Recognizing Objects in Adversarial Clutter: Breaking a Visual CAPTCHA

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Abstract

In this paper we explore object recognition in clutter. We test our object recognition techniques on Gimpy and EZ-Gimpy, examples of visual CAPTCHAs. A CAPTCHA ("Completely Automated Public Turing test to Tell Computers and Humans Apart") is a program that can generate and grade tests that most humans can pass, yet current computer programs can't pass. EZ-Gimpy (see Fig. 1, 5), currently used by Yahoo, and Gimpy (Fig. 2,9) are CAPTCHAs based on word recognition in the presence of clutter. These CAPTCHAs provide excellent test sets since the clutter they contain is adversarial; it is designed to confuse computer programs. We have developed efficient methods based on shape context matching that can identify the word in an EZ-Gimpy image with a success rate of 92%, and the requisite 3 words in a Gimpy image 33% of the time. The problem of identifying words in such severe clutter provides valuable insight into the more general problem of object recognition in scenes. The methods that we present are instances of a framework designed to tackle this general problem.

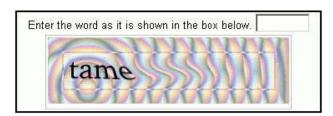


Figure 1: An EZ-Gimpy CAPTCHA in use at Yahoo

1. Introduction

A CAPTCHA is a program [19] that can generate and grade tests that:

1. Most humans can pass, BUT

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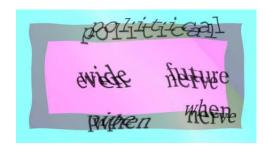


Figure 2: A Gimpy CAPTCHA. The task is to list 3 different words from the image.

2. Current computer programs can't pass

CAPTCHA stands for "Completely Automated Public Turing test to Tell Computers and Humans Apart".

The concept behind such a program arose from real world problems faced by internet companies such as Yahoo and AltaVista. Yahoo offers free email accounts. The intended users are humans, but Yahoo discovered that various web pornography companies and others were using "bots" to sign up for thousands of email accounts every minute from which they could send out junk mail. The solution was to require that a user solve a CAPTCHA test before they receive an account. The program picks a word from a dictionary, and produces a distorted and noisy image of the word (see Fig. 1). The user is presented the image and is asked to type in the word that appears in the image. Given the type of deformations used, most humans succeed at this test, while current programs (including OCR programs) fail the test. The goal of screening out the "bots" has been achieved.

Manuel Blum's group at CMU have designed a number of different CAPTCHAs. We strongly recommend visiting *http://www.captcha.net* to view some examples of these. Gimpy is based on word recognition in the presence of clutter. The task is to identify 3 of the approximately 7 words in a cluttered image. The Yahoo test is an easier version



of this, the so-called EZ-Gimpy, which involves only a single word. Pix is based on image recognition of typically more abstract categories. Chew and Baird [5] also developed a test involving heavily degraded fonts. CAPTCHAs have also been designed based on auditory recognition [4]. For visually impaired humans, these may be the most appropriate tests.

The underlying principle behind the design of CAPTCHAs is a reduction to a hard AI problem. In the words of Ahn, Blum and Langford [19]: Any program that passes the tests generated by a CAPTCHA can be used to solve a hard unsolved AI problem. Their hope is thus to provide challenge problems for AI researchers. If the problem cannot be solved by computer programs, it can be used as a CAPTCHA and provide practical help to companies like Yahoo, AltaVista etc. If it can be solved, that marks scientific progress on a hard AI problem.

We, as vision researchers, decided to take up the challenge of defeating EZ-Gimpy and Gimpy. This paper describes an algorithm which achieves this goal. On EZ-Gimpy our program correctly identifies the word 92% of the time, which implies that Yahoo can no longer use it to screen out "bots". Our success rate of 33% on Gimpy also renders it ineffective as such a screening tool.

These two datasets provide more than just a colourful toy problem to work on. In this paper we explore two major aspects of object recognition: difficult clutter, and the tradeoffs between recognition based on parts versus the whole object. The CAPTCHAs we use present challenging clutter since they are designed to be difficult for computer programs to handle. Recognition of words lends itself easily to being approached either as recognition by parts (individual letters or bigrams) or whole objects (entire words).

More importantly, these datasets are large. There are about 600 words that need to be recognized. Also, since the source code for generating these CAPTCHAs is available ("P" for *public*), we have access to a practically infinite set of test images with which to work. This is in stark contrast to many object recognition datasets in which the number of objects is limited, and it is difficult to generate many reasonable test images.

There are definitely limitations to this dataset in terms of studying general object recognition. Most notably, these are 2D objects and there is no variation due to 3D pose. In addition, there are no shading and lighting effects in synthetic images of words.

We believe that the ability to do quantitative experiments using a large set of test images with difficult clutter outweighs these drawbacks. We hope that other researchers will attempt their techniques on these and other CAPTCHAs.

Many computer vision researchers have worked on the problem of object recognition. Of these approaches, the

most plausible candidates for success in this domain are Le-Cun et al. [11] and Amit et al. [1]. LeCun et al. use convolutional neural networks to perform handwritten character recognition. Amit et al. use point features combined with graphs to match various deformable objects. These techniques are somewhat robust to clutter, but it is not obvious that they could deal the kind of "adversarial" clutter found in Gimpy images.

The structure of the paper is as follows. In section 2 we describe our general purpose matching framework. In section 3 we explore the tradeoffs in parts-based and holistic matching. We describe two algorithms based on this framework in sections 4 and 5, and apply them to EZ-Gimpy and Gimpy. We conclude in section 6.

2. Matching using Shape Contexts

We are given a database of images of known objects. Our task is to find instances of these objects in a cluttered environment. In the case of Gimpy images, these objects are words or letters, possibly in a variety of fonts.

In our work, we will compare objects as shapes – each represented by a discrete set of n points $\mathcal{P} = \{p_1, \dots, p_n\}$, $p_i \in \mathbb{R}^2$ sampled from the internal and external contours of the shape. Belongie et al. [2, 3] introduced the *shape context* descriptor based on such a representation and used it in a deformable template approach to match handwritten digits and 3D objects. Consider the set of vectors originating from a point to all other sample points on a shape. These vectors express the configuration of the entire shape relative to the reference point. Obviously, this set of n-1 vectors is a rich description, since as n gets large, the representation of the shape becomes exact.

The full set of vectors as a shape descriptor is much too detailed since shapes and their sampled representation may vary from one instance to another in a category. The *distribution* over relative positions is a more robust and compact, yet highly discriminative descriptor. For a point p_i on the shape, compute a coarse histogram h_i of the relative coordinates of the remaining n-1 points,

$$h_i(k) = \# \{ q \neq p_i : (q - p_i) \in bin(k) \}$$
.

This histogram is defined to be the *shape context* of p_i . The descriptor should be more sensitive to differences in nearby pixels, which suggests the use of a log-polar coordinate system. An example is shown in Fig. 3(c). A related approach, developed for 3D data, is the *spin images* technique of Johnson and Hebert [9].

2.1. Matching Framework

The work by Belongie et al. [3] resulted in extremely good performance, e.g. 99.4% accuracy on the MNIST handwritten digit set, as well as on a variety of 3D object recogni-



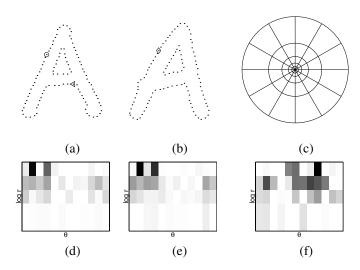


Figure 3: Shape contexts. (a,b) Sampled edge points of two shapes. (c) Diagram of log-polar histogram bins used in computing the shape contexts. (d-f) Example shape contexts for reference samples marked by \circ , \diamond , \triangleleft in (a,b). Each shape context is a log-polar histogram of the coordinates of the rest of the point set measured using the reference point as the origin. (Dark=large value.) Note the visual similarity of the shape contexts for \circ and \diamond , which were computed for relatively similar points on the two shapes. By contrast, the shape context for \triangleleft is quite different.

tion problems. However, applying this deformable matching algorithm to a large database of models would be computationally prohibitive. To deal with this problem, Mori et al. [14] described a two stage approach to object recognition, namely:

- 1. *Fast pruning:* Given a query image, we should be able to quickly retrieve a small set of likely candidate shape and location pairs from a potentially very large collection of stored shapes.
- 2. *Detailed matching:* Once we have a small set of candidate shapes, we can perform a more expensive and more accurate matching procedure to find the best matching shapes to the query image.

In this paper we use a matching framework of this style. In the following sections we first describe a new descriptor that is an extension of shape contexts. We then provide the details for the two stages in our recognition framework, fast pruning and detailed matching.

2.2. Generalized Shape Contexts

We have extended the shape context descriptor by encoding more descriptive information than point counts in the histogram bins. In this work, to each edge point q_i we attach a unit length tangent vector t_i that is the direction of the edge at q_i . In each bin we sum the tangent vectors for all points falling in the bin.

$$\hat{h}_i(k) = \sum_{q_i \in Q} t_i, \text{ where } Q = \{q \neq p_i, (q - p_i) \in \text{bin}(k)\}$$

Each bin now holds a single vector in the direction of the dominant orientation of edges in the bin. We compare them using a distance similar to the χ^2 distance in [3].

$$d(\hat{h}_i, \hat{h}_j) = \sum_{\text{bins } k} \frac{\|\hat{h}_i(k) - \hat{h}_j(k)\|^2}{\|\hat{h}_i(k)\| + \|\hat{h}_j(k)\|}$$

We call these extended descriptors *generalized shape contexts*.

2.3. Fast Pruning

The goal of the fast pruning stage is to construct a small set of hypotheses of objects at various locations in the query image. We use tests based on *representative shape contexts* to accomplish this goal.

The matching process proceeds in the following manner. For each of the known shapes S_i , we precompute a large number s (about 100) of shape contexts $\{SC_i^j: j=1,2,\ldots,s\}$. But for the query image Q, we only compute a small number r of representative shape contexts. To compute these r shape contexts we randomly select r sample points from the image. We then do comparisons with each of the known shapes using only these shape contexts in a voting scheme.

In these cluttered images, many of the shape contexts contain noisy data, or are not located on the shape S_i . Hence, for each of the known shapes S_i we find the best k representative shape contexts, the ones with the smallest distances. Call this set of indices G_i^{-1} . The distance between Q and S_i is then:

$$d(Q, S_i) = \frac{1}{k} \sum_{u \in G_i} \frac{d(SC_Q^u, SC_i^{m(u)})}{N_u}$$

where
$$m(u) = argmin_i d(SC_O^u, SC_i^j)$$

 N_u is a normalizing factor that measures how discriminative the representative shape context SC_Q^u is:

$$N_u = \frac{1}{|\mathbb{S}|} \sum_{S_i \in \mathbb{S}} d(SC_Q^u, SC_i^{m(u)})$$



¹The same shape can appear many times in one query image. Therefore, there can be many sets G_i per model S_i . We group nearby representative shape contexts to obtain these sets.

where \$\S\$ is the set of all shapes.

We also obtain an estimate of the position of the shape S_i . If representative shape context SC_Q^u at point \vec{p}_u in the image best matches point $\vec{q}_{m(u)}$ on shape S_i , it "votes" for shape S_i at location $(\vec{p}_u - \vec{q}_{m(u)})$, with weight $d(SC_Q^u, SC_i^{m(u)})$. The final estimate of the location L_i of S_i is:

$$\begin{split} L_i &= \frac{1}{M_i} \sum_{u \in G_i} (1 - d(SC_Q^u, SC_i^{m(u)})) \cdot (\vec{p}_u - \vec{q}_{m(u)}) \\ &\text{where } M_i = \sum_{u \in G_i} (1 - d(SC_Q^u, SC_i^{m(u)})) \end{split}$$

The end result of our fast pruning procedure is a set of $\langle S_i, L_i, d(Q, S_i) \rangle$ tuples. We can threshold this set based on distance to obtain a small set of candidates.

Voting ideas have been used before in object recognition (e.g. Lamdan et al. [10]). Our method is different from ones such as geometric hashing in that it uses soft weighting of votes and locations instead of making hard decisions.

2.4. Detailed Matching

The detailed matching stage uses a deformable template approach. In such an approach, a query shape is compared to a candidate model shape by attempting to deform the model into alignment with the query shape. We implement this using iterations of correspondence through shape context matching and deformation using thin plate splines. A cost for performing this deformation is computed and used along with the shape context distance as the matching cost between the model and the query. More details can be found in [3].

3. Approaches to Recognition

There are two important types of data available to us in solving word recognition tasks – lexical information and visual cues. The lexical information could be the set of words, the set of bigrams, or the set of 26 letters, depending upon the scope of one's view. The visual cues are the grayscale patches, edges and other features in the image. In order to design a recognition algorithm, one must exploit the information present in these two cues. In this work we study the role of each, and the tradeoffs that exist in using them.

In the field of handwriting recognition, the different levels of lexical information are well studied. Some approaches, such as [12], take a holistic approach, recognizing entire words, while others such as [15] focus more on recognizing individual characters. Plamondon and Srihari [16] provide an extensive survey of this work.

Holistic approaches incur more computational cost since there are more models, but have more expressive and discriminative power since the visual cues are gathered over large areas. The more efficient, essentially parts-based algorithms are faster, but can have difficulty in clutter when the visual cues of the parts are corrupted.

In the following sections we will develop two algorithms. The first takes a bottom-up, parts-based approach, finding characters first, and using the lexical information to decide which characters can be formed into words at a later stage. The second algorithm uses a holistic approach and tries to find words immediately. We devise pruning methods to deal with the associated efficiency problems.

In our experiments we will use algorithm A to break EZ-Gimpy, and algorithm B for EZ-Gimpy and Gimpy. We will show how the clutter in Gimpy corrupts the visual cues to the degree that individual letters are no longer discriminable. In such scenarios a holistic approach is desirable. However, with good visual cues as in EZ-Gimpy, an efficient parts-based approach has its merits.

4. Algorithm A

Our first algorithm for finding words in images works from the bottom-up, starting with visual cues and incorporates lexical information later on. It consists of three steps:

- 1. Perform a series of quick tests to hypothesize locations of letters in the image
- 2. Extract strings of these hypothesized letters that form candidate words
- 3. Choose the most likely word(s) by evaluating a matching score for each of these words

An example of processing an image using the three stages of our method is shown in Fig. 4.

The general philosophy that we follow is the use of a coarse to fine matching strategy for computational efficiency. We start with quick, approximate tests that prune away much of the search space. These tests may have high false positive rates, but should reject very few correct hypotheses. We work our way down to using more computationally expensive, but more accurate, tests for the final comparison that determines which word is present. However, we need only apply these expensive tests to a small number of remaining hypotheses. Previous work by Geman and Jedynak [6] and Viola and Jones [18] follow a similar vein of thought.

Specifically, the first step in our method prunes a large space of letter locations (26 letters that could occur anywhere in the image) down to about 100 hypothesized $\langle letter, location \rangle$ tuples. The second step then analyzes this set of tuples to produce 1 to 10 actual words that are found in the dictionary. Finally, the expensive task of assigning a score to a word is performed on this small set. In the following sections we will provide the details of each step in our algorithm.



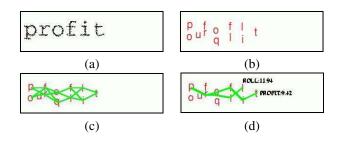


Figure 4: The 3 steps in algorithm A demonstrated on a simple example: (a) Gimpy image (b) Locations of hypothesized letter locations (c) DAG of possible strings of letters (d) Scores of top matching words (shown on paths through DAG). The word "profit" is found as the best matching word

4.1. Finding Letter Hypotheses

The first step in this method is to hypothesize a list of $\langle letter, location \rangle$ tuples. This stage must be fast – at each location in the image any one of the 26 letters could be present.

We start with a training set of 26 images, each an uncluttered image of a single letter. We run Canny edge detection on these images and construct a generalized shape context for each edge point in each training image. This gives us a pre-computed database of approximately 2600 generalized shape contexts.

We employ the voting scheme, based on the representative shape contexts technique in [14], described in section 2.3. First, we sample 0.1 the number of Canny edge points² in the image at which we compute representative shape contexts. This number is typically 30 to 50.

The process outlined above gives us a set of $\langle letter, location, score \rangle$ tuples. We threshold based on the scores to obtain a final set of $\langle letter, location \rangle$ hypotheses (5 to 30 total).

4.2. Extracting Candidate Words

At this point we have a set of $\langle letter, location \rangle$ hypotheses strewn about the image. There tend to be clusters of hypotheses around real letters in the image, as shown in Fig. 4(b). The next step is to find sequences of these letters that form candidate words. To this end we construct a directed acyclic graph (DAG) in which there is a node for each letter hypothesis l_i , and an edge $e = (l_i, l_i)$ between

two nodes if letter hypothesis l_j can be used as the letter succeeding l_i in a word. We compute this consistency of a pair of letters by considering their bounding boxes (obtained from training images of letters). First, letter l_i must be at a location to the left of letter l_j , since all words are read left to right. Second, the bounding boxes should neither overlap too much nor be too far away from each other. This enforces spatial continuity within words. Each path in this DAG represents one possible word.

In a typical Gimpy image there can easily be 10^5 to 10^6 paths through this DAG. However, most of these paths will be nonsense, strings of letters such as "ghxr". Again we wish to do some quick pruning, in order to reduce the number of paths through this DAG. We use tri-grams for this pruning. A tri-gram is a sequence of 3 letters, hence there are 26^3 possible tri-grams. An n-letter word has n-2 trigrams. The probability of all n-2 trigrams for a word appearing by chance in our DAG is very low. We use this fact to devise our pruning method.

We precompute all tri-grams of all words in the dictionary (EZ-Gimpy uses 561 words). After obtaining the DAG of letter hypotheses for our test image, we can quickly compute all the tri-grams (usually around 1000) present in it. Then we prune – a dictionary word cannot be present in the image unless all of its tri-grams are present. Finally, for the small number of remaining words, we check that each of them is actually found as a path through the DAG, since the n-2 tri-grams might not actually occur in sequence. All words that pass this final test are then listed in the final set of candidate words. Note that our technique is only one example strategy for string matching. This is a well studied subject [7].

4.3. Choosing the Most Likely Word

We now have a set of candidate words and wish to choose the most likely one to use as our answer to the Gimpy test. This final step is the most computationally intensive. However, we are left with only a few words which need to be evaluated.

The score we use for ranking the set of candidate words is based on deformable matching cost of individual letters, similar to that used by Belongie et al. [3] used for matching handwritten characters. Scores are obtained through matching costs of generalized shape contexts. The score for a word is the average score for matching each of its letters.

This is the final step. We compute matching scores for each of our candidate words. Our answer to the Gimpy test is the word with the best matching score.

4.4. Results

An EZ-Gimpy CAPTCHA consists of a single deformed word in a cluttered image. See Fig. 5 for examples of typi-



²Note that in images containing background texture, the Canny edge detector will fire nearly everywhere. We need a way of choosing representative shape contexts that are likely to be on or near letters, instead of being "wasted" on the background. We sample our representative shape contexts near high values of the *texture gradient* [13] operator. This operator is designed to measure texture differences and therefore in the interior of any homogeneous texture, it will have a small response.

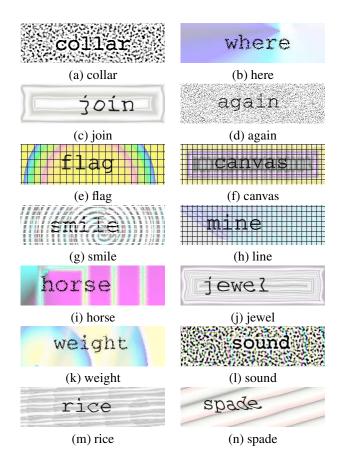


Figure 5: Examples of results on EZ-Gimpy images. The best matching word is shown below each image. Examples (a),(c-g),(i-n) produced correct results, (b) and (h) incorrect results.

cal EZ-Gimpy images. We used algorithm A to quickly find the word present in these images.

For our experiments, we generated 200 examples of the EZ-Gimpy CAPTCHA using the code available at http://www.captcha.net. Of these examples, 9 were used for tuning parameters in the bounding box similarity computation and texture gradient modules. The remaining 191 examples were used as a test set. In 158 of these 191 test cases, the top matching word from our method was the correct one, a success rate of 83%.

Note that it is also possible to use a soft probabilistic strategy involving HMMs choose the most likely word from the DAG, instead of making hard pruning decisions.

Some examples of the 191 EZ-Gimpy CAPTCHA images used, and the top matching words are shown in Fig. 5. The full set of 191 test images and results can be viewed online at http://www.cs.berkeley.edu/~mori/gimpy/ez/.



Figure 6: Examples of letter sized patches from Gimpy CAPTCHAs. It is very difficult to read these isolated letters without the long range context in which they appear. We must instead read whole words at once.

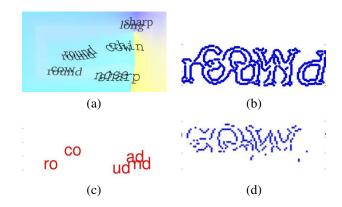


Figure 7: Processing a Gimpy image using algorithm B. (a) Input image. We process each pair of words separately. (b) Edge detection output on a single pair of words. (c) Hypothesized bigrams. (d) Pixels remaining after guess of word "round". Multiple iterations of step (d) – guess a word, remove pixels, guess remaining word are performed.

5. Algorithm B

The second algorithm is a holistic one that attempts to find entire words at once, instead of looking for letters. In severe clutter, many of the parts can be occluded or highly ambiguous. In the case of Gimpy, letters are often not enough. Figure 6 shows some example letter-sized patches from Gimpy images. It is nearly impossible to determine which character(s) is present in these patches. However, when one sees the entire word, it is clear which word is displayed. This is the motivation for a holistic approach to recognition.

We construct shape contexts that are elliptical in shape, with an outer radius of about 4 characters horizontally, and about $\frac{3}{4}$ of a character vertically. These are our features for doing the recognition at a word-level. However, a brute-force approach, using representative shape contexts to compare to every word in the dictionary, is infeasible because of computational cost. Instead, we do some pruning to reduce our set of words down to a manageable size, and then use this holistic approach to match complete words. Figure 7 shows the steps in processing an image using this algorithm.



5.1. Pruning with Bigrams

Figure 9 shows some examples of Gimpy CAPTCHAs. Each image contains 10 words (some duplicates) overlaid in pairs, with some background clutter. We examine each pair of words separately. The task is to correctly recognize any 3 of these words. In these images, the most salient parts of the words are often the beginning or ending few letters. Moreover, given the opening or closing bigram, the number of possible candidate words is very small. The pruning we do is based on these observations. In the 411 word gimpy dictionary there are 128 distinct opening and 112 closing bigrams. If we can accurately determine these bigrams we will be left with a small number of candidate words.

This bigram pruning is done using the fast pruning method outlined above. In our experiments on Gimpy, we truncate the list of bigrams (either beginning or ending), ordered by shape context distance, such that we are left with a shortlist of about 20 candidate words.

Some holistic matching can be done here to reduce the size of the shortlist. In our experiments we sorted these candidate words using the word-sized shape contexts as features in the fast pruning process, to be left with about 4 words.

5.2. Layers of Words

In a Gimpy CAPTCHA, the words appear in pairs. Given a guess of one of the words, we can try to remove it, and recognize the second word based on the remaining pixels. The removal of pixels is done with an assignment problem. We match edge pixels on our guess of the first word with the edges in the image. We remove the edges in the image that were used in the matching, and then repeat our bigram pruning to obtain a new shortlist of possible second words. This type of analysis is similar to work on transparency such as [8, 17].

5.3. Final Score

After the layers analysis we are left with 16 pairs of words (4 guesses for the first word, each with its own 4 guesses for the second word). The final step is to assign scores to each of these words.

For each pair, we produce a synthetic image of the two words overlaid at their estimated locations (given by the pruning method). We then compute shape contexts in the synthetic image. The final score for a word is the representative shape contexts pruning cost using a sizable fraction (.3 in experiments) of the edge pixels from the word as the value for k, the number of edge points to match. Most of the edges in the center of the word are corrupted beyond recognition, hence it isn't useful to use them in the final scoring.

The two words in each pair are scored separately, we select the best k_w edge points from each word. In the Gimpy

CAPTCHA, the task is to recognize 3 words. We choose the 3 words with the best score as our answer.

5.4. Results

We tested algorithm B on 24 instances of the Gimpy CAPTCHA. In order to pass, the program must guess 3 words, all of which are in the image. Our results are shown in Fig. 8 and 9. We successfully guess 3 words from the image 33% of the time. With our 33% accuracy, this CAPTCHA would be ineffective in applications such as screening out "bots" since a computer could flood the application with thousands of requests.

# Correct Words	Percentage of Tests
≥ 1	92%
≥ 2	75%
3	33%

Figure 8: Results on Gimpy. The task is to guess 3 words in the image. We pass the test 33% of the time. However, one or two words guessed are correct very frequently.

The sharp decrease in accuracy in Fig. 8 is not unexpected. If we assume that the accuracy of each of the 3 words is independent, and a single word accuracy of 70%, we would only expect to pass the test $0.7^3 = 0.34$ of the time.

We also applied algorithm B (searching for entire words, without the layers analysis) to the same 191 instances of EZ-Gimpy as algorithm A. We found the correct word in 176 of these, for a success rate of 92%.

6. Conclusion

In this paper we have explored methods for performing object recognition in clutter. We have explored the tradeoffs between using high level lexical information, in our case the dictionary of words, to guide recognition versus relying on low level cues. We tested our algorithms on two word-based CAPTCHAs that allow us to do experiments with many test images.

Clutter that involves other real objects, like the words in the Gimpy images, makes recognition much more difficult than the heavily textured backgrounds of EZ-Gimpy. Our algorithms were able to effectively deal with both of these types of clutter and break these two CAPTCHAs with a high frequency of success.

The problem of identifying words in such severe clutter provides valuable insight into the more general problem of object recognition in scenes. Parts are often ambiguous in clutter, but at the same time anchoring around obvious parts can improve efficiency. We have presented algorithms that



Figure 9: Results on Gimpy. The 3 words that are guessed using Algorithm B are shown below each image.

are instances of a general framework that can be applied to other recognition problems.

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