

Randomized Clinical Trial of Activated Protein C for the Treatment of Acute Lung Injury

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Rationale: Microvascular injury, inflammation, and coagulation play critical roles in the pathogenesis of acute lung injury (ALI). Plasma protein C levels are decreased in patients with acute lung injury and are associated with higher mortality and fewer ventilator-free days. **Objectives:** To test the efficacy of activated protein C (APC) as a therapy for patients with ALI.

Methods: Eligible subjects were critically ill patients who met the American/European consensus criteria for ALI. Patients with severe sepsis and an APACHE II score of 25 or more were excluded. Participants were randomized to receive APC (24 µg/kg/h for 96 h) or placebo in a double-blind fashion within 72 hours of the onset of ALI. The primary endpoint was ventilator-free days.

Measurements and Main Results: APC increased plasma protein C levels ($P = 0.002$) and decreased pulmonary dead space fraction ($P = 0.02$). However, there was no statistically significant difference between patients receiving placebo ($n = 38$) or APC ($n = 37$) in the number of ventilator-free days (median [25–75% interquartile range]: 19 [0–24] vs. 19 [14–22], respectively; $P = 0.78$) or in 60-day mortality (5/38 vs. 5/37 patients, respectively; $P = 1.0$). There were no differences in the number of bleeding events between the two groups.

Conclusions: APC did not improve outcomes from ALI. The results of this trial do not support a large clinical trial of APC for ALI in the absence of severe sepsis and high disease severity.

Clinical trial registered with www.clinicaltrials.gov (NCT 00112164).

Keywords: acute respiratory distress syndrome; acute lung injury; activated protein C; ventilator-free days; mortality

Acute lung injury (ALI) and the acute respiratory distress syndrome (ARDS) are a major cause of morbidity and mortality, with an incidence of approximately 200,000 patients per year in the United States (1, 2) and a mortality rate of 25 to 40%. Several pharmacologic treatments for clinical lung injury have been evaluated, but none have decreased mortality (2, 3). The use of a lung-protective ventilatory strategy produced the first major breakthrough in supportive care for patients with

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AT A GLANCE COMMENTARY

Scientific Knowledge on the Subject

There are no pharmacologic therapies that have been proven to be effective for the treatment of acute lung injury (ALI) and acute respiratory distress syndrome. It is unknown whether activated protein C would benefit patients with ALI.

What This Study Adds to the Field

We tested activated protein C as a treatment for ALI. Although plasma protein C levels increased and pulmonary dead space fraction decreased, there was no benefit with regard to ventilator-free days (primary study endpoint), mortality, or lung injury score.

ALI, reducing mortality from 40 to 31% (4). However, there is still no effective pharmacologic therapy for the underlying lung injury (reviewed in References 3 and 5).

The pathogenesis of ALI involves both procoagulant and inflammatory mechanisms. Extravascular fibrin deposition in the lung (especially hyaline membranes in the alveoli) is a characteristic pathologic feature of ALI (6, 7), and fibrin deposition and small vessel thrombi develop within the lung circulation in patients with ALI (8, 9). In addition, we have reported that plasma protein C deficiency occurs in virtually all patients with ALI, and reduced plasma protein C levels are associated with a higher mortality and more nonpulmonary organ system dysfunction (10, 11). Normal fibrinolytic mechanisms are impaired in the alveolar compartment in patients with ALI. Elevated levels of plasminogen activator inhibitor (PAI)-1 in the plasma and pulmonary edema fluid are predictive of mortality in patients with ALI (11, 12). Therefore, the correlation of low protein C and elevated PAI-1 levels with poor clinical outcomes suggests that abnormalities of coagulation and fibrinolysis may play an important role in the pathogenesis of infectious and noninfectious ALI (10, 11). There is also persuasive evidence that activation of the coagulation cascade, specifically thrombin formation, can induce inflammatory events, including expression of IL-1, IL-6, and IL-8, and transmigration of inflammatory cells across the lung endothelium (13).

Activated protein C (APC) is a novel therapy with anticoagulant and antiinflammatory properties approved for the treat-

ment of patients with severe sepsis and higher disease severity, based on the results of the Recombinant Human Activated Protein C Worldwide Evaluation in Severe Sepsis (PROWESS) clinical trial (14). Given the evidence that procoagulant and inflammatory mechanisms play a critical role in the pathogenesis of ALI, we hypothesized that APC would be an effective pharmacologic therapy for the treatment of ALI. We therefore performed a randomized, double-blind, placebo-controlled phase II clinical trial to test this hypothesis.

Some of these results have been previously reported in the form of an abstract (15).

METHODS

Participants

Eligible subjects were critically ill patients at eight university medical centers who met the American/European consensus conference criteria for ALI (16). Reasons for exclusion are listed in the online supplement. Major reasons for exclusion included the following: the presence of ALI for more than 72 hours; the presence of sepsis with an Acute Physiology and Chronic Health Evaluation II (APACHE II) score greater than or equal to 25 (a group in whom the drug is currently approved); increased risk of bleeding due to trauma, liver dysfunction, or a known coagulation abnormality; inability to obtain consent; and irreversible medical conditions for which the estimated 6-month mortality exceeded 50%. Written, informed consent was obtained from the study subject or his or her surrogate. The institutional review board at each site approved the study, as did a National Heart, Lung, and Blood Institute data safety monitoring board (DSMB). The DSMB conducted prespecified interim analyses for safety, efficacy, and futility after the enrollment of 30 and 60 patients. The study was stopped by the DSMB after the interim analysis of the first 60 patients for futility; at that point, a total of 75 patients had been enrolled in the trial.

Study Design/Interventions

Subjects were randomly assigned to receive APC (at a dose of 24 $\mu\text{g}/\text{kg}/\text{h}$ for 96 h) or placebo, with concealed allocation in permuted blocks of 2. Study participants and investigators were blinded throughout the treatment and follow-up period. All subjects were ventilated with the lung-protective, low tidal volume ventilation protocol and weaned from mechanical ventilation as described in Reference 4.

Outcomes

As described in detail in the online supplement, the original primary outcome of the study was pulmonary dead space fraction. However, during the Investigational New Drug Application process, the U.S. Food and Drug Administration strongly recommended that the primary outcome of the study should be a more clinically relevant endpoint. Thus, the primary outcome of the study was changed to ventilator-free days, defined as the number of days to Day 28 that the subject achieved unassisted breathing, assuming that a patient survived to Day 28 and remained free of assisted breathing. Subjects who did not survive to 28 days were assigned zero ventilator-free days. Secondary outcomes included Day 60 mortality, organ failure-free days as defined by the Brussels criteria (4, 17), and the change in the pulmonary dead space fraction.

Measurements

Biomarker measurements were made in stored plasma samples from the day of study enrollment and on Day 3 of the study as described in the online supplement. Dead space fraction was measured by volumetric capnography, adopting the procedure used during a recent validation study in patients with ALI/ARDS (18).

Statistical Methods

Continuous variables were expressed as mean \pm SD or median with interquartile range, and were compared using Student's *t* test or the Wilcoxon rank sum test, where appropriate. Categorical variables were compared using χ^2 tests or Fisher's exact tests, as appropriate. Ventilator-free days were compared using the Wilcoxon rank sum test, because these were not normally distributed. Multivariate linear regression was

used to evaluate the association of APC with ventilator-free days, adjusting for baseline pulmonary dead space fraction and lung injury score (19). A generalized estimating equation approach was used to test the impact of APC treatment on $\text{PaO}_2/\text{FiO}_2$, lung injury score, and pulmonary dead space fraction, taking repeated measures into account and using an exchangeable correlation matrix. Analysis of covariance was used to analyze the impact of APC treatment on the biomarker levels at Day 3, controlling for baseline level. A two-sided *P* value of less than 0.05 was considered statistically significant. With the planned enrollment of 90 patients, the study had a statistical power of 80% to detect a difference of 6.5 ventilator-free days between the APC- and placebo-treated groups; additional detail on the power calculations is presented in the online supplement.

RESULTS

Study Protocol

Study participants were recruited at eight university medical centers in the United States from January 2005 until February of 2007. There were 38 patients assigned to receive placebo, and 37 patients assigned to receive APC. One participant received placebo instead of APC due to a pharmacy error; the analysis was conducted on an intention-to-treat basis as well as an as-treated basis (Figure 1). There were no significant differences in the trial outcomes between these two analyses; therefore, the intention-to-treat analysis results are reported here. The infusion was held before an invasive procedure (as described in the online supplement) and terminated before completion if there was any clinical concern for increased bleeding. A total of 31 of 38 patients in the placebo-treated group and 29 of 37 patients in the APC-treated group completed the 96-hour infusion (*P* = 0.73); there was no difference in the mean or median duration of infusion between the two groups.

Baseline Data

There were no differences in the demographic characteristics or cause of ALI between the two groups. Specifically, the primary cause of lung injury, the APACHE II score, and the baseline physiologic variables were not different between the two groups. The only difference between the two groups at baseline was an increased pulmonary dead space fraction in the APC group (Table 1).

Study Outcomes

Compared with the placebo group, there was a significant increase in plasma protein C levels in the APC-treated group from baseline to Day 3 (*P* = 0.002; Figure 2). There was no statistically significant difference in ventilator-free days between the two groups (median: 19 d in the placebo group compared with 19 in the APC group, *P* = 0.78; 95% confidence interval [CI] for the difference, -3 to 4 d). There was also no statistically significant difference in 60-day mortality between the two groups (5/38 patients in the placebo group and 5/37 patients in the APC group, *P* = 1.0; 95% CI for the difference between the groups, -15 to 15.7%). Similarly, there was no statistically significant difference in ventilator-free days between the groups when only survivors were analyzed, nor was there a statistically significant difference in the number of organ failure-free days between the groups (Table 2). Also, after adjusting for the lung injury score and baseline dead space fraction, there was no statistically significant difference in the number of ventilator-free days between groups (95% CI, -3 to 9 d for treatment vs. placebo; *P* = 0.31).

Physiologic and Biological Measurements

Given the anticoagulant and profibrinolytic properties of APC, we hypothesized that administration of APC would decrease

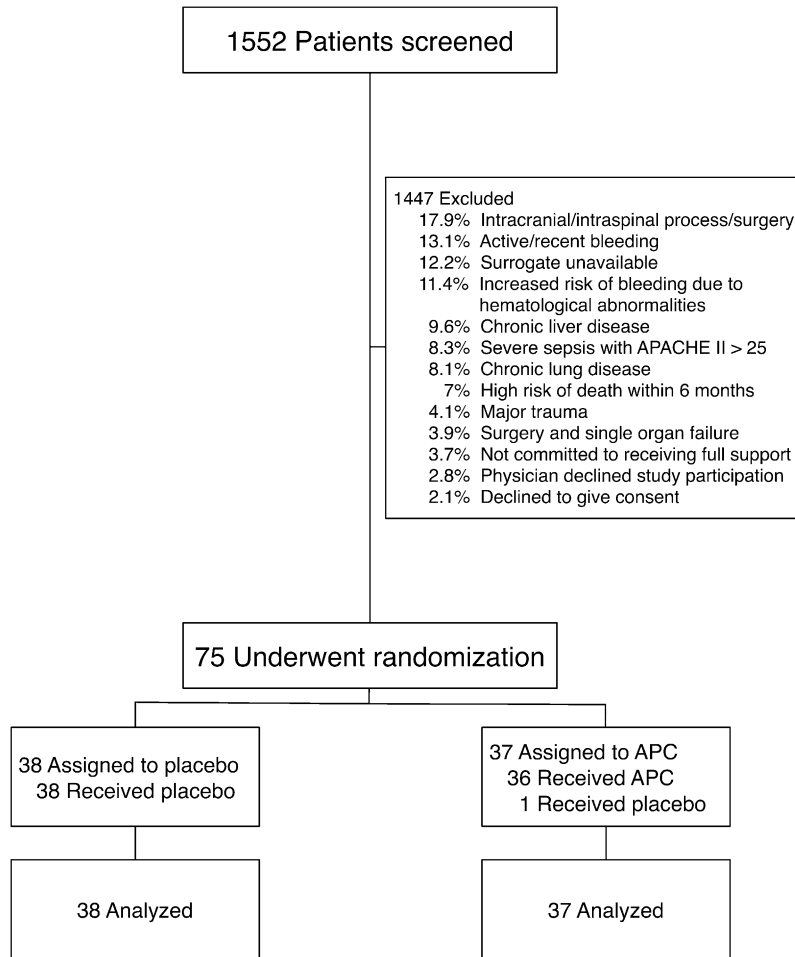


Figure 1. Enrollment and outcomes. Patients may have had more than one reason for exclusion. The full list of exclusion criteria can be found in the online supplement. APACHE = Acute Physiology and Chronic Health Evaluation; APC = activated protein C.

the pulmonary dead space fraction. There was a significant difference in the dead space fraction between the two groups at baseline (0.55 ± 0.12 in placebo group vs. 0.62 ± 0.12 in the treatment group, $P = 0.03$). After adjusting for this baseline difference, there was a greater change in dead space fraction over the first 4 days of the study in the APC-treated group compared with the placebo group ($P = 0.02$; Table 3). Treatment with APC did not affect the change in $\text{PaO}_2:\text{FiO}_2$ or lung injury score over the first 4 days of the study (Table 3).

We also tested the impact of APC administration on coagulation and inflammatory markers. APC administration had no effect on PAI-1 or IL-6 levels (Table 4). The plasma protein C levels were decreased in both groups at baseline (Table 4). As would be expected, APC did increase the levels of protein C from baseline in the treatment group, but not in the control group (Table 4 and Figure 2).

Adverse Events

There were seven bleeding events (two severe adverse events) reported in the placebo arm compared with nine bleeding events (three severe adverse events) in the APC arm ($P = 0.58$) (see the online supplement for further details). There was no difference in the number of severe adverse events reported in the two treatment arms (14/38 in the placebo arm, 12/37 in the APC arm; $P = 0.69$).

DISCUSSION

On the basis of the established contribution of microvascular injury and inflammation to the pathogenesis of ALI, we designed this placebo-controlled, randomized clinical trial to

test the efficacy of APC for the treatment of ALI in the absence of severe sepsis with a high risk of death. The National Heart, Lung, and Blood Institute DSMB stopped the trial for futility after 75 patients were enrolled when a planned interim analysis showed no difference in the primary outcome variable (ventilator-free days, a median of 19 in both groups; 95% CI, -3 to 4 d) and no difference in 60-day mortality.

Our study population differed significantly from that of most clinical trials of ALI because of the exclusion of patients with severe sepsis and an APACHE II score greater than or equal to 25. This exclusion was mandated by the DSMB. Interestingly, the mortality rate of subjects in our study was only 13%, compared with reported mortality rates of approximately 25% in large clinical trials of ALI that do not exclude septic patients with an APACHE II score greater than or equal to 25 (20–22). The mortality rate of subjects in our study is similar to that reported in the Administration of Drotrecogin Alfa in Early Stage Sepsis (ADDRESS) clinical trial in subjects with an APACHE II score of less than 20 (23), which focused on patients with sepsis and a low risk of death, primarily defined as those with either single-organ failure or an APACHE II score of less than 25. Our low mortality rate is likely due to lower overall severity of illness because of the exclusion of patients with severe sepsis at high risk of death or with increased risk of bleeding with APC therapy—for example, patients with evidence of coagulopathy or thrombocytopenia. Given the overall mortality rates of approximately 25% in recent clinical trials of ALI, a significant proportion of attributable mortality in those studies likely occurs in patients with ALI and a higher severity of sepsis. Indeed, in a reanalysis of data from a study of low-dose steroids for refractory septic

TABLE 1. BASELINE CHARACTERISTICS

	Placebo (n = 38)	APC (n = 37)	P Value
Age, yr	51.6 ± 18.6	51.6 ± 15.5	>0.99
Male sex, n (%)	26 (68)	21 (57)	0.30
Race, n (%)			0.53
White	23 (61)	21 (57)	
African American	7 (18)	6 (16)	
Hispanic	5 (13)	9 (24)	
Other	3 (8)	1 (3)	
Primary etiology of lung injury, n (%)			0.24
Pneumonia	16 (42)	14 (38)	
Aspiration	12 (32)	14 (38)	
Sepsis	7 (18)	4 (11)	
Drug overdose	2 (5)	0 (0)	
Other	1 (3)	5 (13)	
Medical ICU admission, n (%)	30 (79)	33 (89)	0.35
APACHE II score	20 ± 7	20 ± 8	0.72
SAPS II score	42 ± 14	42 ± 16	0.96
Sepsis, n (%)	17 (45)	10 (27)	0.11
Mean arterial pressure, mm Hg	82 ± 15	78 ± 14	0.19
Vasopressor use, n (%)	8 (21)	10 (29)	0.46
Hematologic variables			
Hemoglobin, g/dl	10.8 ± 2.5	10.6 ± 3.6	0.81
WBC, 10 ⁶ /ml	14.3 ± 8.4	12.7 ± 6.8	0.37
Platelets, 10 ⁶ /ml	229 ± 122	221 ± 138	0.81
Respiratory variables			
Tidal volume, ml/kg PBW	6.9 ± 1.5	6.7 ± 1.4	0.54
Plateau pressure, cm H ₂ O	24 ± 5	25 ± 7	0.22
PEEP, cm H ₂ O	8.5 ± 3.2	9.4 ± 4.6	0.34
pH	7.38 ± 0.05	7.38 ± 0.07	0.82
PaO ₂ /FiO ₂	174 ± 63	158 ± 67	0.30
Lung injury score*	2.5 ± 0.6	2.7 ± 0.6	0.10
Dead space fraction	0.55 ± 0.12	0.62 ± 0.12	0.03

Definition of abbreviations: APACHE = Acute Physiology and Chronic Health Evaluation; APC = activated protein C; ICU = intensive care unit; PaO₂/FiO₂ = ratio of the partial pressure of arterial oxygen and the fraction of the inspired oxygen; PBW = predicted body weight; PEEP = positive end-expiratory pressure; SAPS II = Simplified Acute Physiology Score; WBC = white blood cell.

Values are means ± SD unless otherwise noted.

* Lung injury score is the 4-point score as described in Reference 19.

shock, the mortality rate of patient with ALI and severe sepsis treated with placebo was 67% (24).

There are some limitations to our trial. First, there was a small but statistically significant difference in the baseline pulmonary dead space fraction between the APC-treated and placebo groups (0.62 vs. 0.55, $P = 0.03$). However, there was no difference between the two groups with regard to other baseline respiratory characteristics (PaO₂/FiO₂, pH, lung injury score). Furthermore, even after adjusting for the lung injury score and the baseline difference in dead space fraction, there was no statistically significant difference in the number of ventilator-free days between the two groups.

Second, the number of patients in our trial was modest ($n = 75$), and therefore we had limited statistical power to detect a difference in the primary endpoint, ventilator-free days. However, an important objective of phase II clinical trials is to identify physiologic and biological signals to suggest that a meaningful clinical difference would likely occur in a larger, phase III clinical trial. We did not observe a statistically significant difference in the primary endpoint of ventilator-free days, nor in the secondary endpoints of 60-day mortality or organ failure-free days, noting that we cannot completely exclude a difference between the two groups (either a benefit or harm) within the 95% CIs of the difference (-3 to 4 d in the case of ventilator-free days). However, there was no observed difference with APC treatment in any of the clinical endpoints, despite biological evidence that the administered treatment was active. Specifically, compared with placebo-treated control

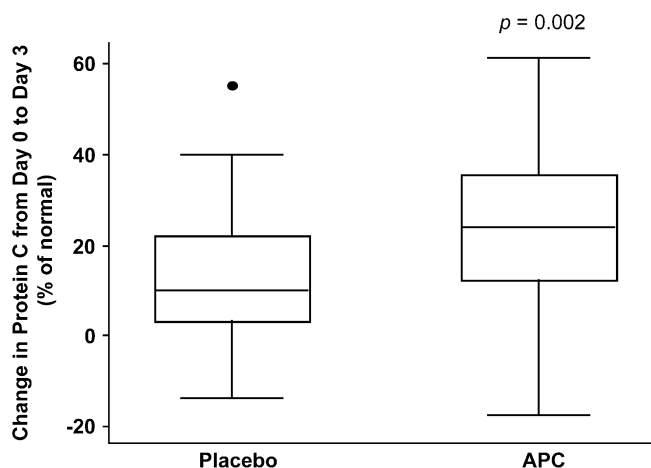


Figure 2. Change in protein C levels by treatment group. The horizontal line within the box represents the median, the boxes encompass the 25th–75th percentile, and the whiskers encompass the 10th–90th percentile (solid dot is an outlier). Units for protein C levels are % of normal, so the y axis represents the change in protein C levels expressed as % of normal. There is a difference in the change between baseline and Day 3 levels in the activated protein C (APC)-treated group, compared with the control group, $P = 0.002$.

subjects, patients treated with APC had a significant increase in plasma protein C levels over the first 3 days of the study (Figure 2). In addition, given the anticoagulant and profibrinolytic mechanisms of action of APC, we hypothesized that administration of APC would improve the lung microcirculation, leading to better ventilation–perfusion matching, and therefore decrease the dead space in the lung. Indeed, dead space fraction decreased in patients treated with APC, compared with control subjects ($P = 0.02$). However, there was no change in other pulmonary physiologic parameters, including PaO₂/FiO₂ ratio or lung injury score with APC treatment. Given the lack of evidence from our data to support improved outcomes with APC in this patient population, and given the known bleeding risk associated with APC (14), the DSMB chose to stop the study at 75 patients, rather than allowing enrollment to the planned sample size of 90 patients. It is unlikely that inclusion of another 15 patients would have substantially changed the results of this trial because the difference in ventilator-free days was zero. Nonetheless, we recognize that our results do not exclude a small, beneficial effect of APC on the primary endpoint of ventilator-free days and that with a small phase II trial such as this, a type II error is always possible.

TABLE 2. CLINICAL OUTCOMES BY GROUP

	Placebo (n = 38)	APC (n = 37)	P Value
Ventilator-free days, median (IQR)	19 (0–24)	19 (14–22)	0.78
Death by Day 60, n (%)	5 (13.5)	5 (13.5)	1.00
Ventilator-free days among survivors, median (IQR)	21 (5–25)	20 (16–23)	0.36
Organ failure-free days, median (IQR)	23 (14–27)	23 (16–27)	0.46
Cardiovascular failure, median (IQR)	25 (20–28)	26 (23–28)	0.30
Coagulation failure, median (IQR)	28 (28–28)	28 (28–28)	0.57
Renal failure, median (IQR)	28 (18.5–28)	28 (28–28)	0.41
Hepatic failure, median (IQR)	28 (27–28)	28 (28–28)	0.36

Definition of abbreviations: APC = activated protein C; IQR = interquartile range.

TABLE 3. PULMONARY PHYSIOLOGY VARIABLES BY TREATMENT GROUP

Variable	Baseline		Day 1		Day 4	
	Placebo	APC	Placebo	APC	Placebo	APC
Pa _{O₂} :Fi _{O₂}	174 ± 63	158 ± 67	178 ± 52	169 ± 63	197 ± 85	202 ± 74
Tidal volume, ml/kg of PBW	6.9 ± 1.5	6.7 ± 1.4	6.4 ± 1.0	6.4 ± 1.3	6.5 ± 1.1	6.3 ± 1.3
Plateau pressure, cm H ₂ O	24 ± 5	25 ± 7	23 ± 4	24 ± 4	22 ± 5	23 ± 5
Lung injury score	2.5 ± 0.6	2.7 ± 0.6	2.4 ± 0.6	2.6 ± 0.6	2.3 ± 0.7	2.2 ± 0.7
Dead space fraction	0.55 ± 0.12	0.62 ± 0.12	0.55 ± 0.09	0.61 ± 0.13	0.57 ± 0.14	0.57 ± 0.14
Change in dead space fraction			-0.01 ± 0.07	-0.01 ± 0.09	-0.001 ± 0.08	-0.06 ± 0.09

Definition of abbreviation: APC = activated protein C, PBW = predicted body weight.

Values are means ± SD of the values recorded closest to 8 A.M. on Days 1 and 4 after enrollment. There was no difference in the change in Pa_{O₂}:Fi_{O₂} ($P = 0.38$), plateau pressure ($P = 0.21$), or lung injury score ($P = 0.22$) between treatment groups. There was a significant change in the dead space fraction between the treatment groups, $P = 0.02$.

Furthermore, our results are consistent with the evidence that has emerged since the start of this clinical trial indicating that APC has limited benefit in patients who are less critically ill than the patients in the original PROWESS trial. In that trial, benefit was observed primarily in patients with higher disease severity as measured by an APACHE II score greater than or equal to 25 or multiorgan failure (14, 23). The ADDRESS clinical trial ($n = 2,640$) focused on patients with lower disease severity with severe sepsis and found no benefit (23). The RESOLVE (Researching Severe Sepsis and Organ Dysfunction in Children: a Global Perspective) clinical trial ($n = 477$) focused on children with sepsis, who are more likely to survive than adults, and also found no benefit (25). Thus, although our trial is relatively small, the results are consistent with other studies indicating that patients with lower disease severity may not benefit from APC. In particular, the results of our study suggest that patients with ALI and a lower risk of death do not benefit from APC. Also, patients treated with APC are at an increased risk of bleeding events; the increase in serious bleeding events in the treatment group was 1.5% in the PROWESS and 1.7% in the ADDRESS trials (14, 23). Given the known increased risk of bleeding with APC therapy, these results do not support a large, phase III clinical trial of APC as a therapy for ALI in the absence of sepsis and an associated high risk of death. In fact, given the extremely low mortality rate of this patient population, a trial adequately powered to test the hypothesis that APC reduces mortality associated with ALI would be prohibitively large. The impact of APC on outcomes in patients with persistent septic shock, a group with a high risk of death (26), is being examined in an ongoing phase III placebo-controlled trial, PROWESS-SHOCK (27).

Conflict of Interest Statement: K.D.L. owned shares in Eli Lilly until 2006. J.L. does not have a financial relationship with a commercial entity that has an interest in the subject of this manuscript. H.Z. does not have a financial relationship with

TABLE 4. BIOMARKER VALUES BY TREATMENT GROUP

	Placebo ($n = 38$)	APC ($n = 37$)	P Value
IL-6, ng/ml			0.67
Baseline	354 ± 644	374 ± 517	
Day 3	147 ± 219	171 ± 235	
PAI-1, ng/ml			0.9
Baseline	56 ± 22	54 ± 22	
Day 3	54 ± 24	53 ± 24	
Protein C, % normal			0.002
Baseline	68 ± 31	68 ± 28	
Day 3	77 ± 32	90 ± 27	

Definition of abbreviations: APC = activated protein C; PAI-1 = plasminogen activator inhibitor-1.

Levels are reported as mean ± SD. Analysis of covariance was used to test whether there was a difference in the change in biomarker levels between the APC- and placebo-treated groups.

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