# 9 Computational Analysis of Enhanced Cooling Performance and Pressure Drop for Nanofluid Flow in Microchannels

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### 9.1 BACKGROUND INFORMATION

### 9.1.1 Introduction

High rates of heat transfer in mechanical, chemical, and biomedical *microsystems* require heat exchangers which are very small, light, and efficient. *Microchannels* made out of glass, silicon, or polymers form the basic elements of such microsystems. Improving the thermal performance of compact devices requires better coolants than conventional fluids such as oil, water, or ethylene glycol. One solution to microscale cooling problems is the addition of solid nanoparticles to the fluid. The resulting *nanofluids*, that is, dilute suspensions of nanoparticles in liquids, may significantly change the mixture's properties, most notably its thermal conductivity and viscosity.

Nanoparticles considered for microsystem cooling range from metals and metal oxides to carbon nanotubes with diameters of 1–100 nm. Indeed, prevailing experimental evidence indicates a greater enhancement of *nanofluid thermal conductivity*,  $k_{\rm nf}$ , than predicted by the "effective medium" theory of Maxwell [1] or Hamilton and Crosser [2]. Such an increase of  $k_{\rm nf}$  over  $k_{\rm base-fluid}$  varies with nanoparticle volume fraction and characteristics, for example, size, shape, material, surface charge/coating, and degree of particle aggregation, as well as with the type of base fluid, its temperature, conductivity, pH value, and additives. Nevertheless, although in the past-enhanced  $k_{\rm nf}$  values have been reported when employing the transient hotwire method, some data based on recent nonintrusive (optical) techniques could not confirm such high  $k_{\rm nf}$  values, or even an increases over the values obtained with Maxwell's theory. Clearly, additional studies are warranted.

For a better understanding of the underlying physics of  $k_{\rm nf}$  enhancement, six major sources should be considered: (i) micromixing because of Brownian motion of the nanoparticles affecting the surrounding fluid, (ii) higher pathway conduction of clustered nanoparticles or connected carbon nanotubes, (iii) liquid–molecule layering around nanoparticles causing lower heat resistance, (iv) larger heat conduction in the case of certain metallic nanoparticles, (v) thermal wave impact, and/or (vi) nanoparticle and wall–shear-layer interactions.

Experimental observations and theoretical models of nanofluid thermal conductivity enhancement have been critically reviewed by Kleinstreuer and Feng [3], Oezerinc et al. [4], Fan and Wang [5] as well as by Murshed et al. [6] and Timofeeva et al. [7], whereas heat-transfer applications with nanofluids as coolants are discussed in books by Das et al. [8], Li [9], and Kumar [10]. Kleinstreuer and Feng [3] focused on the review of  $k_{\rm nf}$  measurement techniques and theoretical models. Comparing theoretical predictions and experimental findings of  $k_{\rm nf}$  values, Oezerinc et al. [4] reiterated that significant discrepancies exist. Fan and Wang [5] provided an overview of recent contributions discussing thermal conductivity enhancement of nanofluids. They tabulated experimental observations for carbon nanotubes and nanospheres in different base liquids. Of the various theoretical models discussed, they favored the recently developed thermal wave theory with which experimental findings can be apparently modeled and explained. Murshed et al. [6] as well as Timofeeva et al. [7] took a more comprehensive view of nanofluids, evaluating nanoscale contributions to both mixture properties and engineering applications.

First, some representative experimental and numerical papers concerning possible  $k_{\rm nf}$  enhancement are reviewed. Then, the computational development and cooling application of nanofluid flow in a microchannel is discussed to illustrate the impact of different thermal conductivity and effective viscosity models on convection heat transfer and pressure drop. Reduction of entropy generation for this fundamental test case is provided as well.

# 9.1.2 REVIEW OF EXPERIMENTAL EVIDENCE

Numerous experimental studies on nanofluid single-phase heat transfer have been reported in the literature. Most of these relied on the transient hot-wire method to measure thermal conductivity and/or indirectly Nusselt number values. For example, Pak and Cho [11] investigated alumina—water and titania—water nanofluids in turbulent convective heat transfer in tubes. Xuan and Roetzel [12] conveyed a heat—transfer correlation for nanofluids to capture the effect of energy transport by particle "dispersion." Wen and Ding [13] studied laminar nanofluid convective heat transfer and reported significant enhancement in the entry region. Heris et al. [14,15] analyzed the effects of alumina and copper oxide nanofluids on laminar heat transfer in a circular tube under constant wall temperature boundary condition. They reported an enhancement of the heat-transfer coefficient for both nanofluids with increasing nanoparticle concentrations as well as the Peclet number, and observed a larger enhancement for alumina than for copper oxide.

Buongiorno [16] suggested that a reduction of viscosity within the boundary layer and consequent thinning of the laminar sublayer lead to an abnormal increase in the convective heat-transfer coefficient in the turbulent flow regime. Jung et al. [17] measured the convective heat transfer and friction factor of nanofluids in rectangular microchannels. Nanofluids with 170-nm aluminum dioxide particles and with various particle volume fractions were used in their experiments. For a volume fraction of 1.8%, a 320% convective heat transfer increase in the laminar regime was measured compared with distilled water, without major frictional loss. They also found that the Nusselt number increases with the increasing Reynolds number in the laminar flow regime, which is contradictory to the result from conventional thermal Poiseuille-flow analysis.

Rea et al. [18] experimentally investigated laminar convective heat transfer and viscous pressure loss for alumina—water and zirconia—water nanofluids in a flow loop with a vertical heated tube. Their measured heat-transfer coefficient and pressure loss were in good agreement with the traditional model predictions for laminar flow; in other words, there was no abnormal heat-transfer enhancement or pressure loss observed within the measurement error.

Vajjha et al. [19] presented new correlations for the convective heat-transfer coefficient and friction factor developed from the experiments with different nanofluids assuming fully developed turbulent flow. Khiabani et al. [20] considered the impact of cylindrical particles on the heat transfer in a microchannel based on the solution of the lattice-Boltzmann equation for fluid flow, coupled with the energy equation for thermal transport and the particle dynamics equations for

direct simulation of suspended particle transport. They found that each particle can locally enhance heat transfer, and hence the average heat-transfer performance can be improved. Hojjat et al. [21] experimentally investigated convective heat transfer of non-Newtonian nanofluids through a uniformly heated circular tube under turbulent flow conditions, noting again heat-transfer enhancement of such nanofluids. Considering Al<sub>2</sub>O<sub>3</sub>-ethylene glycol (EG) nanofluids, Hemalatha et al. [22] found experimentally that above a weight percentage of 0.6, particle particle interactions may be important due to particle aggregation. Escher et al. [23] found that mixture properties of silica-water nanofluids, even at high concentrations (i.e., up to 31%), did not deviate more than 10% from the effective medium theory established by Maxwell. Furthermore, they demonstrated that any relative  $k_{nf}$  enhancement must be larger than the relative viscosity increase in order to gain effective cooling performance with nanofluid flow in microchannels. In a combined experimental and theoretical study, Gharagozloo and Goodson [24] analyzed temperature-dependent nanoparticle aggregation and diffusion. They concluded that aggregation produces an unfavorable nanofluid for heat transfer and suggested an optimal nanoparticle diameter of 130 nm for minimizing aggregation, sedimentation, and thermal diffusion. In order to illuminate potential causes of experimental uncertainties, such as nanoparticle aggregation effects or "time-dependent nanofluid characteristics," Xie et al. [25] summarized numerous experimental data sets for oxide and carbon-nanotube nanofluids in different liquids. They recommended that extra care should be taken in preparing homogeneous and stable mixtures, noting that additives such as acid, base, and/or surfactants are influential. They observed that  $k_{nf}$  enhancement increases monotonously with nanoparticle loading, whereas the temperature effect on nanofluids largely depends on the type of nanoparticle and base fluid pairing. A recent study by Lee et al. [26] introduced round-robin tests on thermal conductivity measurements of three samples of EG-based ZnO nanofluids. The experiments were conducted in five laboratories, where four of them used measurement apparatuses developed in house and one used a commercial device. On the basis of their results, the conventional thermal conductivity model underestimates the effective thermal conductivity of nanofluids. Thus, the effective thermal conductivity of nanofluids cannot be fully explained by the effective medium theory for welldispersed nanoparticles.

Recently, several research groups attributed convective heat-transfer enhancement to the interactions of nanoparticles and the system/device walls (see [27–30]). Specifically, the random fluid-particle velocity field in the wall boundary layer is changed due to the interaction of nanoparticles with the channel walls as well as the surrounding fluid parcels. It may steepen the mixture temperature profile and hence lead to a large temperature gradient near the wall, resulting in a higher heat transfer rate. For example, Hwang et al. [27] measured the pressure drop and convective heat-transfer coefficient of water-based alumina nanofluids flowing through a uniformly heated circular tube in the fully developed laminar flow regime. They discussed the various parameter effects on the remarkable enhancement of the convective heat transfer coefficient and showed for the first time the flattened core velocity profile.

# 9.1.3 REVIEW OF COMPUTATIONAL ANALYSES

To investigate heat transfer enhancement by nanofluids computationally, two main approaches have been adopted in the literature. The first one is the two-phase model that takes into account the fluid- and solid-phase roles in the heat transfer process. The second one is the single-phase model where both the fluid phase and the solid particles are in a thermal equilibrium state and flow with the same local velocity. The second approach is simpler and requires less computational time. Also, if the main interest is focused on the heat-transfer process, the modified single-phase approach is more convenient than the two-phase model. For example, based on computational fluid dynamics (CFD) simulations, Fard et al. [32] found that the two-phase approach generates better predictions of nanofluid convective heat transfer compared with the single-phase model, Lofti et al. [33] numerically investigated convective heat transfer of nanofluids in horizontal tubes using a single-phase model and a two-phase mixture model as well as the two-phase Eulerian model. The comparison of calculated results with experimental values indicated that the two-phase mixture model was more precise.

Heyhat and Kowsary [34] investigated numerically the effect of nanoparticle migration on the flow pattern and convective heat transfer in a circular pipe. They claimed that the nonuniform nanoparticle distribution led to a higher heat-transfer coefficient while the wall shear stress decreased. Akbarina et al. [35] considered alumina-water nanofluid flow in two-dimensional rectangular microchannels to study heat-transfer enhancement due to the addition of nanoparticles to the base fluid, especially at low Reynolds numbers. They found that for a given Reynolds number, the major enhancement in the Nusselt number was not so much caused by higher nanoparticle concentrations but mainly due to an increase in flow rate to reach a set Reynolds number. Hence, constant Reynolds number studies of nanofluid flow may be insufficient when evaluating the heat-transfer characteristics. Kondaraju et al. [36] focused on deviations in experiments concerning the effective thermal conductivity of polydisperse nanoparticles. Both the initial particle distribution and any inhomogeneous coagulation were found to be major factors in the determination of effective thermal conductivity results for multisize particles in nanofluids. Concerning nanoparticle aggregation, Werth et al. [37] analyzed different particle forces leading to agglomeration of charged nano-powders. Jiang et al. [38] developed a model for the prediction of nanoparticle aggregation and sedimentation. Focusing on nonspherical nanoparticles, Evans et al. [39] employed Monte Carlo simulations and determined a positive impact of aggregation on the effective thermal conductivity.

For microchannel heat-sink applications, Li and Kleinstreuer [40] analyzed entropy generation of pure water and CuO-water nanofluid flow in trapezoidal microchannels. Similarly, Singh et al. [41] investigated the entropy generation of nanofluids due to flow friction and heat transfer for alumina-water nanofluids in tubes of three different diameters. An alternative approach to assuming that a nanofluid is a homogeneous mixture, Kalteh et al. [42] proposed Eulerian-Eulerian two-phase simulations to compute possible heat-transfer enhancement in microchannel flow.

## 9.1.4 MIXTURE VISCOSITY MODELS

Clearly, coarse and even fine particles added to liquid coolants (before the advent of nanofluids) may measurably increase the mixture's effective viscosity, which relates to high pumping power, sedimentation, and filter clogging. So, parallel to improved heat-transfer performance when using nanofluids, mixture viscosity data and models have to be considered. Focusing on viscosity models for nanofluids, Pak and Cho [11], for example, selected 13-nm alumina nanoparticles in water with volume fractions,  $\varphi$ , from 1.33 to 2.86% and measured  $\mu_{eff}$  /  $\mu_{bf}$  values ranging from 1.63 to 2.6. In contrast, Wang et al. [43] recorded significantly lower viscosity ratios (i.e., 1.12–1.88 when  $1.02 < \phi < 5\%$ ) for a 28-nm Al<sub>2</sub>O<sub>3</sub>-water mixture. More recent experimental studies (see [44–46] among others) confirmed the nonlinear dependence of the effective mixture viscosity on various mixture parameters. Thus, earlier correlations proposed by Einstein [47] and improved upon by Brinkman [48], Batchelor [49], and Graham [50], which included only the nanoparticle volume fraction, have to be revisited. For example, Koo and Kleinstreuer [51] suggested  $\mu_{eff} = \mu_{static} + \mu_{Brownian}$ , where the viscosity enhancement due to Brownian nanoparticle motion is a function of  $k_{\rm nf}/k_{\rm hf}$ ,  $\mu_{\rm bf}$ , and the Prandtl number. Similarly, Masoumi et al. [52] postulated that  $\mu_{eff}$  is the sum of  $\mu_{bf}$ plus an apparent viscosity which is mainly caused by Brownian motion. Traditionally, the wall roughness of microchannels also contributes to an increase in friction factor and hence the required pumping power; however, more modern fabrication techniques may keep the (or result in) relative surface roughness typically below 0.5%.

### 9.2 THEORY

For the selected test case, it is assumed that the continuum hypothesis is valid, that is, the channel hydraulic diameter  $D_{\rm h} > 100~\mu{\rm m}$  (see Chapter 7 in ref. [53]). Thus, for steady three-dimensional laminar incompressible nanofluid flow in a microchannel, the continuity, momentum, energy, and species mass transfer equations have to be solved, considering temperature and volume-fraction-dependent mixture properties. In addition to the conservation laws and models for the mixture properties, the second law of thermodynamics has to be formulated for system optimization via reduction in entropy generation rate.

# 9.2.1 GOVERNING EQUATIONS

Continuity:

$$\frac{\partial}{\partial x_i}(\rho u_i) = 0 \tag{9.1}$$

Momentum:

$$u_{j} \frac{\partial}{\partial x_{j}} (\rho u_{i}) = -\frac{\partial p}{\partial x_{i}} + \frac{\partial}{\partial x_{j}} \left[ \mu \left( \frac{\partial u_{i}}{\partial x_{j}} + \frac{\partial u_{j}}{\partial x_{i}} \right) \right]$$
(9.2)

Energy (within fluid):

$$u_i \left( \frac{\partial (\rho c_p T)}{\partial x_i} \right) = \frac{\partial}{\partial x_i} \left( k \frac{\partial T}{\partial x_i} \right) + \mu \Phi \tag{9.3}$$

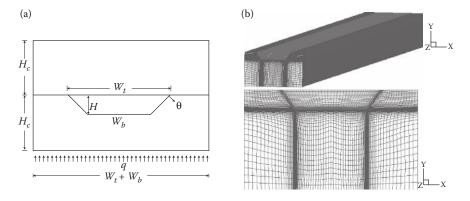
where the dissipation function reads:

$$\Phi = \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i}\right) \frac{\partial u_i}{\partial x_j}$$
(9.4)

Energy (within solid):

$$k_{\rm s} \frac{\partial^2 T}{\partial x_i^2} = 0 {(9.5)}$$

For nanofluid flow and pure fluid flow, the corresponding physical properties are the density, thermal conductivities  $k_{\rm nf}$  and  $k_{\rm bf}$  and the viscosities  $\mu_{\rm nf}$  and  $\mu_{\rm bf}$ , respectively (see Section 9.2.2). The thermal conductivity of the silicon microchannel wall  $k_{\rm s}$  was assumed to be constant. Uniform velocities were applied at the channel inlet, that is, u=0, v=0,  $w=U_{\rm in}$  to simulate any entrance effect. Exposed to the atmosphere, the outlet pressure was the static pressure, that is,  $p_{\rm gage}=0$ . The no-slip boundary condition was enforced at all solid walls. The thermal boundary condition at the bottom was a constant wall heat flux, whereas an adiabatic boundary condition is imposed on the top wall, symmetric boundary condition at the two side walls, and  $T=T_0$  at the microchannel inlet. These thermal boundary conditions (see Figure 9.1) are standard assumptions, where the channel-cover functions as a perfect insulator while the sidewalls of the machined or edged microchannel are equally exposed to the heat source, expressed as a constant wall-heat flux.



**FIGURE 9.1** (a) Typical microchannel heat sink element and (b) finite volume mesh.

The models for the nanofluid properties,  $\mu_{nf}$  and  $k_{nf}$ , are outlined in Section 9.2.2 and balance equations for entropy generation are discussed in Section 9.2.3, while the performance indicators are given in Section 9.2.4.

## 9.2.2 MIXTURE PROPERTIES

The basic nanofluid properties are a function of nanoparticle volume fraction  $\varphi$  and mixture temperature T. Such nanofluids are assumed to be dilute suspensions, that is, the homogeneous, noninteracting nanoparticles are well dispersed. Specifically, for dilute  $Al_2O_3$ —water nanofluids [54]:

$$\rho_{\rm nf} = \phi \rho_{\rm p} + (1 - \phi)\rho_{\rm bf} \tag{9.6}$$

$$(\rho c_{p})_{nf} = \varphi(\rho c_{p})_{p} + (1 - \varphi)(\rho c_{p})_{bf}$$
(9.7)

where the subscripts nf, bf, and p indicate nanofluid, base fluid, and particle, respectively,  $\rho$  is the density,  $c_p$  is the specific heat capacity,  $\varphi$  is the nanoparticle volume fraction.

The properties of the base fluid (water) are assumed to be temperature-dependent [54]:

$$\rho_{water} = 1000 \left( 1 - \frac{(\tilde{T} + 15.7914)}{508,929.2 \cdot (\tilde{T} - 205.0204)} (\tilde{T} - 277.1363)^2 \right) \tag{9.8a}$$

$$c_{\text{p,water}} = 9616.873445 - 48.73648329 \cdot \tilde{T}$$
 
$$+ 0.1444662 \cdot \tilde{T}^2 - 0.000141414 \cdot \tilde{T}^3$$
 (9.8b)

$$\mu_{\text{water}} = 0.02165 - 0.0001208 \cdot \tilde{T} + 1.7184e - 7 \cdot \tilde{T}^2$$
 (9.8c)

$$k_{\text{water}} = -1.1245 + 0.009734 \cdot \tilde{T} - 0.00001315 \cdot \tilde{T}^2$$
 (9.8d)

where  $\tilde{T} = T/(1[K])$  is the nondimensional temperature.

# 9.2.2.1 Effective Dynamic Viscosity

Most of the reported data for nanofluid viscosities have been discussed in terms of formulations proposed by Einstein [47], Brinkman [48], Batchelor [49], and Graham [50], to name a few. The conventional viscosity models of nanofluids are summarized in Table 9.1. It turns out that none of the models mentioned can predict the viscosity of nanofluids very well for a wide range of nanoparticle volume fraction.

Nguyen et al. [55] investigated experimentally the influence of both the temperature and the particle size on the dynamic viscosity for two nanofluids, that is,

TABLE 9.1
Conventional Viscosity Models for Nanofluids

Model	Expression	Comments
Einstein [47]	$\mu_{\rm nf}=\mu_{\rm bf}(1+2.5\phi)$	Spherical particles and low-volume fraction, that is, $\phi < 2\%$
Brinkman [48]	$\mu_{\rm nf}  = \frac{\mu_{\rm bf}}{(1-\phi)^{2.5}}$	Extended Einstein expression
Batchelor [49]	$\mu_{\rm nf}=\mu_{\rm bf}(1+2.5\phi+6.5\phi^2)$	Extended Einstein equation by considering the effect of Brownian motion on the bulk stress
Graham [50]	$\mu_{\rm nf}  = \mu_{\rm bf} \Biggl( 1 + 2.5 \phi + 4.5 \Biggl[ \frac{1}{(c/d_{\rm p})(2 + (c/d_{\rm p}))(1 + (c/d_{\rm p}))^2} \Biggr] \Biggr)$	$d_{\mathrm{p}}$ is the particle diameter and $c$ is the inter-particle spacing

Al<sub>2</sub>O<sub>3</sub>-water and CuO-water combinations. More recently, employing a different measurement technique, Chandrasekar et al. [31] got similar viscosity data for Al<sub>2</sub>O<sub>3</sub>-water nanofluids.

Abu-Nada [56] performed a two-dimensional regression on experimental data of Nguyen et al. [55] and developed the following relation of viscosity in centi-poise as a function of the nondimensional temperature  $\tilde{T}$  and volume fraction  $\phi$ , which had a maximum error of 5%.

$$\begin{split} \mu_{\text{nf\_Al}_2\text{O}_3} &= -0.155 - \frac{19.582}{\tilde{T}} + 0.794\phi + \frac{2094.47}{\tilde{T}^2} - 0.192\phi^2 - 8.11\frac{\phi}{\tilde{T}} \\ &- \frac{27,463.863}{\tilde{T}^3} + 0.0127\phi^3 + 1.6044\frac{\phi^2}{\tilde{T}} + 2.1754\frac{\phi}{\tilde{T}^2} \end{split} \tag{9.9}$$

Masoumi et al. [52] proposed a new model by including the effect of Brownian motion of the nanoparticles on the viscosity of nanofluids in terms of  $\mu_{nf} = \mu_{bf} + \mu_{app}$ , where  $\mu_{app}$  is the apparent viscosity defined by Masoumi et al. [52]. Temperature, nanoparticle diameter, volume fraction, nanoparticle density as well as the base fluid physical properties were all considered.

$$\mu_{\rm nf} = \mu_{\rm bf} + \frac{\rho_{\rm p} \nu_{\rm B} d_{\rm p}^2}{72C\delta} \tag{9.10}$$

where  $v_{\rm B}=(1/d_{\rm p})\sqrt{(18\kappa_{\rm b}T/\pi\rho_{\rm p}d_{\rm p})}$  is the Brownian velocity,  $\kappa_{\rm b}$  is the Boltzmann constant,  $\delta=\sqrt[3]{(\pi/6\phi)}d_{\rm p}$  is the distance between nanoparticles,  $C={\rm fct}(\mu_{\rm bf},d_{\rm p},\phi)$  is the correction factor.

The correlation factor C was calculated by using experimental data for water-based nanofluids consisting of 13 and 28 nm  $Al_2O_3$  nanoparticles as well as the 36 nm  $Al_2O_3$ —water nanofluid by Nguyen et al. [55].

For a better understanding the performance of nanofluids in microchannels, the extended Einstein's equation by Brinkman [48] and the effective nanofluid viscosity postulated by Masoumi et al. [52] were analyzed. Figure 9.2 compares the two models as a function of volume fraction and three different nanoparticle sizes for the model by Masoumi et al. [52]. As already noticed by Ngugen et al. [55], the conventional Brinkman model (see Table 9.1) underpredicts the nanofluid viscosity. Furthermore, the functional dependence  $\mu_{nf}$  ( $\phi$ ) is highly nonlinear in the model by Masoumi et al. [52], especially for  $\phi > 3\%$  and  $d_p < 40$  nm (see Figure 9.2a). Such a viscosity enhancement of nanofluids may increase the pressure drop or the requirement of pumping power. As expected, the temperature influence on  $\mu_{nf}$  (see Figure 9.2b) is much less dramatic, being expressed as  $\mu_{bf}$  (T).

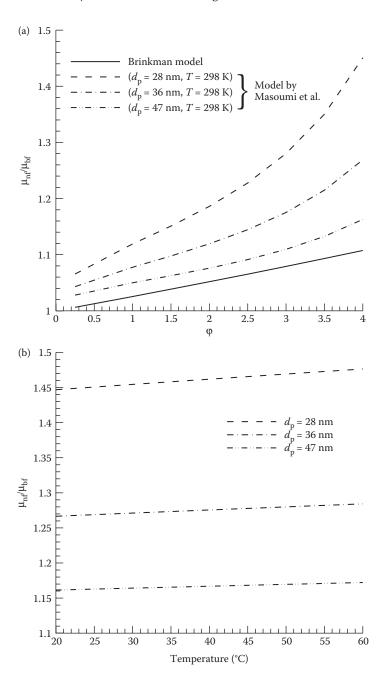
# 9.2.2.2 Effective Thermal Conductivity

For the thermal performance analysis of nanofluid flow in microconduits, several thermal conductivity models have been employed (see [57] among others). In this chapter, three different models were applied and compared, that is, the conventional Maxwell model, the correlation by Patel et al. [58], as well as the newly developed Feng–Kleinstreuer (F–K) model [57]. Maxwell [1] derived a "static" thermal conductivity model for conventional fluids containing at that time micrometer/millimeter particles. It was assumed that the effective thermal conductivity of the mixture is a function of the thermal conductivity of the suspensions and the base fluid as well as the volume fraction of the suspensions:

$$k_{\text{static}} = \left(1 + \frac{3\left(\frac{k_{\text{p}}}{k_{\text{bf}}} - 1\right)\phi}{\left(\frac{k_{\text{p}}}{k_{\text{bf}}} + 2\right) - \left(\frac{k_{\text{p}}}{k_{\text{bf}}} - 1\right)\phi}\right) k_{\text{bf}}$$
(9.11)

The emergence of nanofluids as a new field of nanoscale heat transfer, with applications to microsystem cooling, is directly related to miniaturization trends and nanotechnology. The unexpected high thermal conductivity of nanofluids documented in many experiments showed that the effective thermal conductivity of nanofluids depends not only on the nanostructures of the suspensions but also on the dynamics of nanoparticles in liquid. Kleinstreuer and Feng [57] postulated that the thermal conductivity of nanofluids consists of a static part ( $k_{\text{static}}$ ) after Maxwell [1] and a micromixing part ( $k_{\text{mm}}$ ), that is, enhancement due to Brownian motion of nanoparticles. The F–K model can be expressed as

$$k_{\rm nf} = k_{\rm static} + k_{\rm mm} \tag{9.12}$$



**FIGURE 9.2** Dynamic viscosity models for nanofluids: (a) viscosity versus volume fraction and (b) viscosity change with temperature ( $\varphi = 4\%$ , model by Masoumi et al. [52]).

The static part is Equation 9.11, whereas the micromixing part, based on sound physics, is given by (see [57])

$$k_{\text{mm}} = 49,500 \cdot \frac{\kappa_{\text{B}} \tau_{\text{p}}}{2m_{\text{p}}} \cdot C_{\text{c}} \cdot \left(\rho c_{\text{p}}\right)_{\text{nf}} \cdot \phi^{2}$$

$$= \exp(-\zeta \omega_{\text{n}} \tau_{\text{p}}) \sinh \left(\sqrt{\frac{\left(3\pi \mu_{\text{bf}} d_{\text{p}}\right)^{2}}{4m_{\text{p}}^{2}}} - \frac{K_{\text{P-P}}}{m_{\text{p}}} \frac{m_{\text{p}}}{3\pi \mu_{\text{bf}} d_{\text{p}}}\right)$$

$$= \tau_{\text{p}} \sqrt{\frac{\left(3\pi \mu_{\text{bf}} d_{\text{p}}\right)^{2}}{4m_{\text{p}}^{2}} - \frac{K_{\text{P-P}}}{m_{\text{p}}}}$$
(9.13)

Here,  $C_c$  is equal to 38 for metal oxide nanofluids which can be derived theoretically (which also holds for the number 49,500), instead of being obtained via a curve-fitting technique [59]. The damping coefficient  $\zeta$ , natural frequency  $\omega_n$ , and characteristic time interval  $\tau_p$  can be expressed as

$$\zeta = \frac{3\pi d_{\rm p}\mu_{\rm bf}}{2m_{\rm p}\omega_{\rm n}} \tag{9.14}$$

$$\omega_{\rm n} = \sqrt{\frac{K_{P-P}}{m_{\rm p}}} \tag{9.15}$$

$$\tau_{\rm p} = \frac{m_{\rm p}}{3\pi\mu_{\rm bf}d_{\rm p}} \tag{9.16}$$

Specifically, for metal oxide nanofluids, the magnitude of particle–particle interaction intensity  $K_{P-P}$  is determined for different particle diameters as

$$K_{\rm P-P} = \rho_{\rm p} \sqrt{d_{\rm p} \cdot 10^{-9}} \left( \frac{32.1724 \cdot 273 \text{K}}{T} - 19.4849 \right) \text{ for } 20 \,\text{nm} < d_{\rm p} \le 50 \,\text{nm}$$

$$(9.17)$$

$$K_{\rm P-P} = \rho_{\rm p} \sqrt{d_{\rm p} \cdot 10^{-9}} \left( \frac{24.6402 \cdot 273 \text{K}}{T} - 18.7592 \right) \text{ for } d_{\rm p} > 50 \,\text{nm}$$
 (9.18)

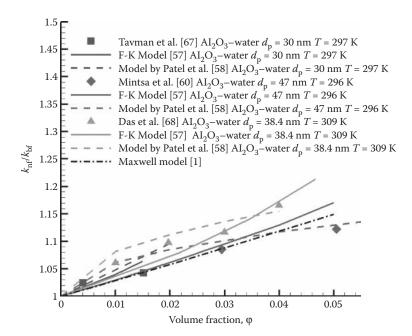
In light of experimental evidence, the F–K model is suitable for several types of metal oxide nanoparticles in water with volume fractions up to 5% and mixture temperatures below 350 K.

In contrast, Patel et al. [58] provided a correlation for the effective thermal conductivity of nanofluids, based on a regression analysis of several experimental data sets:

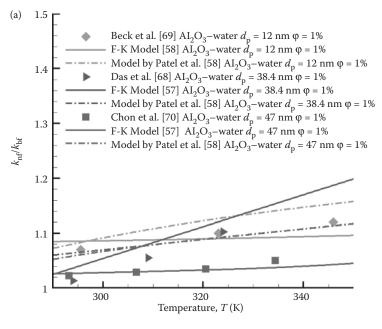
$$k_{\rm nf} = k_{\rm bf} (1 + 0.135 \times (k_{\rm p}/k_{\rm bf})^{0.273} \times \varphi^{0.467} \times [(T - 273)/20]^{0.547} \times (100/d_{\rm p})^{0.234})$$
(9.19)

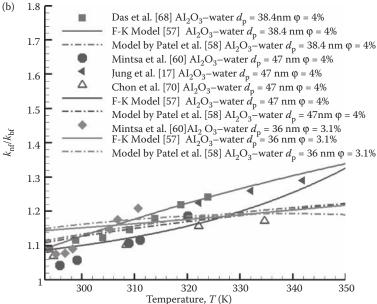
where T is the temperature of nanofluids in degree Kelvin;  $d_{\rm p}$  is the average nanoparticle diameter in nanometers. Apparently, the correlation is valid for suspensions of spherical nanoparticles of 10–150 nm diameter, a thermal conductivity range of 20–400 W/mK; base fluids having thermal conductivities of 0.1–0.7 W/mK, particle volume fractions of 0.1–3%, and suspension temperatures from 20°C to 50°C. The model by Kleinstreuer and Feng [57] is based on physical principles without the use of empirical matching factors. In contrast, the  $k_{\rm nf}$  correlation by Patel et al. [58], having a much simpler form, is easier to use but lacks physical insight and a broad range of applications.

Figures 9.3 and 9.4 compare the F–K model and Patel's correlation with some recent benchmark experimental data sets. Overall, the F–K model generates a better matching in trend and precision for different volume fractions and temperatures; although, the measured  $k_{\rm nf}$  increase with nanoparticle volume fraction above 3% by Mintsa et al. [60] is surprisingly low (see Maxwell model prediction).



**FIGURE 9.3** Comparison of thermal conductivity models for nanofluids at different volume fractions.





**FIGURE 9.4** Comparison of thermal conductivity models for nanofluids at different temperature: (a) small volume fraction and (b) large volume fraction.

# 9.2.3 Entropy Generation

If the mixture is Newtonian and it obeys Fourier's law of heat conduction, the total entropy generation rate per unit volume ( $S_{gen} \equiv S_G$  in W/K × m<sup>3</sup>) can be expressed as [40]

$$S_{\text{gen}} \equiv S_{\text{G}} = \frac{k}{T^{2}} \left[ \left( \frac{\partial T}{\partial x} \right)^{2} + \left( \frac{\partial T}{\partial y} \right)^{2} + \left( \frac{\partial T}{\partial z} \right)^{2} \right] + \frac{\mu}{T} \left\{ 2 \left[ \left( \frac{\partial u}{\partial x} \right)^{2} + \left( \frac{\partial v}{\partial y} \right)^{2} + \left( \frac{\partial w}{\partial z} \right)^{2} \right] + \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right)^{2} + \left( \frac{\partial u}{\partial z} + \frac{\partial w}{\partial z} \right)^{2} + \left( \frac{\partial u}{\partial z} + \frac{\partial w}{\partial z} \right)^{2} \right\}$$

$$(9.20)$$

Equation 9.20 encapsulates the irreversibilities due to heat transfer and frictional effects, that is,

$$S_{\text{gen}} = S_{\text{gen}}(\text{thermal}) + S_{\text{gen}}(\text{frictional})$$
 (9.21)

Specifically, the dimensionless entropy generation rate induced by fluid friction can be defined as follows:

$$S_{G,F} = S_{gen}(frictional) \frac{kT_0^2}{q^2}$$
 (9.22a)

where

$$S_{\text{gen}}(\text{frictional}) = \frac{\mu}{T} \left\{ 2 \left[ \left( \frac{\partial u}{\partial x} \right)^2 + \left( \frac{\partial v}{\partial y} \right)^2 + \left( \frac{\partial w}{\partial z} \right)^2 \right] + \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right)^2 + \left( \frac{\partial u}{\partial z} + \frac{\partial w}{\partial x} \right)^2 + \left( \frac{\partial v}{\partial z} + \frac{\partial w}{\partial y} \right)^2 \right\}$$
(9.22b)

while for the thermal entropy source, we have

$$S_{G,T} = S_{gen}(thermal) \frac{kT_0^2}{q^2}$$
 (9.23a)

where

$$S_{\text{gen}}(\text{thermal}) = \frac{k}{T^2} \left[ \left( \frac{\partial T}{\partial x} \right)^2 + \left( \frac{\partial T}{\partial y} \right)^2 + \left( \frac{\partial T}{\partial z} \right)^2 \right]$$
 (9.23b)

Finally,

$$S_{\text{G,total}} = S_{\text{gen}} \frac{kT_0^2}{q^2} = S_{\text{G,F}} + S_{\text{G,T}}$$
 (9.24)

where  $T_0$  is the fluid inlet temperature, and q is the wall heat flux.

In order to assess the overall entropy generated in the entire flow field for different scenarios, the integral form is used:

$$\hat{S}_{G,\text{total}} = \frac{1}{\dot{m}c_p} \iiint_V S_{\text{gen}} \, dV$$
 (9.25)

where the fractions of entropy generation due to friction and heat transfer are, respectively:

$$\xi_{\rm F} = \frac{\hat{S}_{\rm G,F}}{\hat{S}_{\rm G,total}} \quad \text{and} \quad \xi_{\rm T} = \frac{\hat{S}_{\rm G,'}}{\hat{S}_{\rm G,to}}$$
 (9.26a,b)

# 9.2.4 THERMAL PERFORMANCE COMPARISONS

Pumping power is needed to drive the working fluid in microchannels, which is defined as the product of the pressure drop across the channel and the volumetric flow rate:

$$P = \Delta p \cdot Q \tag{9.27}$$

In order to compare the thermal performance of nanofluids with pure liquids, the thermal resistance is employed:

$$\theta = \frac{T_{\text{w,ave}} - T_{\text{in}}}{a} \tag{9.28}$$

where  $T_{\text{w,ave}}$  is the average wall temperature,  $T_{\text{in}}$  is the fluid inlet temperature, and q is the heat added to the microchannel (or the heat removed by the moving fluid).

### 9.2.5 Numerical Method

The numerical solution of the Eulerian transport equations were carried out with a user-enhanced, unstructured finite-volume-based program, that is, CFX 12.1 from ANSYS, Inc. (Canonsburg, PA). The computations were performed on an IBM Linux Cluster at North Carolina State University's High Performance Computing Center (Raleigh, NC) and on a local dual Xeon Intel 3.2G Dell desktop (C M-P Laboratory,

MAE Department, NC State University). Mesh independence was examined and verified by increasing the nodal number by 50% which produced a maximum result change of just 1.33% for the velocity and 0.8% for the temperature field. The unstructured mesh for a typical case contained 671,224 hexahedral elements with 631,050 nodes for the fluid domain and 269,486 elements with 247,266 nodes for the solid domain. Figure 9.1 shows the representative geometry and the finite volume mesh we generated. Mesh independence was examined and verified by increasing the nodal number by 50% which produced a maximum result change of just 1.23%. Furthermore, the solutions of the flow field were assumed to be converged when the dimensionless mass and momentum as well as the thermal energy residual ratios were below 10<sup>-6</sup>. Improving the convergence criteria to <10<sup>-7</sup> had a negligible effect on the simulation results. A typical simulation run took about 24 h. Additional model validations were achieved by comparing numerical results of velocity and temperature fields with an analytical solution as well as existing numerical and experimental data sets, as given in Section 9.3.

# 9.3 RESULTS AND DISCUSSION

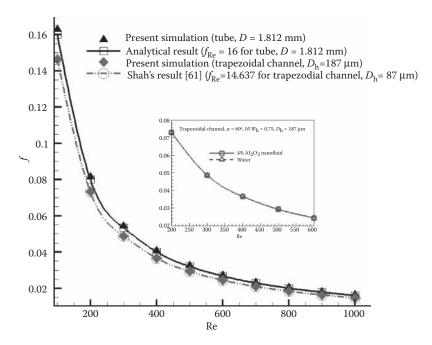
# 9.3.1 FLOW FRICTION VALIDATION STUDIES

The Fanning friction factor was used to evaluate viscous effects of flow through microchannels. The Fanning friction factor *f*, representing the ratio of fluid shearing strength at the wall to the average kinetic energy of the fluid per unit volume, is defined as

$$f = \frac{\Delta p D_{\rm h}}{2\rho U^2 L} \tag{9.29}$$

where  $\Delta p$  is the pressure drop between the inlet and outlet of the microchannel,  $\rho$  is the density of working fluid,  $D_{\rm h}$  and L are the hydraulic diameter and the length of the microchannel, respectively, and U is the average fluid velocity.

For fully developed channel flow, the friction factor has an inverse correlation with the Reynolds number, that is, fRe should be a constant for a particular geometry. The fully developed velocity profile was first computed using an extended domain upstream, and the outlet velocity profile from this upstream domain was applied as the inlet velocity profile to the primary domain. Adopting the same geometry/aspect ratios and operational parameters, we compared our simulation results with the analytical result for a mini tube (fRe = 16) with diameter of 1.812 mm and the analytical result of Shah [61] for a trapezoidal microchannel, that is, according to Shah [61], fRe = 14.637 for base angle  $\alpha$  = 60°,  $H/W_b$  = 0.75.  $D_h$  = 187  $\mu$ m has been used for this validation. As shown in Figure 9.5, the simulation results match the experimental correlations for both mini-tube and trapezoidal microchannel. It is also apparent that for fully developed flow employing 4% alumina—water nanofluid, no measurable increase in the friction factor occurred. Numerically, for the Reynolds number range of 100–1000, fRe = 16.28 ~ 16.29 in the mini-tube and fRe = 14.58 ~ 14.62 for flow in the microchannel; thus, the maximum change is <2%.



**FIGURE 9.5** Model validation (friction factor vs. the Reynolds number).

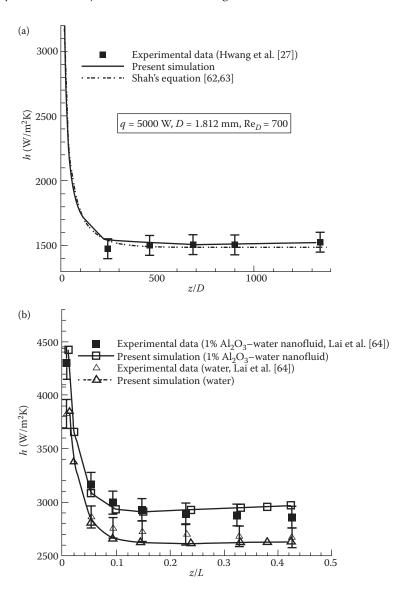
### 9.3.2 Convective Heat-Transfer Validation Results

To compare convective heat transfer characteristics, pure water in a mini-tube was investigated. As shown in Figure 9.6a, the present simulation shows a very good match with the experimental data of Hwang et al. [27] as well as the correlation by Shah [62,63]. For an additional validation of the F–K model (see Equations 9.11 through 9.18, and Figures 9.3 and 9.4), its thermal performance of water-based alumina nanofluid flow in a 1.02-mm tube was compared with the experimental data of Lai et al. [64]. Employing the same geometry and operational conditions (i.e., a volume flow rate of 5 mL/min), the use of the F–K model generates acceptable results for the local heat transfer coefficient (see Figure 9.6b).

### 9.3.3 Friction Factor and Pressure Drop Results

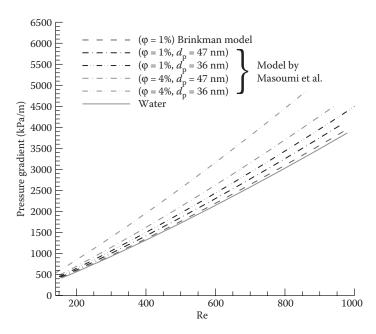
As indicated in Section 9.2, the friction factor and pressure drop as well as the thermal performance of alumina nanofluids were computed for a particular trapezoidal microchannel with hydraulic diameter  $D_{\rm h}=194.5~\mu{\rm m}$ , base angle  $\alpha=54.7^{\circ}$ , and length  $L=3~\rm cm$ . The conventional dynamic viscosity model by Brinkman [48] and the newly developed model by Masoumi et al. [52] were compared, the new F–K model for heat transfer enhancement was applied, and entropy generation of thermal nanofluid flow in the microchannel was computed as well.

Figure 9.7 compares the pressure gradients at different Reynolds numbers for water and different nanofluid parameters. Although the conventional Brinkman

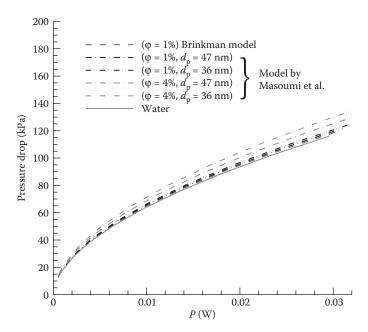


**FIGURE 9.6** (a) and (b) F–K model validation by comparing the heat transfer coefficient in a mini-tube with existing experimental data and theoretical prediction.

viscosity model with a 1% nanoparticle volume fraction generates almost the same results as for pure water, the pressure gradient increases when employing the more realistic model by Masoumi et al. [52], especially when the particle size is small and/or the volume fraction is large. Of practical interest is the power requirement necessary to generate different pressure drops across the microchannel length (see Figure 9.8). Fortunately, there is not much of a difference in pressure drops when using nanofluids with relatively small volume fractions, that is, about a 4% increase



**FIGURE 9.7** Pressure gradient versus the Reynolds number for nanofluid flow using two different viscosity models.



**FIGURE 9.8** Pressure drop versus pumping power for nanofluid flow using two different viscosity models.

for  $Al_2O_3$ —water with 1% volume fraction and 47 nm particles and approximately 7% for  $\phi = 4\%$  and  $d_p = 36$  nm, when employing the viscosity model by Masoumi et al. [52]. Thus, low-concentration nanofluids do not require much more additional pumping power for mixture flow in microchannels. Chein and Chuang [65] and Li and Kleinstreuer [66] provided very similar results based on experiments and numerical simulations, respectively.

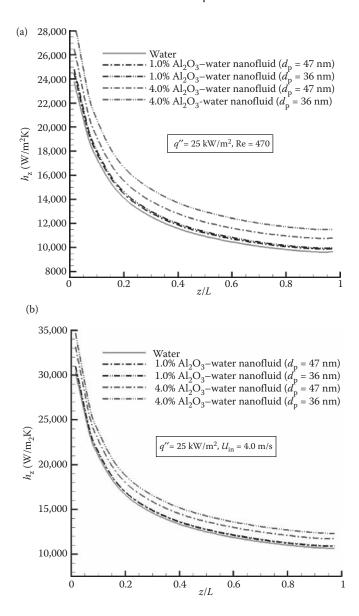
## 9.3.4 Convective Heat Transfer

In order to compare the thermal performance of nanofluid flow in the microchannel, the local heat-transfer coefficient as well as the thermal resistance for different pumping powers were compared for pure water flow and nanofluid flow with different volume fractions, employing the viscosity model by Masoumi et al. [52] and the  $k_{\rm nf}$  model by Kleinstreuer and Feng [57].

The local heat-transfer coefficient developing along the microchannel at the same inlet Reynolds number and heat flux for different fluids is shown in Figure 9.9a. As expected, the local heat transfer coefficient increases when using nanofluids, where smaller nanoparticles yield elevated heat transfer coefficients. It should be noted that the Reynolds number of nanofluids depends on the kinematic viscosity of the nanofluids, which increases with an increase in nanoparticle volume fraction and smaller nanoparticles, implying that the inlet velocity should be increased to keep the Reynolds number constant. Thus, to eliminate the dependence of  $h_z$  on a varying  $U_{\rm in}$ , Figure 9.9b depicts the heat-transfer enhancement for  $U_{\rm in}$  = constant, demonstrating that the heat-transfer coefficient increases due to higher nanoparticle volume fractions and lower nanoparticle diameters. Interestingly, the thermal resistance (see Equation 9.28) decreases when employing nanofluids, especially for nanofluids with larger volume fractions and smaller nanoparticles (see Figure 9.10). The reason is that the average wall temperature is lower due to the higher thermal performance of nanofluids without a significant increase in pumping power. Overall, the average enhancement of thermal performance for Al<sub>2</sub>O<sub>3</sub>-water with a volume fraction of 4% is about 10% for 36 nm nanoparticles and 7% for 47 nm nanoparticles.

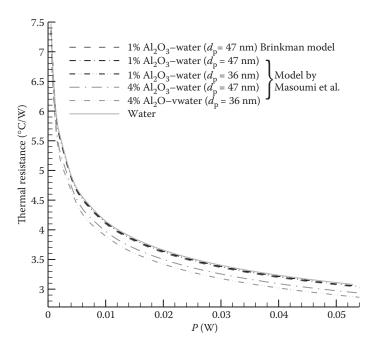
### 9.3.5 Entropy Generation

Focusing on the impact of inlet temperature and the Reynolds number, entropy generation in trapezoidal microchannels is compared for flow of pure water and the 47-nm alumina—water nanofluid. As shown in Figure 9.11a, for a constant inlet velocity total entropy generation decreases slightly with increasing inlet temperature. Assuming a constant inlet Reynolds number produces quite a different outcome, that is,  $S_{\text{gen}}(T_{\text{in}})$  increases measurably (Figure 9.11b). For both cases nanofluids generate lower entropy rates than pure water. The thermal conductivity of the nanofluid is enhanced with an increase in bulk temperature ( $T_{\text{in}}$ ), yielding lower temperature gradients (see Equation 9.20). As a result, total entropy generation is decreased with the increase of inlet temperature. In contrast, to maintain a constant inlet Reynolds number, a lower inlet velocity is computed to balance the effects of viscosity and density changes resulting from the increasing inlet temperature. The lower bulk velocity



**FIGURE 9.9** Local heat-transfer coefficient developing along the microchannel using the new viscosity model by Masoumi et al. [52] and F–K thermal conductivity model: (a) at constant Reynolds number (b) at constant inlet velocity.

caused larger temperature gradients which in turn increased the total entropy generation. Clearly, nanofluids generate less entropy than pure water (see Figures 9.11 and 9.12) because of the milder velocity and temperature gradients due to flatter velocity profiles and better heat transfer in the microchannel. The total entropy generation decreases with higher Reynolds numbers (Figure 9.12). This is quite beneficial when

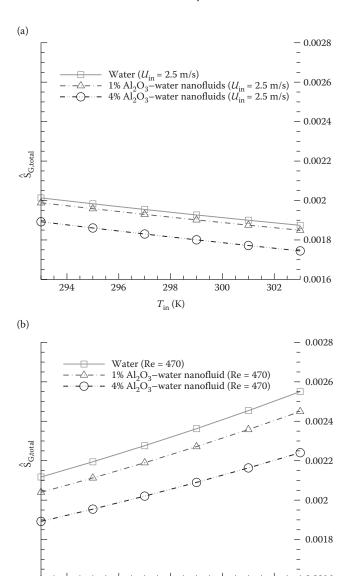


**FIGURE 9.10** Thermal resistance versus pumping power considering different viscosity models for different nanofluids.

dealing with high heat flux conditions, for example, heat sinks, where heat-transfer-induced entropy generation is dominant, especially at low Reynolds numbers. However, at higher Reynolds numbers the frictional part of entropy generation (see Equation 9.22) may be dominant. Hence, it may be desirable to operate a microsystem in a Reynolds number range associated with relatively low  $S_{\rm gen}$  values. In addition to the Reynolds number, the channel geometry (i.e., aspect ratio) is also an important parameter for the minimization of a system's total entropy generation, as pointed out by Li and Kleinstreuer [40].

# 9.4 CONCLUSION

Actual thermal conductivity enhancement of nanofluids  $k_{\rm nf}$  over the "effective medium" theory of Maxwell is still subject to debate; although, most experimentalists reported measurably elevated  $k_{\rm nf}$  values. Associated theoretical models addressing all the important physical phenomena explaining possible  $k_{\rm nf}$  enhancement are needed as well. After a brief review of the most recent papers concerning  $k_{\rm nf}$  experimental observations and theoretical modeling, steady laminar thermal nanofluid flow and entropy generation in a trapezoidal microchannel were numerically analyzed. Specifically, two different viscosity models and thermal conductivity models for water flow and alumina—water nanofluid flow were compared after extensive computer model validations. The results show that nanofluids do measurably enhance the



**FIGURE 9.11** Entropy generation: (a) varying inlet temperature for the same inlet velocity and (b) varying inlet temperature for the same Reynolds number.

298

 $T_{\rm in}(K)$ 

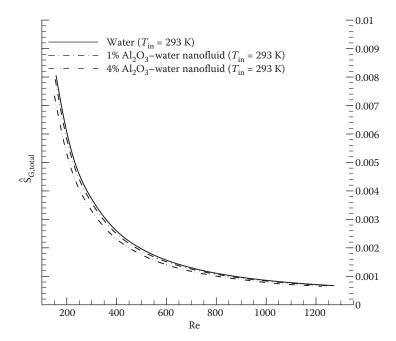
300

302

296

294

thermal performance of microchannel mixture flow with a small increase in needed pumping power. Nanofluids with smaller nanoparticles at the same volume fraction exhibit a better convective heat-transfer performance, but they require more pumping power. The entropy-generation analysis indicates that  $S_{\rm gen}$  reduces when using nanofluids due to their improved thermal transport mechanism.



**FIGURE 9.12** Entropy generation versus Reynolds number for different fluids.

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