^{n}(\Lambda(S)) = \Gamma \cup L(D^{n}(S)).$$

We verify the existence of leafy Cantor spaces.

PROPOSITION 5.7. — For every $n \in \mathbb{N}$, there exists an *n*-leafy Cantor space.

Proof. — Put $S = \{0\} \cup \{3^{-i} \mid i \in \mathbb{N} \cup \{0\}\}$. We inductively define a family $\{S_i\}_{i \in \mathbb{N}}$ of subsets of \mathbb{R} by

$$S_1 = S, \quad S_{i+1} = S_i + S.$$

Then $D(S_1) = \{0\}$. For each $n \in \mathbb{N}$, we have $D(S_n) = S_{n-1}$, and hence $D^n(S_n) = \{0\}$. Let $T_n = (1/2n) \cdot S_n$. Note that T_n is a compact subset of \mathbb{R} and satisfies $(3^{-1}) \cdot T_n \subset T_n$ and diam $(T_n) = 2^{-1}$. Then we can define the space $\Lambda(T_n)$ for T_n (see Definition 5.4). By Lemma 5.6, we conclude that $\Lambda(T_n)$ is surely an *n*-leafy Cantor space. \Box

5.2. Topological Observation

For a topological space X, let $\mathcal{C}(X)$ be the set of all closed sets in X, and let $\mathcal{H}(X)$ be the quotient set $\mathcal{C}(X)/\approx$ of $\mathcal{C}(X)$ divided by \approx , where the symbol \approx denotes the homeomorphic relation on $\mathcal{C}(X)$.

DEFINITION 5.8. — For each $n \in \mathbb{N}$, by Proposition 5.7, there exists an *n*-leafy Cantor space Λ_n . We may assume $\Lambda_n \subset [2^{-2n}, 2^{-2n+1}]$. Note that if $n \neq m$, then $\Lambda_n \cap \Lambda_m$ is empty. Let I be the set of all points $x \in 2^{\mathbb{N}}$ such that $\operatorname{card}(\{i \in \mathbb{N} \mid x_i = 1\})$ is infinite. Note that $\operatorname{card}(I) = 2^{\aleph_0}$. For each $x \in I$, we define

$$\Xi(x) = \{0\} \cup \bigcup_{x_i=1} \Lambda_i.$$

Then $\Xi(x)$ is a 0-dimensional compact metrizable space. Since a 0-dimensional compact metrizable space can be topologically embedded into the middle-third Cantor set Γ ([11], see e.g., [5, Theorem 2 in §26.IV]), the space $\Xi(x)$ can be considered as a closed subspace of Γ . Thus we obtain a map $\Xi: I \to C(\Gamma)$ by assigning each point $x \in I$ to the space $\Xi(x)$.

Remark 5.9. — Since each Λ_i is anti-perfect, so is $\Xi(x)$ for each $x \in I$.

The following proposition is key to prove Theorem 1.2.

PROPOSITION 5.10. — The map $[\Xi] : I \to \mathcal{H}(\Gamma)$ defined by $[\Xi](x) = [\Xi(x)]$ is injective, where $[\Xi(x)]$ stands for the equivalence class of $\Xi(x)$.

Proof. — We inductively define a family $\{A_i\}_{i \in \mathbb{N}}$ of topological operations by

$$A_1(X) = P(D(X)), \quad A_i(X) = P(D(D^{i-1}(X) \setminus P(D^{i-1}(X))))$$

if $i \ge 2$. By definition, if X and Y are homeomorphic, then so are $A_i(X)$ and $A_i(Y)$ for each $i \in \mathbb{N}$.

If $i \in \mathbb{N}$ satisfies $x_i = 1$, then the space Λ_i is an open set in $\Xi(x)$. Note that for each $k \in \mathbb{N}$, we have

$$D^{k}(\Xi(x)) = \{0\} \cup \bigcup_{x_i=1} D^{k}(\Lambda_i).$$

Since each Λ_i is an *i*-leafy Cantor space, any neighborhood of 0 in $D^k(\Xi(x))$ has an isolated point, and hence

$$P(D^{k}(\Xi(x))) = \bigcup_{x_{i}=1, i \leq k} D^{i}(\Lambda_{i}).$$

This implies that if $k \ge 2$, then

$$D(D^{k-1}(X) \setminus P(D^{k-1}(X))) = \{0\} \cup \bigcup_{x_i=1, i \ge k} D^k(\Lambda_i).$$

From the argument discussed above, it follows that if $n \in \mathbb{N}$ satisfies $x_n = 1$, then $A_n(\Xi(x)) = D^n(\Lambda_n)$, and hence $A_n(\Xi(x)) \approx \Gamma$; if $n \in \mathbb{N}$ satisfies $x_n = 0$, then $A_n(\Xi(x)) = \emptyset$. Therefore, if $x, y \in I$ satisfy $x \neq y$, then $\Xi(x) \not\approx \Xi(y)$. Namely, the map $[\Xi] : I \to \mathcal{H}(\Gamma)$ is injective. \Box

As an application of Proposition 5.10, we have:

COROLLARY 5.11. — For the middle-third Cantor set Γ , we have $\operatorname{card}(\mathcal{H}(\Gamma)) = 2^{\aleph_0}.$

Proof. — From the second countability of Γ , we have $\operatorname{card}(\mathcal{H}(\Gamma)) \leq 2^{\aleph_0}$. By Proposition 5.10, we conclude $\operatorname{card}(\mathcal{H}) \geq 2^{\aleph_0}$.

Since an uncountable polish space contains a Cantor space as a subspace (see e.g., [4, Corollary 6.5]), we obtain:

COROLLARY 5.12. — Let X be an uncountable polish space. Then we have $\operatorname{card}(\mathcal{H}(X)) = 2^{\aleph_0}$.

5.3. Proof of Theorem 1.2

Let P be a property of metric spaces, and let (X, d) be a metric space. Recall that $S_P(X, d)$ is the set of all points in X of which no neighborhoods satisfy P (see Definition 1.3).

LEMMA 5.13. — For a property P of metric spaces, and for metric spaces (X, d) and (Y, e), we have

$$S_P(X \sqcup Y, d \sqcup e) = S_P(X, d) \sqcup S_P(Y, e).$$

Lemmas 2.19, 2.20 and 2.21 imply:

LEMMA 5.14. — Let (X, d_X) and (Y, d_Y) be metric spaces of type (v_1, v_2, v_3) and of type (w_1, w_2, w_3) , respectively. Then $(X \sqcup Y, d_X \sqcup d_Y)$ has type $(v_1 \land w_1, v_2 \land w_2, v_3 \land w_3)$.

This leads to the following:

LEMMA 5.15. — For each $(v_1, v_2, v_3) \in \{0, 1\}^3$, there exists a Cantor metric space of type (v_1, v_2, v_3) .

Proof. — Notice that the set $\{(1,1,1), (1,0,1), (0,1,1), (1,1,0)\}$ generates $\{0,1\}^3$ by the minimum operation ∧. By Propositions 4.3, 4.5, and 4.6, we already obtain Cantor metric spaces whose types are (1,1,1), (0,1,1), (1,0,1) or (1,1,0). Therefore Lemma 5.14 completes the proof. \Box

By Lemmas 2.13 and 2.14, we see the following:

LEMMA 5.16. — Let (A, d_A) be a closed metric subspace of (Γ, d_{Γ}) . Let *P* stand for either D or UD. Let (X, d_X) be a *P*-spike Cantor metric space with $S_P(X, d_X) = \{x\}$. Then $(X \times A, d_X \times d_A)$ is a Cantor space such that $S_P(X \times A, d_X \times d_A) = \{x\} \times A$. In particular, $S_P(X \times A, d_X \times d_A) \approx A$.

Let $\mathcal{H} = \{ \Xi(x) \mid x \in I \}$, where $\Xi : I \to \mathcal{C}(\Gamma)$ is the map defined in Definition 5.8. Then \mathcal{H} satisfies the following:

- (1) every $A \in \mathcal{H}$ is anti-perfect (see Remark 5.9); in other words, the set of all isolated points of A is dense in A;
- (2) if $A, B \in \mathcal{H}$ satisfy $A \neq B$, then $A \not\approx B$ (see Proposition 5.10).

Since $\operatorname{card}(I) = 2^{\aleph_0}$, we have $\operatorname{card}(\mathcal{H}) = 2^{\aleph_0}$.

LEMMA 5.17. — Let (X, d_X) be a UP-spike Cantor metric space mentioned in Proposition 4.6. Then for every $A \in \mathcal{H}$, the space $(X \times A, d_X \times d_A)$ is a Cantor space such that $S_{UP}(X \times A, d_X \times d_A) = \{\infty\} \times A$. In particular, $S_{UP}(X \times A, d_X \times d_A) \approx A$.

Proof. — Each point in X except ∞ has a uniformly perfect neighborhood. By Lemma 2.15, each point in $(X \setminus \{\infty\}) \times A$ has a uniformly perfect neighborhood. If $y \in A$ is an isolated point of A, then for sufficiently small $r \in (0, \infty)$ the closed ball $B((\infty, y), r)$ in $X \times A$ is isometric to $B(\infty, r)$ in X. In this case, each neighborhood of (∞, y) is not uniformly perfect, and hence $(\infty, y) \in S_{UP}(X \times A, d_X \times d_A)$. If y is an accumulation point of A, then a neighborhood U of (∞, y) contains a point (∞, z) for some isolated point z in A. Thus U is not uniformly perfect, and hence $(\infty, y) \in S_{UP}(X \times A, d_X \times d_A)$. Therefore $S_{UP}(X \times A, d_X \times d_A) = \{\infty\} \times A$. □

Proof of Theorem 1.2. — By Propositions 4.3 and 4.5, we can take a *D*-spike Cantor metric space (F, d_F) of type (0, 1, 1), and a *UD*-spike Cantor metric space (G, d_G) of type (1, 0, 1). Let (H, d_H) be a *UP*-spike Cantor metric space of type (1, 1, 0) stated in Proposition 4.6. For each $(u, v, w) \in \{0, 1\}^3$, we can certainly take a Cantor metric space (L_{uvw}, d_{uvw}) of type (u, v, w) as seen in Lemma 5.15. We define three maps $f_{0vw} : \mathcal{H} \to \mathcal{M}(0, v, w), g_{u0w} : \mathcal{H} \to \mathcal{M}(u, 0, w)$ and $h_{uv0} : \mathcal{H} \to \mathcal{M}(u, v, 0)$ as follows:

$$f_{0vw}(A) = \mathcal{G}((F \times A) \sqcup L_{1vw}, (d_F \times d_A) \sqcup d_{1vw}),$$

$$g_{u0w}(A) = \mathcal{G}((G \times A) \sqcup L_{u1w}, (d_G \times d_A) \sqcup d_{u1w}),$$

$$h_{uv0}(A) = \mathcal{G}((H \times A) \sqcup L_{uv1}, (d_H \times d_A) \sqcup d_{uv1}).$$

By Lemmas 5.13, 5.16 and 5.17, we have

 $S_D(f_{0vw}(A)) \approx A, \quad S_{UD}(g_{u0w}(A)) \approx A, \quad S_{UP}(h_{uv0}(A)) \approx A.$

Since the operators S_D , S_{UD} and S_{UP} are quasi-symmetric invariants (see Remark 1.4), the maps f_{0vw} , g_{u0v} and h_{uv0} are injective. Therefore for each exotic type $(u, v, w) \in \{0, 1\}^3$ we have

$$\operatorname{card}(\mathcal{M}(u, v, w)) \ge 2^{\aleph_0}.$$

In general, for a separable space X, the cardinality of the set of all continuous real-valued functions on X is at most 2^{\aleph_0} . Hence the set of all metrics on the middle-third Cantor set compatible with the Cantor space topology has cardinality at most 2^{\aleph_0} . Therefore we have

$$\operatorname{card}(\mathcal{M}(u, v, w)) \leq 2^{\aleph_0}.$$

This completes the proof of Theorem 1.2.

Remark 5.18. — For each $(u, v, w) \in \{0, 1\}^3$ and for each $A \in \mathcal{H}$, by taking a direct sum of spaces in $f_{011}(A)$, $g_{101}(A)$ or $h_{110}(A)$, we can obtain a Cantor metric space (X, d) with

$$S_P(X,d) = A$$

for all failing property $P \in \{D, UD, UP\}$ of (u, v, w), where $f_{011}, g_{101}, h_{110}$ are the maps appeared in the proof of Theorem 1.2.

6. Sequentially Metrized Cantor Spaces

In this section, we generalize the construction of the symbolic Cantor sets studied by David and Semmes [2]. The same generalized construction is discussed by Semmes in [10, 9] in other contexts.

6.1. Generalities

We take the valuation map $v: 2^{\mathbb{N}} \times 2^{\mathbb{N}} \to \mathbb{N} \cup \{\infty\}$ defined as

$$v(x,y) = \begin{cases} \min\{n \in \mathbb{N} \mid x_n \neq y_n\} & \text{if } x \neq y, \\ \infty & \text{if } x = y. \end{cases}$$

DEFINITION 6.1. — We say that a positive sequence $\alpha : \mathbb{N} \to (0, \infty)$ is shrinking if α is monotone non-increasing and if α converges to 0. For a shrinking sequence α , we define a metric d_{α} on $2^{\mathbb{N}}$ by

$$d_{\alpha}(x,y) = \begin{cases} \alpha(v(x,y)) & \text{if } x \neq y, \\ 0 & \text{if } x = y. \end{cases}$$

We call $(2^{\mathbb{N}}, d_{\alpha})$ the sequentially metrized Cantor space metrized by α .

LEMMA 6.2. — Let α be a shrinking sequence. Then $(2^{\mathbb{N}}, d_{\alpha})$ is an ultrametric space. In particular, $(2^{\mathbb{N}}, d_{\alpha})$ is uniformly disconnected.

Proof. — To prove the first half, it is enough to show that d_{α} satisfies the ultrametric triangle inequality. For all $x, y, z \in 2^{\mathbb{N}}$, we have $\min\{v(x, z), v(z, y)\} \leq v(x, y)$; in particular,

$$d_{\alpha}(x,y) \leqslant \max\{d_{\alpha}(x,z), d_{\alpha}(z,y)\}.$$

Hence $(2^{\mathbb{N}}, d_{\alpha})$ is an ultrametric space. The second half follows from Proposition 2.5.

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 \square

The Brouwer Theorem 2.1 tells us that the space $(2^{\mathbb{N}}, d_{\alpha})$ is a Cantor space for any shrinking sequence α .

The doubling property of $(2^{\mathbb{N}}, d_{\alpha})$ depends on how the shrinking sequence α decreases.

LEMMA 6.3. — Let α be a shrinking sequence. Then $(2^{\mathbb{N}}, d_{\alpha})$ is doubling if and only if there exists $N \in \mathbb{N}$ such that for all $k \in \mathbb{N}$ we have

(6.1)
$$\operatorname{card}(\{n \in \mathbb{N} \mid \alpha(k)/2 \leq \alpha(n) \leq \alpha(k)\}) \leq N.$$

Proof. — For $i \in \mathbb{N}$, we put $J_{\alpha}(i) = \{ n \in \mathbb{N} \mid \alpha(i)/2 \leq \alpha(n) \leq \alpha(i) \}.$

First we show that the condition (6.1) for some N implies the doubling property. Take $x \in 2^{\mathbb{N}}$ and $r \in (0, \infty)$. Choose $k \in \mathbb{N}$ with $r \in [\alpha(k), \alpha(k-1))$. Note that $B(x, r) = B(x, \alpha(k))$, and

$$B(x,\alpha(k)) = \{ y \in 2^{\mathbb{N}} \mid k \leq v(x,y) \}.$$

Let S_{k+N} be the set of all points $z \in 2^{\mathbb{N}}$ such that $z_i = 0$ for all i > k + N. Then $B(x,r) \cap S_{k+N}$ consists of 2^{N+1} elements. For every $y \in B(x,r)$, there exists $z \in B(x,r) \cap S_{k+N}$ with $k+N \leq v(y,z)$. Since $k+N \notin J_{\alpha}(k)$, we have

$$d_{\alpha}(y,z) \leqslant \alpha(k+N) < \frac{\alpha(k)}{2} \leqslant \frac{r}{2}.$$

This implies that B(x, r) can be covered by at most 2^{N+1} balls with radius r/2. Hence $(2^{\mathbb{N}}, d_{\alpha})$ is doubling.

Next, to show the contrary, we assume that for each $N \in \mathbb{N}$ there exists $k \in \mathbb{N}$ such that $\operatorname{card}(J_{\alpha}(k)) > N$. Note that $k+1, \ldots, k+N$ are contained in $J_{\alpha}(k)$ since so is k. For each $i \in \{1, \ldots, N\}$, we define a point $x^{(i)} = \{x_{i,n}\}_{n \in \mathbb{N}}$ in $B(0, \alpha(k))$ by

$$x_{i,n} = \begin{cases} 0 & \text{if } n \neq k+i, \\ 1 & \text{if } n = k+i. \end{cases}$$

For all distinct $i, j \in \{1, \ldots, N\}$, we have

$$v(x^{(i)}, x^{(j)}) \in \{k+1, \dots, k+N\}.$$

Hence $d_{\alpha}(x^{(i)}, x^{(j)}) \ge \alpha(k)/2$. This implies that the set $\{x^{(1)}, \ldots, x^{(N)}\}$ is $(\alpha(k)/2)$ -separated in $B(0, \alpha(k))$, and it has cardinality N. Therefore, $(2^{\mathbb{N}}, d_{\alpha})$ is not doubling.

On the uniform perfectness of $(2^{\mathbb{N}}, d_{\alpha})$, we also have the following:

LEMMA 6.4. — Let α be a shrinking sequence. Then $(2^{\mathbb{N}}, d_{\alpha})$ is uniformly perfect if and only if there exists $\rho \in (0, 1)$ such that for all $n \in \mathbb{N}$ we have

$$\rho\alpha(n) \leqslant \alpha(k)$$

for some k > n.

Proof. — First we show that the condition (6.2) for some $\rho \in (0, 1)$ implies the uniform perfectness. Take $x \in 2^{\mathbb{N}}$ and $r \in (0, \operatorname{diam}(X))$. Choose $n \in \mathbb{N}$ with $r \in [\alpha(n+1), \alpha(n))$. Note that $B(x, r) = B(x, \alpha(n+1))$. Since for some k > n we have

$$\rho r < \rho \alpha(n) \leqslant \alpha(k) \leqslant \alpha(n+1),$$

and since there exists $y \in B(x,r)$ with v(x,y) = k, we see that the set $B(x,r) \setminus B(x,\rho r)$ is non-empty. Hence $(2^{\mathbb{N}}, d_{\alpha})$ is uniformly perfect.

Second, we show the contrary. Assume that for every $\rho \in (0, 1)$ there exists $n \in \mathbb{N}$ such that for every k > n we have $\rho\alpha(n) > \alpha(k)$. In this case, we can choose $m \in \mathbb{N}$ satisfying $\alpha(m+1) < \alpha(m)$ and $\alpha(m+1) < \rho\alpha(m)$. Take $r \in (\alpha(m+1)/\rho, \alpha(m))$, then

$$B(0,r) = B(0,\rho r) = B(0,\alpha(m+1)).$$

Therefore, the set $B(0,r) \setminus B(0,\rho r)$ is empty. This implies that $(2^{\mathbb{N}}, d_{\alpha})$ is not uniformly perfect.

From Lemma 6.4 we can deduce the following characterization of the non-uniform perfectness:

LEMMA 6.5. — Let α be a shrinking sequence. Then $(2^{\mathbb{N}}, d_{\alpha})$ is not uniformly perfect if and only if there exists a function $\varphi : \mathbb{N} \to \mathbb{N}$ with

(6.3)
$$\lim_{n \to \infty} \frac{\alpha(\varphi(n)+1)}{\alpha(\varphi(n))} = 0.$$

6.2. Concrete Examples

We next apply the previous lemmas to our construction of examples.

For $u \in (0, 1)$, let [u] denote the shrinking sequence defined by $[u](n) = u^n$. Then we have:

LEMMA 6.6. — For every $u \in (0,1)$, the Cantor space $(2^{\mathbb{N}}, d_{[u]})$ has type (1,1,1).

Proof. — The shrinking sequence [u] satisfies (6.1) and (6.2). Lemmas 6.3 and 6.4 imply that $(2^{\mathbb{N}}, d_{[u]})$ has type (1, 1, 1).

Remark 6.7. — The metric $d_{[1/3]}$ on $2^{\mathbb{N}}$ coincides with the metric e mentioned in Example 2.2. From the same argument as in the proof of Lemma 6.6, we deduce that $(2^{\mathbb{N}}, e)$ has type (1, 1, 1).

For a shrinking sequence α , and for $m \in \mathbb{N}$, we define the *m*-shifted shrinking sequence $\alpha^{\{m\}}$ of α by $\alpha^{\{m\}}(n) = \alpha(n + m - 1)$. Note that $(2^{\mathbb{N}}, d_{\alpha^{\{m\}}})$ is isometric to a closed ball $B(x, \alpha(m))$ in $(2^{\mathbb{N}}, d_{\alpha})$.

By Lemmas 6.3 and 6.4, we obtain the following two lemmas:

LEMMA 6.8. — Let α be a shrinking sequence. The space $(2^{\mathbb{N}}, d_{\alpha})$ is doubling if and only if for each $m \in \mathbb{N}$ the space $(2^{\mathbb{N}}, d_{\alpha}{}_{\{m\}})$ is doubling.

LEMMA 6.9. — Let α be a shrinking sequence. The space $(2^{\mathbb{N}}, d_{\alpha})$ is uniformly perfect if and only if for each $m \in \mathbb{N}$ the space $(2^{\mathbb{N}}, d_{\alpha}{}^{\{m\}})$ is uniformly perfect.

Remark 6.10. — By Lemmas 6.8 and 6.9, and by the hereditary of the uniform disconnectedness, for every shrinking sequence α , we see that every closed ball in $(2^{\mathbb{N}}, d_{\alpha})$ has the same type as $(2^{\mathbb{N}}, d_{\alpha})$.

We quest the types realized by sequentially metrized Cantor spaces.

LEMMA 6.11. — Let α be a shrinking sequence defined by $\alpha(n) = 1/n$. Then the Cantor space $(2^{\mathbb{N}}, d_{\alpha})$ has type (0, 1, 1).

Proof. — Since $\alpha(n)/2 = \alpha(2n)$ for all $n \in \mathbb{N}$, the sequence α satisfies (6.2) and does not satisfy (6.1). By Lemmas 6.3 and 6.4, the space $(2^{\mathbb{N}}, d_{\alpha})$ has type (0, 1, 1).

LEMMA 6.12. — Let β be a shrinking sequence defined by $\beta(n) = 1/n!$. Then the Cantor space $(2^{\mathbb{N}}, d_{\beta})$ has type (1, 1, 0).

Proof. — For each $\rho \in (0, 1)$, choose $m \in \mathbb{N}$ with $1/m < \rho$. Then we have $\beta(n+1) \leq \rho\beta(n)$ for all n > m. Hence the sequence β satisfies (6.1) and does not satisfy (6.2). From Lemmas 6.3 and 6.4 it follows that the space $(2^{\mathbb{N}}, d_{\beta})$ has type (1, 1, 0).

LEMMA 6.13. — There exists a shrinking sequence γ for which the Cantor space $(2^{\mathbb{N}}, d_{\gamma})$ has type (0, 1, 0).

Proof. — Let β be the shrinking sequence defined by $\beta(n) = 1/n!$. For each $n \in \mathbb{N}$, choose distinct n numbers $r_{1,n}, r_{2,n}, \ldots, r_{n,n}$ in the set

$$(\beta(2n-1)/2,\beta(2n-1)).$$

Define the shrinking sequence γ as the renumbering of

 $\beta(\mathbb{N}) \cup \{ r_{i,n} \mid n \in \mathbb{N}, i \in \{1, \dots, n\} \}$

in decreasing order. Since for each $n \in \mathbb{N}$ the set

 $\gamma(\mathbb{N}) \cap \left(\beta(2n-1)/2, \beta(2n-1)\right)\right)$

has cardinality n, the sequence γ does not satisfy (6.1). Define a function $\varphi : \mathbb{N} \to \mathbb{N}$ by $\varphi(n) = \gamma^{-1}(1/(2n-1)!)$. Then φ satisfies

$$\gamma(\varphi(n)) = 1/(2n-1)!, \quad \gamma(\varphi(n)+1) = 1/(2n)!.$$

From Lemmas 6.3 and 6.5, we deduce that $(2^{\mathbb{N}}, d_{\gamma})$ has type (0, 1, 0). \Box

Using the sequentially metrized Cantor spaces, we see the following (cf. Lemma 2.15):

PROPOSITION 6.14. — There exist shrinking sequences σ and τ satisfying the following:

(1) $(2^{\mathbb{N}}, d_{\sigma})$ and $(2^{\mathbb{N}}, d_{\tau})$ have type (1, 1, 0);

(2) $(2^{\mathbb{N}} \times 2^{\mathbb{N}}, d_{\sigma} \times d_{\tau})$ is quasi-symmetrically equivalent to (Γ, d_{Γ}) .

Proof.

(1). — Let β be the shrinking sequence defined by $\beta(n) = 1/n!$. Define a function $f : \mathbb{N} \to \mathbb{N}$ by

$$f(n) = \max\{k \in \mathbb{N} \mid 2^{-k}\beta(n) \ge \beta(n+1)\}.$$

We define the shrinking sequence σ as the renumbering of the set

 $\beta(\mathbb{N}) \cup \{ 2^{-i}\beta(2n) \mid n \in \mathbb{N}, i = 1, \dots, f(2n) \}$

in decreasing order. We also define the shrinking sequence τ as the renumbering of the set

$$\beta(\mathbb{N}) \cup \{ 2^{-i}\beta(2n+1) \mid n \in \mathbb{N}, i = 1, \dots, f(2n+1) \}$$

in decreasing order.

Define a function $\varphi : \mathbb{N} \to \mathbb{N}$ by $\varphi(n) = \sigma^{-1}(1/(2n-1)!)$ and $\psi : \mathbb{N} \to \mathbb{N}$ by $\psi(n) = \tau^{-1}(1/(2n)!)$. Note that φ satisfies

$$\sigma(\varphi(n)) = 1/(2n-1)!, \quad \sigma(\varphi(n)+1) = 1/(2n)!$$

and ψ satisfies

$$\tau(\psi(n)) = 1/(2n)!, \quad \tau(\psi(n)+1) = 1/(2n+1)!.$$

Then φ and ψ satisfy (6.3), and hence by Lemma 6.5, both $(2^{\mathbb{N}}, d_{\sigma})$ and $(2^{\mathbb{N}}, d_{\tau})$ have type (1, 1, 0).

(2). — By Lemmas 2.13 and 2.14, the space $(2^{\mathbb{N}} \times 2^{\mathbb{N}}, d_{\sigma} \times d_{\tau})$ is doubling and uniformly disconnected. By the David–Semmes uniformization theorem ([2, Proposition 15.11]), it suffices to prove that $(2^{\mathbb{N}} \times 2^{\mathbb{N}}, d_{\sigma} \times d_{\tau})$ is uniformly perfect. Take $z = (x, y) \in 2^{\mathbb{N}} \times 2^{\mathbb{N}}$ and $r \in (0, \operatorname{diam}(X \times Y))$. There exists $n \in \mathbb{N}$ with $r \in (\beta(n+1), \beta(n)]$. If n is even, then there exists $i \in \mathbb{N}$ with $\sigma(i) \in (r/2, r)$. Hence the set $B(x, r) \setminus U(r/2)$ in $(2^{\mathbb{N}}, d_{\sigma})$ is non-empty. Choose $x' \in B(x, r) \setminus U(r/2)$, and put z' = (x', y). Since $(d_{\sigma} \times d_{\tau})(z, z')$

is equal to $d_{\sigma}(x, x')$, it belongs to [r/2, r]. Therefore, $B(z, r) \setminus U(z, r/2)$ in $(2^{\mathbb{N}} \times 2^{\mathbb{N}}, d_{\sigma} \times d_{\tau})$ is non-empty. If n is odd, then there exists $j \in \mathbb{N}$ with $\tau(j) \in (r/2, r)$. Hence the set $B(y, r) \setminus U(y, r/2)$ in $(2^{\mathbb{N}}, d_{\tau})$ is non-empty. Similarly to the case where n is even, we see that the set $B(z, r) \setminus U(z, r/2)$ is non-empty. Thus $(2^{\mathbb{N}} \times 2^{\mathbb{N}}, d_{\sigma} \times d_{\tau})$ is (1/2)-uniformly perfect. \Box

7. Totally Exotic Cantor Metric Spaces

In Section 6, we already know the existence of some totally exotic Cantor metric spaces for the doubling property and the uniformly perfectness. In this section, we prove Theorem 1.7. Using Lemmas 6.8 and 6.9, we obtain the following three propositions (see Remark 6.10):

PROPOSITION 7.1. — Let (X,d) be the Cantor metric space stated in Lemma 6.11. Then (X,d) has totally exotic type (0,1,1).

PROPOSITION 7.2. — Let (X,d) be the Cantor metric space stated in Lemma 6.12. Then (X,d) has totally exotic type (1,1,0).

PROPOSITION 7.3. — Let (X,d) be the Cantor metric space stated in Lemma 6.13. Then (X,d) has totally exotic type (0,1,0).

For the proof of Theorem 1.7, we construct totally exotic Cantor metric spaces for the uniform disconnectedness property. Note that such spaces can not be constructed as sequentially metrized Cantor spaces.

We introduce the notion of kaleidoscope spaces.

DEFINITION 7.4. — For each $n \in \mathbb{N}$, we define a subset K_n of \mathbb{R} by

$$K_n = \{ k/n \mid k \in \{0, \dots, n\} \},\$$

and we denote by d_n the metric of K_n induced from $d_{\mathbb{R}}$. Note that for each $n \in \mathbb{N}$, the space (K_n, d_n) has a (1/n)-chain, and it is 3-doubling, and that for each $x \in K_n$, we have $B(x, r) = \{x\}$ in (K_n, d_n) if and only if r < 1/n. Let $a : \mathbb{N} \to (0, \infty)$ be a sequence satisfying

$$(7.1) 2na_n < a_{n+1}$$

for all n. Note that the condition (7.1) implies that

$$(7.2) ka_k < a_r$$

for all n and k < n. Put $K(a) = \prod_{n \in \mathbb{N}} K_n$, and define a metric $d_{K(a)}$ on K(a) by

$$d_{K(a)}(x,y) = \sup_{n \in \mathbb{N}} \frac{1}{a_n} d_n(x_n, y_n),$$

where $x = (x_n)$ and $y = (y_n)$. We call $(K(a), d_{K(a)})$ the kaleidoscope space of a. Since the metric $d_{K(a)}$ on K(a) induces the product topology of the family $\{K_n\}_{n \in \mathbb{N}}$, the Brouwer Theorem 2.1 tells us that $(K(a), d_{K(a)})$ is a Cantor space.

Remark 7.5. — By replacing the product factors in the construction of the kaleidoscope space of a with $\{0, 1\}$, we obtain the sequentially metrized Cantor space metrized by 1/a.

LEMMA 7.6. — Let $a : \mathbb{N} \to (0, \infty)$ be a sequence satisfying (7.1). Let $r \in (0, \infty)$ and $x \in K(a)$. Take $n \in \mathbb{N}$ with $r \in [1/a_{n+1}, 1/a_n)$. Then

$$B(x,r) = \{x_1\} \times \cdots \times \{x_{n-1}\} \times B(x_n, ra_n) \times \prod_{i>n} K_i.$$

Proof. — By the definition of $d_{K(a)}$, we have

$$B(x,r) = \prod_{i \in \mathbb{N}} B(x_i, a_i r).$$

For every $y \in B(x, r)$, by (7.2), for all k < n we have

$$d_k(x_k, y_k) \leqslant ra_k < \frac{a_k}{a_n} < \frac{1}{k},$$

and hence $x_k = y_k$. Therefore $B(x_k, a_k r) = \{x_k\}$ for all k < n. For each i > n, by $a_{n+1}r \ge 1$ we have $a_i r \ge 1$. Hence $B(x_i, a_i r) = K_i$. Therefore we obtain the claim.

Similary to Lemma 7.6, we can prove:

LEMMA 7.7. — Let $a : \mathbb{N} \to (0, \infty)$ be a sequence satisfying (7.1). Let $r \in (0, \infty)$ and $x \in K(a)$. Take $n \in \mathbb{N}$ with $r \in [1/a_{n+1}, 1/a_n)$. Then

$$U(x,r) = \{x_1\} \times \dots \times \{x_{n-1}\} \times U(x_n, ra_n) \times \prod_{i>n} K_i$$

We next prove the doubling property of kaleidoscope spaces.

LEMMA 7.8. — Let $a : \mathbb{N} \to (0, \infty)$ be a sequence satisfying (7.1). Then $(K(a), d_{K(a)})$ is 3-doubling.

Proof. — Let $r \in (0, \infty)$, and take $n \in \mathbb{N}$ with $r \in [1/a_{n+1}, 1/a_n)$.

Case (i). — First we consider the case where $1/n \leq a_n r$. We can take points $p_1, p_2 \in K_n$ such that

$$B(x_n, ra_n) \subset B(p_1, ra_n/2) \cup B(p_2, ra_n/2) \cup B(x_n, ra_n/2)$$

holds in (K_n, d_n) . For each $j \in \{1, 2\}$, define $q^{(j)} \in K(a)$ by

$$q_i^{(j)} = \begin{cases} x_i & \text{if } i \neq n, \\ p_j & \text{if } i = n. \end{cases}$$

By (7.1) and the assumption $1/n \leq a_n r$, for each i > n, we have $ra_i/2 > 1$ and hence $B(x_i, ra_i/2) = K_i$. Then

$$B(q^{(j)}, r/2) = \{x_1\} \times \dots \times \{x_{n-1}\} \times B(p_j, a_n r/2) \times \prod_{i>n} K_i$$

holds in $(K(a), d_{K(a)})$. Therefore, so does

 $B(x,r) \subset B(q^{(1)},r/2) \cup B(q^{(2)},r/2) \cup B(x,r/2).$

Namely, B(x, r) can be covered by at most 3 balls with radius r/2.

Case (ii). — Second we consider the case where $a_n r < 1/n$. In this case, $B(x_n, a_n r) = \{x_n\}$. We can take points $p_1, p_2 \in K_{n+1}$ such that

$$B(x_{n+1}, ra_{n+1}) \subset B(p_1, ra_{n+1}/2) \cup B(p_2, ra_{n+1}/2) \cup B(x_{n+1}, ra_{n+1}/2)$$

holds in (K_{n+1}, d_{n+1}) . Since for each i > n+1 we have $a_i r/2 \ge 1$,

$$B(x, r/2) = \{x_1\} \times \dots \times \{x_n\} \times B(x_{n+1}, a_{n+1}r/2) \times \prod_{i > n+1} K_i.$$

Hence, similarly to Case (i), by defining $q^{(1)}, q^{(2)} \in K(a)$ appropriately, we can prove that B(x, r) can be covered by at most 3 balls with radius r/2.

Thus we conclude that $(K(a), d_{K(a)})$ is 3-doubling.

Since for each $n \in \mathbb{N}$ the space (K_n, d_n) has a (1/n)-chain, we see:

LEMMA 7.9. — Let $a : \mathbb{N} \to (0, \infty)$ be a sequence satisfying (7.1). Then we have

$$S_{UD}(K(a), d_{K(a)}) = K(a).$$

The idea of kaleidoscope spaces provides us examples of totally exotic Cantor metric spaces of remaining types.

PROPOSITION 7.10. — There exists a Cantor metric space of totally exotic type (1, 0, 1).

Proof. — Define a sequence $a : \mathbb{N} \to (0, \infty)$ by $a_n = 2^n \cdot n!$. Then the sequence a satisfies (7.1). By Lemmas 7.8 and 7.9, we see that $S_{UD}(K(a), d_{K(a)}) = K(a)$, and that $(K(a), d_{K(a)})$ is doubling and nonuniformly disconnected.

We are going to prove that $(K(a), d_{K(a)})$ is (1/16)-uniformly perfect. To do this, for each $x \in K(a)$ and for each $r \in (0, 1/2)$, we show that the set $B(x,r) \setminus U(x,r/16)$ is non-empty. Take $n \in \mathbb{N}$ with $r \in [1/a_{n+1}, 1/a_n)$.

Case (i). — Assume $B(x_n, ra_n) = K_n$. Since $ra_n < 1$, we have diam $(U(x_n, ra_n/16)) \le 1/8$.

By diam $(K_n) = 1$, we see that $B(x_n, ra_n) \setminus U(x_n, ra_n/16)$ is non-empty. Hence so is $B(x, r) \setminus U(x, r/16)$.

Case (ii). — Assume $B(x_n, ra_n) \neq K_n$ and $B(x_n, ra_n) \neq \{x_n\}$. Take an end point $y \in K_n$ of $B(x_n, ra_n)$. Without loss of generality, by considering the map defined by $t \mapsto -t+1$, we may assume that y is the right end point of $B(x_n, ra_n)$ and $y \neq 1$. By the assumption $B(x_n, ra_n) \neq \{x_n\}$, we may also assume $y \neq x_n$. Note that y is the maximum of $B(x_n, ra_n)$. Define a point $z \in K(a)$ by

$$z = \begin{cases} x_i & \text{if } i \neq n, \\ y & \text{if } i = n. \end{cases}$$

Then we have $d_{K(a)}(x, z) \leq r$. By the construction of K_n , we may assume that y = x + m/n holds for some positive integer $m \leq n$ with

$$\frac{m}{n} \leqslant ra_n < \frac{m+1}{n}$$

This implies

$$d_{K(a)}(x,z) = \frac{1}{a_n} \frac{m}{n} \ge \frac{1}{a_n} \frac{1}{16} \frac{m+1}{n} > \frac{1}{16} r.$$

Hence $B(x,r) \setminus U(x,r/16)$ is non-empty.

Case (iii). — Assume
$$B(x_n, ra_n) = \{x_n\}$$
. Then $ra_n < 1/n$, and

diam
$$(U(x_{n+1}, ra_{n+1}/16)) \leq \frac{ra_{n+1}}{8} = \frac{ra_n \cdot 2(n+1)}{8} < \frac{1}{2}$$

Hence $U(x_{n+1}, ra_{n+1}/16) \neq K_{n+1}$. Recall that $B(x_{n+1}, ra_{n+1}) = K_{n+1}$. Therefore the set $B(x, r) \setminus U(x, r/16)$ is non-empty. Thus we conclude that $(K(a), d_{K(a)})$ is a desired space.

Remark 7.11. — It is known that any subset of \mathbb{R} with positive Lebesgue measure is not uniformly disconnected (see e.g., [6, Corollary 4.6]). Let Abe a Cantor space in \mathbb{R} whose every non-empty open subset has positive Lebesgue measure. By the arguments in Subsection 2.6, we see that $A \times \Gamma$ also has type (1,0,1) and that every non-empty open set of $A \times \Gamma$ is not uniformly disconnected. The author does not know whether such A is uniformly perfect or not.

PROPOSITION 7.12. — There exists a Cantor metric space of totally exotic type (1, 0, 0).

Proof. — Define a sequence $b : \mathbb{N} \to (0, \infty)$ by $b_n = (2n)!$. Then the sequence b satisfies (7.1). We prove that $(K(b), d_{K(b)})$ is a desired space. By Lemmas 7.8 and 7.9, we see that the space $(K(b), d_{K(b)})$ is doubling and satisfies $S_{UD}(K(b), d_{K(b)}) = K(b)$. We show that $S_{UP}(K(b), d_{K(b)}) =$ K(b). Namely, we show that for each $x \in K(b)$, and for each $\rho \in (0, 1]$, there exists $r \in (0, \operatorname{diam}(K(b)))$ such that $B(x, r) \setminus U(x, \rho r) = \emptyset$. For each $\rho \in (0, 1]$, we can take $n \in \mathbb{N}$ with $\rho b_{n+1}/2nb_n > 1$. Let $r = (2nb_n)^{-1}$. Then $r \in [1/b_{n+1}, 1/b_n)$. Since $b_n r < 1/n$, we have

$$B(x,r) = \{x_1\} \times \cdots \times \{x_{n-1}\} \times \{x_n\} \times \prod_{i>n} K_i.$$

From $\rho r b_{n+1} > 1$ we derive

$$U(x,\rho r) = \{x_1\} \times \cdots \times \{x_{n-1}\} \times \{x_n\} \times \prod_{i>n} K_i.$$

Therefore $B(x,r) = U(x,\rho r)$, hence $S_{UP}(K(b), d_{K(b)}) = K(b)$.

By modifying the product factors in the construction of the kaleidoscope spaces, we obtain:

PROPOSITION 7.13. — There exists a Cantor metric space of totally exotic type (0, 0, 0).

Proof. — For each $n \in \mathbb{N}$, take an (n, 1/2n)-discrete space (A_n, e_n) (see Definition 4.1). Put $(L_n, D_n) = (A_n \times K_n, e_n \times d_n)$. Let

$$L = \prod_{i \in \mathbb{N}} L_i$$

and define the metric d_L on L by

$$d_L(x,y) = \sup_{n \in \mathbb{N}} \frac{1}{b_n} D_n(x_n, y_n),$$

where $b_n = (2n)!$. We prove that (L, d_L) is a desired space. Since B(x, r) has (1/n)-chains and *n*-discrete subspaces for all sufficiently large *n*, we have $S_D(L, d_L) = L$ and $S_{UD}(L, d_L) = L$. We next show $S_{UP}(L, d_L) = L$. Let $x \in L$ and $\rho \in (0, 1]$. We can take $n \in \mathbb{N}$ with $\rho b_{n+1}/4nb_n > 1$. Put $r = (4nb_n)^{-1}$. Since $b_n r < 1/2n$, similarly to Lemma 7.6, we see

$$B(x,r) = \{x_1\} \times \cdots \{x_n\} \times \prod_{i>n} L_i.$$

Since $\rho b_{n+1}/4nb_n > 1$, we have

$$U(x,\rho r) = \{x_1\} \times \cdots \{x_n\} \times \prod_{i>n} L_i.$$

Hence $B(x,r) \setminus U(x,\rho r)$ is empty. Therefore $S_{UP}(L,d_L) = L$.

 \Box

To finish the proof of Theorem 1.7, we next show the following:

PROPOSITION 7.14. — There exists a Cantor metric space of totally exotic type (0, 0, 1).

Proof. — By Propositions 7.1 and 7.10, we can take a Cantor metric space (X, d_X) of totally exotic type (0, 1, 1), and a Cantor metric space (Y, d_Y) of totally exotic type (1, 0, 1). Using Lemmas 2.13, 2.14 and 2.15, we see that the space $(X \times Y, d_X \times d_Y)$ has totally exotic type (0, 0, 1). \Box

Proof of Theorem 1.7. — Propositions 7.1–7.14 complete the proof. $\hfill\square$

8. Prescribed Hausdorff and Assouad Dimensions

In this section, we prove Theorem 1.8.

8.1. Basics of Assouad Dimension

Let (X, d) be a metric space. Define a function $\mathcal{N} : (0, 2) \to \mathbb{N} \cup \{\infty\}$ by defining $\mathcal{N}(\epsilon)$ to be the infimum of $N \in \mathbb{N}$ such that every closed metric ball in (X, d) with radius r can be covered by at most N closed metric balls with radius ϵr . The Assouad dimension $\dim_A(X, d)$ of (X, d) is defined as the infimum of $s \in (0, \infty)$ for which there exists $K \in (0, \infty)$ such that for all $\epsilon \in (0, 2)$ we have

$$\mathcal{N}(\epsilon) \leqslant K \epsilon^{-s}$$

Note that (X, d) is doubling if and only if $\dim_A(X, d)$ is finite.

Define a function $\mathcal{M} : (0,2) \to \mathbb{N} \cup \{\infty\}$ by defining $\mathcal{M}(\epsilon)$ to be the supremum of the cardinality of (ϵr) -separated sets of closed metric balls with radius r. Note that for every $\epsilon \in (0,2)$ we have

$$\mathcal{M}(3\epsilon) \leqslant \mathcal{N}(\epsilon) \leqslant \mathcal{M}(\epsilon).$$

Moreover, $\dim_A(X, d)$ is equal to the infimum of $s \in (0, \infty)$ for which there exists $K \in (0, \infty)$ such that for all $\epsilon \in (0, 2)$ we have

$$\mathcal{M}(\epsilon) \leqslant K \epsilon^{-s}$$

The Assouad dimension satisfies the following finite stability:

PROPOSITION 8.1. — Let A and B be subsets of a metric space. Then

$$\dim_A(A \cup B) = \max\{\dim_A(A), \dim_A(B)\}\$$

The Assouad dimension can be estimated from above as follows:

LEMMA 8.2. — Let $\lambda \in (0,1)$. Let (X,d) be a metric space. If every closed ball in (X,d) with radius r can be covered by at most N closed balls with radius λr , then we have

$$\dim_A(X,d) \leqslant \frac{\log(N)}{\log(\lambda^{-1})}.$$

For a positive number $\epsilon \in (0, \infty)$, and for a metric space (X, d), the function d^{ϵ} is said to be a snowflake of d with parameter ϵ if d^{ϵ} is a metric on X. Note that the induced topology from d^{ϵ} coincides with the original one.

Remark 8.3. — Let (X, d) be a metric space. If $\epsilon \in (0, 1)$, then d^{ϵ} is a metric on X. If d is an ultrametric on X, then so is d^{ϵ} for any $\epsilon \in (0, \infty)$.

For the snowflakes, we have:

LEMMA 8.4. — Let $\epsilon \in (0, \infty)$. Let (X, d) be a metric space. If d^{ϵ} is a snowflake of d with parameter ϵ , then we have

$$\dim_A(X, d^{\epsilon}) = \frac{1}{\epsilon} \dim_A(X, d).$$

From the definitions, we see the following:

PROPOSITION 8.5. — The Hausdorff dimension does not exceed the Assound dimension.

8.2. Prescribed Dimensions

We first calculate the Assoud dimension of the Cantor metric space mentioned in Lemma 6.12.

LEMMA 8.6. — Let β be a shrinking sequence defined by $\beta(n) = 1/n!$. Then

$$\dim_A(2^{\mathbb{N}}, d_\beta) = 0.$$

In particular, $\dim_H(2^{\mathbb{N}}, d_{\beta}) = 0.$

Proof. — For each $k \in \mathbb{N}$, let $n(k) \in \mathbb{N}$ be the integer satisfying

(8.1)
$$\frac{1}{(n(k)+1)!} < \frac{1}{k} \leqslant \frac{1}{n(k)!}.$$

For a fixed $r \in (0, \infty)$, let $m \in \mathbb{N}$ be the least positive integer with

$$\frac{1}{(m+1)!} \leqslant r.$$

Since B(x, r) coincides with B(x, 1/(m+1)!), we have

$$B(x,r) = \{ y \in 2^{\mathbb{N}} \mid v(x,y) \ge m \}.$$

Let T_k be the subset of B(x, r) consisting of all points $y \in B(x, r)$ such that $y_i = 0$ for all i > m + n(k). Then $\operatorname{card}(T_k) = 2^{n(k)+1}$. For every $y \in B(x, r)$, there exists $z \in T_k$ such that $v(y, z) \ge m + n(k) + 1$, and hence we have

$$d_{\beta}(y,z) \leqslant \frac{1}{(m+n(k)+1)!} \leqslant \frac{1}{(m+1)!} \frac{1}{(m+2)\cdots(m+n(k)+1)}$$
$$\leqslant \frac{1}{(m+1)!} \frac{1}{(n(k)+1)!} < \frac{r}{k}.$$

Therefore every closed ball in $(2^{\mathbb{N}}, d_{\beta})$ with radius r can be covered by at most $2^{n(k)+1}$ balls with radius r/k. By Lemma 8.2, we have

$$\dim_A(2^{\mathbb{N}}, d_\beta) \leqslant \frac{n(k) + 1}{\log k}.$$

Using (8.1), we estimate

$$\frac{n(k)+1}{\log k} \leqslant \frac{n(k)+1}{\log 1 + \log 2 + \dots + \log n(k)}.$$

The right hand side tends to 0 as $k \to \infty$. Hence $\dim_A(2^{\mathbb{N}}, d_{\beta}) = 0$; in particular, by Proposition 8.5 we have $\dim_H(2^{\mathbb{N}}, d_{\beta}) = 0$.

The following sequentially metrized Cantor space plays a key role in the proof of Theorem 1.8.

PROPOSITION 8.7. — There exists a shrinking sequence θ with

 $\dim_H(2^{\mathbb{N}}, d_{\theta}) = 0, \quad \dim_A(2^{\mathbb{N}}, d_{\theta}) = 1.$

Proof. — Take a shrinking sequence α defined by $\alpha(n) = 2^{-n^3}$. Define a shrinking sequence θ by the renumbering of the set

$$\alpha(\mathbb{N}) \cup \{ 2^{-k} \alpha(n) \mid n \in \mathbb{N}, k = 1, \dots, n \}$$

in decreasing order. Define a function $\varphi : \mathbb{N} \to \mathbb{N}$ by $\varphi(n) = n(n+1)/2$. Then $\theta(\varphi(n)) = \alpha(n) = 2^{-n^3}$ and $\varphi(n) \leq n^2$ hold for each $n \in \mathbb{N}$.

First we estimate the Hausdorff dimension. For each finite sequence $\{i_k\}_{k=1}^m$ valued in $\{0, 1\}$, we define

$$S_{i_1,i_2,\ldots,i_m} = \{ x \in 2^{\mathbb{N}} \mid x_1 = i_1, x_2 = i_2, \ldots, x_m = i_m \}.$$

Then for each fixed $m \in \mathbb{N}$ we have

$$2^{\mathbb{N}} = \bigcup_{i_1, i_2, \dots, i_m} S_{i_1, i_2, \dots, i_m}.$$

By diam $(S_{i_1,i_2,\ldots,i_m}) = \theta(m+1)$, for each $s \in (0,\infty)$

$$\mathcal{H}^{s}_{\theta(m+1)}(2^{\mathbb{N}}, d_{\theta}) \leqslant \sum_{i_{1}, i_{2}, \dots, i_{m}} \operatorname{diam}(S_{i_{1}, i_{2}, \dots, i_{m}})^{s} = 2^{m} \cdot (\theta(m+1))^{s}.$$

Put $m = \varphi(n) - 1$, then for each $s \in (0, \infty)$, we see that

$$\mathcal{H}^{s}_{\alpha(n)}(2^{\mathbb{N}}, d_{\theta}) \leqslant 2^{\varphi(n)-1}(2^{-n^{3}})^{s} \leqslant 2^{-sn^{3}+n^{2}-1}.$$

Since $\alpha(n)$ and $2^{-sn^3+n^2-1}$ tend to 0 as $n \to \infty$, we have $\mathcal{H}^s(2^{\mathbb{N}}, d_{\theta}) = 0$ for any $s \in (0, \infty)$. Hence $\dim_H(2^{\mathbb{N}}, d_{\theta}) = 0$.

Next, we prove $\dim_A(2^{\mathbb{N}}, d_{\theta}) = 1$. Since $(2^{\mathbb{N}}, d_{\theta})$ is 2-doubling, Lemma 8.2 implies $\dim_A(2^{\mathbb{N}}, d_{\theta}) \leq 1$. Take a number t larger than $\dim_A(2^{\mathbb{N}}, d_{\theta})$ for which there exists $K \in (0, \infty)$ such that for each $\epsilon \in (0, 2)$ we have

(8.2)
$$\mathcal{M}(\epsilon) \leqslant K \epsilon^{-t},$$

where \mathcal{M} is the function defined in Subsection 8.1. For each $n \in \mathbb{N}$, the ball $B(0, \alpha(n))$ in $(2^{\mathbb{N}}, d_{\theta})$ coincides with the set

$$\{ y \in 2^{\mathbb{N}} \mid v(x, y) \geqslant \varphi(n) \}.$$

Let T_n be the set of all points $z \in B(0, \alpha(n))$ such that $z_i = 0$ for all $i > \varphi(n) + n$. We see that T_n is an $(\alpha(n)/2^n)$ -separated set in $B(0, \alpha(n))$ consisting of 2^{n+1} elements. Hence by (8.2) we have

$$2^{n+1} \leqslant K2^{tn}.$$

Since K does not depend on n, we obtain $t \ge 1$. Then $\dim_A(2^{\mathbb{N}}, d_{\theta}) \ge 1$. Therefore $\dim_A(2^{\mathbb{N}}, d_{\theta}) = 1$.

We next show the following:

LEMMA 8.8. — Take $u \in (0, 1)$. Let [u] be the shrinking sequence defined by $[u](n) = u^n$. Then we have

$$\dim_H(2^{\mathbb{N}}, d_{[u]}) = \dim_A(2^{\mathbb{N}}, d_{[u]}) = \frac{\log 2}{\log(u^{-1})}.$$

Proof. — It is already known that

$$\dim_H(\Gamma, d_{\Gamma}) = \frac{\log 2}{\log 3}.$$

Then $(2^{\mathbb{N}}, d_{[1/3]})$ has the same Hausdorff dimension (see Example 2.8). Put

$$c = \frac{\log(u^{-1})}{\log 3}.$$

Since $(2^{\mathbb{N}}, d_{[1/3]}^c)$ coincides with $(2^{\mathbb{N}}, d_{[u]})$, we have

$$\dim_H(2^{\mathbb{N}}, d_{[u]}) = \frac{1}{c} \frac{\log 2}{\log 3} = \frac{\log 2}{\log(u^{-1})}.$$

Next we estimate the Assouad dimension. Every closed ball in $(2^{\mathbb{N}}, d_{[u]})$ with radius r can be covered by at most 2 closed balls with radius ur. Then by Lemma 8.2, we have

$$\dim_A(2^{\mathbb{N}}, d_{[u]}) \leqslant \frac{\log 2}{\log(u^{-1})}$$

Proposition 8.5 completes the proof.

We are going to prove Theorem 1.8.

Proof of Theorem 1.8. — We divide the proof into the following five cases.

Case (i). — Assume a = b = 0. The space $(2^{\mathbb{N}}, d_{\beta})$ mentioned in Lemma 8.6 satisfies the desired properties.

Case (ii). — Assume that a = 0 and $0 < b < \infty$. Let $(2^{\mathbb{N}}, d_{\theta})$ be the space mentioned in Proposition 8.7. By Lemma 8.4, we have

$$\dim_H(2^{\mathbb{N}}, d_{\theta}^{1/b}) = 0, \quad \dim_A(2^{\mathbb{N}}, d_{\theta}^{1/b}) = b.$$

Case (iii). — Assume that $0 < a < \infty$ and $0 < b < \infty$. Let $u = 2^{-1/a}$. By Lemma 8.8, we have

$$\dim_H(2^{\mathbb{N}}, d_{[u]}) = \dim_A(2^{\mathbb{N}}, d_{[u]}) = a.$$

By the finite stabilities of the Hausdorff and Assouad dimensions, we see that the space $(2^{\mathbb{N}} \sqcup 2^{\mathbb{N}}, d_{[u]} \sqcup d_{\theta}^{1/b})$ satisfies the desired properties.

Case (iv). — Assume that $0 \leq a < \infty$ and $b = \infty$. We can take a Cantor space (C, d) with $\dim_H(C, d) = a$ and $\dim(C, d) = 1/2$. For each $n \in \mathbb{N}$, take disjoint n copies C_1, \ldots, C_n of C, and define a set A_n by

$$A_n = \coprod_{i=1}^n C_i,$$

and define a metric e_n on A_n by

$$e_n(x,y) = \begin{cases} d(x,y) & \text{if } x, y \in C_i \text{ for some } i, \\ 1 & \text{otherwise.} \end{cases}$$

Note that for each $n \in \mathbb{N}$, the space (A_n, e_n) is a Cantor space. Let $\mathcal{A} = \{(A_i, 2^{-n-1}d_i)\}_{i\in\mathbb{N}}$. For the telescope base \mathcal{R} defined in Definition 3.2, the pair $\mathcal{P} = (\mathcal{A}, \mathcal{R})$ is compatible. By Lemma 3.5, the telescope space $(T(\mathcal{P}), d_{\mathcal{P}})$ is a Cantor space. By the countable stability of the Hausdorff dimension, we have $\dim_H(T(\mathcal{P}), d_{\mathcal{P}}) = a$. Since for each $n \in \mathbb{N}$ the space (A_n, e_n) has an *n*-discrete subspace, by Lemma 4.2 the space $(T(\mathcal{P}), d_{\mathcal{P}})$ is not doubling. Namely, $\dim_A(T(\mathcal{P}), d_{\mathcal{P}}) = \infty$.

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Case (v). — Assume $a = b = \infty$. For each $n \in \mathbb{N}$, we can take a Cantor metric space (T_n, d_n) with $\dim_H(T_n, d_n) = n$ and $\dim(T_n, d_n) = 2^{-n-1}$. Let $\mathcal{T} = \{(T_i, d_i)\}_{i \in \mathbb{N}}$. For the telescope base \mathcal{R} defined in Definition 3.2, the pair $\mathcal{Q} = (\mathcal{T}, \mathcal{R})$ is compatible. By Lemma 3.5, the telescope space $(T(\mathcal{Q}), d_{\mathcal{Q}})$ is a Cantor space. By the countable stability of the Hausdorff dimension, we have $\dim_H(T(\mathcal{Q}), d_{\mathcal{Q}}) = \infty$; in particular, Proposition 8.5 implies $\dim_A(T(\mathcal{Q}), d_{\mathcal{Q}}) = \infty$.

We have completed the proof of Theorem 1.8.

Remark 8.9. — Let α be a shrinking sequence defined by $\alpha(n) = 1/n$. The Cantor space $(2^{\mathbb{N}}, d_{\alpha})$ mentioned in Proposition 6.11 also satisfies $\dim_H(2^{\mathbb{N}}, d_{\alpha}) = \dim_A(2^{\mathbb{N}}, d_{\alpha}) = \infty$.

 \square

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