

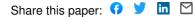
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## RADIAL SOLUTIONS AND PHASE SEPARATION IN A SYSTEM OF TWO COUPLED SCHRÖDINGER EQUATIONS

#### JUNCHENG WEI AND TOBIAS WETH

ABSTRACT. We consider the nonlinear elliptic system

$$\begin{cases} -\Delta u + u - u^3 - \beta v^2 u = 0 & \text{ in } \mathbb{B}, \\ -\Delta v + v - v^3 - \beta u^2 v = 0 & \text{ in } \mathbb{B}, \\ u, v > 0 & \text{ in } \mathbb{B}, \quad u = v = 0 & \text{ on } \partial \mathbb{B}, \end{cases}$$

where  $N \leq 3$  and  $\mathbb{B} \subset \mathbb{R}^N$  is the unit ball. We show that, for every  $\beta \leq -1$ and  $k \in \mathbb{N}$ , the above problem admits a radially symmetric solution  $(u_{\beta}, v_{\beta})$  such that  $u_{\beta} - v_{\beta}$  changes sign precisely k times in the radial variable. Furthermore, as  $\beta \to -\infty$ , after passing to a subsequence,  $u_{\beta} \to w^+$  and  $v_{\beta} \to w^-$  uniformly in  $\mathbb{B}$ , where  $w = w^+ - w^-$  has precisely k nodal domains and is a radially symmetric solution of the scalar equation  $\Delta w - w + w^3 = 0$  in  $\mathbb{B}$ , w = 0 on  $\partial \mathbb{B}$ . Within a Hartree-Fock approximation, the result provides a theoretical indication of phase separation into many nodal domains for Bose-Einstein double condensates with strong repulsion.

#### 1. INTRODUCTION

The present paper is concerned with the study of solitary wave solutions for the coupled Gross-Pitaevskii equations

(1.1) 
$$\begin{cases} -i\frac{\partial}{\partial t}\Phi_1 = \Delta\Phi_1 + \mu_1|\Phi_1|^2\Phi_1 + \beta|\Phi_2|^2\Phi_1 \text{ for } y \in \Omega, t > 0, \\ -i\frac{\partial}{\partial t}\Phi_2 = \Delta\Phi_2 + \mu_2|\Phi_2|^2\Phi_2 + \beta|\Phi_1|^2\Phi_2 \text{ for } y \in \Omega, t > 0, \\ \Phi_1(y,t) = \Phi_2(y,t) = 0 \text{ for } y \in \partial\Omega, t > 0, \end{cases}$$

where  $\mu_1, \mu_2$  are positive constants,  $\Omega$  is a domain in  $\mathbb{R}^N, N \leq 3$ , and  $\beta$  is a coupling constant. System (1.1) arises in the Hartree-Fock theory for a double condensate, i.e., a binary mixture of Bose-Einstein condensates in two different hyperfine states  $|1\rangle$  and  $|2\rangle$ , see [15]. Physically,  $\Phi_1$  and  $\Phi_2$  are the corresponding condensate amplitudes,  $\mu_1$ and  $\mu_2$  are proportional to the intraspecies scattering lengths, and  $\beta$  is proportional to the interspecies scattering length. The sign of  $\mu_j$  determines whether collisions of particles of the single state  $|j\rangle$  result in a *repulsive* or *attractive* interaction, while the sign of  $\beta$  determines the interaction of particles of state  $|1\rangle$  and state  $|2\rangle$ . If  $\mu_j > 0$  as considered here, we are dealing with an attractive self-interaction of the single states  $|j\rangle$ , j = 1, 2. When  $\beta < 0$ , the interaction of state  $|1\rangle$  and  $|2\rangle$  is repulsive (as discussed in [37]). In contrast, when  $\beta > 0$ , the interaction of state  $|1\rangle$  and  $|2\rangle$  is attractive.

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When  $\Omega = \mathbb{R}^N$ , system (1.1) also arises in the study of incoherent solitons in nonlinear optics. We refer to [27, 28] for experimental results and to [3, 9, 19–21] for a comprehensive list of references.

To obtain solitary wave solutions of the system (1.1), we set  $\Phi_1(x,t) = e^{i\lambda_1 t} u(x)$ ,  $\Phi_2(x,t) = e^{i\lambda_2 t} v(x)$ , and the system (1.1) is transformed to an elliptic system given by

(1.2) 
$$\begin{cases} -\Delta u + \lambda_1 u - \mu_1 u^3 - \beta v^2 u = 0 & \text{in } \Omega, \\ -\Delta v + \lambda_2 v - \mu_2 v^3 - \beta u^2 v = 0 & \text{in } \Omega, \\ u, v > 0 & \text{in } \Omega, \quad u = v = 0 & \text{on } \partial\Omega. \end{cases}$$

As shown by recent results, the structure of the solution set of (1.2) depends strongly on the value of  $\beta$ . For a bounded domain  $\Omega \subset \mathbb{R}^N, N \leq 3$ , a least energy solution of (1.2) exists within the range  $\beta \in (-\infty, \beta_0]$ , where  $0 < \beta_0 < \sqrt{\mu_1, \mu_2}$  is a constant. This is proved in [23], where also the asymptotic behavior of this solution is studied as the domain  $\Omega$  becomes large. When  $\Omega = \mathbb{R}^N$ , the existence of least energy and other finite energy solutions of (1.2) is proved in [2, 5, 25, 35] for  $\beta$  belonging to different subintervals of  $(0,\infty)$ . It is important to note that when  $\Omega$  is a ball or  $\Omega = \mathbb{R}^N$ and  $\beta > 0$ , then all solutions of (1.2) are radially symmetric (up to translation if  $\Omega = \mathbb{R}^N$ , and both components are decreasing in the radial variable, see [38]. In contrast, different classes of nonradial solutions, distinguished by their shape and symmetries, have been constructed for  $\Omega = \mathbb{R}^N$  and  $\beta < 0$ ,  $|\beta|$  small in [24] and for  $\beta \leq -1$  in [43]. In the present paper we analyze another class of solutions of (1.2) which only exist for negative  $\beta$ , namely radial but not radially decreasing solutions when  $\Omega = \mathbb{B}$  is the unit ball in  $\mathbb{R}^N$ . We focus on the symmetric case  $\lambda_1 = \lambda_2, \mu_1 = \mu_2$ , assuming without loss of generality that  $\lambda_1 = \lambda_2 = \mu_1 = \mu_2 = 1$ . Hence we study radial solutions of the following nonlinear elliptic system:

(1.3) 
$$\begin{cases} -\Delta u + u - u^3 - \beta v^2 u = 0 & \text{in } \mathbb{B}, \\ -\Delta v + v - v^3 - \beta u^2 v = 0 & \text{in } \mathbb{B}, \\ u, v > 0 & \text{in } \mathbb{B}, \quad u = v = 0 & \text{on } \partial \mathbb{B}. \end{cases}$$

Our results establish a connection between radial solutions of (1.3) and sign changing radial solutions of the scalar problem

(1.4) 
$$-\Delta w + w - w^3 = 0 \quad \text{in } \mathbb{B}, \qquad w = 0 \quad \text{on } \partial \mathbb{B}.$$

Let  $H_r$  be the Hilbert space of all radially symmetric functions in  $H_0^1(\mathbb{B})$  endowed with the norm  $||u||^2 := \int_{\mathbb{B}} (|\nabla u|^2 + |u|^2) dx$ . Radial solutions of (1.3) are critical points of the energy functional  $E: H_r \times H_r \to \mathbb{R}$  given by

$$E(u,v) = \frac{1}{2}(||u||^2 + ||v||^2) - \frac{1}{4}\int (u^4 + v^4) \, dx - \frac{\beta}{2}\int u^2 v^2 \, dx,$$

Moreover, radial solutions of (1.4) are critical points of the functional

$$E_S: H_r \to \mathbb{R}, \qquad E_S(w) = \frac{1}{2} ||w||^2 - \frac{1}{4} \int w^4 \, dx.$$

To state our main results, we recall that, for every  $k \in \mathbb{N}$ , (1.4) admits a radial solution with precisely k nodal domains, i.e., k-1 sign changes in the radial variable, see [40,41]. In dimension N = 1 this solution is unique (see [39]), but for N > 1 this is unknown. We put

(1.5) 
$$c_k := \inf_{w \in \mathcal{S}_k} E_S(w), \qquad (k \in \mathbb{N}),$$

where  $S_k \subset H_r$  is the set of radial solutions of (1.4) with precisely k nodal domains. There exists a different characterization of  $c_k$  via a variational principle introduced by Nehari [30], see Proposition 2.1 below. Our first main result is the following.

**Theorem 1.1.** Let  $N \leq 3$ . Then for every  $\beta \leq -1$  and every integer  $k \geq 2$ , (1.3) admits a solution  $(u, v) \in H_r \times H_r$  such that  $E(u, v) \leq c_k$  and u - v changes sign precisely k - 1 times in the radial variable.

Theorem 1.1 yields the existence of infinitely many radial solutions (u, v) of (1.3) which are distinguished by the number of intersections of u and v. For fixed k, these solutions satisfy an energy bound *independent* of the coupling parameter  $\beta$ . Our second main result provides a description of the limit shape of these solutions as  $\beta$  tends to minus infinity.

**Theorem 1.2.** Let  $N \leq 3$ ,  $k \geq 2$ , and let  $\beta_n \leq -1$ ,  $n \in \mathbb{N}$  be a sequence of numbers with  $\beta_n \to -\infty$  as  $n \to \infty$ . Let also  $(u_n, v_n) \in H_r \times H_r$  be solutions of (1.3) with  $\beta = \beta_n$  such that  $u_n - v_n$  changes sign precisely k - 1 times (in the radial variable) and  $E(u_n, v_n) \leq c_k$ .

Then, after passing to a subsequence,  $u_n \to w^+$  and  $v_n \to w^-$  in  $H_r$  and  $C(\overline{\mathbb{B}})$ , where w is a solution of (1.4) with precisely k-1 interior zeros and  $E(w) = c_k$ .

Here and in the following,  $w^+ = \max\{w, 0\}$  and  $w^- = -\min\{w, 0\}$  denote the positive and negative part of a function  $w : \mathbb{B} \to \mathbb{R}$ .

In the context of Bose-Einstein condensates (where  $\Phi_1(x,t) = e^{it} u(x), \Phi_2(x,t) =$  $e^{it} v(x)$  stand for the amplitudes of the different hyperfine states  $|1\rangle$  and  $|2\rangle$ , the limit shape considered in Theorem 1.2 models the spatial separation of  $|1\rangle$  and  $|2\rangle$  in the presence of strong repulsion. This phase separation has drawn the attention both from experimental and theoretical physicists [17,29,37], but rigorous mathematical results are rare. In fact, for a general bounded domain  $\Omega$  and an *arbitrary* uniformly bounded solution sequence  $(u_{\beta}, v_{\beta})$  of (1.2) corresponding to  $\beta \to -\infty$ , the corresponding limit profile (u, v), i.e., the weak limit in  $[H_0^1(\Omega)]^2$  of a subsequence, is not well understood. It is easy to see that the nodal sets  $N_u = \{x \in \Omega : u(x) > 0\}$  and  $N_v = \{x \in \Omega : v(x) > 0\}$  are disjoint. Moreover, it is natural to expect that u and v are continuous and therefore  $N_u$  and  $N_v$  are open subsets of  $\Omega$ , but to our knowledge this has not been proved yet. For a related system with different parameter values, Chang-Lin-Lin-Lin [8] proved that u and v solve scalar limit equations in  $N_u$  and  $N_v$  under the crucial assumption that  $N_u, N_v$  are open in  $\Omega$ . Via numerical computations, they investigate further properties of the corresponding nodal domains, i.e., the connected components of  $N_u$  and  $N_v$ .

In the radial case, Theorems 1.1 and 1.2 exhibit a large class of solutions which converge uniformly as  $\beta \to -\infty$  and give rise to continuous limit profiles with arbitrarily many nodal domains. Moreover, these limit profiles have matching derivatives of u and v at the common boundary of  $N_u$  and  $N_v$ .

It is worth pointing out that spatial segregation has been studied already for different classes of competing species systems with simpler coupling terms, see e.g. [13, 14]. Moreover, the asymptotic behaviour of *least energy solutions* to a related class of superlinear elliptic systems with strong competition is studied in [12]. In fact, although the nonlinear terms in system (1.2) do not satisfy the growth conditions assumed in [12], it seems that many of the arguments in [12] also apply to least energy solutions of (1.2).

We briefly describe the paper's organisation and the methods used in the proofs. In Section 2 we collect preliminaries on the variational framework for (1.3), and we discuss properties of a parabolic system corresponding to (1.3). A crucial property is the nonincrease of the number of intersections of u and v along trajectories of the associated parabolic semiflow. This nonincrease is an easy consequence of the zero number diminishing property for the scalar problem derived in [32]. In Section 3 we use the parabolic flow, together with a slightly modified version of the classical Krasnoselskii genus, to prove Theorem 1.1. For *scalar* elliptic equations, special solutions have already been constructed via a corresponding parabolic flow and comparison principles, see [10, 11, 33]. The approach presented here differs from these existing techniques but could also be applied to scalar equations with odd nonlinearities.

Section 4 contains the proof of Theorem 1.2. Here we combine Nehari's variational principle with comparison arguments and ordinary differential equations techniques. In particular, a Ljapunov function for radial solutions of (1.3) is used as a crucial tool to control the number of intersections of u and v while passing to the limit  $\beta \to -\infty$ .

We finally remark that it is open whether an existence result similar to Theorem 1.1 also holds for the *nonsymmetric* system (1.2) in  $\Omega = \mathbb{B}$ . Since our method uses the genus, it does not apply to (1.2). For a class of superlinear ODE-systems, solutions with a prescribed number of *zeroes* of each component were constructed in [36] without assuming oddness of the nonlinearity. It is tempting to rewrite system (1.2) in x = u - v and y = u + v in order to apply a similar approach as in [36] to the resulting system. However, even in the symmetric case one obtains a system of the form  $-\Delta x + x = \left(\frac{1+\beta}{4}\right)x^3 + \left(\frac{3-\beta}{4}\right)y^2x$ ,  $-\Delta y + y = \left(\frac{1+\beta}{4}\right)y^3 + \left(\frac{3-\beta}{4}\right)x^2y$ , where, for  $\beta < -1$ , the nonlinear terms have precisely the opposite sign as in (1.3). Therefore this system has completely different properties than the class of systems considered in [36]. Moreover, the condition u, v > 0 translates into the somewhat unnatural constraint |x| < y.

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## 2. Preliminaries and the corresponding parabolic problem

Throughout the remainder of this paper we assume that  $N \leq 3$ . In this section we consider a fixed coupling constant  $\beta \leq -1$  in (1.3). Multiplying the first equation in (1.3) with u, the second with v and integrating, we find that all nontrivial solutions of (1.3) are contained in the set

$$\mathcal{M} = \left\{ \begin{array}{l} (u,v) \in H_0^1(\mathbb{B}) \times H_0^1(\mathbb{B}), \\ u,v \ge 0, \ u,v \ne 0 \end{array} \middle| \begin{array}{l} \|u\|^2 - \beta \|uv\|_2^2 = \|u\|_4^4, \\ \|v\|^2 - \beta \|uv\|_2^2 = \|v\|_4^4. \end{array} \right\}$$

Here and in the following, we write  $|u|_p$  for the usual  $L^p$ -Norm of a function  $u \in L^p(\mathbb{B})$ . We note that

(2.1) 
$$E(u,v) = \frac{1}{4}(\|u\|^2 + \|v\|^2) \quad \text{for } (u,v) \in \mathcal{M}$$

Similarly, all nontrivial solutions of (1.4) are contained in

$$\mathcal{M}_S := \{ w \in H^1_0(\mathbb{B}), \ w \neq 0 \ : \ \|w\|^2 = |w|_4^4 \},$$

and  $E_S(w) = \frac{\|w\|^2}{4}$  for  $w \in \mathcal{M}_S$ . Next, we consider the set  $\Gamma_k \subset H_r$  of all functions  $w \in H_r$  such that there exists radii  $0 = r_0 < r_1 < ... < r_{k-1} < r_k = 1$  with  $w \cdot 1_{\{r_j \le |x| \le r_{j+1}\}} \in \mathcal{M}_S$  for j =0, ..., k - 1. The following highly useful variational principle goes back to Nehari [30] in the one-dimensional case. Later it was generalized to radial functions in higher space dimensions, see [6, 40, 41].

**Proposition 2.1.** The value  $c_k$  defined in (1.5) admits the variational characterization

(2.2) 
$$c_k = \inf_{w \in \Gamma_k} E_S(w).$$

Moreover, if  $w \in \Gamma_k$  satisfies  $E_S(w) = c_k$  and

$$(-1)^{j}w(x) \ge 0$$
 for  $r_{j} \le |x| \le r_{j+1}$ ,  $j = 0, ..., k-1$  or  
 $(-1)^{j}w(x) \le 0$  for  $r_{j} \le |x| \le r_{j+1}$ ,  $j = 0, ..., k-1$ ,

then w is a radial solution of (1.4) with precisely k-1 interior zeros.

Next we fix 3 , and we consider the function spaces

 $W_r = \{ u \in W_0^{1,p}(\mathbb{B}) : u \text{ radially symmetric} \},\$  $C_r = \{ u \in C(\overline{\mathbb{B}}) : u \text{ radially symmetric, } u|_{\partial \mathbb{B}} = 0 \},\$  $C_r^1 = \{ u \in C^1(\overline{\mathbb{B}}) : u \text{ radially symmetric, } u|_{\partial \mathbb{B}} = 0 \}.$ 

We have embeddings  $C_r^1 \hookrightarrow W_r$  and  $W_r \hookrightarrow C_r$ , since  $N \leq 3 < p$ . Here the second arrow is the usual Sobolev embedding restricted to radial functions. We also put

$$X = W_r \times W_r, \qquad Y = C_r^1 \times C_r^1, \qquad X_+ = \{(u, v) \in X : u, v \ge 0\}.$$

We remark that, if the pair  $(u, v) \in X_+$  is a weak solution of the coupled equations

$$-\Delta u + (1 - \beta v^2)u = u^3 \ge 0, \qquad -\Delta v + (1 - \beta u^2)v = v^3 \ge 0 \qquad \text{in } \mathbb{B}$$

and  $u \neq 0$ ,  $v \neq 0$ , then (u, v) is a solution of (1.3) by the strong maximum principle. We now collect some results on the parabolic problem

(2.3) 
$$\begin{cases} u_t - \Delta u + u - u^3 - \beta v^2 u = 0 & \text{in } \mathbb{B}, \\ v_t - \Delta v + v - v^3 - \beta u^2 v = 0 & \text{in } \mathbb{B}, \\ u = v = 0 & \text{on } \partial \mathbb{B}, \quad u(0, x) = u_0(x), \quad v(0, x) = v_0(x). \end{cases}$$

For the Cauchy problem (2.3) in the space X, we have the following.

**Proposition 2.2.** For every  $(u_0, v_0) \in X$ , the Cauchy problem (2.3) has a unique (mild) solution  $(u(t), v(t)) = \varphi(t, u_0, v_0) \in C([0, T), X)$  with maximal existence time  $T := T(u_0, v_0) > 0$  which is a classical solution for 0 < t < T. The set  $\mathcal{G} := \{(t, u_0, v_0) : 0 \leq t < T(u_0, v_0)\}$  is open in  $[0, \infty) \times X$ , and  $\varphi$  is a semiflow on  $\mathcal{G}$ . Moreover we have:

(i) For every  $(u_0, v_0) \in X$  and every  $0 < t < T(u_0, v_0)$  there is a neighborhood  $U \subset X$ of  $(u_0, v_0)$  in X such that T(u, v) > t for  $(u, v) \in U$ , and  $\varphi(t, \cdot, \cdot) : (U, \|\cdot\|_X) \to (Y, \|\cdot\|_Y)$  is a continuous map.

(ii) If  $(u_0, v_0) \in X_+$ , then  $\varphi(t, u_0, v_0) \in X_+$  for  $0 \le t < T(u_0, v_0)$ .

*Proof.* The proposition can be derived from abstract results of Amann concerning local existence and regularity, see [1]. For this we note that the substitution operator  $F_*$  induced by the nonlinearity

(2.4) 
$$(u,v) \mapsto F(u,v) = (u - u^3 - \beta v^2 u, v - v^3 - \beta u^2 v).$$

is locally Lipschitz continuous as a map  $W^{\tau,p}(\mathbb{B}) \times W^{\tau,p}(\mathbb{B}) \to L^p(\mathbb{B}) \times L^p(\mathbb{B})$  whenever  $\tau > \frac{N}{p}$ . Hence the local existence, the semiflow properties of  $\varphi$  and (i) follow from [1, Theorem 2.1 and Theorem 2.4].

Property (ii) is just a consequence of the parabolic maximum principle, since u and v both satisfy equations of the form  $w_t - \Delta w = f(x, t)w$  in  $\mathbb{B}$  with locally bounded f, together with homogeneous Dirichlet boundary conditions.

In the following we will frequently write  $\varphi^t(u)$  instead of  $\varphi(t, u)$ . For a classical solution of (2.3), we have

$$\frac{d}{dt}E(u,v) = \int_{\mathbb{B}} (\nabla u \nabla u_t + (u - u^3 - \beta v^2 u)u_t) \, dx + \int_{\mathbb{B}} (\nabla v \nabla v_t + (v - v^3 - \beta u^2 v)v_t) \, dx \\
= \int_{\mathbb{B}} (-\Delta u + u - u^3 - \beta v^2 u)u_t \, dx + \int_{\mathbb{B}} (-\Delta v + v - v^3 - \beta u^2 v)v_t \, dx \\
(2.5) = -\int_{\mathbb{B}} [(u_t)^2 + (v_t)^2] \, dx,$$

hence E is strictly decreasing along non-constant trajectories  $t \mapsto \varphi^t(u_0, v_0)$  in X. We need the following compactness property.

**Proposition 2.3.** Let  $(u_0, v_0) \in X$  and  $T = T(u_0, v_0)$  be such that the function  $t \mapsto E(\varphi^t(u_0, v_0))$  is bounded from below in (0, T). Then  $T = \infty$ , and for every  $\delta > 0$  the set  $\{\varphi^t(u_0, v_0) : t \ge \delta\}$  is relatively compact in Y.

*Proof.* Let  $(u(t), v(t)) = \varphi^t(u_0, v_0)$ , and recall that the nonlinearity F defined in (2.4) has cubic growth. Hence, in view of Amann's abstract criterion for global existence and relative compactness (see [1, Theorem 5.3 and Remark 5.4]), it suffices to show that

(2.6) 
$$\sup_{0 \le t < T} (|u(t)|_{\lambda} + |v(t)|_{\lambda}) < \infty \quad \text{for some } \lambda \text{ satisfying } 3 < 1 + \frac{2}{N}\lambda.$$

We restrict our attention to the case N = 3, since the case  $N \leq 2$  is easier. We claim that (2.6) holds with  $\lambda = \frac{10}{3}$ . The following argument is similar to the method in [7], see in particular estimates (2.12) and (2.15) below. To shorten notation, we put  $E_{\inf} = \inf_{0 \leq t \leq T} E(u(t), v(t))$ ,

$$\dot{E} = \frac{d}{dt}E(u,v) = -(|u_t|_2^2 + |v_t|_2^2)$$
 and  $h = |u|_2^2 + |v|_2^2$ 

Then

(2.7) 
$$\frac{dh}{dt} = 2 \int_{\mathbb{B}} (uu_t + vv_t) \, dx \le 2 \left( |u|_2 |u_t|_2 + |v|_2 |v_t|_2 \right) \le h - \dot{E},$$

and, by multiplying (2.3) with u resp. v and integrating,

(2.8) 
$$\int_{\mathbb{B}} (uu_t + vv_t) \, dx = -(\|u\|^2 + \|v\|^2) + |u|_4^4 + |v|_4^4 + 2\beta |uv|_2^2$$
$$= -4E(u,v) + \|u\|^2 + \|v\|^2.$$

Consequently,

(2.9) 
$$\|u\|^2 + \|v\|^2 \le 4E(u_0, v_0) + \int_{\mathbb{B}} (uu_t + vv_t) \, dx \le C_1 + |u|_2 |u_t|_2 + |v|_2 |v_t|_2 \\ \le C_1 + \sqrt{h} (|u_t|_2 + |v_t|_2).$$

Here and in the following,  $C_1, C_2, \ldots$  are positive constants independent of t. We first consider the case where  $T < \infty$ . From (2.7) we derive

$$\frac{d}{dt}(e^{-t}h(t)) = e^{-t}\left(\frac{dh}{dt}(t) - h(t)\right) \le -e^{-t}\dot{E}(t) \le -\dot{E}(t),$$

so that

$$h(t) \le e^t \Big( h(0) - \int_0^t \dot{E}(s) \, ds \Big) \le e^T [h(0) + E(u_0, v_0) - E_{\inf}] \le C_2$$

for  $t \in [0, T)$ . Hence (2.9) implies

$$||u(t)||^{2} + ||v(t)||^{2} \le C_{3}(1 + |u_{t}(t)|_{2} + |v_{t}(t)|_{2})$$

and therefore

 $(2.10) ||u(t)||^4 + ||v(t)||^4 \le C_4 (1 + |u_t(t)|_2^2 + |v_t(t)|_2^2) = C_4 (1 - \dot{E}(t))$  for  $t \in [0, T)$ . Thus we obtain for  $0 \le t < T$ 

(2.11) 
$$\int_0^t (\|u\|^4 + \|v\|^4) \, ds \le C_4 [T + E(u_0, v_0) - E_{\inf}] =: C_5,$$

which implies, for  $\lambda = \frac{10}{3}$ ,

$$\frac{1}{\lambda} \Big( |u(t)|_{\lambda}^{\lambda} + |v(t)|_{\lambda}^{\lambda} - \big( |u(0)|_{\lambda}^{\lambda} + |v(0)|_{\lambda}^{\lambda} \big) \Big) = \int_{0}^{t} \Big( |u|^{\lambda - 2} u u_{t} + |v|^{\lambda - 2} v v_{t} \Big) ds \\
\leq \int_{0}^{t} \Big( |u|_{2}^{\frac{1}{3}} |u|_{6}^{2} |u_{t}|_{2} + |v|_{2}^{\frac{1}{3}} |v|_{6}^{2} |v_{t}|_{2} \Big) ds \leq \int_{0}^{t} h^{\frac{1}{6}} \Big( |u|_{6}^{2} |u_{t}|_{2} + |v|_{6}^{2} |v_{t}|_{2} \Big) ds \\
\leq C_{6} \int_{0}^{t} \Big( |u|_{6}^{4} + |u_{t}|_{2}^{2} + |v|_{6}^{4} + |v_{t}|_{2}^{2} \Big) ds \leq C_{7} \int_{0}^{t} \Big( ||u||^{4} + ||v||^{4} - \dot{E} \Big) ds \\
\leq C_{7} [C_{5} + E(u_{0}, v_{0}) - E_{\text{inf}}] =: C_{8}.$$

Here we used the Sobolev embedding  $H_r \hookrightarrow L^6(\mathbb{B})$ . This concludes the proof of (2.6) if  $T < \infty$ .

Next we consider the case  $T = \infty$ . Then there exists a sequence  $(t_n)_n$  with  $n \le t_n \le n+1$  and

$$-(|u_t(t_n)|_2^2 + |v_t(t_n)|_2^2) = \dot{E}(t_n) \to 0 \quad \text{as } n \to \infty.$$

Combining this with (2.9), we get

$$\|u(t_n)\|^2 + \|v(t_n)\|^2 \le C_1 + \sqrt{h(t_n)}(|u_t(t_n)|_2 + |v_t(t_n)|_2) \le C_1 + o(1)\sqrt{\|u(t_n)\|^2 + \|v(t_n)\|^2}$$
which implies that

which implies that

(2.13) 
$$||u(t_n)|| + ||v(t_n)|| \le C_9$$
 for all  $n$ .

Moreover, for  $t_n \leq t \leq t_{n+1}$ , we derive from (2.7)

$$\frac{\partial}{\partial t}(e^{-(t-t_n)}h(t)) = e^{-(t-t_n)} \left(\frac{\partial h}{\partial t}(t) - h(t)\right) \le -e^{-(t-t_n)} \dot{E}(t) \le -\dot{E}(t),$$

so that, by (2.13),

$$h(t) \le e^{t-t_n} \left( h(t_n) - \int_{t_n}^t \dot{E}(s) \, ds \right) \le e^2 (C_9^2 + E(u_0, v_0) - E_{\inf}) \le C_{10}.$$

Hence (2.9) implies

$$||u(t)||^{2} + ||v(t)||^{2} \le C_{11}(1 + |u_{t}(t)|_{2} + |v_{t}(t)|_{2})$$

and therefore

$$||u(t)||^4 + ||v(t)||^4 \le C_{12}(1 - \dot{E}(t))$$

for all  $t \ge 0$ . Thus we obtain, for  $t_n \le t \le t_{n+1}$ , as in (2.11),

(2.14) 
$$\int_{t_n}^t (\|u\|^4 + \|v\|^4) \, ds \le C_{13},$$

and thus, similarly as before,

$$\frac{1}{\lambda}(|u(t)|^{\lambda}_{\lambda} + |v(t)|^{\lambda}_{\lambda}) = \frac{1}{\lambda}(|u(t_n)|^{\lambda}_{\lambda} + |v(t_n)|^{\lambda}_{\lambda}) + \int_{t_n}^t \left(|u|^{\lambda-2}uu_t + |v|^{\lambda-2}vv_t\right) ds$$

$$\leq \frac{1}{\lambda}(|u(t_n)|^{\lambda}_{\lambda} + |v(t_n)|^{\lambda}_{\lambda}) + C_{14}\int_{t_n}^t \left(||u||^4 + ||v||^4 - \dot{E}\right) ds$$

$$\leq \frac{1}{\lambda}(|u(t_n)|^{\lambda}_{\lambda} + |v(t_n)|^{\lambda}_{\lambda}) + C_{15} \leq C_{16},$$
(2.15)

where we used (2.13) and the Sobolev embedding  $H_r \hookrightarrow L^{\lambda}(\mathbb{B})$  in the last step. The proof of (2.6) finished, and hence the claim follows.

The following Corollary is a consequence of (2.5) and Proposition 2.3.

**Corollary 2.4.** If, for some  $(u_0, v_0) \in X_+$  and  $T = T(u_0, v_0)$ , the function  $t \mapsto E(\varphi^t(u_0, v_0))$  is bounded from below on (0, T), then  $T = \infty$  and the  $\omega$ -limit set

$$\omega(u_0, v_0) = \bigcap_{t>0} \operatorname{clos}_Y \left( \{ \varphi^s(u_0, v_0) : s \ge t \} \right)$$

is a nonempty compact subset of Y consisting of radial solutions of (1.3). Here  $clos_Y$  stands for the closure with respect to the Y-topology.

We also need a variant of Sturm's lap number theorem similar to the one available for scalar parabolic equations, see [4, 18, 26, 31] for the one-dimensional case and [32] for the radial case in higher dimensions. Given  $(u, v) \in X$ , we define the number of (strict) intersections i(u, v) of u and v as the maximal  $k \in \mathbb{N} \cup \{0, \infty\}$  such that there exist points  $x_1, \ldots, x_{k+1} \in \mathbb{B}$  with  $0 \leq |x_1| < \cdots < |x_{k+1}| < 1$  and

$$[u(x_i) - v(x_i)][u(x_{i+1}) - v(x_{i+1})] < 0 \quad \text{for } i = 1, \dots, k.$$

**Lemma 2.5.** Let  $(u_0, v_0) \in X$  and  $T := T(u_0, v_0)$ . Then  $t \mapsto i(\varphi^t(u_0, v_0))$  is nonincreasing in  $t \in [0, T)$ .

This Lemma can easily be derived from [32, Theorem 2.1]. In fact, the general result in [32] for scalar equations implies a stronger monotonicity property than the one stated in Lemma 2.5. Since we only need the weak version stated above, we give a short proof following an argument of Sattinger (cf. [34, Theorem 4]).

Proof. We write  $(u(t), v(t)) = \varphi^t(u_0, v_0)$ , so that (u, v) is a solution of (2.3). In view of the semiflow properties, it suffices to show the inequality  $i(u(\tau), v(\tau)) \leq i(u_0, v_0)$ for fixed  $0 < \tau < T$ . We consider the function  $\tilde{w} = u - v$  which is continuous on  $\mathbb{B} \times [0, \tau]$  and satisfies the equation  $\tilde{w}_t - \Delta \tilde{w} + f(x, t)\tilde{w} = 0$  in  $\mathbb{B} \times (0, \tau]$ , where  $f(\cdot, t) = 1 - [u^2(t) + v^2(t)] + (\beta - 1)u(t)v(t)$  is bounded in  $\mathbb{B} \times [0, \tau]$ . Fix  $\lambda > 0$  such that  $g(x, t) := f(x, t) + \lambda$  is positive on  $\mathbb{B} \times [0, \tau]$ , and consider  $w(x, t) = e^{-\lambda t}\tilde{w}(x, t)$ . Then w is continuous on  $\mathbb{B} \times [0, \tau]$  and satisfies the equation

(2.16) 
$$w_t - \Delta w + g(x,t)w = 0 \quad \text{in } \mathbb{B} \times (0,\tau].$$

Let

$$U^+ = \{(x,t) \in \mathbb{B} \times [0,\tau] : w(x,t) > 0\}, \qquad U^- = \{(x,t) \in \mathbb{B} \times [0,\tau] : w(x,t) < 0\}.$$

We show that every connected component of  $U^+$  intersects  $S_0 := \mathbb{B} \times \{0\}$ . Indeed, suppose by contradiction that there is a component U such that  $U \cap S_0 = \emptyset$ . Since  $w \equiv 0$  on the relative boundary of U in  $\mathbb{B} \times [0, \tau]$ , there exists  $(x_0, t_0) \in U$  with  $w(x_0, t_0) = \max_U w > 0$ . Hence  $\Delta w(x_0, t_0) \leq 0$ . Moreover, since  $t_0 > 0$ , we have  $w_t(x_0, t_0) = 0$  if  $t_0 < \tau$  and  $w_t(x_0, t_0) \geq 0$  if  $t_0 = \tau$ . This however contradicts (2.16), since g > 0 on  $\mathbb{B} \times [0, \tau]$ . Similarly, we show that every connected component of  $U^$ intersects  $S_0$ .

Now let  $k = i(u(\tau), v(\tau))$ , and choose  $x_1, ..., x_{k+1}$  with  $0 \le |x_1| < \cdots < |x_{k+1}| < 1$ and

$$w(x_i, \tau)w(x_{i+1}, \tau) < 0$$
 for  $i = 1, \dots, k$ .

We may assume that  $w(x_1, \tau) > 0$  and that k + 1 = 2j is even, the other cases are treated similarly. Then there are corresponding components  $U_1^+, \ldots, U_j^+$  of  $U^+$  and  $U_1^-, \ldots, U_j^-$  of  $U^-$  such that  $(x_{2i-1}, \tau) \in U_i^+$  and  $(x_{2i}, \tau) \in U_i^-$  for  $i = 1, \ldots, j$ . Since  $U_i^{\pm} \cap S_0 \neq \emptyset$  for every i, we may pick  $(y_{2i-1}, 0) \in U_i^+ \cap S_0$  and  $(y_{2i}, 0) \in U_i^- \cap S_0$ . From the fact that  $w(\cdot, t)$  is a radial function for all  $0 \leq t \leq \tau$ , we deduce that  $0 \leq |y_1| < |y_2| < \cdots < |y_{k+1}|$ , while  $w(y_i, 0)w(y_{i+1}, 0) < 0$  for  $i = 1, \ldots, k$ . Hence  $i(u_0, v_0) \geq k$ , as claimed.

By Proposition 2.2 and the principle of linearized stability, the constant solution  $(u, v) \equiv (0, 0)$  is stable in X, so that the set

(2.17) 
$$\mathcal{A}_* := \{(u, v) \in X_+ : T(u, v) = \infty \text{ and } \varphi^t(u, v) \to (0, 0) \text{ in } X \text{ as } t \to \infty \}$$

is a relatively open neighborhood of (0,0) in  $X_+$ .

**Lemma 2.6.**  $\{(u, u) : u \in W_r, u \ge 0\} \subset \mathcal{A}_*$ .

*Proof.* Let  $u_0 \in W_r$ ,  $u_0 \ge 0$ . By uniqueness of the solution of the Cauchy problem (1.3), we have  $\varphi^t(u_0, u_0) = (u(x, t), u(x, t))$ , where u(x, t) is the unique solution of the Cauchy problem

(2.18) 
$$u_t - \Delta u = (1+\beta)u^3 - u \quad \text{in } \mathbb{B}, \qquad u = 0 \quad \text{on } \partial \mathbb{B}, \qquad u(0) = u_0.$$

A comparison with the solution y = y(t) of the ordinary differential equation  $\dot{y} = (1+\beta)y^3 - y$  satisfying  $y(0) = |u_0|_{\infty}$  yields  $0 \le u(x,t) \le y(t)$  for all  $x \in \mathbb{B}, t \ge 0$ , whereas  $y(t) \to 0$  as  $t \to \infty$  since  $\beta \le -1$ . This shows that  $|u(\cdot,t)|_{\infty}$  is uniformly bounded in  $t \in [0, T(u_0, u_0))$ , so that  $E(\varphi^t(u_0, u_0))$  remains bounded from below. Hence  $T(u_0, u_0) = \infty$  by Proposition 2.3, and for  $\delta > 0$  the set  $\{\varphi^t(u_0, u_0) : t \ge \delta\}$ is relatively compact in Y. Since  $|u(\cdot, t)|_{\infty} \le y(t) \to 0$  as  $t \to \infty$ , we conclude that  $\varphi^t(u_0, u_0) \to 0$  in the Y-topology and therefore also in the X-topology. Hence  $(u_0, u_0) \in \mathcal{A}_*$ , as claimed.  $\Box$ 

### 3. EXISTENCE OF SOLUTIONS WITH A GIVEN NUMBER OF INTERSECTIONS

We keep using the notation of Section 2. Let  $\partial \mathcal{A}_*$  denote the relative boundary of the set  $\mathcal{A}_*$  (see (2.17)) in  $X_+$  with respect to the X-topology. The continuity of the semiflow  $\varphi$  and Proposition 2.2(ii) imply that  $\partial \mathcal{A}_*$  is positively invariant under  $\varphi$ . Moreover,  $E(u, v) \ge 0$  and  $T(u, v) = \infty$  for every  $(u, v) \in \partial \mathcal{A}_*$  by Proposition 2.3. We now define

 $Y_k := \{(u, v) \in Y : i(u, v) \le k - 1\}$  and  $\mathcal{A}_k := \{(u, v) \in \partial \mathcal{A}_* : i(u, v) \le k - 1\}$ By definition,  $\mathcal{A}_k$  is a closed subset of X, and by Lemma 2.5 it is a positively invariant set for the flow  $\varphi$ . Our aim is to find solutions of (1.3) in  $\mathcal{A}_k \setminus \mathcal{A}_{k-1}$  for every  $k \ge 2$ . We remark the following.

**Lemma 3.1.** If  $(u, v) \in A_k$  is a radial solution of (1.3), then  $(u, v) \in int_Y(Y_k)$ , where  $int_Y(Y_k)$  denotes the interior of  $Y_k$  with respect to the Y-topology.

*Proof.* If (u, v) is a radial solution of (1.3), then  $(u, v) \in Y$  by standard elliptic regularity. Moreover, as a function of the radial variable, w = u - v is a solution of the one-dimensional boundary value problem

$$-w_{rr} - \frac{N-1}{r}w_r + f(r)w = 0, \quad r \in (0,1), \qquad w_r(0) = 0, \ w(1) = 0,$$

where  $f(r) = 1 - [u^2(r) + v^2(r)] + (\beta - 1)u(r)v(r)$ . Hence  $w(0) \neq 0$ , and  $r \mapsto w(r)$ has only simple zeros in (0, 1]. In fact, w has  $l \leq k - 1$  zeros since  $(u, v) \in \mathcal{A}_k$ . But then there is a neighborhood of w in the  $C^1$ -topology containing only functions with precisely l simple zeros. Hence  $(u, v) \in \operatorname{int}_Y(Y_k)$ , as claimed.

Next we note that the set  $\partial \mathcal{A}_*$  and the sets  $\mathcal{A}_k$ ,  $k \geq 1$  are symmetric with respect to the involution  $(u, v) \mapsto \sigma(u, v) = (v, u)$ , and the semiflow  $\varphi^t$  is  $\sigma$ -equivariant. We also note that  $\sigma$  has no fixed points in  $\partial \mathcal{A}_*$  by Lemma 2.6. For a closed  $\sigma$ -symmetric subset  $A \subset \partial \mathcal{A}_*$  we define the genus  $\gamma(A)$  corresponding to  $\sigma$  as the least  $k \in \mathbb{N} \cup \{0\}$ such that there is a continuous map  $h : A \to \mathbb{R}^k \setminus \{0\}$  with h(v, u) = -h(u, v). As usual, we define  $\gamma(A) = \infty$  if no such k exists. The genus has many useful properties. In the following we only list the properties we need.

**Lemma 3.2.** Let  $A, B \subset \partial \mathcal{A}_*$  be closed and  $\sigma$ -symmetric.

- (i) If  $A \subset B$ , then  $\gamma(A) \leq \gamma(B)$ .
- (ii)  $\gamma(A \cup B) \le \gamma(A) + \gamma(B)$ .
- (iii) If  $h: A \to \partial \mathcal{A}_*$  is continuous and  $\sigma$ -equivariant, then  $\gamma(A) \leq \gamma(h(A))$ .
- (iv) If  $\gamma(A) < \infty$ , then there exists a relatively open  $\sigma$ -symmetric neighborhood N of A in  $\partial \mathcal{A}_*$  such that  $\gamma(A) = \gamma(\overline{N})$ .
- (v) If S is the boundary of a bounded symmetric neighborhood of the origin in a k-dimensional normed vector space und  $\psi : S \to \partial \mathcal{A}_*$  is a continuous map satisfying  $\psi(-u) = \sigma(\psi(u))$ , then  $\gamma(\psi(S)) \ge k$ .

Note that in (v) the set  $\psi(S)$  is closed since S is compact.

Proof. Properties (i) and (iii) follow immediately from the definition of  $\gamma$ . Moreover, (ii) and (iv) can be proved using the Tietze extension theorem similarly as in [42, p. 96]. Property (v) is proved by contradiction, assuming that there exists a continuous map  $h: \psi(S) \to \mathbb{R}^{k-1} \setminus \{0\}$  with h(v, u) = -h(u, v). Then  $h \circ \psi : S \to \mathbb{R}^{k-1} \setminus \{0\}$ is an odd and continuous map, which contradicts the Borsuk-Ulam Theorem (see e.g. [44, Theorem D.17.]).

## Lemma 3.3. $\gamma(\mathcal{A}_k) \leq k$ .

*Proof.* We proceed by induction, starting with k = 1. By definition,  $\mathcal{A}_1$  is precisely the set of vectors  $(u, v) \in \partial \mathcal{A}_*$  such that u - v does not change sign. By Lemma 2.6,  $\{(u, u) : u \in W_r, u \ge 0\} \cap \mathcal{A}_1 = \emptyset$ , which implies that  $\mathcal{A}_1 = B_+ \cup B_-$  with disjoint subsets  $B_{\pm}$  defined by

$$B_{+} = \{(u,v) \in \mathcal{A}_{*} : u \ge v, \ u - v \neq 0\}, \qquad B_{-} = \{(u,v) \in \mathcal{A}_{*} : u \le v, \ u - v \neq 0\}.$$

Since the sets  $\mathcal{B}_{\pm}$  are relatively open in  $\mathcal{A}_1$ , the map

$$h: \mathcal{A}_1 \to \mathbb{R} \setminus \{0\}, \qquad h(u, v) = \begin{cases} 1 & (u, v) \in B_+ \\ -1 & (u, v) \in B_- \end{cases}$$

is continuous, and it is also  $\sigma$ -symmetric. We conclude that  $\gamma(\mathcal{A}_1) \leq 1$ , as claimed. Next we consider k > 1 and assume that  $\gamma(\mathcal{A}_{k-1}) \leq k-1$ . We use the fact that  $\mathcal{A}_k = \tilde{A} \cup \mathcal{A}_{k-1}$ , where  $\tilde{A} = \{(u, v) \in \mathcal{A}_* : i(u, v) = k-1\}$ . Let  $\tilde{B}_{\pm}$  be the set of all  $(u, v) \in \tilde{A}$  such that, for some  $x_1 \in \mathbb{B}$ ,

$$\pm (u(x_1) - v(x_1)) > 0$$
 and  $\pm (u(x) - v(x)) \ge 0$  for  $0 \le x \le |x_1|$ .

Then  $\tilde{A} = \tilde{B}_+ \cup \tilde{B}_-$ . We claim that the sets  $\tilde{B}_{\pm}$  are relatively open in  $\tilde{A}$ . Indeed, if  $(u, v) \in \tilde{B}_+$ , then there are points  $x_1, \ldots, x_k$  with  $0 \le |x_1| < \cdots < |x_k| < 1$  such that

$$u(x) - v(x) \ge 0$$
,  $u(x_1) - v(x_1) > 0$ , and  $[u(x_i) - v(x_i)][u(x_{i+1}) - v(x_{i+1})] < 0$ 

for  $0 \leq |x| \leq |x_1|$  and  $i = 1, \ldots, k-1$ . Hence there is a neighborhood  $U \subset X_+$  of (u, v)such that  $[\tilde{u}(x_i) - \tilde{v}(x_i)][\tilde{u}(x_{i+1}) - \tilde{v}(x_{i+1})] < 0$  for every  $(\tilde{u}, \tilde{v}) \in U$ ,  $i = 1, \ldots, k-1$ . This implies that  $\tilde{u}(x) - \tilde{v}(x) \geq 0$  for  $0 \leq |x| \leq |x_1|$  and every  $(\tilde{u}, \tilde{v}) \in U \cap \tilde{B}_+$ , since  $i(\tilde{u}, \tilde{v}) = k - 1$ . Hence  $\tilde{B}_+$  is relatively open in  $\tilde{A}$ . A similar argument shows that  $\tilde{B}_$ is relatively open in  $\tilde{A}$ . Consequently, the map

$$\tilde{h}: \tilde{A} \to \mathbb{R} \setminus \{0\}, \qquad \tilde{h}(u,v) = \begin{cases} 1 & (u,v) \in \tilde{B}_+, \\ -1 & (u,v) \in \tilde{B}_- \end{cases}$$

is continuous and  $\sigma$ -symmetric. To conclude the proof, we let  $N \subset \partial \mathcal{A}_*$  be a relatively open  $\sigma$ -symmetric neighborhood of  $\mathcal{A}_{k-1}$  such that

$$\gamma(N) = \gamma(\mathcal{A}_{k-1}) \le k-1,$$

as provided by Lemma 3.2(iv). Since  $\mathcal{A}_k \setminus N$  is a closed  $\sigma$ -symmetric subset of  $\tilde{A}$  and therefore  $\gamma(\mathcal{A}_k \setminus N) \leq 1$  via the map  $\tilde{h}$  defined above, we conclude that

$$\gamma(\mathcal{A}_k) \leq \gamma(\overline{N}) + \gamma(\mathcal{A}_k \setminus N) \leq k.$$

**Proposition 3.4.** For every  $k \ge 2$ , there exists a solution  $(u, v) \in \mathcal{A}_k \setminus \mathcal{A}_{k-1}$  of (1.3) with  $E(u, v) \le c_k$ .

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*Proof.* It is known (see [40, 41]) that there is a radial solution  $\bar{w}$  of the equation

(3.1) 
$$\Delta w - w + w^3 = 0 \quad \text{in } \mathbb{B}, \qquad w = 0 \quad \text{on } \partial \mathbb{B}$$

with  $E_S(\bar{w}) = c_k$  and such that  $\bar{w}$ , viewed as a function of the radial variable, has precisely k-1 interior zeros  $0 < r_1 < \cdots < r_{k-1} < 1$ . Put  $r_0 = 0$  and  $r_k = 1$ , and consider  $w_j = \bar{w} \cdot 1_{\{r_j \leq |x| \leq r_{j+1}\}} \in W_r$  for  $j = 0, \ldots, k-1$ . Multiplying (3.1) by  $w_j$ and integrating over  $\{r_j \leq |x| \leq r_{j+1}\}$ , we find that  $||w_j||^2 = |w_j|_4^4$  and therefore  $E_S(w_j) = \frac{1}{4} ||w_j||^2$ . Hence we have

(3.2) 
$$E_S(sw_j) = \frac{1}{2}(s^2 - \frac{s^4}{2}) ||w_j||^2 \le \frac{1}{4} ||w_j||^2 = E_S(w_j) \quad \text{for every } s \in \mathbb{R}$$

and

(3.3) 
$$E_S(sw_j) \to -\infty$$
 as  $|s| \to \infty$ .

We consider the k-dimensional subspace  $W \subset W_r$  spanned by the functions  $w_j$ ,  $j = 0, \ldots, k-1$ , and the map

$$\psi: W \to X_+, \qquad \psi(w) = (w^+, w^-),$$

where  $w^+ = \max\{w, 0\}, w^- = -\min\{w, 0\}$ . Clearly  $\psi$  is continuous, and  $\psi(-w) = \sigma(\psi(w))$  for all  $w \in W$ . Using (3.2), we find that

(3.4) 
$$E(\psi(\sum_{j=1}^{k} s_j w_j)) = \sum_{j=1}^{k} E_S(s_j w_j) \le \sum_{j=1}^{k} E_S(w_j) = E_S(\bar{w}) = c_k$$

for all  $(s_1, \ldots, s_k) \in \mathbb{R}^k$ , while

$$\lim_{\|w\|\to\infty} E(\psi(w)) = -\infty$$

by (3.3). Hence  $\mathcal{O} := \{ w \in W : \psi(w) \in \mathcal{A}_* \}$  is a symmetric bounded open neighborhood of 0 in W, and  $\psi(\partial \mathcal{O}) \subset \mathcal{A}_k$ . Lemma 3.2(v) implies that  $\gamma(\psi(\partial \mathcal{O})) \geq k$ . On the other hand, defining the closed subsets

$$\mathcal{C}_{k-1}^t := \{ (u, v) \in \partial \mathcal{A}_* : \varphi^t(u, v) \in \mathcal{A}_{k-1} \} \subset \partial \mathcal{A}_* \quad \text{for } t > 0,$$

we infer  $\gamma(\mathcal{C}_{k-1}^t) \leq k-1$  by Lemma 3.2(iii) and Lemma 3.3 for every t > 0. In particular, for every positive integer n there exists  $(u_n, v_n) \in \psi(\partial \mathcal{O}) \setminus \mathcal{C}_{k-1}^n$ , so that  $\varphi^n(u_n, v_n) \notin \mathcal{A}_{k-1}$ . Since  $\psi(\partial \mathcal{O})$  is compact, we may pass to a subsequence such that  $(u_n, v_n) \to (\bar{u}, \bar{v})$  as  $n \to \infty$ . We claim that

(3.5) 
$$\varphi^t(\bar{u},\bar{v}) \notin \operatorname{int}_Y(Y_{k-1})$$
 for every  $t > 0$ .

Indeed, assuming by contradiction that  $\varphi^{t_0}(\bar{u}, \bar{v}) \in \operatorname{int}_Y(Y_{k-1})$  for some  $t_0 > 0$ , the continuity of  $\varphi^t$  as stated in Proposition 2.2(i) implies that

$$\varphi^{t_0}(u_n, v_n) \in \operatorname{int}_Y(Y_{k-1}) \cap \partial \mathcal{A}_* \subset \mathcal{A}_{k-1}$$
 for *n* large enough,

hence  $\varphi^n(u_n, v_n) \in \mathcal{A}_{k-1}$  for *n* large by the positive invariance of  $\mathcal{A}_{k-1}$ . This contradicts the choice of  $(u_n, v_n)$ . Hence (3.5) is true.

Now (3.5) implies that the  $\omega$ -limit set  $\omega(\bar{u}, \bar{v})$  does not intersect  $\operatorname{int}_Y(Y_{k-1})$ . Since  $\omega(\bar{u}, \bar{v})$  consists of radial solutions of (1.3), we conclude by Lemma 3.1 that  $\omega(\bar{u}, \bar{v}) \subset$ 

 $\mathcal{A}_k \setminus \mathcal{A}_{k-1}$ . Moreover,  $E(u,v) \leq E(\bar{u},\bar{v}) \leq c_k$  for every  $(u,v) \in \omega(\bar{u},\bar{v})$  by (3.4). So every  $(u,v) \in \omega(\bar{u},\bar{v})$  has the asserted properties.

Theorem 1.1 follows directly from Proposition 3.4.

## 4. Asymptotic behaviour as $\beta \to \infty$

This section is devoted to the proof of Theorem 1.2. For fixed  $k \ge 2$ , let  $\beta_n \le -1$ ,  $n \in \mathbb{N}$  be such that  $\beta_n \to -\infty$  as  $n \to \infty$ , and let  $(u_n, v_n) \in H_r \times H_r$  be solutions of (1.3) with  $\beta = \beta_n$  such that  $u_n - v_n$  changes sign precisely k - 1 times in the radial variable and  $E(u_n, v_n) \le c_k$ . In the following,  $C_0, C_1, \ldots$  always stand for positive constants independent of n. By (2.1), the energy bound yields a uniform  $H^1$ -bound for the sequence  $(u_n, v_n)_n$ . Passing to a subsequence, we may therefore assume that

$$u_n \rightharpoonup u, \qquad v_n \rightharpoonup v \qquad \text{weakly in } H_r.$$

Since  $\beta_n$  is negative and  $u_n, v_n$  are bounded in  $H^1(\mathbb{B})$ , we deduce from standard elliptic subsolution estimates (e.g. Theorem 8.17 of [16]) that

$$(4.1) |u_n|_{\infty}, |v_n|_{\infty} \le C_0$$

We consider the radial functions

$$H_n: \mathbb{B} \to \mathbb{R}, \qquad H_n:=|u'_n|^2+|v'_n|^2-(u_n^2+v_n^2)+\frac{1}{2}(u_n^4+v_n^4)+\beta_n u_n^2 v_n^2,$$

where the prime stands for the radial derivative  $\frac{d}{dr}$ . The following monotonocity property in r = |x| is crucial:

(4.2)  

$$H'_{n}(r) = 2u'_{n}(r)[u''_{n}(r) - u_{n}(r) + u^{3}_{n}(r) + \beta_{n}v^{2}_{n}(r)u_{n}(r)] + 2v'_{n}(r)[v''_{n}(r) - v_{n}(r) + v^{3}_{n}(r) + \beta_{n}u^{2}_{n}(r)v_{n}(r)] = -\frac{2(N-1)}{r}([u'_{n}(r)]^{2} + [v'_{n}(r)]^{2}) \leq 0 \quad \text{for } r > 0.$$

The second equality follows from (1.3). Since  $\beta_n < 0$  and  $u'_n(0) = v'_n(0) = 0$ , we have

(4.3) 
$$H_n(0) \le \frac{1}{2}(u_n^4(0) + v_n^4(0)) \le C_1$$

and therefore

(4.4) 
$$0 < |u'_n(1)|^2 + |v'_n(1)|^2 = H_n(1) \le H_n(0) \le C_1$$

We thus conclude that the functions  $H_n$  are positive, nonincreasing and uniformly bounded in [0, 1]. Integrating, we also get

$$C_1 \ge H_n(0) - H_n(1) = 2(N-1) \int_0^1 \frac{[u'_n(r)]^2 + [v'_n(r)]^2}{r} dr.$$

Viewing  $u_n, v_n$  as functions of  $r \in [0, 1]$ , we deduce

(4.5) 
$$\|u_n\|_{H^1([0,1])}, \|v_n\|_{H^1([0,1])} \le C_2$$

for  $N \ge 2$ , while for N = 1 this is already known. We therefore conclude that (4.6)  $u_n \to u, \quad v_n \to v$  uniformly in  $\mathbb{B}$ . In particular, u and v are continuous. In the next three lemmas, we collect further properties of the sequence  $(u_n, v_n)_n$  and its limit (u, v).

**Lemma 4.1.** Let  $P(u) = \{x \in \mathbb{B} : u(x) > 0\}, P(v) = \{x \in \mathbb{B} : v(x) > 0\}.$ (i) For any  $\tau > 0$ ,

$$\beta_n|^{\tau} v_n \to 0$$
 uniformly on compact subsets of  $P(u)$ ,

 $|\beta_n|^{\tau} u_n \to 0$  uniformly on compact subsets of P(v).

(ii) On P(u) resp. P(v), u resp. v solve the equations

$$-\Delta u + u = u^3, \qquad -\Delta v + v = v^3,$$

respectively, in classical sense.

The following proof does not use the radial symmetry of  $u_n$  and  $v_n$ . It only relies on (4.6).

*Proof.* (i) We only prove the first statement. Let  $K \subset P(u)$  be compact, and let  $\varepsilon>0$  be such that

$$K_{\varepsilon} := \{ x \in \mathbb{R}^N : \operatorname{dist}(x, K) \le \varepsilon \} \subset \{ x \in P(u) : u(x) > \varepsilon \}.$$

In  $K_{\varepsilon}$ , we have

$$\Delta v_n \ge (1 - v_n^2 - \frac{\beta_n \varepsilon^2}{2}) v_n \ge \left(\frac{|\beta_n|\varepsilon^2}{2} - C_3\right) v_n \ge \frac{|\beta_n|\varepsilon^2}{4} v_n \quad \text{for } n \text{ sufficiently large.}$$
  
Now fix  $v_0 \in K$ . Since  $B_{\epsilon}(v_0) \subset K_{\epsilon}$ , we have

Now fix  $x_0 \in K$ . Since  $B_{\varepsilon}(x_0) \subset K_{\varepsilon}$ , we have

$$\begin{cases} \Delta v_n \ge M_n v_n & \text{ in } B_{\varepsilon}(x_0), \\ v_n \ge 0 & \text{ in } B_{\varepsilon}(x_0), \\ v_n \le C_0 & \text{ on } \partial B_{\varepsilon}(x_0), \end{cases}$$

where  $M_n := \frac{|\beta_n|\varepsilon^2}{4}$ . Applying [13, Lemma 4.4] with  $\alpha = \frac{1}{2}$ , we conclude that

$$v_n(x_0) \le C_4 e^{-\frac{\varepsilon}{2}\sqrt{M_n}} = C_4 e^{-\frac{\varepsilon^2}{4}\sqrt{|\beta_n|}}.$$

For *n* large enough such that  $\sqrt{|\beta_n|} \geq \frac{8\tau}{\epsilon^2} \log |\beta_n|$ , we conclude

$$v_n(x_0) \le C_4 |\beta_n|^{-2\tau},$$

where the constant  $C_4$  does not depend on  $x_0$ . Hence  $\sup_K |\beta_n|^{\tau} v \to 0$  as  $n \to \infty$ , as claimed.

(ii) For  $\varphi \in C_0^{\infty}(P(u))$  we have

$$\int_{P(u)} u\Delta\varphi \, dx = \lim_{n \to \infty} \int_{P(u)} u_n \Delta\varphi \, dx = \lim_{n \to \infty} \int_{P(u)} \Delta u_n \varphi \, dx$$
$$= \lim_{n \to \infty} \int_{P(u)} (u_n - u_n^3 - \beta_n v_n^2 u_n) \varphi \, dx = \int_{P(u)} (u - u^3) \varphi \, dx$$

as a consequence of (i) and (4.6). Hence u is a distributional solution of  $-\Delta u + u = u^3$ in P(u). Since we already know that u is continuous, classical elliptic regularity shows that u is in fact a classical solution. The statement for v is proved in the same way.  $\Box$  Corollary 4.2.

(i) If  $0 < r_1 < r_2 \le 1$  are such that u is positive in  $\mathcal{A} := \{x \in \mathbb{B} : r_1 < |x| < r_2\}$ and  $u|_{\partial \mathcal{A}} = 0$ , then

(4.7) 
$$\int_{\mathcal{A}} (|\nabla u|^2 + u^2 - u^4) \, dx = 0$$

(ii) If  $0 < r \le 1$  is such that u is positive in  $\mathcal{B} := \{x \in \mathbb{B} : |x| < r\}$  and  $u|_{\partial \mathcal{B}} = 0$ , then

(4.8) 
$$\int_{\mathcal{B}} (|\nabla u|^2 + u^2 - u^4) \, dx = 0$$

**Remark 4.3.** The same statements are true for v in place of u.

*Proof.* (i) Since u is differentiable in  $\mathcal{A} \subset \mathcal{P}(u)$  by Lemma 4.1(ii), we may pick  $r_1 < s_n < t_n < r_2$  such that  $s_n \to r_1$ ,  $t_n \to r_2$  as  $n \to \infty$  and  $u'(s_n) \ge 0$ ,  $u'(t_n) \le 0$  for all n. Then  $\varepsilon_n := \max\{u(s_n), u(t_n)\} \to 0$  as  $n \to \infty$ . Now Lemma 4.1(ii) implies that

$$\begin{split} \left| \int_{s_n < |x| < t_n} \left( |\nabla u|^2 + u^2 - u^4 \right) dx \right| &= \left| \int_{|x| = t_n} u \frac{\partial u}{\partial r} \, d\sigma - \int_{|x| = s_n} u \frac{\partial u}{\partial r} \, d\sigma \right| \\ &\leq \varepsilon_n \left| \int_{|x| = t_n} \frac{\partial u}{\partial r} \, d\sigma - \int_{|x| = s_n} \frac{\partial u}{\partial r} \, d\sigma \right| = \varepsilon_n \left| \int_{s_n < |x| < t_n} \Delta u \, dx \right| \\ &\leq \varepsilon_n \int_{\mathcal{A}} |u - u^3| \, dx \to 0 \quad \text{as } n \to \infty. \end{split}$$

Hence (4.7) follows. The proof of (ii) is similar.

## Lemma 4.4.

- (i)  $u_n v_n \to uv = 0$  uniformly in  $\mathbb{B}$ .
- (ii)  $\beta_n \int_{\mathbb{B}} u_n^2 v_n^2 dx \to 0 \text{ as } n \to \infty.$
- (iii)  $\max\{u(0), v(0)\} \ge \sqrt{2}$ .

*Proof.* (i) follows immediately from (4.6) and Lemma 4.1(i). (ii) Since

$$0 \leq -\int_{\partial \mathbb{B}} \frac{\partial u_n}{\partial r} \, d\sigma = -\int_{\mathbb{B}} \Delta u_n \, dx = \int_{\mathbb{B}} (u_n^3 - u_n + \beta_n v_n^2 u_n) \, dx \leq C_5 - |\beta_n| \int_{\mathbb{B}} v_n^2 u_n \, dx,$$

we have  $|\beta_n| \int_{\mathbb{B}} v_n^2 u_n dx \leq C_5$  and similarly  $|\beta_n| \int_{\mathbb{B}} u_n^2 v_n dx \leq C_5$ . From (i) we therefore deduce

$$\begin{aligned} |\beta_n| \int_{\mathbb{B}} u_n^2 v_n^2 dx &\leq |\beta_n| \sqrt{|u_n v_n|_{\infty}} \int_{\mathbb{B}} u_n v_n (u_n + v_n) dx \leq 2C_5 \sqrt{|u_n v_n|_{\infty}} \to 0 \quad \text{as } n \to \infty. \end{aligned}$$
  
(iii) Since  $u_n'(0) = v_n'(0) = 0$  and  $\beta_n < 0$ ,

$$0 < H_n(0) \le u_n^2(0) \left[\frac{u_n^2(0)}{2} - 1\right] + v_n^2(0) \left[\frac{v_n^2(0)}{2} - 1\right],$$

and hence  $\max\{u_n(0), v_n(0)\} > \sqrt{2}$  for all *n*. Since  $u_n(0) \to u(0)$  and  $v_n(0) \to v(0)$  by (4.6), we conclude that  $\max\{u(0), v(0)\} \ge \sqrt{2}$ .

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# Lemma 4.5. Let $0 < r_1 < r_2 < 1$ .

(i) If  $u \equiv 0$  on  $[r_1, r_2]$ , then  $u'_n \to 0$  uniformly on every closed interval contained in  $(r_1, r_2).$ (ii) If  $v \equiv 0$  on  $[r_1, r_2]$ , then  $v'_n \to 0$  uniformly on every closed interval contained in  $(r_1, r_2).$ 

*Proof.* (i) By assumption and uniform convergence,  $u_n < 1$  on  $[r_1, r_2]$  for n large, hence

$$(r^{N-1}u'_n)' = r^{N-1}(u_n - u_n^3 - \beta_n v_n^2 u_n) > 0$$
 on  $[r_1, r_2]$ .  
For  $r \in [r_1, r_2]$  we therefore have

$$u_n(r_2) > u_n(r_2) - u_n(r) = \int_r^{r_2} u'_n(s) \, ds \ge \int_r^{r_2} s^{N-1} u'_n(s) \, ds \ge (r_2 - r) r_1^{N-1} u'_n(r)$$

and

$$-u_n(r_1) < u_n(r) - u_n(r_1) = \int_{r_1}^r u'_n(s) ds \le r_1^{1-N} \int_{r_1}^r s^{N-1} u'_n(s) ds \le \left(\frac{r_2}{r_1}\right)^{N-1} (r-r_1) u'_n(r).$$

Now consider points  $r_1 < s_1 < s_2 < r_2$ . Then, for every  $r \in [s_1, s_2]$ ,

$$-\frac{r_1^{N-1}u_n(r_1)}{r_2^{N-1}(s_1-r_1)} \le -\frac{r_1^{N-1}u_n(r_1)}{r_2^{N-1}(r-r_1)} \le u_n'(r) \le \frac{u_n(r_2)}{(r_2-r)r_1^{N-1}} \le \frac{u_n(r_2)}{(r_2-s_2)r_1^{N-1}}.$$

Consequently,

$$\max_{[s_1, s_2]} |u'_n| \le C_6 \max\{u_n(r_1), u_n(r_2)\} \to 0 \quad \text{as } n \to \infty$$

Thus (i) is true. The proof of (ii) is similar.

Next we introduce the bounded nonnegative nonincreasing function

$$h_{\infty}: [0,1] \to \mathbb{R}, \qquad h_{\infty}(r) := \liminf_{n \to \infty} H_n(r) \qquad \text{for } 0 \le r \le 1$$

**Lemma 4.6.** (i) If N = 1, then  $h_{\infty}$  equals a positive constant in [0, 1]. (*ii*) If  $N \ge 2$  and  $\max\{u(r), v(r)\} > 0$  for some r < 1, then  $h_{\infty}(r) > 0$ .

*Proof.* (i) If N = 1, then the functions  $H_n$  are constant by (4.2), hence  $h_{\infty}$  is also constant. By integration and Lemma 4.4(ii), we get

$$\begin{split} h_{\infty}(r) &= \liminf_{n \to \infty} \int_{0}^{1} H_{n}(s) \, ds \\ &= \liminf_{n \to \infty} \int_{0}^{1} \left( |u_{n}'|^{2} + |v_{n}'|^{2} - (u_{n}^{2} + v_{n}^{2}) + \frac{1}{2}(u_{n}^{4} + v_{n}^{4}) \right) ds \\ &\geq \int_{0}^{1} \left( |u'|^{2} + |v'|^{2} - (u^{2} + v^{2}) + \frac{1}{2}(u^{4} + v^{4}) \right) ds = \int_{0}^{1} (H_{u} + H_{v}) \, ds, \end{split}$$

where  $H_u = |u'|^2 - u^2 + \frac{u^4}{2}$  and  $H_v = |v'|^2 - v^2 + \frac{v^4}{2}$ . Let  $I \subset P(u)$  be a maximal open subinterval. Since  $H'_u = 2u'(u'' - u + u^3) = 0$  in P(u) by Lemma 4.1(ii),  $H_u$  is constant in I. An elementary phase plane analysis shows that if  $H_u \leq 0$  in I, then u is bounded away from zero in I (since I is bounded), which contradicts the maximality

of I. Hence  $H_u > 0$  in I, and therefore  $H_u > 0$  in P(u). In the same way we deduce that  $H_v > 0$  in P(v). Since  $H_u = 0$  a.e. on the zero set of u and  $H_v = 0$  a.e. on the zero set of v, we conclude that

$$h_{\infty}(r) \ge \int_{0}^{1} (H_u(s) + H_w(s)) \, ds > 0,$$

as claimed.

(ii) We may assume that u(r) > 0. Since  $H_n(1) = (u'_n(1))^2 + (v'_n(1))^2 > 0$ , (4.2) implies

$$H_n(r) \ge -\int_r^1 H'_n(s) \, ds = \int_r^1 \frac{N-1}{s} [|u'_n|^2 + |v'_n|^2] \, ds \ge \int_r^1 |u'_n|^2 \, ds,$$

so that by weak convergence  $u_n \rightharpoonup u$  in  $H^1(\mathbb{B})$ ,

$$h_{\infty}(r) \ge \int_{r}^{1} |u'|^2 \, ds \ge \frac{1}{1-r} \Big( \int_{r}^{1} u' \, ds \Big)^2 = \frac{u^2(r)}{1-r} > 0.$$

We now have all the tools to study the intersection properties of  $u_n$  and  $v_n$  resp. u and v.

**Lemma 4.7.** Suppose that  $0 < r_0 < 1$  are such that  $u(r_0) > 0$ ,  $u(r) \ge 0$  and v(r) = 0 for  $r_0 \le r \le 1$ . Then  $u_n \ge v_n$  on  $[r_0, 1]$  for n sufficiently large.

**Remark 4.8.** The analoguous statement is true with the roles of u and v (resp. of  $u_n$  and  $v_n$ ) exchanged.

*Proof.* By uniform convergence we have  $v_n < \min\{1, u(r_0)\}$  on  $[r_0, 1]$  for n large, so that  $\Delta v_n > 0$  on  $[r_0, 1]$  and therefore

$$v_n(r) \le \max\{v_n(r_0), v_n(1)\} = v_n(r_0) = o(|\beta_n|^{-1})$$
 for  $r_0 \le r \le 1$ 

by Lemma 4.1(i). Hence a short calculation shows that  $w_n = u_n - v_n$  satisfies

(4.9)  $w_n^3 = -\Delta w_n + [1 + (\beta_n - 3)u_n v_n]w_n = -\Delta w_n + [1 + o(1)]w_n$  in  $(r_0, 1)$ . Suppose by contradiction that, for a subsequence, there are points  $r_0 < r_1^n < r_2^n \le 1$  such that  $w_n(r_1^n) = 0 = w_n(r_2^n)$  and  $w_n(r) < 0$  for  $r_1^n < r < r_2^n$ . Then, multiplying

(4.9) with  $w_n$  and integrating by parts, we obtain

$$\int_{r_1^n}^{r_2^n} r^{N-1} w_n^4 \, dx = \int_{r_1^n}^{r_2^n} r^{N-1} (|w_n'|^2 + [1+o(1)]w_n^2) \, dr \ge \int_{r_1^n}^{r_2^n} r^{N-1} |w_n'|^2 \, dr$$
$$\ge C_7 \Big( \int_{r_1^n}^{r_2^n} r^{N-1} w_n^4 \, dr \Big)^{\frac{1}{2}}$$

for *n* large, so that  $\int_{r_0}^1 r^{N-1} |w_n^-|^4 dr \ge \int_{r_1^n}^{r_2^n} r^{N-1} w_n^4 dr \ge C_7^2$ . This however contradicts the fact that  $w_n^- \to 0$  uniformly on  $[r_0, 1]$  by assumption.

**Lemma 4.9.** Suppose that  $0 < r_1 < r_2 < r_3 < 1$  are such that  $u(r_1) > 0$ ,  $u(r_2) = 0$ , and  $u(r_3) > 0$ . Then there exists  $r \in (r_1, r_3)$  with v(r) > 0.

**Remark 4.10.** Again, the analoguous statement is true with the roles of u and v exchanged.

*Proof.* By uniform convergence  $u_n \to u$ , the asumptions on u imply that there exists  $\varepsilon_0 > 0$  and, for large  $n, \tau_n \in [r_1 + \varepsilon_0, r_3 - \varepsilon_0]$  with  $u'_n(\tau_n) = 0$  and  $u_n(\tau_n) \to 0$ . Now suppose by contradiction that  $v \equiv 0$  on  $[r_1, r_3]$ . Then  $v_n \to 0$  and  $v'_n \to 0$  uniformly on  $[r_1 + \varepsilon_0, r_3 - \varepsilon_0]$  by Lemma 4.5, and therefore

$$H_n(r_3) \le H_n(\tau_n) \le |u'_n(\tau_n)|^2 + |v'_n(\tau_n)|^2 + \frac{1}{2}(u_n^4(\tau_n) + v_n^4(\tau_n)) = o(1).$$

This contradicts Lemma 4.6. Hence there exists  $r \in (r_1, r_3)$  with v(r) > 0.

**Lemma 4.11.** Suppose that  $0 < r_1 < r_2 < r_3 < 1$  are such that  $u(r_1) > 0$ ,  $v(r_3) > 0$ ,  $v \equiv 0$  in  $[r_1, r_2]$  and  $u \equiv 0$  in  $[r_2, r_3]$ . Then, for n sufficiently large,  $u_n - v_n$  has precisely one zero in  $(r_1, r_3)$ .

**Remark 4.12.** Again, the analoguous statement is true with the roles of u and v (resp. of  $u_n$  and  $v_n$ ) exchanged.

*Proof.* Since  $h_{\infty}(r_3) > 0$  by Lemma 4.6, we may choose  $0 < \varepsilon < \min\{1, u(r_1), v(r_3)\}$  such that

(4.10) 
$$\varepsilon^4 + 2\varepsilon^2 < h_\infty(r_3).$$

Let  $s_1 \in (r_1, r_2], s_2 \in [r_2, r_3)$  be such that

$$u(s_1) = \varepsilon, \ u(r) < \varepsilon \text{ for } s_1 < r \le r_3$$
 and  $v(s_2) = \varepsilon, \ v(r) < \varepsilon \text{ for } r_1 \le r < s_2.$ 

By assumption and Lemma 4.9 we have u > 0 on  $[r_1, s_1]$  and v > 0 on  $[s_2, r_3]$ . Thus  $s_1 < s_2$  and

(4.11) 
$$v_n < u_n$$
 on  $[r_1, s_1]$ ,  $u_n < v_n$  on  $[s_2, r_3]$  for *n* large.

Since, by Lemma 4.4(i),  $v \equiv 0$  in a neighborhood of  $r_1$  and  $u \equiv 0$  in a neighborhood of  $r_3$ , Lemma 4.5 implies that

(4.12) 
$$u'_n(r_3) < \left(\frac{s_1}{r_3}\right)^{N-1} \varepsilon$$
 and  $v'_n(r_1) > -\varepsilon$  for  $n$  large.

For n large we also have  $u_n < 1$  on  $[s_1, r_3]$ , therefore

$$(r^{N-1}u'_n)' = r^{N-1}\Delta u_n > 0,$$

so that  $r^{N-1}u'_n$  is increasing in  $[s_1, r_3]$ . Similarly,  $r^{N-1}v'_n$  is increasing in  $[r_1, s_2]$ . So (4.12) implies that

(4.13)  $u'_n < \varepsilon$  on  $[s_1, r_3]$  and  $v'_n > -\varepsilon$  on  $[r_1, s_2]$  for n large.

Now suppose by contradiction that, for a subsequence, the functions  $u_n - v_n$  have at least two zeros in  $(r_1, r_3)$ . By (4.11) these points must lie in  $(s_1, s_2)$  for large n. Hence

there is a point  $\tau_n \in (s_1, s_2)$  with  $u'_n(\tau_n) = v'_n(\tau_n)$ , so that  $|u'_n(\tau_n)| = |v'_n(\tau_n)| < \varepsilon$  by (4.13). Hence

$$H_n(\tau_n) \le |u'_n(\tau_n)|^2 + |v_n(\tau_n)'|^2 + \frac{1}{2}(u_n^4(\tau_n) + v_n^4(\tau_n))$$
  
$$< 2\varepsilon^2 + \varepsilon^4 + o(1).$$

We conclude that

$$h_{\infty}(r_3) = \liminf_{n \to \infty} H_n(r_3) \le \liminf_{n \to \infty} H_n(\tau_n) \le 2\varepsilon^2 + \varepsilon^4,$$

which contradicts (4.10). The proof is finished.

**Corollary 4.13.** The function w = u - v is a radial solution of (1.4) with  $E_S(w) = c_k$ which has precisely k-1 interior zeros. Moreover,  $u_n \to u$  and  $v_n \to v$  in  $H^1(\mathbb{B})$ .

*Proof.* Since  $w_n := u_n - v_n$  changes sign precisely k - 1 times in (0, 1) for every n and  $w_n \to w$  uniformly in [0, 1], the function w changes sign at most k-1 times. On the other hand, since  $u \cdot v = 0$  in [0, 1], Lemma 4.11 implies that in every subinterval where w changes sign precisely once,  $w_n$  also changes sign precisely once for large n. Together with Lemmas 4.4(iii), 4.7 and 4.9 this implies that w changes sign precisely k-1 times in [0,1]. Moreover, by weak convergence and Lemma 4.4(ii),

(4.15) 
$$E_S(w) = E_S(u) + E_S(v) \le \liminf_{n \to \infty} \left( E_S(u_n) + E_S(v_n) \right)$$
$$= \liminf_{n \to \infty} \left( E(u_n, v_n) + \frac{\beta}{2} \int_{\mathbb{B}} u_n^2 v_n^2 \right) = \liminf_{n \to \infty} E(u_n, v_n) \le c_k.$$

Corollary 4.2 implies that w is contained in the set  $\Gamma_k$  defined in Section 2, so that w is a minimizer of the minimization problem (2.2). Thus  $E_S(w) = c_k$ , and w is a radial solution of (1.4) having precisely k-1 interior zeros by Proposition 2.1. A posteriori we conclude that equality holds in all steps in (4.15), and therefore

$$\int_{\mathbb{B}} |\nabla u_n|^2 \, dx \to \int_{\mathbb{B}} |\nabla u|^2 \, dx, \quad \int_{\mathbb{B}} |\nabla v_n|^2 \, dx \to \int_{\mathbb{B}} |\nabla v|^2 \, dx \qquad \text{as } n \to \infty.$$
  
e  $u_n \to u$  and  $v_n \to v$  in  $H^1(\mathbb{B})$ , as claimed.

Hence

Theorem 1.2 is a direct consequence of (4.6), Lemma 4.4(i) and Corollary 4.13.

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