

RCP4.5: A Pathway for Stabilization of Radiative Forcing by 2100

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ABSTRACT

Representative Concentration Pathway (RCP) 4.5 is a scenario that stabilizes radiative forcing at 4.5 Watts per meter squared in the year 2100 without ever exceeding that value. Simulated with the Global Change Assessment Model (GCAM), RCP4.5 includes long-term, global emissions of greenhouse gases, short-lived species, and land-use-land-cover in a global economic framework. RCP4.5 was updated from earlier GCAM scenarios to incorporate historical emissions and land cover information common to the RCP process and follows a cost-minimizing pathway to reach the target radiative forcing. The imperative to limit emissions in order to reach this target drives changes in the energy system, including shifts to electricity, to lower emissions energy technologies and to the deployment of carbon capture and geologic storage technology. In addition, the RCP4.5 emissions price also applies to land use emissions; as a result, forest lands expand from their present day extent. The simulated future emissions and land use were downscaled from the regional simulation to a grid to facilitate transfer to climate models. While there are many alternative pathways to achieve a radiative forcing level of 4.5 W m⁻², the application of the RCP4.5 provides a common platform for climate models to explore the climate system response to stabilizing the anthropogenic components of radiative forcing.

Keywords: climate change mitigation; Integrated Assessment; Global Change Assessment Model; Representative Concentration Pathway; scenario.

1. INTRODUCTION

Representative Concentration Pathway (RCP) 4.5 is a scenario of long-term, global emissions of greenhouse gases, short-lived species, and land-use-land-cover which stabilizes radiative forcing at 4.5 Watts per meter squared (W m^{-2} , approximately 650 ppm CO_2 -equivalent) in the year 2100 without ever exceeding that value. The defining characteristics of this scenario are enumerated in Moss et al. (2008, 2010). RCP 4.5 is based on the MiniCAM Level 3 stabilization scenario reported in Clarke, et al. (2007) with additional detail on the non- CO_2 and pollution control assumptions in Smith and Wigley (2006), and incorporating updated land use modeling and terrestrial carbon emissions pricing assumptions as reported in Wise et al (2009a,b).

Unlike the scenarios developed by the IPCC and reported in Nakicenovic et al. (2000), which examined possible global futures and associated greenhouse-related emissions in the absence of measures designed to limit anthropogenic climate change, RCP 4.5 is a stabilization scenario and assumes that climate policies, in this instance the introduction of a set of global greenhouse gas emissions prices, are invoked to achieve the goal of limiting emissions, concentrations and radiative forcing.

While RCP 4.5 is based on the “MiniCAM Level 3” scenario reported in Clarke et al. (2007), RCP 4.5 differs in several important regards. First, the Clarke et al. (2007) scenario considered a slightly different definition of radiative forcing than RCP 4.5. In Clarke et al. (2007), radiative forcing is defined in terms of a suite of six greenhouse gases, carbon dioxide (CO_2), methane (CH_4), nitrous oxide (N_2O), hydrofluorocarbons (HFCs), perfluorocarbons (PFCs) and sulfur hexafluoride (SF_6). RCP 4.5 considered the influences of a broader set of anthropogenic emissions including CO_2 , CH_4 , N_2O , HFCs, PFCs, and SF_6 , but also chemically active gases such as carbon monoxide (CO) and volatile organic compounds (VOCs). Importantly, RCP 4.5 considers the influence of sulfur aerosols, as well as black and organic carbon. The Clarke et al. (2007) scenario stabilized radiative forcing at approximately 4.7 W m^{-2} while RCP stabilizes radiative forcing at 4.5 W m^{-2} . For CO_2 , the most

important anthropogenically released greenhouse gas, year 2100 concentrations are somewhat higher in the Clarke et al. (2007) scenario, approximately 550 ppm CO₂, than in RCP 4.5, approximately 525 ppm CO₂. RCP 4.5 also employed updated historical data series, calibration to the year 2000 consensus emissions inventories from Lamarque et al. (2010), and a new representation of residue biomass supply (Gregg and Smith 2010). More importantly, RCP 4.5 employed a more sophisticated land-use and land-cover model (Wise et al. 2009a,b) than was available for use in Clarke et al. (2007). Perhaps the most important difference between Clarke et al. (2007) and RCP 4.5 is the downscaling of emissions and land-use-land-cover from the 14 geopolitical GCAM regions to a 0.5 degree grid for the RCPs in order to enable use of the scenario in global climate models. Finally, RCP 4.5 takes advantage of one technology that was not modeled in Clarke et al., namely combining bioenergy production with CO₂ capture and geologic storage (CCS). This technology combination is capable of producing final energy such as electricity with net-negative carbon emissions.

Because the RCPs are based on scenarios documented in the open literature, each reflects a different set of underlying socioeconomic assumptions. RCP 4.5 is a stabilization scenario and thus assumes the imposition of emissions mitigation policies. RCP 4.5 is derived from its own “reference”, or “no-climate-policy”, scenario. This reference scenario is unique to RCP 4.5 and differs from RCP 8.5 as well as from the reference scenarios associated with RCP 6.0 and RCP 2.6.

In the remainder of this paper we will discuss the modeling environment employed to develop RCP 4.5, the Global Change Assessment Model (GCAM), which was used to transform the original MiniCAM Level 3 scenario (Clarke et al., 2007) into RCP 4.5. We will then proceed to describe the underlying socioeconomic assumptions that shape RCP 4.5 and its associated reference scenario and discuss the characteristics of RCP 4.5, highlighting the global energy, economic, land use, and land cover systems, as well as the mechanisms employed to limit radiative forcing to 4.5 W m⁻² and contrast RCP 4.5 to its reference scenario.

2. METHODS

2.1 The Global Change Assessment Model

The GCAM is a global integrated assessment model and a direct descendent of the MiniCAM model (Kim et al., 2006; Clarke et al., 2007; Brenkert et al., 2003). It combines representations of the global economy, energy systems, agriculture and land use, with representation of terrestrial and ocean carbon cycles, a suite of coupled gas-cycle, climate, and ice-melt models. GCAM tracks emissions and concentrations of greenhouse gases and short-lived species including CO₂, CH₄, N₂O, NO_x, VOCs, CO, SO₂, carbonaceous aerosols, HFCs, PFCs, and SF₆.

GCAM is a dynamic recursive economic model driven by assumptions about population size, and labor productivity that determine potential gross domestic product in each of 14 regions at 15 year time steps. GCAM establishes market-clearing prices for all energy, agriculture and land markets such that supplies and demands for all markets balance simultaneously. The GCAM energy system includes primary energy resources, production, energy transformation to final fuels, and the employment of final energy forms to deliver energy services such as passenger kilometers in transport or space conditioning for buildings. GCAM contains detailed representations of technology options in all of the economic components of the system with technology choice determined by market competition.

The agriculture and land use component is fully integrated with the GCAM economic and energy system components. Land is allocated between alternative uses based on expected profitability, which in turn depends on the productivity of the land-based product (e.g. mass of harvestable product per ha), product price, and non-land costs of production (labor, fertilizer, etc.). The productivity of land-based products is subject to change over time based on future estimates of crop productivity change. We adopt assumptions for the next 30 years based on those employed by the UN Food

and Agricultural Organization (Bruinsma, 2003). A full description of the agriculture and land use modeling in GCAM as used for RCP4.5 can be found in Wise et al. (2009a).

The GCAM physical atmosphere and climate are represented by the Model for the Assessment of Greenhouse-Gas Induced Climate Change (MAGICC; Wigley and Raper 1992, 2002; Raper et al., 1996). To construct the RCP4.5 scenario, we use MAGICC version 5.3, which is initialized to the IPCC 4th Assessment Report.¹ The definition of total radiative forcing for the RCP4.5 does not include albedo, nitrate, and mineral dust. These three forcing agents have a fixed future forcing of -0.4 W m^{-2} in MAGICC version 5.3.

The RCP4.5 stabilization scenario is a cost-minimizing pathway. It assumes that all nations of the world undertake emissions mitigation simultaneously and effectively, and share a common global price that all emissions to the atmosphere must pay with emissions of different gases priced according to their hundred-year global warming potentials (Forster et al., 2007). All sectors of the economy are covered, including agriculture and land use emissions. That emissions price also rises over time so as to minimize the present discounted cost of emissions mitigation. The policy also assumes that deployment mechanisms and measurement and monitoring of both fossil fuel and terrestrial carbon are not barriers to implementation of emissions mitigation.

This cost-minimizing price path has two components. Prior to reaching the target, 4.5 W m^{-2} , cost minimization requires that the greenhouse gas emissions price rise at the interest rate, adjusted by the rate of ocean uptake (Edmonds et al., 2008; Clarke et al., 2007; Hotelling, 1931; Peck and Wan, 1996). An emissions price path with this property precludes all opportunities for arbitrage because the marginal cost of abatement is constant across time. The second component of the pathway occurs after the target is reached. At this time, the emissions price is adjusted to ensure that

the radiative forcing level remains at its target. For the RCP4.5, stabilization occurs in 2080; prior to 2080 the emissions price rises at 5% per year, and after 2080 the emissions price is roughly constant.

2.2 Emissions Downscaling

Emissions from GCAM were downscaled using a two-step method, first downscaling to the country level and then mapping to a spatial grid within each country. Detailed emissions from GCAM were aggregated into the 12 RCP reporting sectors for the 14 GCAM regions at each 15-year model time period. Emissions from each sector were downscaled to a country level for 231 countries using the methodology outlined in Van Vuuren et al. (2007). Input data for this step includes the country-level population projection used for the RCP4.5 scenario, a gridded base-year GDP data set from van Vuuren et al. (2007), who combined World Bank GDP information with the GPW gridded population data set (CIESIN & CIAT 2005), and year 2000 gridded emissions data from Lamarque et al. (2010). Gridded GDP and emissions data were used so that base-year GDP and emissions differences could consistently be estimated for any set of countries or regions. A convergence year of 2200 for GDP and emissions intensity calculations (slightly larger than value used by Van Vuuren et al. 2007) was used for all anthropogenic emissions sectors. The pattern of forest and grassland emissions within each region was held constant by setting a high convergence year of 10,000.

The downscaled sectoral emissions for each country were mapped to a 0.5 degree grid using the base-year 2000 gridded emissions data from Lamarque et al. (2010). The relative emissions distribution within each country was held constant over time for each emissions sector. All downscaling calculations were performed with each 0.5 degree grid cell subdivided into 231 countries using 2.5 minute GPW country boundary data (CIESIN & CIAT 2005). After downscaling on a sub-divided grid for each country and

¹ Note that the final concentration pathway values for the RCP4.5 were produced in MAGICC version 6 (see Meinhausen et al., this issue).

emissions sector, emissions were summed to a 0.5 degree resolution for the final data product.

Emissions from international shipping and aircraft do not occur within country boundaries and instead were aggregated to one global emissions figure. Gridded shipping emissions were globally scaled from the RCP consensus year 2000 emissions grid (Lamarque et al. 2010). Aircraft emissions used a time changing pattern from the QUANTIFY B2i emissions scenario (Lee et al. 2010), as the overall pathway for this scenario closely matched the GCAM model output. The QUANTIFY three dimensional emissions pattern was collapsed to two dimensions (latitude and longitude) for 2000, 2025, 2050, and 2100. This pattern was interpolated to decadal intervals and scaled globally to match the GCAM global aviation emissions values.

2.3 Land Use Downscaling

The RCP scenario process is the first to explicitly provide land use projections in addition to future emissions pathways for input to global climate models. Because all four participating integrated assessment models, and all receiving climate models, use different characterizations and definitions of land use types and transitions, a harmonization step was necessary. The harmonization was designed to provide a continuous, consistent set of land use inputs for climate models from 1500 through 2100 with a smooth transition between historical data (1500-2005) and future projections (2005-2100) (see Hurtt et al., this volume).

In the GCAM model results, land use is simulated at the 14 region level and land use changes and transitions are not spatially attributed. In this case, the land use was first downscaled to the 0.5 degree harmonization grid, following the algorithms of the the global land-use model (GLM) (Hurtt et al. 2006), preserving GCAM regional land use area totals and generating smooth spatial patterns in the transition from historical to future states. These downscaling algorithms were developed and implemented by the land use harmonization

team at the University of New Hampshire (Hurtt et al., this volume) and are fully described in Thomson et al. (2010).

3. RESULTS

3.1 GCAM Reference Scenario

Each of the RCPs was produced by a different integrated assessment model; therefore, each has its own reference scenario. Thus, the reference scenario for RCP 4.5 is not RCP8.5 but rather a GCAM reference scenario. The GCAM reference scenario (Clarke et al., 2007) depicts a world in which global population reaches a maximum of more than 9 billion in 2065 and then declines to 8.7 billion in 2100 while global GDP grows by an order of magnitude and global energy consumption triples. The reference scenario includes no explicit policies to limit carbon emissions, and therefore fossil fuels continue to dominate global energy consumption, despite substantial growth in nuclear and renewable energy. Atmospheric CO₂ concentrations rise throughout the century and reach 792 ppmv by 2100, with total radiative forcing approaching 7 W m⁻² (Fig. 1). Forest land declines in the reference scenario to accommodate increases in land use for food and bioenergy crops. Even with the assumed agricultural productivity increases, crop land increases in the first half of the century due to increases in population and income, which drives an increase in land-intensive meat consumption. After 2050 the rate of growth in food demand slows, in part due to declining population. As a result the amount of cropland and also land use change (LUC) emissions decline as agricultural crop productivity continues to increase.

3.2 4.5 Stabilization Scenario

The RCP4.5 scenario is based on the same population and income drivers as the GCAM reference scenario but applies greenhouse gas emissions valuation policies to stabilize atmospheric radiative forcing at 4.5 W m⁻² in 2100 (Fig. 1). This imperative to stabilize climate change drives anthropogenic CO₂ emissions downward throughout the next century (Fig. 2). RCP4.5 results in

an atmospheric CO₂ concentration of 526 ppm in 2100², compared to 792 ppm in the GCAM reference case. This stabilization is achieved in 2080. At this point in time, total radiative forcing reaches 4.5 W m⁻² and the emissions price becomes roughly constant. CO₂ emissions also become roughly constant. RCP4.5 depicts declines in overall energy use, as well as declines in fossil fuel use compared to the reference case, while substantial increases in renewable energy forms and nuclear energy both occur (Fig. 3). The proportion of total final energy that is supplied by electricity also increases due to fuel switching in the end-use sectors. The emergence of large-scale carbon dioxide capture and storage (CCS) (Fig. 4) allows continued use of fossil fuels for electricity generation and cement manufacture, among other uses, though total use is lower than in the reference scenario. Bioenergy with CCS is used to produce electricity, providing an energy source that is carbon-negative with respect to the atmosphere. The amount of bioenergy deployed is limited by the availability of dedicated crop and crop residue feedstocks from the land system.

One important feature influencing the availability of bioenergy feedstocks in the RCP4.5 is the expansion of forests as part of the larger emissions mitigation strategy. The extent of afforestation follows Wise et al., 2009b. This idealized case assumes that all carbon from fossil fuel and land use emissions are charged an equal penalty price, and thus reductions in LUC emissions constitute an available strategy for global emissions mitigation. The GCAM therefore simulates the preservation of large stocks of terrestrial carbon in forests, with some crop and pasture lands converted to bioenergy crops (Fig. 5). Under this policy environment, bioenergy crops still provide an important source of fuel, >50 EJ/yr, to meet global energy demand in 2100. This is accomplished while still providing for the world's dietary need by shifting toward food products with a smaller carbon footprint.

² 526 ppmv is the CO₂ concentration from GCAM before harmonization in MAGICC6 (see Meinhausen et al., this issue). The harmonized RCP4.5 CO₂ concentration in 2100 is 538 ppmv.

Carbon prices reach \$85 per ton of CO₂ by 2100 (Fig. 6) which transforms the global economy. Electric power generation changes from the largest source of emissions in the world to a system with net negative emissions—made possible by increased reliance on nuclear and renewable energy forms such as wind, solar and geothermal, and the application of CO₂ capture and storage technology to both fossil fuel sources and bioenergy (Figs. 3 and 4).

Buildings and industry largely de-carbonize by employing more efficient end-use technologies and by electrifying. Annual land-use change emissions are reduced to 0.13 GtCO₂/yr (Fig. 2). Total anthropogenic CO₂ emissions for the RCP4.5 peak around 42 Gt CO₂ per year (Fig. 2) around 2040 and decline to 2080 before leveling off around 15 Gt CO₂ per year for the remainder of the century. Other greenhouse gases respond to both the mitigation price signals in the GCAM as well as to assumed pollution controls. Downscaling of these emissions leads to additional insights about future non-CO₂ emissions that are important considerations in the global climate and atmospheric chemistry models. For example, aggregate emissions and the associated radiative forcing contribution of CH₄ from all sectors are relatively constant at the global level (Fig. 7); however, when downscaled they exhibit geographical shifts (Fig. 8). CH₄ emissions in South America and Africa increase over the century while those from China, India the US and Western Europe decline.

CO₂ constitutes the largest contribution to total radiative forcing in the RCP4.5, followed by CH₄, halocarbons, tropospheric ozone, and N₂O (Fig. 7). The relative proportion of the non-CO₂ components of positive radiative forcing remains constant over time. Sulfate forcing is net negative throughout the century but this influence declines over time largely due to assumed increases in pollution control with income, although there are also indirect sulfur dioxide emissions reductions due to the greenhouse gas mitigation policy (Smith et al. 2005).

3.3 GCAM-Simulation of the Four Pathways

In order to facilitate model intercomparisons and further explore the characteristics of the RCPs, the participating models simulated their assigned RCP as well as the other three defined radiative forcing levels. The GCAM was used to simulate a 2.6 W m^{-2} peak-and-decline scenario for use in the evaluation of low radiative forcing targets during the planning stages of the RCPs (Weyant et al, 2009) and is fully documented in Calvin et al (2009). The GCAM6.0 was simulated as a stabilization following the same methods as the GCAM4.5 but resulting in lower carbon prices and a longer time to stabilization. All three of the mitigation cases with GCAM (2.6 , 4.5 and 6.0 W m^{-2}) used the same technology, population and economic assumptions described earlier in this paper and in Clarke et al. (2007). The GCAM reference case with these assumptions and no climate mitigation policy reaches around 7.0 W m^{-2} radiative forcing in 2100. Thus, to reach the RCP level of 8.5 W m^{-2} required altering some underlying assumptions. Several modifications of underlying assumptions were tested; the case selected for the GCAM8.5 follows the same population and economic drivers as the other GCAM scenarios, but assumes no technological improvement in energy technologies or agricultural productivity. In other words, the GCAM8.5 is the GCAM reference case if all technological development is frozen after 2005. This is a hypothetical experiment in exploring high levels of radiative forcing which also allows exploration of the role of technological development in scenarios.

The CO_2 emissions from the four pathways simulated with GCAM are illustrated in Fig. 9 along with the four official released RCPs. The GCAM2.6 results in even lower emissions of CO_2 than the RCP2.6. Total radiative forcing still declines to 2.6 W m^{-2} as a result of higher CH_4 and N_2O emissions in GCAM than in the IMAGE for this scenario. Conversely, CO_2 emissions for the GCAM8.5 are higher than the RCP8.5 due, but the same radiative forcing is reached due to lower CH_4 and N_2O emissions in the GCAM than in the MESSAGE model for this scenario.

Differences in emissions by gas between the official RCPs and the GCAM replication of the RCP forcing levels can be attributed to any number of forces. First, GCAM employs different population and GDP assumptions than the other three models. GCAM has the smallest population and the second highest GDP of the four models (see van Vuuren et al., this issue). Second, the four models have different assumptions about technological change and resource availability. Third, the GCAM model uses a terrestrial carbon policy that has a significant impact on land use and land-use change emissions. While each of the models consider abatement opportunities in the terrestrial system, the method of attaining these opportunities differs across the four RCP models. The differences listed here are only a subset of differences between the four models. However, they illustrate an important point; namely, there are numerous ways that a given radiative forcing goal can be achieved. The RCP4.5 is only one pathway to stabilize radiative forcing at 4.5 W/m².

4. CONCLUSIONS

The RCP4.5 scenario is intended to inform research on the atmospheric consequences of reducing greenhouse gas emissions in order to stabilize radiative forcing in 2100. It is also a mitigation scenario – the transformations in the energy system, land use, and the global economy required to achieve this target are not possible without explicit action to mitigate greenhouse gas emissions. However, there are many possible pathways in GCAM and other integrated assessment models that would also achieve a radiative forcing level of 4.5 Wm⁻². For example, simulations with GCAM can reach 4.5 Wm⁻² even if some technology options, such as CCS or nuclear power, are removed from consideration or even if not all countries enter into an emissions mitigation agreement at the same time (Clarke et al., 2009). Such alternate scenarios have different characteristics – higher emissions prices and different energy system transformations, for example – than the RCP4.5. The pathway discussed here and released as RCP4.5 is cost-minimizing, and therefore invokes all available technology options that can cost-effectively contribute to mitigation.

RCP4.5 aims to achieve stable radiative forcing in 2100; however, this does not imply that greenhouse gas emissions, greenhouse gas concentrations, or the climate system are stable. Radiative forcing is stable from 2080-2100 in the RCP4.5, but emissions and concentrations of greenhouse gases continue to vary in the underlying scenario. As a separate exercise, the greenhouse gas emissions and concentrations of RCP4.5 were extended to 2300 using MAGICC 6 (Meinhausen et al., this volume). This ECP4.5 is also defined as a stabilization path, and therefore radiative forcing is held constant at 4.5 W m^{-2} from 2100-2300. It is important to note that ECP4.5 does not imply an extension of the socioeconomic, energy or land use assumptions underlying RCP4.5 in GCAM. The application of RCP4.5 and ECP4.5 in climate models provides a platform to explore the climate system response to stabilizing the anthropogenic components of radiative forcing.

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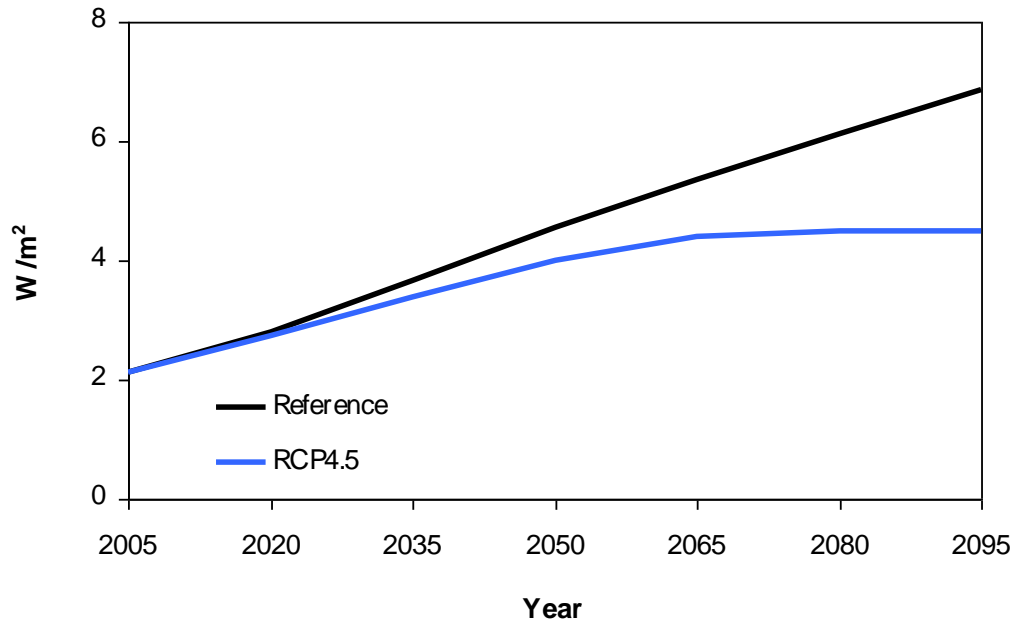


Figure 1: Radiative forcing ($W m^{-2}$) of the GCAM reference and RCP4.5 scenarios over the model simulation period

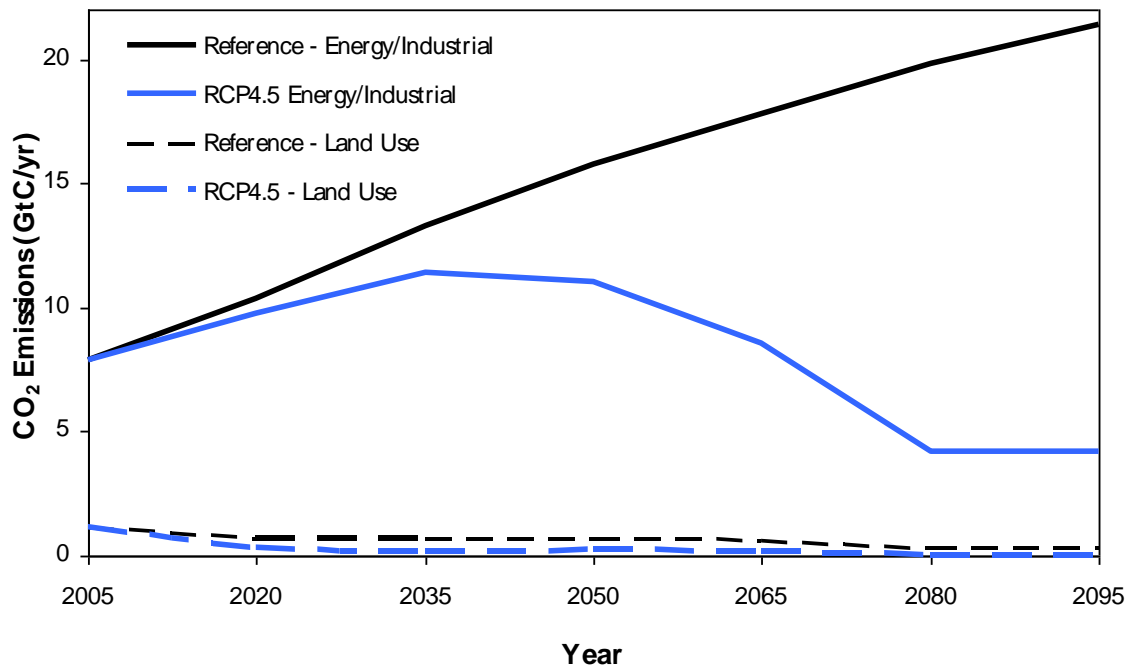


Figure 2: CO₂ emissions from energy and industrial sources and from land use/ land use change in the GCAM reference and RCP4.5 scenarios

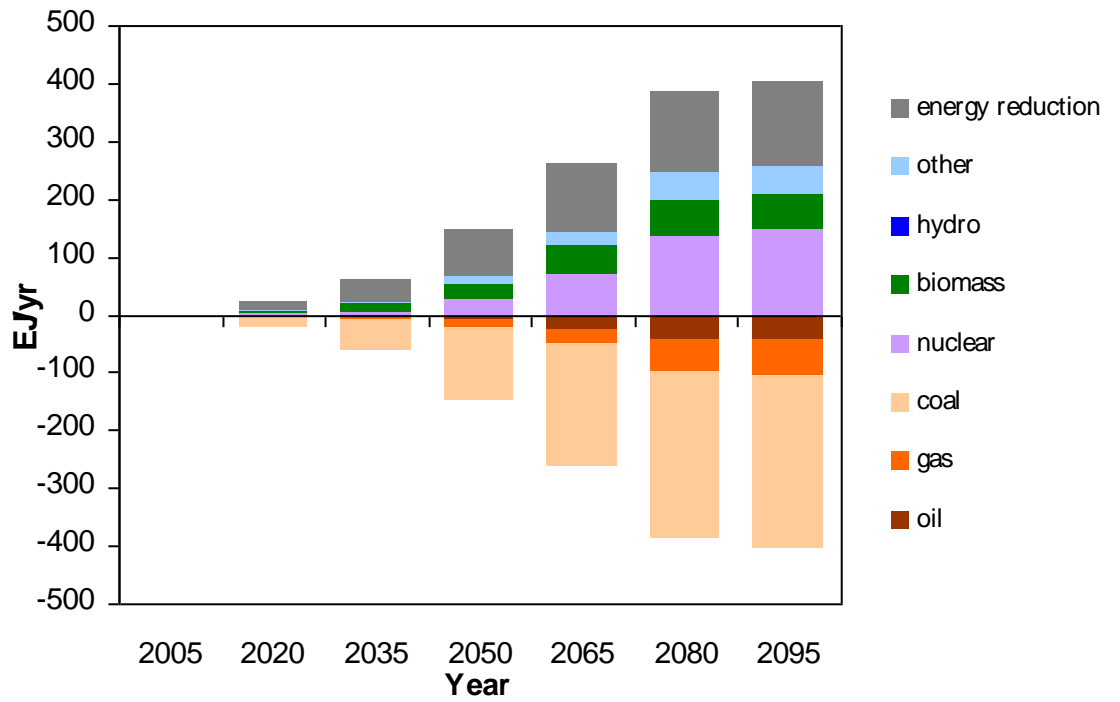


Figure 3: Primary energy sources in RCP4.5 expressed as difference from the reference case. Categories above the x-axis indicate a greater amount of primary energy from that source in the RCP4.5 whereas values below indicate less energy from that primary source in the RCP4.5.

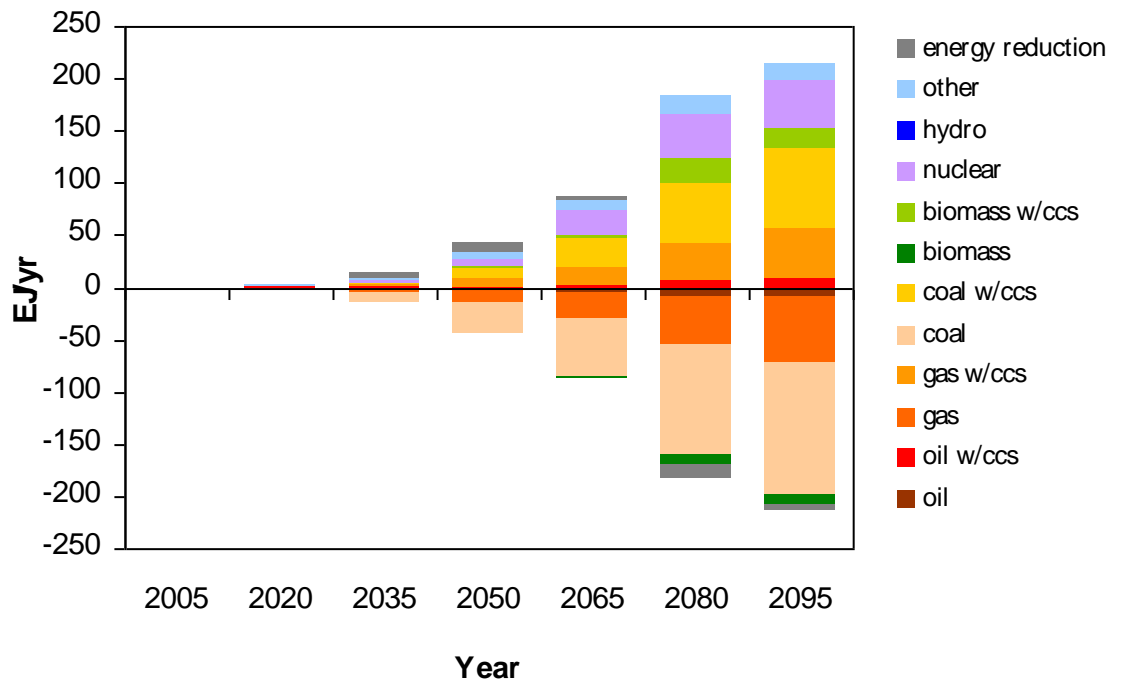


Figure 4: Electricity generation technology in RCP4.5 expressed as difference from the reference case. Categories above the x-axis indicate a greater amount of electricity generation from that technology in the RCP4.5 whereas values below indicate less electricity generation from that technology in the RCP4.5.

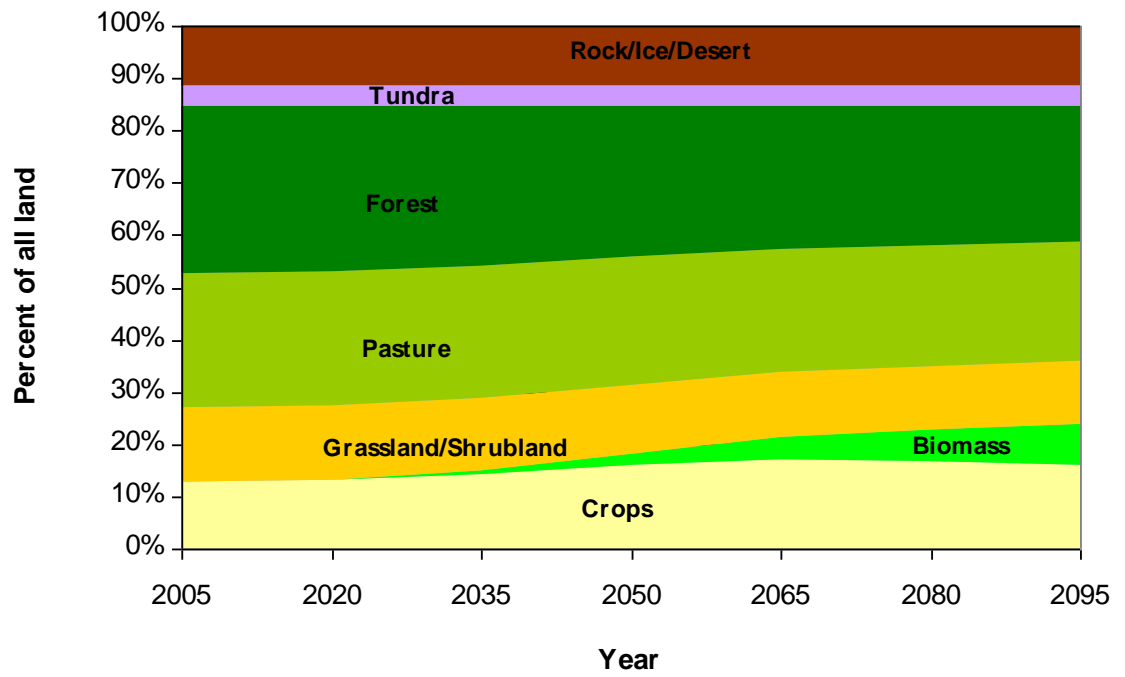


Figure 5: Global land cover over time in the RCP4.5 scenario expressed as a percentage of total global land area.

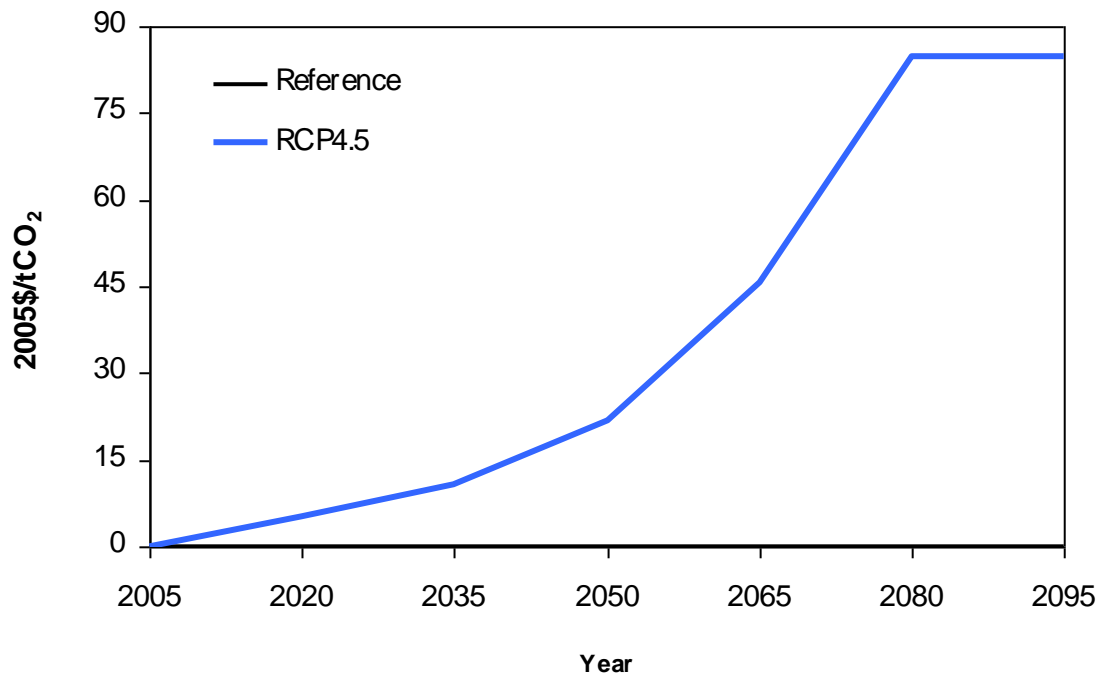


Figure 6: Price of CO₂ per ton (2005\$) in the RCP4.5 scenario over the simulation period.

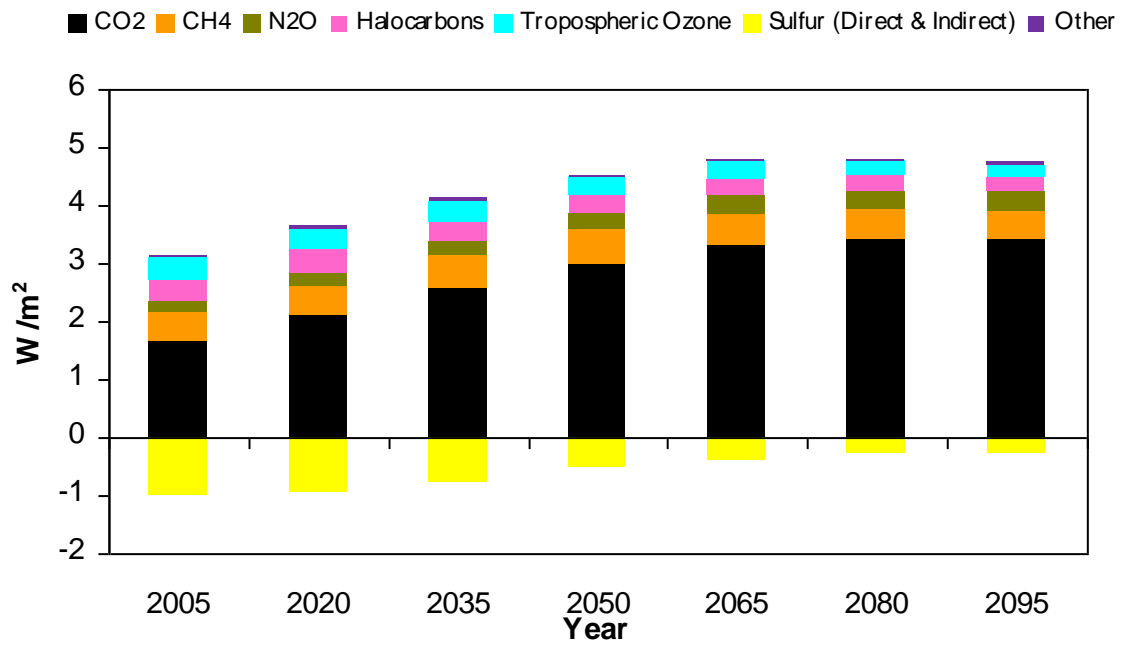
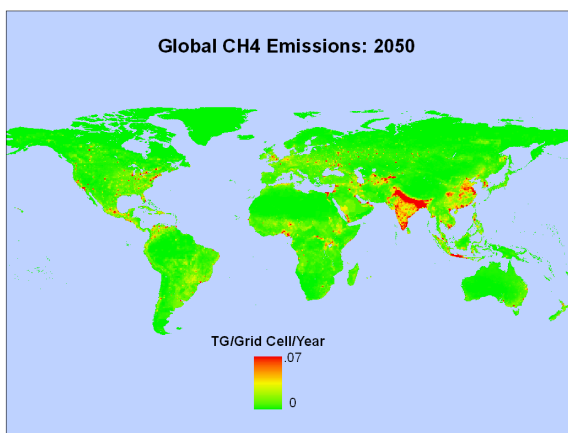
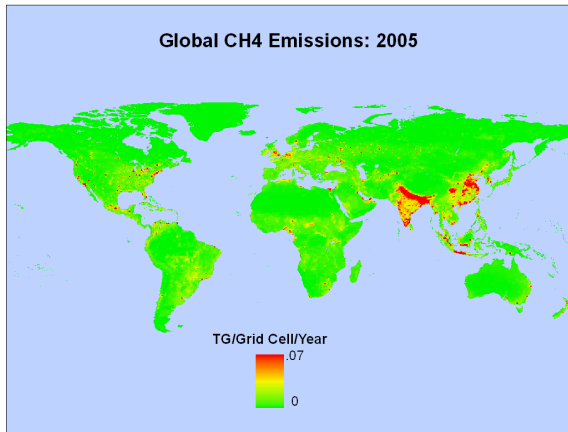


Figure 7: Contributions of the different greenhouse gases to total radiative forcing in the RCP4.5 scenario.



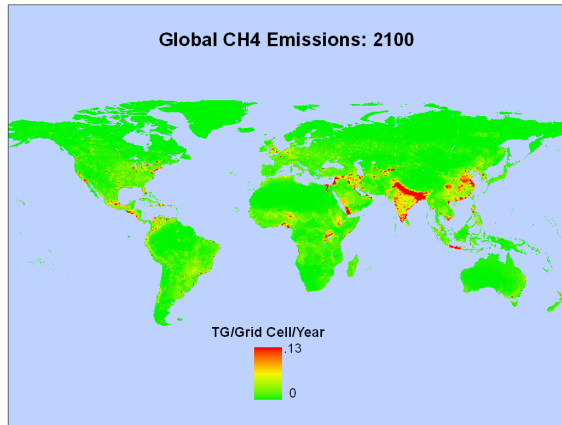


Figure 8: Global CH₄ emissions (in Tg per grid cell per year) downscaled from the GCAM RCP4.5 scenario for 2005, 2050 and 2100.

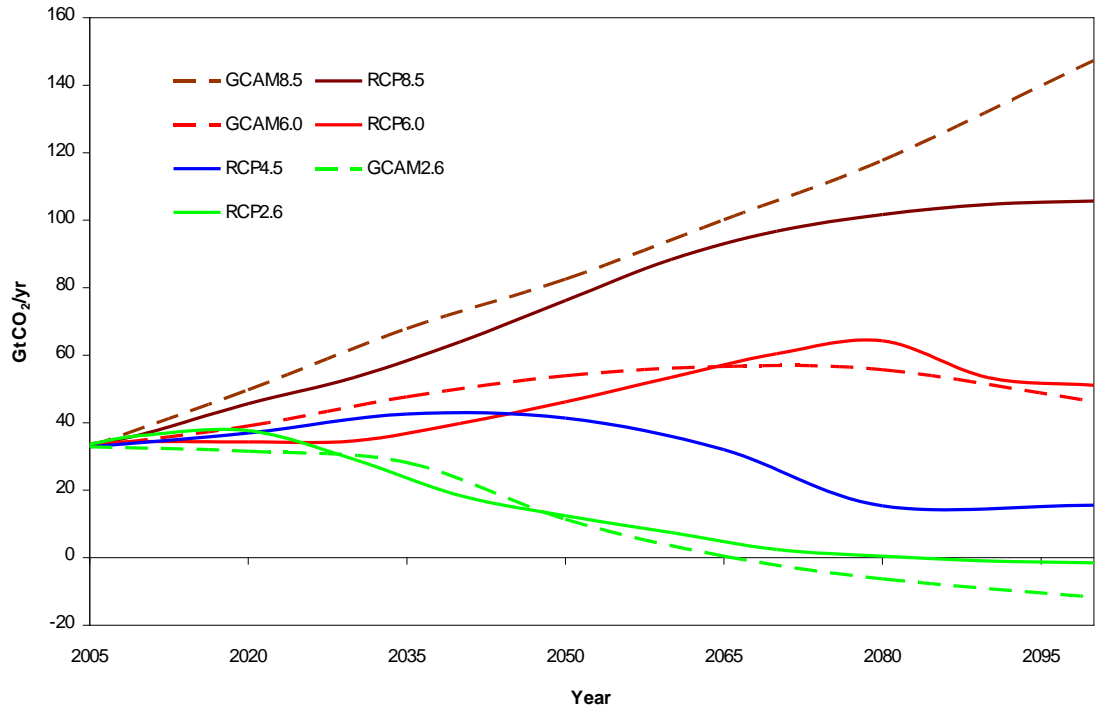


Figure 9: Annual CO₂ emissions (GtCO₂) from all anthropogenic sources for the four released RCPs (RCP2.6, RCP4.5, RCP6.0, RCP8.5) and the corresponding pathways simulated with the GCAM model (GCAM2.6, GCAM6.0, GCAM8.5).