#### 1 Abstract

2 The relationship between recovery and fatigue and its impact on performance has attracted the 3 interest of sports science for many years. An adequate balance between stress (training and 4 competition load, other life demands) and recovery is essential to achieve continuous high-5 level performance of athletes. Research has focused on the examination of physiological and 6 psychological recovery strategies to compensate external and internal training and 7 competition loads. A systematic monitoring of recovery and the subsequent implementation 8 of recovery routines aims to maximize performance and to prevent negative developments 9 such as underrecovery, non-functional overreaching, the overtraining syndrome, injuries, or 10 illnesses. Due to the inter- and intra-individual variability of responses to training, 11 competition, and recovery strategies, a diverse set of expertise is required to address the multifaceted phenomena of recovery, performance and their interactions to transfer 12 knowledge from sports science to sports practice. For this purpose, a symposium on *Recovery* 13 14 and Performance was organized at the Technical University Munich Science and Study 15 Center Raitenhaslach (Germany) in September 2016. A range of international experts from 16 many disciplines and research areas gathered to discuss and share their knowledge on 17 recovery for performance enhancement in a variety of settings. The results of this meeting are 18 outlined in this consensus statement that provides central definitions, theoretical frameworks, 19 as well as practical implications as a synopsis on the current knowledge of recovery and 20 performance. While our understanding of the complex relationship between recovery and 21 performance has significantly increased through research, we also elaborate some important 22 issues for future investigations.

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24 *Keywords:* load; monitoring; enhancement; physiological; psychological; review

#### 25 **Definition of central terms**

26 Recovery is regarded as a multifaceted (e.g., physiological, psychological) restorative process 27 relative to time. In case an individual's recovery status (i.e., their biopsychosocial balance) is 28 disturbed by external or internal factors, fatigue as a condition of augmented tiredness due to 29 physical and mental effort develops.<sup>1</sup> Through recovery, fatigue can be compensated, thereby regaining the organismic allostatic balance by re-establishing the invested resources on a 30 physiological and psychological level.<sup>2</sup> Recovery is an umbrella term, which can be further 31 characterized by different modalities of recovery such as regeneration or psychological 32 33 recovery strategies.

Regeneration in sport and exercise refers to the physiological aspect of recovery and ideally follows training or competition induced physical fatigue.<sup>3</sup> Frequently applied and scientifically evaluated regeneration approaches encompass strategies such as cold water immersion (CWI) and sleep.<sup>4</sup> In contrast, mental fatigue (i.e., cognitive exhaustion) can mainly be compensated through psychological recovery strategies such as cognitive selfregulation, resource activation, and psychological relaxation techniques.<sup>3,5</sup>

Furthermore, Kellmann<sup>2</sup> distinguishes between passive, active, and pro-active approaches to recovery. Passive methods may range from the application of external methods (e.g., massage) or implementing a state of rest which is characterized by inactivity. Active recovery (e.g., cool-down jogging) involves mainly physical activities aimed at compensating the metabolic responses of physical fatigue. Pro-active recovery (e.g., social activities) implies a high level of self-determination by choosing activities in light of individual needs and preferences.<sup>3,6</sup>

47 A certain degree of fatigue resulting in functional overreaching (FO) is required for performance enhancement and can be compensated through comprehensive recovery. FO 48 49 describes a short-term decrement of performance without signs of maladaptation as a 50 consequence of intensive training. In case systematic and individualized recovery is not 51 achieved after training and FO, a continuous imbalance of inadequate recovery and excessive 52 demands could initiate a cascade of deleterious conditions including underrecovery and non-53 functional overreaching (NFO). Underrecovery and NFO represent two closely related, 54 though slightly different concepts. While underrecovery appears to delineate a broader 55 condition of insufficient recovery in reaction to general stress (e.g., family, media), Meeusen 56 et al.<sup>7</sup> characterize NFO as training-specific negative psychological and hormonal alterations 57 and subsequent decreased performance. Continuous underrecovery and NFO often serve as a

precursor of the overtraining syndrome (OTS). An accumulation of underrecovery in terms of 58 59 daily life demands together with long-term NFO in training and competition settings ultimately manifest in the OTS. The OTS is marked by physical symptoms such as continuous 60 61 muscle soreness, pain sensations or clinical and/or endocrinological disturbances. 62 Underrecovery and early-stage NFO can be compensated by a systematic application of 63 recovery strategies and rest, alongside lifestyle-related strategies like sleep, diet, and social activities. However, recovering from the OTS requires a continuous restoration together with 64 long rest and recovery periods lasting from weeks to months accompanied by reduced 65 66 performance.

Performance can be defined as the accomplishment of goals by meeting or exceeding 67 predefined standards.<sup>8</sup> The multidimensional concept of performance is linked to 68 69 physiological and psychological influences in a reciprocal manner. The concept describes 70 individual or collective patterns of behavior depending on a set of skills, abilities, and specific 71 performance conditions. Performance is therefore determined by the development of specific 72 skills and abilities to adapt to unexpected environmental influences, and the continuous and 73 reliable delivery of these skills and abilities in competitive situations.<sup>3,8</sup> Performance in turn 74 can be affected by physiological capacities, such as endurance, strength, speed, or flexibility.<sup>1,9</sup> Psychologically, factors such as concentration, motivation, and volition may also 75 affect performance.<sup>5</sup> 76

Recovery and fatigue can be considered on a continuum, and are jointly affected by
physiological and psychological influences of restoration and depletion. An imbalance of
long-term fatigue and insufficient recovery initiates an unfavorable development, resulting in
negative consequences such as underrecovery, NFO, or the OTS. Ultimately, a long-term
decrement of performance and well-being may manifest.<sup>7</sup>

#### 82 Assessment of recovery

Due to the multifactorial nature of recovery, the assessment of the recovery-fatigue continuum should be relative to the demands of the sport. While performance measures represent the most sports-specific outcomes, other physiological and psychological measures provide integral information on an athlete's recovery and biophysical balance.

Performance can be characterized by competition outcomes or the perceptions of the coaching staff, though often important maximal physical capacities are used as surrogates.<sup>4</sup> However, imposing a maximal sport-specific task to test the readiness to perform may be

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90 deemed counterproductive. Given the practical constraints and ambiguity of performance 91 measures, sports scientists rely on feasible and simple measures, such as tests of peak power in jumping-lifting tasks or sub-maximal efforts in set-intensity tasks.<sup>10</sup> These measures 92 93 exemplify convenient proxies where established gold-standard measures of performance are 94 not available or are impractical. In light of these limitations, it is crucial to understand the 95 ecological and construct validity of the proxy performance task together with measurement accuracy (i.e., sensitivity and specificity). This knowledge is critical for developing a 96 performance-relevant task to interpret the state of recovery and fatigue.<sup>10</sup> A thorough 97 98 understanding of recovery can only be garnered from controlled testing in recovered and 99 fatigued states (i.e., sensitivity to load), regardless of laboratory or field environments. More importantly, tests require practicality in combination with athlete belief of the task relevance 100 101 to competitive performance outcomes.

102 Physiological markers are used to infer the extent of disruption of allostasis caused by 103 the training or competition loads. These physiological measures of recovery should interfere 104 minimally with the training process and be based on a clear physiological rationale related to 105 the recovery-fatigue continuum. A common method involves the monitoring of the autonomic 106 nervous system via measures of heart rate (HR) and/or heart rate variability (HRV) at rest or 107 following exercise.<sup>11</sup> This method has been of increasing interest due to the non-invasive, time-efficient, and inexpensive applicability to a large number of athletes.<sup>12</sup> Correct 108 109 interpretations need to consider variations in the training phase and/or load, as well as the individual error of measurement and the smallest worthwhile change.<sup>12</sup> Alterations in blood-110 111 based variables also characterize a prevalent approach as blood lactate is often assessed to monitor recovery and fatigue, although its appropriateness is still debated.<sup>12</sup> Several markers 112 113 of damage, inflammation or stress, such as creatine kinase (CK), urea nitrogen, salivary cortisol, free-testosterone and/or IGF-1 have further been investigated. CK has been proposed 114 as a reliable marker in team sports,<sup>4,13</sup> while urea nitrogen provides promising results in 115 116 endurance-based sports.<sup>13</sup> However, their usefulness on a regular basis remains unclear, as these measures are prone to a large inter- and intra-individual variability in both baseline 117 values and the post-exercise response.<sup>13,14</sup> To overcome this shortcoming, gradual 118 119 individualization of reference ranges based on a Bayesian approach has been proposed.<sup>15</sup>

Despite the importance of performance and physiological markers, the perception of an athlete's readiness to perform describes a critical determinant of recovery. Commonly applied psychological measures of individual responses to acute and chronic training load encompass the Rating of Perceived Exertion (RPE<sup>16</sup>), the Profile of Mood States (POMS<sup>17</sup>),

and the Recovery-Stress Questionnaire for Athletes (RESTQ-Sport<sup>18</sup>). RPE and its derivative,
the session-RPE<sup>19</sup>, represent measures of intensity (rather than load) whilst the POMS can be
rather categorized as a reflective response measure to training load and other stimuli.

127 The RESTQ-Sport gauges the frequency of both current stress symptoms and 128 recovery-associated activities/states of the previous three days/nights and addresses both 129 nonspecific and sport-specific areas of stress and recovery. The questionnaire includes 76 statements divided into seven general stress scales (e.g., General Stress), five general 130 131 recovery scales (e.g., Physical Recovery), three sport-specific stress scales (e.g., Emotional 132 Exhaustion), and four sport-specific recovery scales (e.g., Self-Regulation). In addition, the Rating-of-Fatigue (ROF) scale<sup>20</sup>, the Acute Recovery and Stress Scale (ARSS)<sup>21</sup> as well as 133 the Short Recovery and Stress Scale (SRSS)<sup>21</sup> have recently been developed as short and 134 135 economic measures of recovery and stress. While the ROF may serve as an innovative 136 instrument to register fatigue in various settings, the ARSS and SRSS qualify for a 137 longitudinal assessment of the acute recovery-stress state in applied settings.<sup>22</sup> Overall, psychological measures of athlete recovery are characterized by their sensitivity and 138 139 feasibility and represent an important component of the recovery-fatigue monitoring process.<sup>14</sup> Within the larger scope of a conceptual framework of recovery assessment, the 140 141 primary challenge stems from the multifaceted nature of the recovery-fatigue continuum. Any 142 single physiological or psychological parameter will only highlight an isolated aspect of 143 recovery and fatigue. Multivariate approaches should be employed to assess post-exercise 144 recovery, combining physiological and psychological measures on a formal or informal level.

#### 145 Training-recovery-performance models

146 Monitoring of the recovery-fatigue continuum represents the first step towards performance 147 enhancement. Based on a systematic and comprehensive monitoring of training and 148 competition loads, interventions need to be derived and established to maximize performance. 149 Both training and recovery activities can be manipulated by coaches to produce specific 150 physiological and psychological outcomes. While recovery may refer to short-term, mid-term 151 or long-term restoration, a clear categorization based on specific time frames cannot be 152 provided due to the high intra- and inter-individual variability of the recovery process. The 153 required amount of time for recovery from training-induced fatigue and stress may differ within and between the different organismic systems of the human body.<sup>2</sup> Meeusen et al.<sup>7</sup> 154 suggest that short-term recovery interventions (e.g., power nap) are applied during periods of 155

heavy or intensified training to allow athletes to maintain training quality and physical performance levels. While this approach has shown to be effective in the short-term,<sup>1</sup> the efficacy of this approach over the longer term and in combination with other mid- or longterm recovery interventions (e.g., extended periods of night sleep) remains unknown. Muscle damage, metabolic responses, inflammation, and associated fatigue resulting as a consequence of intensified training are considered to be important drivers of adaptation, although chronic use of short-term recovery activities<sup>2</sup> may blunt these effects.

163 At present, it remains unclear if the long-term application of short-term recovery 164 interventions positively affects performance. Recovery interventions between sessions may 165 lead to greater recovery in athletes (i.e., less soreness and fatigue) and increased subsequent training quality.<sup>23,24</sup> In contrast, even negative effects may occur due to repeated blunting of 166 167 training adaptations. Recent studies have shown that recovery interventions (e.g., CWI) may 168 diminish physiological and performance adaptations to resistance training.<sup>25</sup> while others have 169 indicated performance benefits<sup>1</sup> and amplified physiological responses with endurance 170 exercise tasks.<sup>26</sup> CWI resulted in acceleration of parasympathetic reactivation compared to 171 active recovery after a constant velocity exhaustive test in athletes participating in intermittent sports (e.g., football, basketball).<sup>27</sup> The conflicting results may be attributed to differences in 172 training status, exercise mode (e.g., resistance vs. endurance), specific outcome measures, and 173 174 the CWI interventions used in these studies. Potential short-term recovery benefits, but 175 undetermined long-term adaptation and performance effects, also apply to other popular 176 recovery interventions (e.g., contrast water therapy, stretching, whole body cryotherapy, 177 compression garments, massage, intermittent pneumatic compression, electrostimulation, 178 sauna, far-infrared therapy). The outcomes emphasize that the efficacy of specific recovery 179 interventions needs to be determined in the context of the athlete, their schedule, and the 180 current and long-term training goals.

181 In concordance with established periodization approaches in training, recovery 182 activities should also be periodized and modified to meet the individuals' specific needs. 183 While there is little empirical information regarding the periodization of recovery 184 interventions, fundamental assumptions are important to guide an individualized recovery 185 approach. Recovery activities can be tailored to the nature of the present stressors, with 186 greater need for mid- and long-term psychological recovery interventions following mentally 187 fatiguing tasks. After activities that induce a high level of muscle damage, recovery should be 188 adapted accordingly, resulting in interventions (e.g., change of environment, exercise, sleep) 189 to reduce pain, inflammation, and soreness. If amplification of training stress (i.e., increased

190 fatigue) is indicated, increased training load and fewer recovery activities might be prescribed 191 during periods when performance capacity is less important (e.g., preseason/preparatory 192 training periods). Conversely, lower training loads and targeted recovery activities may be 193 required before competitions to initiate dissipation of training fatigue to facilitate maximum 194 performance.

195 An improved understanding of athletes' individual interactions between training, recovery, and performance may assist coaches/scientists in determining the necessity of 196 197 specific recovery activities. These interactions can be generally explained by the fitness-198 fatigue model which describes the relationship between training load, positive (fitness) adaptations, and negative (fatigue) adaptations.<sup>28</sup> According to this model, performance can 199 200 be estimated from the difference between the fitness and fatigue reactions to training. An 201 athlete's fitness is thereby operationalized by the positive influence of long-term training, 202 while the negative response is explained by the acute fatigue responses to recent training 203 stimuli. Due to the inter- and intra-individual responses to fitness and fatigue, direct 204 monitoring of fitness and fatigue responses has emerged as common aspect of scientific support for high performance athletes.<sup>3</sup> The appropriate application and interpretation of 205 206 available monitoring tools fosters a goal-oriented processing of the obtained information to 207 guide decisions on training content and recovery activities for individual athletes. Additional 208 work is required in this area to link athlete monitoring to meaningful recovery activities for 209 individual athletes in a reliable manner. Furthermore, holistic training-recovery-performance models using an integrated and idiographic psychophysiological approach are advocated.<sup>3</sup> 210

### 211 Monitoring approaches for training and recovery

Athletes and coaches are taking an increasingly scientific approach to designing training programs and monitoring adaptation. Training load and recovery monitoring can contribute to assess an athlete's adaptation and ensure an adequate recovery-stress balance. The actual aim is to enhance performance and minimize the risk of developing NFO, the OTS, illness, and/or injury.<sup>29,30</sup>

Training monitoring should include assessment of both external and internal loads. The external training load defines an objective measure of the work that an athlete completes during training or competition. The internal load describes the biological stress imposed by the training session and is characterized by the disturbance in homeostasis of the physiological and metabolic processes during the training session.<sup>9</sup>

222 To gain an understanding of the training load and its effect on the athlete, a number of 223 training load indicators have been introduced, but strong scientific evidence supporting their applicability is often lacking.<sup>31</sup> Monitoring tools to quantify external loads include for 224 225 example power output measuring devices and time-motion analysis. Internal load measures 226 encompass the perception of effort, oxygen uptake, HR derived assessments, blood lactate, 227 training impulse, neuromuscular function, biochemical/hormonal/immunological assessments, questionnaires and diaries, psychomotor speed, as well as sleep quality and quantity.<sup>14,32</sup> An 228 229 incongruence between external and internal load units may reveal the current recovery-fatigue 230 continuum of an athlete.<sup>1</sup>

231 Once coaches and sport scientists have chosen their monitoring tools based on validity, reliability, accessibility, and acceptance by their athletes, criteria to determine 232 233 changes in load, performance, or recovery need to be established to build a reliable decisionmaking process.<sup>33</sup> Change can be defined as a valid confirmation of an improvement or a 234 235 deterioration of a measure over a given time span due to interventions.<sup>34</sup> Reliability outlines a 236 key feature in tracking change and reflects the degree to which repeated measures vary for 237 individuals and can be assimilated as measurement error. Several statistical approaches can 238 account for measurement error in the follow-up of athletes, including the smallest worthwhile 239 change or the Z-score.<sup>34</sup> Alternatively, if repeated measurements of the respective athlete are available, group based reference ranges may be developed using Bayesian methods.<sup>15</sup> In case 240 241 the individual history of data is not available (e.g., when athletes transfer between teams), an 242 alternative reference is needed. Under these circumstances, the mean of a healthy group can 243 be calculated with upper and lower boundaries based on the standard deviation. This provides 244 information on how an individual compares to the rest of the group. However, coaches and 245 sport scientists should be aware that the choice of appropriate monitoring tools and statistical procedure only delineates a cornerstone of their follow-up system. Monitoring systems should 246 247 be intuitive, provide efficient strategies for data analysis and interpretation, and enable 248 efficient reporting and visualizing of simple, yet scientifically valid feedback.<sup>1</sup> Concurrent 249 assessments of the various quantification methods allow researchers and practitioners to 250 evaluate the recovery-stress balance, adjust individual training programmes and determine the relationships between external load, internal load, and athletes' performance.<sup>32</sup> 251

#### 252 **Consequences for coaches and athletes**

253 Strategies to enhance recovery should be implemented as a means to compensate internal and 254 external loads. Since recovery-related activities often take place outside the formal training 255 setting, the evaluation of individual differences appears to be extremely difficult for coaches and may even result in a mismatch between coaches' and athletes' perception of recovery.<sup>35</sup> It 256 257 seems that coaches tend to overestimate the need for recovery of their athletes. This 258 misjudgment increases the longer athletes and coaches are separated, which highlights the 259 importance of coordinated and prospective recovery monitoring. The establishment of an 260 effective monitoring routine ideally results in meaningful individualized interventions that 261 consider the potpourri of psychophysiological demands placed on athletes in different training 262 and non-training situations as well as in competition settings. Factors such as the type of sport, the training phase of the year,<sup>36</sup> type of training performed and level of participation<sup>37</sup> 263 exemplify situations athletes are confronted with.<sup>38</sup> Traditional ways of training and 264 265 competing have revolved around work-based training, with performance challenges solved by 266 simply increasing training load. However, periodization of the season should be addressed 267 especially during the competition and tapering phases to reach high levels of preparedness within athletes.<sup>39</sup> Recovery should be programmed as an integral component of training via 268 269 the implementation of recovery microcycles and recovery strategies.<sup>39</sup> Since psychological 270 problems are frequently related to underrecovery, the integration of efficient recovery into 271 athletes' training and competition routines appears to be a buffer against psychological 272 problems such as burnout and depression.<sup>3</sup>

273 In this context, sleep plays an essential role in recovery with regard to physical and 274 psychological recovery as well as general well-being. Athletes should understand their sleep 275 needs and should be educated regarding aspects such as sleep hygiene and potential positive effects of sleep extension.<sup>40</sup> Furthermore, a range of specific recovery methods are available 276 277 and could be systematically incorporated into the athlete's training program at various times 278 to foster recovery on different levels. Individual and situation-specific recovery strategies 279 should be selected to address the recovery needs of the athlete in line with their psychological perception of the value.<sup>2</sup> Self-regulation skills play an important role in the process of 280 281 recovery enhancement and should be learned and practiced to facilitate the realization and 282 efficiency of recovery programs within sports.<sup>5</sup>

283 Considering the implementation of recovery strategies in team settings, an 284 individualized approach to the use of recovery modalities should be promoted. Athletes

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should engage in a combination of recovery modalities since this method appears to result in the most rapid rates of recovery and continuous high-level performance.<sup>3,5</sup> Behavioral and cognitive underpinnings of all parties involved (i.e., coaches, athletes, researchers, policy makers, and healthcare professionals) should be considered when designing recovery interventions. The ideal recovery routine would consist of a positive perception of recovery while also addressing the appropriate physiological and psychological mechanisms necessary to effectively recover from training.

292 In applied settings, successful implementation of a system to identify and monitor the 293 recovery-fatigue continuum depends on cooperation of a multidisciplinary team. The 294 commitment and agreement regarding the elements and strategies of monitoring should be 295 acquired from participating parties (e.g., coaches, sport scientist, sport psychologist, etc.) to 296 ensure a high quality of the overall process. Coaches should consider monitoring and recovery 297 management as a reasonable addition to their training routine. Communication represents a 298 key factor in this interplay, while regular meetings and the exchange of ideas may foster an 299 atmosphere of compliance and meaningfulness to obtain a common goal. With regard to their 300 athletes, coaches should be aware that engagement in recovery activities should be 301 contemplated as supportive instead of burdening. The improvement of performance is not 302 achieved through a high quantity of recovery activities, but rather through a high quality, well-matched, and individualized approach to recovery. A cycle to improve recovery might 303 304 encompass: debriefing, smiling (or laughing), restoring, and restarting.

#### 305 Conclusion

306 The measurement and monitoring of recovery and fatigue in training and competition contexts 307 is a complex task. Expertise in physiology, psychology, and sport science is required to enable 308 a high quality of the overall process. We propose some general recommendations which may 309 contribute to successful implementation of a monitoring routine to maintain and enhance 310 recovery in sports. During the planning phase of the monitoring routine, training and 311 competition related goals should be set in close cooperation with athletes and the coaching 312 staff. Recovery should be prescribed in light of the current period of the season depending on 313 the nature of the applied training stimulus (e.g., muscle damaging vs. cognitively fatiguing vs. 314 metabolically demanding). This approach connects to the topic of individualization of 315 recovery monitoring in sports. Individualized measurement of recovery should be followed by 316 an individualization of recovery methods according to athletes' situation-specific needs.

317 Therefore, the individualization process represents one of the most pivotal and challenging

318 tasks in current monitoring research and practical environments. Periodization of training

319 loads and recovery activities to promote adaptation and/or performance outcomes over longer

320 periods (i.e., > 6 months) can only be achieved by referring to individual long-term data.

321 Based on those collected data, tools and screenings to direct the selection of evidence-based

322 recovery activities can be developed. Future recovery studies should develop holistic models

323 to derive practical rules for diagnostic, intervention and evaluation purposes.

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