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# Recent progress on advanced design for photoelectrochemical reduction of CO<sub>2</sub> to fuels

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ABSTRACT The energy crisis and global warming become severe issues. Solar-driven CO2 reduction provides a promising route to confront the predicaments, which has received much attention. The photoelectrochemical (PEC) process, which can integrate the merits of both photocatalysis and electrocatalysis, boosts splendid talent for CO2 reduction with high efficiency and excellent selectivity. Recent several decades have witnessed the overwhelming development of PEC CO<sub>2</sub> reduction. In this review, we attempt to systematically summarize the recent advanced design for PEC CO<sub>2</sub> reduction. On account of basic principles and evaluation parameters, we firstly highlight the subtle construction for photocathodes to enhance the efficiency and selectivity of CO2 reduction, which includes the strategies for improving light utilization, supplying catalytic active sites and steering reaction pathway. Furthermore, diversiform novel PEC setups are also outlined. These exploited setups endow a bright window to surmount the intrinsic disadvantages of photocathode, showing promising potentials for future applications. Finally, we underline the challenges and key factors for the further development of PEC CO2 reduction that would enable more efficient designs for setups and deepen systematic understanding for mechanisms.

**Keywords:** photoelectrocatalysis,  $CO_2$  reduction, light utilization, semiconductor, selectivity

#### **INTRODUCTION**

The gargantuan consumption of fossil resources calls for urgent development of clean and renewable energy. Due to the limitless supply and easy acquirement, solar energy exhibits splendid talents as the most attractive alternative energy source [1–6]. Tremendous efforts have been fo-

cused on photoelectrochemical (PEC) [7-9] and photocatalytic water splitting [10-12] for maximizing the utilization efficiency of solar energy, which generally employs semiconductors as light-harvesting antennas. Considering the excessive emission of atmospheric carbon dioxide (CO<sub>2</sub>) caused by the combustion of fossil fuels, the sunlight-driven CO2 reduction into higher energy chemicals, such as carbon monoxide, formic acid, methanol or methane, offers a more promising approach to alleviate both global warming and energy crisis [13-17]. Since the pioneering research for photocatalytic CO<sub>2</sub> reduction using a series of semiconductor powders in water was reported in 1979 [18], the development of photocatalysts for CO2 reduction has been explored with great efforts. Nowadays, a large number of heterogeneous and homogeneous photocatalysts have been enormously exploited and optimized to improve the efficiency of CO<sub>2</sub> reduction [13,19]. Despite the tremendous achievements, direct CO2 photo-reduction is still believed to be challenging because CO2 molecule has a stable thermodynamic configuration and a high energy barrier impeding its activation and conversion. Meanwhile, the reaction processes are multistep and complicated, indicating the difficulty of maneuvering the products [15]. For this reason, their conversion efficiency and selectivity are largely limited.

Compared with photocatalytic process, photoelectrocatalysis, which integrates photocatalysis with eletrocatalysis, can utilize external voltage to overcome the energy barrier and to compensate the potential deficiency, achieving higher efficiency for solar conversion [7,20]. Glorious successes have been fulfilled for PEC water splitting in recent years, including both hydrogen pro-

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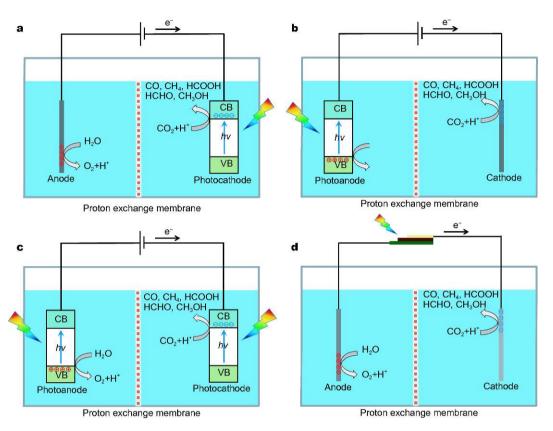


Figure 1 Schematic diagrams for PEC CO<sub>2</sub> reduction in water using semiconductor as (a) photocathode, (b) photoanode and (c) both photoanode and photocathode. (d) Schematic diagram for the device combining a photovoltaic cell with an efficient electrochemical catalyst for CO<sub>2</sub> reduction.

duction [21,22] and oxygen evolution [23,24]. In the PEC system, differently from the traditional electrocatalysis which commonly uses metals as electrodes, semiconductors are commonly manufactured into photoelectrodes in order to harvest solar energy and catalyze the reactions [25]. Several distinct merits are embodied in the PEC system: 1) the applied external electrical bias drives the migration and aggregation of photogenerated electrons and holes at cathode and anode, respectively, which can efficiently suppress their combination; 2) the PEC cells usually separate the anode and cathode, avoiding the mixture of oxidative and reductive products; 3) from the viewpoint of electrochemical catalysis, the photoelectrocatalysis utilizing solar energy can supply additional charges and significantly reduce electricity consumption while the powerful electric force endows high efficiency. Given the merits, it is regarded that photoelectrocatalysis is an efficacious strategy for CO2 reduction. In 1978, Halman firstly reported the PEC reduction of CO2 to HCOOH, HCHO and CH<sub>3</sub>OH, using p-GaP as photocathode with an external electrical bias [26]. Since then, unremitting explorations have been implemented and

this research field has received increasing attention in recent years [13,27,28].

The principles of light harvesting and setup for PEC CO<sub>2</sub> reduction are similar to those for water splitting [8,13]. Briefly, the photoelectrode is immersed into the electrolyte solution. Under light illumination, the incident photons with energy equal to or higher than the band gap energy  $(E_{\sigma})$  of photoelectrode can be absorbed to excite electrons from valence band (VB) to conduction band (CB) and simultaneously generate holes in VB. With the assist of external electrical bias, the photogenerated electrons and holes migrate to and accumulate at the surface of cathode and anode, respectively. Finally, the photogenerated electrons reduce CO2 into fuels, while the holes oxidize H<sub>2</sub>O into O<sub>2</sub> or react with sacrificial agents. However, it is notable that the reduction of protons into H<sub>2</sub> usually occurs and competes with CO<sub>2</sub> reduction in protonic solvent such as water, which largely limits the efficiency and selectivity of CO<sub>2</sub> reduction [15].

As a reduction reaction,  $CO_2$  conversion is carried out on the surface of cathode in the electrochemical cell. As a matter of fact, the common strategy for PEC  $CO_2$  re-

Table 1	Thermodynamic	reactions	of CO <sub>2</sub>	reduction	[49]	1

Equation	Reaction	$\Delta H^0$ (kJ mol <sup>-1</sup> )	$\Delta G^0$ (kJ mol <sup>-1</sup> )	$\Delta E^0$ (V)
1	$CO_2(g) \rightarrow CO(g) + 1/2O_2(g)$	283	257	1.33
2	$CO_2(g) + H_2O(l) \rightarrow HCOOH(l) + 1/2O_2(g)$	270	286	1.48
3	$CO_2(g) + H_2O(l) \rightarrow HCHO(l) + O_2(g)$	563	522	1.35
4	$CO_2(g) + 2H_2O(l) \rightarrow CH_3OH(l) + 3/2O_2(g)$	727	703	1.21
5	$CO_2(g) + 2H_2O(l) \rightarrow CH_4(g) + 2O_2(g)$	890	818	1.06
6	$H_2O(1) \rightarrow H_2(g) + 1/2O_2(g)$	286	237	1.23

duction is to construct the photocathodes using p-type semiconductors (Fig. 1a). Numerous p-type semiconductors have been exploited and investigated, including silicon [29,30], metal oxides [31-33], sulfides [34,35], tellurides [36,37], phosphides [38,39] and others [40,41]. In addition, profiting from the remarkable activity for CO<sub>2</sub> adsorption and activation, metal materials (e.g., Pd, Au, Ag and Cu) usually serve as the cathodes in electrochemical CO2 reduction [42]. As such, another promising approach to PEC CO<sub>2</sub> reduction is to employ an efficient catalyst for electrochemical CO2 reduction as cathode and to utilize photoanode for harvesting solar energy and providing photogenerated electrons (Fig. 1b) [43,44]. The two methods are both to utilize photoelectrodes for harvesting light. To maximize the light absorption and suit for both CO2 reduction and H2O oxidation, a PEC cell combining photoanode with photocathode has also been developed for CO2 reduction (Fig. 1c) [45,46]. Recently, a novel tandem device coupling a photovoltaic cell for photoelectrical conversion with an efficient electrocatalyst for catalysis has been developed for CO2 reduction (Fig. 1d), also demonstrating the excellent efficiency and promising potential [47,48].

Upon the tremendous efforts on photocatalytic CO<sub>2</sub> reduction, several excellent reviews which mainly focus on elucidating the design philosophy of photocatalysts and/or the modulation strategies for reaction pathways have been reported [13–17]. Although photoelectrocatalysis has exhibited attractive prospect for CO<sub>2</sub> reduction, few reviews can be referred and most of them are outlined only as a section of photocatalysis [27,28]. In this review, we will systematically outline the recent developments for PEC CO<sub>2</sub> reduction, including the precious material designs of photoelectrodes and the modulation of reaction pathway. Firstly, the fundamentals for PEC CO<sub>2</sub> reduction will be introduced, which enables readers roughly to understand the basic principles for CO<sub>2</sub> re-

duction, including thermodynamics and kinetics, matters of external reaction conditions and evaluation parameters. Secondly, we will summarize the diversiform approaches to construct photocathode with efforts to improve the efficiency and selectivity of CO<sub>2</sub> reduction, such as tuning light harvesting, steering charge kinetics, maintaining electrode stability, facilitating CO<sub>2</sub> activation, maneuvering reaction pathways and suppressing undesired products. Thirdly, other novel developed setups for PEC CO<sub>2</sub> reduction will be outlined and discussed. Finally, we will preliminarily assess the merits of present strategies for PEC CO<sub>2</sub> reduction and propose the remaining challenges and future prospects.

## BASIC PRINCIPLES FOR PEC CO<sub>2</sub> REDUCTION

#### Thermodynamics and kinetics of CO2 reduction

CO<sub>2</sub> molecule contains two C=O bonds with a linear configuration. The orbitals of C atom are sp-hybridized, forming two  $\sigma$  bonds with O atoms, while the other two nonhybridized p orbits in C atom form two  $\pi_3^4$  bonds with the p orbits in O atoms. As a result, the C=O bonds are extremely stable and have a dissociation energy as high as ~750 kJ mol<sup>-1</sup>, which indicates that a high activation barrier must be overcome for CO<sub>2</sub> activation and C=O bond cleavage. Moreover, given the highest chemical state (+4) of C atom in CO<sub>2</sub>, the reduction of CO<sub>2</sub> can result in complex products including CO, HCOOH, HCHO, CH<sub>3</sub>OH and CH<sub>4</sub>, etc. [15]. The standard enthalpy  $(\Delta H^0)$ , Gibbs free energy  $(\Delta G^0)$  and redox potential  $(\Delta E^0)$  of CO<sub>2</sub> reduction are summarized in Table 1 [49]. All of the CO<sub>2</sub> reduction processes are more highly endothermic than water splitting owing to the positive  $\Delta G^0$ values, particularly for hydrocarbon fuels such as CH<sub>3</sub>OH and CH4, indicating that CO2 reduction is more thermodynamically unfavorable at ambient temperature.

The thermodynamic redox potentials for various CO<sub>2</sub>

Equation Products		Reaction	$\Delta E^0$ (V) vs. NHE at pH 7	
7	CO <sub>2</sub> • intermediate	$CO_2 + e^- \rightarrow CO_2^{\bullet-}$	-1.9	
8	Carbon monoxide	$CO_2 + 2H^+ + 2e^- \rightarrow CO + H_2O$	-0.53	
9	Formic acid	$CO_2 + 2H^+ + 2e^- \rightarrow HCOOH$	-0.61	
10	Formaldehyde	$CO_2 + 4H^+ + 4e^- \rightarrow HCHO + H_2O$	-0.48	
11	Methanol	$CO_2 + 6H^+ + 6e^- \rightarrow CH_3OH + H_2O$	-0.38	
12	Methane	$CO_2 + 8H^+ + 8e^- \rightarrow CH_4 + 2H_2O$	-0.21	
13	Hydrogen	$2H^+ + 2e^- \rightarrow H_2$	-0.41	
14	Oxvgen	$H_2O + 2h^+ \rightarrow 1/2O_2 + 2H^+$	0.82	

Table 2 The thermodynamic potentials *versus* the normal hydrogen electrode (NHE) at pH 7 for various CO<sub>2</sub> reduction products and water splitting [50,51]

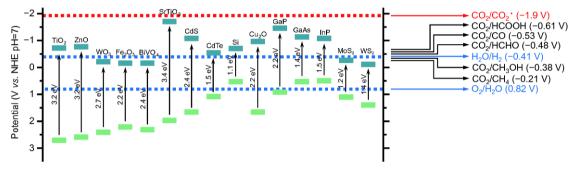


Figure 2 Conduction band and valence band potentials of several typical semiconductors relative to the standard redox potentials of CO<sub>2</sub> reduction in water at pH 7.

reduction products and water splitting are listed in Table 2 [50,51]. The first step of CO<sub>2</sub> reduction may undergo the one-electron reduction to CO<sub>2</sub> intermediate [52]; however, this reaction is extremely thermodynamically unfavorable due to the highly negative redox potential (Equation 7) of -1.9 V *versus* the normal hydrogen electrode (NHE) at pH 7, which is generally regarded as the rate-limiting step. Fig. 2 outlines the CB and VB potentials of some typical semiconductors relative to the standard redox potential of various products. The CB edges of all listed semiconductors are located below the redox potential of CO<sub>2</sub> intermediate, suggesting that this one-electron reduction process is thermodynamically unfavorable and can hardly be triggered without external force.

On the other hand, the redox potentials of multiple electron reduction processes (Equations 8–12) are close to that of H<sub>2</sub> generation. These processes generally involve the participation of protons, called proton-coupled electron transfer (PCET) process [53]. The PCET processes can bypass the generation of thermodynamically unfavorable CO<sub>2</sub>. and have a lower energy barrier to carry out. However, the multiple electron transfer reactions

usually suffer from kinetic limits [54]. For instance, although the higher redox potential of CH4 formation (Equation 12) than H<sub>2</sub> generation implies that the formation of CH<sub>4</sub> is more thermodynamically favorable, eight electrons and eight protons need to participate in the reaction of forming CH<sub>4</sub>, which is more difficult than the two-electron induced H<sub>2</sub> generation. Furthermore, the PCET processes always involve multistep reactions [14]. Despite the yet ambiguous mechanism, two plausible pathways for CO2 reduction to CH4 are extensively studied and partially verified: formaldehyde pathway [55] and carbene pathway [56] (Fig. 3). The intermediates could desorb into solvent to form the side products during the reaction processes. For instance, HCOOH and HCHO could be generated in the formaldehyde pathway (Fig. 3a) while CO is the main side-product for carbene pathway (Fig. 3b). In addition, some other pathways involving C-C coupling (e.g., glyoxal pathway) were also reported and investigated, which might induce more complicated products [57]. The products are sensitively influenced by photocatalysts and external environments during the processes of reduction, forming a grand challenge for optimizing the selectivity of CO<sub>2</sub> reduction.

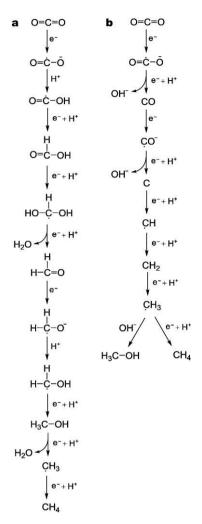


Figure 3 Two proposed mechanisms for  $\mathrm{CO}_2$  reduction to methane: (a) formaldehyde pathway and (b) carbene pathway. Reproduced with permission from Ref. [14]. Copyright 2013, Wiley-VCH Verlag GmbH & Co.

Specifically in the case of PEC  $\rm CO_2$  reduction, an external electrical bias is commonly applied so as to largely satisfy the thermodynamic matters. The overall efficiency can be improved by maneuvering reaction kinetics. The impending challenge is how to efficaciously improve the electron utilization, activate  $\rm CO_2$  molecules and maneuver the products [27]. Recently, unremitting endeavors have been put based on the subtle material designs for photoelectrocatalysis and the modulation of reaction pathways, which will be outlined and discussed in the following sections.

#### Matters of external reaction conditions

The pathways for CO<sub>2</sub> reduction are complicated, which can be susceptibly affected by the external reaction con-

ditions such as external bias, reaction temperature and pressure, electrolyte pH value and solvent composition [13,42]. The alteration of external conditions can tune the state of CO<sub>2</sub> molecules and the adsorption of various products, thereby impacting on the efficiency and products of CO<sub>2</sub> reduction.

#### Reaction temperature and pressure

The reaction temperature typically has a distinct impact on CO<sub>2</sub> reduction. It is well recognized that high temperature reduces the solubility of CO<sub>2</sub> in solvent, which generally leads to a subsequent decrease in production [13]. For this reason, some studies specifically performed photocatalysis using cooling water systems to avoid the increase of reaction temperature [58,59]. Moreover, the selectivity of products can also be altered by the reaction temperature, owing to the different adsorption ability of products [60]. For instance, Cook *et al.* [61] investigated the products of PEC CO<sub>2</sub> reduction using Cu-loaded p-SiC at various temperature, and identified that methanation occurred only in a temperature range of 30–60°C.

In addition to temperature, the pressure of CO<sub>2</sub> also affects the solubility. High-pressure environment can increase the CO<sub>2</sub> concentration in solution, endowing higher PEC current density and CO<sub>2</sub> conversion rate [62,63]. Moreover, it was reported that high CO<sub>2</sub> pressure could also facilitate the CO<sub>2</sub> reduction *versus* proton reduction and enhance the stability of photocathode [62,63].

#### pH value

The pH value of electrolyte plays a critical role in altering reaction pathways and final products. In an aqueous solution, the dissolved  $CO_2$  molecules can undergo hydration to form carbonic acid  $(H_2CO_3)$  and might dissociate into bicarbonate  $(HCO_3^-)$  or carbonate  $(CO_3^{2^-})$ . The ratios of  $H_2CO_3$ ,  $HCO_3^-$  and  $CO_3^{2^-}$  to molecular  $CO_2$  are determined by the pH of solution [64]. At pH < 4.5,  $H_2CO_3$  can hardly dissociate so that it and molecular  $CO_2$  dominantly exist.  $HCO_3^-$  is the major component at pH between 4.5–8.5. As pH is higher than 8.5,  $H_2CO_3$  is completely hydrolyzed to form  $CO_3^{2^-}$ . The different chemical species are adsorbed on the surface of catalyst through various configurations, which can determine the preferential reaction pathways and final products [65].

Moreover, as mentioned in Section "Thermodynamics and kinetics of  $CO_2$  reduction", PCET involves the participation of protons, indicating that a high proton concentration favors the PCET process. However, the proton reduction for  $H_2$  evolution is significantly enhanced at

low pH value due to the advantages of kinetics [66], which competes with CO2 reduction to cause low selectivity and Faradaic efficiency. As a result, most catalytic experiments are performed in a neutral or basic solution.

#### Solvent

Water is the most widely used solvent for PEC CO2 reduction as well as serves as the proton source [32,36]. However, the solubility of CO<sub>2</sub> in water is quite low (i.e., 0.033 mol L<sup>-1</sup> at 25 °C under 1 atm) [67], which disfavors the adsorption of CO<sub>2</sub> to catalysts and largely suppresses the reduction process. It has been reported that CO<sub>2</sub> molecules are substantially more soluble in non-aqueous organic solvents [68]. For instance, the solubility of CO<sub>2</sub> in methanol is nearly 5 times higher than that in water under ambient conditions. Benefiting from the outstanding solubility, many organic solvents including methanol [69], acetonitrile [33] and N,N-dimethylformamide (DMF) [70] also serve as the electrolyte for PEC CO<sub>2</sub> reduction. In this case, the predominant product of CO<sub>2</sub> reduction is CO due to the absence of protons. To enable the PCET process, sacrificial donors (e.g., triethylamine (TEA) and triethanolamine (TEOA)) are added to consume the holes and supply protons. In parallel, water can also be taken as the proton donor in nonaqueous solvent, as a trace amount of water can hardly influence the solubility.

In addition to organic solvents, ionic liquids have excellent capture capacity for CO2 through the coordination with CO<sub>2</sub> molecules, emerging as the solvent with the promising potential for CO<sub>2</sub> reduction. The ionic liquids have been well utilized in electrocatalysis [71] and photocatalysis [72]. Moreover, it has been revealed that the unique coordination configuration with ionic liquids can lower the energy barrier of CO<sub>2</sub>. intermediate, facilitating the reduction of CO<sub>2</sub> [73]. Recently, this merit has been applied to the PEC CO<sub>2</sub> reduction. The utilization of ionic liquid (i.e., 1-aminopropyl-3-methylimidazolium bromide) significantly promotes the conversion of CO<sub>2</sub> to HCOOH and suppresses the competitive hydrogen evolution [74].

#### External bias

To facilitate the reduction of CO<sub>2</sub>, external bias is generally applied to supply sufficient electrons for enhancing the efficiency of CO<sub>2</sub> conversion. Similar to electrocatalysis, the applied external bias has a distinct effect on the selectivity of CO<sub>2</sub> reduction, especially competing with water reduction. The varied external bias, which can alter the electron transfer and energy barrier during the reduction process, endows different power for reduction.

In general, the selectivity of CO2 reduction exhibits a volcanic type for electrocatalysis with the increase of external bias [42]. As such, an optimal external bias can be identified to obtain the highest selectivity. However, in terms of photoelectrocatalytic process, it seems no law to follow as many factors play their roles in the overall selectivity. For instance, the selectivity of CO2 reduction to CO increased with the applied external bias by using the ZnTe/ZnO film as a photocathode [36]. However, when coupled with Au nanoparticles, the selectivity showed a volcanic type and could be optimized at an external bias of -0.5 V versus reversible hydrogen electrode (RHE) under the same reaction condition [37]. Given this situation, it is suggested that the relationship between external bias and selectivity should be examined to identify the optimal external bias for CO2 reduction.

#### Evaluation parameters for PEC CO2 reduction

Based on the combination of photocatalysis and electrocatalysis, the evaluation parameters for both can be employed for the assessment of PEC CO2 reduction.

Product evolution rate and catalytic current density The product evolution rate is generally normalized over the mass of catalyst or the effective area of photoelectrode (i.e.,  $\mu$ mol h<sup>-1</sup> g<sub>cat</sub> or  $\mu$ mol h<sup>-1</sup> cm<sup>-2</sup>). Similarly, the catalytic current density is usually normalized by the area of photoelectrode at the desired potential versus reference electrode (i.e., mA cm<sup>-2</sup> vs. RE). The values for product evolution rate and current density together denote the performance of PEC CO<sub>2</sub> reduction.

#### Turnover number and turnover frequency

Turnover number (TON) and turnover frequency (TOF) can well evaluate the activity of catalytic active centers, which have been extensively applied to metal nanoparticles and homogeneous metal complex catalysts. The definitions for PEC CO2 reduction are listed below (Equations 15, 16) [33].

$$TON = \frac{n_{\text{product}}}{n_{\text{catalyst}}},$$
 (15)

$$TON = \frac{n_{\text{product}}}{n_{\text{catalyst}}},$$

$$TOF = \frac{n_{\text{product}}}{n_{\text{catalyst}} \times t},$$
(15)

where  $n_{\text{product}}$  and  $n_{\text{catalyst}}$  are the molar numbers of desired products and catalysts, respectively, and t is the reaction

#### Quantum yield

Quantum yield (QY) is a crucial parameter for assessing the performance of photocatalyst. The internal and apparent quantum yields are defined by Equations 17, 18, respectively [10].

Internal quantum yield
$$= \frac{\alpha \times n_{\text{product}}}{n_{\text{photon}}} \times 100\%,$$
(17)

Apparent quantum yield

$$= \frac{\alpha \times n_{\text{product}}}{n'_{\text{photon}}} \times 100\%, \tag{18}$$

where  $\alpha$  is the number of needed electrons for product evolution (e.g., 2 for CO and 8 for CH<sub>4</sub>),  $n_{\text{product}}$  is the number of desired products, and  $n_{\text{photon}}$  and  $n'_{\text{photon}}$  are the number of absorbed and incident photons, respectively.

#### Faradaic efficiency

On account of various products, the selectivity is an indispensable parameter for the evaluation of  $CO_2$  reduction. In terms of photoelectrocatalysis, the Faradaic efficiency (FE) is typically used to assess the efficiency of producing selective products and calculated by Equation 19 [42].

$$FE = \frac{\alpha \times n_{\text{product}} \times F}{O},$$
 (19)

where  $\alpha$  is the number of needed electrons for product evolution,  $n_{\text{product}}$  is the molar number of desired products, F is the Faraday's constant, and Q is the total passed charge.

## ADVANCED DESIGNS OF PHOTOCATHODES FOR PEC CO<sub>2</sub> REDUCTION

The most common setup for PEC CO<sub>2</sub> reduction is composed of a semiconductor photocathode and a counter anode (e.g., Pt or carbon material). The photocathode harvests light to provide electrons and holes for catalysis. Given the mechanisms, light utilization can be essentially improved by enhancing light harvesting and steering charge kinetics, which eventually boosts the catalytic activity of CO<sub>2</sub> reduction [17]. To this end, tremendous efforts have been made to design the architectures for photocathodes.

In addition to light utilization, the major challenges and limits for highly efficient solar-driven CO<sub>2</sub> reduction are the unfeasible CO<sub>2</sub> activation, complicated reaction pathways and uncontrollable reduction products [15]. However, semiconductor photocathodes often show the

frustrating activity in CO<sub>2</sub> reduction due to the deficiency of active sites for CO<sub>2</sub> activation. It is a promising strategy for effectively surmounting this predicament that highly efficient catalysts such as metal nanoparticles [75,76] and homogeneous metal complexes [21,34,46] as co-catalysts are anchored on the surface of photocathodes. The involvement of co-catalysts can facilitate CO<sub>2</sub> activation, modulate reaction pathways and maneuver desirable products, which have been extensively applied to PEC CO<sub>2</sub> reduction. In this section, we will emphatically outline the recent advanced designs of photocathodes for improving the efficiency of CO<sub>2</sub> reduction.

#### Designing unique structures for photocathodes

In photocatalysis and photoelectrocatalysis, the light utilization, including light harvesting, charge separation and migration, is prerequisite to the subsequent catalytic reactions [77]. In terms of PEC CO<sub>2</sub> reduction, efficient light utilization should be firstly guaranteed to deliver sufficient electrons for the reactions. However, traditional photocathodes suffer from inferior light harvesting, serious charge combination and/or slow electron migration. To overcome those challenges, tremendous efforts have been implemented. Designing the unique geometrical and electronic structures for photocathodes is a competent approach to improve light harvesting and steer charge kinetics [22,25]. Some recently reported advances for designing the unique structures for photocathodes are summarized in Table 3.

#### Utilizing one-dimensional nanostructures

Profiting from their unique geometrical and electronic characteristics, one-dimensional (1D) nanostructures exhibit widespread applications in PEC water splitting and promising potentials in  $\mathrm{CO_2}$  reduction [78,79]. In 1D nanostructures, the electronic wave-function is restricted in nanoscale directions, resulting in the quantum confinement effect. Compared with bulk materials, the physical properties of 1D nanostructures are largely altered by the quantum confinement, which in turn has a profound effect on their light utilization [80]. Furthermore, the intrinsic high length-to-diameter ratio of 1D nanostructures can enhance light absorption and shorten length of electron migration, inducing high incident photontoelectron conversion efficiency [81].

Several semiconductors have been successfully shaped into the 1D nanostructures that serve as photocathodes for PEC CO<sub>2</sub> reduction. A typical case was the fabrication of p-type silicon (p-Si) into nanowire arrays (Fig. 4a-c) [29]. In comparison with planar p-Si photocathodes, 1D

Table 3 Some recently developed advances of photocathodes for PEC CO2 reduction

Photocathode	Condition	Main products	Efficiency	Ref.
Designing nanostructures for photocathodes				
p-Si nanowire arrays	KHCO <sub>3</sub> (0.1 mol L <sup>-1</sup> ), -1.5 V vs. SCE, AM 1.5G light (100 mW cm <sup>-2</sup> ), 3 h	CO, formate	4.3 µmol, FE: 7.3% 4.7 µmol, FE: 7.8%	[59]
CuO-Cu <sub>2</sub> O nanorod arrays	CO <sub>2</sub> -saturated Na <sub>2</sub> SO <sub>4</sub> (0.1 mol L <sup>-1</sup> ), -0.2 V vs. SHE, AM 1.5G light, 90 min	СН3ОН	ca. 85 mmol $L^{-1}$ , FE: 94–96%	[83]
Cu-decorated Co <sub>5</sub> O <sub>4</sub> nanotube arrays	$\mathrm{CO}_2$ -saturated $\mathrm{Na}_2\mathrm{SO}_4$ (0.1 mol L <sup>-1</sup> ), -0.9 V vs. SCE, visible light, 8 h	Formate	$6.75 \text{ mmol L}^{-1} \text{ cm}^{-2}$ , select.: close to 100%	[92]
ZnTe coated Zn/ZnO nanowires	KHCO, (0.5 mol L <sup>-1</sup> ), $-0.7 \text{ V}$ vs. RHE, >420 nm (490 mW cm <sup>-2</sup> ), 1 h	00	ca. 70 mmol cm <sup>-1</sup> , FE: 22.9%	[37]
ZnTe/ZnO nanowire arrays	KHCO <sub>3</sub> (0.5 mol L <sup>-1</sup> ), -0.7 V w. RHE, AM 1.5G light, 3 h	00	10.3 μmol cm <sup>-2</sup> , FE: 7.2% select.: 8.7%	[98]
Polycrystalline Mg-doped CuFeO2	NaHCO <sub>3</sub> (0.1 mol L <sup>-1</sup> , pH=6.8), -0.9 V vs. SCE, LED source (470 nm), 8-24 h	Formate	FE: 10%	[66]
Co-doped MoS <sub>2</sub> nanoparticles	KHCO <sub>3</sub> (0.1 mol L <sup>-1</sup> , pH=9), $-0.9 \text{ V}$ vs. SCE, $\geq 420 \text{ nm}$ (100 mW cm <sup>-2</sup> ), 350 min	СН3ОН	$35~\mathrm{mmol~L^{-1}}$	[96]
Boron-doped g-C <sub>5</sub> N <sub>4</sub> films	NaHCO <sub>3</sub> (0.5 mol L <sup>-1</sup> , pH=7.3), -0.4 V vs. Ag/AgCl, AM 1.5G light (100 mW cm <sup>-2</sup> )	$C_2H_5OH$	5-times larger for photocurrent FE: 78%	[41]
CuO nanowires/Cu <sub>2</sub> O/Cu foil	KHCO <sub>3</sub> (0.1 mol L <sup>-1</sup> , pH=6.8), -0.6 V vs. RHE, AM1.5 G light, 10 min	СО + НСООН	1.67 mA cm <sup>-2</sup> , select.: 60%	[105]
CuFeO <sub>2</sub> /CuO mixed catalyst	KHCO, (0.1 mol $L^{-1}$ ), no external bias, AM 1.5G light (100 mW cm $^{-2}$ )	Formate	5 $\mu$ mol h <sup>-1</sup> , select:: 90%, energy efficiency: ~1%	[40]
10 nm TiO <sub>2</sub> -passivated p-GaP	NaCl (0.5 mol L $^{-1})_{+}$ pyridine (10 mmol L $^{-1})_{-}$ –0.5 V $\nu_{S}$ overpotential, green laser (532 nm), 8 h	СН3ОН	$0.5~\mathrm{V}$ shift of overpotential 4.9 $\mu mol, \mathrm{FE}.55\%$	[38]
Anchoring cocatalysts on photocathodes				
Sn-coupled p-Si nanowire arrays	KHCO <sub>3</sub> (0.1 mol L <sup>-1</sup> ), -1.5 V vs. SCE, AM 1.5G (100 mW cm <sup>-2</sup> ), 3 h	CO, formate	9.8 µmol, FE: 23.0%; 19.5 µmol, FE: 45.5%	[59]
Pb-deposited CuO/Cu <sub>2</sub> O film	KHCO, (0.1 mol $L^{-1}$ ), $-0.4\mathrm{V}$ vs. SCE, visible light, 1 h	нсоон, сң <sub>3</sub> он, со	0.524 µmol h <sup>-1</sup> cm <sup>-2</sup> , FE: 19.32% 0.102 µmol h <sup>-1</sup> cm <sup>-2</sup> , FE: 11.33% 0.243 µmol h <sup>-1</sup> cm <sup>-2</sup> , FE: 9.80%	[75]
Au-coupled ZnTe/ZnO nanowire arrays	KHCO <sub>3</sub> (0.5 mol L <sup>-1</sup> ), -0.7 V w. RHE, AM 1.5G light, 3 h	00	112.0 μmol cm <sup>-2</sup> , FE: 58.0% Select.: 64.9%	[98]
Re(bipy-tBu)(CO) <sub>3</sub> Cl+p-Si	CH <sub>3</sub> CN/water mixtures, -1.9 V vs. Fc/Fc <sup>+</sup> , 661 nm, 2.5 h	Syngas (H <sub>2</sub> :CO=2:1)	5.6 mA cm <sup>-2</sup> , FE: 102%	[130]
ZnDMCPP-Re(bpy)(NHAc) complex sensitized p-NiO	$Bu_4NBF_4$ in DMF solution, $Ag/AgNO_3$ reference, visible light, 5 min	00	0.93 µmol, FE: 6.3%	[02]
Re(bipy-tBu)(CO) <sub>3</sub> Cl paired TiO <sub>2</sub> -protected Cu <sub>2</sub> O	$Bu_4NBF_4~(0.1~mol~L^{-1})$ and $CH_3OH~(1~mol~L^{-1})$ in $CH_3CN,-1.73~V~vs.~Fe/Fe^+,~AM~1.5G~light,~5.5~h$	00	1.5 mA cm <sup>-2</sup> , FE: 100%	[110]
Re(bipy–rBu)(CO) $_3 Cl$ immobilized mesoporous-TiO2-modified Cu $_2$	$Bu_4NBF_4~(0.1~mol~L^{-1})$ in $CH_5CN,$ $-2.05~V~ws.~Fe/Fe^*,~AM~1.5G~light,~1.5~h$	00	FE: 80-95%	[33]
$[Ru(L-L)(CO)_2]_n$ polymer modified Zn-doped p-InP	CO <sub>2</sub> -saturated water, -0.6 V vs. Ag/AgCJ, 400<\2800 nm, 3 h	Formate	$0.17 \text{ mmol L}^{-1}$ , FE: 62%	[131]
RuCE+RuCA modified Cu <sub>2</sub> ZnSn(S,Se) <sub>4</sub>	CO <sub>2</sub> -saturated water, -0.6 V vs. Ag/AgCl, 400<1/800 nm, 3 h	Formate	$0.49 \text{ mmol L}^{-1}$ , FE: 80%	[34]
Ru(II)-Re(I) supramolecular modified p-type NiO	$E_{i_4}NBF_{i_1}(0.1~mol~L^{-i})~in~DMF/TEOA~(5:1,~v/v)~solution, -1.2~V~vs.~Ag/AgNO_s>460~nm,~3h$	00	255 nmol, TON <sub>o</sub> : 32 FE: 62% (0–3 h), 98% (3–5 h)	[134]
Fe porphyrin complex modified B-doped p-Si	$[NBu_{4}][BF_{4}] \; (0.1 \; mol \; L^{-1}) \; in \; MeCN/5\% \; DMF \; (v/v) \; solution, -1.1 \; V \; vs. \; SCE, <650 \; nm \; (90 \; mW \; cm^{-3}), \; 6 \; hr \; MeCN/5\% \; DMF \; (v/v) \; solution, -1.1 \; V \; vs. \; SCE, <650 \; nm \; (90 \; mW \; cm^{-3}), \; 6 \; hr \; MeCN/5\% \; DMF \; (v/v) \; solution, -1.1 \; V \; vs. \; SCE, <650 \; nm \; (90 \; mW \; cm^{-3}), \; 6 \; hr \; MeCN/5\% \; DMF \; (v/v) \; solution, -1.1 \; V \; vs. \; SCE, <650 \; nm \; (90 \; mW \; cm^{-3}), \; 6 \; hr \; MeCN/5\% \; DMF \; (v/v) \; solution, -1.1 \; V \; vs. \; SCE, <650 \; nm \; (90 \; mW \; cm^{-3}), \; 6 \; hr \; MeCN/5\% \; DMF \; (v/v) \; solution, -1.1 \; V \; vs. \; SCE, <650 \; nm \; (90 \; mW \; cm^{-3}), \; 6 \; hr \; MeCN/5\% \; DMF \; (v/v) \; solution, -1.1 \; V \; vs. \; SCE, <650 \; nm \; (90 \; mW \; cm^{-3}), \; 6 \; hr \; MeCN/5\% \; DMF \; (v/v) \; solution, -1.1 \; V \; vs. \; SCE, <650 \; nm \; (90 \; mW \; cm^{-3}), \; 6 \; hr \; MeCN/5\% \; DMF \; (v/v) \; SCE, <650 \; nm \; (90 \; mW \; cm^{-3}), \; 6 \; hr \; MeCN/5\% \; DMF \; (v/v) \; SCE, <650 \; nm \; (90 \; mW \; cm^{-3}), \; 6 \; hr \; MeCN/5\% \; DMF \; (v/v) \; DMF $	00	ca. 140 µmol, TON: 175 FE: 80%	[139]
Molecular Mn catalyst immobilized mesoporous ${\rm TiO_2}$	$Bu_{4}NBF_{4}(0.1\ mol\ L^{-1})\ in\ CH_{5}CN/H_{2}O\ (19.1,\ v/v)\ solution, -1.7\ V\ vs.\ Fc/Fc^{+},\ AM\ 1.5G\ (100\ mW\ cm^{-2}),\ 2h$	00	3.75±0.56 µmol, TON: 112±17 FE: 67±5%	[140]
Pyridine+p-CuInS <sub>2</sub> films	$\rm Na_2SO_4~(0.1~mol~L^{-1}, pH~5.2),$ overpotential of 20 mV, AM 1.5G light (100 mW cm $^{-3}),$ 11 h	СН3ОН	1.2 mmol L <sup>-1</sup> , FE: 97%	[32]
Pyridine+p-CdTe/FTO	NaHCO3 (0.1 mol $L^{-1}$ ) with citric acid buffer (0.1 mol $L^{-1}$ , pH 5), $-0.6~V~vs.$ SCE, visible light, 6 h	НСООН	4.19 mA cm <sup>-2</sup> , 0.167 mmol, FE: 60.7%	[146]
Polypymole coated p-CdTe	KHCO <sub>3</sub> (0.1 mol L <sup>-1</sup> , pH 6.7), $-0.2$ V $\nu_{S}$ RHE, >420 nm, 6 h	нсоон, со	111.1 nmol h <sup>-1</sup> cm <sup>-2</sup> , FE: 37.2% 41.1 nmol h <sup>-1</sup> cm <sup>-2</sup> , FE: 13.8%	[158]
PMAEMA/CdTe QDs film	NaClO <sub>4</sub> (0.1 mol L <sup>-1</sup> ), -0.45 V vs. Ag/AgCl, 500 W Xe-Hg lamp, 3 h	НСНО	ca. 5% (µmol HCHO/µmol CO <sub>2</sub> )	[159]
CODH functionalized Dye-sensitized p-NiO	MES (0.2 mol $L^{-1}$ , pH 6), $-0.27~V~\nu s$ . SHE, visible light	00	са. 25 µA ст <sup>-2</sup>	[32]
Rh-(FDH-FaldDH-ADH) enzymes modified BiFeO, photocathode	Na,PO $_4$ buffer (0.1 mol L $^{-1}$ , pH 7), electrical bias: 0.8 V, >420 nm (150 mW cm $^{-2}$ ), 6 h	СН3ОН	220 $\mu$ mol L <sup>-1</sup> h <sup>-1</sup> , 1280 $\mu$ mol $g_{cat}^{-1}$ h <sup>-1</sup>	[165]

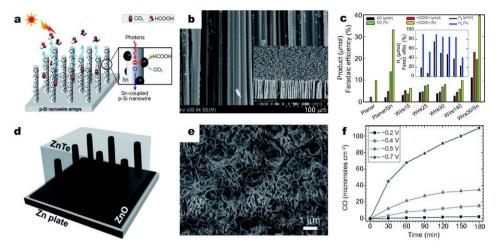


Figure 4 (a) Schematic illustration and (b) SEM image of Sn-coupled p-Si nanowire arrays. (c) Comparison of products and FEs with various p-Si photocathodes. Adapted with permission from Ref. [29]. Copyright 2014, Wiley-VCH Verlag GmbH & Co. (d) Schematic illustration and (e) SEM image of Zn/ZnO/ZnTe structure. (f) The amount of produced CO under different potentials (vs. RHE) with Zn/ZnO/ZnTe structure as a photocathode. Adapted with permission from Ref. [37]. Copyright 2014, Wiley-VCH Verlag GmbH & Co.

p-Si nanowire arrays delivered a cathodic onset potential of ca. 0.5 V. After a 3 h reaction, 1D p-Si nanowire arrays produced 4.3 µmol of CO with an FE of 7.3% and formate of 4.7  $\mu$ mol with an FE of 7.8% at -1.5 V versus saturated calomel electrode (SCE), double that observed with planar p-Si. The enhancement was attributed to light trapping and efficient charge transfer in radial directions. In parallel, some p-type metal oxides, such as Cu<sub>2</sub>O [82,83] and Co<sub>3</sub>O<sub>4</sub> [76], were also designed as 1D nanostructures for photocathodes toward PEC CO2 reduction. The 1D geometry favored light harvesting and provided more active sites for CO<sub>2</sub> conversion, exhibiting high selectivity and FE for CH<sub>3</sub>OH and formate, respectively. Moreover, Lee et al. [37] recently reported a photocathode composed of a ZnTe-coated Zn/ZnO nanowire substrate using a simple dissolution-recrystallization method. This photocathode showed a high production rate of ca. 70 mmol cm<sup>-2</sup> and FE of 22.9% for PEC CO<sub>2</sub> reduction to CO at -0.7 V versus RHE after 1 h reaction (Fig. 4d-f).

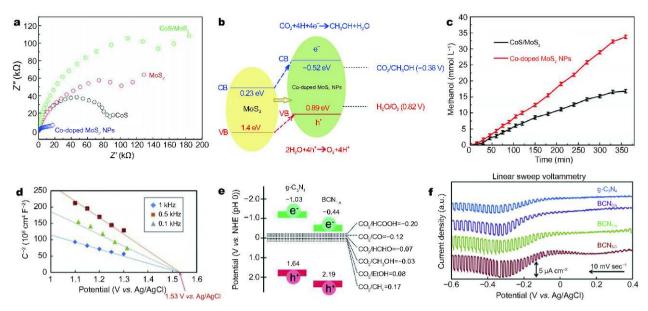
#### Doping

Doping is an effective strategy for tuning the electronic properties and light absorption of semiconductor [77]. In terms of intrinsic semiconductor, doping can alter the type of semiconductor. A well-known case is the doped silicon [84]. Doping with N or P element results in n-type properties, while B- or Ga-doped silicon demonstrates p-type characteristics. Moreover, doping with foreign elements can alter the energy band structure and tailor the CB and/or VB position of semiconductor. In general,

nonmetal doping can hardly influence CB edge but hybridize VB to shift it upward, which finally narrows the band gap [85].

Differently, doping with metal elements can induce the formation of impurity levels in forbidden band and serve as either an electron donor or an acceptor. The band gap can be narrowed or split into a two-step excitation process by the introduced impurity levels, leading to an obvious redshift of light absorption [86]. The doped metal elements can also modulate the carrier density and conductivity. For instance, the carrier density of recently reported 2% Nb-doped TiO2 nanowires was up to ~10<sup>21</sup> cm<sup>-3</sup>, which was about six orders of magnitude higher than the undoped sample [87]. In addition, the doped metal cations can also act as active sites for reactions, which is beneficial for catalysis. A representative case was the Cu-doped TiO2 for photocatalytic CO2 reduction, which exhibited good selectivity of CO2 conversion to CH<sub>3</sub>OH [88]. Another alternative strategy for doping is the self-doping and defect engineering for semiconductor [89-91]. The mechanism for modulating band gaps is quite similar to that by metal doping. A wellknown example was the Ti<sup>3+</sup> self-doped TiO<sub>2</sub> [92], in which the impurity levels introduced by Ti<sup>3+</sup> were located below CB and performed as an electron trap. It is noteworthy that self-doping and defect engineering can deliver numerous coordinately unsaturated sites (CUSs) for the adsorption and activation of molecules [93,94].

While the doping strategy has been widely applied to the design of photocatalysts, the development of photo-



**Figure 5** (a) Electrochemical impedance spectroscopy of Co-doped MoS<sub>2</sub>, CoS<sub>2</sub>, MoS<sub>2</sub> and CoS<sub>2</sub>/MoS<sub>2</sub> samples. (b) Mechanism of PEC CO<sub>2</sub> reduction on the Co-doped MoS<sub>2</sub> NPs. (c) Time-dependent curve of methanol yields with Co-doped MoS<sub>2</sub> and CoS<sub>2</sub>/MoS<sub>2</sub> samples. Adapted with permission from Ref. [96]. Copyright 2015, Elsevier. (d) Mott-Schottky plot and (e) band potential diagram of B-doped g-C<sub>3</sub>N<sub>4</sub> (BCN<sub>1.5</sub>) and (f) photocurrent responses for CO<sub>2</sub> reduction by various B-doped g-C<sub>3</sub>N<sub>4</sub> samples. Adapted with permission from Ref. [41]. Copyright 2016, Elsevier.

cathodes for PEC  $\rm CO_2$  reduction is still in preliminary. In 2013, a Mg-doped  $\rm CuFeO_2$  delafossite photocathode was fabricated to achieve the PEC reduction of  $\rm CO_2$  to formate [95]. The  $\rm Mg^{2+}$  dopant extended the absorption of visiblelight and improved the conductivity of photocathode, offering an FE of 10% at -0.9 V *versus* SCE and an incident photon-to-current efficiency (IPCE) value of 14% at 340 nm using an applied potential of -0.4 V *versus* SCE. Similar results were achieved in the Co-doped  $\rm MoS_2$  nanoparticles (Fig. 5a–c) [96]. The Co element narrowed the band gap, upshifted the CB position and reduced the resistance of  $\rm MoS_2$ , which led to the high rate (35 mmol  $\rm L^{-1}$  at 350 min) for PEC  $\rm CO_2$  reduction to methanol.

In terms of nonmetal doping, a B-doped g- $C_3N_4$  (BCN<sub>x</sub>) electrode was reported for CO<sub>2</sub> reduction (Fig. 5d–f) [41]. The BCN<sub>x</sub> not only significantly altered the energy band structure, but also exhibited an extraordinary p-type conductivity. The photocurrent response of a representative sample (i.e., BCN<sub>3.0</sub>) was about 5 times larger than the undoped g- $C_3N_4$  sample (Fig. 5f), and the system generated  $C_2H_5OH$  as a main product. Decorating the sample with co-catalysts such as Au, Ag or Rh could further enhance the evolution rate of  $C_2H_5OH$  but reduce the FE.

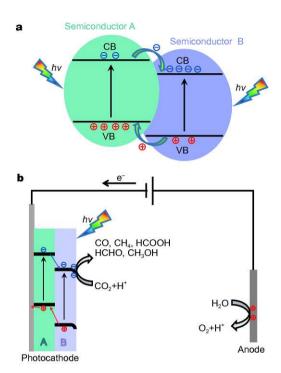
Profiting from the modulation of energy band structure and creation of CUSs, self-doping and defect engineering have received more attention in photocatalytic  $CO_2$  reduction [97,98] and PEC water splitting [99]. However, their application in PEC  $CO_2$  reduction was rarely reported. This might result from the less investigation of self-doping and defect engineering in photocathodes. To our delight, several p-type semiconductors were successfully invented by defect engineering to alter their energy band structures and expose numerous CUSs [100,101], which could serve as candidates for photocathode materials toward  $CO_2$  reduction. We anticipate that this approach can endow a new avenue for constructing photocathodes to improve the efficiency of  $CO_2$  reduction.

Forming heterojunctions by semiconductor composites Limited by the thermodynamics of photocatalysis, the single material system can hardly satisfy all the requirements including light harvesting, redox potential, charge separation and migration. Integrating semiconductors into heterojunctions supplies an effective strategy to surmount those predicaments [102,103]. Several potential merits are embodied by the heterojunctions. 1) Enhancing light absorption. The semiconductor with a wide band gap can be decorated with another component with a narrow band gap, extending the range of light absorption like sensitization. 2) Steering charge kinetics. The coupled semiconductors creates a built-in electrical field

at the interface due to their different Fermi levels, which can promote charge separation and accumulate the electrons and holes at different semiconductors. 3) Improving sample stability. Some effective photocatalysts usually suffer from the photocorrosion, which can be largely alleviated by coating a protect layer.

Although several heterojunctions are built based on their CB and VB positions of semiconductors, the most widely used configuration in photoelectrocatalysis is a type-II structure (Fig. 6a) [4]. Upon light absorption, the photogenerated electrons migrate from the semiconductor with a higher CB to that with a lower CB, while the photogenerated holes are accumulated at the semiconductor with the higher VB. The photocathode constructed upon this type-II structure is shown in Fig. 6b. Taking a two semiconductor heterojunction as an example, one (semiconductor A) with higher CB position generally interacts with a conductive substrate, while the other (semiconductor B) with lower CB position is coated on semiconductor A to form a heterojunction. Driven by built-in electrical field, the photogenerated electrons are accumulated at the CB of semiconductor B to carry out reduction reactions, while the holes are transported to the counter electrode. Given these advantages, the heterojunctions by semiconductor composites have made great achievements on constructing photocathodes for CO<sub>2</sub> reduction. Cu<sub>2</sub>O, a typical p-type semiconductor, is regarded as an excellent candidate for PEC CO2 reduction [104]. The formation of heterojunctions with other semiconductors has been extensively investigated. An attractive way is to integrate Cu<sub>2</sub>O with CuO, as they can couple well at the interface [75,82,105]. Takanabe et al. [105] reported a Cu<sub>2</sub>O/CuO heterojunction photocathode on Cu foil. Cu2O film was firstly obtained by thermal oxidation of Cu foil, which significantly reduces interfacial resistance and suppresses charge recombination. Then CuO nanowires were formed on the surface of Cu<sub>2</sub>O at higher oxidation temperature (Fig. 7a). The strong electric field at the interface facilitated charge separation and migration. After initial galvanostatical reduction, the photocathode based on Cu/Cu<sub>2</sub>O/CuO nanowires showed a photocurrent of 1.67 mA cm<sup>-2</sup> and 60% selectivity for CO<sub>2</sub> reduction at -0.6 V versus RHE (Fig. 7b).

In addition to  $\text{Cu}_2\text{O}$ , other Cu-based semiconductor heterojunctions also exhibit remarkable performance. For instance, a  $\text{CuFeO}_2/\text{CuO}$  mixed catalyst was synthesized through electroplating (Fig. 7c) [40]. Constructing a setup for  $\text{CO}_2$  reduction, the  $\text{CuFeO}_2/\text{CuO}$  photocathode produced formate with over 90% selectivity under simulated

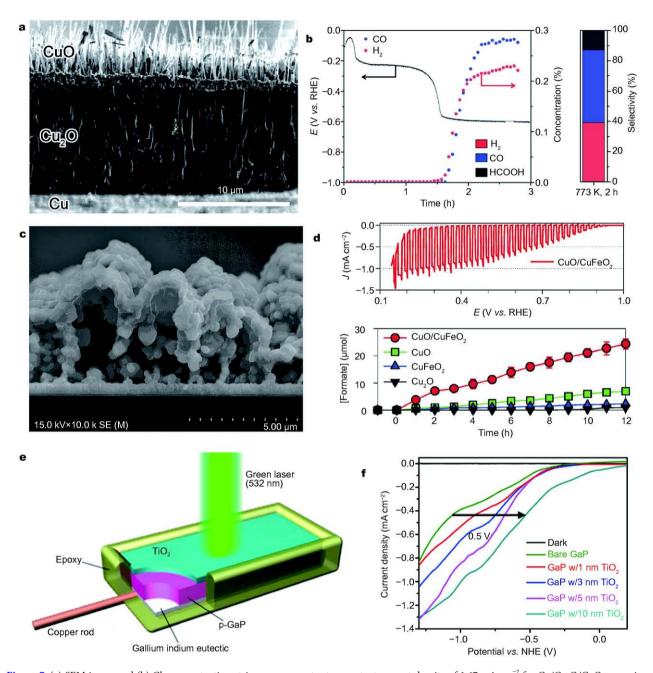


**Figure 6** Schematic diagrams for (a) type-II structure heterojunction and (b) photocathode components.

solar light without any external electrical bias (Fig. 7d). The setup could maintain for over 1 week at a solar-to-formate energy conversion efficiency of ~1%. In parallel, ZnTe is a promising p-type semiconductor for  $CO_2$  reduction owing to its narrow band gap (~2.26 eV) and negative CB position (-1.63 V versus RHE) [106]. Lee's group developed a ZnTe/ZnO nanowire heterojunction photocathode for  $CO_2$  reduction, which exhibited outstanding PEC  $CO_2$  conversion to CO [36,37].

Despite their widespread applications in PEC reduction reactions, the photocathodes by p-type semiconductors generally suffer from relatively low stability in aqueous solution, which largely limits further developments [20,25]. For CO<sub>2</sub> reduction, photocathode endures more serious challenges owing to the complicated reaction processes at surface. Coating with a protective heterojunction layer is an effective approach to mitigate the corrosion. In the design, two necessary factors for the heterojunction layer should firstly be taken into account: minimal band offset and high-quality interface [107]. The former can minimize the electron tunneling barrier to facilitate charge transport, while the later suppresses charge combination.

Several protective layers have been exploited for protecting photoelectrode. Silicide [108], TiO<sub>2</sub> [109] and



**Figure 7** (a) SEM image and (b) Chrono potentiometric measurements at a constant current density of 1.67 mA cm<sup>-2</sup> for Cu/Cu<sub>2</sub>O/CuO nanowires photocathode. Reproduced with permission from Ref. [105]. Copyright 2014, the Royal Society of Chemistry. (c) SEM image of CuFeO<sub>2</sub>/CuO mixed film. (d) Photocurrent response and PEC formate production for CuFeO<sub>2</sub>/CuO mixed film. Reproduced with permission from Ref. [40]. Copyright 2015, the Royal Society of Chemistry. (e) Schematic diagram for the TiO<sub>2</sub> passivated p-GaP photocathode. (f) Photocatalytic current-potential curves of p-GaP photocathodes with different TiO<sub>2</sub> thicknesses. Adapted with permission from Ref. [38]. Copyright 2014, the American Chemical Society.

SrTiO<sub>3</sub> [22] have demonstrated their fascinating potential for improving stability in CO<sub>2</sub> reduction. For instance, the Al-doped ZnO/TiO<sub>2</sub> layer, which had been developed to serve as an effective protective layer for water reduction [21], was recently employed to protect Cu<sub>2</sub>O pho-

tocathode for  $CO_2$  reduction with the largely improved stability [33,110]. Moreover, a thin film of n-type  $TiO_2$  was deposited on p-GaP by atomic layer deposition (ALD) to passivate the surface (Fig. 7e) [38]. The conformal deposition maximally eliminated the interface

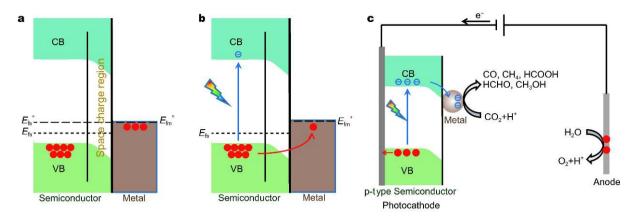


Figure 8 Schematic illustration for (a) the Schottky junction between metal and p-type semiconductor and (b) the charge migration in photocatalytic process. (c) Schematic illustration for the charge migration in the photocathode anchored with metal nanoparticles under light illumination.

defects, providing a substantial enhancement on the photoconversion efficiency. In addition to the passivation effect, the n-type TiO<sub>2</sub> layer created a p-n junction with p-GaP photocathode. The formed built-in field could further assist charge separation and reduce charge combination. Benefiting from the merits, the p-GaP photocathode passivated with 10 nm TiO<sub>2</sub> demonstrated a 0.5 V shift of onset overpotential for CO<sub>2</sub> reduction to CH<sub>3</sub>OH (Fig. 7f). After 8 h of illumination by a green laser (532 nm), the photocathode produced 4.9 μmol of CH<sub>3</sub>OH with FE of 55%.

#### Anchoring co-catalysts on photocathodes

The efficiency for CO<sub>2</sub> reduction is limited by the kinetic sluggishness of multi-electron-participating reactions that causes a large overpotential to overcome. In general, the CO2 adsorption and activation are initial steps for subsequent reductions. For PEC CO2 reduction, semiconductor photocathodes usually perform as both lightharvesting antennas and catalytic sites. Although numerous efforts have been put on improving light harefficiency to supply sufficient electrons, semiconductor surface shows the frustrating ability for CO<sub>2</sub> adsorption and activation, resulting in the low efficiency and selectivity for CO<sub>2</sub> conversion [27,28]. It is generally believed that anchoring co-catalysts with high activity at semiconductor surface is an effective approach to improve the efficiency of CO<sub>2</sub> reduction, which has achieved glorious accomplishments in photocatalytic processes [19,111,112]. The co-catalysts can lower the overpotential and reduce the energy barrier, facilitating CO<sub>2</sub> activation. Meanwhile, reduction pathway can be specially designated by selecting specific co-catalysts, improving the selectivity of desirable products. Borrowing from those merits, co-catalysts are employed to improve the efficiency and selectivity of CO<sub>2</sub> reduction in PEC processes. In this section, we will outline the diversified co-catalysts which have been used for semiconductor photocathodes and discuss their mechanisms and fundamentals. Several representative achievements with co-catalysts are summarized in Table 3.

#### Metal nanoparticles

It is well recognized that hybridizing semiconductor with metal nanoparticles as co-catalysts is an effective strategy for boosting the performance of photocatalysis [111,113]. The metal co-catalysts play two positive roles in the photocatalysis: 1) trapping the photo-induced charges to promote charge separation; and 2) performing as catalytic active sites to lower the overpotential and carry out the reaction. In a photocatalytic process, directly anchoring metal nanoparticles on semiconductor can form the Schottky junction at the interface [4]. In a p-type semiconductor, the electrons on metal will flow to semiconductor after contact if the work function (W) of semiconductor is larger than that of metal (i.e.,  $W_s > W_m$ ). This electron flow bends downwards the energy band to form a space charge region as shown in Fig. 8a. The space charge region formed by the Schottky junction is dynamic. Upon light irradiation, the photogenerated holes of p-type semiconductor will firstly be accumulated on the VB due to the space charge region, which weakens the Schottky junction and breaks the dynamic equilibrium. When the accumulated holes can sufficiently compensate the electrons in the Schottky junction, the holes will transfer to the metal and the Schottky junction will be reestablished to prevent the holes from flowing back. Eventually, the photogenerated holes will be accumulated

on the metal (Fig. 8b). As a result, it seems that metal nanoparticles anchored on p-type semiconductor cannot serve as active sites for the electron-participating reductions.

However, it behaves differently in a PEC system (Fig. 8c) [8]. As p-type semiconductor is the component of photocathode, the photogenerated holes will be separated and migrate toward the counter electrode with the assist of external electrical bias upon light illumination, triggering oxidation reactions (e.g., water oxidation). At the same time, the electrons will accumulate on the surface of photocathode. As such, if metal nanoparticles are anchored on the surface of photocathode, the electrons will flow to the metal and participate in reduction reactions (i.e., CO<sub>2</sub> reduction).

After the electrons are accumulated on the metal nanoparticles anchored on semiconductor photocathode, the reduction reactions will be carried out on the metal surface. Although various metals can serve as excellent co-catalysts for photocatalysis, not all metals are suitable candidates for CO<sub>2</sub> reduction [13]. The concern is derived from the major competitive reactions (i.e., hydrogen evolution reaction). As shown in Fig. 9, most metal materials serve as excellent catalysts for hydrogen evolution, especially for Pt. As a matter of fact, the dominated product would be hydrogen so as to largely suppress CO<sub>2</sub> reduction if Pt was employed as a co-catalyst [114]. Fortunately, some metals are competent to act as active sites for CO<sub>2</sub> reduction.

Generally speaking, Au [115], Ag [116] and Pd [117] facilitate the reduction to CO in CO<sub>2</sub>-saturated aqueous electrolyte while Sn [118] and Pb [119] are beneficial to formate evolution. Notably, Cu can yield rich hydrocarbon products from CO<sub>2</sub> reduction, such as methanol and methane, which have received giant attention for both photocatalytic and electrocatalytic processes [120–122]. In terms of PEC CO<sub>2</sub> reduction, one should also consider those matters to improve the Faradaic efficiency and suppress the competitive reactions when employing metals as co-catalysts.

Many pioneering researches have investigated the effect on PEC  $\mathrm{CO}_2$  reduction after anchoring metal nanoparticles on photocathodes [69,123,124]. Although discrepant results were often obtained from the reported literature, which mainly resulted from different experimental methods and conditions, similar trends could be summarized. Anchoring metal nanoparticles could positively shift the overpotential of  $\mathrm{CO}_2$  reduction and modulate the types of products. For instance, p-Si photocathode was modified by small  $\mathrm{Cu}$ ,  $\mathrm{Ag}$  and  $\mathrm{Au}$  nanoparticles could positively shift the overpotential of  $\mathrm{CO}_2$  reduction and modulate the types of products. For instance, p-Si photocathode was modified by small  $\mathrm{Cu}$ ,  $\mathrm{Ag}$  and  $\mathrm{Au}$  nanoparticles could be supported to the product of t

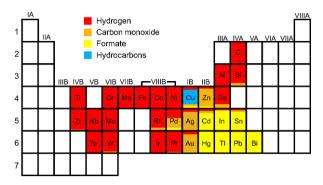


Figure 9 Periodic table depicting the primary reduction products from the reaction system of  $CO_2$  and  $H_2O$  on metal and carbon electrodes. Adapted with permission from Ref. [13]. Copyright 2015, the American Chemical Society.

particles for PEC  $CO_2$  reduction [123]. The light illumination induced more positive potential of ca. 0.5 V than that of corresponding metal electrodes. CO and HCOOH were main products for Ag and Au, while  $CH_4$  and  $C_2H_4$  were readily formed in the presence of Cu cocatalyst.

In another report, Kaneco et al. [69] deposited Pb, Ag, Au, Pd, Cu and Ni on p-InP photocathode as co-catalysts and systematically investigated their performance in PEC CO2 reduction in LiOH/methanol electrolyte. The experimental results implied that Pb, Ag, Au and Cu produced both CO and HCOOH while CO was the only product for Pd. Although hydrocarbons (i.e., methane and ethylene) could be obtained from Ni-deposited photocathode, FE was quite low (0.7% and 0.2%, respectively). The distribution of reduction products for the metal-modified p-InP photocathodes were associated with the different enthalpy and Gibbs energy of dissociative adsorption of CO(g) on metal surface. Given the promising performance in CO2 reduction, copper was particularly investigated as a co-catalyst on p-InP photocathode [125], which dominantly modulated the products of reduction. CH<sub>4</sub> and C<sub>2</sub>H<sub>4</sub> were formed after the addition of Cu nanoparticles; otherwise, only CO and HCOOH were obtained.

Subtle designs for the nanostructures of photocathode materials can satisfy the demand for efficient light utilization, but still suffer from the absence of high active sites to trigger the activation and conversion of CO<sub>2</sub>. On the basis of pioneering investigations, several achievements have recently been fulfilled to improve the efficiency of PEC CO<sub>2</sub> reduction by anchoring metal nanoparticles as co-catalysts on nanostructured photocathodes. Taking 1D p-Si nanowire arrays as an example, their efficiency was

a	Charge <sup>a</sup> (C)	Formic acid ( µmol h -1 cm -2)	F.E. (%)	Methanol ( µmol h <sup>-1</sup> cm <sup>-2</sup> )	F.E. (%)	CO (µmol h <sup>-1</sup> cm <sup>-2</sup> )	F.E. (%)
Bare	0.93	0.231	4.78	0.024	1.49	0.250	5.64
Ag	0.72	0.368	9.81	0.015	1.23	0.272	7.25
Au	0.68	0.568	16.05	0.077	6.54	0.258	7.90
Cd	0.94	0.506	10.38	0.078	4.79	0.243	5.45
Cu	0.78	0.602	14.90	0.010	8.20	0.244	6.60
Pb	0.52	0.524	19.32	0.102	11.33	0.243	9.80
Sn	0.66	0.329	9.55	0.054	4.57	0.251	7.95

<sup>&</sup>lt;sup>a</sup> Total charge used in CO<sub>2</sub> reduction reaction (=  $\int I dt$ ; where I is the measured current and t is the reaction time) for 1 h in CO<sub>2</sub>-bubbled 0.1 mol L<sup>-1</sup> KHCO<sub>3</sub> aqueous solution at -0.4 V (vs. SCE).

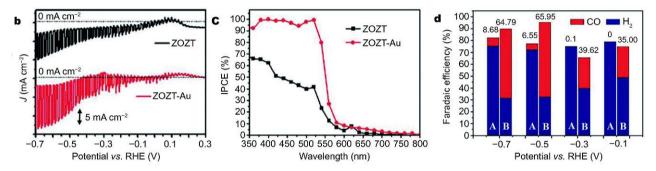


Figure 10 (a) The results of PEC CO<sub>2</sub> reduction with bare and metal-decorated CuO/Cu<sub>2</sub>O films as photocathodes. Reprinted with permission from Ref. [75]. Copyright 2014, Elsevier. (b) Photocurrent response, (c) IPCE values and (d) FEs of CO and H<sub>2</sub> from the PEC CO<sub>2</sub> reduction with ZnTe/ZnO (A) and Au-coupled ZnTe/ZnO (B) photocathodes. Reproduced with permission from Ref. [36]. Copyright 2015, the Royal Society of Chemistry.

still low, although 1D configuration improved the evolution of products. However, after Sn nanoparticles were coupled to photocathode as a co-catalyst, the amount of formate production was enhanced by a factor of five along with an FE of 45.5%, and the competitive H<sub>2</sub> production was reduced by the addition of Sn (shown in Fig. 4c) [29]. In parallel, Woo *et al.* [75] deposited various metals on CuO/Cu<sub>2</sub>O films and investigated their performance for PEC CO<sub>2</sub> reduction. The deposited transition metals effectively enhanced the CO<sub>2</sub> conversion to fuels in terms of Faradaic efficiency (Fig. 10a). In particular, Pb demonstrated outstanding performance among the transition metals: 0.524 μmol h<sup>-1</sup> cm<sup>-2</sup> for HCOOH, 0.102 μmol h<sup>-1</sup> cm<sup>-2</sup> for CH<sub>3</sub>OH and 0.243 μmol h<sup>-1</sup> cm<sup>-2</sup> for CO with a total FE of 40.45% at 0.4 V *versus* SCE.

Overall, the rational selection of semiconductor and cocatalyst holds the promise for efficient  $CO_2$  reduction. For instance, although ZnTe is an excellent candidate for  $CO_2$  reduction due to its narrow band gap and negative CB position, the  $H_2$  evolution was verified to be the dominant reaction at the surface of ZnTe during the PEC process, largely suppressing the reduction of  $CO_2$ . It was found that the use of Au nanoparticles as a co-catalyst was an effective approach to enhance the activity and selectivity of  $CO_2$  conversion to CO [36]. As depicted in Fig. 10b–d, Au nanoparticles induced a larger photocurrent response (16 mA cm<sup>-2</sup>) with an IPCE value of 97% at -0.7 V versus RHE. More importantly, the competitive water reduction

was strongly suppressed while enhancing the FE of CO production from 7.2% to 58%. The remarkable improvement by Au co-catalyst originated from the formation of a Schottky junction with ZnTe that improved charge separation and the supply of reaction centers for  $CO_2$  reduction.

#### Metal complexes

Apart from metal nanoparticles, homogeneous metal complexes are also attractive catalysts for CO<sub>2</sub> reduction [5,27,112]. The multiple and accessible redox states of metal complexes can facilitate the multi-electron reduction process of CO<sub>2</sub> [126]. Meanwhile, the redox potential of CO<sub>2</sub> can be modulated by tuning the configuration of complexes, which eventually alters the selectivity of products. Grafting the metal complex on photocatalyst as a co-catalyst is a promising approach to enhance the activity for CO<sub>2</sub> conversion. The photogenerated electrons can transfer to the metal complex, and become separated from holes to suppress the recombination. Then the electrons induce the reduction of metal centers to serve as active sites for the activation of adsorbed CO<sub>2</sub> molecules.

One essential requirement is that the lowest unoccupied molecular orbital (LUMO) of metal complex should be more positive than the CB position of semiconductor substrate to ensure the thermodynamically favorable transfer of electrons [5]. Glorious achievements have been fulfilled in the photocatalytic process that exhibits high turnover number (TON) and selectivity for desirable products [127]. Re- and Ru-based complexes have been extensively investigated and commonly employed as co-catalysts. Some representative complexes, which demonstrate excellent performance for CO<sub>2</sub> conversion to CO, are structured in Fig. 11a–c. The proposed mechanism for CO production using Re(bipy)(CO)<sub>3</sub>X as a catalyst is depicted in Fig. 11d [128].

The applications of metal complexes in PEC CO<sub>2</sub> reduction have also been widely developed [112,129,130]. In 2010, Kubiak *et al.* [129] took Re(bipy-Bu¹)(CO)<sub>3</sub>Cl as a co-catalyst to enhance the activity of p-Si photocathode for CO<sub>2</sub> reduction to CO. Even though the metal complex was directly dissolved into electrolyte without chemical grafting to cathode, a giant enhancement was still achieved with a high FE of 97% for CO production. To facilitate the electron transfer, metal complex was intentionally grafted on the surface of photocathode. The linkage can prevent the shed of metal complex, improving the stability of photocathode during the reduction process [70,110,130].

Most recently, the covalent immobilization of Re-based complex on mesoporous TiO2 modified Cu2O photocathodes for CO<sub>2</sub> reduction to CO was reported (Fig. 12a) [33]. Taking acetonitrile as electrolyte, the designed photocathode boosted photocurrent density while sustaining high FE of 80-95% for 1.5 h. Ru-based complexes were also employed as co-catalysts for enhancing the activity and selectivity of PEC CO<sub>2</sub> reduction [34,45,131, 132]. For instance, Zn-doped p-InP photocathode was modified by a Ru-complex polymer catalyst [Ru(L-L)  $(CO)_2$ <sub>n</sub> [131]. After reaction for 3 h at -0.6 V versus Ag/ AgCl under visible light illumination, formate was produced with a concentration of 0.17 mmol L<sup>-1</sup> and FE of 62%, while no product was detected using the unmodified photocathode. In addition, a p-type sulfide semiconductor (Cu<sub>2</sub>ZnSn(S,Se)<sub>4</sub>, i.e., CZTSSe) with a narrow band gap was also modified by Ru complex [34]. The modified CZTSSe photocathode demonstrated a similar enhancement for CO<sub>2</sub> reduction, achieving 0.49 mmol L<sup>-1</sup> of formate with an FE of 80% after 3 h reaction at -0.4 V versus Ag/AgCl under visible light.

It is worth mentioning that Ishitani group have recently developed a novel metal complex consisting of both Re and Ru elements [133]. The Ru(II)-Re(I) supramolecular metal complex exhibited superior performance for CO<sub>2</sub> reduction to Re and Ru single metal complexes. In this metal complex, Re center served as an active site for CO<sub>2</sub> reduction while Ru complex component could absorb visible light to sensitize photocathode. The integration of

**Figure 11** The molecular structures of (a) Re(bipy)(CO)<sub>3</sub>X, (b) [Ru (dcbpy)<sub>2</sub>(CO)<sub>2</sub>]<sup>2+</sup> and (c) Ru(II)-Re(I) binuclear complex. (d) Proposed mechanism for CO<sub>2</sub> reduction to CO using Re(bipy)(CO)<sub>3</sub>X as a catalyst.

CO<sub>2</sub> + H<sup>+</sup> + e

çο

IV

a p-type NiO cathode with this complex induced an intrinsic improvement for CO<sub>2</sub> reduction to CO (Fig. 12b) [134]. The TON reached 32 with FE of 62% after reacting for 3 h, and excellent durability was achieved when the reaction was prolonged to 5 h with FE increased to 98%.

Despite the remarkable performance in CO<sub>2</sub> conversion, typical metal complexes contain noble metal centers (e.g., Re and Ru), whose high costs impede their practical preparation and application. The development of low-cost transition metal complexes opens a bright window

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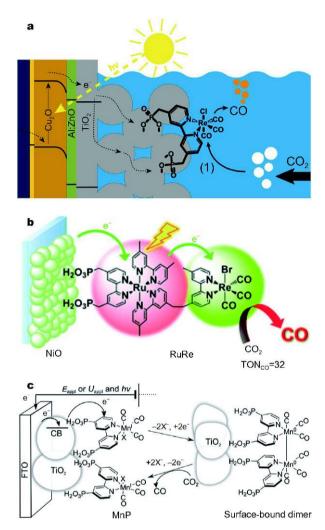


Figure 12 Schematic representations for (a) Re(bipy)(CO) $_3$ Cl complex covalently bound to TiO $_2$ -modified Cu $_2$ O, (b) Ru(II)-Re(I) supramolecular modified NiO, and (c) molecular Mn catalyst-immobilized mesoporous TiO $_2$  photocathode for CO $_2$  reduction. Adapted with permission from Ref. [33], copyright 2016, the American Chemical Society, Ref. [134], copyright 2015, the Royal Society of Chemistry, and Ref. [140], copyright 2016, Wiley-VCH Verlag GmbH & Co, respectively.

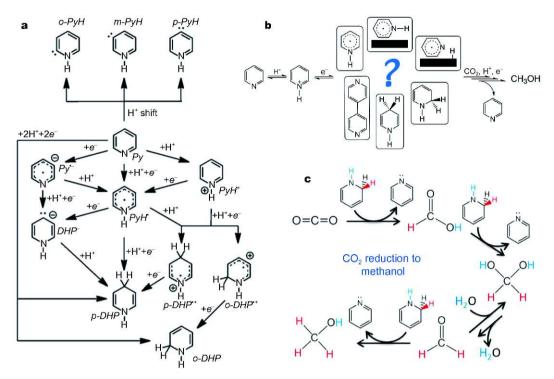
for CO<sub>2</sub> reduction [127]. Several earth-abundant metals, such as Co [135], Ni [136], Mn [137] and Fe [138], are chosen to exploit active metal complexes, which have successfully been implemented in photocatalytic CO<sub>2</sub> reduction and demonstrated promising potential. Along this line, several advances have been achieved to enhance the performance for PEC CO<sub>2</sub> reduction by modifying photocathode with the non-noble metal complexes. In a typical case, a highly active Fe porphyrin complex was grafted on B-doped p-Si photocathode for CO<sub>2</sub> reduction [139]. The Fe porphyrin complex demonstrated a giant enhancement for CO evolution and efficiently suppressed

competitive proton reduction, enabling a TON of 175 for CO production with FE of 80% after 6 h reaction. In parallel, a molecular manganese catalyst (fac-[MnBr(4,4'-bis(phosphonicacid)-2,2'-bipyridine)(CO)<sub>3</sub>]) was immobilized on a mesoporous TiO<sub>2</sub> electrode (Fig. 12c) to achieve a TON of 112±17 with FE 67±5% of for PEC CO<sub>2</sub> reduction to CO after 2 h electrolysis [140]. Interestingly, this study revealed the dynamic formation of a catalytically active Mn-Mn dimer on the TiO<sub>2</sub> electrode surface.

Metal complexes have shown marvelous performance in catalytic CO<sub>2</sub> conversion; however, the two-electron reduction products (i.e., CO and HCOOH) are primarily formed, and higher-value hydrocarbon products (e.g., CH<sub>3</sub>OH and CH<sub>4</sub>) remain rare. Very recently, a trimethylammonio functionalized iron tetraphenylporphyrin complex was reported to trigger the eight-electron reduction of CO2 to CH4 under visible-light illumination [141]. With this complex combined with an Ir-based light sensitizer, CO was firstly obtained and then further reduced to CH<sub>4</sub> with a high selectivity of up to 82%. The remarkable catalytic performance achieved a quantum yield (light-to-product efficiency) of 0.18%. Based on this exciting finding, we outlook that the metal complex catalyst for CO2 reduction to hydrocarbons can be employed for photoelectrocatalysis to achieve higher efficiency and produce higher-value products.

#### Organic molecules

Some organic molecules have been discovered to have a vital role on electrocatalytic CO<sub>2</sub> reduction [13,19]. In the reaction process, the organic molecules can participate in the reaction and perform as mediators to transfer electrons and protons to CO2. In an early study, it revealed that tetraalkylammonium (NR<sub>4</sub><sup>+</sup>, R=hydrocarbyl) salts dissolved in electrolyte could alter the catalytic properties of photocathode for CO<sub>2</sub> reduction. Bockris et al. [142] took a 0.1 mol L<sup>-1</sup> solution of tetrabutylammonium perchlorate (TBAP) in DMF as electrolyte and p-type CdTe as photocathode to carry out CO2 reduction, and obtained CO as the main product with a current efficiency of 70% at -1.6 V versus SCE. In addition, other tetraalkylammonium perchlorates could also promote the catalytic activity [143]. Mechanism investigations revealed that NR<sub>4</sub><sup>+</sup> ions have two effects on facilitating CO<sub>2</sub> reduction [144]: 1) the NR<sub>4</sub><sup>+</sup> ions adsorbed on photocathode can provide hydrophobic environment to impede the adsorption of H<sub>2</sub>O and enhance the selectivity of CO<sub>2</sub> reduction; and 2) the NR<sub>4</sub><sup>+</sup> ions received electrons to form a NR4 radical intermediates, which served as a mediator to facilitate the activation of CO<sub>2</sub> to CO<sub>2</sub>. (Equations 20,



**Figure 13** (a) Possible intermediates during the electrochemical reduction of pyridine. Adapted with permission from Ref. [147]. Copyright 2013, the Royal Society of Chemistry. (b) Some reported intermediates involved in the pathway of CO<sub>2</sub> reduction. (c) Reduction of CO<sub>2</sub> to methanol by a 1,2-dihydropyridine intermediate species *via* hydride and proton transfer steps. Adapted with permission from Ref. [153]. Copyright 2014, the American Chemical Society.

21).

$$NR_4^+ + e^- \rightarrow NR_4^{\bullet}, \tag{20}$$

$$CO_2 + NR_4^{\bullet} \rightarrow CO_2^{\bullet-} + NR_4^{+},$$
 (21)

Similarly in 2008, Bocarsly and co-workers reported that a protonated pyridine (i.e., pyridinium) molecule could serve as a remarkable co-catalyst when combined with p-GaP photocathode for CO<sub>2</sub> reduction [145]. The investigations on pH value indicated that pyridinium was an active catalyst while pyridine molecule alone could not. Notably the dominant product of CO<sub>2</sub> reduction was CH<sub>3</sub>OH with a very high selectivity of 92% even at an applied potential lower than the standard redox potential for CO<sub>2</sub> to CH<sub>3</sub>OH (-0.52 V versus SCE at pH 5.2). Since then, considerable attention has been paid on the pyridinium ion that serves as a cocatalyst for PEC CO2 reduction. For instance, a p-CuInS<sub>2</sub> thin film photocathode together with pyridinium ions achieved the CH<sub>3</sub>OH concentration of 1.2 mmol L<sup>-1</sup> with FE of 97% after 11 h electrolysis at the overpotential of 20 mV [35]. A CdTe/ FTO photocathode was also reported to have an enhancement on the PEC reduction of CO2 to HCOOH

#### [146].

Although it is well confirmed that pyridine molecules are firstly protonated to pyridinium ions and then participate in the process of CO<sub>2</sub> reduction as a mediator, the detailed mechanism for the pyridine-catalyzed CO<sub>2</sub> reduction is still ambiguous. The process may involve the complicated intermediates of pyridinium ions during reduction (Fig. 13a) [147]. Several research groups have hypothesized different reaction pathways through electrochemical experiments and theoretical calculations. Bocarsly's group proposed a one-electron shuttle model, in which pyridinium ion was firstly reduced to a pyridinyl radical and then combined with CO2 molecule to form a pyridinyl radical-CO<sub>2</sub> complex [148]. This complex could be reduced to generate HCOOH and a cycled pyridine molecule. HCOOH could be further reduced to HCHO and then CH<sub>3</sub>OH with pyridinyl radical.

However, Carter *et al.* [149,150] delivered the inconsistent results through theoretical calculations. The calculation for the redox potentials and acidity constants of pyridinium ions embodied two important results: 1) the redox potential of pyridinum to pyridinyl radical was –1.4 V *versus* SCE at pH 5.3, indicating a thermo-

dynamically unfavorable process with a high overpotential; 2) the  $pK_a$  of the pyridinyl radical was calculated to be as high as 27, suggesting that the deprotonations can hardly take place so that the formation of pyridinyl radical-CO<sub>2</sub> complex was disfavored. On this account, some other hypotheses were put forward to unravel the pathway of pyridine-catalyzed CO<sub>2</sub> reduction, including the surface adsorption of pyridinyl radical [149], the generation of surface hydride [151], the formation of carbamate radical by utilizing the bridged water molecules [152], the formation of 4,4'-bipyridine by coupling two pyridinyl radicals [147], and the multielectron reduction to dihydropyridine intermediate [153] as depicted in Fig. 13b. For the moment, the formation of dihydropyridine intermediate seems more convictive than others based on the investigation evidences, which was kinetically and thermodynamically feasible in electron and proton transfers to CO<sub>2</sub> [150,153]. In this pathway, both HCOOH and HCHO could act as intermediate products and be further reduced to ultimately form CH<sub>3</sub>OH (Fig. 13c). This mechanism has recently reported to be competent for PEC process [39].

Although it was declared that CH<sub>3</sub>OH was the dominant product in the presence of pyridine molecules for CO<sub>2</sub> reduction, a very recent study found that CH<sub>3</sub>OH could not be formed on preparative-scale and cyclic voltammetry bases. Detail characterizations revealed that CO<sub>2</sub> would merely indirectly participate in H<sub>2</sub> evolution *via* proton reduction in the presence of pyridinium ions and the formed Pt–CO film prevented the further reaction process [154]. The inconsistent result further indicated the complication of CO<sub>2</sub> reduction using pyridine as a co-catalyst.

#### Conductive polymers

Some conductive polymers, such as polyaniline [155], polypyrrole [156] and polydopamine [157], have been identified as component candidates for  $\mathrm{CO}_2$  reduction with lower overpotentials, especially in electrocatalytic processes. The polymers contain abundant functional groups, which can efficiently be bonded with reaction molecules and alter adsorption configurations. For instance, a recent study demonstrated that the polydopamine whose amine and hydroxylcarbonyl groups interacted *via* hydrogen bonds could feature a nucleophilic sequence and facilitate  $\mathrm{CO}_2$  binding [157]. Moreover, subtle control over the function groups of polymers can maneuver the formation of adsorbed hydrogen atoms  $(\mathrm{H}_{\mathrm{ad}})$  and suppress the competitive hydrogen evolution, which in turn facilitates the hydrogenation of  $\mathrm{CO}_2$  and

improves the selectivity of desirable products [155]. In this sense, it is an attractive strategy to enhance the performance of photocathodes in  $CO_2$  reduction through the modification of conductive polymers as co-catalysts. The photogenerated electrons in photocathode can transfer to polymer and then participate in  $CO_2$  reduction. In addition, it is feasible to *in situ* synthesize the polymer on photocathode through the polymerization of monomers, which can form perfect interface to avoid the trap and consumption of electrons.

The conductive polymers have demonstrated the potential in PEC CO₂ reduction as cocatalysts. Recently, a polypyrrole film was coated on p-CdTe (PPy/CdTe) photocathode by Woo *et al.* to enhance the catalytic performance [158]. The PPy/CdTe photocathode showed a prominent performance with an FE of 51% at −0.2 V *versus* RHE, whose production rates of HCOOH and CO are twice those of bare ZnTe electrode. Mechanism investigations revealed that the different work functions induced the facile electron transfer from ZnTe to PPy, suppressing charge recombination. Meanwhile, the PPy film supplied more active sites for CO₂ reduction, improving the FE of HCOOH and CO production.

The polycations (i.e., poly diallyldimethylammonium (PDDA) and poly(2-trimethylammonium)ethyl methacrylate (PMAEMA)) have also been explored for CO<sub>2</sub> reduction through integration with CdTe quantum dots (QDs) on ITO electrode by a directed layer-by-layer assembly method [159]. It was found that the structure of polycation and the assembly with QDs had a significant impact on the PEC performance. PMAEMA/QDs exhibited an enhanced activity under light illumination, while PDDA showed a negative effect on photo–induced reduction. On the other hand, the polycations determined the types of products. The products obtained with the PDDA/QDs assembly were CO, HCHO, CH<sub>3</sub>OH and H<sub>2</sub>, while only HCHO was detected as a product for PMAEMA/QDs electrode.

#### Enzymatic biocatalysts

Some redox enzymatic biocatalysts exhibit the fascinating talents for CO<sub>2</sub> reduction, and have been extensively investigated in both photocatalytic [160] and electrocatalytic [161] processes. The enzymatic biocatalysts typically show extremely high activity and selectivity for specific products without side reactions [162]. Anchoring the enzymatic biocatalyst on photocathode as a co-catalyst can supply highly active sites for CO<sub>2</sub> reduction, which dramatically boosts the activity and improves the selectivity. Karkinson *et al.* [163] firstly modified a p-InP

photocathode with a formate dehydrogenase (FDH) in early 1984. Although the denaturation of the protein led to activity loss, a current efficiency of 80%–93% for formate conversion with a TON of up to 21,000 at +0.05 V *versus* NHE was still achieved, demonstrating the great potential.

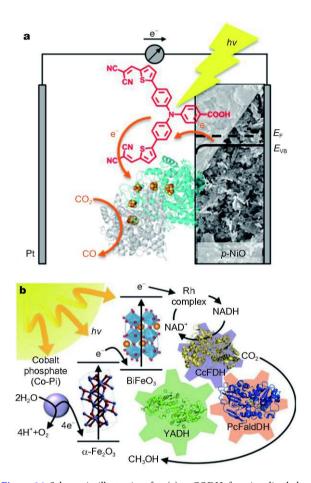
Recently, Armstrong's group have exploited highly active carbon monoxide dehydrogenase (CODH) to serve as the co-catalyst for PEC CO<sub>2</sub> reduction [32,164]. For instance, a CODH-form carboxydothermus hydrogenoformans was employed to functionalize a dye-sensitized p-NiO photocathode, which could selectively reduce CO<sub>2</sub> to CO under visible-light illumination with good stability (Fig. 14a) [32]. However, an expensive nicotinamide cofactor (NADH) is generally required to achieve catalytic turnover in such a biocatalytic process, and the regeneration of NADH usually limits the entire reaction. To solve this problem, Park et al. [165] designed an integrated enzyme-cascade (TPIEC) system using a Rh complex and three dehydrogenase (i.e., FDH, formaldehyde dehydrogenase (FaldDH), and alcohol dehydrogenase (ADH)), as depicted in Fig. 14b. NADH was consumed by dehydrogenases to generate NAD+ during the reduction process, and then NAD+ was catalytically reduced to NADH by Rh complex and electrons, fulfilling the regeneration with high efficiency. As a result, a high CH<sub>3</sub>OH evolution rate of 1280 µmol h<sup>-1</sup> g<sub>cat</sub> was achieved.

## OTHER SETUPS FOR PEC CO<sub>2</sub> REDUCTION

#### Combining photoanodes with dark cathodes

The development of photocathodes for CO<sub>2</sub> reduction has witnessed splendid achievements. However, giant challenges still exist and hinder the further improvement of conversion efficiency and selectivity. Commonly, the catalytic setups integrate light harvesting, charge separation and migration and CO2 reduction processes on photocathode. The anode only serves as sites for water oxidation, which causes several disadvantages: 1) p-type semiconductors usually possess poor stability and are easily photocorroded under light illumination; 2) the VB positions of these semiconductors are not positive enough to trigger water oxidation, which requires a large external electrical bias; 3) semiconductors often do not offer highly active sites for catalysis. Although the anchored cocatalysts can improve the catalytic performance, the other issues have been hardly solved.

In comparison with p-type semiconductors, n-type



**Figure 14** Schematic illustration for (a) a CODH functionalized dyesensitized p-NiO photocathode for selective CO<sub>2</sub> reduction to CO and (b) a PEC enzyme-cascade system containing a Rh complex and three dehydrogenases for CO<sub>2</sub> reduction to CH<sub>3</sub>OH. Adapted with permission from Ref. [32], copyright 2014, the American Chemical Society, and Ref. [165], copyright 2017, Wiley-VCH Verlag GmbH & Co, respectively.

semiconductors are much more exploited as light-harvesting antennas [10]. Many n-type semiconductors are earth-abundant and intrinsically stable, especially for metal oxides [166]. In general, photoanodes are fabricated by n-type semiconductors and applied to water oxidation [25,167]. Thus it is an alternative approach to employ a photoanode to harvest light with an effective cathode for CO<sub>2</sub> reduction, as depicted in Fig. 1b. In this PEC setup, the optimization of light harvesting and the engineering of catalytic sites are separated at anode and cathode, respectively, giving several merits [13]. Firstly, n-type semiconductors are better candidates for light utilization owing to their abundance and excellent stability. Secondly, after decoupled from light-harvesting component, the catalytic sites for CO2 reduction can be engineered more flexibly by choosing and designing cathode mate-

Table 4 Selected recently reported PEC setups for CO<sub>2</sub> reduction

Electrode	Condition	Main products	Efficiency	Ref.
Combining photoanodes with dark cathodes				
Photoanode: Pt modified TNTs Cathode: Pt modified rGO on Ni foam	Anolyte: NaCl (1 mol L <sup>-1</sup> ), catholyte: NaHCO <sub>3</sub> (1 mol L <sup>-1</sup> ), constant potential: 2 V, Xe lamp (10 mW cm <sup>-2</sup> ), 8 h	CH <sub>3</sub> OH, C <sub>2</sub> H <sub>5</sub> OH, HCOOH, CH <sub>3</sub> OOH	$1.13~\mu mol~h^{-1}~cm^{-2}$	[169]
Photoanode: Pt modified TNTs Cathode: Pt modified rGO on Ni foam	Anolyte: NaCl (1 mol L <sup>-1</sup> ), catholyte: NaHCO <sub>3</sub> (1 mol L <sup>-1</sup> ), constant potential: 2 V, Xe lamp (10 mW cm <sup>-2</sup> ), 24 h	CH <sub>3</sub> OH, C <sub>2</sub> H <sub>5</sub> OH, HCOOH, CH <sub>3</sub> OOH	1.5 μmol h <sup>-1</sup> cm <sup>-2</sup>	[170]
Photoanode: Pt modified TNTs Cathode: Pt modified rGO on Cu foam	Anolyte: $H_2SO_4$ (0.5 mol $L^{-1}$ ), catholyte: $NaHCO_3$ (0.5 mol $L^{-1}$ ), constant potential: 2 V, Xe lamp	CH₃OH, C₂H₅OH, HCOOH, CH₃OOH	$4.34~\mu mol~h^{-1}~cm^{-2}$	[171]
Photoanode: TiO <sub>2</sub> nanorods Cathode: Cu <sub>2</sub> O on Cu substrate	KHCO <sub>3</sub> (0.1 mol L <sup>-1</sup> ), 0.75 V $\nu s$ . RHE, AM 1.5G light (100 mW cm <sup>-2</sup> ), 3 h	CH <sub>4</sub> , CO, CH <sub>3</sub> OH	FE: 54.63% FE: 30.03% FE: 2.79%	[31]
Photoanode: WO <sub>3</sub> film Cathode: glassy carbon type Cu	$CO_2$ -purged KHCO <sub>3</sub> (0.5 mol L <sup>-1</sup> , pH 7.5), 0.75 V vs. RHE, >420 nm (100 mW cm <sup>-2</sup> ), 1 h	$\mathrm{CH_4}$	FE: 67%	[44]
Photoanode: WO <sub>3</sub> film Cathode: Sn/SnO <sub>x</sub>	CO <sub>2</sub> -purged KCl (0.5 mol L <sup>-1</sup> , pH 5.2), 0.8 V $\nu$ s. RHE, >420 nm (100 mW cm <sup>-2</sup> ), 3 h	нсоон, со	FE: 26.8% FE: 17.5%	[44]
Photoanode: Ni coated n-type Si Cathode: nanoporous Ag film	$CO_2$ -saturated Na <sub>2</sub> SO <sub>4</sub> (0.5 mol L <sup>-1</sup> ), external bias: 2V >400 nm, 3 h	СО	10 mA cm <sup>-2</sup> , FE: 70%	[43]
Combining photoanodes with photocathodes				
Photoanode: p-Si nanowires Photocathode: n-TiO $_2$ nanotube arrays	${ m CO_2}$ -saturated NaHCO $_3$ (1 mol ${ m L^{-1}}$ ), $-1.5~{ m V}$ vs. Ag/AgCl, AM 1.5G light, 30 min	CO, CH <sub>4</sub> , C <sub>2</sub> -C <sub>4</sub> products	$\begin{array}{c} 824 \ nmol \ L^{^{-1}}  h^{^{-1}}  cm^{^{-2}} \\ 201.5 \ nmol \ L^{^{-1}}  h^{^{-1}}  cm^{^{-2}} \end{array}$	[30]
Photoanode: Pt loaded ${ m TiO_2}$ Photocathode: p-type InP/Ru complex	NaHCO <sub>3</sub> (0.01 mol L <sup>-1</sup> ), no external applied electrical bias, AM 1.5G light, 24 h	Formate	TON: >17, FE: >70% SFE: 0.03-0.04%	[45]
Photoanode: CoO,/TaON Photocathode: Ru(II)-Re(I) complex-p-NiO	CO <sub>2</sub> -saturated NaHCO <sub>3</sub> (0.05 mol L <sup>-1</sup> , pH 6.6), external electrical bias: 0.3 V, >400 nm, 1 h	СО	79 nmol, TON <sub>∞</sub> : 17, FE: 37%	[46]
Photovoltaic-based tandem electrocatalytic devi	ices			
Photovoltaics: $CH_3NH_3PbI_3$ perovskite solar cell Anode: oxidized Au film Cathode: $IrO_2$	CO <sub>2</sub> -saturated NaHCO <sub>3</sub> (0.5 mol L <sup>-1</sup> , pH 7.2), supplied bias by photovoltaics: 2 V, AM 1.5G light (100 mW cm <sup>-2</sup> ), 18 h	СО	SCOE: 6.5% FE: 80-90%	[47]
Photovoltaics: GaInP/GaInAs/Ge solar cell Anode: SnO <sub>2</sub> modified CuO nanowires Cathode: SnO <sub>2</sub> modified CuO nanowires	Anolyte: CsOH (0.25 mol L <sup>-1</sup> ), Catholyte: CsHCO <sub>3</sub> (0.1 mol L <sup>-1</sup> ), supplied bias by photovoltaics: 2.24 V, AM 1.5G light (100 mW cm <sup>-2</sup> ), 5 h	СО	SCOE: 13.4% FE: 81% on average	[48]
Photovoltaics: Si based solar cells Photoanode: Co-Pi/W:BiVO <sub>4</sub> Photocathode: Pd/NR <sub>x</sub> @TiO <sub>2</sub>	${ m CO_2}$ -saturated KHCO $_3$ (0.1 mol L $^{-1}$ ), supplied bias by photovoltaics: 0.6 V by 5 W LED light, Xe lamp (200 mW cm $^{-2}$ ) for photoelectrode	СН₃ОН	$106 \ \mu mol \ L^{-1} \ h^{-1} \ cm^{-2} \\ AQY: 95\%$	[187]
Wireless monolithic devices				
p-InP/[RuCP] + r-STO	${ m H_3PO_4}$ buffered NaHCO $_3$ (0.1 mol ${ m L^{-1}}$ pH 7.7), AM 1.5G light, no external applied electrical bias, 3 h	Formate	0.94 μmol, SFE: 0.08%	[132]
IrO <sub>x</sub> /SiGe-jn/CC/p-RuCP	H <sub>3</sub> PO <sub>4</sub> buffered NaHCO <sub>3</sub> (0.1 mol L <sup>-1</sup> pH 7.7), AM 1.5G light, no external applied electrical bias, 2 h	Formate	50.2 μmol, SFE: 4.6%	[190]

rials.

Several research groups have successfully exploited this setup for PEC  $\rm CO_2$  reduction, as summarized in Table 4. As  $\rm TiO_2$  is a typical n-type semiconductor and considered as one of the most promising candidates for photocatalysis [168], the photoanodes based on  $\rm TiO_2$  were extensively employed to harvest light and combined with dark cathode to investigate the performance in  $\rm CO_2$  re-

duction. Cheng et al. [169] employed Pt modified TiO<sub>2</sub> nanotubes (Pt-TNT) as photoanode and Pt modified reduced graphene oxide (Pt-RGO) on Ni foam as dark cathode for PEC CO<sub>2</sub> reduction (Fig. 15a). The photogenerated electrons transferred from the photoanode to an external circuit and reached the cathode with the assist of a constant potential at 2 V. CO<sub>2</sub> was converted into several liquid products, including CH<sub>3</sub>OH, C<sub>2</sub>H<sub>5</sub>OH,

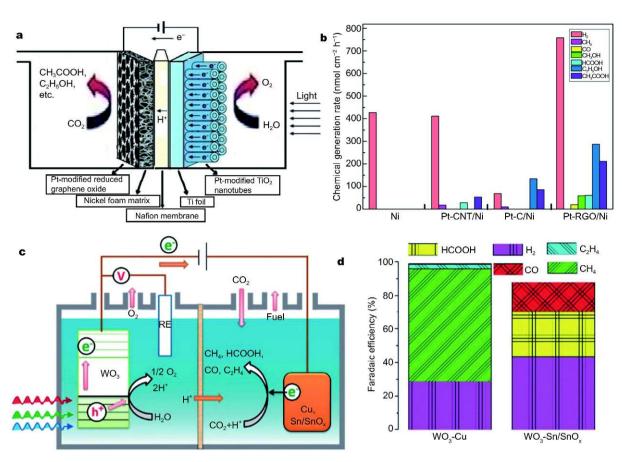


Figure 15 (a) Schematic diagram and (b) chemical generation rates of various products for the PEC setup that combines Pt-modified TiO<sub>2</sub> nanotubes as photoanode and Pt-modified rGO as dark cathode for CO<sub>2</sub> reduction. Adapted with permission from Ref. [169]. Copyright 2014, the American Chemical Society. (c) Schematic representation and (d) product distribution for the PEC system of CO<sub>2</sub> reduction that utilizes a WO<sub>3</sub> photoanode and Cu or Sn/SnO<sub>3</sub> cathode under visible-light irradiation. Adapted with permission from Ref. [44]. Copyright 2014, the Royal Society of Chemistry.

HCOOH, and CH<sub>3</sub>COOH. The conversion rate of carbon atoms reached 1.13  $\mu$ mol h<sup>-1</sup> cm<sup>-2</sup> (Fig. 15b). The optimization of Pt-RGO cathode induced an arresting enhancement of carbon atom conversion rate to 1.5  $\mu$ mol h<sup>-1</sup> cm<sup>-2</sup> [170]. Replacing Ni foam with Cu foam as cathode substrate could further enhance the carbon atom conversion rate to 4.34  $\mu$ mol h<sup>-1</sup> cm<sup>-2</sup> [171].

In addition to metal/carbon cathodes, some semiconductors have emerged as promising cathode materials for CO<sub>2</sub> reduction. Cu<sub>2</sub>O is a typical case owing to its low toxicity, high abundance and excellent activity, which has been widely exploited as photocathode for CO<sub>2</sub> reduction [33,82,83]. However, the poor stability caused by photocorrosion hinders the further development of Cu<sub>2</sub>O cathode [33]. To suppress the photocorrosion, Gong *et al.* [31] employed Cu<sub>2</sub>O as a dark cathode, combined with a TiO<sub>2</sub> photoanode for harvesting light. This strategy largely alleviated the instability of Cu<sub>2</sub>O, and achieved CH<sub>4</sub>, CO and CH<sub>3</sub>OH as main products with total FE of 87.4% and a selectivity of 92.6% for carbonaceous products.

In terms of photoanodes, other metal oxides were employed as the component of photoanodes for light harvesting. For instance, a WO<sub>3</sub> photoanode was used to harvest light, together with an efficient electrocatalyst as cathode (Fig. 15c) [44]. Cu cathode obtained CH<sub>4</sub> as the main product with an FE of 67% at 0.75 V *versus* RHE, while another Sn/SnO<sub>x</sub> cathode could achieve a combined FE of 44.3% for HCOOH and CO at 0.8 V *versus* RHE (Fig. 15d). In addition to metal oxides, a Ni-coated n-type Si was also chosen as photoanode to construct a PEC setup with a nanoporous Ag cathode for CO<sub>2</sub> reduction to CO [43]. At an external bias of 2.0 V, the setup delivered a current density of 10 mA cm<sup>-2</sup> with an FE of ~70%, and stabilized the performance up to 3 h.

In the configuration of integrating photoanode and dark cathode, photoanode serves as a light-harvesting

center and water oxidation takes place on its surface. The function of photoanode is the same as the component of PEC oxygen evolution device. As such, the strategies for improving the light utilization (e.g., light harvesting, charge separation and migration) can borrow from those for PEC water splitting, which have been perspicuously summarized by some recent reviews [8,9,20,25]. More importantly, the cathode plays a crucial role in determining the products of  $\mathrm{CO}_2$  reduction, especially for selectivity of the desirable products, which has been well corroborated by the case enumerated above (i.e.,  $\mathrm{WO}_3$  photoanode with  $\mathrm{Cu}$  or  $\mathrm{Sn/SnO}_2$  cathode).

Generally speaking, the excellent electrocatalytic materials for CO<sub>2</sub> reduction can perfectly perform as the cathode for PEC setup. Metal materials are typically regarded as robust electrocatalysts for CO<sub>2</sub> reduction owing to their low overpotentials and high activities [42]. We have emphatically outlined the broad prospect for metal materials as the co-catalysts of photocathode. Directly constructing the dark cathode with metal materials can also inherit those merits for CO<sub>2</sub> reduction. As different metal elements can offer specific selectivity for various products (e.g., Au for CO and Cu for hydrocarbons), one can rationally select one or more metal elements to construct cathode for the desirable products.

Apart from element types, other factors such as structure, morphology, size and composition can also intrinsically alter the reduction products. Recently, those factors have been extensively maneuvered to modulate the performance in electrocatalytic CO<sub>2</sub> reduction [172–175]. Due to the theme and length limitation of this review, we will not deeply discuss these matters in this review. One might stimulate inspirations through some recent reviews about electrocatalytic CO<sub>2</sub> reduction [42,50,176]. What we attempt to emphasize is that the extensively exploited metal electrocatalysts could be employed to construct the dark cathode for PEC CO<sub>2</sub> reduction, which offers the capability of boosting overall performance.

In spite of the promising potential for CO<sub>2</sub> reduction, two major limitations are intrinsically accompanied with the use of metal cathode, impeding the further development. One is the preciousness of noble metal, and the other is the simultaneous promotion of competitive water reduction. Recently, a large amount of novel materials have been studied in electrocatalytic CO<sub>2</sub> reduction, including transition metal oxides [177,178], chalcogenides [179,180], and carbon-based materials [181,182]. These candidates are derived from earth–abundant elements and bypass the predicament of noble metals. Moreover,

the special surface configurations endow high Faradaic efficiency for CO<sub>2</sub> reduction through the efficient adsorption and activation of CO<sub>2</sub> molecules, which steers the reaction pathway and suppresses competitive water reduction. Although the application of these materials has rarely been reported for PEC setups, we highlight that they would boost extraordinary talents in the future. Given their semiconducting properties, anchoring the materials on photocathode might be an alternative strategy for improving the catalytic performance in CO<sub>2</sub> reduction, which could both steer charge kinetics and serve as excellent co-catalysts.

#### Combining photoanodes with photocathodes

For a single-junction setup, photocathode or photoanode generally serves as a light-harvesting antenna for the conversion of solar energy to chemical energy. To optimize the light utilization, semiconductors are designed to extend light absorption, which typically needs to narrow the bandgap [4]. However, limited by the thermodynamic requirement for CO2 reduction and water oxidation, the semiconductor with a narrow band gap cannot supply energetic electrons/holes to trigger the reduction/oxidation reactions [15,17]. Although this matter also limits water splitting, the situation should be more severe for CO<sub>2</sub> reduction as it commonly suffers from large overpotential. As a result, the modulation of band gaps for CO2 reduction is caught in a dilemma. Although an external electrical bias can be applied to alleviate this predicament in a PEC process, it would be highly desirable to construct the PEC setup for CO2 reduction for minimal applied external electrical bias toward sustainable production.

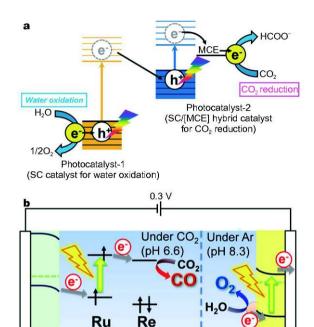
Natural photosynthesis provides an elegant strategy for converting CO<sub>2</sub> to carbohydrates, which has successfully inspired researchers to exploit a Z-scheme photocatalytic system to fulfill water splitting and CO<sub>2</sub> reduction [183,184]. On this account, the combination of photoanode with photocathode supplies a prospective approach to CO<sub>2</sub> reduction with minimal external electrical bias (Fig. 1c) [28]. In this setup, a n-type semiconductor with sufficiently positive VB position for water oxidation and a p-type semiconductor with sufficiently negative CB position for CO<sub>2</sub> reduction are employed as photoanode and photocathode, respectively. The semiconductor with a narrow band gap can be also suitable for this setup, achieving the maximization of light absorption (depicted in Fig. 16a).

Several subtle advances have been reported to achieve CO<sub>2</sub> reduction with high efficiency and minimal external

electrical bias (Table 4). A pioneering work was the PEC cell reported by Grimes et al. [30] using p-Si nanowires and n-TiO2 nanotube arrays as electrodes. Although hydrogen evolution was still predominant, this setup obtained CO as the main product of CO2 reduction under light illumination with a production rate of 824 nmol L<sup>-1</sup> h<sup>-1</sup> cm<sup>-2</sup> at -1.5 V versus Ag/AgCl. In the meantime, CH<sub>4</sub> and trace of C2-C4 hydrocarbons with a combined rate of 201.5 nmol L<sup>-1</sup> h<sup>-1</sup> cm<sup>-2</sup> were simultaneously evolved. In order to improve the efficiency and selectivity, versatile strategies were taken to optimize photoelectrodes, especially for photocathode. In a typical case, a Ru-based complex was anchored on p-InP photocathode while Ptloaded TiO2 served as photoanode for water oxidation [45]. Although the competitive water reduction cannot be completely eliminated, the FE for formate could achieve more than 70% with TON>17 at 24 h without external electrical bias. However, the solar-to-fuel efficiency (SFE, i.e., 0.03%-0.04%) was still low. In parallel, another metal complex (Ru(II)-Re(I) supramolecular metal complex) was also selected to modify a p-NiO photocathode [46]. As shown in Fig. 16b, the Ru(II) center could sensitize photocathode for enhanced light absorption, and the electrons transferred to the Re-based complex that worked as active sites for CO2 reduction. It was verified that the absence of metal complex induced a negligible activity for CO2 reduction. Combined with a CoO2/TaON photoanode, the PEC cell enabled visible-light-driven CO<sub>2</sub> reduction by using water as a reductant to generate CO and O2 with an external electrical bias of 0.3 V.

#### Photovoltaic-based tandem electrocatalytic devices

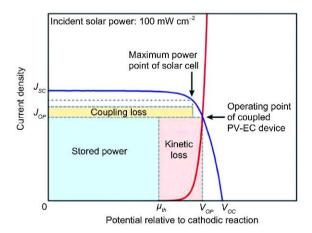
Another alternative strategy for alleviating the dilemma between extending light absorption and matching redox potentials is to construct a tandem device [13], which connects a photovoltaic cell with an efficient electrolyzer for CO<sub>2</sub> reduction, as described in Fig. 1d. The photovoltaic cell can well match the solar spectrum to maximize light utilization, inducing high incident photon-toelectron conversion efficiency (IPCE) to supply sufficient electrical current for the reactions. Meanwhile, the robust voltage can also be provided by series-connecting photovoltaic cells to satisfy the thermodynamic redox potentials of CO2 reduction and water oxidation. This concept has been well performed in water splitting, achieving high solar-to-hydrogen efficiency [185,186]. Notably, the basic principle for constructing this tandem device is that the operating point of electrolysis system must be as much as close to the maximum power point of photovoltaic cell, in order to minimize the energy loss in



**Figure 16** (a) Schematic illustrating the Z-scheme system for CO<sub>2</sub> reduction. Adapted with permission from Ref. [45]. Copyright 2011, the American Chemical Society. (b) Schematic illustration for the PEC setup with Z-scheme configuration consisting of Ru(II)-Re(I) metal complex modified p-NiO photocathode and CoO<sub>3</sub>/TaON photoanode for CO<sub>2</sub> reduction to CO. Reproduced with permission from Ref. [46]. Copyright 2016, the American Chemical Society.

Nafion CoO,/TaON

NiO-RuRe



**Figure 17** The generalized current density-voltage (*J-V*) diagram of a directly coupled photovoltaic-electrochemical device graphically which identifies the power flows relative to total incident solar power. Adapted with permission from Ref. [185]. Copyright 2013, the National Academy of Sciences.

converting electrical energy to chemical energy (Fig. 17) [185].

Since the successful achievement for water splitting, Grätzel and co-workers employed perovskite photo-

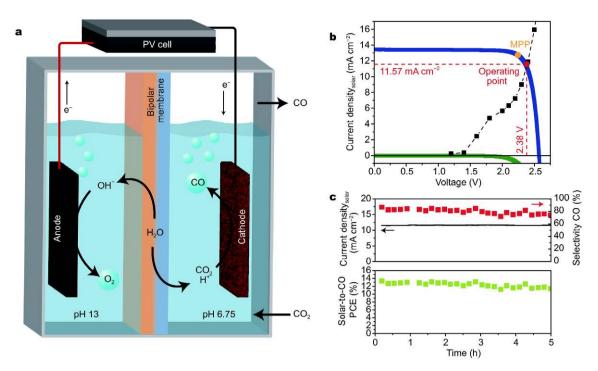


Figure 18 (a) Schematic illustration for the solar-driven CO<sub>2</sub> reduction device. (b) Photovoltaic and electrocatalytic current density-voltage behaviors. (c) Selectivity toward CO, solar current density and solar-to-CO efficiency as a function of photoelectrolysis time. Reproduced with permission from Ref. [48]. Copyright 2017, Nature Publishing Group.

voltaics to construct a photovoltaic-based tandem electrocatalytic device for CO<sub>2</sub> reduction [47]. With IrO<sub>2</sub> as anode and oxidized Au as cathode, the device reached a solar-to-CO efficiency (SCOE) exceeding 6.5% with excellent stability over 18 h. However, hydrogen was still generated as a secondary product. To suppress the hydrogen evolution and overcome the limitation of noble metal catalyst, they chose earth-abundant catalyst (i.e., CuO nanowires with SnO2 surface modification by atomic layer deposition) as both anode and cathode (Fig. 18a) [48]. The SnO<sub>2</sub> layer on surface could largely enhance the selectivity of CO production. Using a GaInP/ GaInAs/Ge photovoltaic cell, the operating point of device well matched with the maximum power point of photovoltaic cell (Fig. 18b), ensuring the high energy utilization efficiency. As a result, a high SCOE of 13.4% with selectivity over 80% was achieved (Fig. 18c).

In addition, this concept was also applied to the combination of photoelectrodes. Most recently, Jing *et al.* [187] reported a PEC system for CO<sub>2</sub> reduction, which was composed of Nile red (NR<sub>x</sub>) functionalized TiO<sub>2</sub> with Pd nanoparticles (Pd/NR<sub>x</sub>@TiO<sub>2</sub>) as photocathode and Co-Pi/W:BiVO<sub>4</sub> as photoanode. Their isotopic labelling experiments validated that CH<sub>3</sub>OH was the main product and O<sub>2</sub> was simultaneously generated from water. Upon

an external voltage of 0.6 V by a tandem Si-solar cell, the optimal system exhibited remarkable performance, yielding  $CH_3OH$  at a rate of 106  $\mu$ mol  $L^{-1}$   $h^{-1}$  cm<sup>-2</sup> with a high apparent quantum efficiency (AQE) of up to 95%.

#### Wireless monolithic devices

The PEC setups for CO<sub>2</sub> reduction discussed in the preceding sections inevitably used a wire for the linkage between electrodes. Moreover, the electrodes generally are immersed in different electrolytes to optimize the reactions, which are separated by a proton exchange membrane to prevent the diffusion of products to opposite electrodes. In consideration of practical applications, the complex setups usually suffer from high cost and complicated operation. Enlightened by the leaf for photosynthesis, a wireless monolithic device has recently received appealing interest [188]. As shown in Fig. 19a, the semiconductor component for light harvesting is placed in the middle of device, and co-catalysts for reactions are anchored on two sides through conductive layers. This wireless device not only benefits from low cost and easy operation, but also can extend the application to complex environment such as sea water.

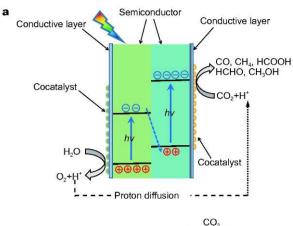
Another niche for this device is that the external electrical bias is no longer needed, avoiding the consumption

of electric energy. Since Nocera et al. introduced this concept into solar-driven water splitting to achieve an efficiency of 2.5% [189], the wireless device has also been exploited for CO<sub>2</sub> reduction by Arai and co-workers, as listed in Table 4. For instance, a Ru complex modified p-InP (InP/[RuCP]) was directly combined with a reduced SrTiO<sub>3</sub> (r-STO) to form a wireless system [132]. As depicted in Fig. 19b, profiting from the enlarged difference in the band-energy position between r-STO and InP, the electrons accumulated on the CB of InP and transferred to Ru complex, which performed the reduction of CO<sub>2</sub> to formate with a production amount of 0.94 µmol and SFE of 0.08% at no external electrical bias. Furthermore, they exploited an IrO<sub>x</sub>/SiGe-jn/CC/p-RuCP wireless monolithic device for the reduction of CO<sub>2</sub> to formate [190]. The SFE was largely promoted up to 4.6% with  $50.2~\mu mol$ of formate after irradiation of simulated solar light for

Although the wireless monolithic devices have realized a photoelectrocatalytic process, it should be noted that the efficiency is lower than that by traditional wired setups, no matter for water splitting or CO<sub>2</sub> reduction [132,189]. A main reason is that the generated protons on photoanode must move around to photocathode on the back side (Fig. 19a). Compared with the wired setup, the relative long distance of ionic transport for the wireless device imposes tremendous ohmic losses [191]. Given this situation, further designs should be focused on the reduction of ionic transport distance, such as perforating semiconductors or constructing nanostructures. However, too short distance should also be avoided in order to successfully separate the products.

#### **SUMMARY AND PERSPECTIVES**

The increasing energy crisis and global warming impel researchers to put emphasis on the unremitting exploitation of solar energy. Among diversiform applications, solar-driven CO2 reduction shows several distinct advantages: 1) atmospheric CO<sub>2</sub> can be largely consumed to confront the unfavorable effect on global warming; 2) the reduction of CO<sub>2</sub> can generate various products, such as CO, HCOOH, CH<sub>3</sub>OH and CH<sub>4</sub>, which have widespread industry and energy applications; 3) solar energy can be stored in the chemical fuels with high-energydensity form. The photoelectrocatalysis, which integrates photocatalysis with electrocatalysis, can combine the merits of both approaches, endowing more remarkable performance. Recent several decades have witnessed the rapid developments of PEC CO<sub>2</sub> reduction, which have been systematically summarized and discussed in this



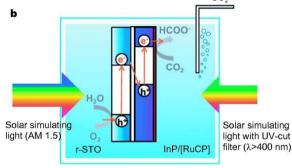


Figure 19 (a) Schematic representation for the electron and proton transport in wireless monolithic device for CO<sub>2</sub> reduction. (b) Schematic illustration for the r-STO/InP/[RuCP] wireless device for CO<sub>2</sub> reduction. Adapted with permission from Ref. [132]. Copyright 2013, the Royal Society of Chemistry.

review.

Considering that the photocathodes built by p-type semiconductors have been widely applied to CO<sub>2</sub> reduction, multifarious strategies are focused on constructing photocathodes, including improving light utilization efficiency and engineering high catalytic active sites. Thanks to the explosive development of solid-state physics and nanotechnology, a giant amount of strategies can be employed to revolutionize the photocathode toward high efficiency of light utilization, providing prerequisite to chemical reactions. The strategies of constructing 1D nanostructures, doping and forming heterojunctions are emphatically outlined in this review for modifying the band gap structure and altering the range of light absorption. These delicate designs for photocathodes at nanometer precision can intrinsically extend light absorption and facilitate charge separation and migration, which allows delivering sufficient energy and electrons for CO2 activation and conversion. After ensuring the high efficiency of light utilization, anchoring co-catalysts with high catalytic activity of CO<sub>2</sub> reduction (e.g., metal

nanoparticles, homogeneous metal complexes, organic molecules such as protonated pyridine, conductive polymers and enzymatic biocatalysts) on photocathodes are underlined to confront the frustrating activity of semiconductor and the sluggish reactions. The anchored cocatalysts can lower the overpotential and reduce the energy barrier, facilitating  ${\rm CO}_2$  activation and conversion. Meanwhile, reduction pathways can also be specifically designated, providing an opportunity for tuning the selectivity of reactions.

In addition to modulating the photocathodes, researchers also put emphasis on another fascinating approach constructing novel setups for PEC CO<sub>2</sub> reduction for surmounting the intrinsic disadvantages of p-type semiconductors. The use of n-type semiconductor as photoanode can separate light-harvesting centers from catalytic sites, endowing the flexibility of optimizing their performance.

In the meantime, some novel setups infuse new blood for improving the efficiency of PEC CO<sub>2</sub> reduction. Combining photoanode with photocathode to construct a Z-scheme photocatalytic system cannot only expand the library of candidates to numerous semiconductors with narrow band gaps, but also supply a larger self-generated voltage to minimize the consumption of external electricity. It is worth mentioning that the dilemma between extending light absorption and matching redox potentials can be alleviated by another appealing device, which integrates a photovoltaic solar cell with the high effective electrocatalytic electrodes. In parallel, a wireless monolithic device has also specifically been developed to achieve low cost and easy operation.

In spite of the tremendous efforts and glorious achievements, the studies in this area are still in preliminary and many challenges are needed to confront. In fact, PEC CO<sub>2</sub> reduction suffers from a serious of scientific challenges, including high overpotential, low catalytic activity, poor product selectivity, and unsatisfactory catalyst stability. More importantly, the reaction mechanism is still ambiguous so that the systematic understanding should be urgently established to guide the subtle designs. As compared with the photocatalytic system with a suspension of photocatalyst particles in a solvent, the mass transfer in a PEC cell system is a key process as the reactions only proceed at the surface of photoelectrode, which largely limits the overall catalytic performance. In addition, the setups for PEC CO2 reduction are much more complicated so as to suffer from higher operating costs, hindering the further practical application. Optimistically speaking, the remaining challenges provide us many opportunities for exploiting more efficient materials toward PEC CO<sub>2</sub> reduction. Herein, we highlight several aspects for PEC CO<sub>2</sub> reduction, which should be emphatically considered for further development in the future.

1) Improving the efficiency of CO<sub>2</sub> reduction. High efficiency of light utilization should be preferentially ensured to supply sufficient electrons for subsequent reactions, by extending light absorption as well as improving charge separation and migration. Borrowing from the glorious achievements in photocatalysis and photovoltaics, these matters can be well handled. The overpotential of reactions plays a crucial role in affecting the efficiency of CO<sub>2</sub> reduction. Constructing high active sites is an appealing strategy for lowering the overpotential, for which heterogeneous or homogeneous cocatalysts are commonly anchored on photoelectrodes. Given that diversiform co-catalysts have been subtly designed and exploited until now, a present challenge to overcome is how to modulate the integration of electrode with cocatalyst for efficient charge transfer and to avoid the deactivation for high stability.

In terms of heterogeneous cocatalysts, surface and interface engineering endows a promising approach to fabricate the catalytic system with high performance [111,192,193]. Generally speaking, surface engineering can alter the adsorption and desorption of substrate, intermediate and product molecules by tailoring the surface electronic state and configuration of catalytic sites, while the charge transfer and separation are largely influenced by interface engineering. To synergistically take advantage of surface and interface engineering, careful design must be implemented in the material synthesis. Several parameters such as facets, composition and defects can influence the behaviors at the surface and interface, which should be taken into consideration. For engineering homogeneous catalysts, one crucial factor is to furnish the strong connection without deactivating their catalytic activity [5]. Weak connection not only impedes the charge transfer, but also facilitates the shed of co-catalyst from electrode, quenching their activity. Notably atomically dispersed metal catalysts are highly recommended for the application in PEC CO2 reduction owing to their superb catalytic activity. The strong anchoring of atomically dispersed metals to photoelectrodes is essentially required; otherwise, the aggregation of metal atoms will dramatically deactivate the activity.

2) Enhancing the selectivity of desirable products, especially for high-valuable products. The use of co-catalysts seems to be a competent approach to boost the

performance of CO<sub>2</sub> reduction. However, the competitive water reduction is more thermodynamically and kinetically favorable with the assistance of co-catalysts, largely impeding CO2 reduction. It is almost impossible to completely eliminate the hydrogen evolution in the protonic electrolyte no matter for photocatalysis or electrocatalysis. An alternative way to avoid hydrogen evolution is to replace the protonic electrolyte with aprotic solvent such as CH<sub>3</sub>CN and DMF; however, the product for CO<sub>2</sub> reduction is CO in this case, and hydrogenation reactions cannot occur owing to the absence of protons [27]. In fact, the hydrogen evolution is not good-for-nothing during CO2 reduction process. If the product of CO2 reduction is CO by excluding other components, a mixture gas of CO and H2 will be obtained to form so-called syngas. The syngas is an industrial feedstock, as light olefins can be directly synthesized by the well-known Fischer-Tropsch synthesis using syngas as raw material. In view of this matter, a fascinating approach is to regulate the ratio of CO to H<sub>2</sub> in the products [194,195]. In comparison of eliminating the hydrogen evolution, this approach is well performed and endows more valuable products.

Among various products of CO<sub>2</sub> reduction, CO and HCOOH are much more favorable than the high-value products containing rich energy (e.g., CH<sub>3</sub>OH, CH<sub>4</sub> and other light hydrocarbons) due to kinetic accessibility. Some robust catalysts for CO<sub>2</sub> reduction (e.g., homogeneous metal complexes) exhibit outstanding performance for CO evolution, but they are feeble for further reduction and hydrogenation. The exploitation of catalysts with high selectivity for the energy-rich chemicals is an urgent challenge. Here we name two candidates for this requirement which may boost the development in the future. One is Cu-based catalysts [114,121,122]. Many studies have revealed that Cu-based catalysts can yield deep-reduced products with high selectivity. Although the reaction pathways and intermediates are still controversial, the Cu-based catalysts hold the promise for future development. The other candidate is enzymatic biocatalysts [162,165]. The enzymatic biocatalysts typically show high selectivity for products without side reactions; however, high cost and poor stability hinder their further practical application, which should be emphatically considered. In addition to direct reduction, PEC CO<sub>2</sub> fixation in organic synthesis is also a promising approach to utilize solar energy and convert CO<sub>2</sub> to highvalue chemicals [196].

3) Deeply and systematically understanding the sophisticated mechanisms of CO<sub>2</sub> reduction. Due to the complicated reaction pathways, the mechanism investigation of  $CO_2$  reduction is still in preliminary. The insight of reaction pathways would greatly help the subtle design of catalysts. In a typical case, in terms of two-electron reduction products (i.e., CO and HCOOH), holding the  $COOH^*$  intermediate and reducing the adsorption ability of CO on catalyst facilitate the evolution of CO, while the strong binding with  $CO_2^{\bullet-}$  tends to enable a favorable HCOOH production [42].

Two key factors are emphasized for mechanism investigation: confirmation of catalytic sites and understanding of intermediates. Thanks to the recent explosive developments of advanced characterization techniques, the factors can be carefully investigated. For instance, Xray absorption fine structure (XAFS) spectra can depict the geometries, electronic features, atomic distances and coordination environment at atomic precision [197,198], and aberration-corrected high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) can distinctly photograph metal atoms [199], providing a powerful tool to dissect catalytic sites. Meanwhile, in situ characterization techniques (e.g., in situ Fourier transform infrared spectroscopy (FTIR) [200] and Raman spectroscopy [201]) can offer valuable information for the adsorbed carbon species and intermediates on the catalysts, which would guide us to depict the reaction pathways.

Overall, PEC  $\mathrm{CO}_2$  reduction offers a powerful tool to utilize the solar energy and to enable the conversion of  $\mathrm{CO}_2$  to fuels. To overcome the bottlenecks in this field, significant developments have been performed, including multifarious strategies and novel constructed setups. As a matter of fact, the exploitation of PEC  $\mathrm{CO}_2$  reduction is still in its early stage, and there is still a long way to its large-scale practical applications. We highlight the perspective that PEC  $\mathrm{CO}_2$  reduction will undergo the explosive developments to satisfy the human's demands for energy and environment.

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**Conflict of interest** The authors declare that they have no conflict of interest.



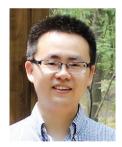
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#### 用于光电催化还原CO2为燃料的设计进展

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摘要 当今能源危机以及全球温室效应日益严重.以太阳能驱动的CO<sub>2</sub>还原为解决这些问题提供了一个全新的绿色途径,并受到研究者的广泛关注.光电催化过程能够整合光催化和电催化两者的优势,从而实现对CO<sub>2</sub>还原更高的效率和更理想的选择性.近几十年来,光电催化CO<sub>2</sub>还原蓬勃发展,已经取得了一些令人瞩目的成果.本文总结了近年来基于光电催化CO<sub>2</sub>还原的设计工作.在讨论CO<sub>2</sub>还原的基本理论和评价体系的基础上,我们首先介绍了光阴极的调控手段,包括提高光利用效率、开拓催化活性位点、调控反应过程等等.此外,我们还讨论了最近发展的用于CO<sub>2</sub>还原的新型光电催化装置.我们最后总结了光电催化CO<sub>2</sub>还原目前还面临的问题和此领域今后研究的重点.