



Good, P., Bamber, J., Halladay, K., Harper, A. B., Jackson, L. C., Kay, G., Kruijt, B., Lowe, J. A., Phillips, O. L., Ridley, J., Srokosz, M., Turley, C., & Williamson, P. (2018). Recent progress in understanding climate thresholds: Ice sheets, the Atlantic meridional overturning circulation, tropical forests and responses to ocean acidification. *Progress in Physical Geography*, 42(1), 24-60.
<https://doi.org/10.1177/0309133317751843>

Peer reviewed version

Link to published version (if available):
[10.1177/0309133317751843](https://doi.org/10.1177/0309133317751843)

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1 **Recent progress in understanding of ice sheets, the Atlantic**
2 **meridional overturning circulation, tropical forests and responses**
3 **to ocean acidification**

4

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Abstract

This article reviews recent scientific progress, relating to four major systems that could exhibit threshold behaviour: ice sheets, the atlantic meridional overturning circulation (AMOC), tropical forests and ecosystem responses to ocean acidification. The focus is on advances since the Intergovernmental Panel on Climate Change Fifth Assessment Report (IPCC AR5). The most significant developments in each component are identified by synthesizing input from multiple experts from each field. For ice sheets, key findings include: some degree of irreversible loss of part of the West Antarctic Ice Sheet (WAIS) may have already begun, but the rate and eventual magnitude of this irreversible loss is uncertain. For the early Holocene (sustained warming ~2K above pre-industrial, at the maximum warming level suggested by the Paris climate agreement), WAIS mass loss rates comparable to present-day have been inferred, but without a WAIS collapse. The observed AMOC overturning has decreased from 2004-2014, but it is unclear at this stage whether this is forced or is internal variability. New evidence from experimental and natural droughts has given greater confidence that tropical forests are adversely affected by drought. The ecological and socio-economic impacts of ocean acidification are expected to greatly increase over the range from today's annual value of around 400 up to 650 ppm CO₂ in the atmosphere, with rapid development of aragonite undersaturation at high latitudes. Tropical coral reefs are vulnerable to the interaction of ocean acidification and temperature rise, with uncertain survival at 2°C warming above pre-industrial. Across the four systems studied, however, quantitative evidence for a difference in risk between 1.5°C and 2°C warming above pre-industrial levels is limited.

49

50 1. Introduction

51

52 While some aspects of climate change can be viewed as becoming proportionately larger with
53 increasing forcings, other aspects may feature more complex, nonlinear behaviour (e.g.
54 Lenton et al. 2008). This can include abrupt and/or irreversible change, which may be
55 associated with key thresholds. Such behaviour must be considered differently in
56 assessments of the potential benefits of mitigation: it implies, for example, that certain
57 impacts could be significantly different above certain levels of anthropogenic interference.

58

59 Clear evidence of threshold behaviour in the earth system is seen in the paleoclimate record
60 (e.g. McNeall et al. 2011). For example, central Greenland temperatures inferred from ice
61 cores show relatively abrupt changes, even though the forcing over this period has evolved
62 smoothly. These changes are thought in part to be associated with changes in the ocean's
63 thermohaline circulation (Broecker 2003), although strong paleoclimate evidence for
64 threshold behaviour in major ice sheets and methane reservoirs in particular also exists
65 (McNeall et al., 2011).

66

67 This study focuses on four major systems that may feature threshold behaviour: ice sheets,
68 the Atlantic Meridional Overturning Circulation (AMOC), tropical forests, and ecosystem
69 responses to ocean acidification. The risk of significant change in these systems is not
70 necessarily linked to large-scale warming alone. Patterns of precipitation can be important
71 for the AMOC and tropical forests; tropical forests are also strongly affected by
72 anthropogenic land-use and a direct effect of carbon dioxide, while ocean acidification arises
73 directly from increased atmospheric CO₂ (although its impacts combine with those of ocean

74 warming) and West Antarctic Ice Sheet (WAIS) stability is influenced by changes in ocean
75 circulation.

76

77 Consequences of change in these systems range from amplified global warming through
78 altered climate patterns, elevated sea-level and direct loss of biodiversity and ecosystem
79 services (see individual sections below for details). These systems can in principle interact
80 with each other (Lenton et al., 2008), although this is explored in only a few studies.

81

82 Here we report primarily on new literature subsequent to that presented in the IPCC Fifth
83 Assessment Report, AR5. We also briefly consider (in the Conclusions) the difference in risk
84 between 1.5K and 2K global mean warming above pre-industrial levels. This review was
85 prepared using an iterative approach, by specialists both within and external to the Met Office
86 Hadley Centre. Initial drafts of each section were prepared by the Met Office, then sent to
87 external experts for review and editing (except that for Ocean Acidification; prepared by
88 experts in the National Oceanography Centre in Southampton, and the Plymouth Marine
89 Laboratory). The sections were revised accordingly by the Met Office, then sent to the
90 external experts for a second review.

91

92 Each system is addressed in a separate section below, each with the following subsections:
93 Introduction (the key issues for that system); Observations (relevant real-world observations);
94 Potential for significant change (literature addressing the question of how likely substantial
95 change is); Consequences (of significant change); Cautions (key scientific uncertainties); and
96 Comparison with AR5. The key conclusions are summarised in Table 1.

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100

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102 **2. Ice Sheets**

103

104 **a Introduction**

105

106 Ice-sheet mass loss from the Greenland and Antarctic ice sheets, is of concern due to its
107 impact on global sea level (Alley et al. 2005), and potential amplification of global warming
108 over long timescales as low-albedo land surface is exposed (Hansen et al. 2008). The ice
109 sheets are the largest potential source of future sea level rise on many time-scales as well as
110 the most uncertain. They contain enough ice to raise mean sea level by some 65 m. In
111 addition, rapid mass loss may have an influence on ocean circulation.

112

113 In a state of equilibrium, an ice sheet loses mass through the melting and calving of its outlet
114 glaciers at the same rate as it gains mass through the accumulation of snowfall (Alley et al,
115 2005). Increased ice sheet mass loss occurs through two main mechanisms. Increased surface
116 melt is largely driven by higher air temperatures and currently only affects the Greenland ice
117 sheet mass balance. ‘Dynamic thinning’ (i.e. losses due to increased solid ice discharge into
118 the ocean) involves glacier acceleration and consequent increases in iceberg calving for
119 marine-terminating glaciers. This may be induced by increased surface melt but also by
120 ocean-related processes such as changes in ocean heat content and/or circulation beneath
121 floating ice or at the terminus (Gille 2014).

122

123 Ice shelves, the floating portions of outlet glaciers, play a key role in modulating the mass
124 balance of the Antarctic ice sheet. They buttress the inland glaciers, helping to control the
125 rate of ice leaving the continent and entering the ocean (Dupont; Alley 2005). Ice shelves are

126 exposed to the underlying ocean and may weaken as ocean temperatures rise. If they melt
127 rapidly or break away, ice flow accelerates, causing net ice-sheet mass loss (De Angelis;
128 Skvarca 2003). Much of the West Antarctic Ice Sheet (WAIS) is grounded on bedrock below
129 sea level on retrograde slopes (deeper inland). This configuration is believed to be inherently
130 unstable and sensitive to small changes in the grounding line (where the ice begins to float;
131 Mercer 1968; Schoof 2007). This is known as the marine ice sheet instability hypothesis.

132

133 The Greenland ice sheet is a “relict” from the last Glacial that ended about 12 K years ago.
134 The altitude of the ice sheet interior maintains the persistently cold temperatures required for
135 the ice sheet to survive. There is a temperature threshold above which the Greenland ice sheet
136 is no longer viable (Gregory; Huybrechts 2006; Robinson et al. 2012). This is because, as
137 temperatures increase, so does melting, which results in a lowering in surface elevation,
138 causing further warming (atmospheric temperature decreases with altitude). This positive
139 feedback is known as the small ice cap instability (Crowley; North 1988).

140

141 Key issues addressed by recent studies include: what the observed ice-sheet loss implies for
142 the rate of future sea-level change, the potential long-term sea-level rise, and the possibility
143 of abrupt or irreversible changes.

144

145

146

147 **b Observed recent changes**

148

149 Currently, ice loss from the Amundsen Sea sector of the West Antarctic Ice Sheet (WAIS)
150 contributes 0.28 mm yr^{-1} to global sea-level rise. Pine Island glacier (Favier et al. 2014) and

151 Thwaites glacier (Joughin et al. 2014) are the principal outlets of the WAIS that have rapidly
152 thinned, retreated, and accelerated. The spatial pattern of ice shelf thinning in the Amundsen
153 Sea, suggests that the loss of grounded ice is the direct result of increased basal melting of the
154 ice shelf, as a consequence of the inflow of warm water from the southern Pacific (Ha et al.
155 2014; Jacobs et al. 2011). Multi-decadal warming at the seabed in the Bellingshausen and
156 Amundsen seas is linked to increased heat content and to a shoaling of the mid-depth
157 temperature maximum over the continental slope, allowing warmer, saltier water greater
158 access to the continental shelf in recent years (Schmidtko et al. 2014). Since about 2009, the
159 Southern Antarctic Peninsula has been contributing significantly to sea level rise at a near-
160 constant rate of 0.16 mm yr^{-1} (Wouters et al. 2015). The onset of this sudden and rapid mass
161 loss appears to have a similar origin to that seen in the Amundsen Sea sector. The bedrock
162 configuration is such that the mass loss is likely to be sustained for years to decades into the
163 future, for this sector of Antarctica.

164

165 In addition there have been synchronous advances and retreats of the tide-water glaciers of
166 the East Antarctic Ice Sheet (EAIS) (Miles et al. 2013), associated with changes in the
167 Southern Annular Mode (SAM).

168

169 The Greenland ice sheet (GrIS) is losing mass as a result of both increased runoff due to
170 surface melting and increased ice discharge from marine-terminating outlet glaciers (Rignot
171 et al. 2008; Rignot et al. 2011; Sasgen et al. 2012; van den Broeke et al. 2009). The
172 Greenland mass loss over the period 2000-2012 contributed about 0.7 mm yr^{-1} of sea level
173 rise. The rate, has however, been accelerating over this time period such that for 2009-2012,
174 it was 1.05 mm yr^{-1} (Enderlin et al. 2014). The relative contribution of ice discharge
175 (dynamic thinning) to total loss decreased from 58% before 2005 to 32% between 2009 and

176 2012. As such, 84% of the increase in mass loss after 2009 was due to increased surface
177 runoff as opposed to increased discharge (Enderlin et al, 2014). These observations support
178 recent model projections that changes in surface mass balance driven, primarily, by increases
179 in air temperature, rather than ice dynamics, will likely dominate the ice sheet's contribution
180 to 21st century sea level rise (e.g. Goelzer et al. 2013; Vizcaino et al. 2015).

181

182 The glaciers in the southeast and northwest of Greenland sped up between 2000 and 2005 and
183 have since stabilised or slowed (Enderlin et al, 2014). The slow down in the southeast has
184 been compensated for by the northeast Greenland ice stream, which extends more than 600
185 km into the interior of the ice sheet, and is now undergoing sustained dynamic thinning,
186 linked to regional warming, after more than a quarter of a century of stability (Khan et al.
187 2014). This sector of the Greenland ice sheet is of particular interest, because the drainage
188 basin area covers 16% of the ice sheet and numerical model predictions suggest no significant
189 mass loss for this sector, leading to a possible under-estimation of future global sea-level rise
190 (Khan et al, 2014). As for the Southern Antarctic Peninsula, the geometry of the bedrock and
191 monotonic trend in glacier speed-up and mass loss suggests that dynamic loss of grounded ice
192 in this region will continue in the near future (Khan et al., 2014).

193

194 **c Potential for significant change**

195

196 The ice sheets can lead to dangerous climate change through accelerated discharge of
197 freshwater to the ocean, and their potential irreversibility. Accelerated discharge, particularly
198 from a possible marine ice sheet instability, has implications for the predictability of future
199 sea level rise. New studies here have focussed on the WAIS.

200

201 An ice flow mode I (Favier et al. 2012) reveals that Pine Island Glacier's grounding line is
202 probably engaged in an unstable 40 km retreat (Figure 1; Favier et al., 2014). The associated
203 mass loss increases substantially over the course of the simulations from an average value of
204 0.05 mm yr^{-1} observed for the 1992-2011 period, up to and above 0.28 mm yr^{-1} , equivalent to
205 3.5-10 mm mean sea-level rise over the next 20 years (Favier et al., 2014). They find that
206 mass loss remains elevated from then on, ranging from 0.16 to 0.33 mm yr^{-1} . New
207 paleoclimate evidence (Johnson et al. 2014) for the early Holocene (a period of sustained
208 warming about 2K above pre-industrial) has revealed mass loss from the Pine Island Glacier
209 at a rate comparable to present-day loss, but no collapse. Simulations for the adjacent
210 Thwaites glacier, also in the Amundsen Sea embayment, indicate future mass losses are
211 moderate $<0.25 \text{ mm yr}^{-1}$ over the 21st century but generally increase thereafter (Joughin et al.
212 2014). Paleoclimate evidence has been used to provide an empirical assessment timescale of
213 collapse of the Pine Island and Thwaites Glacier catchments in West Antarctica (Kleman;
214 Applegate 2014). The study suggests that the Pine Island Glacier may experience a minor
215 collapse over its main trunk, but the bed topography favours a less dramatic retreat thereafter.
216 On the other hand the Thwaites Glacier is probably not as close to a threshold as Pine Island
217 Glacier, but once efficient drainage has progressed inwards a full collapse of the area may
218 occur. The likely time scale for collapse is the time required for 100-200 km of grounding
219 line retreat in the Thwaites Glacier system plus 100-300 years for an actual collapse event
220 (Joughin et al 2014). Except possibly for the lowest-melt scenario used in the simulations, the
221 results indicate that early-stage irreversible collapse has already begun (Joughin et al 2014)..
222 Less certain is the time scale, with the onset of rapid ($>1 \text{ mm yr}^{-1}$ of sea-level rise) collapse in
223 the different simulations within the range of 200 to 900 years.

224

225 For Antarctica as a whole, there is new evidence (Weber et al. 2014) for periods of relatively

226 abrupt Antarctic mass loss following the Last Glacial Maximum, possibly associated with a
227 positive feedback involving ocean heat transport. Further back in time, during the Miocene,
228 when CO₂ levels fluctuated between 280 and 500 ppm (equivalent to pre-industrial and a
229 value that will be reached in the next few decades) there is evidence that the Antarctic ice
230 sheet, including East Antarctica, experienced a volume loss on the order of 20 m of sea level
231 equivalent compared to present-day (Levy et al. 2016).

232

233

234 **d Potential consequences**

235

236 The eventual (partial) collapse of the WAIS seems likely, leading to a global sea level rise of
237 up to 3.3 m (Bamber et al. 2009) with an additional contribution from parts of East Antarctica
238 that will be affected by WAIS drawdown. This inference is supported by several lines of
239 recent observational evidence. It has been suggested that a critical threshold for grounding
240 line retreat has already been passed for glaciers in the Amundsen Sea sector (Rignot et al.
241 2014). High ice shelf thinning rates for this and the Bellinghousen Sea sector of West
242 Antarctica over the last two decades (Paolo et al. 2015) combined with the dramatic shift in
243 mass imbalance of the Southern Antarctic Peninsula (Wouters et al, 2015) also point to a
244 widespread shift in behaviour for this region.

245

246

247 Surface melt from the Greenland Ice Sheet may influence local ocean circulation and
248 consequently local sea level change, perhaps by 5 cm, in the North-West Atlantic (Howard et
249 al. 2014; Swingedouw et al. 2013).

250

251 Substantial topographic change associated with melt of the Greenland ice sheet can be
252 expected on the 200-500 years timescale (Ridley et al. 2005; Vizcaino et al. 2008). The
253 reduction in the topographic barrier to westerly flow will lead to a wider impact on the
254 Northern Hemisphere climate. In addition the local albedo change will cause vegetation
255 changes which will have a feedback on the rate of deglaciation (Stone; Lunt 2013).

256

257 On centennial to millennial time scales Antarctic Ice Sheet melt can moderate warming in the
258 Southern Hemisphere, by up to 10°C regionally, in a 4 x CO₂ scenario (Swingedouw et al.
259 2008). This behaviour stems from the formation of a cold halocline in the Southern Ocean,
260 which limits sea-ice cover retreat under global warming and increases surface albedo,
261 reducing local surface warming. In addition, Antarctic ice sheet melt, by decreasing Antarctic
262 Bottom Water formation, restrains the weakening of the Atlantic meridional overturning
263 circulation, which is an effect of the bi-polar oceanic seesaw (Pedro et al. 2011).
264 Consequently, it appears that Antarctic ice sheet melting strongly interacts with climate and
265 ocean circulation globally. It is therefore necessary to account for this coupling in future
266 climate and sea-level rise scenarios.

267

268 **e Cautions (uncertainties).**

269

270 While substantial progress in understanding has been made, it is still unclear what the recent
271 observed changes imply for long-term future ice-sheet loss (Nick et al. 2009). New
272 observations suggest that there may be a natural cycle of increase and decrease in the rates of
273 mass loss from coastal glaciers (Murray et al. 2010), so short-term trends should not
274 necessarily be extrapolated into the future (Wouters et al. 2013). Indeed many Greenland
275 glaciers, which accelerated in the early 2000s have since slowed (Enderlin et al. 2014; Moon

276 et al. 2012). There is a potential that solid earth movement, in response to ice loss, may
277 influence the bedrock slopes and reduce further ice loss from the West Antarctic Ice Sheet
278 (Konrad et al. 2015).

279

280 **f Comparison with AR5 (90)**

281

282 Of the key findings summarised in Table 1, the main new points since AR5 are: observational
283 evidence (Enderlin et al., 2014) that, from Greenland, the proportion of loss from surface
284 melt has increased, becoming more consistent with long term model projections; evidence
285 that some degree of irreversible loss from the WAIS may have begun (Favier et al., 2014,
286 Joughin et al, 2014, Rignot et al, 2014, Wouters et al 2015); and indications that the East
287 Antarctic Ice Sheet) (Miles et al., 2013) and that northeast Greenland (Khan et al., 2014) may
288 be more sensitive to climate change than previously expected.

289

290

291

292

293 **2. AMOC**

294

295 **a Introduction**

296

297 The Atlantic Meridional Overturning Circulation (AMOC) transports large amounts of heat
298 northwards in the Atlantic Ocean, resulting in a milder climate in northwest Europe and the
299 North Atlantic than would otherwise be experienced (for recent reviews of AMOC behaviour
300 and observations see Srokosz et al. 2012; Srokosz; Bryden 2015). The IPCC AR5 report
301 concludes that it is very likely that the AMOC will weaken over the 21st century, although
302 there is a large spread among climate models in the predicted weakening. A large or rapid
303 reduction in the AMOC would likely have substantial impacts on global climate, although a
304 collapse of the AMOC by 2100, however, was judged as very unlikely (Collins et al. 2013).
305 These top-level assessments have not changed since the previous IPCC assessment.

306

307 **b Observed recent changes**

308

309 The RAPID-MOCHA array has been observing the AMOC at 26°N since 2004 and now has
310 acquired a decade of data (McCarthy et al. 2015b; Rayner et al. 2011). This dataset has
311 revealed large variability on timescales from daily to interannual (see Figure 2). This
312 included a large (30%), temporary decrease in AMOC strength over 2009-2010 (Bryden et al.
313 2014; McCarthy et al. 2012), which resulted in cooling in the upper North Atlantic Ocean in
314 2010 north of the latitude of the RAPID array and warming to the south (Bryden et al. 2014;
315 Cunningham et al. 2013). This decrease began with a strengthening of the upper mid-ocean

316 recirculation in early 2009 and was compounded by a slowdown in the northward Ekman
317 transport and Gulf Stream flow in late 2009 and early 2010 (accounting for 61%, 27% and
318 12% of the slowdown, respectively; Bryden et al. 2014). This decrease was well outside the
319 range predicted for interannual AMOC variability in coupled ocean-atmosphere models
320 (McCarthy et al. 2012; Roberts et al. 2014). Roberts et al. (2013) reproduced this AMOC
321 decrease using an initial condition ensemble of ocean simulations driven by observed surface
322 forcing (albeit with too weak an AMOC), suggesting that the atmosphere may have had a
323 dominant role in the temporary AMOC decrease. However the origin of, and complete
324 explanation for, the 2009-10 event remain uncertain. To-date no explanations have fully
325 accounted for the changes in Lower North Atlantic Deep Water (LNADW at 3000 to 5000m
326 depth) and the lack of change in the Upper North Atlantic Deep Water (UNADW between
327 1000 and 3000m depth) described by McCarthy et al. (2012).

328

329 The links between changes in the AMOC, upper ocean heat content and atmospheric response
330 represent an active area of research. For example, the ocean has been implicated in the re-
331 emergence of sea surface temperature anomalies from the winter of 2009-10 during the
332 following early winter season of 2010-11, which contributed to the persistence of the
333 negative winter North Atlantic Oscillation (NAO) and wintry conditions in northern Europe
334 (Taws et al. 2011). Such behaviour may lead to improved predictions of the NAO and winter
335 conditions (Maidens et al. 2013; Scaife et al. 2014).

336

337 The AMOC overturning has also decreased from 2004-2014 (Figure 2; Srokosz; Bryden
338 2015); the majority of this was due to a weakening of the geostrophic flow (Smeed et al.
339 2014; who analysed the first eight and a half years of data). This trend has been associated
340 with decreases in subsurface density in the subpolar gyre, similar to those seen in climate

341 models when there is a reduction in the AMOC (Robson et al. 2014). It is unclear at this
342 stage whether the decrease is forced. Statistical tests on the observations (Smeed et al., 2014)
343 suggested that the AMOC decrease is significant, even if the low AMOC event of 2009-10 is
344 excluded. Roberts et al (2014) found similar trends as part of natural variability in 2 out of 14
345 global climate models, and in all models considered when corrections are made to include
346 more realistic high frequency variability. They concluded that more than a decade of
347 observations are required to detect and attribute an anthropogenic weakening of the same
348 trend as observed. Send et al. (2011) observed a decreasing trend in the transport of the deep
349 western boundary current at 16°N (one component of the AMOC) over a similar period.

350

351 A recent paper (Rahmstorf et al. 2015) suggested that this trend is part of an ‘exceptional
352 slowdown’ of the AMOC. They find a relationship between sea surface temperatures and the
353 AMOC in a climate model and then use reconstructions of surface temperatures from
354 paleoclimate records to suggest that there has been a weakening that is unprecedented over
355 the last 1000 years. There are, however, inherent uncertainties around both the relationship
356 used and the temperature reconstructions. Ultimately, all proxies for the AMOC, such as
357 temperature or coastal sea level (Ezer 2015; Frajka-Williams 2015; McCarthy et al. 2015b)
358 need to be tested and verified against direct observations, over the time scales of interest, if
359 they are to be used to infer its behaviour over longer periods.

360

361 Future observations and research will improve our assessments of past and on-going AMOC
362 changes. In this context note that the Overturning in the Subpolar North Atlantic Program
363 (OSNAP; see <http://www.o-snap.org/> and <http://www.ukosnap.org/>) deployed instruments in
364 2014 along a line from Canada to Greenland to Scotland, to observe the AMOC in the
365 subpolar gyre, complementing the 26.5°N observations in the subtropical gyre. Meanwhile in

366 the South Atlantic there are trans-basin observations of the AMOC beginning to be made at
367 34.5°S (SAMBA – South Atlantic MOC Basin-wide Array; Ansorge et al. 2014; Meinen et
368 al. 2013). Recently, a new component of the AMOC, the so-called East Greenland spill jet,
369 has been identified from a year of mooring observations (von Appen et al. 2014), but its
370 importance in the long-term for the overall AMOC remains to be confirmed.

371

372 **c Potential for significant change**

373

374 Paleoclimate studies have suggested that abrupt changes to climate may have been caused by
375 the AMOC switching from an “on” state, where it transports heat northwards in the Atlantic,
376 to an “off” state (Rahmstorf 2002).. It is thought that these abrupt changes may be related to
377 the existence of bistability (where both “on” and “off” states of the AMOC can exist for a
378 given forcing) as predicted by theoretical models of the Atlantic (e.g. Stommel 1961), Earth
379 system models of intermediate complexity (Rahmstorf et al. 2005) and one study with a
380 coarse resolution global circulation model (Hawkins et al. 2011).

381

382 There have been many studies suggesting that the stability of the AMOC might be affected,
383 or even controlled, by whether the AMOC imports or exports fresh water from the Atlantic,
384 since this can indicate the presence of a positive or negative advective feedback. De Vries
385 and Weber (2005) found that the fresh water transport by the AMOC into the Atlantic (Fov)
386 was an important indicator of stability in their experiments, however the precise role it plays
387 is still unclear Other factors have subsequently been found to be important in determining
388 AMOC stability. For example, Jackson (2013) found that, while Fov does partially indicate
389 the sign of the advective feedback in a GCM, the transport of fresh water by the gyres can
390 also play a crucial role. Swingedouw et al. (2013) also found that gyre transports can affect

391 the magnitude of AMOC reduction. The presence of eddies in an eddy resolving model can
392 also affect the response of the fresh water transport (den Toom et al. 2014).

393

394

395 **d Potential consequences**

396

397 A collapse in the AMOC would cause a large relative cooling over the North Atlantic, which
398 would have wide-ranging impacts. As well as previously documented impacts on the physical
399 system, such as cooling in the northern hemisphere and a shift in the Inter tropical
400 Convergence Zone which cause substantial changes in tropical precipitation, (Jackson et al.
401 2015; Vellinga; Wood 2008), there have been a couple of recent studies detailing the impacts
402 on the carbon cycle and vegetation. Bozbiyik et al (2011) showed that a reduction in the
403 AMOC has a large impact on the Amazon with reduced precipitation causing large reductions
404 in vegetation. Parsons et al. (2014), on the other hand, found that a reduction in the AMOC
405 caused an increase in vegetation over the Amazon, due to a change in precipitation
406 seasonality (despite a reduction in annual mean precipitation).

407

408 Other studies have concentrated on impacts over Europe. Woollings et al. (2012) showed that
409 models with a greater reduction in AMOC strength due to increased greenhouse gases have
410 greater increases in strength of the North Atlantic storm track, implying an increase in the
411 number of winter storms across Europe. This was also found in the study by Jackson et al
412 (2015), who also showed that the increase in winter storms resulted in greater precipitation
413 over western coasts in Northern Europe, despite a general reduction of precipitation over the
414 northern hemisphere from a cooling-induced reduction in evaporation. They also found
415 regional changes in summer precipitation across Europe, similar to those associated with

416 Atlantic sea temperature found by Sutton and Dong (2012). Haarsma et al. (2015) examined
417 the relationship between European atmospheric circulation and the AMOC across the CMIP5
418 ensemble. They also found an influence of AMOC strength on European summer
419 precipitation and cloud cover.

420

421 One impact of the AMOC suggested recently is its role in the so-called global warming
422 “hiatus” (Chen; Tung 2014), though various other oceanographic explanations for the hiatus
423 have been proposed. A recently observed impact is the reduction in uptake of CO₂ by the
424 Atlantic Ocean due to the weakening of the AMOC over the period 1990 to 2006 (Perez et al.
425 2013), which has potential consequences for the rate of global warming.

426

427 Another recent focus of attention has been the role of the AMOC in sea level rise (SLR) on
428 the eastern seaboard of the USA (Ezer 2015; Goddard et al. 2015; McCarthy et al. 2015a). In
429 particular, Goddard et al. (2015) demonstrate that the 2009-10 downturn in the AMOC led to
430 an unprecedented 12.8 cm sea level rise along the coast north of New York over the same
431 period. They show that this rise was a 1-in-850 year event. Furthermore, they note that,
432 “Unlike storm surge, this event caused persistent and widespread coastal flooding even
433 without apparent weather processes. In terms of beach erosion, the impact of the 2009–2010
434 SLR event is almost as significant as some hurricane events.”

435

436 **e Cautions**

437

438 Several studies have shown that many GCMs have biases in the fresh water transport of the
439 AMOC (importing instead of exporting fresh water), and that this might affect the simulated
440 stability of the AMOC. The source of this bias is unclear. Jackson (2013) attributed the bias

441 to an over-evaporative Atlantic in the model and notes the difference from observations in
442 salinity profiles in the South Atlantic. Liu et al. (2014) suggested that the presence of a
443 double Atlantic ITCZ (a common GCM bias) results in a tropical salinity bias that stabilises
444 the AMOC. Another source of uncertainty is the transport of saline water from the Indian
445 Ocean to the Atlantic by eddies that are shed from the Agulhas current. Current GCMs do not
446 resolve the scales required to correctly represent these eddies, but a recent study by Biastoch
447 and Böning (2013) used a high resolution nested model to resolve this region. They found
448 that a southwards shift of the southern hemisphere westerlies (as is expected to occur under
449 anthropogenic climate change) results in a decrease in salinity transport into the Atlantic,
450 however this change in salinity is small and has little impact on the AMOC. The lack of
451 eddy-resolving resolutions in current GCMs might also have an impact on the transient
452 response of the AMOC to increased freshwater input (Weijer et al. 2012).

453

454 There is also substantial uncertainty about the future inputs of freshwater into the Atlantic,
455 particularly since the climate models lack dynamic ice sheet models which could
456 substantially speed up the input of freshwater from the Greenland ice sheet. Separate studies
457 including additional freshwater inputs from the Greenland ice sheet find that projected
458 changes do not have major impacts on the AMOC, although there is uncertainty about future
459 changes in freshwater fluxes from Greenland (Bamber et al. 2012). A study as part of the
460 European project FP7 THOR found that the MOC became less sensitive to fresh water inputs
461 when CO₂ levels were high, because of increases in stratification caused by warming and
462 changes in the wind-driven circulation (Swingedouw et al. 2015). Another recent study
463 suggests that increased precipitation over the Arctic, leading to increased freshwater flux into
464 the North Atlantic could also affect the AMOC (Bintanja; Selten 2014; see Methods).

465

466 **f Comparison with AR5**

467 The main development since the publication of AR5 has been the updated observations of
468 overturning from the RAPID-MOCHA array (Smeed et al. 2014; Srokosz; Bryden 2015),
469 which shows a decline over the period 2004-2014 (although it is unclear at this stage whether
470 or not this is forced). The other key finding is the unprecedented rise in US east coast sea
471 level associated with the 2009-10 downturn in the AMOC.

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477 **3. Tropical forests**

478

479

480 **a. Introduction**

481

482

483 Tropical forests regulate and supply to society a range of services, which bring benefits at
484 global to local scales. As well as sustaining high biodiversity they influence climate through
485 biogeochemical (carbon cycle) and biophysical (water and energy) mechanisms. Over the
486 period 1990-2007, tropical intact forests took up carbon at the rate of $1.2 \pm 0.4 \text{ Pg C year}^{-1}$,
487 (corresponding to about half the global carbon sink), compared with $0.50 \pm 0.08 \text{ Pg C year}^{-1}$
488 by the boreal forests (Pan et al. 2011), and around $1.1 \pm 0.8 \text{ Pg C year}^{-1}$ losses of
489 forest carbon stocks to the atmosphere through land use change over 2000-2009 (Settele et al.
490 2014). However, large droughts can cause elevated mortality rates, especially for larger trees
491 (da Costa et al. 2010; McDowell; Allen 2015; Nepstad et al. 2007; Phillips et al. 2010) and
492 temporary shifts from ecosystem carbon sink to carbon source (Gatti et al. 2014; Lewis et al.
493 2011; Phillips et al. 2010). Estimates of the impact of the 2005 and 2010 droughts (mostly
494 through increases in tree mortality during and lagging the droughts) stand at 1.6 and 2.2 Pg C,
495 respectively (Lewis et al. 2011; Phillips et al. 2009).

496

497 Tropical forests are subject to interacting effects from atmospheric CO₂, climate and land-use
498 change (e.g. Coe et al. 2013). Land-use change effects include direct deforestation, and
499 accidental 'leakage' fires. Forest fragmentation (an important by-product of deforestation)
500 lengthens the forest edge, accelerating the rate of forest erosion by fire. Deforestation

501 increases albedo and reduces evapotranspiration, altering climate both locally and downwind;
502 aerosols from deforestation fires may also reduce rainfall (Marengo et al. 2011). Climate
503 change could alter vegetation productivity and mortality, both directly, and indirectly by
504 modifying fire behaviour. Increased atmospheric CO₂ may increase tree growth (where
505 nutrients are not limiting), but also increase tree mortality from lianas (vines). The full
506 vegetation response to CO₂ and climate changes may take decades to be completely realised
507 (Jones et al. 2009).

508

509 The AR5 finds that large-scale dieback due to climate change alone is unlikely by the end of
510 this century (*medium confidence*). However, it states with *medium confidence* that “severe
511 drought episodes, land use, and fire interact synergistically to drive the transition of mature
512 Amazon forests to low-biomass, low-statured fire-adapted woody vegetation” (Settele et al.
513 2014). New research has largely, but not exclusively, focused on the Amazon: due in part to
514 early climate model projections of climate-driven Amazon dieback (Cox et al. 2000). Severe
515 Amazonian droughts in the last decade have provided insights on forest responses to extreme
516 dry conditions. In addition to forest and climate monitoring, throughfall exclusion and
517 prescribed-burn experiments have allowed in-situ study of the effects of longer-term drought
518 and fire. Numerical studies have also increased in number and progress has been made in
519 putting the early results into context.

520

521 **b. Observations**

522

523 New studies have given greater confidence that the Amazon represents a long-term net
524 carbon sink (Brienen et al. 2015; Espirito-Santo et al. 2015; Gatti et al. 2014), but also
525 suggest that its strength has weakened progressively as tree mortality rates increase (Figure

526 3).

527

528 The response of trees to elevated CO₂ remains uncertain. Some recent longer-term studies of
529 tropical tree rings (Battipaglia et al. 2015; Groenendijk et al. 2015; van der Sleen et al. 2015)
530 have found no evidence for sustained increases in tree growth or carbon uptake, but as
531 Brienen et al. (2012) point out, tree-ring studies are subject to biases which preclude robust
532 statements about ecosystem-level changes. So far, multi-decadal plot data been used
533 systematically to probe recent growth trends at continental scale only in Amazonia (Brienen
534 et al. 2015). Here they indicate a long-term increase in growth rates since the 1980's, as well
535 as a lagging increase in mortality rates, consistent with a long-term growth stimulation, such
536 as by CO₂.

537

538 There is greater confidence that Amazon forests are adversely affected by drought. There has
539 been new work on the response to the 2010 drought, and also the 1997 and 2005 events
540 (Tomasella et al. 2013). A new attribution study (Shiogama et al. 2013) of the 2010 drought
541 showed that, while sea surface temperature anomalies in the tropical Pacific and Atlantic
542 likely increased the probability of drought (in addition to biomass burning; Marengo et al.
543 2011), unforced atmospheric variability probably also played a large role. Atmospheric
544 measurements (Gatti et al. 2014) confirmed earlier plot-based findings (Lewis et al. 2011;
545 Phillips et al. 2010) that the Amazon switched from a temporarily from a net carbon sink to a
546 source during the 2010 drought. Compared to these short-term natural droughts, the impact
547 was seen to be much stronger in the long-term persistent experimental droughts induced by a
548 forest throughfall exclusion experiment in eastern Amazonia (da Costa et al. 2014), and by
549 2014, 13 years of 50% throughfall exclusion at Caxiuana had caused a cumulative biomass
550 loss of $45.0 \pm 2.7\%$ (Rowland et al. 2015). Consistent with previous suggestions that effects

551 of a single drought persist for several years (Phillips et al. 2010; Saatchi et al. 2013), even
552 during the anomalously wet year of 2011, the Amazon was still estimated to be carbon
553 neutral overall (Gatti et al. 2014; possibly due to lagged effects of the 2010 drought).

554

555 Drought mortality, especially in larger trees, is a major pathway for carbon release (da Costa
556 et al. 2010; Nepstad et al. 2007; Phillips et al. 2010), but underlying mechanisms are not well
557 understood (Meir et al. 2015), and poorly represented in current vegetation models (Powell et
558 al. 2013). However, hydraulic failure is suggested as the primary cause from the Caxiuana
559 drought experiment (Rowland et al. 2015). A study of detailed plot-level responses to the
560 2010 drought in several sites, compared to other years (Doughty et al. 2015) suggested that
561 trees may prioritise growth in response to reduced photosynthesis from short-term drought,
562 leaving some trees more vulnerable to mortality. In contrast, the long-term (> 12 years)
563 response to persistent experimental rainfall exclusion (Rowland et al. 2015), shows no
564 decline in photosynthetic capacity (although photosynthesis may have declined if mean
565 stomatal conductance declined), but an increase in leaf dark respiration in tree taxa vulnerable
566 to drought mortality (possibly a sign of drought stress). It has been suggested that early
567 warning of drought mortality events may be plausible based on observations of tree
568 properties (Camarero et al. 2015). Overall, the AR5 viewpoint of persistent drought causing
569 a shift towards lower statured, low-biomass forest is retained (Rowland et al. 2015).

570

571 Drought can also cause abrupt increases in fire-induced tree mortality (Brando et al. 2014).
572 More than 85,500 km² of the southern Amazon was burnt by understorey fires during 1999-
573 2010, with evidence for a strong climate control on fire (Morton et al. 2013).

574

575 Forest responses to warming remain uncertain, and more forest warming field experiments

576 are needed (Cavaleri et al. 2015). In one such experiment (Slot et al. 2014), although
577 respiration increased with warming, thermal acclimation did occur. A new meta study
578 integrating experimental and observational results (Vanderwel et al. 2015) suggests that
579 acclimation could potentially half increases in leaf dark respiration over the century,
580 compared with null model expectations that ignore acclimation. On the other hand, a global-
581 scale analysis of interannual variability has suggested (Anderegg et al. 2015) that nighttime
582 respiration in tropical forests may be highly sensitive to warming.

583

584 Some new observational studies have found substantial reductions in evapotranspiration in
585 some (da Silva et al. 2015; Oliveira et al. 2014; Panday et al. 2015), but not all (Rodriguez et
586 al. 2010) deforested regions. The full effects of deforestation over the Xingu river basin may
587 have been masked by climate variability (Panday et al. 2015).

588

589 **c. Potential for significant change**

590

591 A recent review of wider sources of evidence (Coe et al. 2013) identified South/South-East
592 Amazonia as particularly vulnerable: due to high deforestation rates locally and in the upwind
593 savanna region; its susceptibility to small climate shifts (being in a transitional climate zone
594 between forest and savanna); and greater climate model agreement on future drying in this
595 region (compared to the West Amazon). A review for African rainforests (Malhi et al. 2013)
596 highlighted similar points: while deforestation rates have historically been relatively low over
597 Africa, there is potential for significant future increases; and African forests have climate
598 close to the limit of rainforest sustainability. Models tend to predict drying(wetting) over
599 western(central) equatorial Africa (James et al. 2014). Drying over west equatorial Africa
600 can be large in some models (James et al. 2014), although the forest response is hard to

601 predict.

602

603 The observations and field experiments summarised above have given greater confidence that
604 forests are significantly affected by drought, emphasising the importance of extreme climate
605 events in causing extensive tree losses (through drought and heat mortality and increased
606 fire). On the other hand, some acclimation of trees to warming has been demonstrated.
607 These vegetation responses are not well understood or represented in current dynamic
608 vegetation models (e.g. Powell et al. 2013).

609

610 A recent study using three terrestrial biosphere models (Zhang et al. 2015) found the
611 direction and severity of precipitation change to be critical. A greater model consensus for a
612 projected lengthening and deepening of the dry season in Amazonia was found in CMIP5
613 compared with CMIP3 (Joetzjer et al. 2013), although it is unclear whether this represents a
614 statistically significant improvement in model performance. A new observationally
615 constrained model study (Boisier et al. 2015) found a greater lengthening of dry seasons over
616 the Amazon than projected by unconstrained models (as found, with a different method, by
617 Shiogama et al. 2011). A key uncertainty in terms of impacts is the extent to which forest
618 whole-ecosystem responses to climate change might be protected by the wide functional
619 diversity in many tropical forests. The work of Fauset et al. (2012) from Ghana suggests that
620 by not accounting for biological diversity, most vegetation models may underestimate forest
621 resilience.

622

623 In terms of land-use, it has become clearer that as well as direct deforestation, the indirect
624 effects of deforestation on forest fragmentation, and on climate locally and downwind, must
625 be considered in regulatory policies (e.g. Harper et al. 2014; Lawrence; Vandecar

626 2015). While a 70% decline has been reported in deforestation in the Brazilian Amazon
627 between 2005 and 2013 (Nepstad et al. 2014), maintaining low levels of deforestation in a
628 sustainable manner remains a challenge (Nepstad et al. 2014). Despite the reduction in
629 deforestation since 2004, around half of the area burnt during 1999-2010 over the southern
630 Amazon occurred during 2007 and 2010, when deforestation activity was relatively low,
631 suggesting that fire-free land use needs to be encouraged as well as reducing direct
632 deforestation (Morton et al. 2013). Achieving similar reductions in deforestation in other
633 countries may be challenging due to issues with governance and monitoring capability
634 (DeFries et al. 2013)

635

636 Various positive feedbacks (fire-vegetation and climate-vegetation; eg. Hirota et al. 2011;
637 Hoffmann et al. 2012; Staver et al. 2011) exist that could lead to abrupt reductions in forest
638 cover, for relatively small change in external forcings, and inhibit reversibility, but the
639 processes are poorly characterised. The spatial scale over which abrupt or irreversible change
640 might extend depends on the strength of these positive feedbacks, and demonstrating whether
641 alternative stable states exist over large scales is challenging (Good et al. 2016). Indeed,
642 recent observational work has challenged the notion that savanna and forest represent
643 ‘alternative stable states’, since across the tropics multiple local gradations are almost always
644 found (Veenendaal et al. 2015). Local fire-vegetation feedbacks are seen in prescribed
645 burning experiments (Silverio et al. 2013), but over large scales, only 10% of the locations
646 burnt in the 2005 drought showed repeated burning by 2010 (Morton et al. 2013). One new
647 model study (Higgins; Scheiter 2012) found that, while transitions between vegetation states
648 may be abrupt locally, over continental and larger scales the effect on the carbon cycle is
649 much more gradual (because the timing of transitions varies with location). Another model
650 study (Moncrieff et al. 2014) found that the area over which alternative stable states are

651 possible could be large in present-day conditions, but declined substantially with future CO₂
652 increases. Hoffmann et al. (2012) noted that forest-fire feedbacks themselves can be
653 sensitive to tree growth-rates – and hence to climate change.

654

655 **d. Potential consequences**

656

657 The observations summarised above give greater confidence that the Amazon represents a net
658 carbon sink, but this appears to have been declining at least for a decade, and the long-term
659 future of this sink is uncertain. Persistent drought would be likely to cause a transition to
660 lower statured, lower biomass forest, from mortality of larger trees (Rowland et al. 2015).

661 Extreme events could have a large impact on the global carbon cycle and offset or counteract
662 potential increases in biomass (Reichstein et al. 2013).

663

664 While it is accepted that tropical deforestation tends to reduce evapotranspiration locally,
665 consequent changes in rainfall are complex and depend on the scale and pattern of
666 deforestation (Lawrence; Vandecar 2015). Including deforestation feedback on climate (via
667 precipitation) is key in assessing river runoff change (Lima et al. 2014; Stickler et al. 2013).
668 Stickler et al. (2013) estimate that when feedbacks on climate are included, the sign of
669 change in hydropower generation potential for the plants under construction on Xingu River
670 is reversed, declining to only 25% of maximum plant output under business-as-usual land-use
671 projections by 2050. The net runoff response is basin-dependent (Lima et al. 2014) and is
672 sensitive to the scale and pattern of deforestation (Lawrence; Vandecar 2015). Deforestation
673 may reduce the length of the wet season, such that large-scale expansion of agriculture in
674 Amazonia may be unsustainable (Arvor et al. 2014; Oliveira et al. 2013). Land-use-driven
675 stream warming of at least 3-4K (in mean daily maximum temperature) in southeastern

676 Amazonian has also been observed (Macedo et al. 2013) - well above the ~1 K threshold for
677 changes in fish physiology, growth and behaviour. Overall, multiple ecosystem services need
678 to be taken into account when considering optimal management (Donoso et al. 2014).

679

680

681 **e. Cautions (uncertainties)**

682

683 Accurate projections are partly limited by the availability of observations. Inaccessibility of
684 tropical forests increases reliance on remote sensing data, but also makes verifying remote
685 sensing data (notably, precipitation, biomass, and vegetation productivity data) challenging.
686 New studies have shown that great caution is required in interpreting satellite retrievals of
687 variability in greenness (Morton et al. 2014). The tropical forest biome probably constitutes
688 the largest terrestrial carbon sink, but it is also associated with the largest uncertainties (Pan
689 et al. 2011), because of its great ecological complexity, huge scale, and multiple
690 anthropogenic processes affecting it (Lewis et al. 2015).

691

692 There is substantial uncertainty in the CMIP5 projections of future precipitation in tropical
693 forest regions, (Collins et al. 2013), although there is greater degree of inter-model agreement
694 in some seasonal changes, such as a lengthening and a deepening of the dry season in
695 Amazonia (Boisier et al. 2015; Joetzjer et al. 2013). However, the representation of present-
696 day Amazon precipitation still contains large biases. Large uncertainties are also associated
697 with the modelled response of vegetation to temperature (Galbraith et al. 2010; Huntingford
698 et al. 2013) and to CO₂ (Rammig et al. 2010). Processes of direct mortality from fire and
699 drought (and effects of fire on aerosol) are often either unrealistic or absent from models (e.g.
700 Powell et al. 2013), and the range of plant functional types is extremely limited in relation to

701 the large biodiversity and hence range of potential tree-level responses in most tropical
702 forests.

703

704

705 **f Comparison with AR5**

706

707 The new literature has not altered the broad top-level view given in AR5. Probably the
708 greatest advances lie in increased confidence that drought adversely affects the forest carbon
709 balance – and improved understanding of how this occurs. Many uncertainties remain, and
710 estimating the likelihood of basin-scale forest dieback remains challenging.

711

712 **Ocean Acidification**

713

714 **a Introduction**

715 Increased atmospheric CO₂ reduces seawater pH, increases the solubility of calcium
716 carbonate (reducing saturation state), and causes other chemical changes together known as
717 ocean acidification (OA). The biogeochemical, ecological and societal implications of OA
718 have received greatly increased research attention during the past decade (Mathis et al. 2015;
719 Riebesell; Gattuso 2015). OA risks and impacts were included as a component of climate
720 change in the IPCC's Fourth Assessment Report, with more detailed analyses in the Fifth
721 Assessment Report, particularly by Working Group II (IPCC 2014).

722 Analyses of geological OA events and modelling studies show that physico-chemical
723 recovery from large-scale perturbations in ocean carbonate chemistry takes many thousands
724 of years (Zeebe; Ridgwell 2011), due to slow rates of deep ocean mixing and of chemical
725 equilibration with seafloor sediments. Thus longterm hysteresis effects are inherent in the
726 response of global ocean chemistry to atmospheric CO₂ forcing, and there is only very
727 limited capacity to accelerate future recovery by actively removing CO₂ from the atmosphere
728 (Mathesius et al. 2015). Species' extinctions are necessary irreversible.

729 Many different thresholds or tipping points for OA impacts can be considered under

730 conditions of steadily increasing atmospheric CO₂ levels; the focus here is on increased
731 solubility of calcium carbonate (in particular, the saturation state for aragonite, the form of
732 carbonate in the shells and structures of many marine organisms) and the risk of rapid loss of
733 tropical corals.

734 **b Observed recent changes**

735 IPCC (2013) provided decadal measurements of ocean carbonate chemistry in near-surface
736 waters at three oceanic monitoring sites; and other datasets are also now available (WMO
737 2014). All these observations unequivocally show decreasing pH in the upper ocean at rates
738 (-0.0011 to -0.0024 yr⁻¹) closely matching those expected from rising atmospheric CO₂. Both
739 physical and biological factors are responsible for the spatial and temporal variability in these
740 datasets; whilst seasonality is usually smoothed-out for trend analyses (WMO 2014), it is of
741 high ecological importance, determining the conditions experienced by marine organisms
742 (Sasse et al. 2015).

743 There is much less temporal variability of pH in the ocean interior; however, there are also
744 fewer longterm measurements. Atlantic observations (Woosley et al. 2016) confirm an
745 anthropogenically-driven decrease in surface pH of ~0.0021 yr⁻¹ with greatest changes in the
746 top ~1000m; however, some decrease also occurs at greater depths. Such changes are
747 superimposed on a natural decrease of pH with depth, with North Atlantic seafloor values
748 generally being in the range 7.70 – 7.75 (Vazquez-Rodriguez et al. 2012).

749

750 Correlations between observed OA and biological or ecosystem changes are not necessarily
751 causal, since other environmental factors are also likely to be involved. The strongest
752 observational evidence relates to OA effects on pteropods (planktonic snails) in the Southern
753 Ocean and northeast Pacific (Bednarsek et al. 2014b; Bednarsek et al. 2012); on cultivated
754 oysters (Barton et al. 2015); on warm-water corals; and at natural CO₂ vents.

755 Longterm reductions of up to ~30% in the natural calcification and growth rates of tropical
756 corals have been reported in several studies (e.g. Silverman et al. 2014). Linkage to OA has
757 been demonstrated by *in situ* treatments of a natural coral community in the Great Barrier
758 Reef (Albright et al. 2016). When water chemistry was restored to pre-industrial conditions
759 by short-term alkalinity enrichment, coral growth rates increased by ~7%.

760 Observations at natural, shallow-water CO₂ vents consistently show marked decreases in
761 overall biodiversity as pH declines (Fabricius et al. 2011; Hall-Spencer et al. 2008). Microbes
762 in sediment are also affected (Raulf et al. 2015). Non-calcifying seaweeds and sea grasses

763 out-compete calcifying organisms under such high CO₂, low pH, conditions, although some
764 genetic adaptation of the latter can occur (Garilli et al. 2015).

765 **c Potential for significant change**

766 Experimental studies have shown that many marine species are likely to be negatively
767 affected from future OA if high CO₂ emissions continue, with risk of ecosystem alterations at
768 the global scale (CBD 2014; Gattuso et al. 2015; Nagelkerken; Connell 2015). Taxonomic
769 variability in biotic responses to OA is, however, high; furthermore, complex interactions
770 occur with temperature, food availability and other stressors (Kroeker et al. 2013; Ramajo et
771 al. 2016; Wittmann; Portner 2013), and the potential for evolutionary adaptation is largely
772 unknown (Sunday et al. 2014).

773 Marine ecosystems are susceptible to abrupt, non-linear changes (regime shifts; Mollmann et
774 al. 2015) that cannot be easily reversed once thresholds, that may be of different kinds, are
775 exceeded (Hughes et al. 2013; Mumby et al. 2011; Plaganyi et al. 2014). Two such OA-
776 related thresholds were identified (Steinacher et al. 2013) in the context of allowable carbon
777 emissions: aragonite undersaturation in the Southern Ocean, and the carbonate chemistry
778 conditions necessary for warm-water coral reef survival.

779 Hauri et al. (2016) used a multi-model ensemble to determine changes in aragonite saturation
780 state (Ω) around Antarctica and southern South America in an unabated CO₂ emissions
781 scenario (RCP 8.5). The monthly occurrence of aragonite undersaturation ($\Omega < 1.0$) at the
782 surface and at 100m water depth increased rapidly in most of these areas (Figure 4),
783 particularly between 2040 - 2070 when atmospheric CO₂ levels are projected to be 500 - 650
784 ppm.

785 Closely similar effects are projected for the Arctic Ocean, where all surface waters north of
786 66° are projected to be unsaturated for aragonite by 2100 under RCP 8.5 (Popova et al. 2014).
787 Regional differences are, however, greater - with surface undersaturation expected to have
788 already occurred in the Siberian shelves and Canadian Arctic Archipelago (i.e. with current
789 atmospheric CO₂ values of ~400 ppm), but not until the 2080s in the Barents and Norwegian
790 seas (at ~ 900 ppm). The ecological significance of aragonite unsaturation is that such
791 conditions are chemically corrosive to unprotected shells made of that form of carbonate, e.g.
792 those of pteropods (Bednarsek et al. 2014a).

793 Coral exoskeletons are also made of aragonite: the depth distribution of coldwater corals is
794 closely correlated with the aragonite saturation horizon (Guinotte et al. 2006; Jackson et al.
795 2014), whilst the calcification rate of both coldwater and tropical corals is sensitive to
796 saturation state, responding semi-linearly over a wide range of values (Comeau et al. 2013;

797 McCulloch et al. 2012).

798 Most tropical coral reefs occur in waters where $\Omega > 3.0$ (Manzello et al. 2014; Mongin et al.
799 2016), and that value has been used as a threshold for modelling climate change impacts
800 (Steinacher et al. 2013). Whilst tropical coral growth can continue where $\Omega < 3.0$ (Comeau et
801 al. 2013; Shamberger et al. 2014), growth rates need to exceed bioerosion (Andersson;
802 Gledhill 2013) and to be sufficiently rapid to allow reef recovery between temperature-
803 induced bleaching events (Frieler et al. 2013). In theory, tropical corals could avoid the risk
804 of bleaching by colonizing new sites where water temperatures have previously been too cool
805 (Couce et al. 2013). However, the rate of current change may be too rapid for that to occur –
806 and there are many geological precedents for ‘coral reef crises’, involving mass extinctions
807 during geological warming and/or OA events (Kiessling; Simpson 2011). Based on these
808 considerations, many coral researchers consider atmospheric levels of ~350 ppm CO₂ to be
809 the ‘safe’ limit to ensure coral reef survival (ISRS 2015).

810 **d Potential consequences**

811 The potential consequences of OA are extremely wide-ranging, particularly for high emission
812 scenarios. They include physico-chemical impacts (reduction in seawater capacity to absorb
813 further CO₂); species-specific physiological and behavioural changes; perturbations in marine
814 community processes, ecosystem functions and biogeochemical feedbacks; and changes in
815 ocean ecosystem services, with societal effects on food security, coastal protection and
816 climate regulation.

817 An overall reduction in marine diversity and abundances is expected to occur in a high CO₂
818 world (Nagelkerken; Connell 2015); nevertheless, not all species will be negatively affected.
819 Some marine species that may be favoured also provide societal benefits, e.g. sea-grasses
820 (Garrard; Beaumont 2014), but not all. Thus ‘nuisance’ species, such as jellyfish, seem
821 generally tolerant of OA (Hall-Spencer; Allen 2015).

822 With regard to the carbonate undersaturation threshold identified above, the loss of pteropods
823 from polar oceans would have wider consequences for food-webs, also affecting higher
824 predators (fish, seabirds and sea mammals) of high commercial or conservation value, even if
825 those groups are not directly affected by OA. Increasing OA in the Southern Ocean
826 represents a risk to another key pelagic species, Antarctic krill. Krill eggs are sensitive to
827 high CO₂ (Kawaguchi et al. 2013), and major reduction in their abundance would also
828 jeopardise the entire ecosystem.

829 The potential loss of tropical coral reefs would have major consequences for coastal
830 protection, tourism and fisheries, with the global economic value of those ecosystem services

831 estimated to be up to ~ \$1000 billion per year (Brander et al. 2012). However uncertainties
832 in economic costs are high, and many other factors, in addition to OA, are affecting the future
833 health and survival of coral reefs.

834 **e Cautions (uncertainties).**

835 Many uncertainties remain regarding OA impacts in the context of specific tipping points
836 (Pandolfi 2015) and more widely (CBD 2014; Gattuso et al. 2015). The scaling-up of
837 impacts from organisms to communities, food webs, ecosystems and economic impacts is
838 challenging (Andersson et al. 2015; Ekstrom et al. 2015) – particularly since OA impacts do
839 not act on their own, but co-occur with other stressors, both climate-related (warming, de-
840 oxygenation and sea-level rise) (Gattuso et al. 2015; Howes et al. 2015) and non-climate-
841 related (pollution, over-fishing and habitat loss) (Breitburg et al. 2015). Furthermore, coastal
842 ecosystems seem likely to be at greatest risk from OA, but these are inherently complex and
843 difficult to simulate in models because of interactions with sediment processes and riverine
844 inputs (Artioli et al. 2014).

845 **f Comparison with AR5**

846 Since IPCC AR5, many OA studies have demonstrated variability in environmental
847 conditions and biological responses, and the complexity of multi-stressor interactions. Such
848 research therefore may seem to have increased, rather than reduced uncertainty.

849 Nevertheless, understanding of OA and its impacts has significantly improved: observations
850 have greater geographical coverage, integrating chemical and biological measurements,
851 whilst new meta-analyses and assessments have confirmed previously-identified patterns and
852 have also provided additional insights. Furthermore, greater attention has been given to
853 important topics such as palaeo- OA events; socio-economic modelling; acclimatization and
854 adaptation; and the vulnerability of cold-water corals.

855 Many of those more recent studies relate to the tipping points outlined here. In particular,
856 there is now greater confidence that extensive aragonite undersaturation (with major
857 ecological consequences) will occur in high latitudes if atmospheric CO₂ exceeds 450-500
858 ppm, and that warming will need to be well below 2°C to avoid damaging interactions
859 between ocean acidification and temperature for tropical coral reefs.

860

861

862

863 9. Conclusions

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865 This report reviews the major new advances reported in the scientific literature, focussing on
866 progress since AR5. The key findings are summarised in Table 1. Overall, compared to
867 AR5, a large number of studies have added further detail to our understanding but the broad
868 headline summaries of AR5 have not greatly changed.

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870 For these systems, there is only limited quantitative information about the difference, in
871 likelihood of significant change, between futures reaching 1.5 and 2K global-mean warming
872 above pre-industrial levels. For ice-sheets and the effects of ocean acidification (combined
873 with warming) on marine ecosystems, it is reasonable to assume that likelihood is higher for a
874 2K world than a 1.5K world. For Greenland, rates of mass loss and sea level rise are a non-
875 linear function of the temperature increase (Applegate et al. 2015). A simplified model study
876 of this ice sheet suggested that the global-mean warming threshold for irreversible loss has
877 been estimated as only 0.8–3.2 °C (best estimate 1.6 °C) above pre-industrial (Robinson et al.
878 2012); while one long-term coupled model simulation found the threshold of zero surface
879 mass balance crossed somewhere between 2 and 3°C above pre-industrial levels (Vizcaino et
880 al. 2015). For ocean acidification, there is now greater confidence that extensive aragonite
881 undersaturation (with major ecological consequences) will occur in high latitudes if
882 atmospheric CO₂ exceeds 450-500 ppm, and that warming will need to be well below 2°C to
883 avoid risk of damaging interactions between ocean acidification and temperature for tropical
884 coral reefs.

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887 **Acknowledgements**

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889 This work was supported by the Joint UK DECC/Defra Met Office Hadley Centre Climate
890 Programme (GA01101). MAS was funded by the NERC RAPID-AMOC programme. OLP
891 was supported by the European Research Council Advanced Grant, ‘Tropical Forests in the
892 Changing Earth System’. PW and CT were supported by the UK Ocean Acidification
893 research programme funded by NERC, Defra and DECC. BK, KH and GK were funded by
894 European Union’s Seventh Framework Programme AMAZALERT project (282664). AH
895 was funded under NERC grant NE/K016016/1: Process-based Emergent Constraints on
896 Global Physical and Biogeochemical Feedbacks and by the Joint Weather and Climate
897 Research Programme (JWCRP).

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System	Key findings
Ice sheets	<ul style="list-style-type: none">• From Greenland, the proportion of loss from surface melt has increased, becoming more consistent with long term model projections. The bedrock topography of the WAIS lends itself to an inherently unstable ice sheet. Some degree of irreversible loss may have begun, although the eventual magnitude and rate of this irreversible loss is uncertain.• There are indications that the East Antarctic Ice Sheet (EAIS) and the northeast Greenland ice stream may be more sensitive to climate change than previously expected.• New paleoclimate evidence for: 1) periods of relatively abrupt Antarctic mass loss following the last glacial maximum; 2) during the early Holocene (sustained warming ~2K above pre-industrial), WAIS mass loss rates comparable to present-day, but no WAIS collapse.
AMOC	<ul style="list-style-type: none">• The observed AMOC overturning has decreased from 2004-2014, linked with decreases in subsurface density in the subpolar gyre. It is unclear at this stage whether this AMOC decrease is forced or is internal variability.• There was an unprecedented rise in US east coast sea level associated with the 2009-10 downturn in the AMOC.

Tropical forests	<ul style="list-style-type: none"> • Greater confidence that tropical forests are adversely affected by drought. • New climate models continue to suggest that basin-scale Amazon dieback from climate alone (as in the HadCM3 model) is not typical. However, these studies lack some key processes.
Ocean acidification	<ul style="list-style-type: none"> • Atmospherically-driven global trends in ocean acidification are superimposed on a dynamic natural system • Many factors affect variability in biological response; these are now much better understood • Extensive aragonite undersaturation in high latitudes can be expected if atmospheric CO₂ exceeds 450-500 ppm, with major ecological consequences • Tropical coral reefs seem highly vulnerable to the interaction of ocean acidification and warming, with major economic consequences.

902 Table 1. Key new findings, for each system.

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1454 **Figure captions**

1455

1456 Figure 1. Evidence (from Favier et al., 2012) that the Pine Island Glacier's grounding line is
1457 probably engaged in an unstable 40 km retreat, due to the retrograde bedrock slope. Left:
1458 map of bedrock elevation, with the grounding lines for 2009 (white) and 2011 (purple)
1459 shown. Right: bedrock height (solid black line) and geometry of the glacier centreline
1460 produced by the Elmer/Ice ice-flow model at time (t) = 0 (dotted line) and after 50 years of a
1461 melting scenario (red line).

1462

1463 Figure 2. The 10 year time series of the AMOC measured at 26.5°N (after Figure 2 from
1464 Srokosz & Bryden, 2015; original courtesy of David Smeed, NOC). The gray line represents
1465 the 10 day filtered measurements, while the red line is the 180 day filtered time series.
1466 Clearly visible are the low AMOC event in 2009-10 and the overall decrease in strength over
1467 the ten years.

1468

1469 Figure 3. Trends in net above-ground biomass change, productivity and mortality rates, for
1470 321 plots, weighted by plot size (after Brienen et al., 2015).

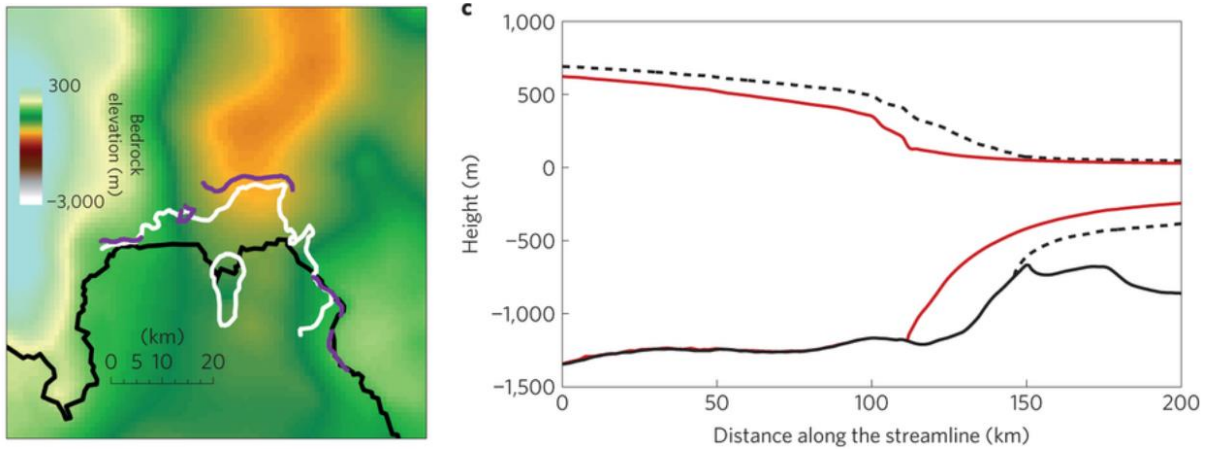
1471

1472 Figure 4. Area-weighted ensemble mean duration (months per year) of aragonite
1473 undersaturation at the surface (solid lines) and at 100m depth (dashed lines) for three sectors
1474 of the Southern Ocean (Bellingshausen Sea, Weddell Sea and East Antarctica), the central
1475 Chilean coast and the Patagonian shelf. From Hauri et al (2016).

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1477 **Figures**

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1480 Figure 1. Evidence (from Favier et al., 2012) that the Pine Island Glacier's grounding line is
1481 probably engaged in an unstable 40 km retreat, due to the retrograde bedrock slope. Left:
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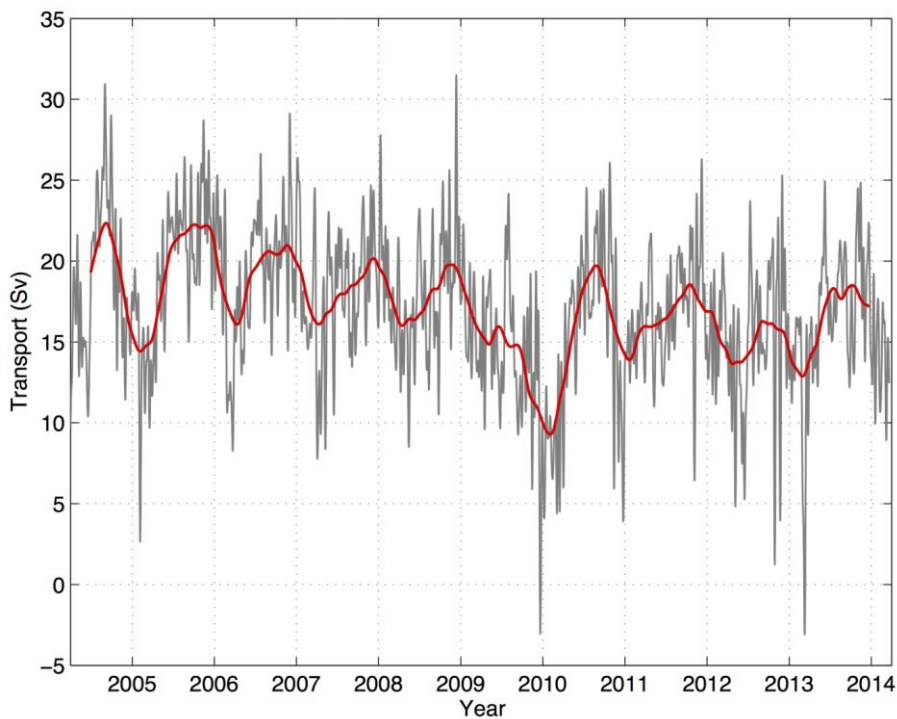
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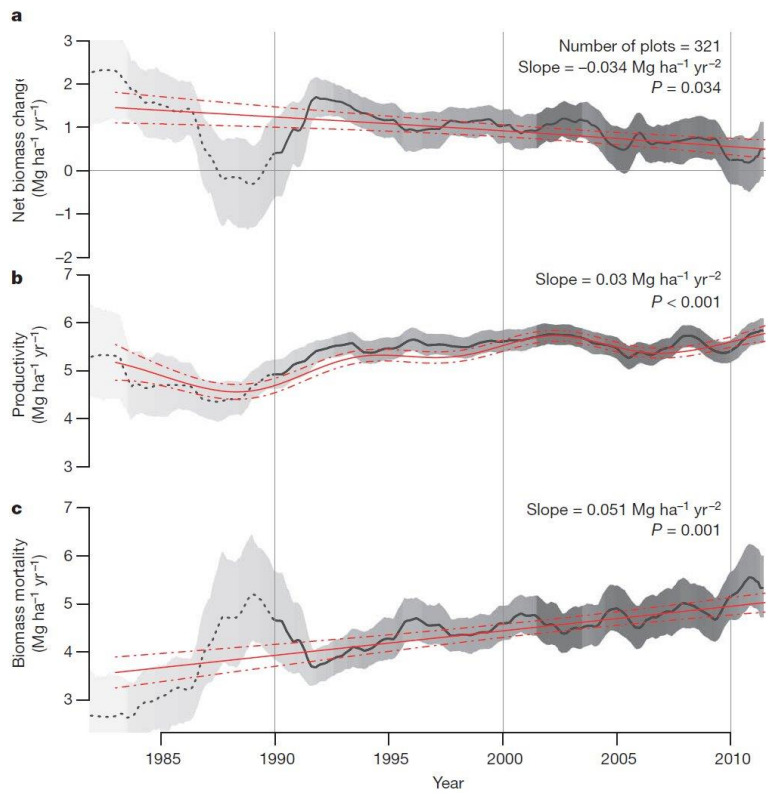
1492 Figure 2. The 10 year time series of the AMOC measured at 26.5°N (after Figure 2 from
1493 Srokosz & Bryden, 2015; original courtesy of David Smeed, NOC). The gray line represents
1494 the 10 day filtered measurements, while the red line is the 180 day filtered time series.
1495 Clearly visible are the low AMOC event in 2009-10 and the overall decrease in strength over
1496 the ten years.

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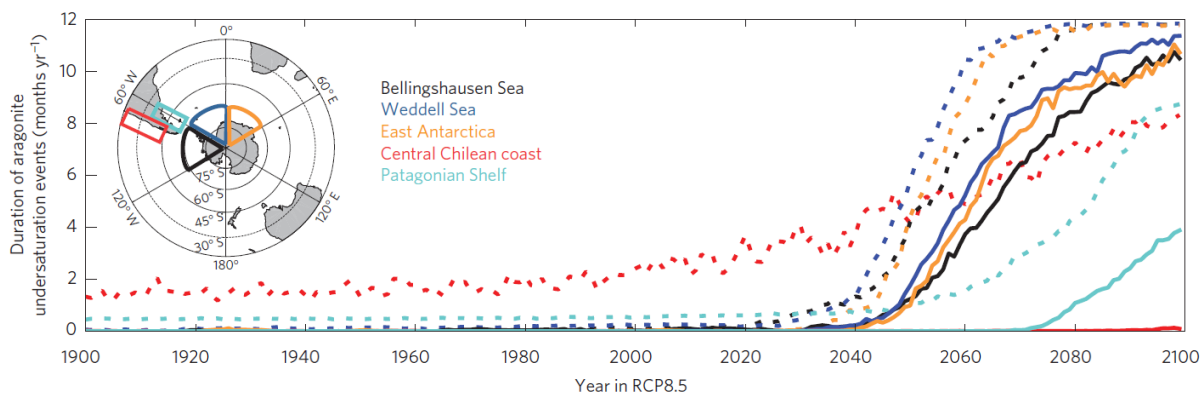
1502 Figure 3. Trends in net above-ground biomass change, productivity and mortality rates, for

1503 321 plots, weighted by plot size (after Brien et al., 2015).

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1507 Figure 4. Area-weighted ensemble mean duration (months per year) of aragonite undersaturation at
1508 the surface (solid lines) and at 100m depth (dashed lines) for three sectors of the Southern Ocean
1509 (Bellingshausen Sea, Weddell Sea and East Antarctica), the central Chilean coast and the
1510 Patagonian shelf. From Hauri et al (2016).

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