1 Rare variants in long non-coding RNAs are associated with blood lipid levels in the

2 TOPMed Whole Genome Sequencing Study

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104 Abstract

105 Long non-coding RNAs (lncRNAs) are known to perform important regulatory functions. Large-106 scale whole genome sequencing (WGS) studies and new statistical methods for variant set tests 107 now provide an opportunity to assess the associations between rare variants in lncRNA genes 108 and complex traits across the genome. In this study, we used high-coverage WGS from 66,329 109 participants of diverse ancestries with blood lipid levels (LDL-C, HDL-C, TC, and TG) in the 110 National Heart, Lung, and Blood Institute (NHLBI) Trans-Omics for Precision Medicine 111 (TOPMed) program to investigate the role of lncRNAs in lipid variability. We aggregated rare 112 variants for 165,375 lncRNA genes based on their genomic locations and conducted rare variant 113 aggregate association tests using the STAAR (variant-Set Test for Association using Annotation 114 infoRmation) framework. We performed STAAR conditional analysis adjusting for common 115 variants in known lipid GWAS loci and rare coding variants in nearby protein coding genes. Our 116 analyses revealed 83 rare lncRNA variant sets significantly associated with blood lipid levels, all 117 of which were located in known lipid GWAS loci (in a ± 500 kb window of a Global Lipids 118 Genetics Consortium index variant). Notably, 61 out of 83 signals (73%) were conditionally 119 independent of common regulatory variations and rare protein coding variations at the same loci. 120 We replicated 34 out of 61 (56%) conditionally independent associations using the independent 121 UK Biobank WGS data. Our results expand the genetic architecture of blood lipids to rare 122 variants in lncRNA, implicating new therapeutic opportunities.

123 Introduction

124 Blood lipid levels, including low-density lipoprotein cholesterol (LDL-C), total cholesterol (TC), 125 triglyceride (TG), high-density lipoprotein cholesterol (HDL-C), are quantitative clinically important traits with well-described monogenic and polygenic bases¹⁻¹⁹. Abnormal blood lipid 126 127 levels contribute to risk of coronary heart disease (CHD) and, in clinical practice, several treatments, including statins, PCSK9 and ANGPTL3 inhibitors^{20–22}, are available to reduce the 128 129 risk of developing CHD. Each of these therapeutics has supporting evidence of their efficacy from human genetic analysis of blood lipid levels^{21–23}. 130 131 132 Long non-coding RNAs (lncRNAs) are broadly defined as transcripts greater than 200 nucleotides in length that biochemically resemble mRNAs but do not code for proteins²⁴. 133 lncRNAs are known to perform important regulatory functions in lipid metabolism^{25–27}. Rare 134 135 variants (RVs) in lncRNAs have not been systematically explored for their impact on blood lipid 136 levels as they are not comprehensively genotyped or imputed on non-WGS platforms. In 137 addition, there are difficulties in defining testing units and selecting qualifying variants²⁸. Rapidly growing knowledge about the regulatory elements of the non-coding genome^{29–33}, large-138 scale WGS studies ^{34–36}, and new statistical methods ^{37–39} for variant set tests provide the 139 140 possibility to assess the associations between plasma lipid traits and the genome-wide impact of 141 lncRNAs. 142 We examined the associations of rare variants in lncRNA genes from high-coverage WGS of 143 144 66,329 participants from diverse ancestry who have blood lipid traits (LDL-C, HDL-C, TC and

145 TG) in the National Heart, Lung, and Blood Institute (NHLBI) Trans-omics for Precision

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Medicine (TOPMed) program freeze 8 data³⁴. We show that the rare noncoding variants in
lncRNA genes located near known Mendelian dyslipidemia genes contribute to phenotypic
variation in lipid levels among unselected individuals from population-based cohorts biobanks
independently of common variants associated with blood lipid levels.

150

151 **Results**

152 *Overview*

We performed a comprehensive evaluation of the association between quantitative blood lipidtraits and rare variants in lncRNA genes across the genome (Figure 1). We systematically

155 curated more than 165k lncRNA genes from the union of four human genome lncRNA

annotations, including GENCODE^{29,30}, FANTOM5 CAT³¹, NONCODE³² and lncRNAKB³³.

157 We utilized the TOPMed Freeze 8 dataset of 66,329 participants from 21 studies with WGS and

measured blood lipid levels and performed the rare variant (MAF <1%) association tests of

159 curated lncRNA genes with four blood lipid phenotypes: LDL-C, HDL-C, TC, and TG. We

160 further conducted the conditional analysis adjusting for known genome-wide association study

161 (GWAS) variants from the Global Lipids Genetics Consortium (GLGC)¹⁸. Associations between

162 lncRNA genes and lipids that were conditionally independent from the GWAS variants

163 (conditional *P* value < 6.0e-04) were then tested using STAAR procedure for conditional

analysis adjusting for rare nonsynonymous variants (MAF < 1%) within the closest protein

165 coding gene and the nearby known lipid monogenic genes in the region. We performed

166 replication in ~140 \square K genomes from UK Biobank⁴⁰. We intersected our results with the gene

167 expression signatures of lipid traits in 1,505 participants from the Framingham Heart Study

- 168 (FHS)⁴¹ with RNA-seq data and blood lipid levels and observed evidence that the lncRNA RVs
- 169 may both influence their gene expression levels and impact lipid traits.
- 170

171 Figure 1. A schematic illustration of the study.



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174 *Characteristics of TOPMed participants*

- 175 We included 66,329 diverse participants from 21 cohort studies in the NHLBI TOPMed
- 176 consortium with blood lipid levels. The discovery cohorts consisted of 29,502 (44.5%) self-
- 177 reported White, 16,983 (25.6%) self-reported Black, 13,943 (21.0%) self-reported Hispanic,
- 4,719 (7.1%) self-reported Asian, and 1,182 (1.8%) self-reported Samoan participants
- 179 (Supplementary Table 1, Supplementary Text). Among the 66,329 participants, 41,182 (62%)

180	were female. The mean age of the $66,329$ participants was 53 years (SD = 15). The mean ages at
181	lipid measurement varied across 21 cohorts from 25 years (SD = 3.56) for the Coronary Artery
182	Risk Development in Young Adults (CARDIA) to 73 years ($SD = 5.38$) for the Cardiovascular
183	Health Study (CHS). We observed that the Amish cohort had a higher concentration of LDL-C
184	(140 [SD = 43] mg/dL) and HDL-C (56 $[SD = 16] mg/dL$) as well as lower TG (median 63 $[IQR]$
185	= 50] mg/dL) consistent with the known founder mutations in APOB and APOC 3^{35} .
186	
187	Identification of rare lncRNA variants associated with blood lipid traits
188	We defined lncRNA testing units using the available genomic positions in four genome
189	annotation projects described in the Methods. There were 11,349 lncRNA genes obtained from
190	GENCODE ^{29,30} , 16,227 from FANTOM5 CAT ³¹ , 78,166 from NONCODE ³² and 59,633 from
191	lncRNAKB ³³ . In total, we tested 165,375 lncRNA genes, among which, the average number of
192	rare variants in each lncRNA was 483 (SD = 572). The minimum and the maximum number of
193	rare variants among the lncRNAs being tested are 2 and 2947, respectively.
194	
195	Our aggregation of lncRNAs across four lncRNA resources led to an overlap in the lncRNA
196	units, leading to non-independent tests of association of the lncRNAs with blood lipid levels. We
197	estimated the effective number of tests (M_{eff}) using a principal component analysis (PCA) based
198	approach ⁴² since the traditional Bonferroni correction would be too conservative and reduce
199	power to detect association with blood lipid levels ²⁸ . M_{eff} was estimated as 111,550, providing a
200	significance threshold of $\alpha = 0.05/111,550 = 4.5 \times 10^{-7}$.
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203 Table 1. Summary of significant lncRNA associations for unconditional analysis,

204 conditional analyses, and replication.

Method	LDL-C	ТС	HDL-C	TG	Total No.
STAAR Unconditional analysis*	28	20	19	16	83
Conditioning on known lipid-associated	20	14	15	12	61
variants **					
Conditioning on rare nonsynonymous	18	13	15	12	58
variants within the closest gene and					
nearby lipid monogenic genes ***					
Conditioning on rare synonymous	20	14	15	12	61
variants within the closest gene and					
nearby lipid monogenic genes ***					
Conditioning on rare pLoF variants	20	14	15	12	61
within the closest gene and nearby lipid					
monogenic genes ***					
Replication in UKBB WGS ***	13	7	8	6	34

205 * Bonferroni correction level of 0.05/111,550 = 4.5e-07

206 **Bonferroni correction level of 0.05/83 = 6.0e-04

207 ***Bonferroni correction level of 0.05/61 = 8.2e-04

208

209 We applied STAAR (variant-Set Test for Association using Annotation infoRmation)

210 framework^{37,38} to identify the lncRNA rare variant (RV) sets that associated with quantitative

211 lipid traits (LDL-C, HDL-C, TC and TG) using TOPMed WGS data. STAAR-O identified 83

212 genome-wide significant associations (28 with LDL-C, 20 with TC, 19 with HDL-C, and 16 with

213 TG) (Table 1, Supplementary Table 2). Among the 83 genome-wide significant associations, 214 there are 54 unique lncRNAs. We observed that all the significant associations in the 215 unconditional analysis were in the known lipid GWAS loci (defined as a ±500 kb window beyond a Global Lipids Genetics Consortium index variant)¹⁸. We performed a sensitivity 216 217 analysis aggregating only exonic and splicing variants in lncRNA genes and observed consistent 218 results to our primary analysis results (Supplementary Figure 1). 219 Conditional analyses of trait-associated lncRNAs adjusting for known GWAS 220 variants and nonsynonymous variants within the nearby lipid monogenic genes 221 222 After conditioning on known lipid-associated variants in a ±500 kb window beyond a variant 223 set¹⁸, 61 out of 83 associations (73%) remained significant (20 with LDL-C, 14 with TC, 15 with HDL-C, and 12 with TG) at the Bonferroni corrected level of $0.05/83 = 6.0 \times 10^{-4}$, indicating 224 225 that the associations between the lncRNA genes and lipid levels are distinct from the known 226 GWAS variants. The most significant association for LDL-C and TC was the lncRNA 227 NONHSAG026007.2 (chr19:44,892,420-44,903,056) near the APOE-APOC1 region. NONHSAG026007.2 remained significantly associated with LDL-C (P value = 2.44×10^{-15}) and 228 TC (P value = 2.17×10^{-27}) after adjusting for nearby known lipid-associated variants (Figure 2). 229 230 The most significant associations for HDL-C and TG were NONHSAG063125.1 231 (chr11:116,790,241-116,805,983) and NONHSAG09700.3 (chr11: 116,773,068-116,779,841), 232 respectively, both near APOA5-APOC3-APOA1 region. NONHSAG063125.1 remained similarly 233 associated after conditioning on known lipid GWAS variants, while NONHSAG09700.3 became 234 even more significant (Figure 2). We then conditioned the GWAS-distinct associations on the 235 rare nonsynonymous variants within the closest protein coding gene and nearby lipid monogenic

236	genes and observed that most (94.9%) of the lncRNA associations with lipid levels remained
237	significant (Table 1; Supplementary Figure 2). Additionally, when conditioned on the rare
238	synonymous variants or rare pLoF variants within the closest protein coding gene and nearby lipid
239	monogenic genes, the number of associations remained as same as those GWAS-distinct
240	associations (Table 1; Supplementary Figure 3).
241	
242	Replication of significant lncRNA-blood lipid trait associations
243	Replication of 61 lncRNAs associated with blood lipid levels was evaluated in 139,849 UK
244	Biobank individuals with WGS and blood lipid levels (Supplementary Table 3). We replicated
245	34 out of 61 (56%) lncRNA associations with blood lipid levels at a Bonferroni-corrected
246	threshold of $0.05/61 = 8.2e-04$ (Supplementary Table 2). The most significant associations in
247	the UK Biobank replication were NONHSAG025996.2 (chr19: 44,694,720-44,696,054) near
248	APOE-APOC1 region for LDL-C, NONHSAG109604.1 near APOE-APOC1 region for TC,
249	NONHSAG009700.3 near APOA5-APOC3-APOA1 region for both HDL-C and TG
250	(Supplementary Table 2), which were consistent with the results from TOPMed.
251	
252	Figure 2. Significantly associated lncRNAs with four blood lipid traits (STAAR-O P value
253	< 4.5e-07). The lncRNA genes are ordered by chromosome, followed by genomic positions.
254	Dots in red and blue represent the $-\log_{10}(STAAR-OP value)$ of the STAAR unconditional and
255	conditional analysis adjusting for known lipid-associated GWAS variants, respectively. The
256	black dashed line is the Bonferroni correction level of $0.05/83 = 6.0e-04$. Arrows indicate at least
257	10^4 fold change of STAAR-O <i>P</i> values comparing the unconditional analysis and conditional
258	analysis adjusting for known lipid-associated GWAS variants.



Analysis 🗧 Unconditional 💿 Adjusting for known GWAS variants

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262 *lncRNA gene expression analysis in FHS RNA-seq data*

263 We overlapped the significant lipid-associated lncRNA genes with the lncRNA genes available in the Framingham Heart Study (FHS) RNA-seq data generated by TOPMed⁴³. Since the gene-264 265 level expression data in FHS is annotated by GENCODE v30, we limited the lncRNA genes to 266 those presented in GENCODE. Among the 54 unique lncRNA genes that are significantly 267 associated with either one of the lipid traits using TOPMed WGS data, 10 lncRNA genes are 268 annotated by GENCODE, and 8 out of 10 can be found in the FHS data. We performed 269 association analyses of expression levels of those 8 significant lipid-associated lncRNA genes 270 with blood lipid levels (LDL-C, TC, HDL-C, TG) (Supplementary Text, Supplementary 271 Table 4). In total, we tested 12 associations of lncRNA gene expression with blood lipid level 272 (Supplementary Table 4). The small proportion of overlapping was partially due to lncRNA 273 genes' generally lower expression. The lowly expressed genes were filtered out when processing 274 the gene expression data.

275

276 Four associations achieved Bonferroni-adjusted significance, including the gene expression level 277 of ENSG00000267282.1 (chr19:44,881,088-44,890,922) associated with LDL-C, TC, and TG, 278 and the gene expression level of ENSG00000266936.1 (chr19:11,010,917-11,016,011) 279 associated with TC. ENSG00000267282.1 is an antisense of NECTIN2 (also known as PVRL2) 280 (Figure 3). The nectin cell adhesion molecule 2 (*NECTIN2*) protein is a cell adhesion molecule involved in lipid metabolism⁴⁴. Additionally, ENSG00000267282.1 was one of the lncRNA 281 282 associations that we replicated in the independent UK Biobank (Supplementary Table 2). We 283 also queried whether the RVs in this lipid-associated lncRNA led to an alteration of the 284 corresponding lncRNA levels in the blood. However, due to the small number of overlapping

285 individuals between FHS RNA-seq data and TOPMed WGS data (N = 512), the number of RVs 286 tested in ENSG00000267282.1 for the association of its gene expression level was only 59. 287 Compared with the original analysis using all 66,329 individuals for the association with lipid 288 levels, the number of RVs tested in ENSG00000267282.1 is 1417. As a result, the association of 289 the RVs in the ENSG00000267282.1 with ENSG00000267282.1 gene expression levels in blood 290 was not significant (STAAR-O P value = 0.68). 291 292 Figure 3. IncRNAs in the APOE region associated with LDL-C. Upper panel shows the -293 log₁₀(STAAR-O P value) of the STAAR unconditional analysis, STAAR conditional analysis 294 adjusting on known lipid GWAS variants, and STAAR conditional analysis adjusting for rare 295 non-synonymous variants within the closest protein-coding gene and nearby lipid monogenic 296 genes. The bottom panel is the nearby protein coding genes with the genomic coordinates. The 297 vertical dashed line is the position of the known GWAS variants that were conditioned on. The 298 black horizontal dashed line is the Bonferroni correction level of 0.05/111,550 = 4.5e-07, and the

gray horizontal dashed line is the Bonferroni correction level of 0.05/83 = 6.0e-04.

LDL Chr19:44846175 - Chr19:44921184 IncRNA Genes



300

301 **Discussion**

302	In this study, we conducted genome-wide rare-variant associations of 165K lncRNAs in
303	ancestrally diverse TOPMed participants ($N = 66,329$) with measured blood lipid levels. Using
304	rare-variant association tests, we observed 83 rare lncRNAs significantly associated with blood
305	lipid levels, and of these, 61 (73%) were conditionally distinct from common regulatory
306	variation and rare protein coding variation at the same loci. Notably, most of these association
307	signals were replicated in an independent WGS dataset, UK Biobank. We also highlighted one
308	trait-associated lncRNA, ENSG00000267282.1(chr19:44,881,088-44,890,922), whose gene
309	expression level was also shown to be associated with lipid levels using RNA-seq data from the
310	FHS. Together, this systematic assessment of rare lncRNA variants suggests an additional
311	genomic element in known lipid gene regions that is distinct from the known lipid genes.
312	
313	Genetic variation for blood lipids levels has been observed across the allelic spectrum with
314	common, rare coding, and rare non-coding variants being associated with blood lipids levels ³⁶ .
315	Blood lipids have been associated with non-coding regulatory variants and coding variation in
316	genes, and now also associated with lncRNAs. We show that all the trait-associated lncRNAs are
317	in genomic regions previously associated with blood lipid traits, leading to the plausibility of
318	these results. About 75% of the associations are conditionally distinct from common regulatory
319	variation and rare protein coding variation at the same loci previously identified through GWAS
320	and whole exome sequencing studies. This indicates that the regulatory variants through
321	lncRNAs additionally contribute to the variation of blood lipid levels.
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324	Despite numerous reports indicating the potential regulatory role of long non-coding RNAs
325	(lncRNAs), only a small proportion of them have substantial evidence to support such
326	claims ^{25,26,45} . The fraction of lncRNAs that are functional remains unknown. Through a
327	comprehensive study of over 165,000 lncRNAs, we found that the majority of lncRNAs are not
328	associated with a lipid trait, which aligns with the argument made previously that only a few
329	human lncRNAs contribute centrally to human physiology ⁴⁵ . However, there are still some
330	lncRNAs that harbor variants that predispose individuals to phenotypic differences in blood lipid
331	levels. Our results suggest that investigators should first prioritize individual lncRNAs near the
332	known trait-associated loci for analysis, which is more likely to yield robust experimental
333	observations.
334	
335	We further investigated one lncRNA, liver-expressed liver X receptor-induced sequence (LeXis),
336	which is a mediator of the complex effects of liver X receptor (LXR) signaling on hepatic lipid
337	metabolism to maintain hepatic sterol content and serum cholesterol levels ^{46,47} . A potential
338	orthologue of <i>LeXis</i> in humans, TCONS_00016452 (chr9:104,990,086-104,991,780), is found in
339	a region adjacent to the human ABCA1 gene. It didn't stand out as a significant signal for any
340	lipid trait in our study, which might suggest that it was not a functional orthologue of <i>LeXis</i> .
341	However, the rapid evolutionary turnover of lncRNAs still hinders the functional identification
342	between species ^{45,47} .
343	

Several limitations of our study should be noted. First, our RNA-seq analyses were restricted to
GENCODE annotation. The small proportion of overlapping RNA-seq data and WGS data limits
the ability to test rare lncRNA variants with their gene expression. Second, we did not correct for

347	the number of tested lipid traits however, there is a moderate to high correlation among the blood
348	lipid levels and therefore this would lead to over correction. Third, to assess a causal role of the
349	rare lncRNA variants, we need to further show that they are correlated with lncRNA expression
350	but not correlated with altered expression or function of other genes nearby.
351	
352	In summary, our results from a large ancestrally diverse participants add further evidence that
353	lncRNA is an additional genomic element in known lipid gene regions that is distinct from the
354	known genes. We comprehensively evaluated 165K lncRNAs for their association with variation
355	in lipid traits and replicated most of the signals in an independent UKB WGS cohort.
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370 Methods

371 *Discovery and replication cohorts*

- 372 Discovery cohorts. The discovery cohort included 66,329 participants in the NHLBI Trans-
- 373 Omics for Precision Medicine (TOPMed) from 21 cohort studies with Freeze 8 whole genome
- sequencing (WGS) and blood lipid levels available: Old Order Amish (Amish; $n \Box = \Box 1083$),
- Atherosclerosis Risk in Communities study (ARIC; $n \Box = \Box 8016$), Mt Sinai BioMe Biobank
- 376 (BioMe; $n \square = \square 9848$), Coronary Artery Risk Development in Young Adults (CARDIA;
- 377 $n \square = \square 3,056$), Cleveland Family Study (CFS; $n \square = \square 579$), Cardiovascular Health Study (CHS;
- 378 $n \square = \square 3,456$), Diabetes Heart Study (DHS; $n \square = \square 365$), Framingham Heart Study (FHS;
- 379 $n \square = \square 3992$), Genetic Studies of Atherosclerosis Risk (GeneSTAR; $n \square = \square 1757$), Genetic
- **380** Epidemiology Network of Arteriopathy (GENOA; $n \Box = \Box 1046$), Genetic Epidemiology Network
- of Salt Sensitivity (GenSalt; $n \square = \square 1772$), Genetics of Lipid-Lowering Drugs and Diet Network
- 382 (GOLDN; $n \square = \square 926$), Hispanic Community Health Study Study of Latinos (HCHS-SOL;
- n = 7714), Hypertension Genetic Epidemiology Network and Genetic Epidemiology Network
- of Arteriopathy (HyperGEN; $n \square = \square 1853$), Jackson Heart Study (JHS; $n \square = \square 2847$), Multi-Ethnic
- 385 Study of Atherosclerosis (MESA; $n \Box = \Box 5290$), Massachusetts General Hospital Atrial
- Fibrillation Study (MGH_AF; $n \square = \square 683$), San Antonio Family Study (SAFS; $n \square = \square 619$),
- 387 Samoan Adiposity Study (Samoan; $n \Box = \Box 1182$), Taiwan Study of Hypertension using Rare
- Variants (THRV; $n \square = \square 1982$) and Women's Health Initiative (WHI; $n \square = \square 8263$). The
- discovery cohorts consisted of 29,502 (44.5%) White, 16,983 (25.6%) Black, 13,943 (21.0%)
- Hispanic, 4719 (7.1%) Asian, and 1182 (1.8%) Samoan. More information for study descriptions
- 391 can be found in **Supplementary Table 1**.

392	Replication cohorts. We sought to replicate the findings using the UK Biobank WGS data for
393	139,849 genomes with blood lipid traits ⁴⁰ . The UK Biobank is a large, population-based
394	prospective cohort of half a million United Kingdom residents aged 40-69 years. The replication
395	cohorts consisted of 116, 335 White, and 23,335 others (Supplementary Table 3).
396	Ethical regulations. Participants from each of the studies contributing to the NHLBI TOPMed
397	consortium provided informed consent, and all studies were approved by IRBs in each of the
398	participating institutions.
399	
400	TOPMed WGS Freeze 8 data
401	Phenotype data. We included four conventionally measured blood lipids in this study: low-

density lipoprotein cholesterol (LDL-C), total cholesterol (TC), triglyceride (TG), high-density 402 403 lipoprotein cholesterol (HDL-C). Detailed phenotype calculation and harmonization were described elsewhere³⁶. Briefly, LDL-C was either directly measured or calculated by the 404 Friedewald equation when triglycerides were $<400 \square mg/dL$. We adjusted the total cholesterol by 405 dividing by 0.8 and LDL-C by dividing by 0.7 when statins were present^{10,35}. For triglycerides, 406 407 we additionally performed the natural log transformation for analysis, since triglycerides were 408 skewed. We then fitted a linear regression model for each phenotype to get the residuals after 409 adjusting for age, age2, sex, race/ethnicity, study and the first 11 ancestral PCs (as recommended 410 by the TOPMed DCC). For Amish participants, we additionally adjusted for APOB p.Arg3527Gln in LDL-C and TC, and adjusted for APOC3 p.Arg19Ter in HDL-C and TG⁴⁸⁻⁵⁰. 411 412 The residuals were inverse rank normalized and rescaled by the standard deviation of the original phenotype within each group 36 . 413

414	Genotype data. Whole genome sequencing data were accessed from the TOPMed Freeze 8
415	release. DNA samples were sequenced at the $>30 \times$ target coverage at seven centers (Broad
416	Institute of MIT and Harvard, Northwest Genomics Center, New York Genome Center, Illumina
417	Genomic Services, PSOMAGEN [formerly Macrogen], Baylor College of Medicine Human
418	Genome Sequencing Center, and McDonnell Genome Institute [MGI] at Washington
419	University) ³⁴ . The reads were aligned to human genome build GRCh38 using the BWA-MEM
420	algorithm. The genotype calling was performed using the TOPMed variant calling pipeline
421	(https://github.com/statgen/topmed_variant_calling). The resulting BCF files were converted to
422	SeqArray GDS format and annotated were annotated internally by curating data from multiple
423	database sources using Functional Annotation of Variant-Online Resource (FAVOR
424	(<u>http://favor.genohub.org</u>) ^{37,39} . The resulting annotated GDS (aGDS) files were used in this
425	study. We computed the genetic relationship matrix (GRM) using R package PC-relate and
426	subtracted GRM of those samples with lipid phenotypes using R package GENESIS.
427	
428	Human reference genome annotations for long non-coding RNA genes
429	Multiple lncRNA annotations are available. We obtained four long non-coding RNAs
430	(lncRNAs)annotation resources with different qualities and sizes and merged them to improve
431	comprehensiveness. They included GENCODE ^{29,30} , FANTOM5 CAT ³¹ , NONCODE ³² and
432	lncRNAKB ³³ .
433	GENCODE. GENCODE is the default human reference genome annotation for both Ensembl
434	and UCSC genome browsers. It is also widely adopted by many large-scale genomic consortiums
435	including TOPMed. GENCODE gene sets cover lncRNAs, pseudogenes and small RNAs in
436	addition to protein-coding genes. The lncRNA annotation in GENCODE is almost entirely

- 437 manual, which ensures the quality and consistency of the data. We downloaded the GENCODE
- 438 v38 (December 2020) human release from
- 439 <u>https://ftp.ebi.ac.uk/pub/databases/gencode/Gencode_human/release_38/gencode.v38.long_nonc</u>
- 440 <u>oding_RNAs.gtf.gz</u>, and kept 17,944 lncRNAs genes with a stable identifier and the genomic
- 441 location information.
- 442 FANTOM CAT. The Functional Annotation of the Mammalian genome (FANTOM) CAGE-
- 443 associated transcriptome (CAT) meta-assembly combines both published sources and in-house
- short-read assemblies. It utilized CAGE tags, which mark transcription start sites (TSSs), to
- identify human lncRNA genes with high-confidence 5' ends. We acquired the FANTOM CAT
- 446 (lv3 robust) lncRNAs assembly from
- 447 <u>https://fantom.gsc.riken.jp/5/suppl/Hon_et_al_2016/data/assembly/lv3_robust/FANTOM_CAT.1</u>
- 448 <u>v3_robust.only_lncRNA.gtf.gz</u>. Since the FANTOM5 annotations were on genome version hg19
- (GRCh37), we lifted over to genome version hg38 (GRCh38) using the UCSC liftOver tool⁵¹.
- 450 IncRNAKB. Long non-coding RNA Knowledgebase (IncRNAKB) is an integrated resource for
- 451 exploring lncRNA biology in the context of tissue-specificity and disease association. A
- 452 systematic integration of annotations using a cumulative stepwise intersection method from six
- 453 independent databases resulted in 77,199 human lncRNA. We downloaded the lncRNAKB v7
- 454 from <u>http://lncrnakb.org</u>.
- 455 **NONCODE.** NONCODE database integrated annotations from both literature searches and
- 456 other public databases. The latest version, NONCODE version 6, is the single largest collection
- 457 of lncRNAs, describing 96,422 lncRNA genes in humans. Each lncRNA gene in the NONCODE
- 458 database had been assigned a unique NONCODE ID. We download the whole NONCODE v6

459 human data from

460 <u>http://www.noncode.org/datadownload/NONCODEv6_hg38.lncAndGene.bed.gz</u>.

- 461 Integration across the lncRNA annotations. We kept only those lncRNA genes ranging in
- 462 length from 200 nucleotides (nt) to 5 kilobases (kb). We limited the maximum length of a
- 463 lncRNA gene to 5kb to control for the computational complexity⁵². Overlapping lncRNA genes
- 464 between FANTOM and GENCODE using the Ensembl stable identifier were removed. We split
- each annotation file into individual files by chromosome with the start and end coordinates of the
- 466 lncRNA genes. All duplicated lncRNAs between annotation files were removed by checking
- 467 whether they have the same start and end coordinates. We then used the following intersection

468 order based on experimental validation to merge the four lncRNA annotations: 1. GENCODE, 2.

469 FANTOM5 CAT, 3. NONCODE and 4. lncRNAKB. Approximately 165k lncRNA genes were

470 left for further analysis.

471

472 *LncRNA rare variant association test*

473 IncRNA rare variant sets. We obtained the start and end genomic coordinates (human genome 474 build GRCh38) of the lncRNA genomic regions from our previously curated lncRNA gene list. 475 We then defined aggregation units by using all the rare variants (MAF < 0.01) based on their 476 genomic locations with respect to the start and end genomic coordinates of the lncRNA genes. 477 We removed lncRNA rare variant sets that had less than two rare variants. For sensitivity 478 analysis, we only aggregated exonic and splicing variants in lncRNA genes provided by 479 GENCODE v29, for which is the default genome annotation employed by TOPMed $consortium^{34}$. 480

481 **STAAR unconditional analysis.** We applied the STAAR (variant-set test for association using 482 annotation information) framework to identify rare variants in the lncRNA variant sets that are associated with four quantitative lipid traits (LDL-C, HDL-C, TG and TC). STAAR is a scalable 483 484 and powerful variant-set test that uses an omnibus multi-dimensional weighting scheme to 485 incorporate both qualitative functional categories and multiple in silico variant annotation scores for genetic variants. STAAR accounts for population structure and relatedness and is scalable for 486 487 analyzing large WGS studies of continuous and dichotomous traits by fitting linear and logistic mixed models^{37,38}. To perform the STAAR unconditional analysis, we first fitted a STAAR null 488 489 model using *fit null glmmkin()* function to account for sample relatedness with phenotypic data, covariates and (sparse) genetic relatedness matrix as input. For each of the four lipid phenotypes, 490 491 we adjusted for age, age2, sex, study and PC1-PC11. We calculated the P value for each lncRNA 492 rare variant set using STAAR-O, an omnibus test in the STAAR framework that combines P 493 values from multiple annotation-weighted burden tests, SKAT and ACAT-V using the ACAT 494 method. A total of 13 aggregated variant functional annotations were incorporated in STAAR-O, including three integrative scores (CADD⁵³, LINSIGHT⁵⁴ and FATHMM-XF⁵⁵) and 10 495 annotation principal components (aPCs) (Supplementary Table 5)³⁸. All analyses were 496 497 performed using R packages STAAR (version 0.9.6) and STAAR pipeline (version 0.9.6). 498 STAAR conditional analysis adjusting for known GLGC GWAS variants. We performed 499 conditional analysis to identify lncRNA rare variant association independent of known lipid-500 associated variants. We obtained a list of 1,750 significant index variants (Supplementary 501 **Table 6)** associated with one or more lipid levels from The Global Lipids Genetics Consortium (GLGC) latest lipid GWAS results^{18,19,56}. The positions of SNV were lifted over to genome build 502 503 38. We adjusted for known lipid variants in a ± 500 kb window beyond a variant set.

504 STAAR rare variant association test adjusting for nearby protein coding genes. The 505 unconditional analysis showed that most lncRNA genes associated with lipids are near known 506 monogenic lipid genes. We sought to perform conditional analyses adjusting lncRNA rare 507 variant sets for nearby protein coding genes. The adjusted nearby protein coding genes can be 508 divided into two categories: the closest protein coding genes and those nearby known lipid 509 monogenic genes, including ANGPTL8, APOA1, APOA5, APOB, APOC1, APOC3, APOE, CETP, LDLR, LPA, LPL, PCSK7, PCSK9, PLA2G15, TM6SF2¹⁹. Our primary analysis was to 510 511 adjust for only rare nonsynonymous variants (MAF < 1%) within nearby protein coding genes. 512 We did two sensitivity analyses, one adjusted for rare synonymous variants (MAF < 1%) within 513 nearby protein coding genes, and another adjusted for rare predicted loss-of-function (pLoF) 514 variants (MAF < 1%) within nearby protein coding genes. For each participant, we created three 515 burden scores separately by combining the minor allele counts of nonsynonymous, synonymous, 516 and pLoF variants with a MAF < 1% carried within the closest gene and the nearby lipid 517 monogenic genes in a 250kb window. We re-fitted null models similar to the unconditional 518 analysis and added all the burden scores of the closest gene and the nearby lipid monogenic 519 genes (if any) as additional covariates for each lipid phenotype. We then repeated the STAAR procedures to calculate the STAAR-O P values after adjusting for rare nonsynonymous, rare 520 521 synonymous, and rare pLoF variants. 522 Effective number of independent tests. Although we removed redundant lncRNAs, the 523 remaining lncRNAs can still have overlapping regions across different genome annotations. 524 Therefore, we adopted a principal component analysis (PCA) based approach, the simpleM

method to calculate the effective number of independent tests⁴². For each chromosome, suppose

526 we had tested K lncRNA rare variant set (lncRNA₁, lncRNA₂, ..., lncRNA_K) for N individuals

27

527 (1, 2, ..., N), we first found the minor allele counts of rare variants (MAF < 1%) carried by each 528 individual within each lncRNA rare variant set that were tested by STAAR and constructed a $N \times K$ matrix. We then derived the pairwise lncRNA correlation matrix R_{KxK} that reflected the 529 correlation structure among the tests from the constructed $N \times K$ matrix. We calculated the 530 eigenvalues, $\{\lambda_i: \lambda_1 \geq \lambda_1 \geq \cdots \geq \lambda_K\}$, from the pairwise lncRNA correlation matrix R_{KxK} . The 531 effective number of tests (M_{eff}) for each chromosome was estimated as 532 $M_{eff} = min(x) \text{ s. } t. \frac{\sum_{i=1}^{K} \lambda_i}{\sum_{i=1}^{K} \lambda_i} > c$, where c was a pre-defined parameter which was set to 0.95. We 533 added up the effective number of tests (M_{eff}) by each chromosome assuming independence 534 535 between chromosomes. The Bonferroni correction formula was then used to calculate the adjusted significance level as $0.05/M_{eff}$ as used for unconditional analysis. 536

537

538 LncRNA gene expression analysis

539 Framingham Heart Study (FHS) RNA-seq data. We utilized FHS RNA sequencing data to 540 perform the association analyses of lncRNA expression levels with blood lipid traits. This study included 1505 participants from the FHS Third Generation cohort⁴¹. Blood samples for RNA seq 541 542 were collected from Third Generation participants who attended the second examination cycle (2008–2011). Protocols for participant examinations and collection of genetic materials were 543 544 approved by the Institutional Review Board at Boston Medical Center. All participants provided 545 written, informed consent for genetic studies. All research was performed in accordance with 546 relevant guidelines/regulations. The technical details for the blood draw and RNA sequencing can be found elsewhere⁴³. For the association analyses (**Supplementary Text**), we first 547 548 processed the RNASeq Data with following steps: 1. Sample QC by removing misidentified 549 samples and sentinel control samples. 2. TMM normalization for the gene-level count data. 3.

550	Filtering low expression transcripts. 4. Regressing the log2(TMM+1) on the technical covariates,
551	and the resultant residuals were used to perform association analysis. We fitted a linear mixed
552	effects model for the residuals of the TMM normalized log2 transformed counts data and the
553	lipid phenotypes adjusting for predicted complete blood count (CBC), constructed surrogate
554	variables (SVs), sex, age, and family structure as variance-covariance matrix.
555	Genome build
556	All genome coordinates are given in the NCBI GRCh38/UCSC hg38 version of the human
557	genome.
558	
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580	Author contributions						
581	Y.W., P.N., and G.M.P. designed the study. Y.W. carried out all the primary analysis with						
582	critical inputs from P.N. and G.M.P. M.S.S carried out the replication analysis. Y.W. and J.A.H						
583	carried out the secondary analysis. Y.W., M.S.S., X.Li, Z.L., A.K.D, J.C.B., J.B., E.B., D.W.B.,						
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591	Y.W. and G.M.P. wrote the first draft of the manuscript and revised it according to suggestions						
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593	and approved the final version.						
594							

595 **Declaration of interests**

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598	Genentech, TenSixteen Bio, and Novartis, scientific advisory board membership of geneXwell
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603	work. X. Lin is a consultant of AbbVie Pharmaceuticals and Verily Life Sciences. The remaining

authors declare no competing interests.

605 Data availability

606 Individual whole-genome sequence data for TOPMed and harmonized lipids at individual

sample level are available through restricted access via the TOPMed dbGaP Exchange area.

608 Summary level genotype data from TOPMed are available through the BRAVO browser

609 (<u>https://bravo.sph.umich.edu/</u>). The UK Biobank (UKB) whole-genome sequence data can be

610 accessed through UKB Research Analysis Platform (RAP), through the UKB approval system

611 (<u>https://www.ukbiobank.ac.uk</u>). The dbGaP accessions for TOPMed cohorts are as follows: Old

612 Order Amish (Amish) phs000956 and phs00039; Atherosclerosis Risk in Communities study

613 (ARIC) *phs001211 and phs000280*; Mt Sinai BioMe Biobank (BioMe) *phs001644 and*

614 *phs000925;* Coronary Artery Risk Development in Young Adults (CARDIA) phs001612 and

615 phs000285; Cleveland Family Study (CFS) phs000954 and phs000284; Cardiovascular Health

- 616 Study (CHS) *phs001368 and phs000287*; Diabetes Heart Study (DHS) *phs001412 and*
- 617 *phs001012;* Framingham Heart Study (FHS) *phs000974 and phs000007;* Genetic Studies of
- 618 Atherosclerosis Risk (GeneSTAR) *phs001218 and phs000375;* Genetic Epidemiology Network

619	of Arteriopathy (C	GENOA)	phs001345 and	phs001238; C	Genetic Epi	demiology	Network of	of Salt
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- 620 Sensitivity (GenSalt) *phs001217 and phs000784;* Genetics of Lipid-Lowering Drugs and Diet
- 621 Network (GOLDN) *phs001359 and phs000741;* Hispanic Community Health Study Study of
- 622 Latinos (HCHS_SOL) *phs001395 and phs000810;* Hypertension Genetic Epidemiology Network
- and Genetic Epidemiology Network of Arteriopathy (HyperGEN) phs001293 and
- 624 *phs001293;* Jackson Heart Study (JHS) *phs000964 and phs000286;* Multi-Ethnic Study of
- 625 Atherosclerosis (MESA) phs001416 and phs000209; Massachusetts General Hospital Atrial
- 626 Fibrillation Study (MGH_AF) *phs001062 and phs001001;* San Antonio Family Study
- 627 (SAFS) *phs001215 and phs000462*; Samoan Adiposity Study (SAS) *phs000972 and*
- 628 phs000914; Taiwan Study of Hypertension using Rare Variants (THRV) phs001387 and
- 629 *phs001387;* Women's Health Initiative (WHI) *phs001237 and phs000200.*

630

631 Code availability

- 632 R code for implementing the analysis is available at the public GitHub Repository
- 633 <u>https://github.com/kyleyxw/lncRNA-paper</u>. STAAR is implemented as an open-source R
- 634 package available at <u>https://github.com/xihaoli/STAAR</u>. STAARpipeline is implemented as an
- 635 open-source R package available at <u>https://github.com/xihaoli/STAARpipeline</u>.
- 636
- 637
- 638
- 639

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