



Ray: A Distributed Framework for Emerging AI Applications

**Philipp Moritz, Robert Nishihara, Stephanie Wang, Alexey Tumanov,
Richard Liaw, Eric Liang, Melih Elibol, Zongheng Yang, William Paul,
Michael I. Jordan, and Ion Stoica, *UC Berkeley***

<https://www.usenix.org/conference/osdi18/presentation/nishihara>

**This paper is included in the Proceedings of the
13th USENIX Symposium on Operating Systems Design
and Implementation (OSDI '18).**

October 8–10, 2018 • Carlsbad, CA, USA

ISBN 978-1-939133-08-3

**Open access to the Proceedings of the
13th USENIX Symposium on Operating Systems
Design and Implementation
is sponsored by USENIX.**

Ray: A Distributed Framework for Emerging AI Applications

Philipp Moritz*, Robert Nishihara*, Stephanie Wang, Alexey Tumanov, Richard Liaw,
Eric Liang, Melih Elibol, Zongheng Yang, William Paul, Michael I. Jordan, Ion Stoica
University of California, Berkeley

Abstract

The next generation of AI applications will continuously interact with the environment and learn from these interactions. These applications impose new and demanding systems requirements, both in terms of performance and flexibility. In this paper, we consider these requirements and present Ray—a distributed system to address them. Ray implements a unified interface that can express both task-parallel and actor-based computations, supported by a single dynamic execution engine. To meet the performance requirements, Ray employs a distributed scheduler and a distributed and fault-tolerant store to manage the system’s control state. In our experiments, we demonstrate scaling beyond 1.8 million tasks per second and better performance than existing specialized systems for several challenging reinforcement learning applications.

1 Introduction

Over the past two decades, many organizations have been collecting—and aiming to exploit—ever-growing quantities of data. This has led to the development of a plethora of frameworks for distributed data analysis, including batch [20, 64, 28], streaming [15, 39, 31], and graph [34, 35, 24] processing systems. The success of these frameworks has made it possible for organizations to analyze large data sets as a core part of their business or scientific strategy, and has ushered in the age of “Big Data.”

More recently, the scope of data-focused applications has expanded to encompass more complex artificial intelligence (AI) or machine learning (ML) techniques [30]. The paradigm case is that of *supervised learning*, where data points are accompanied by labels, and where the workhorse technology for mapping data points to labels is provided by deep neural networks. The complexity of these deep networks has led to another flurry of frameworks that focus on the training of deep neural networks

and their use in prediction. These frameworks often leverage specialized hardware (e.g., GPUs and TPUs), with the goal of reducing training time in a batch setting. Examples include TensorFlow [7], MXNet [18], and PyTorch [46].

The promise of AI is, however, far broader than classical supervised learning. Emerging AI applications must increasingly operate in dynamic environments, react to changes in the environment, and take sequences of actions to accomplish long-term goals [8, 43]. They must aim not only to exploit the data gathered, but also to explore the space of possible actions. These broader requirements are naturally framed within the paradigm of *reinforcement learning* (RL). RL deals with learning to operate continuously within an uncertain environment based on delayed and limited feedback [56]. RL-based systems have already yielded remarkable results, such as Google’s AlphaGo beating a human world champion [54], and are beginning to find their way into dialogue systems, UAVs [42], and robotic manipulation [25, 60].

The central goal of an RL application is to learn a policy—a mapping from the state of the environment to a choice of action—that yields effective performance over time, e.g., winning a game or piloting a drone. Finding effective policies in large-scale applications requires three main capabilities. First, RL methods often rely on *simulation* to evaluate policies. Simulations make it possible to explore many different choices of action sequences and to learn about the long-term consequences of those choices. Second, like their supervised learning counterparts, RL algorithms need to perform *distributed training* to improve the policy based on data generated through simulations or interactions with the physical environment. Third, policies are intended to provide solutions to control problems, and thus it is necessary to *serve* the policy in interactive closed-loop and open-loop control scenarios.

These characteristics drive new systems requirements: a system for RL must support *fine-grained* computations (e.g., rendering actions in milliseconds when interacting with the real world, and performing vast numbers of sim-

*equal contribution

ulations), must support *heterogeneity* both in time (e.g., a simulation may take milliseconds or hours) and in resource usage (e.g., GPUs for training and CPUs for simulations), and must support *dynamic* execution, as results of simulations or interactions with the environment can change future computations. Thus, we need a dynamic computation framework that handles millions of heterogeneous tasks per second at millisecond-level latencies.

Existing frameworks that have been developed for Big Data workloads or for supervised learning workloads fall short of satisfying these new requirements for RL. Bulk-synchronous parallel systems such as Map-Reduce [20], Apache Spark [64], and Dryad [28] do not support fine-grained simulation or policy serving. Task-parallel systems such as CIEL [40] and Dask [48] provide little support for distributed training and serving. The same is true for streaming systems such as Naiad [39] and Storm [31]. Distributed deep-learning frameworks such as TensorFlow [7] and MXNet [18] do not naturally support simulation and serving. Finally, model-serving systems such as TensorFlow Serving [6] and Clippy [19] support neither training nor simulation.

While in principle one could develop an end-to-end solution by stitching together several existing systems (e.g., Horovod [53] for distributed training, Clippy [19] for serving, and CIEL [40] for simulation), in practice this approach is untenable due to the *tight coupling* of these components within applications. As a result, researchers and practitioners today build one-off systems for specialized RL applications [58, 41, 54, 44, 49, 5]. This approach imposes a massive systems engineering burden on the development of distributed applications by essentially pushing standard systems challenges like scheduling, fault tolerance, and data movement onto each application.

In this paper, we propose Ray, a general-purpose cluster-computing framework that enables simulation, training, and serving for RL applications. The requirements of these workloads range from lightweight and stateless computations, such as for simulation, to long-running and stateful computations, such as for training. To satisfy these requirements, Ray implements a unified interface that can express both *task-parallel* and *actor-based* computations. *Tasks* enable Ray to efficiently and dynamically load balance simulations, process large inputs and state spaces (e.g., images, video), and recover from failures. In contrast, *actors* enable Ray to efficiently support stateful computations, such as model training, and expose shared mutable state to clients (e.g., a parameter server). Ray implements the actor and the task abstractions on top of a single dynamic execution engine that is highly scalable and fault tolerant.

To meet the performance requirements, Ray distributes two components that are typically centralized in existing frameworks [64, 28, 40]: (1) the task scheduler and (2) a

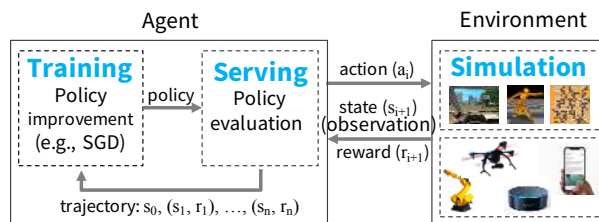


Figure 1: Example of an RL system.

metadata store which maintains the computation lineage and a directory for data objects. This allows Ray to schedule millions of tasks per second with millisecond-level latencies. Furthermore, Ray provides lineage-based fault tolerance for tasks and actors, and replication-based fault tolerance for the metadata store.

While Ray supports serving, training, and simulation in the context of RL applications, this does not mean that it should be viewed as a replacement for systems that provide solutions for these workloads in other contexts. In particular, Ray does not aim to substitute for serving systems like Clippy [19] and TensorFlow Serving [6], as these systems address a broader set of challenges in deploying models, including model management, testing, and model composition. Similarly, despite its flexibility, Ray is not a substitute for generic data-parallel frameworks, such as Spark [64], as it currently lacks the rich functionality and APIs (e.g., straggler mitigation, query optimization) that these frameworks provide.

We make the following **contributions**:

- We design and build the first distributed framework that unifies training, simulation, and serving—necessary components of emerging RL applications.
- To support these workloads, we unify the actor and task-parallel abstractions on top of a dynamic task execution engine.
- To achieve scalability and fault tolerance, we propose a system design principle in which control state is stored in a sharded metadata store and all other system components are stateless.
- To achieve scalability, we propose a bottom-up distributed scheduling strategy.

2 Motivation and Requirements

We begin by considering the basic components of an RL system and fleshing out the key requirements for Ray. As shown in Figure 1, in an RL setting, an *agent* interacts repeatedly with the *environment*. The goal of the agent is to learn a policy that maximizes a *reward*. A *policy* is

```

// evaluate policy by interacting with env. (e.g., simulator)
rollout(policy, environment):
    trajectory = []
    state = environment.initial_state()
    while (not environment.has_terminated()):
        action = policy.compute(state) // Serving
        state, reward = environment.step(action) // Simulation
        trajectory.append(state, reward)
    return trajectory

// improve policy iteratively until it converges
train_policy(environment):
    policy = initial_policy()
    while (policy has not converged):
        trajectories = []
        for i from 1 to k:
            // evaluate policy by generating k rollouts
            trajectories.append(rollout(policy, environment))
            // improve policy
            policy = policy.update(trajectories) // Training
    return policy

```

Figure 2: Typical RL pseudocode for learning a policy.

a mapping from the state of the environment to a choice of *action*. The precise definitions of environment, agent, state, action, and reward are application-specific.

To learn a policy, an agent typically employs a two-step process: (1) *policy evaluation* and (2) *policy improvement*. To evaluate the policy, the agent interacts with the environment (e.g., with a simulation of the environment) to generate *trajectories*, where a trajectory consists of a sequence of (state, reward) tuples produced by the current policy. Then, the agent uses these trajectories to improve the policy; i.e., to update the policy in the direction of the gradient that maximizes the reward. Figure 2 shows an example of the pseudocode used by an agent to learn a policy. This pseudocode evaluates the policy by invoking **rollout**(*environment*, *policy*) to generate trajectories. **train_policy**() then uses these trajectories to improve the current policy via *policy.update*(*trajectories*). This process repeats until the policy converges.

Thus, a framework for RL applications must provide efficient support for *training*, *serving*, and *simulation* (Figure 1). Next, we briefly describe these workloads.

Training typically involves running stochastic gradient descent (SGD), often in a distributed setting, to update the policy. Distributed SGD typically relies on an allreduce aggregation step or a parameter server [32].

Serving uses the trained policy to render an action based on the current state of the environment. A serving system aims to minimize latency, and maximize the number of decisions per second. To scale, load is typically balanced across multiple nodes serving the policy.

Finally, most existing RL applications use *simulations* to evaluate the policy—current RL algorithms are not

sample-efficient enough to rely solely on data obtained from interactions with the physical world. These simulations vary widely in complexity. They might take a few ms (e.g., simulate a move in a chess game) to minutes (e.g., simulate a realistic environment for a self-driving car).

In contrast with supervised learning, in which training and serving can be handled separately by different systems, in RL *all three of these workloads are tightly coupled in a single application*, with stringent latency requirements between them. Currently, no framework supports this coupling of workloads. In theory, multiple specialized frameworks could be stitched together to provide the overall capabilities, but in practice, the resulting data movement and latency between systems is prohibitive in the context of RL. As a result, researchers and practitioners have been building their own one-off systems.

This state of affairs calls for the development of new distributed frameworks for RL that can efficiently support training, serving, and simulation. In particular, such a framework should satisfy the following requirements:

Fine-grained, heterogeneous computations. The duration of a computation can range from milliseconds (e.g., taking an action) to hours (e.g., training a complex policy). Additionally, training often requires heterogeneous hardware (e.g., CPUs, GPUs, or TPUs).

Flexible computation model. RL applications require both stateless and stateful computations. Stateless computations can be executed on any node in the system, which makes it easy to achieve load balancing and movement of computation to data, if needed. Thus stateless computations are a good fit for fine-grained simulation and data processing, such as extracting features from images or videos. In contrast stateful computations are a good fit for implementing parameter servers, performing repeated computation on GPU-backed data, or running third-party simulators that do not expose their state.

Dynamic execution. Several components of RL applications require dynamic execution, as the order in which computations finish is not always known in advance (e.g., the order in which simulations finish), and the results of a computation can determine future computations (e.g., the results of a simulation will determine whether we need to perform more simulations).

We make two final comments. First, to achieve high utilization in large clusters, such a framework must handle *millions of tasks per second*.^{*} Second, such a framework is not intended for implementing deep neural networks or complex simulators from scratch. Instead, it should enable seamless integration with existing simulators [13, 11, 59] and deep learning frameworks [7, 18, 46, 29].

^{*} Assume 5ms single-core tasks and a cluster of 200 32-core nodes. This cluster can run $(1s/5ms) \times 32 \times 200 = 1.28M$ tasks/sec.

Name	Description
<code>futures = f.remote(args)</code>	Execute function <i>f</i> remotely. f.remote() can take objects or futures as inputs and returns one or more futures. This is non-blocking.
<code>objects = ray.get(futures)</code>	Return the values associated with one or more futures. This is blocking.
<code>ready_futures = ray.wait(futures, k, timeout)</code>	Return the futures whose corresponding tasks have completed as soon as either <i>k</i> have completed or the timeout expires.
<code>actor = Class.remote(args)</code> <code>futures = actor.method.remote(args)</code>	Instantiate class <i>Class</i> as a remote actor, and return a handle to it. Call a method on the remote actor and return one or more futures. Both are non-blocking.

Table 1: Ray API

3 Programming and Computation Model

Ray implements a dynamic task graph computation model, i.e., it models an application as a graph of dependent tasks that evolves during execution. On top of this model, Ray provides both an actor and a task-parallel programming abstraction. This unification differentiates Ray from related systems like CIEL, which only provides a task-parallel abstraction, and from Orleans [14] or Akka [1], which primarily provide an actor abstraction.

3.1 Programming Model

Tasks. A *task* represents the execution of a remote function on a stateless worker. When a remote function is invoked, a *future* representing the result of the task is returned immediately. Futures can be retrieved using **ray.get()** and passed as arguments into other remote functions without waiting for their result. This allows the user to express parallelism while capturing data dependencies. Table 1 shows Ray’s API.

Remote functions operate on immutable objects and are expected to be *stateless* and side-effect free: their outputs are determined solely by their inputs. This implies idempotence, which simplifies fault tolerance through function re-execution on failure.

Actors. An *actor* represents a stateful computation. Each actor exposes methods that can be invoked remotely and are executed serially. A method execution is similar to a task, in that it executes remotely and returns a future, but differs in that it executes on a *stateful* worker. A *handle* to an actor can be passed to other actors or tasks, making it possible for them to invoke methods on that actor.

Tasks (stateless)	Actors (stateful)
Fine-grained load balancing	Coarse-grained load balancing
Support for object locality	Poor locality support
High overhead for small updates	Low overhead for small updates
Efficient failure handling	Overhead from checkpointing

Table 2: Tasks vs. actors tradeoffs.

Table 2 summarizes the properties of tasks and actors. Tasks enable fine-grained load balancing through leveraging load-aware scheduling at task granularity, input data locality, as each task can be scheduled on the node storing its inputs, and low recovery overhead, as there is no need to checkpoint and recover intermediate state. In contrast, actors provide much more efficient fine-grained updates, as these updates are performed on internal rather than external state, which typically requires serialization and deserialization. For example, actors can be used to implement parameter servers [32] and GPU-based iterative computations (e.g., training). In addition, actors can be used to wrap third-party simulators and other opaque handles that are hard to serialize.

To satisfy the requirements for heterogeneity and flexibility (Section 2), we augment the API in three ways. First, to handle concurrent tasks with heterogeneous durations, we introduce **ray.wait()**, which waits for the first *k* available results, instead of waiting for *all* results like **ray.get()**. Second, to handle resource-heterogeneous tasks, we enable developers to specify resource requirements so that the Ray scheduler can efficiently manage resources. Third, to improve flexibility, we enable *nested remote functions*, meaning that remote functions can invoke other remote functions. This is also critical for achieving high scalability (Section 4), as it enables multiple processes to invoke remote functions in a distributed fashion.

3.2 Computation Model

Ray employs a dynamic task graph computation model [21], in which the execution of both remote functions and actor methods is automatically triggered by the system when their inputs become available. In this section, we describe how the computation graph (Figure 4) is constructed from a user program (Figure 3). This program uses the API in Table 1 to implement the pseudocode from Figure 2.

Ignoring actors first, there are two types of nodes in a computation graph: data objects and remote function invocations, or tasks. There are also two types of edges: data edges and control edges. Data edges capture the de-

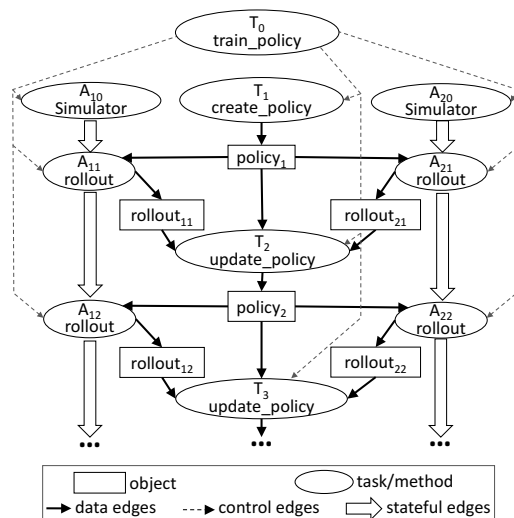
```
@ray.remote
def create_policy():
    # Initialize the policy randomly.
    return policy

@ray.remote(num_gpus=1)
class Simulator(object):
    def __init__(self):
        # Initialize the environment.
        self.env = Environment()
    def rollout(self, policy, num_steps):
        observations = []
        observation = self.env.current_state()
        for _ in range(num_steps):
            action = policy(observation)
            observation = self.env.step(action)
            observations.append(observation)
        return observations

@ray.remote(num_gpus=2)
def update_policy(policy, *rollouts):
    # Update the policy.
    return policy

@ray.remote
def train_policy():
    # Create a policy.
    policy_id = create_policy.remote()
    # Create 10 actors.
    simulators = [Simulator.remote() for _ in range(10)]
    # Do 100 steps of training.
    for _ in range(100):
        # Perform one rollout on each actor.
        rollout_ids = [s.rollout.remote(policy_id)
                        for s in simulators]
        # Update the policy with the rollouts.
        policy_id =
            update_policy.remote(policy_id, *rollout_ids)
    return ray.get(policy_id)
```

dependencies between data objects and tasks. More precisely, if data object D is an output of task T , we add a data edge from T to D . Similarly, if D is an input to T , we add a data edge from D to T . Control edges capture the computation dependencies that result from nested remote functions (Section 3.1): if task T_1 invokes task T_2 , then we add a control edge from T_1 to T_2 .



methods invoked on the same actor object form a chain that is connected by stateful edges (Figure 4). This chain captures the order in which these methods were invoked.

4 Architecture

4.1 Application Layer

- *Driver*: A process executing the user program.
- *Worker*: A stateless process that executes tasks (remote functions) invoked by a driver or another

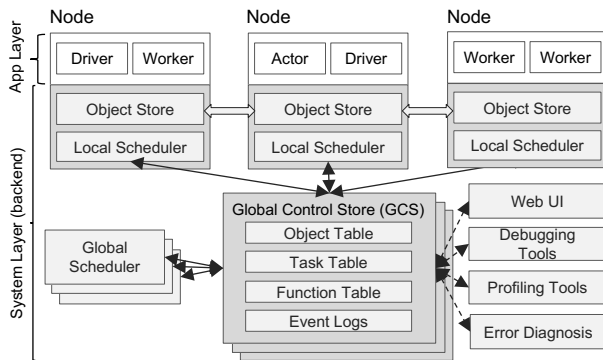


Figure 5: Ray’s architecture consists of two parts: an *application* layer and a *system* layer. The application layer implements the API and the computation model described in Section 3, the system layer implements task scheduling and data management to satisfy the performance and fault-tolerance requirements.

worker. Workers are started automatically and assigned tasks by the system layer. When a remote function is declared, the function is automatically published to all workers. A worker executes tasks serially, with no local state maintained across tasks.

- **Actor:** A stateful process that executes, when invoked, only the methods it exposes. Unlike a worker, an actor is explicitly instantiated by a worker or a driver. Like workers, actors execute methods serially, except that each method depends on the state resulting from the previous method execution.

4.2 System Layer

The system layer consists of three major components: a global control store, a distributed scheduler, and a distributed object store. All components are horizontally scalable and fault-tolerant.

4.2.1 Global Control Store (GCS)

The global control store (GCS) maintains the entire control state of the system, and it is a unique feature of our design. At its core, GCS is a key-value store with pub-sub functionality. We use sharding to achieve scale, and per-shard chain replication [61] to provide fault tolerance. The primary reason for the GCS and its design is to maintain fault tolerance and low latency for a system that can dynamically spawn millions of tasks per second.

Fault tolerance in case of node failure requires a solution to maintain lineage information. Existing lineage-based solutions [64, 63, 40, 28] focus on coarse-grained parallelism and can therefore use a single node (e.g., master, driver) to store the lineage without impacting performance. However, this design is not scalable for a fine-grained and dynamic workload like simulation. Therefore,

we decouple the durable lineage storage from the other system components, allowing each to scale independently.

Maintaining low latency requires minimizing overheads in task scheduling, which involves choosing where to execute, and subsequently task dispatch, which involves retrieving remote inputs from other nodes. Many existing dataflow systems [64, 40, 48] couple these by storing object locations and sizes in a centralized scheduler, a natural design when the scheduler is not a bottleneck. However, the scale and granularity that Ray targets requires keeping the centralized scheduler off the critical path. Involving the scheduler in each object transfer is prohibitively expensive for primitives important to distributed training like allreduce, which is both communication-intensive and latency-sensitive. Therefore, we store the object metadata in the GCS rather than in the scheduler, fully decoupling task dispatch from task scheduling.

In summary, the GCS significantly simplifies Ray’s overall design, as it *enables every component in the system to be stateless*. This not only simplifies support for fault tolerance (i.e., on failure, components simply restart and read the lineage from the GCS), but also makes it easy to scale the distributed object store and scheduler independently, as all components share the needed state via the GCS. An added benefit is the easy development of debugging, profiling, and visualization tools.

4.2.2 Bottom-Up Distributed Scheduler

As discussed in Section 2, Ray needs to dynamically schedule millions of tasks per second, tasks which may take as little as a few milliseconds. None of the cluster schedulers we are aware of meet these requirements. Most cluster computing frameworks, such as Spark [64], CIEL [40], and Dryad [28] implement a centralized scheduler, which can provide locality but at latencies in the tens of ms. Distributed schedulers such as work stealing [12], Sparrow [45] and Canary [47] can achieve high scale, but they either don’t consider data locality [12], or assume tasks belong to independent jobs [45], or assume the computation graph is known [47].

To satisfy the above requirements, we design a two-level hierarchical scheduler consisting of a global scheduler and per-node local schedulers. To avoid overloading the global scheduler, the tasks created at a node are submitted first to the node’s local scheduler. A local scheduler schedules tasks locally unless the node is overloaded (i.e., its local task queue exceeds a predefined threshold), or it cannot satisfy a task’s requirements (e.g., lacks a GPU). If a local scheduler decides not to schedule a task locally, it forwards it to the global scheduler. Since this scheduler attempts to schedule tasks locally first (i.e., at the leaves of the scheduling hierarchy), we call it a *bottom-up scheduler*.

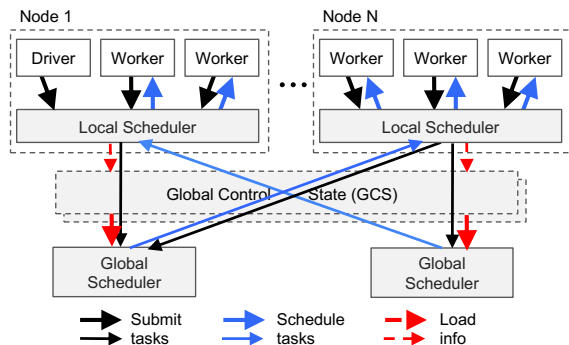


Figure 6: Bottom-up distributed scheduler. Tasks are submitted bottom-up, from drivers and workers to a local scheduler and forwarded to the global scheduler only if needed (Section 4.2.2). The thickness of each arrow is proportional to its request rate.

The global scheduler considers each node’s load and task’s constraints to make scheduling decisions. More precisely, the global scheduler identifies the set of nodes that have enough resources of the type requested by the task, and of these nodes selects the node which provides the lowest *estimated waiting time*. At a given node, this time is the sum of (i) the estimated time the task will be queued at that node (i.e., task queue size times average task execution), and (ii) the estimated transfer time of task’s remote inputs (i.e., total size of remote inputs divided by average bandwidth). The global scheduler gets the queue size at each node and the node resource availability via heartbeats, and the location of the task’s inputs and their sizes from GCS. Furthermore, the global scheduler computes the average task execution and the average transfer bandwidth using simple exponential averaging. If the global scheduler becomes a bottleneck, we can instantiate more replicas all sharing the same information via GCS. This makes our scheduler architecture highly scalable.

4.2.3 In-Memory Distributed Object Store

To minimize task latency, we implement an in-memory distributed storage system to store the inputs and outputs of every task, or stateless computation. On each node, we implement the object store via *shared memory*. This allows zero-copy data sharing between tasks running on the same node. As a data format, we use Apache Arrow [2].

If a task’s inputs are not local, the inputs are replicated to the local object store before execution. Also, a task writes its outputs to the local object store. Replication eliminates the potential bottleneck due to hot data objects and minimizes task execution time as a task only reads/writes data from/to the local memory. This increases throughput for computation-bound workloads, a profile shared by many AI applications. For low latency, we keep objects entirely in memory and evict them as needed to

disk using an LRU policy.

As with existing cluster computing frameworks, such as Spark [64], and Dryad [28], the object store is limited to *immutable data*. This obviates the need for complex consistency protocols (as objects are not updated), and simplifies support for fault tolerance. In the case of node failure, Ray recovers any needed objects through lineage re-execution. The lineage stored in the GCS tracks both stateless tasks and stateful actors during initial execution; we use the former to reconstruct objects in the store.

For simplicity, our object store does not support distributed objects, i.e., each object fits on a single node. Distributed objects like large matrices or trees can be implemented at the application level as collections of futures.

4.2.4 Implementation

Ray is an active open source project[†] developed at the University of California, Berkeley. Ray fully integrates with the Python environment and is easy to install by simply running `pip install ray`. The implementation comprises $\approx 40K$ lines of code (LoC), 72% in C++ for the system layer, 28% in Python for the application layer. The GCS uses one Redis [50] key-value store per shard, with entirely single-key operations. GCS tables are sharded by object and task IDs to scale, and every shard is chain-replicated [61] for fault tolerance. We implement both the local and global schedulers as event-driven, single-threaded processes. Internally, local schedulers maintain cached state for local object metadata, tasks waiting for inputs, and tasks ready for dispatch to a worker. To transfer large objects between different object stores, we stripe the object across multiple TCP connections.

4.3 Putting Everything Together

Figure 7 illustrates how Ray works end-to-end with a simple example that adds two objects a and b , which could be scalars or matrices, and returns result c . The remote function `add()` is automatically registered with the GCS upon initialization and distributed to every worker in the system (step 0 in Figure 7a).

Figure 7a shows the step-by-step operations triggered by a driver invoking `add.remote(a, b)`, where a and b are stored on nodes $N1$ and $N2$, respectively. The driver submits `add(a, b)` to the local scheduler (step 1), which forwards it to a global scheduler (step 2).[‡] Next, the global scheduler looks up the locations of `add(a, b)`’s arguments in the GCS (step 3) and decides to schedule the task on node $N2$, which stores argument b (step 4). The local scheduler at node $N2$ checks whether the local object store contains `add(a, b)`’s arguments (step 5). Since the

[†]<https://github.com/ray-project/ray>

[‡]Note that $N1$ could also decide to schedule the task locally.

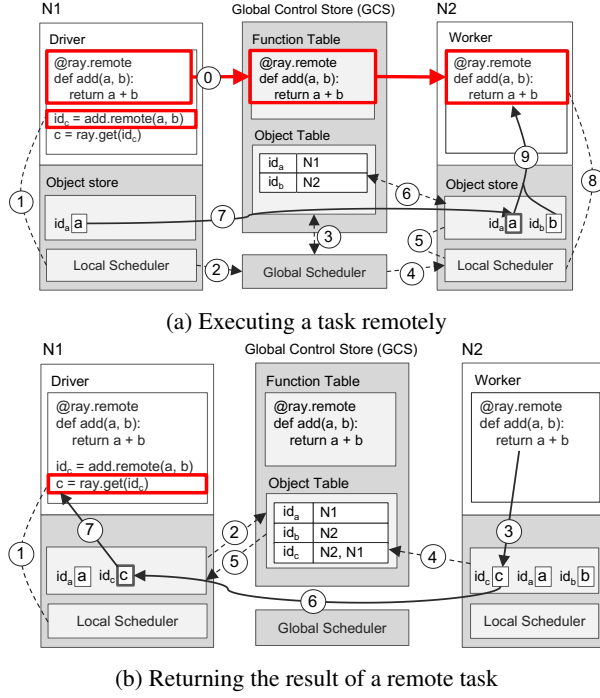


Figure 7: An end-to-end example that adds a and b and returns c . Solid lines are data plane operations and dotted lines are control plane operations. (a) The function `add()` is registered with the GCS by node 1 ($N1$), invoked on $N1$, and executed on $N2$. (b) $N1$ gets `add()`'s result using `ray.get()`. The Object Table entry for c is created in step 4 and updated in step 6 after c is copied to $N1$.

local store doesn't have object a , it looks up a 's location in the GCS (step 6). Learning that a is stored at $N1$, $N2$'s object store replicates it locally (step 7). As all arguments of `add()` are now stored locally, the local scheduler invokes `add()` at a local worker (step 8), which accesses the arguments via shared memory (step 9).

Figure 7b shows the step-by-step operations triggered by the execution of `ray.get()` at $N1$, and of `add()` at $N2$, respectively. Upon `ray.get(idc)`'s invocation, the driver checks the local object store for the value c , using the future id_c returned by `add()` (step 1). Since the local object store doesn't store c , it looks up its location in the GCS. At this time, there is no entry for c , as c has not been created yet. As a result, $N1$'s object store registers a callback with the Object Table to be triggered when c 's entry has been created (step 2). Meanwhile, at $N2$, `add()` completes its execution, stores the result c in the local object store (step 3), which in turn adds c 's entry to the GCS (step 4). As a result, the GCS triggers a callback to $N1$'s object store with c 's entry (step 5). Next, $N1$ replicates c from $N2$ (step 6), and returns c to `ray.get()` (step 7), which finally completes the task.

While this example involves a large number of RPCs,

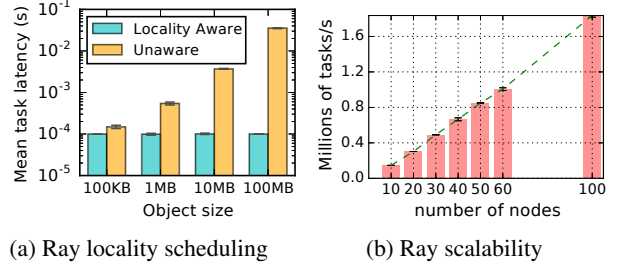


Figure 8: (a) Tasks leverage locality-aware placement. 1000 tasks with a random object dependency are scheduled onto one of two nodes. With locality-aware policy, task latency remains independent of the size of task inputs instead of growing by 1-2 orders of magnitude. (b) Near-linear scalability leveraging the GCS and bottom-up distributed scheduler. Ray reaches 1 million tasks per second throughput with 60 nodes. $x \in \{70, 80, 90\}$ omitted due to cost.

in many cases this number is much smaller, as most tasks are scheduled locally, and the GCS replies are cached by the global and local schedulers.

5 Evaluation

In our evaluation, we study the following questions:

1. How well does Ray meet the latency, scalability, and fault tolerance requirements listed in Section 2? (Section 5.1)
2. What overheads are imposed on distributed primitives (e.g., allreduce) written using Ray's API? (Section 5.1)
3. In the context of RL workloads, how does Ray compare against specialized systems for training, serving, and simulation? (Section 5.2)
4. What advantages does Ray provide for RL applications, compared to custom systems? (Section 5.3)

All experiments were run on Amazon Web Services. Unless otherwise stated, we use m4.16xlarge CPU instances and p3.16xlarge GPU instances.

5.1 Microbenchmarks

Locality-aware task placement. Fine-grain load balancing and locality-aware placement are primary benefits of tasks in Ray. Actors, once placed, are unable to move their computation to large remote objects, while tasks can. In Figure 8a, tasks placed without data locality awareness (as is the case for actor methods), suffer 1-2 orders of magnitude latency increase at 10-100MB input data sizes. Ray unifies tasks and actors through the shared object store, allowing developers to use tasks for e.g., expensive postprocessing on output produced by simulation actors.

End-to-end scalability. One of the key benefits of

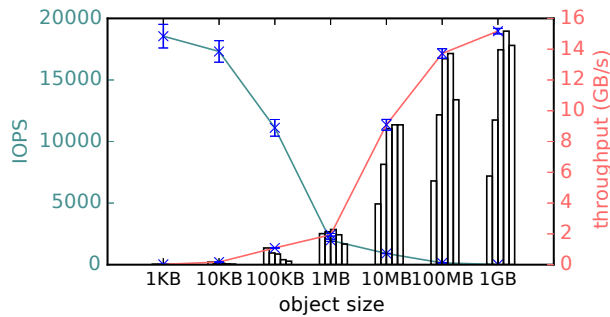
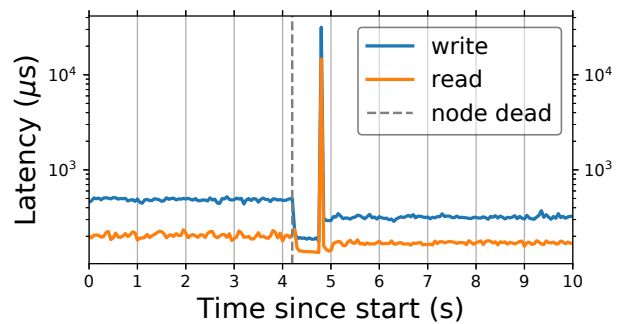


Figure 9: Object store write throughput and IOPS. From a single client, throughput exceeds 15GB/s (red) for large objects and 18K IOPS (cyan) for small objects on a 16 core instance (m4.4xlarge). It uses 8 threads to copy objects larger than 0.5MB and 1 thread for small objects. Bar plots report throughput with 1, 2, 4, 8, 16 threads. Results are averaged over 5 runs.

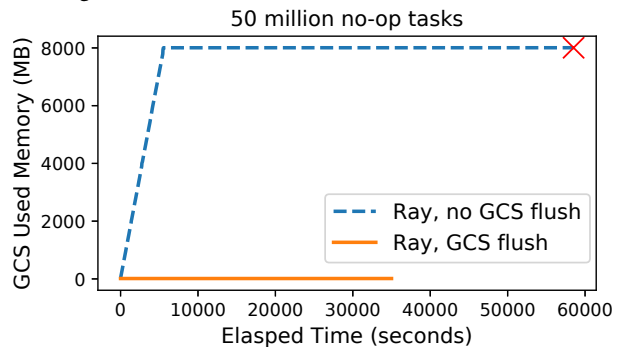
the Global Control Store (GCS) and the bottom-up distributed scheduler is the ability to horizontally scale the system to support a high throughput of fine-grained tasks, while maintaining fault tolerance and low-latency task scheduling. In Figure 8b, we evaluate this ability on an embarrassingly parallel workload of empty tasks, increasing the cluster size on the x-axis. We observe near-perfect linearity in progressively increasing task throughput. Ray exceeds 1 million tasks per second throughput at 60 nodes and continues to scale linearly beyond 1.8 million tasks per second at 100 nodes. The rightmost datapoint shows that Ray can process 100 million tasks in less than a minute (54s), with minimum variability. As expected, increasing task duration reduces throughput proportionally to mean task duration, but the overall scalability remains linear. While many realistic workloads may exhibit more limited scalability due to object dependencies and inherent limits to application parallelism, this demonstrates the scalability of our overall architecture under high load.

Object store performance. To evaluate the performance of the object store (Section 4.2.3), we track two metrics: IOPS (for small objects) and write throughput (for large objects). In Figure 9, the write throughput from a single client exceeds 15GB/s as object size increases. For larger objects, memcopy dominates object creation time. For smaller objects, the main overheads are in serialization and IPC between the client and object store.

GCS fault tolerance. To maintain low latency while providing strong consistency and fault tolerance, we build a lightweight chain replication [61] layer on top of Redis. Figure 10a simulates recording Ray tasks to and reading tasks from the GCS, where keys are 25 bytes and values are 512 bytes. The client sends requests as fast as it can, having at most one in-flight request at a time. Failures are reported to the chain master either from the client (having received explicit errors, or timeouts despite retries) or



(a) A timeline for GCS read and write latencies as viewed from a client submitting tasks. The chain starts with 2 replicas. We manually trigger reconfiguration as follows. At $t \approx 4.2$ s, a chain member is killed; immediately after, a new chain member joins, initiates state transfer, and restores the chain to 2-way replication. The maximum client-observed latency is under 30ms despite reconfigurations.



(b) The Ray GCS maintains a constant memory footprint with GCS flushing. Without GCS flushing, the memory footprint reaches a maximum capacity and the workload fails to complete within a predetermined duration (indicated by the red cross).

Figure 10: Ray GCS fault tolerance and flushing.

from any server in the chain (having received explicit errors). Overall, reconfigurations caused a maximum *client-observed* delay of under 30ms (this includes both failure detection and recovery delays).

GCS flushing. Ray is equipped to periodically flush the contents of GCS to disk. In Figure 10b we submit 50 million empty tasks sequentially and monitor GCS memory consumption. As expected, it grows linearly with the number of tasks tracked and eventually reaches the memory capacity of the system. At that point, the system becomes stalled and the workload fails to finish within a reasonable amount of time. With periodic GCS flushing, we achieve two goals. First, the memory footprint is capped at a user-configurable level (in the microbenchmark we employ an aggressive strategy where consumed memory is kept as low as possible). Second, the flushing mechanism provides a natural way to snapshot lineage to disk for long-running Ray applications.

Recovering from task failures. In Figure 11a, we

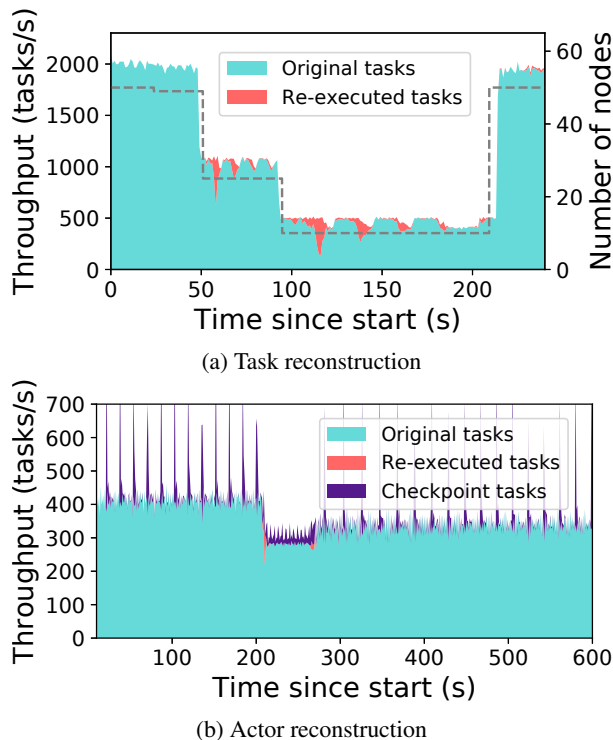


Figure 11: Ray fault-tolerance. (a) Ray reconstructs lost task dependencies as nodes are removed (dotted line), and recovers to original throughput when nodes are added back. Each task is 100ms and depends on an object generated by a previously submitted task. (b) Actors are reconstructed from their last checkpoint. At $t = 200$ s, we kill 2 of the 10 nodes, causing 400 of the 2000 actors in the cluster to be recovered on the remaining nodes ($t = 200$ – 270 s).

demonstrate Ray’s ability to transparently recover from worker node failures and elastically scale, using the durable GCS lineage storage. The workload, run on m4.xlarge instances, consists of linear chains of 100ms tasks submitted by the driver. As nodes are removed (at 25s, 50s, 100s), the local schedulers reconstruct previous results in the chain in order to continue execution. Overall *per-node* throughput remains stable throughout.

Recovering from actor failures. By encoding actor method calls as stateful edges directly in the dependency graph, we can reuse the same object reconstruction mechanism as in Figure 11a to provide transparent fault tolerance for *stateful computation*. Ray additionally leverages user-defined checkpoint functions to bound the reconstruction time for actors (Figure 11b). With minimal overhead, checkpointing enables only 500 methods to be re-executed, versus 10k re-executions without checkpointing. In the future, we hope to further reduce actor reconstruction time, e.g., by allowing users to annotate methods that do not mutate state.

Allreduce. Allreduce is a distributed communication

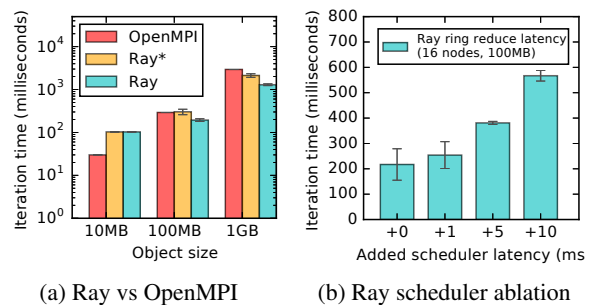


Figure 12: (a) Mean execution time of allreduce on 16 m4.16x1 nodes. Each worker runs on a distinct node. Ray* restricts Ray to 1 thread for sending and 1 thread for receiving. (b) Ray’s low-latency scheduling is critical for allreduce.

primitive important to many machine learning workloads. Here, we evaluate whether Ray can natively support a ring allreduce [57] implementation with low enough overhead to match existing implementations [53]. We find that Ray completes allreduce across 16 nodes on 100MB in ~ 200 ms and 1GB in ~ 1200 ms, surprisingly outperforming OpenMPI (v1.10), a popular MPI implementation, by $1.5\times$ and $2\times$ respectively (Figure 12a). We attribute Ray’s performance to its use of multiple threads for network transfers, taking full advantage of the 25Gbps connection between nodes on AWS, whereas OpenMPI sequentially sends and receives data on a single thread [22]. For smaller objects, OpenMPI outperforms Ray by switching to a lower overhead algorithm, an optimization we plan to implement in the future.

Ray’s scheduler performance is critical to implementing primitives such as allreduce. In Figure 12b, we inject artificial task execution delays and show that performance drops nearly $2\times$ with just a few ms of extra latency. Systems with centralized schedulers like Spark and CIEL typically have scheduler overheads in the tens of milliseconds [62, 38], making such workloads impractical. Scheduler *throughput* also becomes a bottleneck since the number of tasks required by ring reduce scales quadratically with the number of participants.

5.2 Building blocks

End-to-end applications (e.g., AlphaGo [54]) require a tight coupling of training, serving, and simulation. In this section, we isolate each of these workloads to a setting that illustrates a typical RL application’s requirements. Due to a flexible programming model targeted to RL, and a system designed to support this programming model, Ray matches and sometimes exceeds the performance of dedicated systems for these individual workloads.

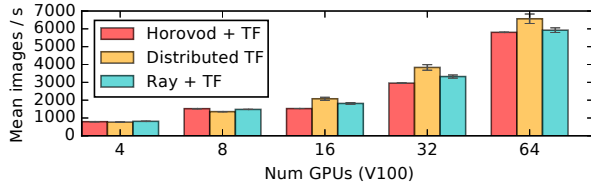


Figure 13: Images per second reached when distributing the training of a ResNet-101 TensorFlow model (from the official TF benchmark). All experiments were run on p3.16xl instances connected by 25Gbps Ethernet, and workers allocated 4 GPUs per node as done in Horovod [53]. We note some measurement deviations from previously reported, likely due to hardware differences and recent TensorFlow performance improvements. We used OpenMPI 3.0, TF 1.8, and NCCL2 for all runs.

5.2.1 Distributed Training

We implement data-parallel synchronous SGD leveraging the Ray actor abstraction to represent model replicas. Model weights are synchronized via allreduce (5.1) or parameter server, both implemented on top of the Ray API.

In Figure 13, we evaluate the performance of the Ray (synchronous) parameter-server SGD implementation against state-of-the-art implementations [53], using the same TensorFlow model and synthetic data generator for each experiment. We compare only against TensorFlow-based systems to accurately measure the overhead imposed by Ray, rather than differences between the deep learning frameworks themselves. In each iteration, model replica actors compute gradients in parallel, send the gradients to a sharded parameter server, then read the summed gradients from the parameter server for the next iteration.

Figure 13 shows that Ray matches the performance of Horovod and is within 10% of distributed TensorFlow (in `distributed_replicated` mode). This is due to the ability to express the same application-level optimizations found in these specialized systems in Ray’s general-purpose API. A key optimization is the pipelining of gradient computation, transfer, and summation within a single iteration. To overlap GPU computation with network transfer, we use a custom TensorFlow operator to write tensors directly to Ray’s object store.

5.2.2 Serving

Model serving is an important component of end-to-end applications. Ray focuses primarily on the *embedded* serving of models to simulators running within the same dynamic task graph (e.g., within an RL application on Ray). In contrast, systems like Clipper [19] focus on serving predictions to external clients.

In this setting, low latency is critical for achieving high utilization. To show this, in Table 3 we compare the

System	Small Input	Larger Input
Clipper	4400 \pm 15 states/sec	290 \pm 1.3 states/sec
Ray	6200 \pm 21 states/sec	6900 \pm 150 states/sec

Table 3: Throughput comparisons for Clipper [19], a dedicated serving system, and Ray for two embedded serving workloads. We use a residual network and a small fully connected network, taking 10ms and 5ms to evaluate, respectively. The server is queried by clients that each send states of size 4KB and 100KB respectively in batches of 64.

server throughput achieved using a Ray actor to serve a policy versus using the open source Clipper system over REST. Here, both client and server processes are co-located on the same machine (a p3.8xlarge instance). This is often the case for RL applications but not for the general web serving workloads addressed by systems like Clipper. Due to its low-overhead serialization and shared memory abstractions, Ray achieves an order of magnitude higher throughput for a small fully connected policy model that takes in a large input and is also faster on a more expensive residual network policy model, similar to one used in AlphaGo Zero, that takes smaller input.

5.2.3 Simulation

Simulators used in RL produce results with variable lengths (“timesteps”) that, due to the tight loop with training, must be used as soon as they are available. The task heterogeneity and timeliness requirements make simulations hard to support efficiently in BSP-style systems. To demonstrate, we compare (1) an MPI implementation that submits $3n$ parallel simulation runs on n cores in 3 rounds, with a global barrier between rounds[§], to (2) a Ray program that issues the same $3n$ tasks while concurrently gathering simulation results back to the driver. Table 4 shows that both systems scale well, yet Ray achieves up to $1.8\times$ throughput. This motivates a programming model that can dynamically spawn and collect the results of fine-grained simulation tasks.

System, programming model	1 CPU	16 CPUs	256 CPUs
MPI, bulk synchronous	22.6K	208K	2.16M
Ray, asynchronous tasks	22.3K	290K	4.03M

Table 4: Timesteps per second for the Pendulum-v0 simulator in OpenAI Gym [13]. Ray allows for better utilization when running heterogeneous simulations at scale.

[§]Note that experts *can* use MPI’s asynchronous primitives to get around barriers—at the expense of increased program complexity—we nonetheless chose such an implementation to simulate BSP.

5.3 RL Applications

Without a system that can tightly couple the training, simulation, and serving steps, reinforcement learning algorithms today are implemented as one-off solutions that make it difficult to incorporate optimizations that, for example, require a different computation structure or that utilize different architectures. Consequently, with implementations of two representative reinforcement learning applications in Ray, we are able to match and even outperform custom systems built specifically for these algorithms. The primary reason is the flexibility of Ray’s programming model, which can express application-level optimizations that would require substantial engineering effort to port to custom-built systems, but are transparently supported by Ray’s dynamic task graph execution engine.

5.3.1 Evolution Strategies

To evaluate Ray on large-scale RL workloads, we implement the evolution strategies (ES) algorithm and compare to the reference implementation [49]—a system specially built for this algorithm that relies on Redis for messaging and low-level multiprocessing libraries for data-sharing. The algorithm periodically broadcasts a new policy to a pool of workers and aggregates the results of roughly 10000 tasks (each performing 10 to 1000 simulation steps).

As shown in Figure 14a, an implementation on Ray scales well to 8192 cores. Doubling the cores available yields an average completion time speedup of $1.6\times$. Conversely, the special-purpose system fails to complete at 2048 cores, where the work in the system exceeds the processing capacity of the application driver. To avoid this issue, the Ray implementation uses an aggregation tree of actors, reaching a median time of 3.7 minutes, more than twice as fast as the best published result (10 minutes).

Initial parallelization of a serial implementation using Ray required modifying only 7 lines of code. Performance improvement through hierarchical aggregation was easy to realize with Ray’s support for nested tasks and actors. In contrast, the reference implementation had several hundred lines of code dedicated to a protocol for communicating tasks and data between workers, and would require further engineering to support optimizations like hierarchical aggregation.

5.3.2 Proximal Policy Optimization

We implement Proximal Policy Optimization (PPO) [51] in Ray and compare to a highly-optimized reference implementation [5] that uses OpenMPI communication primitives. The algorithm is an asynchronous scatter-gather, where new tasks are assigned to simulation actors as they

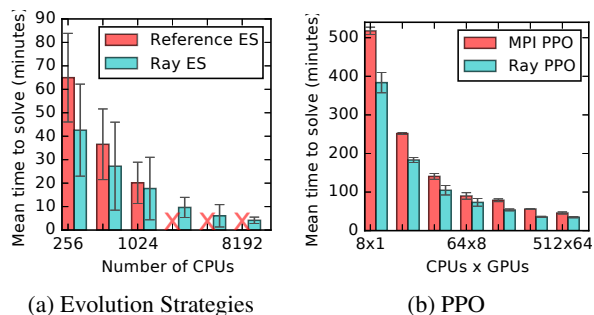


Figure 14: Time to reach a score of 6000 in the Humanoid-v1 task [13]. (a) The Ray ES implementation scales well to 8192 cores and achieves a median time of 3.7 minutes, over twice as fast as the best published result. The special-purpose system failed to run beyond 1024 cores. ES is faster than PPO on this benchmark, but shows greater runtime variance. (b) The Ray PPO implementation outperforms a specialized MPI implementation [5] with fewer GPUs, at a fraction of the cost. The MPI implementation required 1 GPU for every 8 CPUs, whereas the Ray version required at most 8 GPUs (and never more than 1 GPU per 8 CPUs).

return rollouts to the driver. Tasks are submitted until 320000 simulation steps are collected (each task produces between 10 and 1000 steps). The policy update performs 20 steps of SGD with a batch size of 32768. The model parameters in this example are roughly 350KB. These experiments were run using p2.16xlarge (GPU) and m4.16xlarge (high CPU) instances.

As shown in Figure 14b, the Ray implementation outperforms the optimized MPI implementation in all experiments, while using a fraction of the GPUs. The reason is that Ray is heterogeneity-aware and allows the user to utilize asymmetric architectures by expressing resource requirements at the granularity of a task or actor. The Ray implementation can then leverage TensorFlow’s single-process multi-GPU support and can pin objects in GPU memory when possible. This optimization cannot be easily ported to MPI due to the need to asynchronously gather rollouts to a single GPU process. Indeed, [5] includes two custom implementations of PPO, one using MPI for large clusters and one that is optimized for GPUs but that is restricted to a single node. Ray allows for an implementation suitable for both scenarios.

Ray’s ability to handle resource heterogeneity also decreased PPO’s cost by a factor of 4.5 [4], since CPU-only tasks can be scheduled on cheaper high-CPU instances. In contrast, MPI applications often exhibit symmetric architectures, in which all processes run the same code and require identical resources, in this case preventing the use of CPU-only machines for scale-out. Furthermore, the MPI implementation requires on-demand instances since it does not transparently handle failure. Assuming $4\times$ cheaper spot instances, Ray’s fault tolerance and resource-aware scheduling together cut costs by $18\times$.

6 Related Work

Dynamic task graphs. Ray is closely related to CIEL [40] and Dask [48]. All three support dynamic task graphs with nested tasks and implement the futures abstraction. CIEL also provides lineage-based fault tolerance, while Dask, like Ray, fully integrates with Python. However, Ray differs in two aspects that have important performance consequences. First, Ray extends the task model with an actor abstraction. This is necessary for efficient stateful computation in distributed training and serving, to keep the model data collocated with the computation. Second, Ray employs a fully distributed and decoupled control plane and scheduler, instead of relying on a single master storing all metadata. This is critical for efficiently supporting primitives like allreduce without system modification. At peak performance for 100MB on 16 nodes, allreduce on Ray (Section 5.1) submits 32 rounds of 16 tasks in 200ms. Meanwhile, Dask reports a maximum scheduler throughput of 3k tasks/s on 512 cores [3]. With a centralized scheduler, each round of allreduce would then incur a minimum of ~ 5 ms of scheduling delay, translating to up to $2\times$ worse completion time (Figure 12b). Even with a decentralized scheduler, coupling the control plane information with the scheduler leaves the latter on the critical path for data transfer, adding an extra roundtrip to every round of allreduce.

Dataflow systems. Popular dataflow systems, such as MapReduce [20], Spark [65], and Dryad [28] have widespread adoption for analytics and ML workloads, but their computation model is too restrictive for a fine-grained and dynamic simulation workload. Spark and MapReduce implement the BSP execution model, which assumes that tasks within the same stage perform the same computation and take roughly the same amount of time. Dryad relaxes this restriction but lacks support for dynamic task graphs. Furthermore, none of these systems provide an actor abstraction, nor implement a distributed scalable control plane and scheduler. Finally, Naiad [39] is a dataflow system that provides improved scalability for some workloads, but only supports static task graphs.

Machine learning frameworks. TensorFlow [7] and MXNet [18] target deep learning workloads and efficiently leverage both CPUs and GPUs. While they achieve great performance for training workloads consisting of static DAGs of linear algebra operations, they have limited support for the more general computation required to tightly couple training with simulation and embedded serving. TensorFlow Fold [33] provides some support for dynamic task graphs, as well as MXNet through its internal C++ APIs, but neither fully supports the ability to modify the DAG during execution in response to task progress, task completion times, or faults. TensorFlow and MXNet in principle achieve generality by allowing the program-

mer to simulate low-level message-passing and synchronization primitives, but the pitfalls and user experience in this case are similar to those of MPI. OpenMPI [22] can achieve high performance, but it is relatively hard to program as it requires explicit coordination to handle heterogeneous and dynamic task graphs. Furthermore, it forces the programmer to explicitly handle fault tolerance.

Actor systems. Orleans [14] and Akka [1] are two actor frameworks well suited to developing highly available and concurrent distributed systems. However, compared to Ray, they provide less support for recovery from data loss. To recover *stateful actors*, the Orleans developer must explicitly checkpoint actor state and intermediate responses. *Stateless actors* in Orleans can be replicated for scale-out, and could therefore act as tasks, but unlike in Ray, they have no lineage. Similarly, while Akka explicitly supports persisting actor state across failures, it does not provide efficient fault tolerance for *stateless computation* (i.e., tasks). For message delivery, Orleans provides at-least-once and Akka provides at-most-once semantics. In contrast, Ray provides transparent fault tolerance and exactly-once semantics, as each method call is logged in the GCS and both arguments and results are immutable. We find that in practice these limitations do not affect the performance of our applications. Erlang [10] and C++ Actor Framework [17], two other actor-based systems, have similarly limited support for fault tolerance.

Global control store and scheduling. The concept of logically centralizing the control plane has been previously proposed in software defined networks (SDNs) [16], distributed file systems (e.g., GFS [23]), resource management (e.g., Omega [52]), and distributed frameworks (e.g., MapReduce [20], BOOM [9]), to name a few. Ray draws inspiration from these pioneering efforts, but provides significant improvements. In contrast with SDNs, BOOM, and GFS, Ray decouples the storage of the control plane information (e.g., GCS) from the logic implementation (e.g., schedulers). This allows both storage and computation layers to scale independently, which is key to achieving our scalability targets. Omega uses a distributed architecture in which schedulers coordinate via globally shared state. To this architecture, Ray adds global schedulers to balance load across local schedulers, and targets ms-level, not second-level, task scheduling.

Ray implements a unique distributed bottom-up scheduler that is horizontally scalable, and can handle dynamically constructed task graphs. Unlike Ray, most existing cluster computing systems [20, 64, 40] use a centralized scheduler architecture. While Sparrow [45] is decentralized, its schedulers make independent decisions, limiting the possible scheduling policies, and all tasks of a job are handled by the same global scheduler. Mesos [26] implements a two-level hierarchical scheduler, but its top-level scheduler manages frameworks, not individual tasks.

Canary [47] achieves impressive performance by having each scheduler instance handle a portion of the task graph, but does not handle dynamic computation graphs.

Cilk [12] is a parallel programming language whose work-stealing scheduler achieves provably efficient load-balancing for dynamic task graphs. However, with no central coordinator like Ray’s global scheduler, this fully parallel design is also difficult to extend to support data locality and resource heterogeneity in a distributed setting.

7 Discussion and Experiences

Building Ray has been a long journey. It started two years ago with a Spark library to perform distributed training and simulations. However, the relative inflexibility of the BSP model, the high per-task overhead, and the lack of an actor abstraction led us to develop a new system. Since we released Ray roughly one year ago, several hundreds of people have used it and several companies are running it in production. Here we discuss our experience developing and using Ray, and some early user feedback.

API. In designing the API, we have emphasized minimalism. Initially we started with a basic *task* abstraction. Later, we added the **wait()** primitive to accommodate roll-outs with heterogeneous durations and the *actor* abstraction to accommodate third-party simulators and amortize the overhead of expensive initializations. While the resulting API is relatively low-level, it has proven both powerful and simple to use. We have already used this API to implement many state-of-the-art RL algorithms on top of Ray, including A3C [36], PPO [51], DQN [37], ES [49], DDPG [55], and Ape-X [27]. In most cases it took us just a few tens of lines of code to port these algorithms to Ray. Based on early user feedback, we are considering enhancing the API to include higher level primitives and libraries, which could also inform scheduling decisions.

Limitations. Given the workload generality, specialized optimizations are hard. For example, we must make scheduling decisions without full knowledge of the computation graph. Scheduling optimizations in Ray might require more complex runtime profiling. In addition, storing lineage for each task requires the implementation of garbage collection policies to bound storage costs in the GCS, a feature we are actively developing.

Fault tolerance. We are often asked if fault tolerance is really needed for AI applications. After all, due to the statistical nature of many AI algorithms, one could simply ignore failed rollouts. Based on our experience, our answer is “yes”. First, the ability to ignore failures makes applications much easier to write and reason about. Second, our particular implementation of fault tolerance via deterministic replay dramatically simplifies debugging as it allows us to easily reproduce most errors. This is particularly important since, due to their stochasticity, AI al-

gorithms are notoriously hard to debug. Third, fault tolerance helps save money since it allows us to run on cheap resources like spot instances on AWS. Of course, this comes at the price of some overhead. However, we found this overhead to be minimal for our target workloads.

GCS and Horizontal Scalability. The GCS dramatically simplified Ray development and debugging. It enabled us to query the entire system state while debugging Ray itself, instead of having to manually expose internal component state. In addition, the GCS is also the backend for our timeline visualization tool, used for application-level debugging.

The GCS was also instrumental to Ray’s horizontal scalability. In Section 5, we were able to scale by adding more shards whenever the GCS became a bottleneck. The GCS also enabled the global scheduler to scale by simply adding more replicas. Due to these advantages, we believe that centralizing control state will be a key design component of future distributed systems.

8 Conclusion

No general-purpose system today can efficiently support the tight loop of training, serving, and simulation. To express these core building blocks and meet the demands of emerging AI applications, Ray unifies task-parallel and actor programming models in a single dynamic task graph and employs a scalable architecture enabled by the global control store and a bottom-up distributed scheduler. The programming flexibility, high throughput, and low latencies simultaneously achieved by this architecture is particularly important for emerging artificial intelligence workloads, which produce tasks diverse in their resource requirements, duration, and functionality. Our evaluation demonstrates linear scalability up to 1.8 million tasks per second, transparent fault tolerance, and substantial performance improvements on several contemporary RL workloads. Thus, Ray provides a powerful combination of flexibility, performance, and ease of use for the development of future AI applications.

9 Acknowledgments

This research is supported in part by NSF CISE Expeditions Award CCF-1730628 and gifts from Alibaba, Amazon Web Services, Ant Financial, Arm, CapitalOne, Ericsson, Facebook, Google, Huawei, Intel, Microsoft, Scotiabank, Splunk and VMware as well as by NSF grant DGE-1106400. We are grateful to our anonymous reviewers and our shepherd, Miguel Castro, for thoughtful feedback, which helped improve the quality of this paper.

References

- [1] Akka. <https://akka.io/>.
- [2] Apache Arrow. <https://arrow.apache.org/>.
- [3] Dask Benchmarks. <http://matthewrocklin.com/blog/work/2017/07/03/scaling>.
- [4] EC2 Instance Pricing. <https://aws.amazon.com/ec2/pricing/on-demand/>.
- [5] OpenAI Baselines: high-quality implementations of reinforcement learning algorithms. <https://github.com/openai/baselines>.
- [6] TensorFlow Serving. <https://www.tensorflow.org/serving/>.
- [7] ABADI, M., BARHAM, P., CHEN, J., CHEN, Z., DAVIS, A., DEAN, J., DEVIN, M., GHEMAWAT, S., IRVING, G., ISARD, M., ET AL. TensorFlow: A system for large-scale machine learning. In *Proceedings of the 12th USENIX Symposium on Operating Systems Design and Implementation (OSDI)*. Savannah, Georgia, USA (2016).
- [8] AGARWAL, A., BIRD, S., COZOWICZ, M., HOANG, L., LANGFORD, J., LEE, S., LI, J., MELAMED, D., OSHRI, G., RIBAS, O., SEN, S., AND SLIVKINS, A. A multiworld testing decision service. *arXiv preprint arXiv:1606.03966* (2016).
- [9] ALVARO, P., CONDIE, T., CONWAY, N., ELMELEEGY, K., HELLERSTEIN, J. M., AND SEARS, R. BOOM Analytics: exploring data-centric, declarative programming for the cloud. In *Proceedings of the 5th European conference on Computer systems* (2010), ACM, pp. 223–236.
- [10] ARMSTRONG, J., VIRDING, R., WIKSTRÖM, C., AND WILLIAMS, M. Concurrent programming in ERLANG.
- [11] BEATTIE, C., LEIBO, J. Z., TEPLYASHIN, D., WARD, T., WAINWRIGHT, M., KÜTTLER, H., LEFRANCQ, A., GREEN, S., VALDÉS, V., SADIK, A., ET AL. DeepMind Lab. *arXiv preprint arXiv:1612.03801* (2016).
- [12] BLUMOF, R. D., AND LEISERSON, C. E. Scheduling multithreaded computations by work stealing. *J. ACM* 46, 5 (Sept. 1999), 720–748.
- [13] BROCKMAN, G., CHEUNG, V., PETERSSON, L., SCHNEIDER, J., SCHULMAN, J., TANG, J., AND ZAREMBA, W. OpenAI gym. *arXiv preprint arXiv:1606.01540* (2016).
- [14] BYKOV, S., GELLER, A., KLIOT, G., LARUS, J. R., PANDYA, R., AND THELIN, J. Orleans: Cloud computing for everyone. In *Proceedings of the 2nd ACM Symposium on Cloud Computing* (2011), ACM, p. 16.
- [15] CARBONE, P., EWEN, S., FÓRA, G., HARIDI, S., RICHTER, S., AND TZOUMAS, K. State management in Apache Flink: Consistent stateful distributed stream processing. *Proc. VLDB Endow.* 10, 12 (Aug. 2017), 1718–1729.
- [16] CASADO, M., FREEDMAN, M. J., PETTIT, J., LUO, J., MCKEOWN, N., AND SHENKER, S. Ethane: Taking control of the enterprise. *SIGCOMM Comput. Commun. Rev.* 37, 4 (Aug. 2007), 1–12.
- [17] CHAROUSSET, D., SCHMIDT, T. C., HIESGEN, R., AND WÄHLISCH, M. Native actors: A scalable software platform for distributed, heterogeneous environments. In *Proceedings of the 2013 workshop on Programming based on actors, agents, and decentralized control* (2013), ACM, pp. 87–96.
- [18] CHEN, T., LI, M., LI, Y., LIN, M., WANG, N., WANG, M., XIAO, T., XU, B., ZHANG, C., AND ZHANG, Z. MXNet: A flexible and efficient machine learning library for heterogeneous distributed systems. In *NIPS Workshop on Machine Learning Systems (LearningSys'16)* (2016).
- [19] CRANKSHAW, D., WANG, X., ZHOU, G., FRANKLIN, M. J., GONZALEZ, J. E., AND STOICA, I. Clipper: A low-latency online prediction serving system. In *14th USENIX Symposium on Networked Systems Design and Implementation (NSDI 17)* (Boston, MA, 2017), USENIX Association, pp. 613–627.
- [20] DEAN, J., AND GHEMAWAT, S. MapReduce: Simplified data processing on large clusters. *Commun. ACM* 51, 1 (Jan. 2008), 107–113.
- [21] DENNIS, J. B., AND MISUNAS, D. P. A preliminary architecture for a basic data-flow processor. In *Proceedings of the 2nd Annual Symposium on Computer Architecture* (New York, NY, USA, 1975), ISCA '75, ACM, pp. 126–132.
- [22] GABRIEL, E., FAGG, G. E., BOSILCA, G., ANGSKUN, T., DONGARRA, J. J., SQUYRES, J. M., SAHAY, V., KAMBADUR, P., BARRETT, B., LUMSDAINE, A., CASTAIN, R. H., DANIEL, D. J., GRAHAM, R. L., AND WOODALL, T. S. Open MPI: Goals, concept, and design of a next generation MPI implementation. In *Proceedings, 11th European PVM/MPI Users' Group Meeting* (Budapest, Hungary, September 2004), pp. 97–104.
- [23] GHEMAWAT, S., GOBIOFF, H., AND LEUNG, S.-T. The Google file system. 29–43.
- [24] GONZALEZ, J. E., XIN, R. S., DAVE, A., CRANKSHAW, D., FRANKLIN, M. J., AND STOICA, I. GraphX: Graph processing in a distributed dataflow framework. In *Proceedings of the 11th USENIX Conference on Operating Systems Design and Implementation* (Berkeley, CA, USA, 2014), OSDI'14, USENIX Association, pp. 599–613.
- [25] GU*, S., HOLLY*, E., LILLICRAP, T., AND LEVINE, S. Deep reinforcement learning for robotic manipulation with asynchronous off-policy updates. In *IEEE International Conference on Robotics and Automation (ICRA 2017)* (2017).
- [26] HINDMAN, B., KONWINSKI, A., ZAHARIA, M., GHODSI, A., JOSEPH, A. D., KATZ, R., SHENKER, S., AND STOICA, I. Mesos: A platform for fine-grained resource sharing in the data center. In *Proceedings of the 8th USENIX Conference on Networked Systems Design and Implementation* (Berkeley, CA, USA, 2011), NSDI'11, USENIX Association, pp. 295–308.
- [27] HORGAN, D., QUAN, J., BUDDEN, D., BARTH-MARON, G., HESSEL, M., VAN HASSELT, H., AND SILVER, D. Distributed prioritized experience replay. *International Conference on Learning Representations* (2018).
- [28] ISARD, M., BUDIU, M., YU, Y., BIRRELL, A., AND FETTERLY, D. Dryad: Distributed data-parallel programs from sequential building blocks. In *Proceedings of the 2nd ACM SIGOPS/EuroSys European Conference on Computer Systems 2007* (New York, NY, USA, 2007), EuroSys '07, ACM, pp. 59–72.
- [29] JIA, Y., SHELHAMER, E., DONAHUE, J., KARAYEV, S., LONG, J., GIRSHICK, R., GUADARRAMA, S., AND DARRELL, T. Caffe: Convolutional architecture for fast feature embedding. *arXiv preprint arXiv:1408.5093* (2014).
- [30] JORDAN, M. I., AND MITCHELL, T. M. Machine learning: Trends, perspectives, and prospects. *Science* 349, 6245 (2015), 255–260.

- [31] LEIBIUSKY, J., EISBRUCH, G., AND SIMONASSI, D. *Getting Started with Storm*. O'Reilly Media, Inc., 2012.
- [32] LI, M., ANDERSEN, D. G., PARK, J. W., SMOLA, A. J., AHMED, A., JOSIFOVSKI, V., LONG, J., SHEKITA, E. J., AND SU, B.-Y. Scaling distributed machine learning with the parameter server. In *Proceedings of the 11th USENIX Conference on Operating Systems Design and Implementation* (Berkeley, CA, USA, 2014), OSDI'14, pp. 583–598.
- [33] LOOKS, M., HERRESHOFF, M., HUTCHINS, D., AND NORVIG, P. Deep learning with dynamic computation graphs. *arXiv preprint arXiv:1702.02181* (2017).
- [34] LOW, Y., GONZALEZ, J., KYROLA, A., BICKSON, D., GUESTIN, C., AND HELLERSTEIN, J. GraphLab: A new framework for parallel machine learning. In *Proceedings of the Twenty-Sixth Conference on Uncertainty in Artificial Intelligence* (Arlington, Virginia, United States, 2010), UAI'10, pp. 340–349.
- [35] MALEWICZ, G., AUSTERN, M. H., BIK, A. J., DEHNERT, J. C., HORN, I., LEISER, N., AND CZAJKOWSKI, G. Pregel: A system for large-scale graph processing. In *Proceedings of the 2010 ACM SIGMOD International Conference on Management of Data* (New York, NY, USA, 2010), SIGMOD '10, ACM, pp. 135–146.
- [36] MNIH, V., BADIA, A. P., MIRZA, M., GRAVES, A., LILLICRAP, T. P., HARLEY, T., SILVER, D., AND KAVUKCUOGLU, K. Asynchronous methods for deep reinforcement learning. In *International Conference on Machine Learning* (2016).
- [37] MNIH, V., KAVUKCUOGLU, K., SILVER, D., RUSU, A. A., VENESS, J., BELLEMARE, M. G., GRAVES, A., RIEDMILLER, M., FIDJELAND, A. K., OSTROVSKI, G., ET AL. Human-level control through deep reinforcement learning. *Nature* 518, 7540 (2015), 529–533.
- [38] MURRAY, D. *A Distributed Execution Engine Supporting Data-dependent Control Flow*. University of Cambridge, 2012.
- [39] MURRAY, D. G., MCSHERRY, F., ISAACS, R., ISARD, M., BARHAM, P., AND ABADI, M. Naiad: A timely dataflow system. In *Proceedings of the Twenty-Fourth ACM Symposium on Operating Systems Principles* (New York, NY, USA, 2013), SOSP '13, ACM, pp. 439–455.
- [40] MURRAY, D. G., SCHWARZKOPF, M., SMOWTON, C., SMITH, S., MADHAVAPEDDY, A., AND HAND, S. CIEL: A universal execution engine for distributed data-flow computing. In *Proceedings of the 8th USENIX Conference on Networked Systems Design and Implementation* (Berkeley, CA, USA, 2011), NSDI'11, USENIX Association, pp. 113–126.
- [41] NAIR, A., SRINIVASAN, P., BLACKWELL, S., ALCICEK, C., FEARON, R., MARIA, A. D., PANNEERSHELVAM, V., SULEYMAN, M., BEATTIE, C., PETERSEN, S., LEGG, S., MNIH, V., KAVUKCUOGLU, K., AND SILVER, D. Massively parallel methods for deep reinforcement learning, 2015.
- [42] NG, A., COATES, A., DIEL, M., GANAPATHI, V., SCHULTE, J., TSE, B., BERGER, E., AND LIANG, E. Autonomous inverted helicopter flight via reinforcement learning. *Experimental Robotics IX* (2006), 363–372.
- [43] NISHIHARA, R., MORITZ, P., WANG, S., TUMANOV, A., PAUL, W., SCHLEIER-SMITH, J., LIAW, R., NIKNAMI, M., JORDAN, M. I., AND STOICA, I. Real-time machine learning: The missing pieces. In *Workshop on Hot Topics in Operating Systems* (2017).
- [44] OPENAI. OpenAI Dota 2 1v1 bot. <https://openai.com/the-international/>, 2017.
- [45] OUSTERHOUT, K., WENDELL, P., ZAHARIA, M., AND STOICA, I. Sparrow: Distributed, low latency scheduling. In *Proceedings of the Twenty-Fourth ACM Symposium on Operating Systems Principles* (New York, NY, USA, 2013), SOSP '13, ACM, pp. 69–84.
- [46] PASZKE, A., GROSS, S., CHINTALA, S., CHANAN, G., YANG, E., DEVITO, Z., LIN, Z., DESMAISON, A., ANTIGA, L., AND LERER, A. Automatic differentiation in PyTorch.
- [47] QU, H., MASHAYEKHI, O., TEREI, D., AND LEVIS, P. Canary: A scheduling architecture for high performance cloud computing. *arXiv preprint arXiv:1602.01412* (2016).
- [48] ROCKLIN, M. Dask: Parallel computation with blocked algorithms and task scheduling. In *Proceedings of the 14th Python in Science Conference* (2015), K. Huff and J. Bergstra, Eds., pp. 130 – 136.
- [49] SALIMANS, T., HO, J., CHEN, X., AND SUTSKEVER, I. Evolution strategies as a scalable alternative to reinforcement learning. *arXiv preprint arXiv:1703.03864* (2017).
- [50] SANFILIPPO, S. Redis: An open source, in-memory data structure store. <https://redis.io/>, 2009.
- [51] SCHULMAN, J., WOLSKI, F., DHARIWAL, P., RADFORD, A., AND KLIMOV, O. Proximal policy optimization algorithms. *arXiv preprint arXiv:1707.06347* (2017).
- [52] SCHWARZKOPF, M., KONWINSKI, A., ABD-EL-MALEK, M., AND WILKES, J. Omega: Flexible, scalable schedulers for large compute clusters. In *Proceedings of the 8th ACM European Conference on Computer Systems* (New York, NY, USA, 2013), EuroSys '13, ACM, pp. 351–364.
- [53] SERGEEV, A., AND DEL BALSO, M. Horovod: fast and easy distributed deep learning in tensorflow. *arXiv preprint arXiv:1802.05799* (2018).
- [54] SILVER, D., HUANG, A., MADDISON, C. J., GUEZ, A., SIFRE, L., VAN DEN DRIESSCHE, G., SCHRITTWIESER, J., ANTONOGLOU, I., PANNEERSHELVAM, V., LANCTOT, M., ET AL. Mastering the game of Go with deep neural networks and tree search. *Nature* 529, 7587 (2016), 484–489.
- [55] SILVER, D., LEVER, G., HEES, N., DEGRIS, T., WIERSTRA, D., AND RIEDMILLER, M. Deterministic policy gradient algorithms. In *ICML* (2014).
- [56] SUTTON, R. S., AND BARTO, A. G. *Reinforcement Learning: An Introduction*. MIT press Cambridge, 1998.
- [57] THAKUR, R., RABENSEIFNER, R., AND GROPP, W. Optimization of collective communication operations in MPI. *The International Journal of High Performance Computing Applications* 19, 1 (2005), 49–66.
- [58] TIAN, Y., GONG, Q., SHANG, W., WU, Y., AND ZITNICK, C. L. ELF: An extensive, lightweight and flexible research platform for real-time strategy games. *Advances in Neural Information Processing Systems (NIPS)* (2017).
- [59] TODOROV, E., EREZ, T., AND TASSA, Y. Mujoco: A physics engine for model-based control. In *Intelligent Robots and Systems (IROS), 2012 IEEE/RSJ International Conference on* (2012), IEEE, pp. 5026–5033.

- [60] VAN DEN BERG, J., MILLER, S., DUCKWORTH, D., HU, H., WAN, A., FU, X.-Y., GOLDBERG, K., AND ABBEEL, P. Superhuman performance of surgical tasks by robots using iterative learning from human-guided demonstrations. In *Robotics and Automation (ICRA), 2010 IEEE International Conference on* (2010), IEEE, pp. 2074–2081.
- [61] VAN RENESSE, R., AND SCHNEIDER, F. B. Chain replication for supporting high throughput and availability. In *Proceedings of the 6th Conference on Symposium on Operating Systems Design & Implementation - Volume 6* (Berkeley, CA, USA, 2004), OSDI'04, USENIX Association.
- [62] VENKATARAMAN, S., PANDA, A., OUSTERHOUT, K., GHODSI, A., ARMBRUST, M., RECHT, B., FRANKLIN, M., AND STOICA, I. Drizzle: Fast and adaptable stream processing at scale. In *Proceedings of the Twenty-Sixth ACM Symposium on Operating Systems Principles* (2017), SOSP '17, ACM.
- [63] WHITE, T. *Hadoop: The Definitive Guide*. O'Reilly Media, Inc., 2012.
- [64] ZAHARIA, M., CHOWDHURY, M., DAS, T., DAVE, A., MA, J., MCCAULEY, M., FRANKLIN, M. J., SHENKER, S., AND STOICA, I. Resilient distributed datasets: A fault-tolerant abstraction for in-memory cluster computing. In *Proceedings of the 9th USENIX conference on Networked Systems Design and Implementation* (2012), USENIX Association, pp. 2–2.
- [65] ZAHARIA, M., XIN, R. S., WENDELL, P., DAS, T., ARMBRUST, M., DAVE, A., MENG, X., ROSEN, J., VENKATARAMAN, S., FRANKLIN, M. J., GHODSI, A., GONZALEZ, J., SHENKER, S., AND STOICA, I. Apache Spark: A unified engine for big data processing. *Commun. ACM* 59, 11 (Oct. 2016), 56–65.